

1 **Adverse prognostic impact of the *KIT* D816V**
2 **transcriptional activity in advanced systemic mastocytosis**

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31 **Running head:** Adverse impact of the *KIT* D816V activity in AdvSM

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51 patients.

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81 **Abstract**

82 In systemic mastocytosis (SM), qualitative and serial quantitative assessment of the
83 *KIT* D816V mutation is of diagnostic and prognostic relevance. We investigated
84 peripheral blood (PB) and bone marrow (BM) samples of 161 patients (indolent SM,
85 ISM, n=40; advanced SM, AdvSM, n=121) at referral and during follow-up for the *KIT*
86 D816V variant allele frequency (VAF) at the DNA-level and the *KIT* D816V expressed
87 allele burden (EAB) at the RNA-level. A round robin test with four participating
88 laboratories revealed an excellent correlation ($r > 0.99$, $R^2 > 0.98$) between three
89 different DNA-assays. VAF and EAB strongly correlated in ISM ($r = 0.91$, coefficient of
90 determination, $R^2 = 0.84$) but only to a lesser extent in AdvSM ($r = 0.71$; $R^2 = 0.5$).
91 However, as compared to an EAB/VAF ratio ≤ 2 (cohort A, 77/121 patients, 64%) ROC
92 analysis identified an EAB/VAF ratio of > 2 (cohort B, 44/121 patients, 36%) as
93 predictive for an advanced phenotype and a significantly inferior median survival (3.3
94 vs. 11.7 years; $p = 0.005$). In terms of overall survival, Cox-regression analysis was only
95 significant for the EAB/VAF ratio > 2 ($p = 0.006$) but not for VAF or EAB individually. This
96 study demonstrates for the first time that the transcriptional activity of *KIT* D816V may
97 play an important role in the pathophysiology of SM.

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109 **Introduction**

110 Systemic mastocytosis (SM) is a rare hematologic disorder characterized by clonal
111 expansion and abnormal accumulation of neoplastic mast cells in various organ
112 systems. According to the World Health Organization (WHO), SM can be divided into
113 indolent SM (ISM) and advanced SM (AdvSM), which is further subcategorized into
114 aggressive SM (ASM), SM with associated hematologic neoplasm (SM-AHN) and mast
115 cell leukemia (MCL).^[1-3] ISM patients have a nearly normal life expectancy while AdvSM
116 patients have a poor survival of median 3-4 years.^[4-7]

117

118 *KIT* D816V is the pathogenic driver mutation and is detectable in more than 90% of
119 SM patients. Qualitative detection of *KIT* D816V has been established as a diagnostic
120 criterion for SM. The serial quantitative assessment of the *KIT* D816V expressed allele
121 burden (EAB) by a real time RT- quantitative PCR (RT-qPCR) assay during treatment
122 with the *KIT*-inhibitor midostaurin is a strong and independent marker for response,
123 progression and survival.^[8,9]

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125 DNA-based quantitative assays (variant allele frequency, VAF) are more widely used
126 than RNA-based assays,^[10,11] but only limited data exist concerning the reproducibility
127 between different assays and the correlation between the DNA- and RNA-based
128 quantitative assays.^[12-16] We therefore sought to quantitatively assess *KIT* D816V at
129 both the DNA- and RNA-levels in bone marrow (BM) and peripheral blood (PB)
130 samples obtained at referral and during follow-up from patients with ISM and AdvSM.

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135 **Material and Methods**

136 **Patients and samples**

137 PB (n=161; ISM, n=40; AdvSM, n=121) and corresponding BM (n=45, AdvSM, n=37;
138 ISM, n=8) samples were collected from *KIT* D816V positive patients at time of referral.
139 For serial analyses of midostaurin treated patients, we analyzed at least three PB
140 samples from 8 patients. All patients were diagnosed and subtyped according to the
141 2016 WHO classification and were listed within the `German Registry for Disorders of
142 Eosinophils and Mast cells`. Data collection was compliant with the tenets of the
143 Declaration of Helsinki and was approved by the institutional review board of the
144 Medical Faculty Mannheim, Heidelberg University, Germany. All patients gave written
145 informed consent.

146

147 **RNA-based assessment of *KIT* D816V**

148 Quantitative assessment of the *KIT* D816V expressed allele burden (EAB) at RNA-
149 level was performed by allele-specific RT-qPCR. Two PCR assays were designed for
150 amplification of total *KIT* transcripts and *KIT* D816V mutated transcripts. *KIT* D816V
151 EAB was calculated as ratio between mutant *KIT* D816V and total *KIT* transcripts. Limit
152 of detection reveals a sensitivity of 0.01-0.1%. PCR was performed using the universal
153 “mastermix” (LightCycler Faststart plus set, Roche Diagnostics, Mannheim, Germany)
154 and specific primer and probes on a LightCycler instrument 1.5 (Roche Diagnostics) in
155 a final volume of 20 μ L with 2 μ L cDNA or plasmid product (500 nm primer; 250 nm
156 probes). Thermocycling conditions were as follows: 95 °C (10 min), 45 cycles: 95 °C
157 (1 s), 60 °C (10 s), and 72 °C (26 s).^[13]

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161 **DNA-based assessment of *KIT* D816V**

162 **Chip-based digital PCR.** For quantitative assessment of the *KIT*D816V VAF, a digital
163 PCR (dPCR) assay was established. The analysis was performed using the
164 QuantStudio 3D dPCR System (ThermoFisher Scientific, Massachusetts, USA). Per
165 sample, a 15 μ L reaction was prepared. The volume including 7.1 μ L of 10 ng/ μ L DNA,
166 7.5 μ L of QuantStudio 3D Digital PCR Mastermix v2 (ThermoFisher Scientific) and 0.4
167 μ L of *KIT* D816V specific Taqman gene expression assay (ID: Hs000000039_rm,
168 ThermoFisher Scientific). The limit of detection (LOD) was assessed through serial
169 dilution experiments with DNA from healthy individuals and from a SM patient with a
170 *KIT* D816V VAF of approximately 50% measured by chip-based dPCR. All samples
171 were analyzed twice in independent PCR runs. dPCR was performed using the
172 following thermal cycling conditions: 96°C for 10 min, (56°C for 2 min, 98°C for 30s
173 [x39 cycles]) and 56°C for 2 min.

174

175 **Droplet digital PCR.** Measurements were performed using the QX200 Droplet Digital
176 PCR (ddPCR) System (Bio-Rad, California, USA). Per sample, a 22 μ L reaction
177 volume including 6 μ L (100ng) DNA, 11 μ L of ddPCR Supermix for Probes (no UTP,
178 Bio-Rad), 3.3 μ L H₂O and 1.1 μ L of *KIT* D816V specific primer/probe mix (Bio-Rad)
179 were prepared. Twenty μ L from this solution was used for droplet generation in the
180 QX200™ Droplet Generator (Bio-Rad) followed by PCR analysis and droplet detection
181 using QX200 Droplet Reader (Bio-Rad).

182

183 **Quantitative real-time PCR.** qPCR was performed using the 7900HT Fast Real-Time
184 PCR System (Applied Biosystems, Foster City, CA, USA), as previously described.^[16]

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187 **Round-robin test for various DNA-based PCR platforms**

188 Thirty PB samples from 26 patients (ISM, n=7; AdvSM, n=19) were used for
189 interlaboratory correlation (round-robin test, n=4; dPCR, n=1; ddPCR, n=2; qPCR,
190 n=1) of VAF results.

191

192 **Statistical analysis**

193 All statistical analyses considered clinical and laboratory parameters obtained at the
194 time of diagnosis/first referral. OS analysis was considered from the date of diagnosis
195 to date of death or last visit. OS probabilities were estimated using the Kaplan-Meier
196 method. Pearson correlation analysis was performed for the correlation between two
197 continuous parameters. T-test was used to compare continuous variables and medians
198 of distributions. For the destination of hazard ratios, a cox proportional hazard
199 regression model was used. Receiver operating characteristic (ROC) curve was used
200 to select the optimal cut point to dichotomize the EAB/VAF coefficient. All tests were
201 two-sided, with $P < 0.05$ considered as statistically significant.

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203 For dPCR results, absolute quantification, including Poisson quantification algorithm,
204 were performed using the QuantStudio 3D AnalysisSuite Cloud Software online
205 (Thermo Fisher Scientific). For evaluation of the limit of detection (LOD), limit of
206 quantification (LOQ) and the limit of blank (LOB) we used established mathematical
207 calculations^[17,18] and performed at least three replicates in independent dPCR runs per
208 sample. GraphPad Prism Software (version 6, GraphPad, La Jolla, CA, USA), Excel
209 (version 2019, Microsoft Corporation, Redmond, WA, USA), SPSS (version 21.0.0,
210 IBM Cooperation, Armonk, NY) and SAS software, release 9.4 (SAS Institute, Cary,
211 US) were used for statistical analysis.

212

213 **Results**

214 **Patients' characteristics**

215 Patients' characteristics are listed in Table 1. The subcategories of AdvSM included
 216 ASM (18/121, 15%), MCL (2/121, 2%) and SM/MCL-AHN (101/121, 83%). Eighteen
 217 AdvSM patients (18/121, 16%) had progression to secondary AML (11/19, 61%) or
 218 secondary MCL (7/19, 39%). Fifty-three AdvSM patients (47%) were treated with the
 219 KIT-inhibitor midostaurin. Significant differences between ISM (n=40) and AdvSM
 220 (n=121) included gender (female 43%, male 67 %, p=0.006), age (median 54 vs. 76
 221 years, p<0.0001), hemoglobin (median 13.9 g/dL versus 10.8 g/dL, p<0.0001),
 222 platelets (median 283 x 10⁹/L vs. 114 x 10⁹/L, p=0.0002), serum tryptase level (median
 223 46 µg/L vs. 180 µg/L, p<0.0001), alkaline phosphatase (median 76 U/L vs. 200 U/L),
 224 and OS (median not reached vs. 4.8 years, p<0.0001).

225

226 **Table 1:** Clinical, laboratory, outcome and treatment characteristics of patients with indolent
 227 systemic mastocytosis (ISM) and advanced SM (AdvSM).

Variables	ISM	AdvSM	P-value
Number of patients (<i>n</i>)	40	121	-
Age in years, median (range)	54 (29-83)	76 (30-90)	<0.0001
Male, <i>n</i> (%)	17 (43)	81 (67)	0.006
Hemoglobin, g/dL; median (range)	13.9 (11.7-16.8)	10.8 (5.8-15.8)	<0.0001
Platelets, x10 ⁹ /L; median (range)	283 (87-461)	114 (12-958)	0.0002
MC-infiltration in BM histology, %	not applicable	30 (0-100)	-
Serum tryptase, µg/L; median (range)	46 (8-166)	180 (11-1382)	<0.0001
Alkaline phosphatase, U/L; median (range)	76 (15-166)	200 (33-1279)	<0.0001
Diagnosis			
ASM, <i>n</i> (%)	-	18 (15)	-
MCL, <i>n</i> (%)	-	2 (2)	-
SM/MCL-AHN, <i>n</i> (%)	-	101 (83)	-

Progression to			
Secondary AML, <i>n</i> (%)	-	11 (61)	-
Secondary MCL, <i>n</i> (%)	-	7 (39)	-
Outcome			
Follow-up, years, median (range)	5 (0-21)	3 (0-25)	n.s.
Death, <i>n</i> (%)	0 (100)	60 (50)	<0.0001
Overall survival, median, years	not reached	4.8	<0.0001
Treatment			
Midostaurin, <i>n</i> (%)	1 (3)	57 (47)	<0.0001

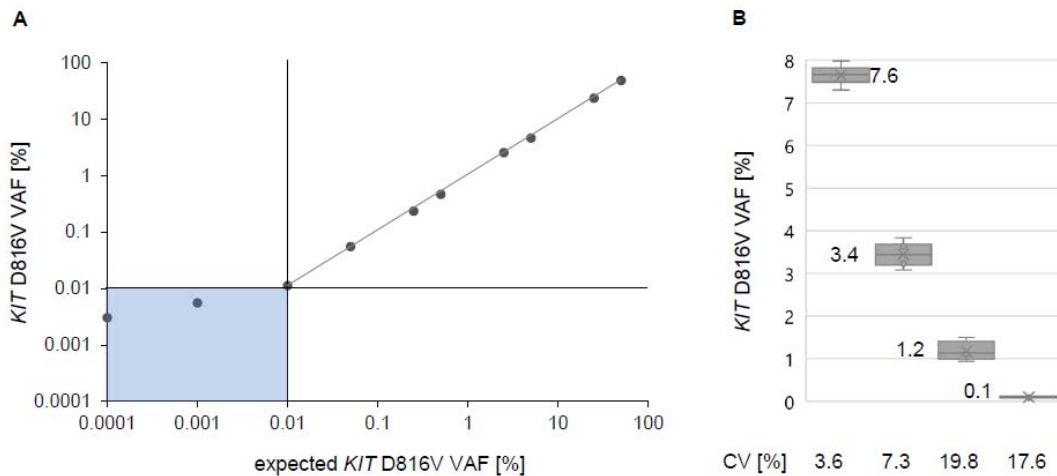
228 **Abbreviations:** AHN, associated hematological neoplasm; AML, acute myeloid leukemia;
229 ASM, aggressive systemic mastocytosis; BM, bone marrow; MCL, mast cell leukemia; *n*,
230 number.

231

232 **Assessment of analytical sensitivity, specificity and reproducibility of the dPCR**
233 **assay**

234 For evaluation of LOD, we performed a serial dilution series with DNA isolated from a
235 PB sample with a heterozygous mutation status and a VAF of 50% ± 0.3% (mean ±
236 standard deviation). On average, the total number of wildtype *KIT* transcripts per dPCR
237 reaction ranged from 50,000 to 100,000 molecules. If exactly one *KIT* D816V transcript
238 is detectable in a single PCR reaction, a VAF of 0.001% is theoretically achievable.
239 Based on a strong linear correlation of $r=0.99$, our serial dilution series showed in
240 practice a LOD of 0.01% on average (Figure 1A). For a mathematical definition of the
241 LOD, we determined the LOB. Up to two *KIT* D816V positive events were measured
242 in $n=6$ healthy individuals. Therefore, LOB was defined as 0.0025%. Finally, the
243 replicate measurement of three low-level positive samples (mean <0.06% VAF)
244 allowed assigning the LOD of 0.04%. A sample was assessed as positive upon the
245 presence of at least three *KIT* D816V signals per measurement.

Figure 1



246 **Figure 1:** Limit of detection (LOD) and reproducibility of digital PCR (dPCR) for
247 quantitative assessment of the *KIT* D816V variant allele fraction (VAF). (A) dPCR of a
248 dilution series of a patient sample with 50% VAF. Single points represent merged
249 measurements from multiple chips (n=3). Dilution results are linear down to 0.1% VAF.
250 (B) Reproducibility of four patient samples from 0.1 to 7.6 % *KIT* D816V VAF
251 (measured with at least 3 replicates) showing a coefficient of variation (CV) below 20%
252 for all samples.

253

254

255 For validation of reproducibility, we performed LOQ experiments on four samples with

256 low and high VAF (0.1% to 7.6%), respectively. As a quantity for LOQ, we determined

257 the coefficient of variation (CV) for all samples with values between 3.6% for the

258 highest VAF and 17.6% for the lowest VAF (three samples measured in five

259 independent experiments), which is consistent with that reported for quantitative PCR

260 (Figure 1B).^[18,19]

261

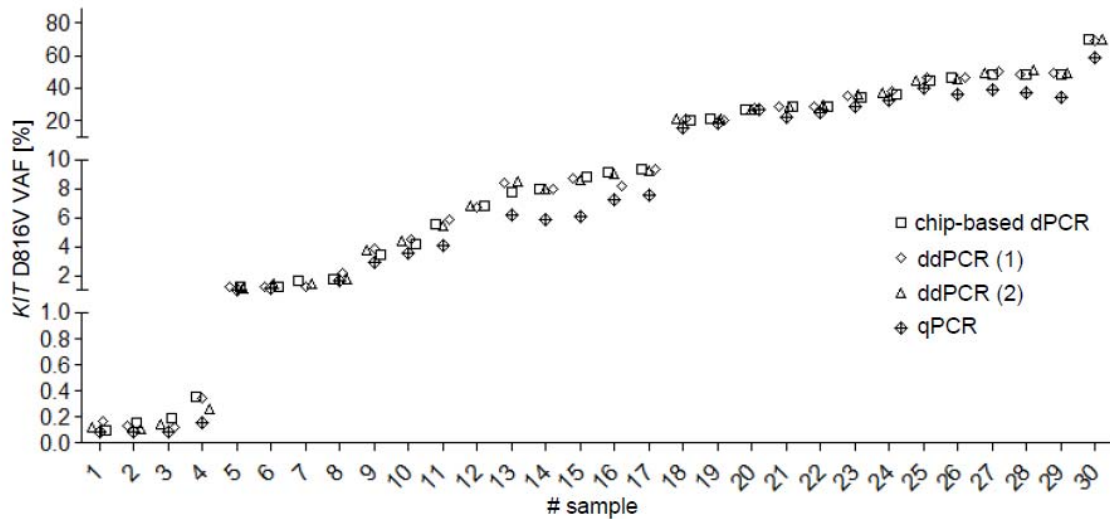
262 Inter-laboratory round-robin test

263 In the interlaboratory round-robin test (labs, n=4; samples, n=30), an excellent

264 correlation was observed between the different DNA-based assays (dPCR vs. ddPCR:

265 $R^2=0.99$; dPCR vs. qPCR: $R^2=0.98$) (Figure 2).

Figure 2



267 **Figure 2:** Quantitative assessment of the *KIT* D816V variant allele fraction (VAF) in 30
 268 samples using various PCR methods. A very good correlation was observed for dPCR
 269 vs. ddPCR ($r=0.99$, $R^2=0.99$) and for dPCR vs. qPCR ($r=0.99$, $R^2=0.98$). dPCR, digital
 270 PCR; (1) ddPCR, droplet digital PCR from laboratory A; (2) ddPCR droplet digital PCR
 271 from laboratory B; qPCR, genomic quantitative real-time PCR.

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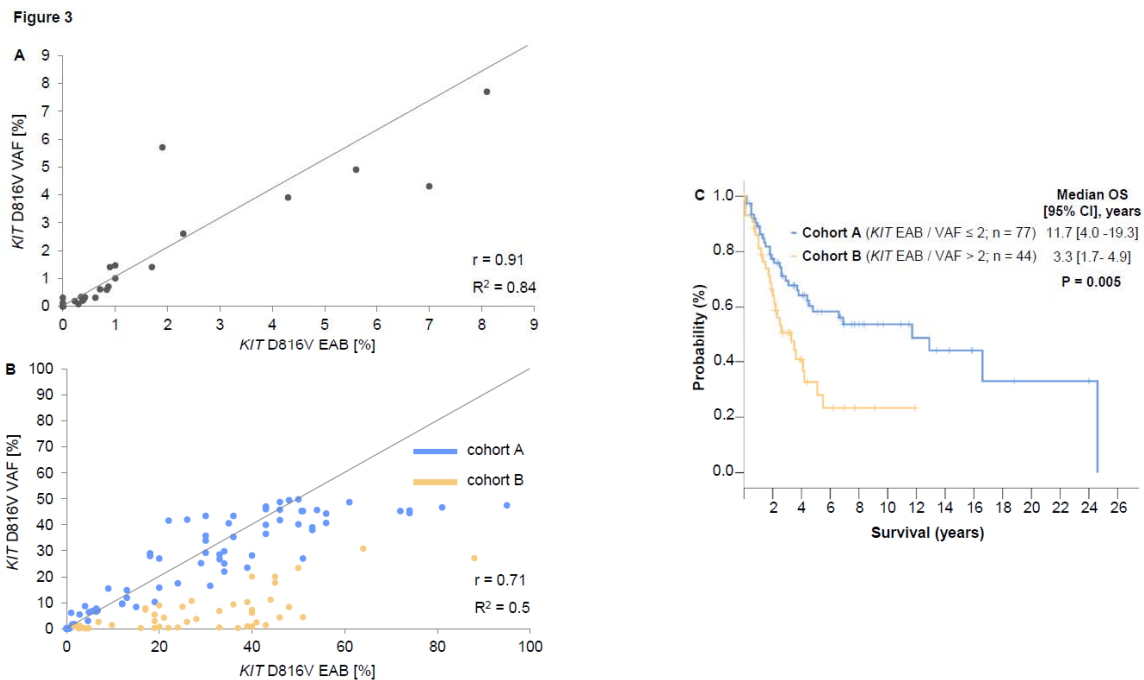
273 Comparison of VAF between PB and BM

274 The comparison between the VAF in PB and BM revealed a correlation of $r=0.98$
 275 ($R^2=0.96$) in ISM ($n=8$) and $r=0.93$ ($R^2=0.86$) in AdvSM ($n=37$), respectively (Appendix
 276 Figure 1B-C).

277

278 Comparison between EAB and VAF

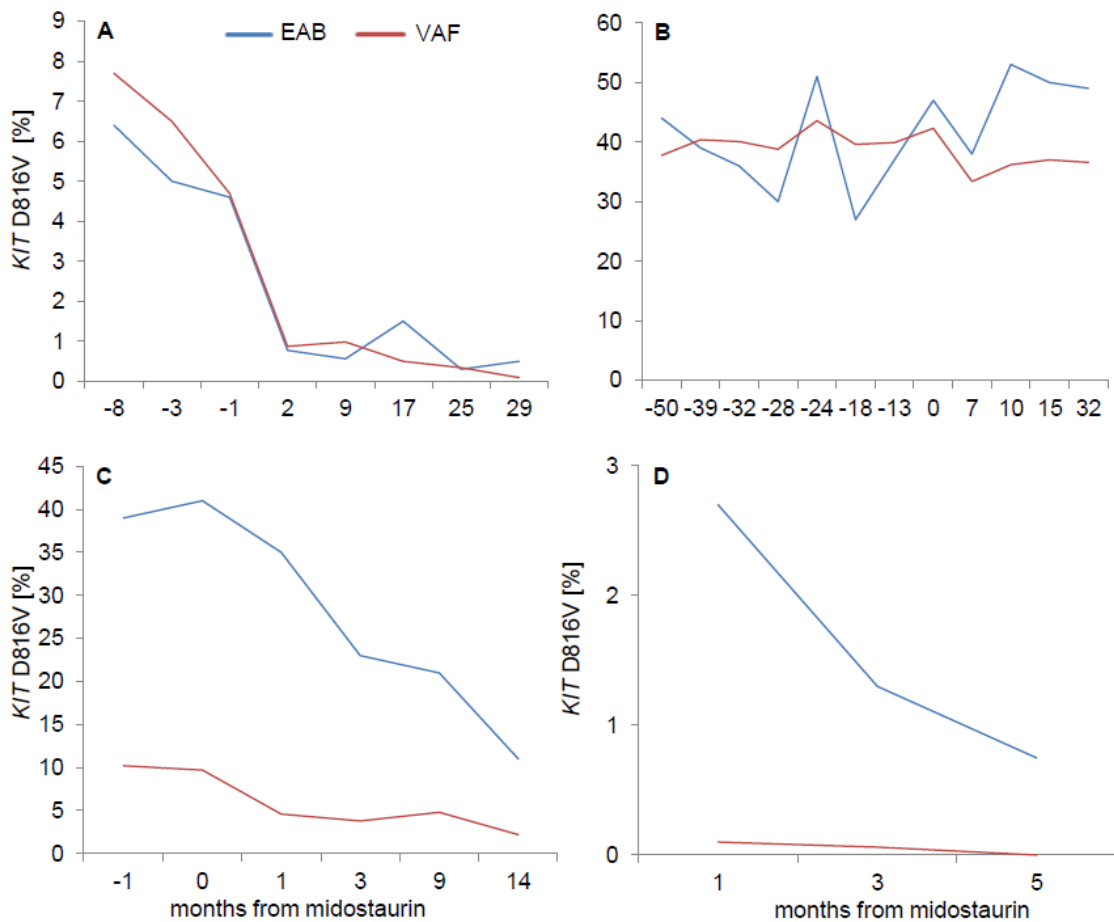
279 In PB of ISM patients ($n=40$), EAB and VAF had a correlation of $r=0.91$ ($R^2=0.84$)
 280 (Figure 3A). In AdvSM patients, r and R^2 were significantly inferior (PB, $n=121$: $r=0.71$,
 281 $R^2=0.5$; BM, $n=37$: $r=0.63$; $R^2=0.39$). ROC analysis showed an ideal threshold for an
 282 EAB/VAF ratio of 2 for cohort classification. In PB, the EAB/VAF ratio was ≤ 2 (cohort
 283 A) in 77/121 (64%) and ≥ 2 (cohort B) 44/121 (36%) of AdvSM patients (Figure 3B,
 284 Appendix Figure 1A).



286 **Figure 3:** Comparison between expressed allele burden (EAB, RNA/cDNA) and
 287 variant allele fraction (VAF, gDNA) in indolent systemic mastocytosis (ISM, n=40) and
 288 advanced SM (AdvSM, n=121). The correlation between EAB and VAF showed a
 289 strong linear relationship in ISM patients (A) but only to a lesser extent in AdvSM
 290 patients (B). Cohort A represents patients with an EAB/VAF ratio ≤ 2 (blue) while
 291 cohort B represents patients with an EAB/VAF ratio > 2 (yellow). (C) The overall
 292 survival (OS) of cohort B (p=0.005). In nine patients *KIT* D816V was below 1 % at
 293 cDNA and DNA level. Independent of their ratio they were categorized as „no
 294 significant change“ (ratio ≤ 2 , blue, cohort A).
 295

296 To confirm the significant disparity between EAB and VAF in individual patients of
 297 cohort B, contemporaneously obtained BM and PB from 12 patients were investigated.
 298 In the vast majority of patients (9/12, 75%), the EAB/VAF ratio of >2 could be confirmed
 299 in BM, while it was between 1 and 2 in 3/12 (25%) patients. Serial / longitudinal
 300 analyses of at least three PB samples in 12 patients revealed a stable EAB/VAF ratio
 301 during follow-up. Out of these, eight AdvSM patients were serially investigated while
 302 on treatment with the multikinase/KIT-inhibitor midostaurin. *KIT* EAB and VAF
 303 paralleled each other throughout the follow-up (Figure 4).

Figure 4



305 **Figure 4:** Serial measurement of expressed allele burden (EAB, cDNA) and variant
 306 allele fraction (VAF, gDNA) on midostaurin. Irrespective of the cohorts (cohort A:
 307 EAB/VAF ≤ 2 , A-B; cohort B: EAB/VAF > 2 , C-D), the changes of *KIT* EAB and VAF
 308 nearly paralleled each other.
 309

310 Disease characteristics in cohorts A and B

311 Significant differences between cohorts A and B were observed in terms of a higher
 312 median hemoglobin level ($p=0.006$), a lower percentage of patients with hemoglobin
 313 $<10\text{g/dL}$ ($p=0.01$), a lower median monocyte level ($p=0.01$), a lower percentage of
 314 patients with alkaline phosphatase level $>150\text{ U/L}$ ($p=0.01$), a lower number of patients
 315 with a high risk molecular profile (at least one gene mutation in *SRSF2*, *ASXL1*, and/or
 316 *RUNX1*, *S/A/R*, $p=0.02$) and a lower median vitamin B12 level ($p=0.02$) in cohort A

317 (Table 2). Patients of cohort A had a significantly better OS than patients in cohort B
 318 (median OS 11.7 versus 3.3 years; hazard ratio (HR) 2.1; 95% confidence interval
 319 (95%CI) 1.2-3.6; p=0.005) (Figure 3C).

320 **Table 2:** Clinical, laboratory, molecular, and outcome characteristics of 121 advanced systemic
 321 mastocytosis (AdvSM) patients stratified by expressed allele burden / variant allele frequency
 322 ratio of ≤ 2 (cohort A) and > 2 (cohort B).

Variables	<i>KIT</i> D816V EAB/VAF ratio ≤ 2 (cohort A)	<i>KIT</i> D816V EAB/VAF ratio > 2 (cohort B)	P-value
Number of patients (<i>n</i>)	77	44	-
Age in years, median (range)	71 (30-90)	77 (52-88)	-
Male, <i>n</i> (%)	49 (63)	32 (73)	-
Diagnosis			
ASM, <i>n</i> (%)	14 (18)	4 (11)	-
MCL, <i>n</i> (%)	2 (3)	-	-
SM/MCL-AHN, <i>n</i> (%)	61 (79)	40 (90)	-
AHN-subtypes			
MDS/MPN-u, <i>n</i> (%)	18 (30)	13 (33)	-
CMML, <i>n</i> (%)	27 (44)	17 (43)	-
MDS, <i>n</i> (%)	5 (8)	6 (15)	-
MPN-eo, <i>n</i> (%)	1 (2)	-	-
AML, <i>n</i> (%)	1 (2)	1 (2)	-
CEL, <i>n</i> (%)	7 (11)	1 (2)	-
PMF, <i>n</i> (%)	2 (3)	2 (5)	-
Progression to			
AML, <i>n</i> (%)	8 (10)	3 (7)	-
MCL, <i>n</i> (%)	6 (8)	2 (5)	-
C-findings			
Hemoglobin, g/dL; median (range)	11.4 (5.8-15.8)	9.8 (7.5-14.5)	0.006
< 10 g/dL; <i>n</i> (%)	20 (29)	21 (53)	0.01

Platelets, x10 ⁹ /L; median (range)	133 (12-618)	106 (28-958)	n.s.
< 100 x 10 ⁹ /L, <i>n</i> (%)	31 (44)	19 (48)	n.s.
Alkaline phosphatase, U/L; median (range)	188 (33-1206)	303 (53-1279)	n.s.
> 150 U/L, <i>n</i> (%)	39 (57)	31 (79)	0.01
Albumin, g/L; median (range)	38 (16-48)	36 (22-48)	n.s.
< 34 g/L, <i>n</i> (%)	23 (34)	14 (40)	n.s.
Ascites, <i>n</i> (%)	39 (53)	25 (61)	n.s.
B-findings			
MC-infiltration in BM histology, %; median (range)	35 (3-95)	30 (0-100)	n.s.
Serum tryptase, µg/L; median (range)	170 (11-1382)	211 (18-875)	n.s.
> 100 µg/L, <i>n</i> (%)	51 (73)	28 (74)	n.s.
Splenomegaly, <i>n</i> (%)	60 (87)	37 (90)	n.s.
Hepatomegaly, <i>n</i> (%)	33 (52)	28 (72)	0.05
Additional SM and/or AHN relevant findings			
Leukocytes, x10 ⁹ /L; median (range)	10.6 (5.8-79.3)	7.6 (1.0-89.4)	n.s.
Monocytes, %; median (range)	7 (1-46)	11 (1-31)	0.01
Eosinophils, %, median (range)	3 (0-81)	6 (0-66)	n.s.
Vitamin B12, ng/L; median (range)	1188 (114-6000)	2842 (489-6000)	0.02
> 180 ng/L, <i>n</i> (%)	50 (96)	32 (100)	n.s.
<i>KIT</i> D816V EAB in PB, %, median (range)	30 (0-95)	28 (2-88)	n.s.
<i>KIT</i> D816V VAF in PB, %, median (range)	27.0 (0.0-49.8)	4.0 (0.1-30.8)	< 0.001
GI-infiltration, <i>n</i> (%)	30 (41)	19 (43)	n.s.
S/A/R mutation(s) ^a , <i>n</i> (%)	38 (51)	31 (74)	0.02
Outcome			
Follow-up, years, median (range)	3.5 (0.0-24.6)	2.2 (0.0-11.9)	-

Death, <i>n</i> (%)	33 (43)	27 (61)	-
Treatment			
Midostaurin monotherapy ^b , <i>n</i> (%)	26 (48)	14 (39)	n.s.
Cladribine monotherapy ^b , <i>n</i> (%)	6 (11)	7 (19)	n.s.
Midostaurin + cladribine ^b , <i>n</i> (%)	22 (41)	15 (36)	n.s.
Treatment response ^c	10 (30)	9 (45)	n.s.

323

324 **Abbreviations:** AHN, associated hematological neoplasm; AML, acute myeloid leukemia;
325 ASM, aggressive systemic mastocytosis; BM, bone marrow; CEL, chronic eosinophilic
326 leukemia; CMML, chronic myelomonocytic leukemia; EAB, expressed allele burden; GI,
327 gastrointestinal; MCL, mast cell leukemia; MDS, myelodysplastic syndromes; MPN,
328 myeloproliferative neoplasms; -u, unclassified; -eo, eosinophila; n, number; PB, peripheral
329 blood; PMF, primary myelofibrosis; S/A/R, at least one mutation in the *SRSF2*, *ASXL1*, *RUNX1*
330 gene panel; SM, systemic mastocytosis; VAF, variant allele frequency; ^a, data available for
331 n=75 patients (cohort A) and n=42 patients (cohort B); ^b, data available for n=54 (70%) patients
332 (cohort A) and n=36 (82%) patients (cohort B); ^c, data available for n=34 patients (cohort A)
333 and n=20 patients (cohort B).

334

335

336 **Prognostic value of EAB, VAF and EAB/VAF ratio**

337 In terms of OS, Cox-regression analysis was only significant for the EAB/VAF ratio >2
338 (p=0.006) but not for VAF (p=0.657) or (EAB=0.658) individually.

339

340 **Discussion**

341 In the vast majority of patients with ISM, the *KIT* D816V mutation burden is rather low
342 (< 1-3%) in PB and BM. Currently available PCR assays have a sensitivity down to
343 0.01% and are superior to next generation sequencing based techniques (sensitivity >
344 1-3%). In cases with suspected SM, the complimentary use of qPCR/dPCR (for *KIT*
345 D816V quantification) and NGS (for additional recurrent myeloid mutations) are
346 recommended. [4,6] While BM MC infiltration and serum tryptase represent the *KIT*
347 D816V positive mast cell burden, the *KIT* D816V VAF/EAB reveals the overall disease

348 burden including the involvement of non-mast lineages, e.g. neutrophils, monocytes
349 and eosinophils. This so-called multilineage involvement is identified in 60-80% of
350 patients with AdvSM. In SM-AHN, the frequently observed discrepancy between a high
351 *KIT* D816V VAF/EAB and a low serum tryptase may indicate a dominant AHN clone.
352 Overall, the median *KIT* D816V VAF/EAB in PB of AdvSM patients is approximately
353 20-30% and it was recently shown that response monitoring at the molecular level is
354 not only feasible but also highly informative.^[4,10,11,14,16] The reduction of the *KIT* D816V
355 EAB >25% at month 6 is the most favorable predictor for improved survival in
356 midostaurin-treated AdvSM patients.^[8] In consequence of the increased diagnostic and
357 prognostic relevance of quantitative PCR assays for *KIT* D816V, we thought to
358 evaluate the comparability of various DNA assays and to compare DNA-based dPCR
359 with qPCR at RNA/cDNA level.

360

361 While real-time PCR (qPCR) utilizes the absolute quantification of a somatic mutation
362 relative to a calibrator, dPCR is a method for the absolute quantification of a target in
363 the absence of a calibrator. Several dPCR platforms have recently been developed but
364 data from round-robin testing as an external quality assessment has been lacking. We
365 therefore performed an international inter-laboratory comparison of four laboratories
366 upon quantification of the *KIT* D816V VAF by chip-based dPCR, ddPCR (droplets of
367 an emulsion for partition of PCR reactions) and qPCR which revealed an excellent
368 correlation ($r=0.99$, $R^2=0.99$) in samples derived from patients with ISM and AdvSM.
369 dPCR offers a reliable and reproducible tool for quantification of *KIT* D816V and should
370 be considered as candidate for inter-laboratory standardization and regular use for
371 diagnosis and response monitoring in clinical trials and daily routine.

372

373 Although sensitivity and specificity are comparable, only limited data exist upon the
374 comparability between *KIT* D816V VAF and EAB.^[10,14,20] We here investigated a large
375 cohort of patients with ISM and AdvSM unveiling an excellent correlation in ISM but
376 not in AdvSM. In more detailed analyses, two different AdvSM cohorts were identified
377 in which approximately two-thirds of patients had an excellent correlation comparable
378 to ISM whereas in approximately one-third of patients the *KIT* D816V EAB was at least
379 2-fold higher than the VAF, suggesting increased transcriptional activity of *KIT* D816V
380 relative to the size of the mutant clone. We confirmed this significant disparity between
381 EAB and VAF by finding i) identical results by dPCR and ddPCR in two independent
382 laboratories in the majority of patients, ii) comparable EAB/VAF ratios in
383 contemporaneously obtained samples from BM and PB in the vast majority of patients
384 and iii) comparable EAB/VAF ratios in serial analyses of at least 3 PB samples in the
385 same individual.

386

387 In terms of OS, Cox-regression analysis was only significant for the EAB/VAF ratio >2
388 ($p=0.006$) but not for VAF or EAB individually, highlighting a *KIT* D816V EAB/VAF ratio
389 ≥ 2 at diagnosis as an adverse prognostic marker for OS in AdvSM. Patients with an
390 EAB/VAF ratio >2 had a more advanced phenotype (e.g. lower hemoglobin level,
391 higher monocytes level, higher alkaline phosphatase level, higher number of high-risk
392 mutations) and inferior survival. The trigger mechanisms for the supposed enhanced
393 transcriptional activity remain to be determined. To date, there are only a few reports
394 comparing mutational analysis at DNA and RNA/cDNA level in hematological
395 neoplasms. A discrepancy has been reported regarding the *JAK2* V617F mutation in
396 patients with essential thrombocythemia and polycythemia vera, and also regarding
397 the type A mutation of *NPM1* in acute myeloid leukemia (AML).[21-23] All reports found
398 significantly higher mutation levels at RNA/cDNA level compared to DNA-level

399 highlighting the potential superior sensitivity of RNA-based assays and the possible
400 impact of this discrepancy on disease phenotype and prognosis.

401

402 In conclusion, i) dPCR is a sensitive and reliable assay for assessment of the *KIT*
403 D816V VAF, ii) it could serve as standardized tool for optimized comparability within
404 clinical trials and daily routine, iii) both, the *KIT* D816V VAF and the EAB can be used
405 for subtyping, treatment monitoring and prognostication, iv) an increased *KIT* D816V
406 transcriptional activity defined by an EAB/VAF ratio ≥ 2 is associated with a more
407 aggressive phenotype and adverse outcome.

408

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