

1 **A prospective study of 3, 6, 9 and 12 month respiratory**  
2 **outcomes following COVID-19 related hospitalisation**

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27 **Abstract**

28 **Background:** The consequences of COVID-19 in those that recover from acute  
29 infection requiring hospitalisation have yet to be clearly defined. In this study, we aimed  
30 to describe the temporal trends in respiratory outcomes over 12 months of patients  
31 hospitalised for severe COVID-19 and to investigate the associated risk factors.

32 **Methods:** Patients hospitalised for severe COVID-19 who did not require mechanical  
33 ventilation were prospectively followed up at 3, 6, 9 and 12 months after discharge.  
34 During the follow-up visits, subjects were interviewed and underwent physical  
35 examination, pulmonary function tests, chest high-resolution computed tomography  
36 (HRCT), and 6-min walk distance (6MWD) test as well as evaluation with a modified  
37 Medical Research Council dyspnoea scale (mMRC).

38 **Findings:** Of those eligible, 83 patients participated in this study. Temporal  
39 improvement in pulmonary physiology and exercise capacity was observed in the  
40 majority of patients, however, persistent physiological and radiographic abnormalities  
41 remained in a proportion of COVID-19 patients at 12 months after discharge. There  
42 was a significant reduction in diffusing capacity of the lungs for carbon monoxide  
43 (DLCO) over the study period, with 77% of predicted (IQR 67 ~ 87), 76% of predicted  
44 (IQR 68 ~ 90) and 88% of predicted (IQR 78 ~ 101) at 3, 6 or 12 months after discharge,  
45 respectively. At 12 months after discharge, radiologic changes persisted in 24% of  
46 patients. Multivariate logistic regression showed increasing odds of impaired DLCO  
47 associated with female gender (Odds ratio/ 95% confidence interval: 8.61/ 2.83 ~ 26.2;

48 P = 0.0002), while radiologic abnormalities associated with peak HRCT pneumonia  
49 scores during hospitalisation (Odds ratio/ 95% CI: 1.36/ 1.13 ~ 1.62; P = 0.0009).

50 **Interpretation:** In the majority of recovered patients from severe COVID-19 dyspnoea  
51 scores and exercise capacity improve over time, however, in a sub-group of patients at  
52 12 months there is evidence of persistent physiologic and radiographic change. A  
53 unified pathway for the respiratory follow-up of patients with COVID-19 is required.

54

55 **Key words:** COVID-19; Follow-up study; HRCT; Pulmonary function; FVC; DLCO;  
56 6MWD; mMRC.

57 **Research in the context**

58 **Evidence before this study**

59 We searched PubMed without language restriction for studies published until 30 March  
60 2021, using keywords “2019 novel coronavirus”, “2019-nCoV”, “SARS-CoV-2”,  
61 “COVID-19” AND “follow-up” OR “pulmonary function” OR “sequelae”. Although  
62 there are reports on outcomes up to 6 months following discharge for COVID-19  
63 pneumonia hospitalisation, the temporal changes as well as 12-month outcomes have  
64 not previously been reported.

65

66 **Added value of this study**

67 We present the 3, 6, 9 and 12 month outcomes of a prospective cohort of 83 patients  
68 with severe COVID-19 who did not require mechanical ventilation. Serial pulmonary  
69 function, exercise capacity and chest radiographs were examined at 3, 6, 9 and 12  
70 months after discharge. In the majority of recovered patients from severe COVID-19  
71 exercise capacity improved over this time period however there was evidence of  
72 persistent physiologic and radiographic change in a subgroup of patients, with women  
73 having a higher risk of persistent lung diffusion impairment.

74

75 **Implications of all the available evidence**

76 Routine respiratory follow up of patients hospitalised with COVID-19 pneumonia is  
77 warranted. Investigation into potential sex-specific differences in longitudinal recovery

78 and whether standardised pulmonary rehabilitation interventions improve the short-,  
79 medium-, and long-term outcome of patients hospitalised with COVID-19 pneumonia  
80 should be considered.

## 81 **Introduction**

82 The dramatic spread of the coronavirus disease 2019 (COVID-19) pandemic worldwide  
83 has placed an enormous burden on health authorities across the world. The symptoms  
84 associated with COVID-19 are diverse, ranging from mild upper respiratory tract  
85 symptoms to severe acute respiratory distress syndrome (ARDS). In addition, a number  
86 of non-respiratory presentations have been reported in the literature, including  
87 hematologic, gastroenterological, renal, dermatologic, neurologic, and psychiatric  
88 manifestations<sup>1</sup>. To date, over 100 million people worldwide have recovered from  
89 COVID-19 (<https://www.worldometers.info/coronavirus/#countries>), but there remains  
90 concern that some organs, including the lungs, might have long-term impairment  
91 following infection.

92 Although data to accurately estimate the extent of post-COVID-19 sequelae are  
93 missing, post-viral syndromes are well documented following other viral infections  
94 including previous coronavirus outbreaks such as severe acute respiratory syndrome  
95 (SARS) and Middle East respiratory syndrome (MERS). SARS resulted in significant  
96 impact on pulmonary function, chronic musculoskeletal pain and long-term mental  
97 disorders in survivors<sup>2-5</sup>. In MERS survivors, at a median follow-up point of 6 weeks,  
98 36% of patients had residual chest radiographic changes, the vast majority of which  
99 were due to pulmonary fibrosis<sup>6</sup>. Moreover, data collected from the COVID Symptom  
100 Study suggest that while most people recover from COVID-19 within 2 weeks, about  
101 10% of patients may still have symptoms after 3 weeks, and some may suffer for

102 months (<https://covid.joinzoe.com/post/covid-long-term>). Post-COVID-19 sequelae  
103 have been reported to include pulmonary fibrosis, pulmonary and systemic vascular  
104 disease, bronchiectasis, chronic fatigue, mental disorders including post-traumatic  
105 stress disorder, depression and anxiety<sup>7</sup>. It is thus important to follow these patients to  
106 detect and manage pulmonary sequelae and functional impairment.

107 Here, we present the temporal trends in respiratory outcomes over 12 months in a  
108 prospective cohort of patients hospitalised with severe COVID-19 pneumonia without  
109 intubation. Serial pulmonary function, exercise capacity and chest radiographs were  
110 examined from 3 to 12 months after discharge.

## 111 **Methods**

### 112 **Study design and participants**

113 This is a prospective, longitudinal, follow-up study, approved by the Ethics  
114 Commission of Renmin Hospital of Wuhan University (No. WDRY2020-K143).  
115 Written informed consent was obtained from all study participants. In this study, adult  
116 ( $\geq 18$  years old) patients with severe COVID-19 discharged from Renmin Hospital of  
117 Wuhan University between 1 February 2020 to 31 March 2020 were identified through  
118 electronic case note review and approached for study participation.

119 A diagnosis of severe COVID-19 pneumonia was based on the WHO interim  
120 guidance (<https://www.who.int/publications/i/item/clinical-management-of-covid-19>)  
121 and all patients had subsequent laboratory confirmation of SARS-CoV-2 using real-  
122 time RT-PCR with a standard protocol recommended by China Center for Disease  
123 Control and Prevention (CDC)<sup>8,9</sup>. Cases with any of the following features were  
124 categorized as severe: respiratory rate  $\geq 30$  breaths/min; oxygen saturation  $\leq 93\%$  at a  
125 rest state; arterial partial pressure of oxygen (PaO<sub>2</sub>)/oxygen concentration (FiO<sub>2</sub>)  
126  $\leq 300$  mmHg;  $>50\%$  progression of lesions on lung imaging within 24 to 48 hours.  
127 Patients with a prior history of hypertension, diabetes, cardiovascular diseases, cancer  
128 and chronic lung disease including asthma or chronic obstructive pulmonary disease  
129 (COPD) or a history of smoking documented at time of hospital admission were  
130 excluded (N = 136 co-morbidity and N = 117 smoking history) at time of electronic  
131 case note review. Patients who required intubation and mechanical ventilation were



132 excluded given the potential for the consequences of mechanical ventilation itself to  
133 influence the factors under investigation. Twenty-four patients who had consented for  
134 study participation did not attend the first 3 month visit and so were excluded from the  
135 study.

136

### 137 **Assessments**

138 Patients were evaluated at 3, 6, 9 and 12 months after discharge. During the visit,  
139 subjects were interviewed and underwent a physical examination, routine blood test,  
140 pulmonary function tests, chest high-resolution Computed Tomography (HRCT) scan,  
141 and a standardised 6-min walk distance (6MWD) test<sup>10</sup>. In addition, all cases were  
142 evaluated with a modified Medical Research Council dyspnoea scale (mMRC)<sup>11,12</sup>  
143 (Figure 1).

144

### 145 **Pulmonary function test**

146 Pulmonary function tests were performed according to ATS-ERS guidelines<sup>13</sup>. The  
147 following parameters were measured: DLCO, diffusing capacity of the lungs for carbon  
148 monoxide; FEF<sub>25-75%</sub>, forced expiratory flow between 25% and 75% of FVC; FRC,  
149 functional residual capacity; FVC, forced vital capacity; FEV<sub>1</sub>, forced expiratory  
150 volume in 1 second; RV, residual volume; TLC, total lung capacity; VC, vital capacity.  
151 DLCO was measured by means of the single-breath test. The haemoglobin value was  
152 taken for correcting the DLCO. For spirometry, flow-volume curves were obtained

153 through a dry spirometer (Vmax 229, United States Sensor-Medics, Yorba Linda) and  
154 the best volume of the three manoeuvres was expressed as the percentage of predicted  
155 normal and used for analysis. All pulmonary function test measurements were  
156 expressed as percentages of predicted normal values. Diffusion deficit was considered  
157 as DLCO < 80% of predicted values.

158

### 159 **High-resolution Computed Tomography (HRCT) scans and image analysis**

160 Patients underwent chest non-contrast enhanced CT examinations in the supine position  
161 and with breath-holding following inspiration (GE Healthcare Optima CT680). The  
162 technical parameters included a 64-section scanner with 1 mm collimation at 5 mm  
163 intervals. Images were obtained with both mediastinal (width 350 HU; level 50 HU)  
164 and parenchymal (width 1500 HU; level -700 HU) window settings. The follow-up  
165 patients completed HRCT scan testing every 3 months.

166 For imaging evaluations, 2 radiologists, with 5 and 27 years of thoracic radiology  
167 experience, respectively, reviewed the images independently, with a final finding  
168 reached by consensus when there was a discrepancy. They were blinded to the clinical  
169 information or clinical progress of the patients, except for the knowledge that these  
170 were cases of COVID-19 patients. The pneumonia CT scores of patients during  
171 hospitalisation were recorded with a method described previously<sup>8</sup>. The peak  
172 pneumonia CT score is the highest pneumonia CT score for a patient during COVID-  
173 related hospitalisation. To analyse follow-up HRCT scans, HRCT findings were

174 initially evaluated based on key features<sup>14</sup> and then scored based on a method adapted  
175 from Ichikado and colleagues<sup>15</sup>, here named HRCT follow-up score (see  
176 Supplementary Materials for details), which allowed us to evaluate interstitial changes  
177 in lungs<sup>15</sup>.

178

### 179 **Six-minute walk distance (6MWD) test**

180 6MWD test was performed according to the ATS practical guidelines<sup>10</sup>. Each follow-  
181 up patient walked on the flat ground as fast as possible without oxygen inhalation and  
182 completed the 6-minute walk distance test independently. The results were expressed  
183 as meters and % of predicted values calculated using a method described by Enright  
184 and colleagues<sup>16</sup>.

185

### 186 **Measurement of dyspnea**

187 In this study, the severity of dyspnea was measured by a modified Medical Research  
188 Council dyspnoea scale (mMRC)<sup>11</sup>. The mMRC scale is a self-rating tool to measure  
189 the degree of disability that breathlessness poses on day-to-day activities on a scale  
190 from 0 to 4. Details of this scoring system are: 0, no breathlessness except on strenuous  
191 exercise; 1, shortness of breath when hurrying on the level or walking up a slight hill;  
192 2, walks slower than people of same age on the level because of breathlessness or has  
193 to stop to catch breath when walking at their own pace on the level; 3, stops for breath

194 after walking ~100 m or after few minutes on the level; and 4, too breathless to leave  
195 the house, or breathless when dressing or undressing<sup>12</sup>.

196

### 197 **Statistical analysis**

198 Continuous variables were expressed as median (interquartile range, IQR), and  
199 compared with Two Sample *t*-test, Welch Two Sample *t*-test, Mann-Whitney *U* test,  
200 one-way ANOVA, Kruskal–Wallis test or repeated measure ANOVA if appropriate;  
201 categorical variables were expressed as number (N) (%) and compared by  $\chi^2$  test or  
202 Fisher's Exact Test if appropriate. Due to the sample size, measurable variables with  
203 significant differences between groups were considered in subsequent univariate and  
204 multivariate logistic regression analysis<sup>17,18</sup>. P values less than 0.05 were considered  
205 statistically significant. All data analyses and graphs were done in R (version 3.6.1) or  
206 SPSS (version 26).

207

### 208 **Role of the funding source**

209 The funder of the study had no role in study design, data collection, data analysis, data  
210 interpretation, or writing of the report. XW, XL, YH and HN had full access to all the  
211 data in the study.

## 212 **Results**

213 Of 135 hospitalised patients with severe COVID-19 who met the inclusion criteria, 83  
214 patients were prospectively enrolled after discharge for follow-up at 3, 6, 9 and 12  
215 months (Figure 1). The median (IQR) of days for follow-up after discharge at 3, 6, 9  
216 and 12 months were 98 (9), 189 (10), 275 (12) and 348 (19), respectively. Forty-seven  
217 (57%) patients were male. The median (IQR) age was 60 (14) years. The median (IQR)  
218 body mass index (BMI) was 25 (3.2) in males and 24 (4.4) in females. All patients were  
219 never-smokers, and no patient had a prior history of hypertension, diabetes,  
220 cardiovascular diseases, cancer, asthma or COPD. During hospitalisation all patients  
221 received anti-viral drugs, including Oseltamivir (64%), Ribavirin (100%) and/or  
222 Ganciclovir (51%). No patient received treatment with corticosteroids. Thirty-seven  
223 (45%) patients received supplemental oxygen only via nasal cannula or mask, and forty-  
224 six (55%) patients required high-flow nasal cannula (HFNC) and/or non-invasive  
225 ventilation (NIV). The median (IQR) of length of hospital stay was 29 (10) days. These  
226 data are shown in Table 1.

227

### 228 **Temporal changes in pulmonary function**

229 Pulmonary function tests were completed in all patients at month 3, 6 and 12 following  
230 discharge. An overview of the serial pulmonary function test results for COVID-19  
231 cohort is shown in Figure 2, and Table S1. As a consequence of changes in local  
232 aerosolisation guidance, pulmonary function tests were precluded at 9 months.

233 Diffusing capacity of the lungs for carbon monoxide (DLCO) was 77% (IQR 67 ~ 87)  
234 of predicted at 3 months, 76% (IQR 68 ~ 90) of predicted at 6 months and increased to  
235 88% (IQR 78 ~ 101) of predicted at 12 months. Forced vital capacity (FVC) was 92%  
236 (IQR 81 ~ 99) of predicted at 3 months, 94% (IQR 85 ~ 104) of predicted at 6 months  
237 and increased to 98% (IQR 89 ~ 109) of predicted at 12 months.

238 The frequency of pulmonary function parameters below 80% of predicted values is  
239 shown in Table S2. Nine patients (11%) had reduced FVC measurements (< 80%  
240 predicted value) at 12 months, whilst 27 patients (33%) had impaired DLCO (< 80%  
241 predicted value). Correlations between impaired DLCO and demographics, disease  
242 severity and treatment during hospitalisation were examined. A higher percentage of  
243 patients with DLCO < 80% predicted at 12 months after discharge was observed in  
244 women when compared to men (Table 2; 20/36 (56%) vs. 7/47 (15%);  $P < 0.0001$ ).  
245 Patients with impaired DLCO at 12 months after discharge also had increased peak  
246 HRCT pneumonia scores during hospitalisation (median 30 with IQR 13 vs. median 28  
247 with IQR 12) however this was not statistically significant (Table 2).

248 To explore the risk factors associated with impaired DLCO at 12 months after  
249 discharge, univariate and multivariate logistic regression models were used.  
250 Multivariate logistic regression showed increasing odds of impaired DLCO associated  
251 with female gender (Odds ratio/ 95% confidence interval: 8.61/ 2.83 ~ 26.2;  $P = 0.0002$ ),  
252 independent of age and peak HRCT pneumonia scores (Table S3).

253

254 **6MWD test and mMRC**

255 The median 6MWD increased significantly, from 535 m (IQR, 76 m) at 3 months to  
256 585 m (IQR, 74 m) at 6 months ( $P < 0.0001$ ). A further detectable increase was observed  
257 at 9 and 12 months (596 m with IQR 56 m and 615 m with IQR 50, respectively;  $P =$   
258 0.044 and  $< 0.0001$ , respectively) (Figure 3A). Similar results were obtained if  
259 normalised to predicted values calculated according to a method described by Enright  
260 and colleagues<sup>16</sup> (Figure S1).

261 To assess dyspnea, a modified Medical Research Council dyspnoea scale (mMRC)  
262 was used. Dyspnea symptom assessed by the mMRC scale was very frequent in subjects  
263 at 3 months with 81% ( $N = 67$ ) of patients with a mMRC scale  $\geq 1$  and 6% ( $N = 5$ ) of  
264 patients with a mMRC scale  $\geq 2$ . The number of patients with various levels of  
265 dyspnoea symptom progressively and significantly reduced at 6, 9 and 12 months  
266 (Figure 3B). Four patients (5%) reported persistent symptoms of dyspnea at 12 months.

267

268 **Temporal changes in HRCT scans**

269 78% of individuals had residual changes on CT at 3 months post-discharge with ground-  
270 glass opacity (GGO) (78%), interlobular septal thickening (34%), reticular opacity  
271 (33%), and subpleural curvilinear opacity (11%) the most common CT features found  
272 (Figure S2; Table S4). At 6 months 48% of subjects still had abnormal chest  
273 radiographic scores, with GGO (46%), interlobular septal thickening (13%), reticular  
274 opacity (16%), subpleural curvilinear opacity (5%), mosaic attenuation (4%) and

275 bronchiectasis (1%). Typical features such as interlobular septal thickening (5%),  
276 reticular opacity (4%) and subpleural curvilinear opacity (1%) were almost resolved at  
277 9 months, but the radiological changes did not fully resolve in 27% of patients,  
278 predominantly with GGO (24%). No significant improvement at 12 months was  
279 identified when compared with 9 months (Figure S3; Table S4). There were 20 (24%)  
280 patients with abnormal HRCT at 12 months. None of the HRCT scans showed evidence  
281 of established fibrosis (Table S4), and none showed evidence of progressive interstitial  
282 changes (Figures S2 and S3).

283 Correlations between radiographic abnormality and demographics, disease severity  
284 during hospitalisation and pulmonary function test parameters were examined. Patients  
285 with abnormal radiographic changes at 12 months had increased length of hospital stay  
286 (Table 3;  $P = 0.027$ ) and increased peak HRCT pneumonia scores (Table 3; Figure S3D;  
287  $P < 0.0001$ ). Patients receiving HFNC/NIV tended to have abnormal radiographic  
288 changes at 12 months (Table 3;  $P = 0.043$ ). There was a significant difference in  
289 pulmonary function test parameters (including DLCO, FRC, FVC, RV, TLC and VC)  
290 between patients with normal *vs.* abnormal HRCT scores at 12 months after discharge  
291 (Table 3; all  $P$  values less than 0.05).

292 To explore the risk factors associated with abnormal radiographic changes at 12  
293 months after discharge, univariate and multivariate logistic regression models were  
294 used. In univariate analysis, length of hospital stay, peak HRCT pneumonia scores  
295 during hospitalisation and receiving HFNC/NIV were associated with abnormal HRCT



296 at 12 months after discharge (Table S5; both P values less than 0.05). We then identified  
297 peak HRCT pneumonia score (Odds ratio/ 95% CI: 1.36/ 1.13 ~ 1.62; P = 0.0009) as  
298 an independent risk factor of abnormal HRCT at 12 months after discharge including  
299 in multivariate analysis with length of hospital stay and receiving HFNC/NIV (Table  
300 S5).

301 **Discussion**

302 The consequences of COVID-19 in those that recover from acute infection are uncertain  
303 despite a few reports on outcomes up to 6 months after discharge<sup>19-24</sup>, although data  
304 from previous coronavirus outbreaks such as SARS and MERS suggests that some  
305 patients will experience long-term respiratory complications of the infection. In this  
306 study, we report serial pulmonary function, exercise capacity and chest radiographic  
307 changes in non-intubated patients hospitalised with severe COVID pneumonia at 3-, 6-  
308 , 9- and 12-month following hospital discharge. Whilst in the majority of patients  
309 exercise capacity improves over this time period, there is evidence of persistent  
310 physiologic and radiographic changes in a sub-group of patients.

311 At 12 months after discharge, residual abnormalities of pulmonary function were  
312 observed in one third of the population within this cohort (< 80% predicted value), with  
313 the most common finding being a reduction in gas transfer as measured by DLCO. It  
314 has recently been reported that gas-blood exchange is impaired in patients discharged  
315 following hospital admission with COVID-19 pneumonia<sup>19,20,24-26</sup>, including a recent  
316 6-month cohort study of COVID-19 in patients discharged from hospital<sup>23</sup>. Low DLCO  
317 could be the consequence of interstitial abnormalities or pulmonary vascular  
318 abnormalities caused by COVID-19<sup>27-29</sup>. Longer term follow-up will be required to  
319 confirm this observation. Consistent with our findings, in follow-up studies for patients  
320 recovering from SARS, impaired pulmonary function could last for months or even  
321 years<sup>2-5</sup>. We extend a recent report<sup>23</sup> to identify that female gender strongly predicts

322 impaired DLCO at 12 months after discharge. Notably, female gender was not  
323 significantly associated with persistent HRCT abnormalities, suggesting distinct  
324 mechanisms may underlie the identified persistent radiologic abnormalities and gas-  
325 blood exchange abnormalities, and the underlying mechanisms merit further  
326 investigation.

327 At 12 months after discharge, the radiological changes did not resolve fully in 24%  
328 of patients, including findings potentially consistent with evolving fibrosis in a minority  
329 of patients with the presence of interstitial thickening and reticular opacity. Although  
330 none of the HRCT scans showed any development of definitive fibrosis nor progressive  
331 interstitial change, plausibly the burden of pulmonary fibrosis after COVID-19  
332 recovery could be substantial given these observations and the huge numbers of  
333 individuals affected by COVID-19<sup>30,31</sup>, and so ongoing longitudinal follow up is  
334 warranted to further understand the natural history of the identified radiological  
335 changes.

336 The prospective enrolment of patients enabled us to study the temporal pulmonary  
337 physiology, exercise capacity and radiographic abnormalities. To better understand the  
338 the consequences of COVID-19 pneumonia itself we selected patients meeting the  
339 criteria for severe COVID-19 pneumonia whilst excluding patients requiring intubation  
340 given the potential for mechanical ventilation itself to alter the natural history of the  
341 factors under investigation, in particular the potential for triggering mechanical  
342 ventilation-associated lung fibrosis in acute respiratory distress syndrome.

343 This study has a number of limitations. By choosing a cohort of patients with severe  
344 COVID-19 pneumonia, it does not fully define the potential long-term consequences  
345 for varying severities of disease. In addition, as a consequence of changes in local  
346 guidance pulmonary function tests were precluded at 9 months. The study was not  
347 designed to collect data on any medication prescriptions following hospital discharge.  
348 Lastly, we did not have pulmonary function, exercise capacity, or CT results prior to  
349 COVID-19 that would enable longitudinal assessment of impact of COVID-19.  
350 However, the studied cohort had no history of significant cardiovascular or respiratory  
351 disease and the temporal improvement observed suggests at least some changes are  
352 related to COVID-19 and/or hospitalisation<sup>32,33</sup>.

353 Our findings highlight the importance of respiratory follow-up of patients with  
354 COVID-19, and that studies to mitigate the long-term consequences of COVID-19  
355 pneumonia including pulmonary rehabilitation as well as novel therapeutic approaches  
356 are required<sup>34</sup>.

357 **Contributors**

358 YW, HN, YH, MJ, XW and XL had the idea for and designed the study. YW, HN, YH,  
359 XW and XL had full access to all of the data in the study and take responsibility for the  
360 integrity of the data and the accuracy of the data analysis. YW, MJ, HN, YH and RE  
361 drafted the paper. YZ, XW, XL, HY, RL, QZ and YW did the analysis, and all authors  
362 critically revised the manuscript for important intellectual content and gave final  
363 approval for the version to be published. XW, XL, HY, RL, QZ, FN, SF, YL, XD and  
364 HL collected the data. All authors agree to be accountable for all aspects of the work in  
365 ensuring that questions related to the accuracy or integrity of any part of the work are  
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367

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376 Li for their support in HRCT imaging evaluations.

377

#### 378 **Availability of data and materials**

379 The data that support the findings of this study are available from the corresponding  
380 author upon reasonable request and with permission of Renmin Hospital of Wuhan  
381 University, Hubei, China.

382

#### 383 **Ethics approval and consent to participate**

384 This study was approved by the Ethics Commission of Renmin Hospital of Wuhan  
385 University (No. WDRY2020-K143). Written informed consent was obtained from all  
386 study participants.

387

#### 388 **Declaration of interests**

389 The authors declare that they have no conflict of interest.

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491 **Tables**

492 **Table 1.** Characteristics of enrolled patients in this cohort (N = 83).

493

494 **Table 2.** Comparisons in severe COVID-19 patients with normal *vs.* abnormal DLCO

495 at 12 months after discharge.

496

497 **Table 3.** Comparisons in severe COVID-19 patients with normal *vs.* abnormal HRCT

498 at 12 months after discharge.

499 **Table 1.** Characteristics of enrolled patients in this cohort (N = 83).

	N = 83
<b>Demographics</b>	
<b>Age, years</b>	60 (14)
<b>Gender</b>	
<i>Male</i>	47 (57%)
<i>Female</i>	36 (43%)
<b>BMI</b>	25 (3.6)
<b>Cigarette smoking</b>	
<i>Never-smoker</i>	83 (100%)
<b>Comorbidities*</b>	0 (0%)
<b>Hospitalisation</b>	
<b>Length of hospital stay (days)</b>	29 (10)
<b>Peak CT Pneumonia_score during hospitalization</b>	30 (12)
<b>Oxygen supply</b>	
<i>via nasal cannula or mask</i>	37 (45%)
<i>HFNC/NIV</i>	46 (55%)
<b>Antivirals</b>	
<i>Oseltamivir</i>	53 (64%)
<i>Ribavirin</i>	83 (100%)
<i>Ganciclovir</i>	42 (51%)
<b>Corticosteroids</b>	0 (0%)
<b>Follow-up visit (days)</b>	
@ Month 3	98 (9)
@ Month 6	189 (10)
@ Month 9	275 (12)
@ Month 12	348 (19)

500 BMI, body mass index; HFNC, high-flow nasal cannula; NIV, non-invasive mechanical ventilation. Values are  
 501 shown as median (interquartile range) or N (%). \*Patients with a prior history of hypertension, diabetes,  
 502 cardiovascular diseases, cancer and chronic lung disease including asthma or chronic obstructive pulmonary disease  
 503 (COPD) at time of hospital admission were excluded at time of screening.

504 **Table 2.** Comparisons in severe COVID-19 patients with normal vs. abnormal DLCO  
 505 at 12 months after discharge (N = 83).  
 506

	<b>DLCO @ Month 12 ≥ 80% predicted value (N = 56)</b>	<b>DLCO @ Month 12 &lt; 80% predicted value (N = 27)</b>	<b>P - value</b>
<b>Demographics</b>			
<b>Age, years</b>	58 (15)	62 (9)	0.138
<b>Gender</b>			
<i>Male (N = 47)</i>	40 (71%)	7 (26%)	<b>&lt; 0.0001</b>
<i>Female (N = 36)</i>	16 (29%)	20 (74%)	
<b>BMI</b>	25.1 (3.5)	24.4 (3.9)	0.808
<b>Hospitalisation</b>			
<b>Length of hospital stay (days)</b>	29 (9)	31 (11)	0.385
<b>Peak CT Pneumonia_score during hospitalization</b>	28 (12)	30 (13)	0.109
<b>Oxygen supply</b>			
<i>via nasal cannula or mask (N = 37)</i>	26 (46%)	11 (41%)	0.625
<i>HFNC/NIV (N = 46)</i>	30 (54%)	16 (59%)	
<b>Antivirals</b>			
<i>Oseltamivir</i>			
<i>No (N = 30)</i>	20 (36%)	10 (37%)	0.906
<i>Yes (N = 53)</i>	36 (64%)	17 (63%)	
<i>Ganciclovir</i>			
<i>No (N = 41)</i>	30 (54%)	11 (41%)	0.273
<i>Yes (N = 42)</i>	26 (46%)	16 (59%)	

507 BMI, body mass index; DLCO, diffusing capacity of the lungs for carbon monoxide. HFNC, high-flow nasal  
 508 cannula; NIV, non-invasive mechanical ventilation. Values are shown as median (interquartile range) or N (%).

509 **Table 3.** Comparisons in severe COVID-19 patients with normal vs. abnormal HRCT  
 510 at 12 months after discharge (N = 83).  
 511

	HRCT normal (N = 63)	HRCT abnormal (N = 20)	P - value
<b>Demographics</b>			
Age, years	59 (17)	61 (9)	0.271
<b>Gender</b>			
Male (N = 47)	38 (60%)	9 (45%)	0.228
Female (N = 36)	25 (40%)	11 (55%)	
BMI	25.3 (3.7)	24.2 (2.5)	0.361
<b>Hospitalisation</b>			
Length of hospital stay (days)	28 (9)	35 (8)	<b>0.027</b>
Peak CT Pneumonia_score during hospitalization	27 (8.5)	36 (14)	<b>&lt; 0.0001</b>
<b>Oxygen supply</b>			
via nasal cannula or mask (N = 37)	32 (51%)	5 (25%)	<b>0.043</b>
HFNC/NIV (N = 46)	31 (49%)	15 (75%)	
<b>Antivirals</b>			
<i>Oseltamivir</i>			
No (N = 30)	25 (40%)	5 (25%)	0.234
Yes (N = 53)	38 (60%)	15 (75%)	
<i>Ganciclovir</i>			
No (N = 41)	33 (52%)	8 (40%)	0.335
Yes (N = 42)	30 (48%)	12 (60%)	
<b>Pulmonary Function @ Month 12</b>			
DLCO (% of predicted)	90 (21)	77 (15)	<b>&lt; 0.0001</b>
FEF <sub>25-75%</sub> (% of predicted)	89 (33)	92 (50)	0.282
FEV <sub>1</sub> /FVC (% of predicted)	82 (7)	85 (6)	0.339
FRC (% of predicted)	107 (37)	99 (30)	<b>0.031</b>
FVC (% of predicted)	99 (21)	92 (22)	<b>0.012</b>
FEV <sub>1</sub> (% of predicted)	97 (24)	88 (31)	0.054
RV (% of predicted)	88 (28)	75 (25)	<b>0.031</b>
TLC (% of predicted)	95 (16)	88 (22)	<b>0.007</b>
VC (% of predicted)	101 (21)	92 (22)	<b>0.004</b>

512 BMI, body mass index; DLCO, diffusing capacity of the lungs for carbon monoxide; FEF<sub>25-75%</sub>, forced expiratory  
 513 flow between 25% and 75% of FVC; FRC, functional residual capacity; FVC, forced vital capacity; FEV<sub>1</sub>, forced  
 514 expiratory volume in 1 second; RV, residual volume; TLC, total lung capacity; VC, vital capacity; HFNC, high-flow  
 515 nasal cannula; NIV, non-invasive mechanical ventilation. Values are shown as median (interquartile range) or N  
 516 (%).

517 **Figure Legends**

518

519 **Fig. 1** Enrolment of severe COVID-19 patients who did not require mechanical  
520 ventilation and follow-up at 3, 6, 9 and 12 months after hospital discharge. COVID-19:  
521 Coronavirus Disease 2019. HRCT: high-resolution Computed Tomography; 6MWD:  
522 6-min walk distance test; mMRC: modified Medical Research Council dyspnoea scale;  
523 DLCO: diffusing capacity of the lungs for carbon monoxide.

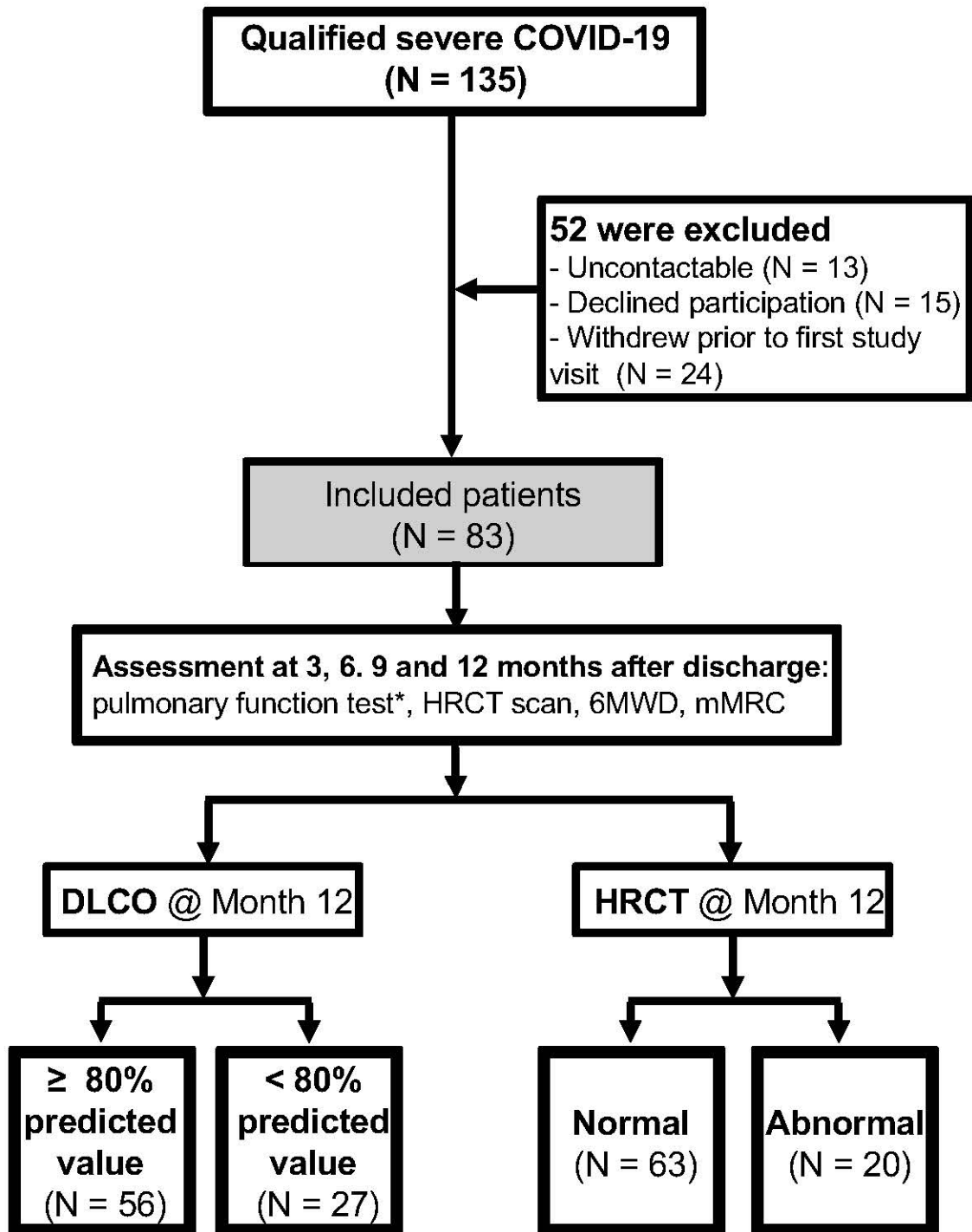
524

525 **Fig. 2** Temporal changes in pulmonary function following severe COVID-19 related  
526 hospitalisation. Graphs showing temporal changes in diffusing capacity of the lungs for  
527 carbon monoxide (DLCO) (**A**) or forced vital capacity (FVC) (**B**) at 3, 6 or 12 months  
528 after discharge in severe COVID-19 patients. Values in **A** and **B** are % of predicted.  
529 Median, IQR (interquartile range), P values and % of patients with abnormal DLCO or  
530 FVC are indicated. Horizontal dotted lines indicate the normal cut-off of 80%.

531

532 **Fig. 3** Impact of severe COVID-19 on follow-up 6-minute walking distance (6MWD)  
533 test and modified Medical Research Council dyspnoea scale (mMRC). (**A**) Graph  
534 showing temporal changes in 6MWD at 3, 6, 9 and 12 months after discharge. Median,  
535 IQR (interquartile range) and P values are indicated. Values are in meter. (**B**) Graph  
536 showing the distributions of mMRC (modified Medical Research Council) dyspnea  
537 scores at 3, 6, 9 and 12 months after discharge. P values are indicated together with %  
538 of patients free of dyspnea.

**Figure 1**



*\*As a consequence of changes in local guidance, pulmonary function tests (PFT) were precluded at 9 months after discharge.*

Figure 2

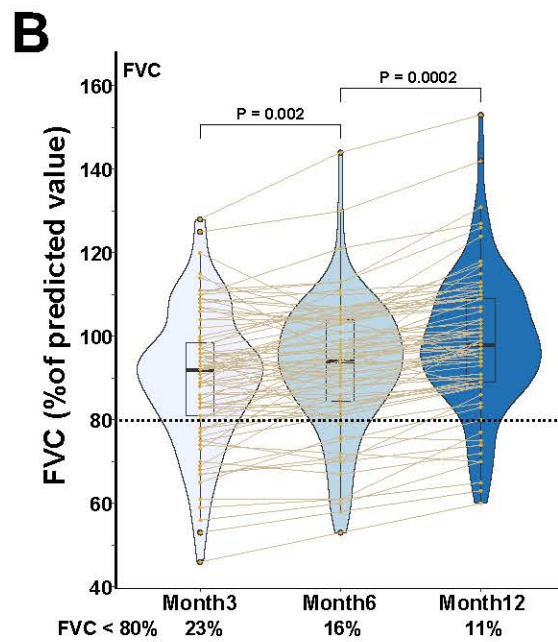
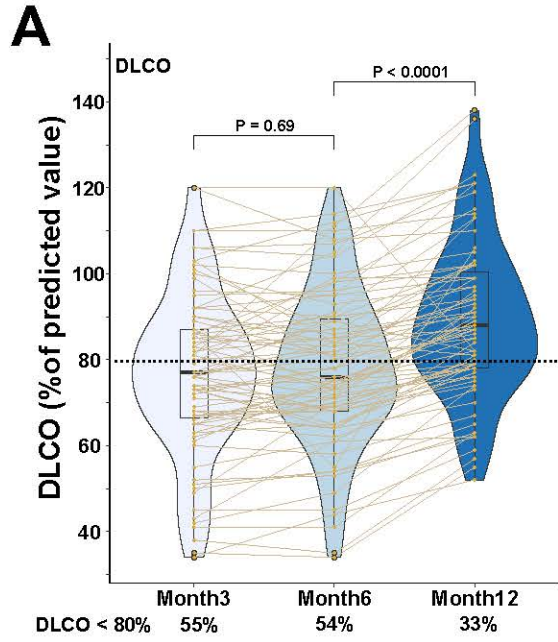
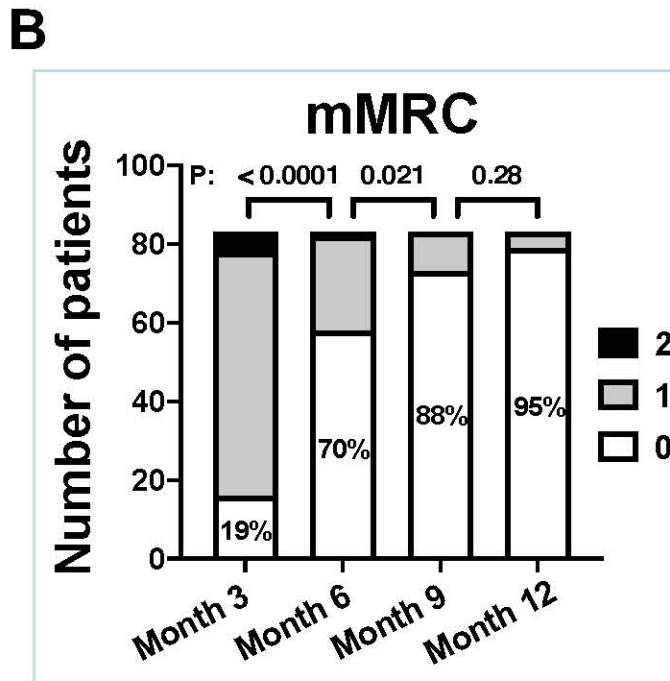
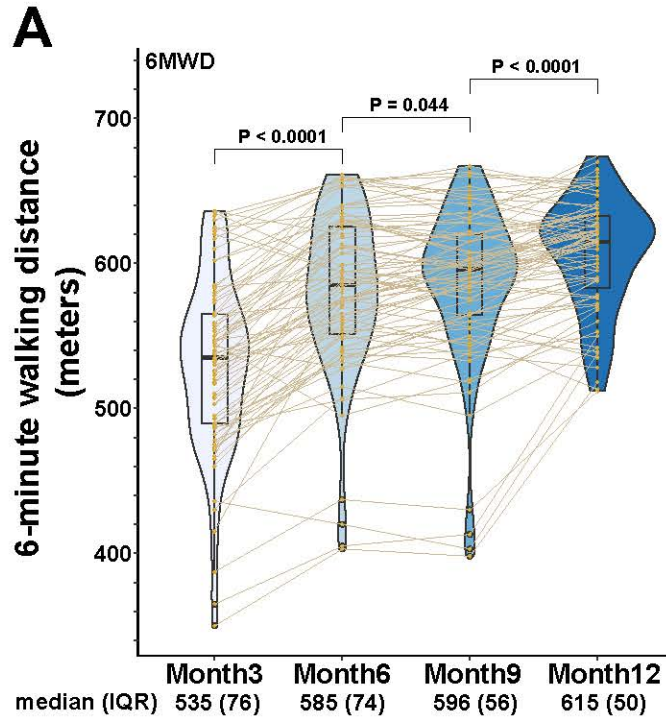




Figure 3



## 1 **Supplementary Methods**

### 2 **High-resolution Computed Tomography (HRCT) scans and image analysis**

3 Patients underwent chest non-contrast enhanced CT examinations in the supine position  
4 and with breath-holding following inspiration (GE Healthcare Optima CT680). The  
5 technical parameters included a 64-section scanner with 1 mm collimation at 5 mm  
6 intervals. Images were obtained with both mediastinal (width 350 HU; level 50 HU)  
7 and parenchymal (width 1500 HU; level -700 HU) window settings. The follow-up  
8 patients completed HRCT scan testing every 3 months.

9 For imaging evaluations, 2 radiologists, with 5 and 27 years of thoracic radiology  
10 experience, respectively, reviewed the images independently, with a final finding  
11 reached by consensus when there was a discrepancy. They were blinded to the clinical  
12 information or clinical progress of the patients, except for the knowledge that these  
13 were cases of COVID-19 patients.

14 The pneumonia CT scores of patients during hospitalisation were recorded with a  
15 method described previously<sup>1</sup>. In brief, the CT features in hospitalised COVID-19  
16 patients included ground glass opacity (GGO), consolidation, air bronchogram, nodular  
17 opacities and pleural effusion. The CT scans were scored on the axial images. The  
18 extent of involvement of each abnormality was assessed independently for each of 3  
19 zones: upper (above the carina), middle (below the carina and above the inferior  
20 pulmonary vein), and lower (below the inferior pulmonary vein). The CT findings were  
21 graded on a 3-point scale: normal attenuation (1), GGO (2), and consolidation (3). Each

22 lung zone, with a total of 6 lung zones in each patient, was assigned a following scale  
23 according to distribution of the affected lung parenchyma: normal (0), <25%  
24 abnormality (1), 25–50% abnormality (2), 50–75% abnormality (3), and >75%  
25 abnormality (4). The 4-point scale of the lung parenchyma distribution was then  
26 multiplied by the radiologic scale described above. Points from all zones were added  
27 for a final total cumulative score (HRCT pneumonia score during hospitalisation), with  
28 value ranging from 0 to 72. The peak pneumonia CT score is the highest pneumonia  
29 CT score for a patient during COVID-related hospitalisation.

30 To analyse follow-up HRCT scans, HRCT findings were initially evaluated based on  
31 key features<sup>2</sup> and then scored based on a method adapted from Ichikado and  
32 colleagues<sup>3</sup>, here named HRCT follow-up score, which allowed us to evaluate  
33 interstitial changes in lungs<sup>3</sup>. Briefly, the lungs were divided into 6 zones (upper,  
34 middle, and lower on both sides), each zone was evaluated separately and for each zone  
35 the CT findings were graded on a 6-point scale: areas with (1) normal attenuation, (2)  
36 GGO without traction bronchiectasis or bronchiolectasis, (3) consolidation without  
37 traction bronchiectasis or bronchiolectasis, (4) GGO with traction bronchiectasis or  
38 bronchiolectasis, (5) consolidation with traction bronchiectasis or bronchiolectasis, and  
39 (6) honeycombing. The upper lung zone was defined as the area of the lung above the  
40 level of the tracheal carina, the lower lung zone was defined as the area of the lung  
41 below the level of the inferior pulmonary vein, and the middle lung zone was defined  
42 as the area of the lung between the upper and lower zones. Abnormal findings and the

43 extent of lung involvement was evaluated visually and independently for each of the 6  
44 zones. The scores were based on the percentage of the lung parenchyma that showed  
45 evidence of the abnormality and were estimated to the nearest 5 % of parenchymal  
46 involvement. Scores from all zones were added for a final total cumulative score, with  
47 value ranging from 6 to 36.

48 **References:**

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- 52 2. Hansell DM, Bankier AA, MacMahon H, McLoud TC, Muller NL, Remy J.  
53 Fleischner Society: glossary of terms for thoracic imaging. *Radiology* 2008;  
54 **246**(3): 697-722.
- 55 3. Ichikado K, Suga M, Muller NL, et al. Acute interstitial pneumonia:  
56 comparison of high-resolution computed tomography findings between survivors  
57 and nonsurvivors. *American journal of respiratory and critical care medicine* 2002;  
58 **165**(11): 1551-6.  
59  
60

61 **Supplementary Figure Legends**

62 **Supplementary Figure 1.** Impact of severe COVID-19 on follow-up 6-minute walking  
63 distance (6MWD) test. Graph showing temporal changes in 6MWD at 3, 6, 9 and 12  
64 months after discharge. Median, IQR (interquartile range) and P values are indicated.  
65 Values are % of predicted.

66

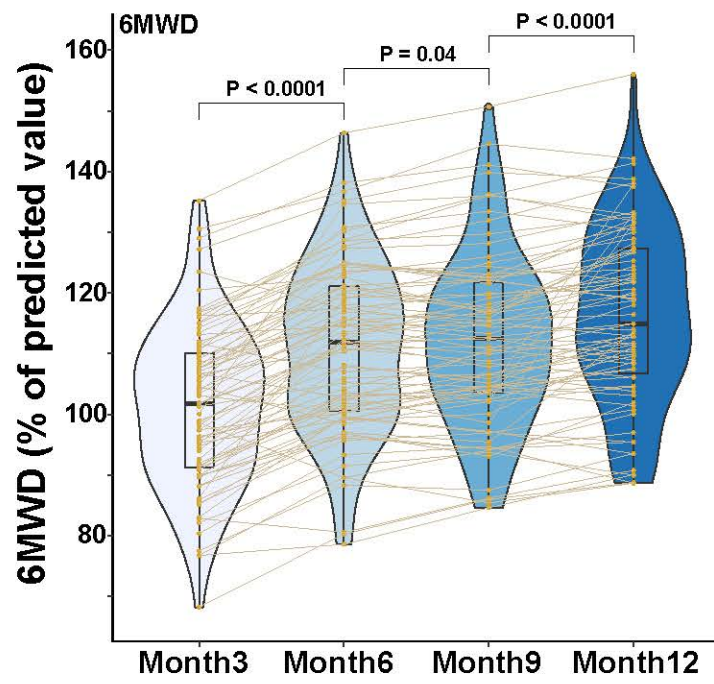
67 **Supplementary Figure 2.** Radiographic features of HRCT scans following severe  
68 COVID-19 related hospitalisation. Representative HRCT images showing (A) ground-  
69 glass opacity; (B) interlobular septal thickening; (C) reticular opacity; (D) subpleural  
70 curvilinear opacity; (E) mosaic attenuation; and (F) bronchiectasis, highlighted with  
71 red arrows.

72

73 **Supplementary Figure 3.** Temporal changes in HRCT scans following severe  
74 COVID-19 related hospitalisation. Representative temporal radiographic changes in a  
75 50 male patient with severe COVID-19 with all radiographic changes resolving by 6  
76 months in (A); whilst in (B) a 54 male patient with severe COVID-19 where  
77 radiographic changes persist at 12 months. (C) Graph showing temporal HRCT Follow-  
78 up score changes in patients with normal (score = 6, blue) or abnormal (score  $\geq$  7, red)  
79 HRCT scans at 12 months after discharge. P values are indicated. (D) Graph showing  
80 peak HRCT Pneumonia score during hospitalisation in patients with normal vs.

- 81 abnormal HRCT scans at 12 months after discharge. Data are median and IQR
- 82 (interquartile range) with P values indicated.

# Supplementary Figure 1



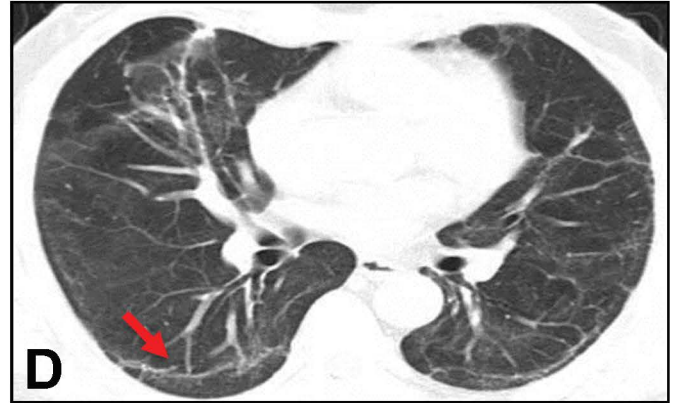


## Supplementary Figure 2

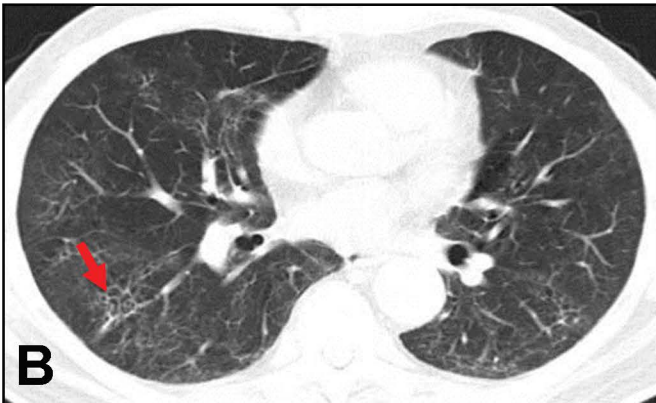
Ground-glass opacity



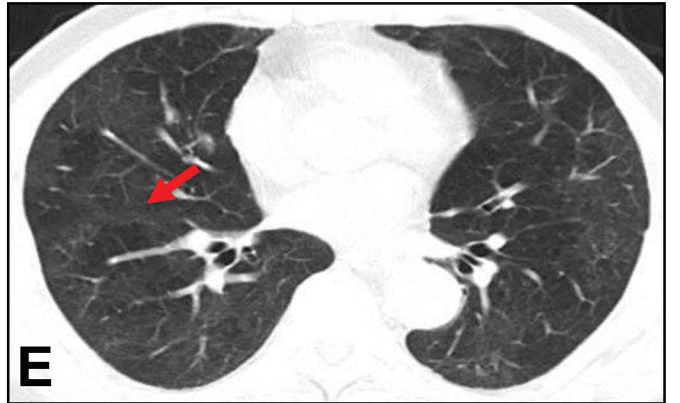
Subpleural curvilinear opacity



Interlobular septal thickening



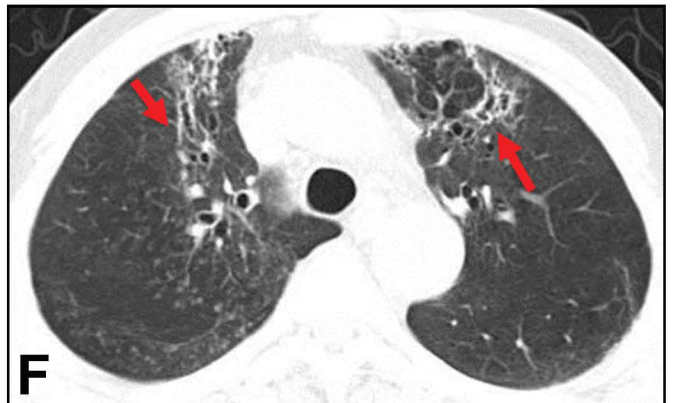
Mosaic attenuation

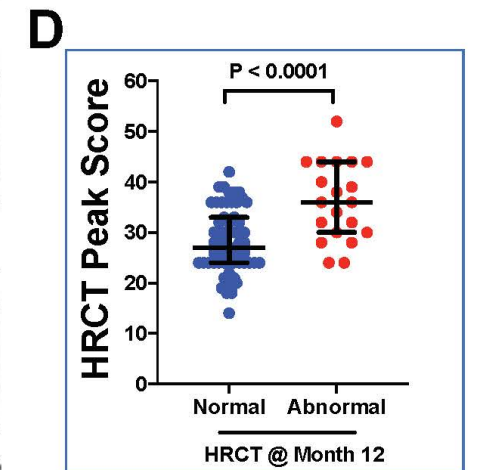
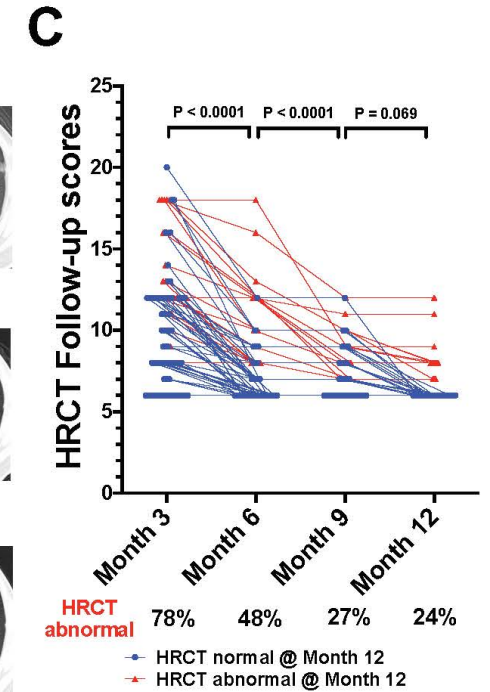
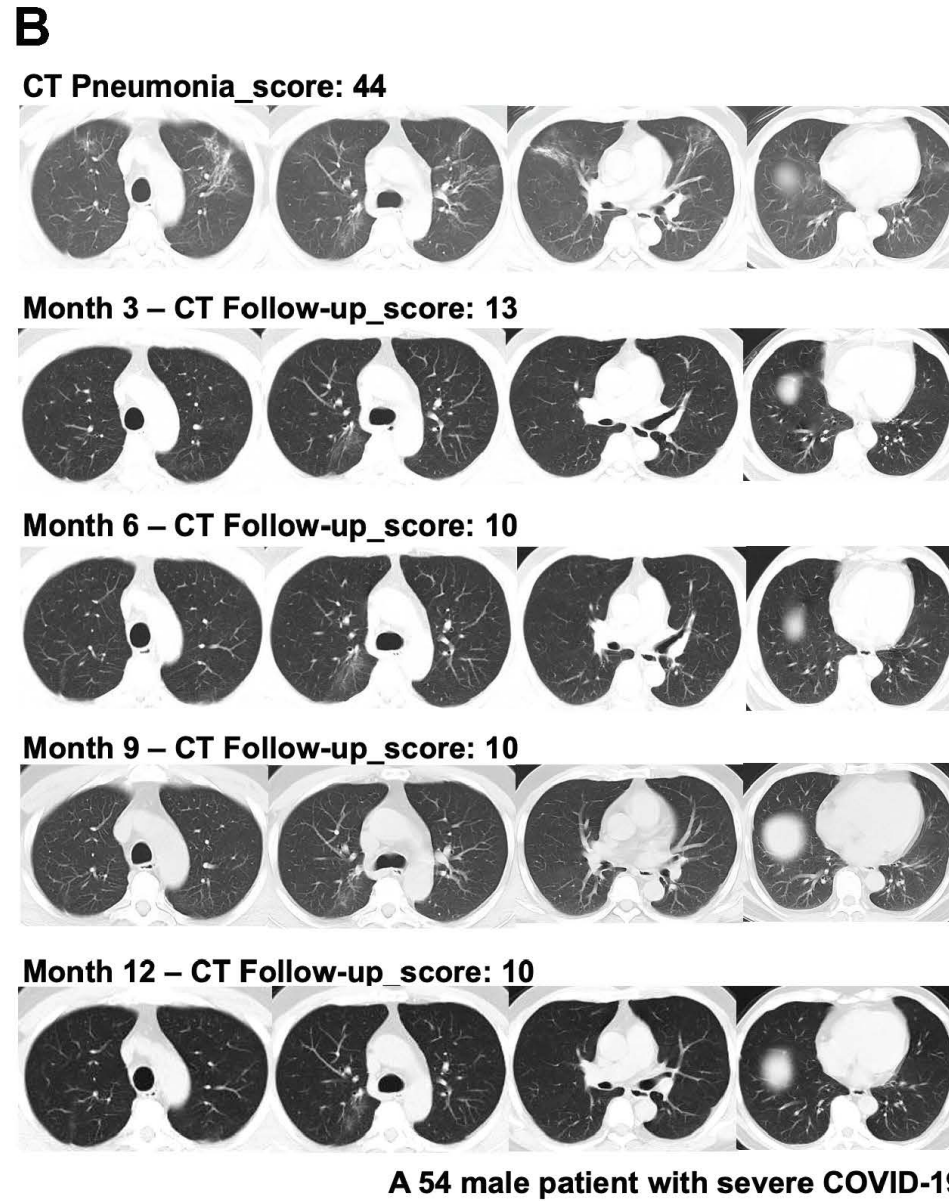
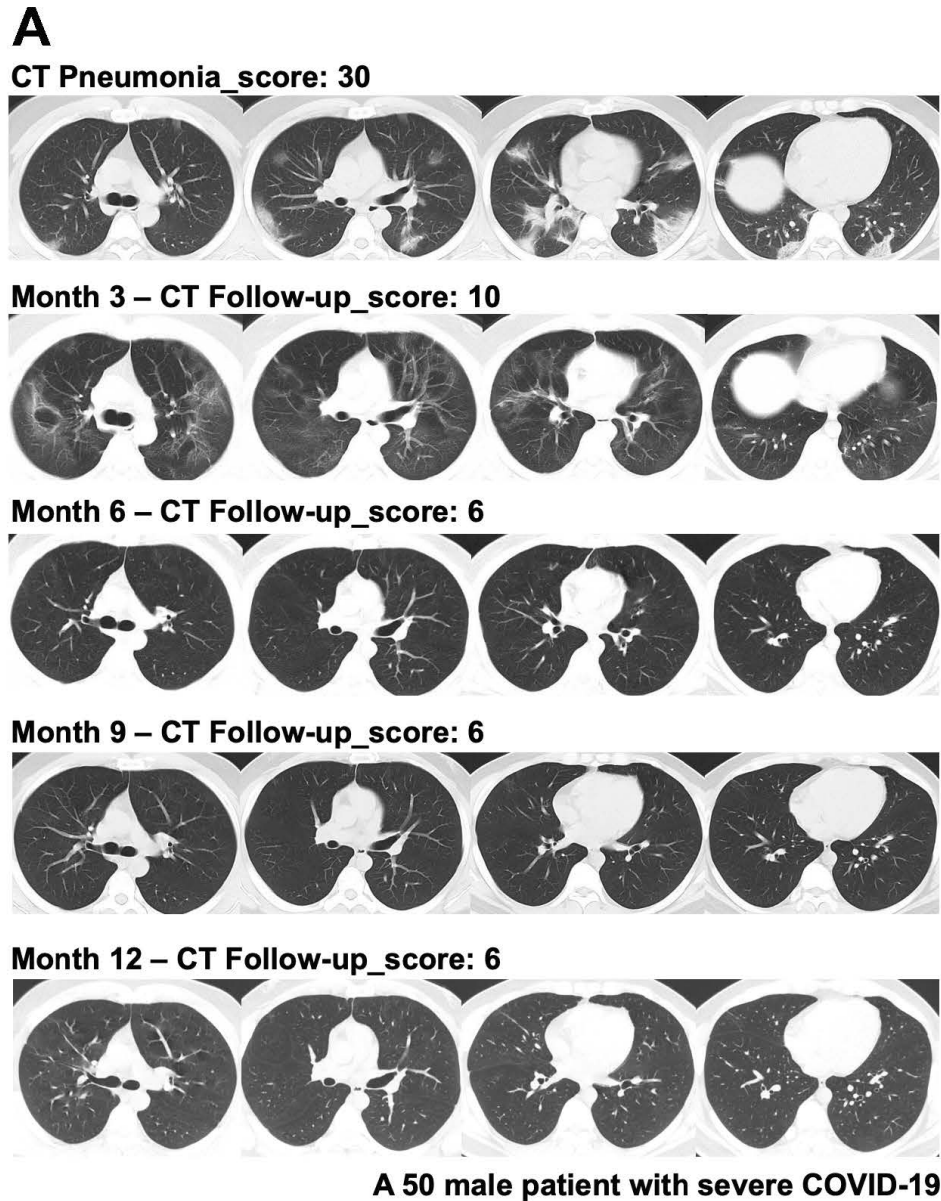


Reticular opacity



Bronchiectasis





83 **Supplementary Tables**

84 **Supplementary Table 1.** Results of serial pulmonary function tests among recovered  
85 severe COVID-19 patients who did not require mechanical ventilation.

86

87 **Supplementary Table 2.** Frequency of pulmonary function parameters below normal  
88 range in recovered severe COVID-19 patients who did not require mechanical  
89 ventilation.

90

91 **Supplementary Table 3.** Univariate and multivariate logistic regression analysis in  
92 severe COVID-19 patients who did not require mechanical ventilation for risk factors  
93 associated with diffusion deficit at 12 months after discharge.

94

95 **Supplementary Table 4.** HRCT features among recovered severe COVID-19 patients  
96 who did not require mechanical ventilation.

97

98 **Supplementary Table 5.** Univariate and multivariate logistic regression analysis in  
99 severe COVID-19 patients who did not require mechanical ventilation for risk factors  
100 associated with abnormal HRCT at 12 months after discharge.



101 **Table S1.** Results of serial pulmonary function tests among recovered severe COVID-  
 102 19 patients who did not require mechanical ventilation (N = 83).  
 103

Parameter	@ Month 3	@ Month 6	@ Month 12	P value <sup>a</sup>	P value <sup>b</sup>
DLCO (% of predicted)	77 (67 ~ 87)	76 (68 ~ 90)	88 (78 ~ 101)	0.691	< <b>0.0001</b>
FEF <sub>25-75%</sub> (% of predicted)	91 (64 ~ 109)	89 (67 ~ 103)	89 (76 ~ 111)	1	<b>0.032</b>
FEV <sub>1</sub> /FVC (% of predicted)	81 (77 ~ 84)	81 (78 ~ 84)	82 (79 ~ 86)	1	0.099
FRC (% of predicted)	89 (78 ~ 104)	89 (81 ~ 106)	102 (87 ~ 118)	1	< <b>0.0001</b>
FVC (% of predicted)	92 (81 ~ 99)	94 (85 ~ 104)	98 (89 ~ 109)	<b>0.002</b>	< <b>0.0001</b>
FEV <sub>1</sub> (% of predicted)	90 (76 ~ 100)	92 (80 ~ 101)	96 (85 ~ 110)	0.206	< <b>0.0001</b>
RV (% of predicted)	81 (69 ~ 97)	81 (72 ~ 100)	85 (72 ~ 99)	0.963	1
TLC (% of predicted)	87 (77 ~ 98)	91 (82 ~ 98)	92 (87 ~ 100)	<b>0.004</b>	< <b>0.0001</b>
VC (% of predicted)	91 (81 ~ 103)	95 (83 ~ 104)	98 (90 ~ 111)	<b>0.006</b>	< <b>0.0001</b>

104 DLCO, diffusing capacity of the lungs for carbon monoxide; FEF<sub>25-75%</sub>, forced expiratory flow between 25% and  
 105 75% of FVC; FRC, functional residual capacity; FVC, forced vital capacity; FEV<sub>1</sub>, forced expiratory volume in 1  
 106 second; RV, residual volume; TLC, total lung capacity; VC, vital capacity. Values are expressed as median  
 107 (interquartile range). <sup>a</sup>Month 6 vs. 3. <sup>b</sup>Month 12 vs. 6.

108 **Table S2.** Frequency of pulmonary function parameters below normal range in  
 109 recovered severe COVID-19 patients who did not require mechanical ventilation (N =  
 110 83).

111

	< 60% predicted value			< 80% predicted value		
	@ Month 3	@ Month 6	@ Month 12	@ Month 3	@ Month 6	@ Month 12
DLCO	12 (15%)	11 (13%)	4 (5%)	46 (55%)	45 (54%)	27 (33%)
FRC	6 (7%)	6 (7%)	2 (2%)	23 (28%)	18 (22%)	10 (12%)
FVC	4 (5%)	2 (2%)	0 (0%)	19 (23%)	13 (16%)	9 (11%)
FEV1	6 (7%)	4 (5%)	3 (4%)	25 (30%)	20 (24%)	13 (16%)
RV	12 (15%)	9 (11%)	11 (13%)	38 (46%)	35 (42%)	32 (39%)
TLC	9 (11%)	4 (5%)	3 (4%)	22 (27%)	16 (19%)	12 (15%)
VC	4 (5%)	2 (2%)	1 (1%)	20 (24%)	15 (18%)	9 (11%)

112 DLCO, diffusing capacity of the lungs for carbon monoxide; FRC, functional residual capacity; FVC, forced vital  
 113 capacity; FEV1, forced expiratory volume in 1 second; RV, residual volume; TLC, total lung capacity; VC, vital  
 114 capacity. Values are N (%).

115 **Table S3.** Univariate and multivariate logistic regression analysis in severe COVID-19  
 116 patients who did not require mechanical ventilation for risk factors associated with  
 117 diffusion deficit at 12 months after discharge (N = 83).

118

	<b>OR</b> (odds ratio)	<b>95% CI</b> (confidence interval)	<b>P - value</b>
<b>Univariate</b>			
<i>Age</i>	1.03	0.99 ~ 1.08	0.140
<i>Gender (Female)</i>	7.14	2.53 ~ 20.16	<b>0.0002</b>
<i>BMI</i>	0.94	0.80 ~ 1.10	0.429
<i>Length of hospital stay</i>	1.00	0.93 ~ 1.07	0.952
<i>Peak CT Pneumonia score during hospitalization</i>	1.00	0.94 ~ 1.06	0.876
<i>HFNC/NIV</i>	1.58	0.62 ~ 4.06	0.339
<i>Oseltamivir</i>	0.75	0.29 ~ 1.92	0.546
<i>Ganciclovir</i>	1.34	0.53 ~ 3.38	0.531
<b>Multivariate</b>			
<i>Age</i>	1.06	1.00 ~ 1.12	0.063
<i>Gender (Female)</i>	8.61	2.83 ~ 26.2	<b>0.0002</b>
<i>Peak CT Pneumonia score during hospitalization</i>	0.98	0.91 ~ 1.05	0.521

119 BMI, body mass index; HFNC, high-flow nasal cannula; NIV, non-invasive mechanical ventilation.

120 **Table S4.** HRCT features among recovered severe COVID-19 patients who did not  
 121 require mechanical ventilation (N = 83).

122

	@ Month 3	@ Month 6	@ Month 9	@ Month 12	P value <sup>a</sup>	P value <sup>b</sup>	P value <sup>c</sup>
<b>Ground-glass opacity</b>	65 (78%)	38 (46%)	20 (24%)	19 (23%)	<b>&lt; 0.0001</b>	<b>0.010</b>	1
<b>Interlobular septal thickening</b>	28 (34%)	11 (13%)	4 (5%)	4 (5%)	<b>0.006</b>	0.174	1
<b>Reticular opacity</b>	27 (33%)	13 (16%)	3 (4%)	3 (4%)	<b>0.033</b>	<b>0.026</b>	1
<b>Subpleural curvilinear opacity</b>	9 (11%)	4 (5%)	1 (1%)	1 (1%)	0.446	1	1
<b>Mosaic attenuation</b>	2 (2%)	3 (4%)	3 (4%)	3 (4%)	1	1	1
<b>Bronchiectasis</b>	1 (1%)	1 (1%)	1 (1%)	1 (1%)	1	1	1

123 <sup>a</sup>Month 6 vs. 3. <sup>b</sup>Month 9 vs.6. <sup>c</sup>Month 12 vs.9. Values are N (%).

124 **Table S5.** Univariate and multivariate logistic regression analysis in severe COVID-19  
 125 patients who did not require mechanical ventilation for risk factors associated with  
 126 abnormal HRCT at 12 months after discharge (N = 83).

127

	<b>OR (odds ratio)</b>	<b>95% CI (confidence interval)</b>	<b>P - value</b>
<b>Univariate</b>			
<i>Age</i>	1.02	0.97 ~ 1.07	0.401
<i>Gender</i>	1.86	0.67 ~ 5.13	0.232
<i>BMI</i>	0.92	0.77 ~ 1.10	0.357
<i>Length of hospital stay</i>	1.09	1.01 ~ 1.19	<b>0.031</b>
<i>Peak CT Pneumonia score during hospitalization</i>	1.18	1.08 ~ 1.29	<b>0.0002</b>
<i>HFNC/NIV</i>	3.10	1.00 ~ 9.55	<b>0.049</b>
<i>Oseltamivir</i>	1.97	0.64 ~ 6.12	0.239
<i>Ganciclovir</i>	1.65	0.59 ~ 4.59	0.337
<b>Multivariate</b>			
<i>Peak CT Pneumonia score during hospitalization</i>	1.36	1.13 ~ 1.62	<b>0.0009</b>
<i>Length of hospital stay</i>	0.92	0.81 ~ 1.04	0.180
<i>HFNC/NIV</i>	0.24	0.04 ~ 1.63	0.146

128 BMI, body mass index. HFNC, high-flow nasal cannula; NIV, non-invasive mechanical ventilation.