

Environmental Pollution

Association between coronavirus disease 2019 (COVID-19) and long-term exposure to air pollution: evidence from the first epidemic wave in China --Manuscript Draft--

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Abstract:	<p>People with chronic obstructive pulmonary disease, cardiovascular disease, or hypertension have a high risk of developing severe coronavirus disease 2019 (COVID-19) and of COVID-19 mortality. However, the association between long-term exposure to air pollutants, which increases cardiopulmonary damage, and vulnerability to COVID-19 has not yet been fully established. We collected data of confirmed COVID-19 cases during the first wave of the epidemic in mainland China. We fitted a generalized linear model using city-level COVID-19 cases and severe cases as the outcome, and long-term average air pollutant levels as the exposure. Our analysis was adjusted using several variables, including a mobile phone dataset, covering human movement from Wuhan before the travel ban and movements within each city during the period of the emergency response. Other variables included smoking prevalence, climate data, socioeconomic data, education level, and number of hospital beds for 324 cities in China. After adjusting for human mobility and socioeconomic factors, we found an increase of 37.8% (95% confidence interval [CI]: 23.8%–52.0%), 32.3% (95% CI: 22.5%–42.4%), and 14.2% (7.9%–20.5%) in the number of COVID-19 cases for every 10-$\mu\text{g}/\text{m}^3$ increase in long-term exposure to NO_2, $\text{PM}_{2.5}$, and PM_{10}, respectively. However, when stratifying the data according to population size, the association became non-significant. The present results are derived from a large, newly compiled and geocoded repository of population and epidemiological data relevant to COVID-19. The findings suggested that air pollution may be related to population vulnerability to COVID-19 infection, although the extent to which this relationship is confounded by city population density needs further exploration.</p>
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Response to Reviewers:	

Dear editor, *Environmental Pollution*

We are submitting our manuscript entitled “**Association between coronavirus disease 2019 (COVID-19) and long-term exposure to air pollution: evidence from the first epidemic wave in China**” for your kind consideration of its suitability for publication in *Environmental Pollution*. We have an intimate understanding on the aims and scope of this journal and believe our manuscript will meet your requirements.

To the date, more than 103 million people had contracted with coronavirus COVID-19 since the disease was first identified in Wuhan. Recent reports suggested that the polluted ambient air might positively worsen the respiratory symptoms of this disease thus increase the risk of severe outcomes. Consider the negative affect of long term air pollution on lung and airway damage, which contributed to increased risk on pneumonia and influenza, we explored that chronic exposure might related with potential infectious vulnerability on COVID-19.

Our study provided evidence on explaining the relationship between COVID-19 and historical air pollution exposure, relying on a city-level database from China. In order to adjusted the confounding factor on the epidemic on infectious disease, such as the community mobility and social distancing, we considered variables like travel from Wuhan and outdoor activities to estimate the effect of air pollutants. Results showed that the elevated level of NO₂ and PM_{2.5} in the past five years correspond to an increase in the number of COVID-19 cases and severe infections.

We assure that the manuscript is an original work, has not been previously published and is not under considered for publication elsewhere. All authors have read the manuscript and agree that the work is ready for submission to the journal.

Best regards,

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February 1, 2021

Dear Professor Chen
Editor, Environmental Pollution,

We appreciated to receive the comments from the referees. We have carefully revised our manuscript [ENVPOL-D-20-02781R1] according to the suggestions made by the referees and answer their questions one by one as clear as possible.

Editor and Reviewer comments:

Editor:

1. As pointed out by one of the reviewers, the current version still contains a number of grammatical problems. The authors are suggested to seek assistance from professional editing service or native English speakers.

Response: Thank you very much for the suggestions. We have sought the help of a native English speaker to check the English language carefully and avoid grammatical problems in the revised manuscript. Please refer to the revised version for details.

Reviewer #3:

1. I appreciate the effort the authors have put into the revision. There still remain many English language errors that need to be fixed throughout the manuscript. The authors have addressed most of my initial comments adequately. However, I do not believe they have fully addressed one of my central critiques. This is regarding the confounding effect of population size and density. Bigger cities with higher population densities cause more pollution but also will have more COVID-19 cases because of the population size. The Fig. 3 A, B and C show that when the authors split the dataset by city population size, the significant effect of long-term pollution disappears - the 95% confidence intervals cross the zero mark on the y-axis. This shows how population size is a

confounder in the analysis and this needs to be stated more clearly. I note places where this can be done below.

Response: Thank you very much for your comments. We appreciate the comments of the reviewer and make corresponding amendments accordingly. Meanwhile, we have checked the English language carefully to avoid grammatical errors and improve the expression to make it easier for readers to understand. At the same time, we try to explain the confounding effect of population size in our study. Please refer to the revised version for details.

2. Highlights: the first highlight needs to be re-worded. Replace "vulnerability of COVID-19" with "COVID-19 case numbers". The last highlight should change as well in my opinion. It should read something like "The association may be confounded by city population size and density"

Response: Thank you very much for your suggestions. We agree to revise the Highlights according to the reviewer's suggestion. In the revised manuscript, the first and last highlights have been modified.

3. L42: Replace "that" with "a"

Response: This sentence has been revised.

4. L44: I strongly recommend this sentence be replaced with "However, when stratifying the data by population size classes, the association became insignificant."

Response: Thank you very much for your suggestion. In the revised manuscript, this sentence has been modified.

5. L46: I strongly recommend you change your last two sentences to: "The findings suggested that air pollution may be related to the population vulnerability to COVID-19, although the extent to which this relationship is confounded by city population density needs further exploration".

Response: Thank you very much for your suggestion. In the revised manuscript, the last two sentences in the Abstract section have been modified according to the reviewer's suggestion.

6. L253-256: This is not a satisfactory presentation of the results on city population size effect. I argue that you need to state that there was no significant effect of long-term pollution on COVID-19 vulnerability when the data was stratified into population sizes. This shows how important population is as a confounding variable in the analysis.

Response: Thank you very much for your comments. We agree to revise these sentences and add the reviewer's suggestion in the Results section.

7. L322: Please do not use terms like "more obvious" which require subjective interpretation. Please be specific - was it significant or not? By looking at Fig. 3, the significance breaks down when you split the data into population size groups.

Response: Thank you very much for your comments. We added sentences to describe the impact. Sentences were revised as: There was no significant effect of long-term exposure to most of the air pollutants on susceptibility to COVID-19 infection when the data were stratified by population size. While, the effect persisted after stratification in NO₂ and PM_{2.5} on confirmed COVID-19 cases among large cities and small cities, and the impact of PM₁₀ in severe COVID-19 cases among large cities.

8. L358: "Fine air quality" is not a good descriptor. Please rephrase to something like "Improved air quality".

Response: Thank you very much for your suggestion. We have rephrased "Fine air quality" to "Improved air quality".

Reviewer #4:

1. The authors have addressed more or less most of my comments. Nevertheless for some of them the answers should be in the main manuscript and not as an answer to the point by point reply, since in my eyes these are natural questions that are generated as reading the manuscript, for instance comment 2.2, 2.4 and 3.4.

Response: Thank you very much for your comments. Indeed, some of the content we only reflected in the point by point reply, and not explicitly put in the main manuscript. In this revised version, we have modified the corresponding content. For comment 2.2, we have supplemented the corresponding information in the end of Methods 2.1 section. For comment 2.3, we have supplemented in the 4th paragraph of Discussions section. For comment 3.4, we have supplemented in the Methods 2.5 section. Please refer to the revised version for details.

2. Reading the updated version of the manuscript there are still typos and language problems, for instance line 66 induces instead of induce, line 82 trend instead of tend, line 103 consider instead of considering to name a few. I would thus suggest either the advice of a scientific writer or from a native speaker to improve the language quality of the paper.

Response: Thank you very much for your suggestions. The errors pointed out by the reviewer

have been corrected. Meanwhile, we have sought the help of a native English speaker to check the English language carefully in the whole revised manuscript.

3. Also the authors havent addressed my comment about the city specific random effect, see comment 2.3. Have you repeated the analysis by adding a random effect on the city level?

Response: Thank you very much for your comments. A linear mixed effects model was established with population as the random intercept, to examine the relationship of air pollutants and COVID-19 cases. As the table shown below, NO₂, PM_{2.5} and PM₁₀ have effect on the confirmed COVID-19 cases in different approach, and the random effects of population could explain 63%, 52% and 66% of the variances.

Table 1 Linear mixed effects model on air pollutants and confirmed COVID-19 cases

Parameter	NO ₂	PM _{2.5}	PM ₁₀
Random effects			
Population(Intercept)-Variance	0.240	0.201	0.246
Residual-Variance	0.142	0.179	0.164
Fixed effects			
Estimate	0.773	0.135	0.034
Std.Error	0.117	0.025	0.014
P value	<0.001	<0.001	0.017

4. From the methodological perspective, it is a bit worrisome that the authors did not include population as an offset (denominator). Then all the results are confounded with population trends. Maybe the severe collinearity stems from this. Have you performed the analysis using population as an offset?

Response: Thank you very much for your comments. Additional analysis has been added as suggested using population as an offset in our model. We are happy to report that the trend of our results is consistent with our original ones. The impact of long-term exposure to NO₂, PM_{2.5} and PM₁₀ still reminds in our results, which indicated the results are relatively stable. While, there is no significant effect of long-term pollution on COVID-19 vulnerability when using the severe cases. The reason could be in the first wave of COVID-19 in China, as a novel infectious disease, the diagnostic capacities were limited, which explained in the fourth paragraph of discussion.

Table 2 Impact of historical air pollution exposure on cases of COVID-19 with population as the offset

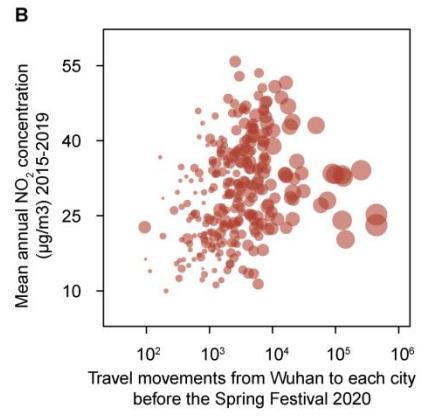
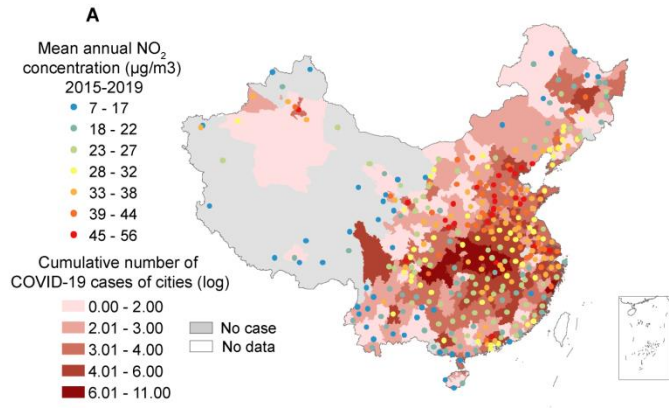
Covariates	Coefficient (95%CI)	std	P
NO₂	0.198 (0.18,0.216)	0.009	<0.001
Intercept	-1.74 (-1.97,-1.514)	0.116	<0.001
Inflow from Wuhan	0.006 (0.006,0.007)	0.000	<0.001
Within-city movements	-1.253 (-1.284,-1.222)	0.016	<0.001
Peak of inflow from Wuhan	0.197 (0.191,0.202)	0.003	<0.001
Mean temperature of coldest quarter	-0.030 (-0.033,-0.027)	0.001	<0.001
Relatively humidity	0.046 (0.044,0.049)	0.001	<0.001
Illiteracy rate	0.0506 (0.046,0.056)	0.003	<0.001
PM_{2.5}	0.202 (0.189,0.216)	0.007	<0.001
Intercept	-3.837 (-4.056,-3.619)	0.112	<0.001
Inflow from Wuhan	0.006 (0.005,0.006)	0.000	<0.001
Within-city movements	-1.458 (-1.49,-1.426)	0.016	<0.001
Peak of inflow from Wuhan	0.218 (0.212,0.224)	0.003	<0.001
Mean temperature of coldest quarter	-0.029 (-0.032,-0.026)	0.002	<0.001
Relatively humidity	0.058 (0.056,0.061)	0.001	<0.001
Illiteracy rate	0.048 (0.043,0.053)	0.003	<0.001
PM₁₀	0.101 (0.093,0.109)	0.004	<0.001
Intercept	-1.843 (-2.066,-1.621)	0.113	<0.001
Inflow from Wuhan	0.006 (0.006,0.006)	0.000	<0.001
Within-city movements	-1.383 (-1.414,-1.352)	0.016	<0.001
Peak of inflow from Wuhan	0.180 (0.175,0.186)	0.003	<0.001
Mean temperature of coldest quarter	-0.021 (-0.024,-0.018)	0.002	<0.001
Relatively humidity	0.053 (0.05,0.055)	0.001	<0.001
Illiteracy rate	0.045 (0.04,0.05)	17.527	<0.001

Best regards,

Sincerely yours
Prof. Guang Jia

Highlight

- Long-term exposure to NO₂, PM_{2.5} or PM₁₀ corresponded to COVID-19 case numbers.
- This study focused on the period in the first wave of China.
- The nationwide COVID-19 cases and severe infections in 324 cities of China were included.
- The association may be confounded by city population size and density



1 **Association between coronavirus disease 2019 (COVID-19) and long-term exposure to air**
2 **pollution: evidence from the first epidemic wave in China**

3

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29 **Abstract**

30 People with chronic obstructive pulmonary disease, cardiovascular disease, or hypertension have a
31 high risk of developing severe coronavirus disease 2019 (COVID-19) and of COVID-19 mortality.
32 However, the association between long-term exposure to air pollutants, which increases
33 cardiopulmonary damage, and vulnerability to COVID-19 has not yet been fully established. We
34 collected data of confirmed COVID-19 cases during the first wave of the epidemic in mainland
35 China. We fitted a generalized linear model using city-level COVID-19 cases and severe cases as
36 the outcome, and long-term average air pollutant levels as the exposure. Our analysis was adjusted
37 using several variables, including a mobile phone dataset, covering human movement from Wuhan
38 before the travel ban and movements within each city during the period of the emergency
39 response. Other variables included smoking prevalence, climate data, socioeconomic data,
40 education level, and number of hospital beds for 324 cities in China. After adjusting for human
41 mobility and socioeconomic factors, we found an increase of 37.8% (95% confidence interval
42 [CI]: 23.8%–52.0%), 32.3% (95% CI: 22.5%–42.4%), and 14.2% (7.9%–20.5%) in the number of
43 COVID-19 cases for every 10- $\mu\text{g}/\text{m}^3$ increase in long-term exposure to NO_2 , $\text{PM}_{2.5}$, and PM_{10} ,
44 respectively. However, when stratifying the data according to population size, the association
45 became non-significant. The present results are derived from a large, newly compiled and
46 geocoded repository of population and epidemiological data relevant to COVID-19. The findings
47 suggested that air pollution may be related to population vulnerability to COVID-19 infection,
48 although the extent to which this relationship is confounded by city population density needs
49 further exploration.

50

51 **Main Finding**

52 This research reported a national-level association with long-term exposure to air pollutants and
53 COVID-19 cases covering 324 cities in China.

54

55 **Key words**

56 Coronavirus disease 2019; COVID-19; Air pollution; Chronic exposure

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58

59 **Introduction**

60 Coronavirus disease 2019 (COVID-19) has rapidly spread across the world. To date, the virus that
61 causes COVID-19, severe acute respiratory disease coronavirus 2 (SARS-CoV-2), has infected
62 more than 103 million people and led to approximately 2.23 million fatalities, according to John
63 Hopkins University. Currently, several reports suggest that air pollution is associated with an
64 increased risk of death or severe illness among people with COVID-19 infection (Magazzino,
65 Mele et al. 2020, Wu, Nethery et al. 2020, Yao, Pan et al. 2020, Travaglio, Yu et al. 2021), on the
66 basis of a biologically plausible pathway that might lead to serious deterioration (Conticini,
67 Frediani et al. 2020, Frontera, Cianfanelli et al. 2020). SARS-CoV-2 can induce respiratory
68 distress, so individuals with a compromised respiratory system are expected to be more vulnerable
69 to infection (Qu, Li et al. 2020), and people with pre-existing conditions are more vulnerable to
70 severe infection. A meta-analysis showed that chronic obstructive pulmonary disease (COPD),
71 cardiovascular disease, and hypertension are associated with severe COVID-19 infection and
72 admission to the intensive care unit (ICU) (Jain and Yuan 2020).

73
74 Long-term exposure to air pollution affects lung function and is associated with the risk of many
75 lung diseases, including an increased prevalence of COPD, acute lower respiratory illness, and
76 lung cancer (Götschi, Heinrich et al. 2008, Lelieveld, Evans et al. 2015, Doiron, de Hoogh et al.
77 2019, Liu, Chen et al. 2019). Moreover, recent research has put forth potential biological
78 mechanisms of alveolar angiotensin-converting enzyme 2 (ACE-2) and population susceptibility
79 to COVID-19 infection (Paital and Agrawal 2020). Additionally, chronic lung exposure to
80 pollutants may lead to injury owing to pulmonary damage from oxidative stress, macrophage
81 dysfunction, and a disrupted epithelial barrier, thereby increasing susceptibility of the upper
82 airways (Ciencewicki and Jaspers 2007, Frontera, Cianfanelli et al. 2020, Zhu, Xie et al. 2020).
83 This implies that long-term exposure might increase vulnerability to lung diseases, thereby
84 affecting the risk of COVID-19 infection in the whole population. However, recent studies tend to
85 ignore the broad implications of long-term effects on the lungs. Furthermore, few studies have
86 considered the important role of human travel and movement in the spatial spread of COVID-19,
87 which might serve as a critical confounding variable in evaluating the effects of air pollution. We
88 must understand the impact of air pollution exposure on COVID-19 infection and disease severity
89 (Villeneuve and Goldberg 2020) in the general public, to help improve future modeling and
90 disease burden calculations in countries around the world.

91
92 During the first epidemic wave of COVID-19 in China, to prevent further dissemination of the
93 disease, officials in Wuhan prohibited all transport in and out of the city on 23 January 2020. In
94 the following days, cities across mainland China launched the highest level emergency response
95 and were able to control the epidemic outside of Wuhan by 6 March 2020. These measures
96 substantially reduced air pollution, as confirmed by a significant reduction in pollution levels
97 observed across cities in China between January and March 2020 (Chen, Wang et al. 2020,
98 Villeneuve and Goldberg 2020). These data from Chinese cities (excluding Wuhan) were ideal to
99 assess the relationship between long-term average air pollution exposure and COVID-19 risk
100 because these cities had widely distributed COVID-19 cases and highly variable historical air
101 quality, which had been comprehensively surveyed under consistent criteria and data standards
102 across the country. Air pollution produces both acute and long-term health effects, so a reduction

103 in air pollution levels can serve as a natural experiment in how to offset potential acute effects of
104 air pollution.

105

106 Considering the proven link between air pollution and the high risk of severe COVID-19
107 infection, we suspected that long-term exposure to air pollutants, which increases
108 cardiopulmonary damage, would be associated with increased vulnerability to COVID-19. To test
109 this hypothesis, we identified sociodemographic and behavioral confounders through a literature
110 search. We first investigated the effect of travel and movement from Wuhan city, the location
111 where COVID-19 was first recorded and from where it spread across China. During the Spring
112 Festival holiday in 2020, approximately 4.3 million people traveled from Wuhan to other cities in
113 China (Tian, Liu et al. 2020). These travel patterns were strongly associated with the total number
114 of cases reported in each city, suggesting that the outbreaks across China were mainly seeded in
115 Wuhan city.

116

117

118 **Methods**

119

120 *Epidemiological and demographic data*

121 We collected epidemiological data from official reports of the health commissions of 324 cities,
122 excluding Wuhan (Table 1). These included daily reports from 31 December 2019 to 6 March
123 2020, but excluded newly reported, locally acquired infections. Data on the proportion of severe
124 COVID-19 cases were obtained from official reports of Provincial Health Committees and used to
125 interpolate the city-level values. The National Health Commission of the People's Republic of
126 China defined uniform diagnosis and treatment criteria of "2019 novel coronavirus pneumonia",
127 to measure the number of outbreaks. The reporting system was supported by local health-related
128 departments, including hospitals and Centers for Diseases Control and Prevention (CDCs) at the
129 provincial or county level (Zanin, Xiao et al. 2020). In the first wave of the COVID-19 epidemic,
130 these local CDCs sought to detect the largest possible number of infections, but people with a
131 fever and a travel history to Wuhan were the priority groups for testing. Socioeconomic data,
132 including the gross domestic product (GDP) per capita and number of hospital beds, were
133 obtained from the China City Statistical Yearbook 2019. The age structure and illiteracy rate of
134 people aged 15 years and older in each city were obtained from the Sixth National Population
135 Census of the People's Republic of China, which was conducted by the National Bureau of
136 Statistics of People's Republic of China in 2013. The prevalence of smoking and secondhand
137 smoking among non-smokers was obtained from the Chinese National Nutrition and Health
138 Survey (Wu, Huxley et al. 2008, Xia, Zheng et al. 2019). These were ecological and not individual
139 data, which did not involve personal information; therefore, an ethics review was not required.

140

141

142 *Human mobility data*

143 Human movements were tracked using mobile phone data from Baidu location-based services and
144 telecommunications operators (Table 1). The number of recorded movements from Wuhan to other
145 cities across China was calculated from 11 to 23 January 2020. On 23 January, movements from
146 Wuhan dropped to nearly zero because of the travel ban. Movements within each city were

147 recorded daily until 6 March. The within-city movement index was extracted from the same
 148 operators and was measured, to reflect the average times people traveled from their location inside
 149 each city every day. This database was developed to describe people’s compliance with physical
 150 distancing policies, which included suspending intracity public transport, closing entertainment
 151 venues, and banning public gatherings.

152
 153

154 *Source of air pollution and meteorological data*

155 Original daily data for air pollutant concentrations, including particulate matter $\leq 2.5 \mu\text{m}$ and ≤ 10
 156 μm and (PM_{2.5} and PM₁₀, respectively), sulfur dioxide (SO₂), carbon monoxide (CO), nitrogen
 157 dioxide (NO₂), and ozone (O₃) for each city, were obtained from air quality stations across China
 158 from January 2015 to March 2020. For each city, the average concentration for each pollutant
 159 before the COVID-19 outbreak (January 2020) was calculated across the entire available period.
 160 The data for temperature and precipitation during the coldest and warmest quarter in each city
 161 were extracted from WorldClim (Table 1), and the annual mean relative humidity was obtained
 162 from the National Meteorological Information Center.

163
 164

165 *Statistical methods*

166 To quantify the effect of air pollution on COVID-19 risk, we used historical data for air quality
 167 between 2015 and 2019 and COVID-19 case reports. Sociodemographic and behavioral
 168 confounders were identified in a literature search. We searched PubMed and preprint servers
 169 (medRxiv) using the terms “air pollution”, “COVID-19”, and “SARS-CoV-2”, regardless of
 170 language and date. Among 74 studies identified, 55 were unrelated to public health. Of the
 171 remaining 19 studies, 6 used statistical models to investigate the relationship between air pollution
 172 and COVID-19 risk. Three papers involved large-scale and nationwide data from the United States
 173 (US), United Kingdom (UK), Italy, Spain, France, and Germany. The association between long-
 174 term exposure to air pollutants and COVID-19 risk was assessed using regression with a
 175 generalized linear model (GLM):

176

$$177 \quad Y_i \sim \text{Poisson}(\mu_i, \theta) \quad (1)$$

178
 179

$$180 \quad \log(\mu_{it}) = \alpha + \beta_1 \text{Inflow from Wuhan}_i + \beta_2 \text{Peakttime of inflow}_i +$$

$$181 \quad \beta_3 \text{Withincity movements}_i + \beta_4 \text{GDP}_i + \beta_5 \text{Smoking prevalence}_i +$$

$$182 \quad \beta_5 \text{Secondhand smoking prevalence in nonsmokers}_i +$$

$$183 \quad \beta_6 \text{Percent of the population older than 65 years old}_i + \beta_7 \text{Population}_i +$$

$$184 \quad \beta_8 \text{Population density}_i + \beta_9 \text{Temperature}_i + \beta_{10} \text{Rainfall}_i +$$

$$185 \quad \beta_{11} \text{Relative humidity}_i + \beta_{12} \text{Illiteracy rate}_i + \beta_{13} \text{Hospital beds}_i +$$

$$186 \quad \beta_{14} \text{PM}_{2.5,i} * + \beta_{15} \text{PM}_{10,i} * + \beta_{16} \text{SO}_{2,i} * + \beta_{17} \text{CO}_i * + \beta_{18} \text{NO}_{2,i} * + \beta_{19} \text{O}_{3,i} *$$

(2)

187
188 where $Flow_i$ is the passenger volume from Wuhan to city i during the Spring Festival 2020, before
189 the Wuhan travel ban; *Peak time of flow* reflects the corresponding peak time. *Within-city*
190 *movements_i* shows the effect of social distancing within a city between the travel ban and 6 March
191 2020 in city i . GDP is the gross domestic product per capita of city i . *Smoking prevalence* and
192 *second-hand smoking prevalence in non-smokers* was extracted from the published literature. The
193 proportion of residents older than 65 years, *illiteracy rate*, *number of hospital beds*, population,
194 and population density of city i were extracted from census data. Climate conditions are
195 represented by *temperature* and *rainfall* in summer and winter. The *relative humidity* is the annual
196 mean in city i . *Latitude* and *longitude* show the spatial distribution of city i . $PM_{2.5}$, PM_{10} , SO_2 , CO ,
197 NO_2 , and O_3 are the daily average concentration data of air pollutants in city i between 1 January
198 2015 and 31 December 2019. Air pollution variables were included in the model separately
199 because of the high multicollinearity among them. β s are regression coefficients. The variation per
200 unit (VPU) = $[\exp(\text{variable coefficient}) - 1] \times 100\%$ was used to describe the effect. The VPU can
201 be interpreted as the percentage increase in the number of COVID-19 cases associated with a 10-
202 $\mu\text{g}/\text{m}^3$ increase in long-term average air pollutant exposure. We used the R software version 3.6.3,
203 MASS package in the analyses (The R Foundation for Statistical Computing, Vienna, Austria).

204 205 *Sensitivity analysis*

206 We conducted additional sensitivity analyses to assess the robustness of our results. We fit models,
207 omitting adjusted variables separately and air pollutant concentrations in winter and non-winter
208 seasons. To examine the associations after adjusting all other confounders, cities were categorized
209 into three separate groups according to population size: small-sized (0–2.68 million population, n
210 = 98), medium-sized (2.68–4.67 million, n = 97), and large-sized (4.67–30.75 million, n = 98)
211 cities.

212 213 214 **Results**

215 216 *Analysis of COVID-19 in China*

217 Between 31 December 2019 and 6 March 2020, a total of 81,132 cases of COVID-19 were
218 reported across China. Of these, 62.6% (50,783/81,132) of cases were clustered in Wuhan city; the
219 remaining 37.4% (30,349/81,132) of cases were distributed across 324 other cities. After 6 March
220 2020, there were very few locally acquired infections outside Wuhan city during the first wave.
221 There was sustained local transmission of COVID-19 in Wuhan city, so data from that city were
222 not included in the subsequent analysis. Figure 1 shows that the 324 cities had widely distributed
223 COVID-19 cases from 31 December 2019 to 6 March 2020. Additionally, with more travelers
224 from Wuhan before the Spring Festival and more numerous COVID-19 cases reported in each
225 city,

226 227 *Air pollution reduction and travel restrictions*

228 The average daily concentrations of $PM_{2.5}$, PM_{10} , SO_2 , CO , NO_2 , and O_3 during the first wave of
229 the COVID-19 epidemic were 52.13 $\mu\text{g}/\text{m}^3$, 69.58 $\mu\text{g}/\text{m}^3$, 0.93 mg/m^3 , 24.58 $\mu\text{g}/\text{m}^3$, and 51.29
230 $\mu\text{g}/\text{m}^3$ among the 324 cities, respectively (Supplemental Table 1). On 23 January 2020, China

231 banned travel movement from and to Wuhan, in an attempt to control the epidemic. After that
232 date, a series of social distancing and lockdown policies were implemented throughout China to
233 control the COVID-19 epidemic. During the lockdown period, air pollution emissions were
234 markedly reduced. In particular, average levels of SO₂, PM_{2.5}, and PM₁₀ were decreased by 21%,
235 18%, and 16%, respectively, compared with levels before implementation of the restriction
236 policies. NO₂ experienced a reduction of 8.17 µg/m³, which was one of the most significant
237 changes among all examined air pollutants after the social distancing measures came into force.
238 The results also indicated that these measures significantly reduced movement within cities during
239 2020 in comparison with that during 2019 (Figure 2). The air quality in 2020 was also
240 significantly improved, compared with that during the same period in 2019. The changes in
241 average daily concentrations of PM_{2.5}, PM₁₀, SO₂, CO, NO₂, and O₃ were $-7.02\% \pm 32.9\%$,
242 $-19.25\% \pm 22.03\%$, $-15.06\% \pm 20.56\%$, $-5.46\% \pm 18.73\%$, $-20.17\% \pm 29.56\%$, and $5.01\% \pm$
243 16.01% , respectively, from 31 December to 6 March 2020.

244

245 *Effect of long-term exposure to air pollutants on COVID-19 cases and severe infections*

246 We collected data on a range of confounding variables, such as GDP per capita, smoking
247 prevalence, climate data, illiteracy rate, and age composition (age > 65 years), together with travel
248 movements from Wuhan and within-city movements (as measures of compliance with social
249 distancing). These variables were adjusted in the statistical analysis. The population size of each
250 city and number of COVID-19 deaths and hospital beds were not included in the model because of
251 high multicollinearity with travel movements from Wuhan. The movements of approximately 4.3
252 billion people during the Spring Festival holiday also induced uncoordinated changes in actual
253 population sizes across cities (Simiao, Juntao et al. 2020). As expected, the number of COVID-19
254 cases in each city increased with increased population inflow from Wuhan; more infections were
255 reported in cities that had more travelers from Wuhan. Overall, we observed positive and
256 significant associations between confirmed cases of COVID-19 with historical air pollutant
257 concentrations (Figure 1A). In the 324 cities (except Wuhan) that had data on air quality, an
258 increase of 10 µg/m³ in the NO₂, PM_{2.5}, and PM₁₀ concentrations was associated with a 37.8%
259 (95% confidence interval [CI]: 23.8%–52.0%), 32.3% (95% CI: 22.5%–42.4%), and 14.2%
260 (7.9%–20.5%) increase in COVID-19 cases. We also examined the relationship between the
261 number of severe COVID-19 cases and air pollutant levels. An increase of 10 µg/m³ in NO₂,
262 PM_{2.5}, and PM₁₀ concentrations was associated with a 26.3% (95% CI: 11.7%–40.8%), 15.7%
263 (95% CI: 6.3%–25.2%), and 6.43% (95% CI: 0.6%–12.2%) increase in severe COVID-19 cases.
264 The results were statistically significant and robust in sensitivity analyses (Table 2 and Figure 3).
265 The analysis was also carried out using separate datasets. Cities were categorized according to
266 population size into small, medium, and large cities. There was no significant effect of long-term
267 exposure to most of the air pollutants on susceptibility to COVID-19 infection when the data were
268 stratified by population size. While, the effect persisted after stratification in NO₂ and PM_{2.5} on
269 confirmed COVID-19 cases among large cities and small cities, and the impact of PM₁₀ in severe
270 COVID-19 cases among large cities. This shows the importance of population as a confounding
271 variable in the analysis.

272

273

274 **Discussion**

275

276 In the present study, we clarified the association between long-term exposure to air pollution and
277 vulnerability to COVID-19 infection during the first epidemic wave in China. The present results
278 were derived from a large, newly compiled and geocoded repository of population and
279 epidemiological data relevant to COVID-19. Indeed, several studies have evaluated the
280 association between air pollution exposure and COVID-19 risk (Copat, Cristaldi et al. 2020). Our
281 finding was in line with studies based on large-scale nationwide data, including from the US (Wu,
282 Nethery et al. 2020), UK (Konstantinoudis, Padellini et al. 2020, Travaglio, Popovic et al. 2020,
283 Travaglio, Yu et al. 2021), Italy (Conticini, Frediani et al. 2020, Fattorini and Regoli 2020), The
284 Netherlands (Andree 2020), Spain, France, and Germany (Ogen 2020), as well as various
285 provinces of China (Yongjian, Jingu et al. 2020). Because the first COVID-19 wave was contained
286 in China, meaning that we were able to investigate the impact of historical air pollution on
287 COVID-19 risk and severity.

288

289 In the present study, we found statistically significant evidence that an increase in long-term
290 exposure to NO₂, PM_{2.5}, or PM₁₀ corresponded to an increase in the number of COVID-19 cases
291 and severe infections. The findings are comparable to the results of previous studies in the US
292 (Wu, Nethery et al. 2020) and Northern Europe (Andree 2020), where levels of PM_{2.5} were also
293 found to be strongly associated with COVID-19 incidence, after adjusting for multiple
294 confounders. However, ours was the first study to use complete data from the first wave of the
295 COVID-19 epidemic in China and to identify the association between long-term exposure to air
296 pollution and COVID-19 risk, after controlling for many meteorological and demographic
297 variables as well as human mobility data. The effects of NO₂ and PM₁₀ exposure were also clearly
298 shown in our analysis model, which was a relatively new result. The effects of different
299 components of air pollutants on COVID-19 should be further studied and confirmed.

300

301 Our results highlight the importance of air quality improvements with respect to health in China.
302 In an attempt to control the epidemic, on 23 January 2020, non-essential travel was prohibited in
303 and out of Wuhan city, a major transport hub and megacity of 11 million people. Subsequently, the
304 highest level of emergency response was implemented throughout all of China, to reinforce
305 containment of the COVID-19 epidemic. Interventions included the closure of entertainment
306 venues, suspension of within-city public transport, and prohibition of travel to and from other
307 cities in China. These stringent intervention measures significantly reduced air pollution levels in
308 each city during this period (Le, Wang et al. 2020), which was confirmed in our monitoring results
309 (Figure 2). To some extent, the interventions provided an excellent opportunity to examine the
310 benefits of improved air quality (Chen, Wang et al. 2020). Reducing air pollutant concentrations
311 would reduce the incidence of acute respiratory diseases and people's susceptibility to numerous
312 infectious diseases. Even assuming that problems existed within the multiple interventions, these
313 results were in line with our expectations. Previous studies have demonstrated that exposure to air
314 pollutants is associated with poorer lung function, often measured using forced vital capacity and
315 forced expiratory volume in 1 second (Ackermann-Liebrich U, Leuenberger P et al. 1997, Forbes
316 LJ, Kapetanakis V et al. 2009, Urman R, McConnell R et al. 2014, Adam M, Schikowski T et al.
317 2015). Exposure to particulate matter may be associated with an increased risk of
318 cardiopulmonary diseases (Chen, Yin et al. 2019), aggravation of the case fatality rate of SARS

319 (caused by SARS-CoV, another strain of coronavirus) (Cui, Zhang et al. 2003), and impaired
320 immune response (Wei and Tang 2018). Oxidant pollutants could also damage the innate immune
321 response and increase host susceptibility to viruses by interfering with the function of
322 macrophages(Karan, Ali et al. 2020, Qu, Li et al. 2020). Nitrogen oxides (NO_x) cause
323 inflammatory responses and worsen pre-existing lung inflammation, resulting in a direct effect on
324 the risk of respiratory diseases (Takahashi Y, Mochitate K et al. 1986, Conticini, Frediani et al.
325 2020), which is also shown in the Comparative Toxicogenomics Database (<http://ctdbase.org>).
326 NO₂ is primarily produced by traffic and factories and is less influenced by climatic conditions, so
327 there were reductions in the concentration of NO_x with a decline in within-city movements during
328 the COVID-19 outbreak throughout China. Increased O₃ and SO₂ concentrations were associated
329 with lower COVID-19 risk, which was also consistent with previous studies (Travaglio, Popovic
330 et al. 2020, Yongjian, Jingu et al. 2020). However, the mechanisms underlying the impact of these
331 pollutants on COVID-19 risk remain uncertain.

332

333 The association between long-term exposure to air pollution and vulnerability to COVID-19 may
334 be affected by some confounders. We considered many variables for inclusion in the initial model,
335 such as population, inflow from Wuhan, and the number of COVID-19 deaths and hospital beds.
336 However, owing to the collinearity among these four variables, we retained only one of these
337 variables. We believe that in the first COVID-19 wave, the population inflow from Wuhan was the
338 most important factor affecting development of the epidemic. Therefore, only the variable of
339 inflow from Wuhan was retained and the other three were eliminated from the final model.
340 However, we believe that the variable of population is also very important; thus, a hierarchical
341 analysis for the population variable was conducted separately. We found that the association
342 between long-term exposure to air pollutants and vulnerability to COVID-19 differed among
343 different-sized cities. In large-sized cities, the association was more obvious, which may be owing
344 to better health care, better case reporting, and better testing policies in large cities. Therefore, the
345 effect of population size is complex and needs further analysis.

346

347 As with other novel infectious diseases, there were many challenges in the diagnosis of COVID-
348 19 during the first epidemic wave (Arons, Hatfield et al. 2020, Li, Geng et al. 2020). Concern has
349 been expressed about the sensitivity and specificity of the tests initially used to identify people
350 infected with SARS-CoV-2. The performance of the detection methods has improved over time
351 (Carter, Garner et al. 2020). At the same time, there is a lack of adequate testing in most countries,
352 and treatment of patients with COVID-19 in many jurisdictions is inadequate owing to
353 overburdened health systems. As a result, many cases and deaths that were attributable to COVID-
354 19 have not been confirmed. These issues may have some influence on the findings of this study.
355 For example, this situation would lead to inaccuracies in the number of confirmed cases or severe
356 cases of COVID-19 infection, which would cause some bias in the results. This may also be a
357 reason that rates of greater severity of the disease are consistently lower. However, early studies
358 during the epidemic can draw on the results of a pure transmission model. The present study
359 focused on the association between air pollution and COVID-19 in the first wave in Wuhan,
360 China. The variables included important human mobility data, such as inflow from Wuhan and
361 peak time of inflow from Wuhan. These data would well reflect some important scientific
362 problems in the early stages of an outbreak of a new infectious disease.

363

364 Several important caveats are worth mentioning. First, the data included here were all from
365 mainland China; it is therefore unclear whether the findings can be generalized to other countries
366 without data on historic air pollution exposure. Second, there are currently no high-quality records
367 at city level regarding severe COVID-19 infections and ICU admissions, although we have
368 attempted to fill this gap by using province-level reports. Third, air pollution data from ambient air
369 quality stations across China do not necessarily reflect exposure to indoor air pollution; this may
370 therefore bias the results. Finally, we do not know the exact number of cases because we do not
371 know the number of asymptomatic and mildly symptomatic cases that may not have been
372 recorded. These data will not be available until there has been a systematic survey of infection
373 (e.g., via serological testing) across China. However, we reported a national-level disease pattern
374 covering 324 cities and its potential association with long-term exposure to air pollutants.

375

376 **Conclusions**

377

378 In the present study, we found a significant positive association between long-term exposure levels
379 to PM_{2.5}, PM₁₀, NO₂, and the risk and severity of COVID-19 infection in China. Our findings
380 suggest that air pollution may be related to population vulnerability to COVID-19. Interventions to
381 control the COVID-19 outbreak in China successfully reduced air pollution levels and potentially
382 prevented further cases of acute respiratory disease. Improved air quality may be conducive to
383 reducing the hazards of respiratory infectious diseases. The link between COVID-19 and air
384 pollution deserves more definitive and global data analysis.

385

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401

402 **Declaration of competing interests**

403 The authors declare that they have no known competing financial interest or personal relationships
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405

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525

526

Table 1. Databases and sources of coronavirus disease 2019 (COVID-19) and air pollution data

Database	data provider	Source
COVID-19		
Confirmed COVID-19 cases	Provincial Health Committees which contained data of each city	Official website of health commission of 34 provincial-level administrative units and 342 city-level units
Severe COVID-19 cases	Provincial Health Committees which contained data of each city	Official website Health commission of 34 provincial-level
COVID-19 deaths	Provincial Health Committees which contained data of each city	Official website of health commission of 34 provincial-level administrative units and 342 city-level units
Human mobility data		
Outflow from Wuhan	Baidu location-based services mobile phone data provided by the telecommunications operators	https://qianxi.baidu.com/
Within-city movements	The activities index of human mobility with a city	https://qianxi.baidu.com/
Meteorological data		
PM _{2.5} , PM ₁₀ , SO ₂ , CO, NO ₂ , and O ₃	China National Environmental Monitoring Centre, 2015-2019	http://www.cnemc.cn/
Temperature	Mean Temperature of Warmest/Colest Quarter (2015-2019)	https://www.worldclim.org/data/bioclim.html
Rainfall	Precipitation of Warmest/Colest Quarter (2015-2019)	https://www.worldclim.org/data/bioclim.html
Relative Humidity	National Meteorological Information Center (CMA Meteorological Data Center, 2015-2019)	http://data.cma.cn/
Demographic data		
Gross domestic product (GDP)	China City Statistical Yearbook 2019	http://www.stats.gov.cn/tjsj/ndsj/2019/indexeh.htm
Age structure	Sixth National Population Census of the People's Republic of China (2013)	http://www.stats.gov.cn/tjsj/pcsj/rkpc/6rp/indexch.htm
Smoking and second-hand smoking prevalence	Chinese National Nutrition and Health Survey (NNHS)	PMID: 24698853
Hospital beds	China City Statistical Yearbook 2019	http://www.stats.gov.cn/tjsj/ndsj/2019/indexeh.htm
Illiteracy rate	Sixth National Population Census of the People's Republic of China (2013)	http://www.stats.gov.cn/tjsj/pcsj/rkpc/6rp/indexch.htm

528 COVID-19, coronavirus disease 2019; PM_{2.5}, particulate matter $\leq 2.5 \mu\text{m}$; PM₁₀, particulate matter
529 $\leq 10 \mu\text{m}$; SO₂, sulfur dioxide; CO, carbon monoxide; NO₂, nitrogen dioxide; O₃, ozone; CMA,
530 China Meteorological Administration.

531 **Table 2.** Impact of historical air pollution exposure on cases of COVID-19

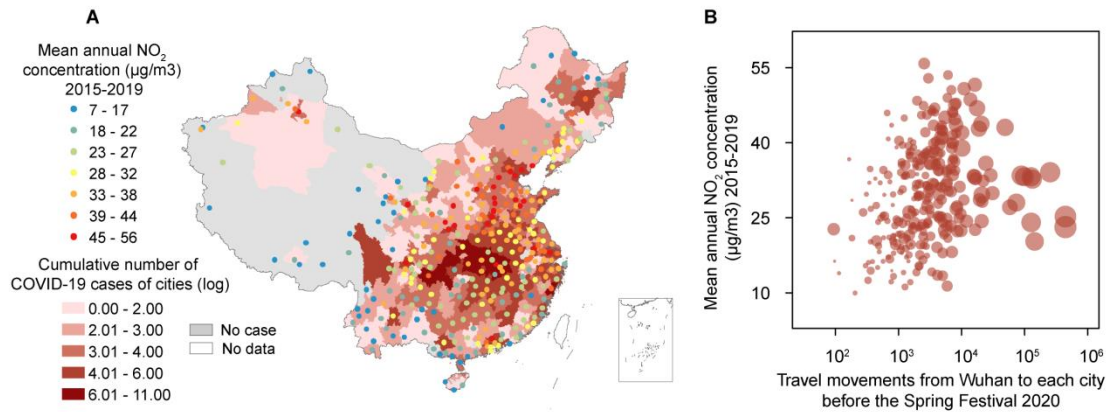
Covariates	Confirmed COVID-19 cases			Severe COVID-19 cases		
	Coefficient (95%CI)	std	P	Coefficient (95%CI)	std	P
NO₂	0.378 (0.238,0.52)	0.072	<0.001	0.263(0.117,0.408)	0.074	<0.001
Intercept	-1.697 (-3.436,0.021)	0.882	0.055	-1.919(-3.437,-0.401)	0.771	0.013
Inflow from Wuhan	0.007 (0.007,0.008)	<0.001	<0.001	0.016(0.013,0.019)	0.002	<0.001
Within-city movements	-1.14 (-1.382,-0.901)	0.123	<0.001	-0.853(-1.098,-0.609)	0.124	<0.001
Peak of inflow from Wuhan	0.175 (0.133,0.218)	0.022	<0.001	0.086(0.043,0.13)	0.022	<0.001
Mean temperature of coldest quarter	-0.023 (-0.045,-0.001)	0.011	0.040	0.006(-0.014,0.027)	0.010	0.528
Relatively humidity	0.048 (0.029,0.067)	0.010	<0.001	0.028(0.012,0.045)	0.008	0.001
Illiteracy rate	0.053 (0.014,0.09)	0.019	<0.001	0.0188(-0.017,0.055)	0.018	0.305
PM_{2.5}	0.323 (0.225,0.424)	0.051	<0.001	0.157(0.063,0.252)	0.048	0.001
Intercept	-1.237 (-2.841,0.329)	0.809	0.128	-1.313(-2.689,0.062)	0.699	0.061
Inflow from Wuhan	0.007 (0.006,0.007)	<0.001	<0.001	0.015(0.012,0.018)	0.002	<0.001
Within-city movements	-1.376 (-1.617,-1.139)	0.122	<0.001	-0.961(-1.192,-0.731)	0.117	<0.001
Peak of inflow from Wuhan	0.137 (0.096,0.179)	0.021	<0.001	0.077(0.033,0.121)	0.022	0.001
Mean temperature of coldest quarter	-0.003 (-0.027,0.022)	0.012	0.790	0.01(-0.01,0.03)	0.010	0.329
Relatively humidity	0.052 (0.032,0.071)	0.010	<0.001	0.026(0.01,0.042)	0.008	0.002
Illiteracy rate	0.049 (0.008,0.087)	0.020	0.016	0.019(-0.017,0.056)	0.018	0.293
PM₁₀	0.142 (0.079,0.205)	0.032	<0.001	0.0643(0.006,0.122)	0.032	<0.001
Intercept	-1.183 (-3.023,0.609)	0.927	0.203	-1.183(-2.861,0.217)	0.927	0.203

Inflow from Wuhan	0.007 (0.006,0.007)	<0.001	<0.001	0.007(0.012,0.018)	<0.001	<0.001
Within-city movements	-1.346 (-1.604,-1.092)	0.131	<0.001	-1.346(-1.208,-0.742)	0.131	<0.001
Peak of inflow from Wuhan	0.148 (0.104,0.194)	0.023	<0.001	0.148(0.037,0.126)	0.023	<0.001
Mean temperature of coldest quarter	-0.009 (-0.033,0.016)	0.013	0.455	-0.009(-0.011,0.03)	0.013	0.455
Relatively humidity	0.054 (0.032,0.076)	0.011	<0.001	0.054(0.012,0.046)	0.011	<0.001
Illiteracy rate	0.046 (0.003,0.086)	0.021	0.033	0.046(-0.021,0.053)	0.021	0.033

532 COVID-19, coronavirus disease 2019; PM_{2.5}, particulate matter $\leq 2.5 \mu\text{m}$; PM₁₀, particulate matter

533 $\leq 10 \mu\text{m}$; NO₂, nitrogen dioxide; CI, confidence interval.

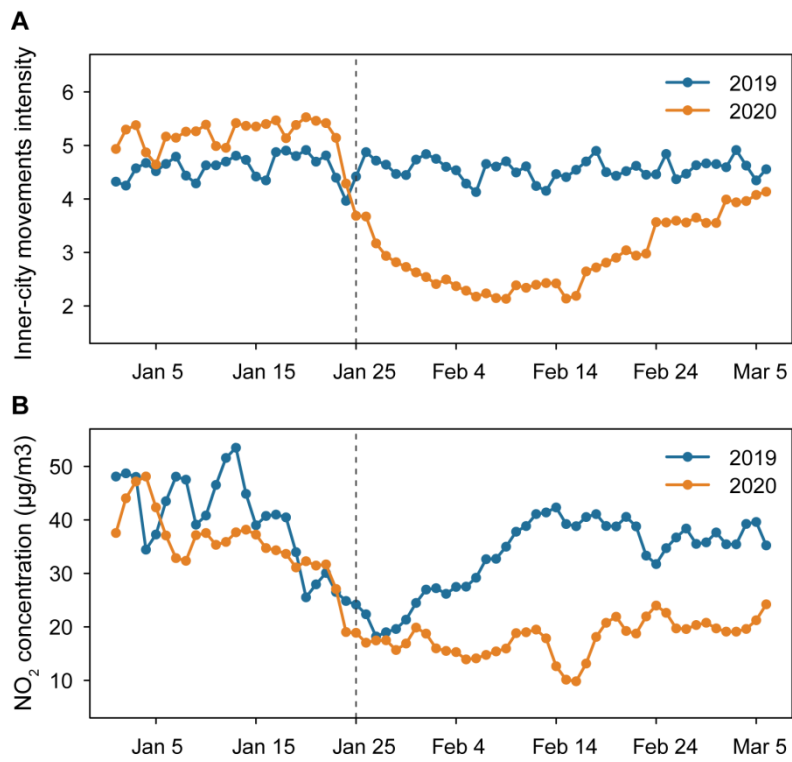
534



535

536 **Figure 1.** Air pollution exposure, coronavirus disease 2019 (COVID-19) cases, and travel
 537 movements in 324 cities of China during Spring Festival 2020. (A) Distribution of cities with data
 538 on nitrogen dioxide (NO₂) concentrations and COVID-19 cases. Shading from light red to dark
 539 red represents cumulative number of confirmed COVID-19 cases in each city, from low to high,
 540 respectively, from 31 December 2019 to 6 March 2020; white area represents no data, and grey
 541 area represents no cases. Points colored from blue to red represent historic mean annual NO₂
 542 concentrations (µg/m³), from low to high, respectively, during January 2015 and December 2019,
 543 prior to the COVID-19 epidemic. (B) Association between the cumulative number of confirmed
 544 cases, the number of human movements from Wuhan to each city, and historic mean annual NO₂
 545 concentration. The area of the circles represents the cumulative number of cases reported by 6
 546 March 2020.

547

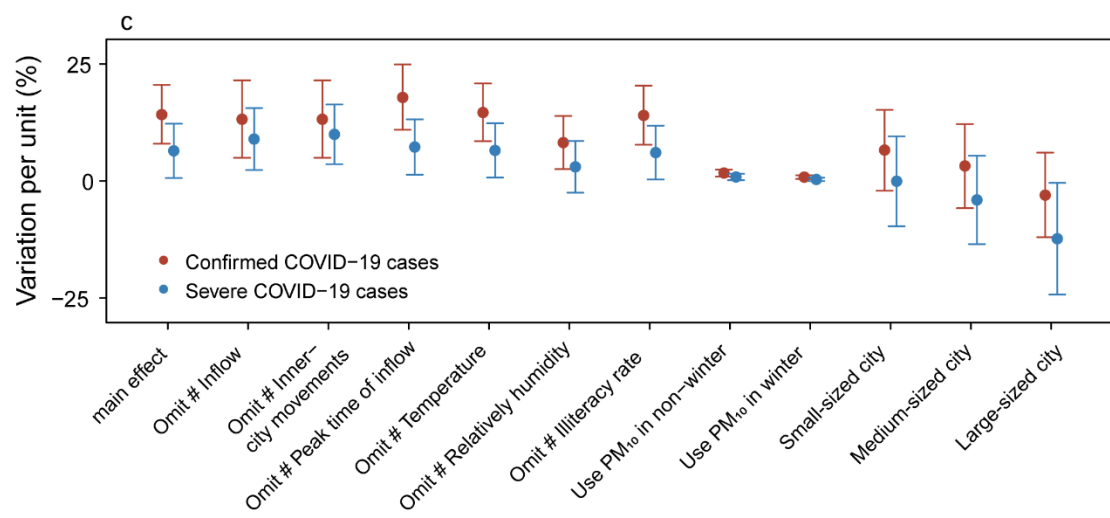
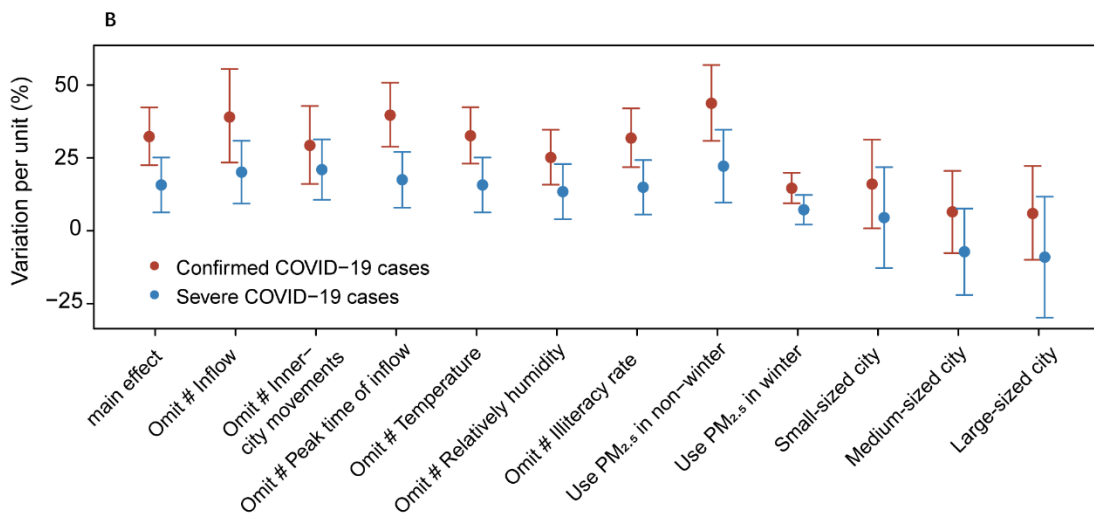
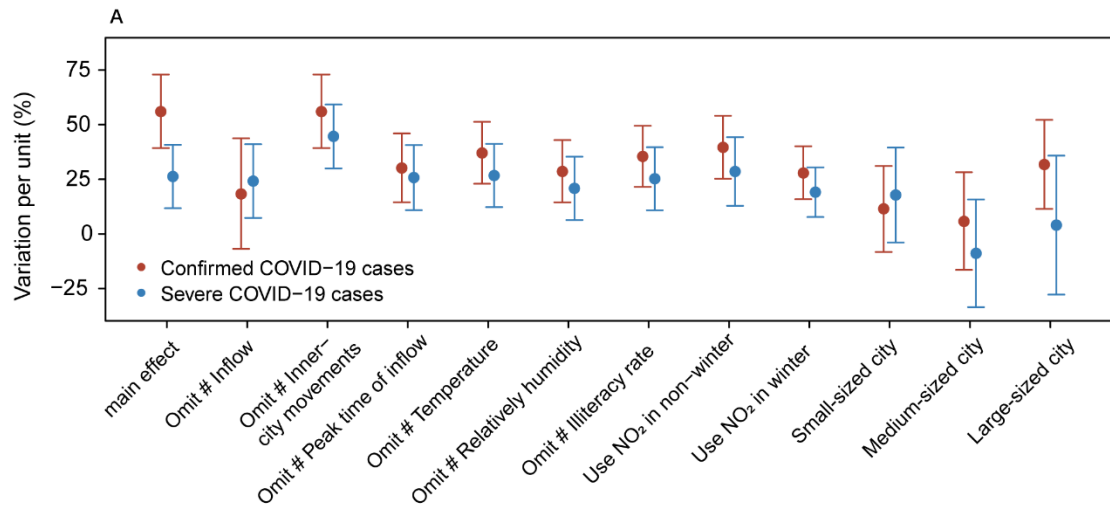


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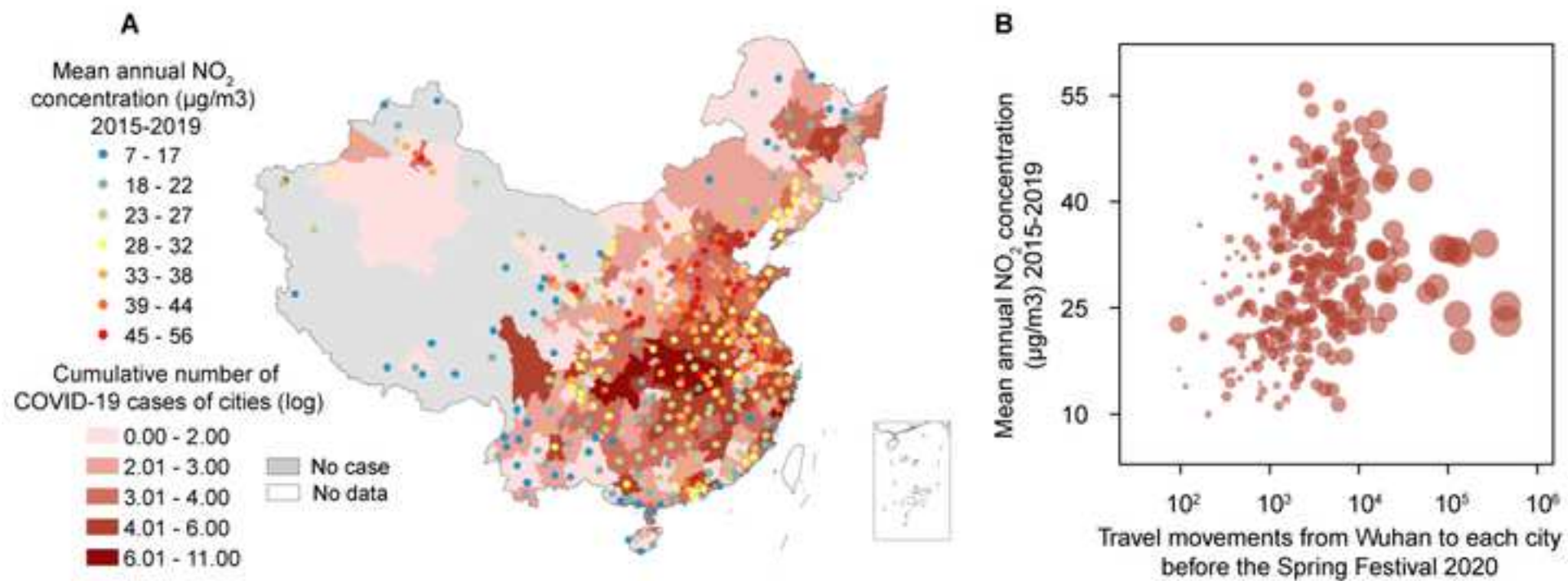
550 **Figure 2.** (A) Average within-city movement intensity and (B) air pollutant concentration in 324
 551 cities of China during the 2020 COVID-19 outbreak (orange line), compared with the same period
 552 in 2019 (blue line).

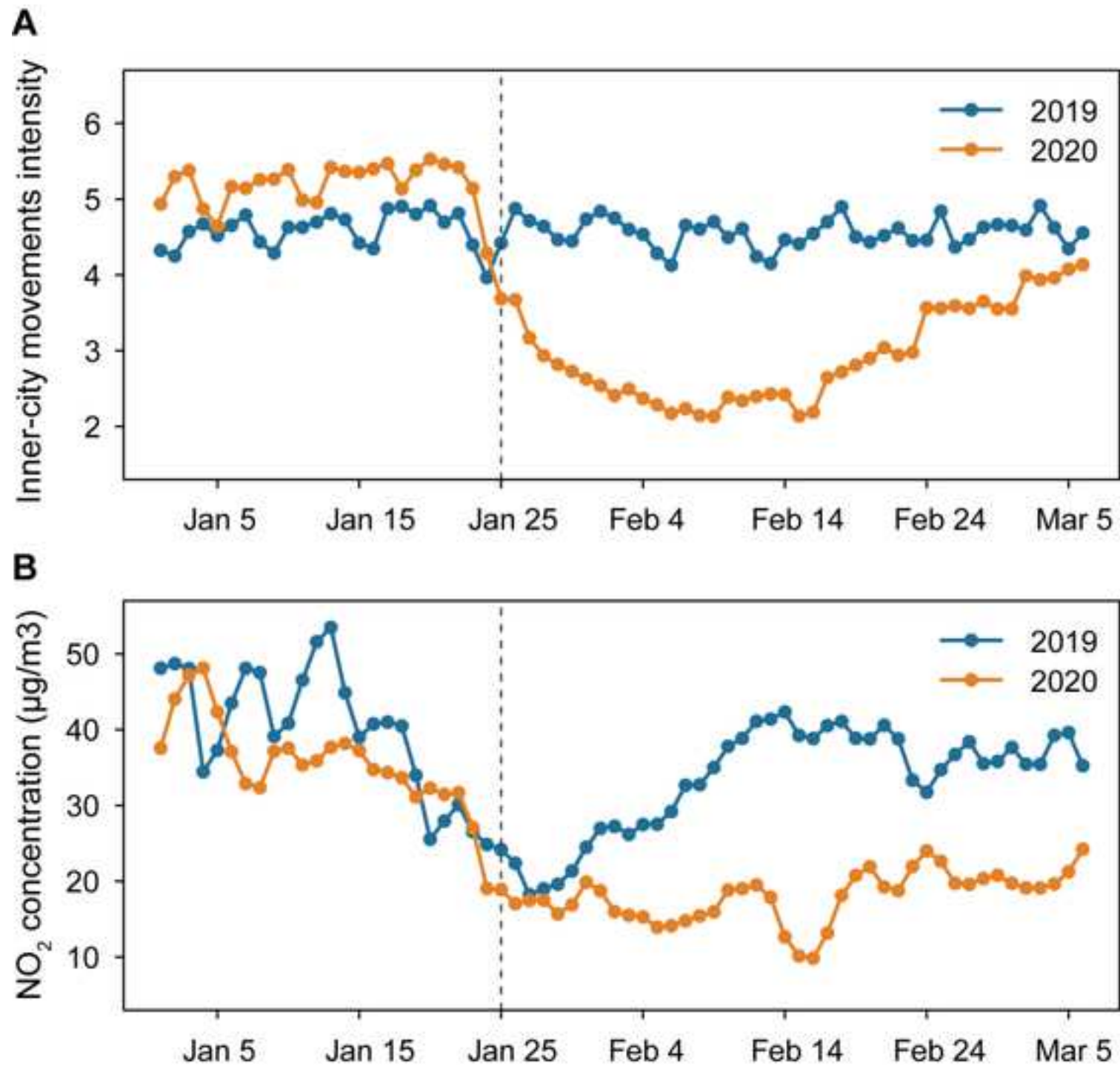
553 NO₂, nitrogen dioxide.

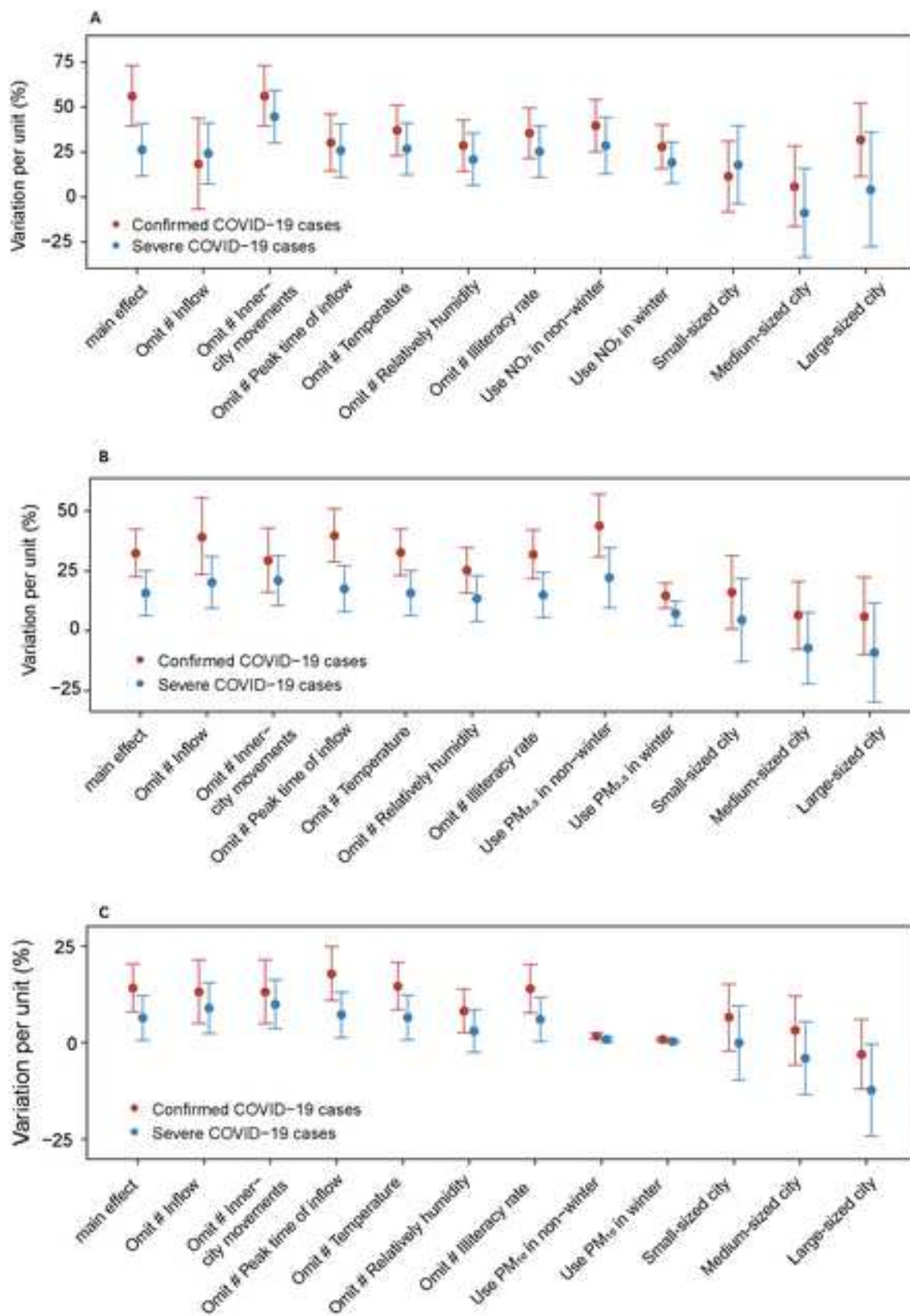
554



556 **Figure 3.** Variation per unit and 95% confidence intervals, (A) NO₂, (B) PM_{2.5}, and (C) PM₁₀. The
557 variation per unit (VPU) = $[\exp(\text{variable coefficient}) - 1] \times 100\%$. The VPU can be interpreted as
558 the percentage increase in the number of COVID-19 cases associated with a 10- $\mu\text{g}/\text{m}^3$ increase in
559 long-term average NO₂ and PM_{2.5}. The VPU from the main analysis was adjusted for confounding
560 factors. In the sensitivity analyses, we omitted each confounding factor separately, and used
561 seasonal air pollutant concentrations.
562
563 COVID-19, coronavirus disease 2019; PM_{2.5}, particulate matter $\leq 2.5 \mu\text{m}$; PM₁₀, particulate matter
564 $\leq 10 \mu\text{m}$; NO₂, nitrogen dioxide.







Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Author contributions: P.Z., H.T., G.J. and N.C.S. designed the study. H.S. collected and processed the LBS data. Y. L., X.Y., and B. L. collected the statistical data. Y.L. and C.-H.W. conducted the analyses. Z.C. H.T., C.-H.W., M.U.G.K. and Y.Z. edited the manuscript. P.Z. and Z.C. wrote and revised the manuscript. All authors read and approved the manuscript.



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1 **The association between coronavirus disease 2019 (COVID-19) and long-term exposure to**
2 **air pollution: evidence from the first epidemic wave in China**

3
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29 **Abstract**

30 People with chronic obstructive pulmonary disease, cardiovascular disease, or hypertension have a
31 high risk of developing severe coronavirus disease 2019 (COVID-19) and of COVID-19 mortality.
32 However, the association between long-term exposure to air pollutants, which ~~would~~ increases
33 cardiopulmonary damage, and vulnerability to COVID-19 has not yet been fully established. We
34 collecated data of confirmed COVID-19 cases during the first wave of the epidemic in mainland
35 China. We fitted a generalized linear model using city-level COVID-19 cases and severe cases as
36 the outcome, and long-term average ~~levels of~~ air pollutant levelss as the exposure. Our analysis
37 was adjusted using several variables, including a mobile phone dataset, covering human
38 movement from Wuhan before the travel ban and movements within each city during the ~~time-~~
39 period of the emergency response. Other variables included ~~eensus,~~ smoking prevalence, climate
40 data, and socio-economic data, education level, ~~–~~ and number of hospital beds ~~data from for~~ 324
41 cities in China. After ~~We~~ adjustinged for human mobility and socio-economic factors, ~~we –and~~
42 found ~~that an increase of~~ 37.8% (95% confidence interval [CI]: 23.8%–52.0%), 32.3% (95% CI:
43 22.5%–42.4%), and 14.2% (7.9%–20.5%) ~~increase~~ in the number of COVID-19 cases for every
44 10- $\mu\text{g}/\text{m}^3$ increase in ~~the~~ long-term exposure to NO_2 , $\text{PM}_{2.5}$, ~~a,~~ and PM_{10} , ~~r,~~ respectively. However,
45 when stratifying the data by according to population size classes, the association became non-
46 insignificant ~~the association varied in different population sized cities.~~ The present results are
47 derived from a large, newly compiled and geocoded repository of population and epidemiological
48 data relevant to COVID-19. The findings suggested that air pollution may be related to ~~the~~
49 population vulnerability to COVID-19 infection, although the extent to which this relationship is
50 confounded by city population density needs further exploration. ~~The link between COVID-19~~
51 ~~and air pollution deserves a more definitive and global data analysis.~~

52

53 **Main Finding**

54 This research reported a national-level association with long-term exposure to air pollutants and
55 COVID-19 cases covering 324 cities in China.

56

57 **Key words**

58 Coronavirus disease 2019; COVID-19; Air pollution; Chronic exposure ~~Long-term exposure~~

59

60

61 **Introduction**

62 ~~C~~The novel coronavirus disease 2019 (COVID-19) has rapidly spread across the world. ~~To date, -~~
63 ~~and~~ the virus that causes COVID-19, severe acute respiratory disease coronavirus 2 (SARS-CoV-
64 2), ~~so far had~~ has infected more than ~~63-101~~ million people ~~and led to, -with~~ approximately ~~1.52.16~~
65 million fatalities, ~~-~~ according to John Hopkins University. Currently, several reports suggested ~~ed~~ that
66 air pollution is associated with an increased ~~the~~ risk of death or severe ~~eases illness -~~ among ~~the~~
67 ~~population~~ people with infected COVID-19 infection (Magazzino, Mele et al. 2020, Wu, Nethery
68 et al. 2020, Yao, Pan et al. 2020, Travaglio, Yu et al. 2021), ~~based on~~ the basis of the a biologically
69 plausible pathway that which might lead to serious deterioration (Conticini, Frediani et al. 2020,
70 Frontera, Cianfanelli et al. 2020). ~~The virus (SA—RS-CoV-2)~~ can induce respiratory distress, so
71 individuals with a compromised respiratory system are expected to be more vulnerable to
72 infection (Qu, Li et al. 2020), and people with pre-existing conditions are more vulnerable to
73 severe infection. A ~~M~~meta-analysis has showed ~~ed~~ that chronic obstructive pulmonary disease
74 (COPD), cardiovascular disease ~~(CVD)~~, and hypertension are associated with severe COVID-19
75 infection and admission to the intensive care units (ICUs) (Jain and Yuan 2020).

76
77 Long-term exposure to air pollution affects lung function and is associated with the risk of many
78 lung ~~diseases~~ impacts, including ~~the an~~ increased prevalence of COPD, acute lower respiratory
79 illness, and lung cancer (Götschi, Heinrich et al. 2008, Lelieveld, Evans et al. 2015, Doiron, de
80 Hoogh et al. 2019, Liu, Chen et al. 2019). Moreover, recent ~~implementation tried~~ research has put
81 forth to explain the potential biological mechanisms of alveolar angiotensin-converting enzyme 2
82 ~~(ACE-2)~~ and population susceptibility ~~on to~~ COVID-19 infection (Paital and Agrawal
83 2020). In addition ally, chronic lung exposure to pollutants may lead to injury due owing to
84 pulmonary damage by from oxidative stress, macrophage dysfunction, and a disrupted epithelial
85 barrier ~~could facilitate the access of inhaled pathogens, thereby increasing~~ enhancing
86 susceptibility of the upper airways (Ciencewicki and Jaspers 2007, Frontera, Cianfanelli et al.
87 2020, Zhu, Xie et al. 2020). ~~This~~ at implies d that long-term exposure might increase ~~the~~
88 vulnerability to lung diseases, thereby affecting the risk of COVID-19 infection risk in the
89 whole population. However, recent studies ~~tend to~~ ignore the broad implications of long-term
90 ~~impairs effects~~ on the lungs. Furthermore, few studies have considered the important role of
91 human travel and movement in the ~~progress of COVID-19~~ spatial spread of COVID-19, which
92 might serve as a critical confounding variable in evaluating the effects of -caused by air pollution.
93 We ~~need to~~ must understand the impact of air pollution exposure on COVID-19 infection and
94 disease severity (Villeneuve and Goldberg 2020) ~~- in the general public and to the public, to -This~~
95 ~~could will~~ help to improve future modelling and disease burden calculations in countries around
96 the world.

97
98 During the first epidemic wave of COVID-19 in China, to prevent further dissemination of the
99 ~~illness~~ disease, officials in Wuhan prohibited all transport in and out of the city on 23 January
100 2020. In the following days, cities across mainland China ~~activated~~ launched the highest level ~~of~~
101 emergency response and were able to ~~contained~~ control ~~led the epidemic~~ outbreaks outside of
102 Wuhan ~~up until~~ by 6 March 2020. These measures substantially reduced air pollution ~~-~~, which
103 ~~was~~ confirmed by a significant reduction in pollution levels was observed across cities in China
104 between January and March 2020 (Chen, Wang et al. 2020, Villeneuve and Goldberg 2020). These

105 ~~d~~Data ~~on~~from Chinese cities (excluding Wuhan) ~~are~~were ideal to assess the relationship between
106 long-term average air pollution exposure and COVID-19 risk (~~Figure 1~~) because these cities had
107 widely distributed COVID-19 cases, ~~and~~ highly variable historical air quality, ~~and, which had~~ve
108 been ~~surveyed~~comprehensively surveyed under consistent criteria and data standards across the
109 country. Air pollution ~~has~~produces both acute and long-term health effects, so ~~a reduction in~~
110 pollution ~~reduction levels can~~ serves as a natural experiment ~~to in how to~~ offset ~~the~~potential
111 acute effects ~~of air pollution in particular~~.

112
113 Considering the proven link between air pollution and ~~the~~ high risk of ~~severe~~ COVID-19
114 ~~severity~~infection, we ~~therefore~~ suspected that long-term exposure to air pollutants, which
115 increases cardiopulmonary damage, would be associated with increased vulnerability to COVID-
116 19. To test this hypothesis, ~~we identified~~ socio-demographic and behavioral confounders ~~were~~
117 ~~identified~~ through a literature search. We first investigated the effect of travel ~~and~~ movement ~~s~~
118 from Wuhan city, the location where COVID-19 was first recorded, ~~and from where it spread~~
119 across China. ~~D~~In 2020, during ~~travel for~~ the Spring Festival holiday ~~in 2020~~, approximately 4.3
120 million people travelled ~~out of from~~ Wuhan to other cities in China (Tian, Liu et al. 2020). ~~These is~~
121 travel ~~patterns were is~~ strongly associated with the total number of cases reported ~~from in~~ each city,
122 suggesting ~~that~~ the outbreaks across China were mainly seeded ~~from in~~ Wuhan city.

125 **2. Methods**

127 *2.1 Epidemiological and demographic data*

128 We collected epidemiological data from ~~the~~ official reports of the health commissions of 324
129 cities, excluding Wuhan (Table 1). These included daily reports from 31 December 2019 to 6
130 March 2020, but excluded newly ~~reported~~, locally ~~acquired~~ infections. Data on the ~~percentage~~
131 ~~proportion~~ of severe COVID-19 cases were obtained from official reports of Provincial Health
132 Committees and ~~were~~ used to interpolate the city-level values. ~~The~~ National Health Commission
133 of the People's Republic of China defined uniform diagnosis and treatment criteria of "2019
134 ~~novel novel coronavirus coronavirus~~ pneumonia", to measure the number of outbreaks, ~~The~~
135 ~~reporting~~ system was supported by local health ~~related~~ departments, including hospitals ~~s~~ and
136 Centers for Diseases Control and Prevention (CDCs) at the provincial or county level (Zanin, Xiao
137 et al. 2020). In the first wave of the COVID-19 epidemic, these local CDCs ~~tried~~ sought to detect
138 the largest possible number of infections, but people ~~who had with a~~ fever and ~~a~~ travel history to
139 Wuhan ~~set were~~ the priority ~~groups for~~ testing. Socio-economic data, including the gross
140 domestic product (GDP) per capita and ~~number of~~ hospital beds, were obtained from ~~the~~ China
141 City Statistical Yearbook 2019. The age structure and illiteracy rate of people aged 15 years ~~and~~
142 ~~older~~ ~~for in~~ each city were obtained from the Sixth National Population Census of the People's
143 Republic of China, which was conducted by the National Bureau of Statistics of People's Republic
144 of China in 2013. ~~The prevalence of s~~Smoking ~~prevalence~~ and second-hand smoking ~~prevalence~~
145 ~~in among~~ non-smokers ~~were was~~ obtained from the Chinese National Nutrition and Health Survey-
146 (NNHS) (Wu, Huxley et al. 2008, Xia, Zheng et al. 2019). ~~These were ecological data were~~
147 ~~ecological and not individual data, which did not involve personal~~ ~~privacy~~ information; ~~therefore,~~
148 ~~an ethics review was not required, so there should be no ethical issue.~~

149

150

151 *2.2 Human mMobility dData*

152 Human movements were tracked using mobile phone data from Baidu location-based services
153 (~~LBS~~) and telecommunications operators (Table 1). The number of recorded movements from
154 Wuhan ~~city~~ to other cities across China was calculated from 11 to 23 January 2020. On 23
155 January, movements from Wuhan ~~dropped to nearly reached almost~~ zero because of the travel ban.
156 ~~MTravel~~ movements within each city were recorded daily until 6 March. The within-city
157 movement index was extracted from the same operators and was measured, to reflect the average
158 times people ~~went out~~ traveled from their location inside ~~the each~~ city every day. This database
159 was ~~implemented developed~~ to describe people's ~~response to compliance with~~ physical
160 distancing policies, ~~such as which included~~ suspending intra-city public transport, closing
161 entertainment venues, and banning public gatherings.

162

163

164 *2.3 S-Data source of afor Air pPollution and mMeteorological data*

165 Original daily data for ~~particulate matter~~ air pollutants concentrations, including ~~particulate matter~~
166 ~~< 2.5 μm and < 10 μm and (PM_{2.5} and -PM₁₀, respectively), sulfur dioxide (SO₂), carbon~~
167 ~~monoxide (-CO), nitrogen dioxide (NO₂), and ozone (O₃)~~ for each city, were obtained from air
168 quality stations across China from January 2015 to March 2020. For each city, the average
169 concentration for each pollutant before the COVID-19 outbreak (January 2020) was calculated
170 across the ~~whole entire available~~ period ~~available~~. The data for temperature and precipitation ~~of~~
171 ~~during the~~ coldest and warmest quarter in each city ~~was were~~ extracted from WorldClim (Table 1),
172 and the annual mean relative humidity was obtained from the National Meteorological
173 Information Center. —

174

175

176 *2.4 Statistical mMethods*

177 To quantify the effect of air pollution on COVID-19 risk, we used ~~the~~ historical data for air quality
178 between 2015 and 2019 and COVID-19 case reports. Socio-demographic and behavioral
179 confounders were identified ~~through in the a~~ literature search. We searched PubMed and preprint
180 servers (medRxiv) using the terms “air pollution”, “COVID-19”, ~~-~~ and “SARS-CoV-2”,
181 regardless of language and date. ~~Among 74 studies identified, 55 were of the 74 results were~~
182 ~~unrelated to public health. Of the remaining 19 studies, -6 studies of the remaining 19 studies~~ used
183 statistical models to investigate the relationship between air pollution and COVID-19 risk. Three
184 papers involved large-scale and nationwide data ~~in from the United States (US), United Kingdom~~
185 ~~(UK), Italy, Spain, France, and Germany.~~ The association between long-term exposure to air
186 pollutants and COVID-19 risk was assessed ~~by using~~ regression with a generalized linear model
187 (GLM):

188

189

$$Y_i \sim \text{Poisson}(\mu_i, \theta) \quad (1)$$

190

191

$$\begin{aligned}
192 \quad & \log(\mu_{it}) = \alpha + \beta_1 \text{Inflow from Wuhan}_i + \beta_2 \text{Peaktime of inflow}_i + \\
193 \quad & \beta_3 \text{Within-city movements}_i + \beta_4 \text{GDP}_i + \beta_5 \text{Smoking prevalence}_i + \\
194 \quad & \beta_6 \text{Secondhand smoking prevalence in nonsmokers}_i + \\
195 \quad & \beta_7 \text{Percent of the population older than 65 years old}_i + \beta_8 \text{Population}_i + \\
196 \quad & \beta_9 \text{Population density}_i + \beta_{10} \text{Temperature}_i + \beta_{11} \text{Rainfall}_i + \\
197 \quad & \beta_{12} \text{Relative humidity}_i + \beta_{13} \text{Illiteracy rate}_i + \beta_{14} \text{Hospital beds}_i + \\
198 \quad & \beta_{15} \text{PM}_{2.5,i} * + \beta_{16} \text{PM}_{10,i} * + \beta_{17} \text{SO}_{2,i} * + \beta_{18} \text{CO}_i * + \beta_{19} \text{NO}_{2,i} * + \beta_{20} \text{O}_{3,i} * \\
199 \quad & \hspace{15em} (2)
\end{aligned}$$

200 where $Flow_i$ is the passenger volume from Wuhan to city i during the Spring Festival 2020, before
201 the Wuhan travel ban; ~~and~~ *Peak time of flow* reflects the corresponding peak time. *Within-city*
202 *movements_i* shows the effect of social distancing within a city between the travel ban and 6 March
203 2020 ~~of in~~ city i . GDP is the gross domestic product per capita of city i . *Smoking prevalence* and
204 *second-hand smoking prevalence in non-smokers* was extracted from the published
205 ~~article~~ *literature*. The proportion of residents older than 65 ~~years~~, *illiteracy rate*, *number of hospital*
206 *beds*, *population*, and *population density* of city i were extracted from census data. Climate
207 conditions ~~were are shown represented~~ by *temperature* and *rainfall* in summer and winter. The
208 *relative humidity* ~~was is~~ the annual mean ~~of the year of in~~ city i . *Latitude* and *longitude* show the
209 spatial distribution of city i . $PM_{2.5}$, PM_{10} , SO_2 , CO , NO_2 , and O_3 ~~show are the~~ daily average
210 concentration data of air pollutants ~~of in~~ city i between 1 January 2015 and 31 December 2019.
211 Air pollution variables were included in the model separately because of the high multicollinearity
212 among them. β s are regression coefficients. The variation per unit (VPU) = $[\exp(\text{variable}$
213 $\text{coefficient}) - 1] \times 100\%$ was used to describe the effect. ~~The~~ VPU can be interpreted as ~~the~~
214 percentage increase in the number of COVID-19 cases associated with a $10\text{-}\mu\text{g}/\text{m}^3$ ~~increase in~~
215 long-term average air pollutants exposure. ~~The analysis~~ We used the R software (~~R Foundation for~~
216 ~~Statistical Computing~~, version 3.6.3), MASS package ~~in the analyses~~ (~~The R Foundation for~~
217 ~~Statistical Computing~~, Vienna, Austria).

219 ~~2.5~~ Sensitivity ~~a~~ Analysis

220 ~~We conducted a~~ Additional sensitivity analyses to assess the robustness of our results ~~were~~
221 ~~conducted~~. We fit models, omitting adjusted variables separately and air pollutants concentrations
222 in winter and non-winter seasons. ~~To examine the associations after adjusting all other~~
223 ~~confounders, c~~ Cities were categorized ~~by population size~~ into three separate groups ~~according to~~
224 ~~population size:~~ ~~small-sized~~ (0–2.68 million population, $n = 98$), ~~medium-sized~~ (2.68–4.67
225 million, $n = 97$), and ~~large-sized~~ (4.67–30.75 million, $n = 98$) ~~cities.~~ ~~to examine the association~~
226 ~~after adjusting all other confounders.~~

229 ~~3~~ Results

230
231
232 ~~3.1~~ Analysis of COVID-19 in China

233 Between 31 December 2019 and 6 March 2020, a total of 81,132 cases of COVID-19 were
234 reported across China. Of these, 62.6% (50,783/81,132) of cases were clustered in Wuhan city;
235 ~~and~~ the remaining 37.4% (30,349/81,132) of cases were distributed across 324 other cities. After 6
236 March 2020, there were very few locally ~~acquired~~ infections outside Wuhan city ~~in~~ during the
237 first wave. There was sustained local transmission of COVID-19 in Wuhan city, so data from ~~there~~
238 that city were not included in the subsequent analysis. Figure 1 shows that the 324 cities had
239 widely distributed ~~with~~ COVID-19 cases ~~across the countries~~ from 31 December 2019 to 6 March
240 2020. Additionally, ~~historical air quality from 2015 to 2019 is~~ was associated with travel
241 ~~movement from Wuhan, also and the~~ with more travelers from Wuhan before the Spring Festival
242 ~~the and~~ more numerous COVID-19 ~~infection~~ cases reported in each city.

243

244 ~~3.2~~ Air pollution reduction and travel restrictions

245 The average daily concentrations of PM_{2.5}, PM₁₀, SO₂, CO, NO₂, O₃, a, and ~~CO~~ O₃ during the first
246 wave of the COVID-19 epidemic were 52.13 µg/m³, 69.58 µg/m³, ~~24.58~~ 0.93 ~~µg/m³~~,
247 ~~11.86~~ 24.58 µg/m³, and 51.29 µg/m³, ~~and 0.93 mg/m³~~ among the ~~324~~ se cities, respectively
248 (Supplemental Table 1). On 23 January 2020, China banned travel movement from and to Wuhan,
249 in an attempt to contain-control the epidemic. ~~Since then~~ After that date, a series of social
250 distancing and lockdown policies were implemented throughout ~~ey in the whole~~ China ~~has had~~
251 ~~implemented~~ to reinforce-control the ~~pandemic of~~ COVID-19 epidemic. During the lockdown
252 period, ~~the~~ air pollution emissions ~~were~~ was markedly reduced. In particular, ~~especially the~~
253 average levels of SO₂, PM_{2.5}, and PM₁₀ were decreased by 21%, 18%, and 16%, respectively, ~~-~~
254 compared with ~~those levels~~ the average level before implementation of the restriction policies. NO₂
255 experienced a reduction of 8.17 µg/m³, which ~~is~~ was one of the most significantly changes among
256 all examined air pollutants after ~~the conducting these~~ social distancing measures came into force.
257 ~~In addition, our~~ the results also indicated that these measures have had significantly reduced ~~the~~
258 movement within cities in during 2020 in comparisoned with that in during 2019 (Figure 2). The
259 average air quality in 2020 was also significantly improved, compared with that during the same
260 period in 2019. The changes in average daily concentrations of PM_{2.5}, PM₁₀, SO₂, CO, NO₂, and
261 O₃ were $-7.02\% \pm 32.9\%$, $-19.25\% \pm 22.03\%$, $-15.06\% \pm 20.56\%$, $-5.46\% \pm 18.73\%$,
262 $-20.17\% \pm 29.56\%$, and $5.01\% \pm 16.01\%$, respectively, from 31 December to 6 March 2020.

263

264 ~~Effect of~~ 3.3 Long-term exposure to air pollutants on COVID-19 cases and severe infections

265 We collected ~~d~~ Data on a range of confounding variables ~~were collected~~, such as gross domestic
266 ~~product~~ GDP per capita, smoking prevalence, climate data, illiteracy rate, and age composition
267 (age > 65 years), together with travel movements from Wuhan and within-city movements (as ~~a~~
268 measures of compliance with social distancing). These variables were used as adjusted ~~control~~
269 ~~variables~~ in the statistical analysis. The population size of each city and number of ~~-~~ COVID-19
270 deaths and hospital beds were not included in the model because of high multicollinearity with
271 travel movements from Wuhan. The movements of approximately ~~4.3~~ 3 billion people during
272 the Spring Festival holiday ~~trips~~ also induced uncoordinated changes in actual population sizes
273 across cities (Simiao, Juntao et al. 2020). As expected, the number of COVID-19 cases in each
274 city increased with increased passenger-population inflow from Wuhan; ~~m-~~ More infections were
275 reported in cities that had more travelers from Wuhan. Overall, we observed positive and
276 significant associations between ~~both~~ confirmed cases of COVID-19 with historical air pollutant

277 concentrations (Figure 1A). In the 324 cities (except Wuhan) that had data on air quality, an
278 increase of 10 $\mu\text{g}/\text{m}^3$ in the NO_2 , $\text{PM}_{2.5}$, or PM_{10} concentrations was associated with a 37.8%
279 (95% confidence interval [CI]: 23.8%–52.0%), 32.3% (95% CI: 22.5%–42.4%), and 14.2%
280 (7.9%–20.5%) increase in COVID-19 cases. We also examined the relationship between the
281 number of severe COVID-19 cases and air pollutant levels. An increase of 10 $\mu\text{g}/\text{m}^3$ in NO_2 ,
282 $\text{PM}_{2.5}$, or PM_{10} concentrations was associated with a 26.3% (95% CI: 11.7%–40.8%), 15.7%
283 (95% CI: 6.3%–25.2%), and 6.43% (95% CI: 0.6%–12.2%) increase in severe COVID-19
284 cases. The results were statistically significant and robust in sensitivity analyses (Table 2
285 and Figure 3). The analysis was also carried out using separate datasets. Cities were
286 categorized by according to population size into small, medium, and large cities. There was no
287 significant effect of long-term exposure to most of the air pollutants on susceptibility to
288 COVID-19 infection vulnerability when the data were stratified by population sizes.
289 While, the effect persisted after stratification in NO_2 and $\text{PM}_{2.5}$ on confirmed COVID-19 cases
290 among large cities and small cities, and the impact of PM_{10} in severe COVID-19 cases among
291 large cities. This shows the importance of population as a confounding variable in the
292 analysis. The results might still be affected by population size, because air pollution is usually
293 related to population. So, there is a predefined correlation between air pollutant concentration and
294 population size.

297 4. Discussion

299 In the present study, we identified the association between long-term exposure to air
300 pollution and vulnerability to COVID-19 infection during the first epidemic wave in China.
301 The present results were derived from a large, newly compiled and geocoded repository of
302 population and epidemiological data relevant to COVID-19. Indeed, several studies have
303 evaluated the association between air pollution exposure and COVID-19 risk (Copat, Cristaldi et
304 al. 2020). Our finding was in line with studies based on large-scale nationwide data, including
305 from the US (Wu, Nethery et al. 2020), UK (Konstantinou, Padellini et al. 2020, Travaglio,
306 Popovic et al. 2020, Travaglio, Yu et al. 2021), Italy (Conticini, Frediani et al. 2020, Fattorini and
307 Regoli 2020), The Netherlands (Andree 2020), Spain, France, and Germany (Ogen 2020), as
308 well as various provinces of China (Yongjian, Jingu et al. 2020). However, because the size of the
309 study and that of the first COVID-19 wave has been contained in China, meaning that we
310 were able to investigate the impact of historical air pollution on COVID-19 risk and severity.

313 In the present study, we found statistically significant evidence that an increase in long-term
314 exposure to NO_2 , $\text{PM}_{2.5}$, or PM_{10} corresponds to an increase in the number of
315 COVID-19 cases and severe infections. The findings are comparable to the results of previous
316 studies in the US (Wu, Nethery et al. 2020) and Northern Europe (Andree 2020), where levels of
317 $\text{PM}_{2.5}$ were also found to be strongly associated with COVID-19 incidence, after adjusting for
318 multiple confounders. However, this report is the first study to use the complete
319 data from the first wave of the COVID-19 epidemic in China and to identify the
320 association between long-term exposure to air pollution and COVID-19 risk, after controlling for

321 many meteorological and demographic ~~characteristics-variables~~ as well as human mobility data.
322 ~~In addition,~~ the effects of NO₂ and PM₁₀ ~~exposure~~ were also ~~clearly~~ shown ~~obvious~~ in our
323 analysis model, which ~~is-was~~ a relatively new result. The effects of different components of air
324 pollutants on ~~the~~ COVID-19 should be further studied and confirmed.

325

326 ~~Meanwhile,~~ our results highlighted the importance of air quality improvements ~~to-with respect to~~
327 health in China. ~~On 23 January 2020,~~ ~~In~~ an attempt to ~~contain-control~~ the epidemic, ~~on 23 January~~
328 ~~2020,~~ non-essential travel was prohibited in and out of Wuhan city, a major transport hub and
329 ~~conurbation-megacity~~ of 11 million people. ~~Since then~~ ~~Subsequently,~~ ~~the whole of China has had~~
330 ~~implemented,~~ the highest level of emergency response ~~was implemented throughout all of China,~~
331 to reinforce ~~the~~ containment of ~~the~~ COVID-19 ~~epidemic~~. Interventions included the closure of
332 entertainment venues, ~~the~~ suspension of within-city public transport, and prohibition of travel to
333 and from other cities ~~across-in~~ China. ~~These stringent~~ ~~Strong~~ intervention measures ~~have-~~
334 significantly reduced ~~the~~ air pollution levels ~~in~~ ~~of~~ each city during this period (Le, Wang et al.
335 2020), ~~which was confirmed in o-~~ Our monitoring results ~~confirmed this~~ (Figure 2). To some
336 extent, ~~this is was actually a very good~~ the interventions ~~provided an excellent opportunity to on-~~
337 ~~examining~~ the benefits of improved air quality (Chen, Wang et al. 2020). Reducing
338 ~~concentrations-of-air~~ pollutant ~~concentrations s-~~ would reduce the ~~incidence of~~ acute respiratory
339 diseases, ~~and the people's~~ susceptibility ~~to numerous~~ of infectious diseases. Even ~~if there are-~~
340 ~~were~~ ~~assuming that problems existed within the~~ multiple interventions ~~problems, such these -~~
341 results ~~are-were~~ in line with ~~the-our~~ expectations ~~satisfactions of our conclusion.~~ Previous studies have
342 demonstrated that exposure to air pollutants is associated with poorer lung function, often
343 measured ~~by-using~~ forced vital capacity and forced expiratory volume in 1 second (Ackermann-
344 Liebrich U, Leuenberger P et al. 1997, Forbes LJ, Kapetanakis V et al. 2009, Urman R,
345 McConnell R et al. 2014, Adam M, Schikowski T et al. 2015). ~~Exposure to p~~Particulate matter ~~is~~
346 ~~could-may be~~ associated with ~~an~~ increased risk of cardiopulmonary diseases (Chen, Yin et al.
347 2019), ~~aggravation of the~~ ~~ed~~ case fatality ~~rate of severe acute respiratory syndrome-SARS~~ (caused
348 by ~~SARS-CoV,~~ another ~~type-strain~~ of coronavirus) (Cui, Zhang et al. 2003), ~~and could-impaired~~
349 ~~the~~ immune response (Wei and Tang 2018). Oxidant pollutants could also damage the innate
350 immune response ~~ed~~ and increase ~~the~~ host susceptibility ~~of-to~~ viruses ~~through-by~~ interfering with-
351 ~~reflecting~~ the function of macrophages (Karan, Ali et al. 2020, Qu, Li et al. 2020) ~~(Qu, Li et al.~~
352 ~~2020)~~ ~~(Karan, Ali et al. 2020)~~. Nitrogen oxides (NO_x) ~~can would~~ cause inflammatory responses
353 and worsen ~~the~~ pre-existing lung inflammation ~~situation-which,~~ resulting ~~to-in~~ a direct effect on
354 the risk of respiratory diseases (Takahashi Y, Mochitate K et al. 1986, Conticini, Frediani et al.
355 2020), ~~which is -~~ (also shown in ~~the~~ Comparative Toxicogenomics Database (~~-~~<http://ctdbase.org>)).
356 ~~Nitrogen-oxide~~ NO₂ is primarily produced by traffic and factories, and ~~is~~ less influenced by
357 climate ~~ice~~ conditions, so there were reductions in ~~both~~ the concentration of ~~NO_x nitrogen oxides-~~
358 ~~and with a decline in~~ within-city movements during the COVID-19 outbreak ~~throughout in cities of~~
359 China. Increased ~~O₃ and ozone or sulfur dioxide-SO₂~~ concentrations were associated with lower
360 COVID-19 risk, which ~~is-was~~ also consistent with previous studies (Travaglio, Popovic et al.
361 2020, Yongjian, Jingu et al. 2020). However, the mechanisms underlying the impact ~~of these~~
362 ~~pollutants~~ on COVID-19 risk remain uncertain.

363

364 The association between long-term exposure to air pollution and vulnerability to COVID-19 may

365 be affected by some confounders. We considered many variables ~~to-for be inclusioned~~ in the
366 initial model, such as population, inflow from Wuhan, and the number of COVID-19 deaths and
367 hospital beds. However, ~~due-owing~~ to the collinearity ~~between-among~~ these four variables, we
368 retained only one of these ~~-most important~~-variables. We believe that in the first COVID-19
369 wave, the population inflow from Wuhan ~~is-should be was~~ the most important factor affecting ~~the-~~
370 development of the epidemic. ~~So-Therefore~~, only the variable of inflow from Wuhan was ~~kept-~~
371 retained and the other three were eliminated from the final model. However, we ~~think-~~
372 ~~thought-believe that~~ the variable of population ~~is also should also be is~~ very important; ~~thus, a-~~
373 hierarchical analysis for the population ~~variable variable~~-was ~~done-conducted~~ separately. We found
374 that the association between long-term exposure to air pollutants and vulnerability to COVID-19
375 ~~was-differed among at in~~-different-sized cities. In ~~the-large-~~sized cities, the association was more
376 obvious, which may be ~~due-owing~~ to better health care, better case reporting, and better testing
377 policies in ~~the-large~~ cities. Therefore, the effect of population size ~~should-be is~~ complex and needs
378 further analysis. _

379
380 ~~During the first COVID-19 wave, As with other novel infectious diseases, t~~there were many
381 challenges ~~with-in its-the~~ diagnosis of COVID-19 ~~during the first epidemic wave, just like other-~~
382 ~~novel infectious diseases~~ (Arons, Hatfield et al. 2020, Li, Geng et al. 2020). Concern has been
383 expressed about the sensitivity and specificity of the tests initially used to identify people infected
384 with ~~eoronavirus~~SARS-CoV-2. The performance ~~in-of the~~ detection methods has improved over
385 time (Carter, Garner et al. 2020). ~~Meanwhile, At the same time,~~ there is a lack of adequate testing
386 in most countries, and treatment of patients with COVID-19 in many jurisdictions is inadequate
387 owing to overburdened health systems. As a result, many cases and deaths that ~~should have been-~~
388 ~~were~~ attributed to COVID-19 have not been confirmed. These issues may have some
389 influence on the findings of this study. For example, ~~this situation#~~ would lead to ~~the-inaccuracies~~
390 in y-of the number of confirmed COVID-19-cases or severe cases of COVID-19 infection, which
391 would cause some bias in the results. ~~TIn addition, this also may also be aone of the-reasons for-~~
392 that rates of higher-greater severity of the disease are consistently lower. ~~But-However,~~ early
393 studies ~~of-during~~ the epidemic can draw on the results of a ~~more~~-pure transmission model. The
394 present study focused on the association between air pollution and COVID-19 in the first wave
395 ~~from-in~~ Wuhan, ~~-in~~ China. The variables included important human mobility data, such as inflow
396 from Wuhan and peak time of inflow from Wuhan. ~~These data#~~ would well reflect some important
397 scientific problems in the early stages of the spread of an outbreak of a new infectious diseases.
398

399 Several important caveats are worth mentioning. First, the data included here were all from
400 mainland China; ~~i-~~It is therefore ~~not-un~~clear whether the findings can be generalized to other
401 countries without data on historic air pollution exposure. Second, there are currently no high-
402 quality records at city level ~~of-regarding~~ severe COVID-19 infections and ICU admissions,
403 although we have attempted to fill this gap by using province-level reports. Thirdly, ~~the data-of~~
404 air pollution data from ambient air quality stations across China do not necessarily reflect
405 exposure to indoor air pollution; ~~-and~~ this may therefore bias the results. Finally, we do not know
406 the exact number of cases because ~~of-we do not know~~ the number of asymptomatic and mildly -
407 symptomatic cases that may not have been recorded. These data will not be available until there
408 has been a systematic survey of infection (e.g., by-via serological testing) across China. However,

we ~~have~~ reported a national-level disease pattern covering 324 cities and its potential association with long-term exposure to air pollutants.

5. Conclusions

In ~~t~~he present study, we found a significant positive association between long-term exposure levels ~~of to~~ PM_{2.5}, PM₁₀, NO₂, ~~and COVID-19~~ the risk and severity of COVID-19 infection in China. ~~It~~ Our findings suggested that air pollution may be related to ~~the~~ population vulnerability to COVID-19. Interventions to control the COVID-19 outbreak in China successfully reduced air pollution levels and potentially prevented further cases of acute respiratory disease. Improved ~~fine~~ air quality may be conducive to reducing the hazards of respiratory infectious diseases. The link between COVID-19 and air pollution deserves ~~a~~ more definitive and global data analysis.

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Declaration of competing interests

The authors declare that they have no known competing financial interest or personal relationships that could have ~~appeared to~~ influenced the work reported in this paper.

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Database	data provider	Source
COVID-19		
Confirmed COVID-19 cases	Provincial Health Committees which contained data of each city	Official website of health commission of 34 provincial-level administrative units and 342 city-level units
Severe COVID-19 cases	Provincial Health Committees which contained data of each city	Official website Health commission of 34 provincial-level
COVID-19 deaths	Provincial Health Committees which contained data of each city	Official website of health commission of 34 provincial-level administrative units and 342 city-level units
Human mobility data		
Outflow from Wuhan	Baidu location-based services mobile phone data provided by the telecommunications operators	https://qianxi.baidu.com/
Within-city movements	The activities index of human mobility with a city	https://qianxi.baidu.com/
Meteorological data		
PM _{2.5} , PM ₁₀ , SO ₂ , CO, NO ₂ , and O ₃	China National Environmental Monitoring Centre, 2015-2019	http://www.cnemc.cn/
Temperature	Mean Temperature of Warmest/Colest Quarter (2015-2019)	https://www.worldclim.org/data/bioclim.html
Rainfall	Precipitation of Warmest/Colest Quarter (2015-2019)	https://www.worldclim.org/data/bioclim.html
Relative Humidity	National Meteorological Information Center (CMA Meteorological Data Center, 2015-2019)	http://data.cma.cn/
Demographic data		
Gross domestic product (GDP)	China City Statistical Yearbook 2019	http://www.stats.gov.cn/tjsj/ndsj/2019/indexeh.htm
Age structure	Sixth National Population Census of the People's Republic of China (2013)	http://www.stats.gov.cn/tjsj/pcsj/rkpc/6rp/indexch.htm
Smoking and second-hand smoking prevalence	Chinese National Nutrition and Health Survey (NNHS)	PMID: 24698853
Hospital beds	China City Statistical Yearbook 2019	http://www.stats.gov.cn/tjsj/ndsj/2019/indexeh.htm
Illiteracy rate	Sixth National Population Census of the People's Republic of China (2013)	http://www.stats.gov.cn/tjsj/pcsj/rkpc/6rp/indexch.htm
566	<u>COVID-19, coronavirus disease 2019; PM_{2.5}, particulate matter ≤ 2.5 μm; PM₁₀, particulate matter</u>	
567	<u>≤ 10 μm; SO₂, sulfur dioxide; CO, carbon monoxide; NO₂, nitrogen dioxide; O₃, ozone; CMA,</u>	
568	<u>China Meteorological Administration.</u>	

569 **Table 2.**— Impact of historical air pollution exposure on cases of COVID-19 cases

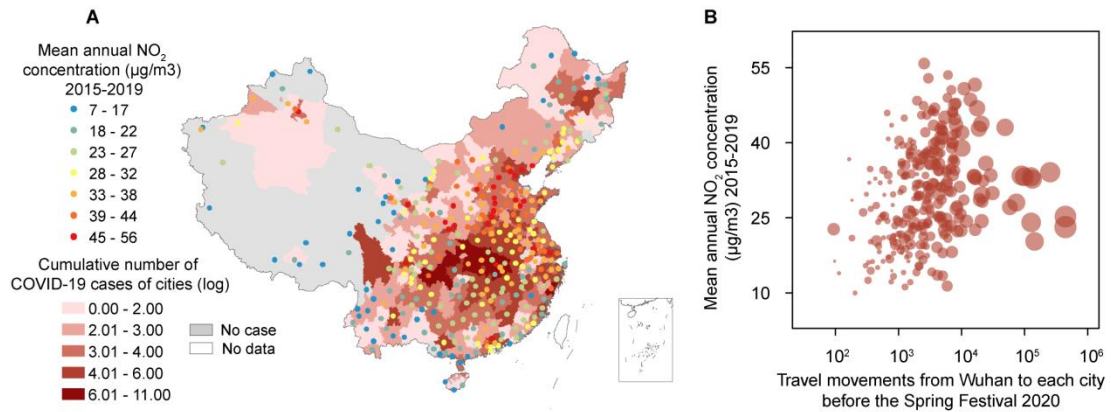
Covariates	Confirmed COVID-19 cases			Severe COVID-19 cases		
	Coefficient (95%CI)	std	P	Coefficient (95%CI)	std	P
NO₂	0.378 (0.238,0.52)	0.072	<0.001	0.263(0.117,0.408)	0.074	<0.001
Intercept	-1.697 (-3.436,0.021)	0.882	0.055	-1.919(-3.437,-0.401)	0.771	0.013
Inflow from Wuhan	0.007 (0.007,0.008)	<0.001	<0.001	0.016(0.013,0.019)	0.002	<0.001
Within-city movements	-1.14 (-1.382,-0.901)	0.123	<0.001	-0.853(-1.098,-0.609)	0.124	<0.001
Peak of inflow from Wuhan	0.175 (0.133,0.218)	0.022	<0.001	0.086(0.043,0.13)	0.022	<0.001
Mean temperature of coldest quarter	-0.023 (-0.045,-0.001)	0.011	0.040	0.006(-0.014,0.027)	0.010	0.528
Relatively humidity	0.048 (0.029,0.067)	0.010	<0.001	0.028(0.012,0.045)	0.008	0.001
Illiteracy rate	0.053 (0.014,0.09)	0.019	<0.001	0.0188(-0.017,0.055)	0.018	0.305
PM_{2.5}	0.323 (0.225,0.424)	0.051	<0.001	0.157(0.063,0.252)	0.048	0.001
Intercept	-1.237 (-2.841,0.329)	0.809	0.128	-1.313(-2.689,0.062)	0.699	0.061
Inflow from Wuhan	0.007 (0.006,0.007)	<0.001	<0.001	0.015(0.012,0.018)	0.002	<0.001
Within-city movements	-1.376 (-1.617,-1.139)	0.122	<0.001	-0.961(-1.192,-0.731)	0.117	<0.001
Peak of inflow from Wuhan	0.137 (0.096,0.179)	0.021	<0.001	0.077(0.033,0.121)	0.022	0.001
Mean temperature of coldest quarter	-0.003 (-0.027,0.022)	0.012	0.790	0.01(-0.01,0.03)	0.010	0.329
Relatively humidity	0.052 (0.032,0.071)	0.010	<0.001	0.026(0.01,0.042)	0.008	0.002
Illiteracy rate	0.049 (0.008,0.087)	0.020	0.016	0.019(-0.017,0.056)	0.018	0.293
PM₁₀	0.142 (0.079,0.205)	0.032	<0.001	0.0643(0.006,0.122)	0.032	<0.001
Intercept	-1.183 (-3.023,0.609)	0.927	0.203	-1.183(-2.861,0.217)	0.927	0.203

Inflow from Wuhan	0.007 (0.006,0.007)	<0.001	<0.001	0.007(0.012,0.018)	<0.001	<0.001
Within-city movements	-1.346 (-1.604,-1.092)	0.131	<0.001	-1.346(-1.208,-0.742)	0.131	<0.001
Peak of inflow from Wuhan	0.148 (0.104,0.194)	0.023	<0.001	0.148(0.037,0.126)	0.023	<0.001
Mean temperature of coldest quarter	-0.009 (-0.033,0.016)	0.013	0.455	-0.009(-0.011,0.03)	0.013	0.455
Relatively humidity	0.054 (0.032,0.076)	0.011	<0.001	0.054(0.012,0.046)	0.011	<0.001
Illiteracy rate	0.046 (0.003,0.086)	0.021	0.033	0.046(-0.021,0.053)	0.021	0.033

570 COVID-19, coronavirus disease 2019; PM_{2.5}, particulate matter ≤ 2.5 μm; PM₁₀, particulate matter

571 ≤ 10 μm; NO₂, nitrogen dioxide; CI, confidence interval.

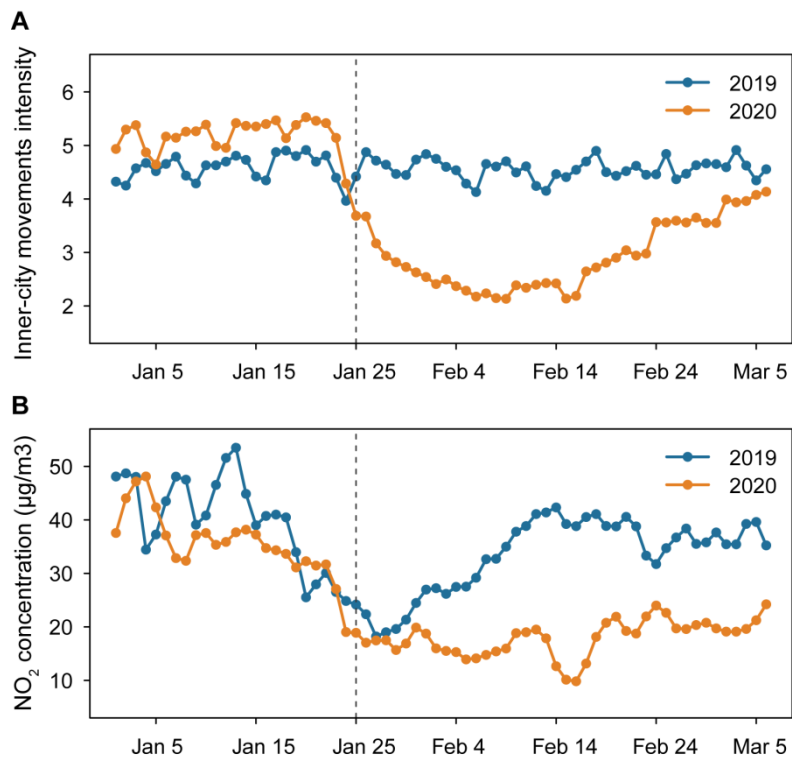
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573

574 **Figure 1.** Air pollution exposure, coronavirus disease 2019 (COVID-19) cases, and travel
 575 movements in 324 cities of China during Spring Festival 2020. (A) Distribution of cities with data
 576 on nitrogen dioxide (NO₂) concentrations –and COVID-19 cases. The shading from light red to
 577 dark red represents the cumulative number of confirmed COVID-19 cases in each city, from low
 578 to high, respectively, from 31 December 2019 to 6 March 2020; –(white area represents no data,
 579 and grey area represents no cases). Points coloured from blue to red represent the historic mean
 580 annual NO₂ – concentrations (µg/m³), from low to high, respectively, during January 2015 and
 581 December 2019, before prior to the COVID-19 –epidemic. (B) Association between the
 582 cumulative number of confirmed cases, the number of human movements from Wuhan to each
 583 city, and historic mean annual NO₂ concentration. The area of the circles represents the cumulative
 584 number of cases reported by 6 March 2020.

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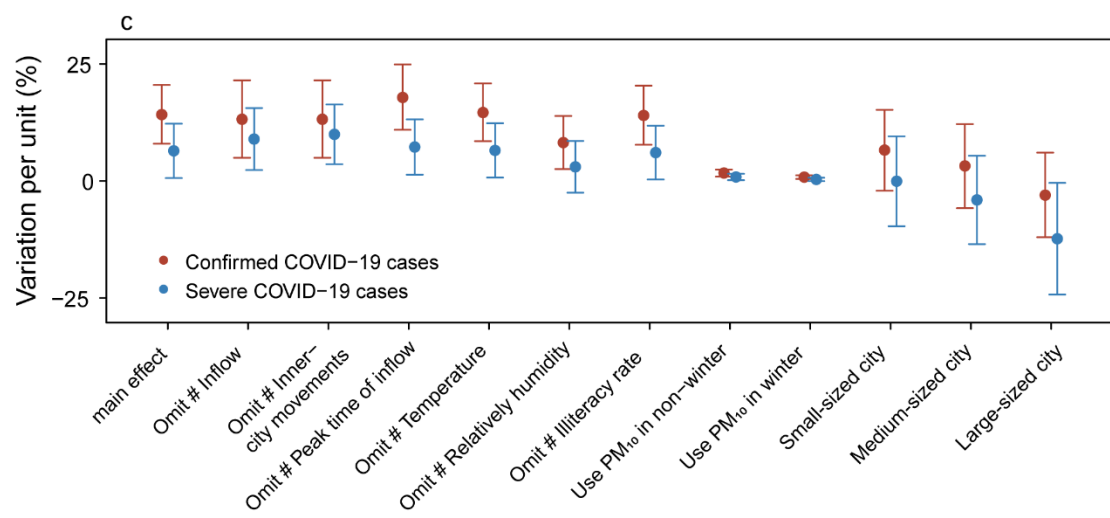
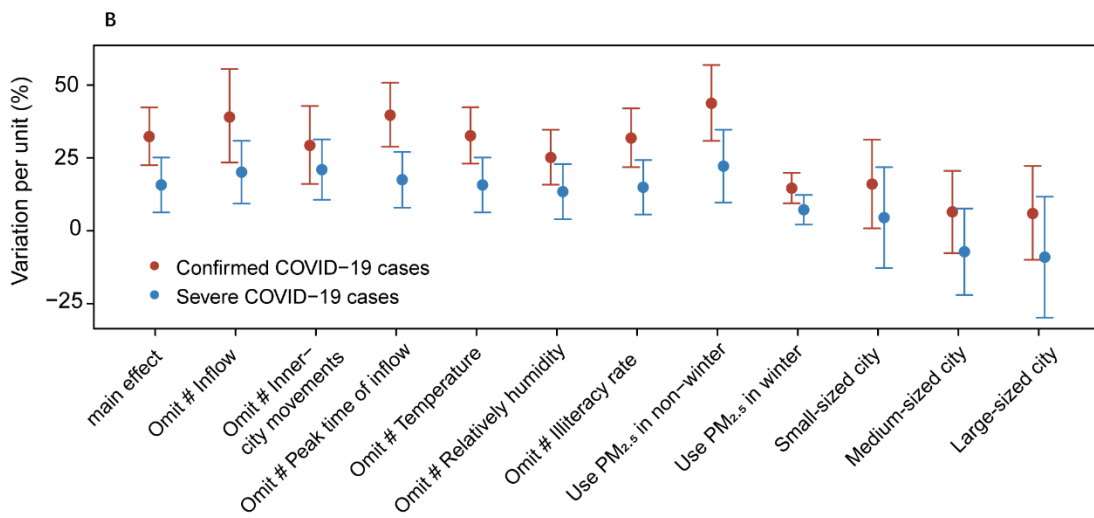
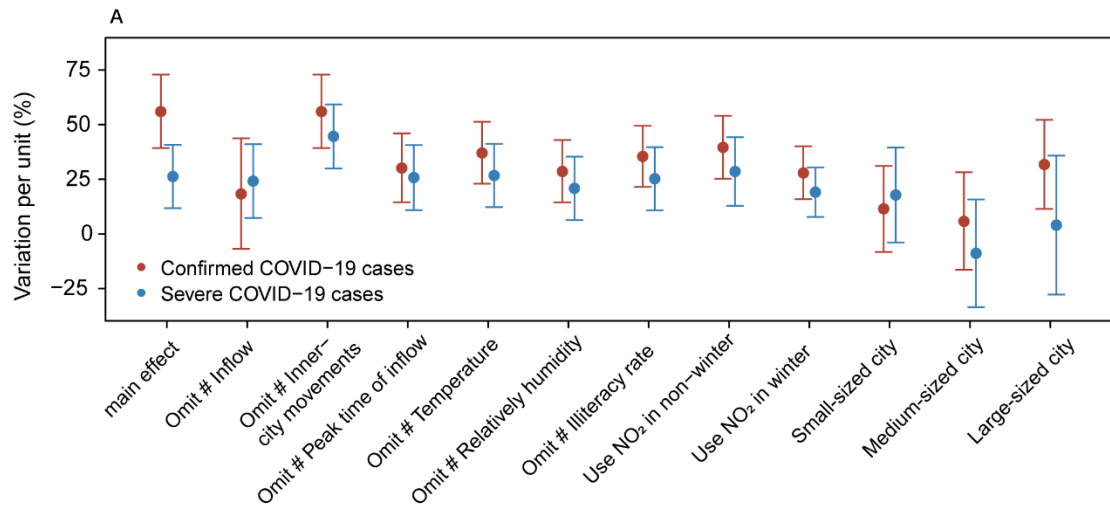


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588 **Figure 2.** (A) Average within-city movement intensity and (B) air pollutant concentration in 324
 589 cities in-of China during the 2020 COVID-19 outbreak (orange line), compared with the same
 590 period in 2019 (blue line).

591 NO₂, nitrogen dioxide.

592



594 **Figure 3.** Variation per unit and 95% confidence intervals, (A) NO₂, (B) PM_{2.5}, and (C) PM₁₀.
595 The variation per unit (VPU) = [exp(variable coefficient) – 1] × 100%. The VPU can be
596 interpreted as the percentage increase in the number of COVID-19 cases associated with a 10-
597 μg/m³ increase in long-term average NO₂ and PM_{2.5}. The VPU from the main analysis was
598 adjusted by-for confounding factors. In the sensitivity analyses, we omitted each confounding
599 factor separately, and used seasonal air pollutant concentrations.
600
601 COVID-19, coronavirus disease 2019; PM_{2.5}, particulate matter ≤ 2.5 μm; PM₁₀, particulate matter
602 ≤ 10 μm; NO₂, nitrogen dioxide.