

1 **Mini Review**

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3 **Nutrition and immunity : lessons for COVID-19**

4
5 Philip C. Calder^{1,2}

6
7 ¹School of Human Development and Health, Faculty of Medicine, University of Southampton,
8 Southampton SO16 6YD, United Kingdom

9 ²NIHR Southampton Biomedical Research Centre, University Hospital Southampton NHS
10 Foundation Trust and University of Southampton, Southampton SO16 6YD, United Kingdom

11
12 Email pcc@soton.ac.uk

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18 Abbreviations used: COVID-19, coronavirus disease discovered in 2019; SARS-CoV-2, severe
19 acute respiratory distress syndrome coronavirus 2.

20

21 **Abstract**

22 The role of the immune system is to protect the individual against pathogenic organisms.
23 Nutrition is one of multiple factors that determines the immune response and good nutrition is
24 important in supporting the immune response. Immunity can be impaired in older people,
25 particularly those who are frail, in those living with obesity, in those who are malnourished and
26 in those with low intakes of micronutrients. The immune impairments associated with nutritional
27 inadequacy increase susceptibility to infection and permit infections to become more severe,
28 even fatal. The adverse impact of poor nutrition on the immune system, including its
29 inflammatory component, may be one of the explanations for the higher risk of more severe
30 outcomes from infection with SARS-CoV-2 seen in older people and in those living with
31 obesity. Studies of individual micronutrients including vitamin D and zinc suggest roles in
32 reducing severity of infection with SARS-CoV-2. Good nutrition is also important in promoting
33 a diverse gut microbiota, which in turn supports the immune system. The importance of nutrition
34 in supporting the immune response also applies to assuring robust responses to vaccination.
35 There are many lessons from the study of nutrition and immunity that are relevant for the battle
36 with SARS-CoV-2.

37 **Introduction and scope**

38 Coronaviruses are a large group of single-stranded RNA viruses that cause respiratory and, less
39 frequently, gastrointestinal diseases. The respiratory symptoms caused by coronaviruses range
40 from common cold-like or mild influenza-like symptoms to severe pneumonia. In December
41 2019, a new coronavirus causing pneumonia and death was identified in Wuhan, China; this new
42 coronavirus is called severe acute respiratory distress syndrome coronavirus (SARS-CoV) 2
43 (SARS-CoV-2) because it is genetically similar to SARS-CoV which caused an outbreak of
44 severe acute respiratory distress syndrome in 2002. Although SARS-CoV-2 is the seventh known
45 human coronavirus, it is new to the human immune system and so there was no underlying
46 existing immunity against it, explaining why SARS-CoV-2 spread so rapidly and has caused
47 such severe illness; this illness is called coronavirus disease discovered in 2019 or COVID-19.
48 The extent of the health, societal and economic consequences that have arisen due to the
49 presence of SARS-CoV-2 and the severity of COVID-19 have focussed attention on the
50 devastation infectious illness can cause and on the importance of having well-functioning
51 immune systems. Inadequate immune responses have been exposed as a major public health
52 liability in settings where this was previously either not well recognised or simply accepted.
53 Vaccines work by training the immune system to work properly against a pathogen. The
54 apparent effectiveness of the newly-developed vaccines to protect against COVID-19 is evidence
55 of the inherent weakness of the immune system amongst significant subgroups in the population;
56 nevertheless vaccinations themselves require a robust immune response to work properly and
57 there has been some debate about the usefulness of some of the vaccines amongst those groups
58 of the population who may have weakened immune responses. It is also likely that new vaccines
59 will be developed in the future, especially with emergence of new variants of SARS-CoV-2. In
60 the meantime, alongside the existing vaccination programmes, the development of new vaccines
61 and the testing of new anti-viral drugs, it is important to consider other steps that can be taken to
62 support the immune system. This article will describe the influence of ageing, frailty, obesity,
63 micronutrients and the gut microbiota on the human immune system and discuss this in the
64 contexts of SARS-CoV-2 infection and COVID-19.

65

66 **An overview of the role and organisation of the immune system**

67 The primary role of the immune system is to protect the individual against pathogenic organisms
68 including bacteria, viruses, fungi and parasites. So that it can provide effective protection against
69 the wide array of threatening organisms, the human immune system has evolved to include many
70 different cell types, many communicating molecules and multiple functional responses (Figure

71 1). The immune system has four general actions. Firstly, it acts as a barrier keeping microbes
72 from entering the body. Secondly, the immune system acts to recognise microbes and to identify
73 whether they are harmful or not. Thirdly, the immune system acts to eliminate those microbes
74 identified as being harmful; this involves the destructive actions of various types of immune cell.
75 Fourthly, the immune response generates immunological memory, so that if there is re-exposure
76 to the harmful microbe, the immune response is more rapid and stronger than it was for the
77 original response. These complex and sophisticated actions can be achieved because the human
78 immune system is comprised of many cell types (Figure 1), each with their own individual
79 functional capabilities. These different cell types interact with one another as part of the immune
80 response to assure effective protection of the host from pathogens. The immune system may be
81 classified in different ways, most commonly into innate (or natural) and acquired (or adaptive)
82 immunity (Figure 1). Innate and acquired immunity are linked and how this is achieved to
83 provide anti-viral immunity is summarised in Figure 2 [1].

84

85 **Factors affecting the immune response**

86 It is obvious that effective defence against pathogenic organisms requires a well-functioning
87 immune system. Consequently, individuals with weakened immune systems are at increased risk
88 of becoming infected and of infections being more serious, even fatal. Figure 3 highlights many
89 of the factors that influence the immune response. These include some unmodifiable factors such
90 as genetics, stage of the life course (e.g. pregnancy, infancy, old age) and time of day, but many
91 modifiable factors also influence the immune response. These include stress, physical fitness,
92 frailty, body fatness and diet. Early in the SARS-CoV-2 pandemic it became clear that older
93 people, particularly those who were frail, and that those living with obesity had higher
94 susceptibility to more serious illness and mortality from COVID-19 than did younger people and
95 those who were of healthy weight.

96

97 **The effect of ageing and frailty on immunity and susceptibility to infection**

98 Immune competence can be diminished with ageing, a process called immunosenescence [2, 3].
99 One contributor to immunosenescence is likely to be the decreased output of immune cells from
100 bone marrow, the site of origin of all immune cells, with increasing age. In addition, involution
101 of the thymus with age decreases output of naive T-cells, resulting in reduced capacity to
102 respond to new antigens. In addition to altered numbers of immune cells in the circulation, their
103 function is often impaired. For example, neutrophils show impaired phagocytosis, respiratory
104 burst and bacterial killing. Natural killer cells have impaired cytotoxicity towards virally-

105 infected and tumour cells. Dendritic cells have impaired responsiveness to immune signals. T-
106 cells have reduced ability to proliferate and to produce important cytokines like interleukin-2 and
107 interferon- γ . Cytotoxic T-cell activity is reduced and antibody production by B-cells is altered.
108 Hence, older people can show a broad range of immune impairments, making them more
109 susceptible to infections [4], including respiratory illnesses caused by viruses.

110 Immunosenescence also impairs responses to vaccination, including to the seasonal influenza
111 vaccine [5, 6]. Poor nutritional intake may contribute to age-related immune decline: immune
112 decline is less in older people with better micronutrient intake or status [7]. Furthermore,
113 amongst older people, undernutrition promotes immune decline [8] and frailty results in
114 significant immune impairments. For example Yao et al. [9] reported that responses to all three
115 strains within a seasonal influenza vaccine (responses measured as anti-vaccine antibody titres)
116 were much lower in frail compared with non-frail older (72 to 95 years of age) people; responses
117 of the pre-frail were intermediate. During a post-vaccination follow-up period, 50% of the frail
118 older people developed influenza-like illness and 30% developed confirmed influenza; figures in
119 the non-frail group were 10% and 5%, respectively, and again the pre-frail were intermediate
120 between the frail and non-frail groups [9]. In a recent study, seroconversion of more frail older
121 people to the four strains of a quadrivalent seasonal influenza vaccine was 8, 5, 0 and 8% while
122 seroconversion in less frail older people was 23, 21, 23 and 26% [10]. That these immune
123 impairments are of clinical significance comes from observations that less well-nourished
124 hospitalised older people had a greater risk of infections than those who were better nourished
125 [11, 12]. Thus, there is a link between immunosenescence and increased susceptibility to, and
126 severity of, infections. Immunosenescence may be one factor that predisposes older people to
127 more severe COVID-19. A number of studies also report a link between frailty and poorer
128 outcome from COVID-19 (see [13]). Ageing is also linked to an increase in blood concentrations
129 of many inflammatory mediators, a situation termed inflammageing [14]. This state is considered
130 to contribute to an increased risk of chronic conditions of ageing and may predispose to
131 mounting an excessive inflammatory response when infected. Thus, older people, and again
132 particularly those who are frail, may be pre-disposed to mounting an uncontrolled inflammatory
133 response, sometimes termed a “cytokine storm”, that has been implicated in poor outcome from
134 COVID-19. In summary, older people can show impaired immune responses, predisposing them
135 to infection, and a proneness for uncontrolled inflammation, predisposing them to adverse
136 consequences of being infected, and both these situations appear to be worse in those who are
137 frail.

138

139 **The effect of obesity on immunity and susceptibility to infection**

140 Immune competence can be diminished with obesity [15], with impairments of the activity of
141 helper T-cells, cytotoxic T-cells, B-cells and natural killer cells, and reduced antibody and
142 interferon- γ production. This means that, compared with healthy weight individuals, those living
143 with obesity have increased susceptibility to a range of bacterial, viral and fungal infections [16],
144 and poorer responses to vaccination [17]. The impact of obesity has been well explored in
145 relation to influenza infection and vaccination against influenza. During the 2009 H1N1
146 influenza A virus pandemic, those living with obesity showed delayed and weakened antiviral
147 responses to infection and showed poorer recovery from disease compared with healthy weight
148 individuals [18]. Animal studies and case studies in humans show that obesity is associated with
149 prolonged shedding of influenza virus, indicating an impairment in viral control and killing;
150 obesity is also linked with the emergence of virulent minor variants [18]. Vaccines may also be
151 less effective in those living with obesity: compared with healthy weight individuals, vaccinated
152 individuals living with obesity have twice the risk of influenza or influenza-like illness,
153 indicating poorer protection from vaccination in those with obesity [19]. Sheridan et al. [20]
154 investigated the responses of immune cells taken from the blood of healthy weight individuals
155 and those with overweight or obesity to the influenza vaccine in vitro. Exposure of the blood
156 immune cells to the vaccine increased the number of activated cytotoxic T-cells, the number of
157 granzyme expressing cytotoxic T-cells and the number of interferon- γ producing cytotoxic T-
158 cells, all key components of anti-viral immunity (Figure 2). However, the responses of cells from
159 individuals with obesity were reduced by 40%, almost 60% and 65%, respectively. Cells from
160 individuals with overweight showed responses intermediate between those from healthy weight
161 and those with obesity. Similar findings for the response of blood cells to the pandemic H1N1
162 influenza A virus were reported by Paich et al. [21] Thus, obesity is linked to multiple immune
163 impairments, including to responses involved in protection against viruses. Obesity is also
164 associated with an increase in blood concentrations of many inflammatory mediators, a state of
165 chronic low-grade inflammation [22]. This state is considered to contribute to an increased risk
166 of chronic conditions of ageing and may predispose to mounting an excessive inflammatory
167 response when infected. It is now well described that those living with obesity are more
168 susceptible to severe COVID-19 and to mortality from COVID-19 than healthy weight adults.
169 For example, a recently published systematic review and meta-analysis of 22 studies from 7
170 countries in North America, Europe, and Asia, reported that obesity is associated with an
171 increased likelihood of presenting with more severe COVID-19 symptoms (odds ratio 3.03; 4

172 studies), requiring hospitalization (adds ratio 1.68; 4 studies), being admitted to an intensive care
173 unit (odds ratio 1.35; 9 studies), undergoing invasive mechanical ventilation (odds ratio 1.76; 7
174 studies) and developing acute respiratory distress syndrome (odds ratio 2.89; 2 studies)
175 compared to patients without obesity [23]. In summary, those living with obesity can show
176 impaired immune responses, predisposing them to infection, and a proneness towards
177 uncontrolled inflammation, predisposing them to adverse consequences of being infected.

178

179 **The role of micronutrients in supporting the immune response**

180 Nutrition plays multiple roles in supporting the immune system. The diet provides:

- 181 • Fuels for the immune system to function;
- 182 • Building blocks for the generation of RNA and DNA and for the production of proteins
183 (antibodies, cytokines, receptors, acute phase proteins etc.) and new cells;
- 184 • Specific substrates for the production of immune-active metabolites (e.g. arginine as a
185 substrate for nitric oxide);
- 186 • Regulators of immune cell metabolism (e.g. vitamin A, zinc);
- 187 • Nutrients with specific antibacterial or antiviral functions (e.g. vitamin D, zinc);
- 188 • Regulators that protect the host from oxidative and inflammatory stress (e.g. vitamin C,
189 vitamin E, zinc, selenium, long-chain omega-3 fatty acids, many plant polyphenols);
- 190 • Substrates for the intestinal microbiota which in turn modulates the immune system (see
191 next section).

192 Poor nutrition may not provide sufficient amounts of the nutrients needed by the immune system
193 to function well. This would be associated with increased susceptibility to infection and inability
194 to control the effects of being infected (Figure 4). In this regard the role of micronutrients in
195 supporting the immune system has been widely studied, as reviewed elsewhere [1, 24, 25, 26].
196 Multiple micronutrients play vital roles in supporting the immune response (Table 1). The roles
197 of vitamins A, C and D and zinc, copper and iron are well explored, but B vitamins, vitamin E,
198 vitamin K, selenium, magnesium and others all have roles. Deficiencies of several of these
199 micronutrients impair many aspects of both innate and acquired immunity and increase
200 susceptibility to infections [1, 24]. The immune impairments can be reversed by repletion and
201 this reduces susceptibility to infection. There has been discussion around many micronutrients
202 and anti-viral immunity in the context of infection with SARS-CoV-2 and COVID-19 and there
203 have been numerous publications on this topic since the start of the SARS-CoV-2 pandemic.

204 Vitamin D has pleiotropic actions within the immune system but does support the activity
205 of several cell types [27]. Furthermore, some immune cells (dendritic cells, macrophages) can
206 produce the active form of vitamin D suggesting it is important to immunity. Vitamin D also
207 promotes the production of antimicrobial proteins such as cathelicidin. Vitamin D deficiency
208 impairs the response to the seasonal influenza vaccine [28] and meta-analyses of randomised
209 controlled trials of vitamin D supplementation report reduced incidence of respiratory tract
210 infections [29]. Multiple studies report an association between low vitamin D status and
211 increased susceptibility to, and severity of, COVID-19. A large Israeli study reported that low
212 vitamin D status increased the risk of infection with SARS-CoV-2 and increased risk of
213 hospitalisation with COVID-19 [30]. Meta-analyses report that vitamin D deficiency increases
214 risk of severe COVID-19, hospitalisation with COVID-19 and mortality from COVID-19 [31]. A
215 large study using data from the UK Biobank reported that using vitamin D supplements
216 decreased risk of a positive test for SARS-CoV-2 after controlling for multiple confounders [32].
217 A study in an Italian residential care home reported that a bolus of vitamin D reduced mortality
218 from COVID-19 [33]. Vitamin D supplementation in patients hospitalised with COVID-19 is
219 reported to reduce COVID-19 severity (need for intensive care unit admission [34]; need for
220 intensive care unit admission or mortality [35]; mortality [36]).

221 Zinc supports the activity of many cells of the immune system [37], helps to control
222 oxidative stress and inflammation and has specific anti-viral actions [38] including inhibiting the
223 replication of coronaviruses [39]. Zinc supplementation improves some markers of immunity
224 especially in older people or those with low zinc intake [40], improves vaccination responses
225 [41] and meta-analyses of randomised controlled trials of zinc supplementation report reduced
226 incidence of diarrhoeal and respiratory tract infections (see [1] for references). Multiple studies
227 report an association between low zinc status and increased susceptibility to and severity of
228 COVID-19 (e.g. [42]). Zinc supplementation in patients hospitalised with COVID-19 is reported
229 to reduce risk of poor outcome including mortality [43, 44].

230 In contrast to the large literature on vitamin D and zinc that has emerged during the
231 pandemic, there has been less research on selenium. Nevertheless, selenium may have important
232 roles in supporting the immune system in general and in promoting anti-viral immunity in
233 particular [45]. Selenium supports the activity of many cells of the immune system and helps to
234 control oxidative stress and inflammation. Extensive research in mice has shown that selenium
235 deficiency impairs immune responses, increases susceptibility to viral infection, permits viruses
236 (including influenza viruses) to mutate, and allows normally weak viruses to become more
237 virulent. Selenium supplementation improves some markers of immunity especially in older

238 people or those with low selenium intake; for example a supplementation study conducted in UK
239 adults with marginal selenium status showed that selenium improved ex vivo anti-viral immune
240 responses, promoted viral clearance and decreased viral mutation [46]. Several studies report an
241 association between low selenium status and increased susceptibility to and severity of COVID-
242 19 (e.g. [42, 47])

243 Taken together, the existing evidence indicates that multiple micronutrients play vital
244 roles in supporting all aspects of the immune response and therefore that their intake and status
245 need to be considered in the context of susceptibility to SARS-CoV-2 infection and COVID-19
246 severity. Roles of specific nutrients including vitamin D and zinc in anti-viral immunity seem to
247 be important and the ability of selenium to prevent viral mutation is intriguing in the context of
248 the emergence of SARS-CoV-2 variants. Furthermore, low intakes of several micronutrients
249 impair vaccination responses and so must be considered in the context of the current and future
250 COVID-19 vaccination programmes; this is likely to be particularly important in the elderly [48]
251 but also in other groups who are more likely to have low intakes or status of one or more
252 micronutrients. Although micronutrients are provided as part of a diverse, plant-based diet (see
253 [1]) there is a question about whether sufficient amounts of some of the key immune active
254 micronutrients (vitamin D, vitamin C, vitamin E, zinc, selenium) can be obtained from the diet or
255 whether supplements are necessary to provide the relevant intakes of these micronutrients [26].

256

257 **The importance of the gut microbiota**

258 Commensal bacteria within the gastrointestinal tract play a role in host immune defence by
259 creating a barrier against colonisation by pathogens and through the production of lactic acid and
260 antimicrobial proteins which can directly inhibit the growth of pathogens. Commensal organisms
261 also interact with the host's gut epithelium and gut-associated immune tissues. These
262 communications with the host occur through chemicals released from the bacteria or through
263 direct cell-to-cell contact. As a result of such actions, it is proposed that probiotic organisms,
264 particularly some lactobacilli and bifidobacteria, can be used to support host immunity. In fact, a
265 large number of studies have examined the influence of various probiotic organisms, either alone
266 or in combination, on immune function and infection in human subjects. Some probiotic
267 organisms appear to enhance innate immunity (particularly phagocytosis and natural killer cell
268 activity) but they seem to have a less pronounced effect on acquired immunity [49].
269 Nevertheless, studies show improved vaccination responses in individuals taking probiotics, as
270 reviewed elsewhere [50]. Systematic reviews and meta-analyses confirm that probiotics (or
271 prebiotics) enhance the antibody response to seasonal influenza vaccination in adults [51, 52].

272 The immune effects observed suggest that probiotic organisms could protect against infections.
273 Recent systematic reviews and meta-analyses report that some probiotics can reduce the risk or
274 duration of diarrhoea, including antibiotic-associated diarrhoea and *Clostridium difficile*-
275 associated diarrhoea (see [1] for references). Effects of probiotics on gastrointestinal infection
276 may not be a surprise, but probiotics may also be protective against respiratory infection. Studies
277 in mice have reported that depletion or absence of gut microbiota leads to impaired immune
278 responses and worsen outcomes following bacterial or viral respiratory infection. Studies of
279 probiotics, particularly lactobacilli and bifidobacteria, provide some evidence for reduced
280 incidence, and improved outcomes, of respiratory infections in humans (see [1] for references).
281 The totality of the evidence demonstrating that probiotics (especially lactobacilli and
282 bifidobacteria) may improve immune function, enhance the response to seasonal influenza
283 vaccination (which mimics a viral infection), reduce the incidence of respiratory infections,
284 including those caused by viruses, and improve outcomes in those with respiratory infections
285 would favour the use of these organisms as a strategy to reduce the risk and severity of viral
286 respiratory infections, including SARS-CoV-2. In this context, intestinal dysbiosis, with low
287 numbers of lactobacilli and bifidobacteria, has been reported in patients with COVID-19 [53,
288 54]. D’Ettore et al. [55] treated patients with COVID-19 with a cocktail of drugs plus antibiotics
289 or the same plus oral probiotics (5 lactobacilli plus 2 bifidobacteria plus *Streptococcus*
290 *thermophilus*): they found better resolution of diarrhoea and of other disease symptoms including
291 respiratory disease in the group receiving probiotics.

292

293 **Discussion and conclusions**

294 Nutrition is one of multiple factors that determines the immune response (Figure 3) and good
295 nutrition is important in supporting the immune response (Figure 4). Immunity can be impaired
296 in older people, particularly those who are frail, in those living with obesity, in those who are
297 malnourished and in those with low intakes of micronutrients. These immune impairments
298 associated with nutritional inadequacy increase susceptibility to infection and permit infections
299 to become more severe, even fatal (Figure 5). Nutritional inadequacy also allows dysregulated
300 inflammation and oxidative stress contributing to frailty and to poor outcome from infection
301 (Figure 5). The adverse impact of poor nutrition on the immune system, including its
302 inflammatory component, may be one of the explanations for the higher risk of more severe
303 outcomes from infection with SARS-CoV-2 seen in older people and in those living with
304 obesity. The role of good nutrition in promoting a diverse gut microbiota, which in turn supports
305 the immune system should not be overlooked and it is important to note that the gut microbiota is

306 also affected by ageing and by obesity (see [1] for references). The importance of good nutrition
307 in supporting the immune response also applies to assuring good responses to vaccination. Thus,
308 attention should be focussed on addressing the current nutritional inadequacies (frailty, obesity,
309 general undernutrition, micronutrient insufficiency or deficiency) that are widespread in the
310 population in order to better support the immune response. This is the major lesson from the
311 study of nutrition and immunity that is relevant for the battle with SARS-CoV-2 and the disease
312 it causes, COVID-19, and for ensuring the population is better prepared for future pandemics.

313

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317

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480 **Figure captions**

481 Figure 1. The components of the immune system and their division into innate and acquired
482 immunity. Abbreviations used: IFN, interferon; IL, interleukin; ILCs, innate lymphoid cells;
483 MAIT, mucosal associated invariant T; TGF, transforming growth factor; TNF, tumour necrosis
484 factor

485
486 Figure 2. Overview of antiviral immunity. B, B-cell; CTL, cytotoxic T-cell; IFN, interferon; Ig,
487 immunoglobulin; IL, interleukin; MHC, major histocompatibility class; NFκB, nuclear factor
488 kappa-light-chain-enhancer of activated B cells; NK, natural killer cell; Th, helper T-cell; TLR,
489 toll-like receptor; TNF, tumour necrosis factor. Taken from [1].

490
491 Figure 3. Factors that influence the immune response. Note that the listing is not exclusive.

492
493 Figure 4. Relationships between good and poor nutrition, immunity and infection.

494
495 Figure 5. Factors linking nutritional inadequacy with infection and poor outcome from infection.

496
497

498 **Table caption**

499 Table 1. Summary of the effects of various micronutrients on different aspects of immunity.
500 Abbreviations used: IFN, interferon; IL, interleukin; NK, natural killer; Th, T-helper; TNF,
501 tumour necrosis factor.

502