

1 Perspective: Moving toward desirable linoleic acid content in infant formula
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35

36 **Abbreviations used:** ALA, α -linolenic acid; ARA, arachidonic acid; DHA, docosahexaenoic acid;
37 DPA, docosapentaenoic acid; EFA, essential fatty acid; EFSA, European Food Safety Authority;
38 FA, fatty acid; HM, human milk; LA, linoleic acid; (LC)PUFA, (long chain) polyunsaturated fatty acid.

39

40 **Abstract**

41 Infant formula should provide the appropriate nutrients and adequate energy to facilitate healthy infant
42 growth and development. If conclusive data on quantitative nutrient requirements are not available, the
43 composition of human milk (HM) can provide some first guidance on infant formula composition. This
44 paper provides a narrative review on current knowledge, unresolved questions and future research needs
45 in the area of HM fatty acid composition, with a particular focus on exploring appropriate intake levels
46 of the essential fatty acid, linoleic acid (LA), in infant formula. The paper highlights a clear gap in
47 clinical evidence as to the impact of LA levels in HM or formula on infant outcomes such as growth,
48 development and long-term health. The available preclinical information suggests potential
49 disadvantages of a high LA intake in the early postnatal period. We recommend performing well-
50 designed clinical intervention trials to create clarity on optimal levels of LA which achieve positive
51 impacts on both short-term growth and development and long-term functional health outcomes.

52

53 **Keywords:** linoleic acid, LCPUFAs, human milk composition, infant development, infant formula,
54 nutritional programming

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56 **Statement of significance:** The present work summarizes and discusses for the first time the (gaps in)
57 available evidence on effects of linoleic acid in infant diet on infant health outcomes in relation to the
58 recently adapted changes in regulations for the addition of linoleic acid to infant formula.

59

60 **Introduction**

61 Exclusive breastfeeding is recommended for infants up to 6 months of age, with continued
62 breastfeeding in conjunction with appropriate complementary feeding thereafter until 2 years of age or
63 beyond (1). Lipids make up a substantial proportion of human milk (HM) macronutrients and provide
64 around 50% of the total energy needs for the infant. The HM fatty acid (FA) profile is diverse with over
65 200 FA species in varying isoforms and concentrations (2). The most abundant FAs in HM (> 50% of
66 the FA) are saturated fatty acids, followed by monounsaturated fatty acids and polyunsaturated fatty
67 acids (PUFAs). PUFAs of various carbon chain lengths can be further classified into omega (n)-3 or n-
68 6, depending on the location of the last double bond from the carboxyl end of the FA chain. HM n-3 and
69 n-6 PUFAs include essential fatty acids (EFAs) linoleic acid (LA; 18:2 n-6) and α -linolenic acid (ALA;
70 18:3 n-3), ranging between 5-30% and 0.3-2% of total FA, respectively as reviewed in (2, 3). However,
71 these levels may vary depending on various maternal nutritional, lifestyle and genetic factors.

72 LA and ALA are the predominant dietary PUFAs, and the main substrates to produce the long
73 chain PUFAs (LCPUFAs), particularly arachidonic acid (ARA; 20:4 n-6) and docosahexaenoic acid
74 (DHA; 22:6 n-3) (see **Text box**). Preclinical evidence suggests that preformed ARA and DHA may meet
75 needs for EFA, indicating that LA and ALA are not essential if sufficient ARA and DHA are provided
76 (4). ARA and DHA are also present in HM, although at much lower levels than their precursors, with
77 reported ranges between 0.1-1.1 and up to 1.4% of total FA for ARA and DHA, respectively (2, 5), the
78 levels of DHA being largely dependent on the maternal diet (6-8). Though the relationship between
79 maternal dietary intake and HM concentration has not been determined for all PUFAs, the available

80 evidence suggests that their wide range in HM reflects variation in maternal dietary intake and maternal
81 genotype (9).

82 HM substitutes (i.e. infant formula) should provide a safe and nutritionally adequate alternative
83 if full breastfeeding is not possible. Absent conclusive evidence on infant nutrient needs, knowledge of
84 the physiology and composition of HM may provide some first guidance on designing the composition
85 of infant formula, along with scientific data from experimental and human studies on nutritional needs,
86 safety and biological effects.

87 While our knowledge of HM FA composition and infant FA metabolism has increased over the
88 last several decades, new scientific insights and improved analytical techniques have highlighted the
89 substantial variability in the concentrations of FA in HM and the complex relationship among them.
90 This suggests that requirements may vary among individual infants and complicates the formulation of
91 infant nutritional guidelines. The variable fat content and FA composition of weaning foods further
92 affect overall FA intake and our ability to assess the kind and amount of infants' FA need (10-12). A
93 challenge for the formulation of guidelines on FA composition in infant nutrition is the absence of
94 reliable data on precise nutritional requirements for healthy infant growth and development and later life
95 health outcomes. The current narrative review paper summarizes an expert discussion on the topic
96 initiated and organized by Danone Research. It aims at providing an overview on the available evidence
97 that may guide the definition of appropriate infant formula FA composition, with an emphasis on LA.

98

99 **Infant fatty acid requirements**

100 Nutrient levels in formula should ideally be adequate for all infants, while recognizing that
101 optimal levels may not be the same for all, depending on genetic, other biological and environmental
102 factors. However, one of the challenges for the formulation of guidelines on PUFA intakes for infants is
103 the limited existing data on the precise nutritional requirements for healthy growth and development and
104 support of optimal long-term health outcomes. There is evidence that specific sub-groups of infants have
105 different FA requirements to the general infant population. For example, infants born preterm miss out
106 on part of the *in utero* accumulation of DHA and ARA in their brain and other tissues which would
107 normally accelerate during the third trimester, and have lower brain ARA and DHA at birth than infants

108 born at term (13). Half of the brain DHA accretion at term occurs in the last 5 weeks of gestation (13-
109 16). Preterm infants are at risk for neurodevelopmental problems and may benefit from ARA and DHA
110 supplementation in the postnatal period (17-20), although there is limited evidence of beneficial effects
111 on neurodevelopmental outcomes in the longer term (21). Compared to preterm and (very-)low birth-
112 weight infants, our understanding of the FA needs of infants born with high birth weight or to obese or
113 diabetic women is even more limited. Some evidence suggests that maternal and cord blood DHA
114 concentrations are reduced in pregnancies complicated by maternal gestational diabetes (22), raising the
115 possibility of increased omega-3 LCPUFA requirement postnatally.

116 It is likely that even among healthy term infants there is a considerable variation in PUFA
117 requirements based on phenotype, genotype and (maternal) environmental factors. For instance, FA
118 metabolism is likely to be sexually dimorphic, given that sex differences in response to PUFA
119 interventions have been reported in numerous infant studies (23). In addition, genetic variation in the
120 FA desaturase (*FADS*) gene cluster determines the infant's capacity for endogenous synthesis of ARA
121 and DHA from their precursors (see Text box), and so may result in different nutritional needs and
122 related disease risk (24). In support of this, children with the poor conversion phenotype ('haplotype A
123 vs. D') have a twofold higher risk to develop asthma if DHA supply after birth is low (24, 25). Likewise,
124 maternal diet, health and lifestyle, as well as other environmental factors such as smoking modulate the
125 fetal FA supply and PUFA status at birth (26, 27), which suggests specific nutritional needs may arise
126 for n-6 and n-3 LCPUFAs in the postnatal period.

127 Acknowledging individual variability in FA status at birth, the requirements for specific PUFAs
128 in formula may best be described as a range rather than a single value. Generally, it is assumed that the
129 estimated average requirement plus/minus 2 standard deviations of the variation in infant requirements
130 will cover the needs of almost all healthy individuals in a population. Crossing either side of the lower
131 or upper margin could increase risk of negative consequences for the infant's metabolism, physiological
132 function, and short- and long-term health outcomes. Although in theory this seems plausible, the current
133 evidence is too limited to provide a strong rationale for clear cut-off values for specific FAs. As an
134 example, adverse health effects were observed in clinical studies conducted in the 1940s and 1950s using
135 experimental infant feeding concepts with very low LA levels (see also below). Although providing

136 valuable information on minimal requirements of LA (28), these studies were conducted using formulas
137 with very low fat content and devoid of preformed LCPUFAs, and are therefore not comparable to infant
138 formulas currently on the market.

139 In contrast, infant formula marketed in the Netherlands in the early 1970s provided as much as
140 58% of fatty acids as linoleic acid, resulting in very high linoleic acid contents in infant body fat (29);
141 and an early study in the US of infants fed formula with 45% of fatty acids as linoleic acid found 2- to
142 3-fold higher linoleic acid content of red blood cell phospholipid classes compared to breastfed infants
143 (30). No obvious adverse health effects were reported in these studies (30, 31).. Clinical studies to
144 investigate dietary levels of all FAs is impractical, if not impossible, and thus guidance is needed from
145 careful consideration of scientific evidence, including an in-depth understanding of HM composition
146 and preclinical studies.

147

148 **Human milk composition may provide some guidance on adequate infant fatty acid intakes**

149 The nutritional and functional properties of infant formula should ensure healthy growth and
150 development, providing, as much as possible, similar function and benefits as HM. HM composition is
151 believed to be selected by evolution to provide the nutrients and energy to support adequate growth and
152 development of human infants (32), and thus provides some guidance as to infant FA intakes that are
153 likely adequate, even though HM lipid composition varies markedly. It is also important to consider that
154 an identical intake level of a substrate in infant formula as observed in HM does not by itself ensure
155 safety and suitability. For instance, the bioavailability of a given substance may differ between the
156 formula and HM matrix and/or there may be subtle differences in the conformation of a substrate added
157 to infant formula in comparison to the naturally occurring substance in HM (33). To date,
158 recommendations for the lipid composition of infant formula have been guided partly by data on the
159 lipid composition of HM (34), while acknowledging that exact mimicking of the HM composition may
160 not be possible nor favorable *per se*. For example, some components of HM might be present as a
161 consequence of the mechanisms of HM synthesis, maternal diet or other environmental factors such as
162 exposure to lipid-soluble pollutants without any nutritional or other benefit for the infant (35). Other

163 components such as hormones and other biologically active components present in HM cannot be added
164 to infant formula at this time because of sourcing, technological, regulatory or food safety reasons.

165 More than 200 different FA species have been described in HM. For most, their relevance to
166 health and development of the infant is unknown. For some fatty acids it is uncertain if they are present
167 in HM in all women. The variation in FA profile in milk of healthy, omnivorous women could be used
168 as a base to define appropriate ranges, however, several aspects need to be considered.

169 First, HM fat content and FA composition change considerably over the course of lactation (36,
170 37). It has been postulated that some of these changes may match changing nutritional requirements of
171 the growing/developing infant. For example, the observed increase in fat content with a concomitant
172 decrease of protein-to-fat and protein-to-energy ratio, during the transition from colostrum to mature
173 milk, is likely to reflect the decreasing weight gain velocity with increasing postnatal age (38). The
174 determinants and biological relevance of changes in FA composition of HM during the transition to
175 mature milk, in particular the decline in HM ARA and DHA with increasing duration of lactation, is less
176 clear (2), and is considered to likely reflect the depletion of maternal body stores of LC-PUFA, rather
177 than declining needs of the infant. The total fat content and FA composition of formula intended to be
178 used by infants from birth up to 6 months of age with current concepts is fixed according to one specific
179 recipe, and even follow-on formulas for older infants often tend to have the same lipid composition,
180 even though arguments for more differentiated staging of formula compositions have been brought
181 forward (39). Hence, formula-fed infants currently lack the exposure to potentially functionally relevant
182 changes in lipid composition that breastfed infants experience.

183 Second, HM lipid composition is associated with various maternal factors, including lifestyle
184 factors such as smoking, body composition, dietary patterns, *FADS* genotype, parity and potentially by
185 child sex (9, 40-44). The extent to which these maternal factors impact HM lipid composition varies
186 among different FAs, and may also relate to the observed geographical variation in HM lipid
187 composition (5, 45). Previous investigations have provided strong evidence that the maternal dietary
188 intake of DHA strongly affects the amount of this FA in HM (5-8, 46). Likewise, maternal LA intake is
189 related to the LA content of HM, although the predominant sources of LA in HM post-partum are
190 maternal body fat stores (~70%), rather than the recent LA dietary intake (45, 47). This points to the

191 relevance of maternal diet prior to and during pregnancy, which modulates the LA content of maternal
192 fat stores, for LA content in HM. In contrast to LA, the level of ARA in HM shows remarkably little
193 variation in relation to differences in maternal ARA intake (5).

194 Third, in addition to variations in HM lipid composition as a result of differences in individual
195 dietary intakes and other biological and lifestyle factors, marked temporal changes in HM FA
196 composition have been documented at a population level, due to changes in habitual dietary intakes and
197 food composition. During the 20th century, dietary intake of n-3 LCPUFAs declined, whereas the mean
198 intake of LA markedly increased in Western/industrialized societies. This has been driven by increased
199 use of vegetable oils that are rich in LA, such as soybean and sunflower oil, and the increased
200 consumption of processed and baked food items that are rich in these oils such as spreads, ready-to-use
201 meals and pre-prepared sauces (48-50). These dietary changes are reflected in markedly increased LA
202 levels in HM of Western women between 1944 and 2000 (51, 52). Moreover, considering more recent
203 trends in industrialized food supply such as the replacement of high LA containing vegetable oils by oils
204 high in palmitic or oleic acids such as palm oil, high-oleic sunflower oil, rapeseed (classic canola) oil
205 and olive oil, it may be postulated that the evolution of HM FA composition will continue (i.e. higher in
206 oleic acid and lower in LA). A recent German study comparing HM FA composition in samples
207 collected from two cohorts a decade apart (2000 vs 2012), however, suggests that the increase in HM
208 LA levels continues and that the increased use of alternate oils is not (yet) visible at the HM level (53).

209 With regards to the selection of reference milk samples to be used as a guide to infant formula
210 FA composition, it is important to point out that *no* two HM samples are the same; there are considerable
211 differences between, as well as within, individuals. While pooling HM samples and data, thereby taking
212 into account the aforementioned factors, can address this to some extent, it is impossible to account for
213 it completely, especially given that HM composition in part reflects patterns of edible fat consumption
214 and the populations studied across the globe. Moreover, it should be considered that some of the
215 variation in FA content and composition of HM may not necessarily translate into favorable effects for
216 the developing infant. Furthermore, in addition to the FA content, differences in fat digestion and
217 digestibility between infants including lipase activity and triglyceride structure affecting FA absorption
218 have to be considered, as well other effects related to differences in the mode of feeding (formula- vs.

219 breastfeeding), which also have the potential to influence FA uptake and HM composition (54, 55).
220 Hence, taking the large inter- and intra-individual variation in HM FA composition into account, it is
221 clear a single *ideal* HM composition does not exist as a guidance/reference to define appropriate levels
222 of FAs for a population-based adequate intake.

223

224 **Current recommendations for infant formula lipid composition**

225 Recommendations set by the Codex Alimentarius (or in short ‘Codex’) of the FAO and WHO
226 on formula composition state that fat content in infant formulas should be between 4.4 and 6.0 g/100
227 kcal (i.e. 40-55 En%), and include both n-3 and n-6 essential FAs: at least 50 and 300 mg/100 kcal ALA
228 and LA, respectively (i.e. 0.45 and 2.7 En%). A *guidance* upper level of 1400 mg/100 kcal (12.6 En%)
229 is provided for LA. While no maximum level was set for ALA, the LA/ALA ratio in formula is set to
230 be between 5 and 15. For preformed DHA, Codex defines no minimum level and a guidance upper level
231 of 0.5% of total FAs (22 mg/100 kcal, 0.2 En%), and specifies that the DHA content should be matched
232 with at least equal levels of ARA. The guidance upper levels stated are based on an established history
233 of apparent safe use, although several populations with a high fish intake (e.g. Japanese) have far more
234 DHA than ARA in HM (5). It is acknowledged, however, that there is not enough information for a
235 science-based risk assessment to define strict maximum allowable levels (56, 57).

236 The Codex recommendations (58) are partly based on data on the FA composition of HM from
237 healthy, omnivorous women as well as observations from infant feeding studies (28, 57). The Codex
238 represents guidelines on adequate infant nutrition that are meant to provide guidance for national and
239 regional food standards. The Codex guidelines are implemented in derived legislation in most countries
240 to ensure that infant formula is safe and meets the nutrient and energy requirements of developing
241 infants. In addition, several national or regional authorities (e.g. FDA in the US, EFSA in the EU,
242 FSANZ in Australia and New Zealand) provide guidance on infant formula composition based on their
243 own expert consultations. An overview of the recommendations by Codex and regulations set by various
244 local authorities are provided for LA, ALA, ARA and DHA in **Figure 1**, as well as the range of these
245 FAs in HM.

246 Global and national/regional standards are periodically updated with the intention to improve
247 guidelines. Changes are based on emerging scientific insights on functional and compositional properties
248 of FAs in nutrition and/or infant FA requirements. As an example of evidence-based adaptations to
249 guidelines or regulations, during the last decades it became evident that an adequate nutritional supply
250 of preformed DHA to infants has direct health benefits (59). As a result, the most recent EC directive
251 (2016/127) (60) now prescribes *mandatory* addition of preformed DHA to infant formula as opposed to
252 its *optional* addition in the previous versions of these guidelines. Conversely, and not in line with Codex
253 recommendations, specific regulations on the addition of preformed ARA to formula (in conjunction
254 with DHA) were deemed unnecessary, as it was considered that there was no conclusive evidence of
255 functional effects of preformed ARA (60-62). This aspect of the European regulation is controversial.
256 Of particular relevance to this paper is that having no ARA in formula results in an FA composition of
257 formula deviating further from HM FA composition, where both ARA and DHA are always present (5).
258 In response to the release of the DHA recommendations, arguments were made by experts that the ARA
259 content of infant formulas should be at least equal to that of DHA (57, 63). Following this reasoning,
260 the addition of preformed ARA may allow for a decrease in the LA levels of formula.

261

262 **Evidence base for the (recently adapted) LA and ALA recommendations**

263 Although >200 different FA species have been identified in HM, there is a tendency for some
264 FAs to become the focus of intense scrutiny, e.g. by committees and panels. As a result, other long-
265 established constituents may not have been studied despite their potential functional relevance; in some
266 cases, those constituents have never or not recently been investigated or subjected to RCTs. Constituents
267 that have not received much attention in recent years include LA and ALA.

268 The minimal levels of LA set in the current guidelines are largely based on conclusions drawn
269 from clinical experiments in the 1940s and 1950s that evaluated the essentiality of dietary LA intake in
270 infants. Formulas very low in or devoid of any fat resulted in skin pathology (dryness and scaling) and
271 in growth faltering in infants (28, 64-66). In preclinical models, LA deficiency resulted in severe
272 impairments in renal function and fecundity in animals (65, 67, 68), all of which could be alleviated by
273 providing LA. Minimal required levels of LA were found to be around 2 w% of total fat intake (i.e. 120

274 mg/100 kcal). It must be stressed, however, that these studies were done in the absence of ALA and
275 preformed LCPUFA supply. Interestingly, a recent report demonstrated that LA requirements in the
276 presence of ALA are lower (1-1.5%) than the historical 2% of energy intake value in rats, suggesting
277 there may be a need to also reconsider the minimum LA recommendations for infants (69).

278 The maximal recommended LA intake, however, was less clear and rather arbitrarily set at a
279 level seldom encountered in HM at that time, and on experimental indications of possible untoward
280 effects of high LA intakes and high dietary LA/ALA ratios. In the 1980s, a UK working group concluded
281 that no obvious adverse effects were observed in infants raised on a formula comprising close to 60 w%
282 LA of total fat (29), but it raised theoretical concerns with regard to the vitamin E requirements of the
283 infants (31). Subcutaneous fat deposited in those infants was found to comprise 25 w% LA by 6 weeks,
284 which increased up to 46 w% at 12 weeks. Red blood cell membrane phospholipids were found to
285 contain increased LA levels compared to their breastfed peers. Other changes in FA composition due to
286 high LA dietary levels were hypothesized, but no clear short- or long-term harm was identified as long
287 as the vitamin E supply was sufficient. The UK working group set a safe maximal LA level of 20 FA%
288 in a formula with 6 g fat/100 kcal, which equates to 1200 mg LA/100 kcal. This is the maximal level of
289 LA set in the current EU guidelines.

290 The essentiality of ALA has been established in animal studies only, leading to a recommended
291 intake of 0.5% of total caloric intake for infants (68). A clear role for ALA in infant development was
292 never investigated and clinical data on minimal requirements of ALA in infants, or on safe maximal
293 intake levels are lacking. Preclinical rodent data, similar to those which established LA and ALA as
294 required in the absence of preformed LCPUFAs, show conclusively that diets with ARA and DHA and
295 without any other source of n-6 or n-3 FAs are able to support normal growth and development,
296 including cognitive development through 10 generations, and suggest an improved fertility with
297 advancing age compared to conventional soy oil based diets, high in LA and lacking ARA and DHA (4,
298 70). Based on these insights, LA and ALA may in fact not be essential as long as a sufficient level of
299 preformed LCPUFA (DHA and ARA) is provided.

300 In the latest EC directive, the level of LA is set between 500 and 1200 mg/100 kcal (10-25% of
301 total FA) and ALA between 50 and 100 mg/100 kcal (1-2% of total FA) (60). Compared to the previous

302 EC directive of 2006 (71) this stipulates a higher minimum addition of LA, and a lower maximum ALA,
303 see Figure 1. The decision to lower maximal allowed ALA levels might have been considered because
304 of the now mandatory addition of DHA. It was reasoned that adequate amounts of preformed DHA
305 should be provided in the diet to meet infant requirements, allowing a lower level for its precursor ALA.
306 The basis of this seems to be that, whereas ALA can function as a precursor for DHA (see Text box),
307 the endogenous conversion of ALA to DHA is regarded as insufficient during infancy to meet DHA
308 requirements, and is also known to depend on the infant's genotype with respect to the desaturase and/or
309 elongase enzymes required for ALA to DHA conversion (9, 43, 44). The higher levels of LA in the new
310 guidelines (higher absolute minimum as well as higher LA/ALA ratio due to the lowered maximum
311 addition of ALA) are thought to facilitate LA conversion to ARA, given that addition of preformed ARA
312 is not proposed (72). While this logic may seem sound (see Text box), it has been questioned whether
313 adequate ARA levels can be achieved from LA conversion to meet the high metabolic demands of
314 growing infants (73). Interestingly, although a higher LA supply might theoretically favor endogenous
315 ARA synthesis (see Text box), there is evidence coming from studies in adults that this relationship is
316 absent or much more complex (74). As an example, targeted dietary manipulations in adults showed that
317 a 66% reduced LA intake (from 7.4 to 2.4 %En), along with 50% reduced preformed ARA intake, only
318 resulted in an 5% reduction (borderline significant) in circulating ARA levels (75). This indicates that
319 circulating plasma ARA levels do not show a linear association with, or are a mere reflection of, the
320 dietary LA or ARA intake, but appear to depend on endogenous production (capacity) aimed to maintain
321 physiologically adequate levels. Moreover, it should be noted that high dietary LA levels may suppress
322 endogenous synthesis and tissue accretion of all n-3 FAs (see Text box), thus in effect creating a
323 metabolic requirement for preformed DHA (76, 77). Conversely, a lower dietary LA supply would
324 theoretically allow for lower levels of DHA supply (75, 78).

325

326 **Potential effects of a high dietary LA intake in early life**

327 Many organs and systems undergo important developmental steps particularly during the first
328 1000 days from conception to a child's 2nd birthday. Dietary FA composition in the early postnatal period
329 was proposed to modulate growth and development, and ultimately to affect health in later life (79).

330 Moreover, it was postulated that increased dietary LA intake and a decrease in ALA supply early in life
331 might have potential negative effects on short- and long-term health. The changes in human dietary
332 habits over recent decades in Western society, including higher intakes of LA, have coincided with
333 higher incidence of obesity, immune related and neuropsychiatric diseases at a population level (52, 79-
334 84). Although it is important to note that association does not imply any causal relationship, this has
335 nevertheless led to speculation that exposure to higher LA intakes before birth and in early infancy might
336 be associated with altered development and long-term consequences for health and disease risk. The
337 increased dietary LA supply over the last decades in Western populations is reflected in an increasing
338 mean HM LA content (3). Some observational studies propose that high LA in HM might be associated
339 with poor neurocognitive outcomes (85-88), excessive weight gain and a higher obesity risk (51, 89),
340 and an increased risk for atopic eczema and allergic responses (90-92), although there is no direct clinical
341 evidence supporting a causal relationship. LA and ALA are precursors for n-6 and n-3 LCPUFAs
342 respectively, as well as a wide range of other metabolites and bioactive compounds (see Text box).
343 Dietary intakes of LA and ALA, and the balance between them, therefore has the potential to affect
344 LCPUFA status, and thus impact immune and neural function as well as the development of adipose
345 tissue, particularly if preformed LCPUFAs are not adequately provided. The following paragraphs
346 elaborate on the evidence base for this hypothesis.

347

348 *LCPUFA status*

349 Circulating n-3 LCPUFA plasma levels in infants are strongly affected by the dietary supply of
350 preformed n-3 LCPUFAs (6, 46). However, intervention studies in humans where the dietary n-6/n-3
351 ratio is modified by adapting only the levels of the precursors LA and ALA are scarce. The evidence
352 from available trials in adults suggests that n-3 LCPUFA status may be increased by specifically
353 reducing dietary LA intakes to very low levels (75, 77, 93-96). Udell and co-workers (97) reviewed the
354 outcomes of clinical intervention studies in infants using formula with increased ALA, thereby also
355 resulting in lower dietary LA to ALA ratios, and concluded that increasing ALA supply improved infant
356 DHA status, in contrast to many studies in adults (8). In line with these findings, infant DHA status was
357 also increased when dietary ALA supply was increased while LA was lowered (98, 99). Importantly,

358 these studies in infants were performed using formulas devoid of preformed LCPUFAs, and ALA levels
359 were substantially above the current recommended range for ALA. With the mandatory presence of
360 preformed DHA in current infant formula, the contribution of ALA as precursor to DHA is likely to be
361 limited since preformed DHA consistently raises plasma DHA levels (8, 100-102).

362

363 *Immune function and allergy*

364 The immune system develops early in life. LA and ARA have been proposed to induce ‘pro-
365 inflammatory’ effects because of the range of pro-inflammatory eicosanoid mediators (e.g.
366 prostaglandins, PG; leukotrienes, see Text box) derived from LA and ARA by cyclo- and lip-oxygenases
367 (103-105). The levels of these PUFAs, and therefore their downstream products, is postulated to play a
368 role in processes such as inflammation and thrombosis, and, as suggested in more recent studies,
369 influence the response to viruses, including SARS-CoV2, that are known to be related to such signaling
370 molecules (101). However, lipoxins derived from ARA may also induce resolution of inflammation. A
371 high dietary supply of LA was proposed to facilitate development of allergic sensitization, and it was
372 speculated that enhanced production of the ARA-derived eicosanoid PGE₂ might induce multiple Th2-
373 associated diseases, most notably atopic dermatitis and asthma (106-108). PGE₂ might also promote the
374 production of IgE, an immunoglobulin associated with allergic responses (108). Furthermore, LA might
375 affect signal transduction pathways involved in immune function by binding to transmembrane and/or
376 intracellular receptors or altering other signaling molecules (49). While higher intakes of LA-rich
377 vegetable oils, e.g. conventional soy bean oil, have been associated with an increased incidence of
378 allergic disease in children (109), confounding of the association with other variables associated with
379 allergy risk must be considered. In preclinical models, excessive dietary LA promotes vascular
380 inflammation (110) and is associated with allergic responses (111, 112), but it is not clear if these
381 findings can be extrapolated to human infants.

382

383 *Neurocognitive function*

384 Early in life, structural and functional development of the brain is enabled by high levels of
385 DHA and other substrates sourced from the blood stream and accumulating in neuronal tissues. In the

386 absence of adequate preformed DHA supply, a high dietary LA supply might reduce brain DHA uptake,
387 since 1) the capacity for endogenous n-3 LCPUFA synthesis will most likely be reduced by high LA
388 competing with ALA for conversion, and 2) higher n-6 LCPUFAs in the circulation compete with n-3
389 LCPUFAs for incorporation into neuronal membranes, resulting in relatively lower levels of DHA and
390 other n-3 LCPUFAs in the brain (see for review (113)). Although brain FA profiles cannot be studied in
391 clinical trials, autopsy studies in infants that suffered from sudden (cot) death revealed differences
392 depending on diet. Lower brain DHA and higher n-6 docosapentaenoic acid (DPA) content was observed
393 in infants fed formulas with a relatively high LA content and no preformed DHA compared to breastfed
394 infants as well as in formula fed infants when exposed to a high LA/ALA ratio (114-117). Evidence
395 from preclinical studies shows that postnatal dietary LA levels are inversely related to DHA content in
396 the developing brain. In contrast, the level of, in particular, n-6 DPA, which has no neurodevelopmental
397 relevance and typically accumulates if there is n-3 PUFA deficiency (118, 119), is also increased
398 following high LA supply (120-123). Supplementation with DHA and ARA did not prevent some of
399 these typical changes in brain FA profile caused by the high LA supply (122). In contrast, specifically
400 lowering LA supply early in life was shown to positively affect structural development of the brain and
401 to improve neurocognitive function later in life in experimental animal models (124, 125).

402

403 *Adipose tissue development and metabolic syndrome*

404 White adipose tissue (WAT) development occurs early in life and comprises proliferation and
405 differentiation of pre-adipocytes to mature adipose cells. In humans, the WAT storage capacity, i.e. the
406 number of adipocytes that can be filled throughout life, is established during childhood and adolescence
407 (126). N-3 and n-6 FAs may differentially modulate proliferation and differentiation of pre-adipocytes,
408 with LA and ARA and their eicosanoid metabolites stimulating adipogenesis via several mechanisms
409 including gene transcription, mRNA processing and posttranscriptional processes (52, 127, 128). Data
410 from preclinical studies suggest that exposure to a high LA supply early in life predisposes to later life
411 metabolic disease including obesity and hepatic steatosis (52, 127, 129-131), whereas low LA as well
412 as dietary n-3 LCPUFA supplementation may program toward reduced fat mass accumulation (132,
413 133). It is important to note, however, that this has not been a consistent finding across all pre-clinical

414 studies, and the interpretation of these data are often complicated by the fact that exposure to the low
415 LA or high DHA diet is not confined only to the pre-weaning period (134). While positive associations
416 between cord blood n-6 PUFAs and fat mass in childhood have been reported in human studies, no
417 causal relationships have been established (135). Although there is some indication that HM DHA levels
418 may be associated with beneficial effects on childhood BMI (136), the potential of n-3 FA
419 supplementation during pregnancy and/or breastfeeding for reducing adipose tissue deposition in human
420 infants is not supported by the current body of evidence (137-139).

421

422 **Gaps in knowledge**

423 The latest EC directive on infant and follow-on formula composition stipulated higher minimal
424 LA and lower maximal ALA contents, with a possible increase of the LA/ALA ratio, in the presence of
425 DHA and potentially also ARA. Based on experimental and observational data described in the previous
426 sections, potential adverse effects of these changes are conceivable and should be carefully explored and
427 considered. However, the complexity of clinical intervention trials in general has posed a challenge for
428 generating sufficient evidence for the specific contribution of distinct FAs in infant nutrition, such as
429 LA, ARA and ALA, and their optimal levels. For example, measurable clinical endpoints may stretch
430 well beyond the end of any intervention study. Although several clinical trials have investigated effects
431 of high vs. low n-6/n-3 ratio early in life on infant development and health outcomes, most studies focus
432 on supply of preformed n-3 and n-6 LCPUFAs. To the best of our knowledge, there are no clinical trials
433 to date in which effects of LA and ALA levels in infant formula are studied in the presence of preformed
434 LCPUFAs. This is critical given that the available preclinical evidence indicates that the relationship
435 between n-6 and n-3 FA intake and circulating DHA levels is more complex than the simple concept of
436 a ratio and also depends on total dietary PUFA provided.

437

438 **Rationale and hypothesis behind health benefits of lowering LA levels in formula**

439 Based on our current understanding of lipid biochemistry and functionality and on mainly
440 preclinical evidence, it can be postulated that a disproportionally high LA intake in infants may reduce

441 n-3 LCPUFA synthesis and/or accretion resulting in a lower DHA status (in the absence of preformed
442 DHA). Conversely, synthesis of n-6 LCPUFA derived pro-inflammatory eicosanoids and adipogenic
443 cytokines might be increased, with a potential impact on the development and functioning of the immune
444 system, brain, adipose tissue and other organs. It can be hypothesized that lowering LA content in infant
445 formula, without changing ALA, DHA and ARA levels, might support enhanced infant n-3 LCPUFA
446 status and thereby support healthier infant development. Potentially, this might lead to further
447 reconsideration of the level of preformed DHA needing to be added to formula. This hypothesis may
448 have considerable relevance for long-term health outcomes and hence should be thoroughly explored.

449

450 **Developing a better evidence base for adequate and/or optimal LA intakes in infants**

451 To create clarity on optimal and safe LA levels in infant formula intended for healthy term
452 infants, as well as to generate convincing evidence for potential health benefits including the possible
453 reduction of disease risk later in life, more clinical evidence is needed. For example, as a first step a
454 focused proof-of-principle study could be performed to show the impact of infant formula with low vs.
455 high (current) LA levels on (LC)PUFA status in healthy term infants. Such a study could be done as a
456 relatively small RCT, using potentially an infant formula with LA content of maximal 300 mg/100 kcal
457 (i.e. the minimum level defined in the previous EFSA recommendations and current Codex) and a
458 formula containing at least 500 mg/100 kcal LA (in line with current EFSA recommendations). In order
459 to provide valid data, both experimental (low LA) and control formula (high LA) should contain similar
460 ALA levels as well as preformed DHA and ARA, the latter preferably in equal amounts (i.e. around 25
461 mg/100 kcal) following recently described recommendations (57). In addition, the experimental and
462 control formulas should be the sole source of nutrition up to at least 4 months of age. The study may
463 include a breastfed reference group as well as a group fed a formula low in LA but without ARA to
464 evaluate the impact of absence of preformed n-6 LCPUFAs as allowed by current EFSA
465 recommendations. Crucial in such a study will be the definition of (LC)PUFA status and how to assess
466 this optimally. Plasma and erythrocyte FA levels, measuring both precursor FA and LCPUFAs (140)
467 could be used to monitor FA status over time. Such a proof-of-principle study should be conducted in a
468 well-defined and fairly homogenous population to reduce the impact of potential sources of variation as

469 mentioned above (e.g. phenotype, genotype and maternal environmental factors). It is important to note
470 that this has the inherent, yet acceptable limitation that the outcomes cannot be directly extrapolated to
471 other (sub)populations including preterm infants.

472 The proposed adaptations of the infant formula recipes to be tested in the trial may raise
473 additional challenges which will impact the overall FA composition of infant formula, since lowering
474 LA content requires the increase of another FA to keep the total contribution of fat equal. Using HM as
475 guidance in how to do this, a higher contribution of oleic acid or saturated FAs might be the most logical
476 solution. Although also increasing the ALA content may be considered in its role as precursor for n-3
477 LCPUFA, preclinical evidence in rats shows that endogenous DHA synthesis may be paradoxically
478 reduced when ALA supply is too high (141).

479 Based on the established clinical evidence which associates infant blood FA status to functional
480 outcomes, emphasizing brain developmental milestones and immune function, it may be assumed that
481 within reasonable ranges a higher n-3 LCPUFA status could be beneficial to the developing infant (142).
482 This should be confirmed in follow up clinical studies that will also consider functional outcomes such
483 as growth, body composition, incidence of atopic disease, neurodevelopment including vision, and
484 cognition longer term. Whereas effects of dietary (LC)PUFA intake after weaning will contribute to the
485 outcomes, concrete clinical endpoints would preferably be assessed until age 2 years (~1000 days) and
486 with follow up beyond (at 5 or even 10 years of age). Such data could provide the required new evidence
487 that is needed to reconsider current guidelines for the level of LA in formula for healthy term infants
488 and may help define levels that will support healthier development of infants across the population.

489

490 **Conclusion**

491 The balance of dietary LA and ALA and preformed n-6 and n-3 LCPUFAs in early life nutrition
492 has the potential to affect LCPUFA status and impact immune, neural and adipose tissue development.
493 The latest EC directive on infant formula composition stipulated increasing minimal LA and lowering
494 maximal ALA contents in infant formula, thereby increasing LA/ALA-ratios, in the presence of DHA
495 and optionally also ARA. Based on current understanding of lipid biochemistry and of the available

496 scientific mainly preclinical evidence, it may be postulated that a relative high LA intake in infants could
497 reduce n-3 LCPUFA synthesis and/or accretion resulting in a lower DHA status. However, the available
498 preclinical evidence indicates that the relationship between n-6 and n-3 FA intake on circulating DHA
499 levels is more complex and is not only the direct result of the LA/ALA ratio, but also depends on total
500 (preformed) dietary PUFA provided. We conclude that a clear gap in knowledge exists regarding the
501 potential impact of LA and ALA levels in infant formula in the presence of preformed LCPUFAs as in
502 current formulas. Hence, an urgent need exists for well-designed clinical intervention trials to create
503 clarity about optimal and safe levels of LA and long-term implications on functional health outcomes.

504 **TEXT BOX:** Human milk (HM) and infant formula include the essential fatty acids (EFAs) linoleic
505 acid (LA, 18:2 n-6) and α -linolenic acid (ALA, 18:3 n-3). After ingestion, these 18-C EFAs can be
506 converted to long chain (≥ 20 -C) polyunsaturated fatty acids (LCPUFAs), including n-6 arachidonic acid
507 (ARA) and n-3 docosahexaenoic acid (DHA), by desaturase and elongase enzymes (see **Figure 2**), this
508 process takes place primarily in the liver, although in infants the capacity to synthesize LCPUFAs from
509 EFAs is low (8, 102). HM also contains preformed LCPUFAs (both n-6 and n-3), and so may infant
510 formula.

511 n-6 and n-3 PUFAs use the same set of enzymes and therefore compete for conversion. Consequently,
512 the absolute amounts of either PUFA type as well as their ratio affects the balance between n-6 and n-3
513 LCPUFA synthesis. High supply of LA can limit n-3 LCPUFA synthesis and lead to excessive n-6
514 LCPUFA synthesis. The conversion of EFAs as well as conversion of the intermediates n-6 adrenic acid
515 (AdrA) and n-3 docosapentaenoic acid (DPA³) to, respectively, n-6 DPA and DHA involves the *FADS2*-
516 coded enzyme $\Delta 6$ -desaturase via either the Sprecher pathway or *FADS2*-coded $\Delta 4$ -desaturase (101,
517 143). A high supply of LA can therefore also limit the conversion rate of n-6 and n-3 FA intermediates.

518 LA and ALA, as well as their metabolites are important constituents of biological membranes and
519 immune-modulating compounds as they are precursors in the biosynthesis of eicosanoids: signaling
520 lipidic molecules with an important function in the immune system (allergy and inflammation) and in
521 adipose tissue development (105). n-6 and n-3 PUFAs compete for uptake from the plasma to organs
522 such as the developing brain, where ARA and DHA cannot be synthesized locally in sufficient amounts
523 (144). A disproportionally high supply of LA over ALA will be reflected in a disbalance in the type of
524 end products including LCPUFAs, eicosanoids and prostaglandins synthesized from the precursors
525 supplied, and their availability for incorporation in/use by organs and tissues. Although circulating
526 PUFA levels not necessarily reflect local tissue levels, a high circulating n-6 PUFA status may inhibit
527 the local uptake and incorporation of (preformed) n-3 LCPUFAs in membranes (78, 120, 121). The
528 dietary supply with n-3 LCPUFAs for health benefits may therefore not be effective when combined
529 with an imbalanced intake of LA/ALA (102).

530

531 **FIGURE 1:** Boxes represent the minimum and maximum recommended/stipulated levels of LA, ALA,
532 ARA and DHA as set by Codex and various local regulatory bodies; values are expressed as mg/100kcal
533 and are calculated assuming 3.5 g fat/100 mL for infant formula; a, no maximum level defined; b, no
534 minimum level defined (addition is not mandatory); c, LA/ALA ratio needs to be between 5-15; d,
535 addition at maximum of 1% of total FA; e, ARA/DHA ratio ≥ 1 ; f, guidance upper level is 0.5% of total
536 FA; g, shall not exceed n-6 LCPUFA. Boxplot at right represents the median, upper and lower quartile
537 and the range (minimum to maximum) in which these FAs are present in HM; values are expressed as
538 mg/100kcal and are calculated assuming 3.3 g fat/100 mL and using mean values in milk of mothers of
539 term infants (average colostrum, transitional and mature milk) that were reported in 50 studies (60
540 groups varying in size between 5 and 602 subjects) published between 1985 and 2018 and that were
541 included in a recent review on HM FA composition (2). ANZ, Australia New Zealand; CN current, China
542 standards as published in February 2021 and mandatory from February 2023 onwards; CN previous,
543 China standards before February 2021; EFSA current, European Food Safety Authority standards
544 mandatory from February 2020 onwards; EFSA previous, European Food Safety Authority standards
545 before February 2020; FDA, Food and Drug Administration (USA); HM ref, human milk reference;
546 RU, Russia.

547
548 **FIGURE 2:** Metabolic pathways and interconversions of PUFAs. Adapted from Gibson et al. (145) with
549 permission from Elsevier. Abbreviations used: AdrA, adrenic acid; ALA, α -linolenic acid; ARA,
550 arachidonic acid; β ox, β oxidation; DGLA, di-homo- γ -linolenic acid; desat, desaturase; DHA,
551 docosahexaenoic acid; DPA³, docosapentaenoic acid n-3; DPA⁶, docosapentaenoic acid n-6; EPA,
552 eicosapentaenoic acid; elong, elongase; GLA, γ -linolenic acid; LA, linoleic acid; SDA, stearidonic acid.
553 . Note: Not shown are the metabolic steps required for activation of FAs to enter the pathways, and the
554 steps required to accept synthetic products from the pathway.

555

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