

1 Partially Mobile Subsea Shallow Foundations – A Practical Analysis

2 Framework

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11 Abstract

12 The geotechnical design of partially mobile subsea foundations (i.e., mudmats) for
13 pipeline/flowline end terminals (PLETs) is presented in this paper. A partially mobile
14 mudmat represents a fit-for-purpose engineering solution that has significant commercial
15 competitiveness. It lies between a fully anchored mudmat (which is designed for negligible
16 movements but may be too large causing installation issues or needs corner piles to anchor)
17 and a fully mobile mudmat (which moves to fully accommodate the expansion of the
18 connected pipeline, but may suffer excessive settlements that compromise the structural
19 integrity), and is suited to deepwater soft soil conditions. The motivations of the paper are
20 to help to mature this new concept and technology for practical design and to inspire future
21 research to improve the accuracy of predictions. The objective of the paper is to present
22 simple new analytical solutions to predict the long-term accumulated displacements and

23 rotations of a partially mobile mudmat on soft clayey deposits subjected to cyclic loading.
24 The proposed displacement prediction framework combines established elements of
25 consolidation theory, plasticity theory, and Critical State Soil Mechanics (CSSM). Typical
26 ranges of soil properties pertinent to a partially mobile mudmat are provided for the
27 deepwater Gulf of Mexico (GoM) soft clays, and a design analysis example is provided.
28 For these conditions, it is concluded that the dominant displacements of a partially mobile
29 mudmat are caused by primary consolidation and plastic failure. Recommendations for
30 further improvement are listed to inspire further research.

31 **Key words:** Partially Mobile, Mudmat, Critical State, Consolidation, Cyclic, Clay

32

33 **Introduction**

34 Rectangular subsea mudmats with peripheral short skirts (typically around 0.3 meters
35 in length) are commonly used as the foundations to support subsea facilities, e.g., a pipeline
36 end termination structure (PLET). The design of subsea mudmats usually follows the
37 conventional factor of safety or partial action/material factor concepts. For example, the
38 American Petroleum Institute (API) guideline (API RP2GEO, 2014) adopts the global
39 factor of safety approach while the ISO guideline (ISO 19901-4, 2016) recommends using
40 the factored load and factored resistance approach. In essence, the underlying philosophy
41 of the conventional design approach for mudmats is to ensure the resistance of a mudmat
42 is greater than the imposed loads, which include but are not limited to pipeline (in-field
43 flowlines and export pipelines are both termed pipelines in this paper for simplicity)
44 thermal expansion loads, jumper loads, subsea equipment loads, connection misalignment
45 loads etc.. Allowing a certain safety margin, the design analysis ensures the mudmat will
46 undergo only pre-failure movements under all design conditions and will remain stable.

47 The oil and gas industry now is moving to deep and ultra-deep water areas, which can
48 be approximately defined as water depths greater than about 600 m or 2000 feet. In
49 deepwater areas, pipelines usually carry high pressure and high temperature (HPHT) fluids,
50 and soils are much softer with water contents typically around 150% at the seafloor. In
51 these conditions, the conventional design approach becomes increasingly uneconomical,
52 because the dimensions of a mudmat can prevent it to be installed with a pipeline lay vessel,
53 or the mudmat must be fitted with extensions after installation in order for it to fit into the
54 workspace of the vessel. Alternatively, hybrid foundations with pin-piles installed at the
55 corners of a mudmat may be considered (Dimmock *et al.*, 2013); however, additional cost
56 and risk exposure is induced by additional offshore installations.

57 Recent innovations in offshore geotechnics lead to the design of performance-based
58 fully mobile subsea mudmats that can directly move back and forth with the pipeline
59 thermal expansions and contractions. Significant progress has been made in this area in the
60 past decade: centrifuge tests (Cocjin *et al.*, 2014; Wallerand *et al.*, 2015), numerical
61 simulations and laboratory soil element tests (Deeks *et al.*, 2014; Feng and Gourvenec,
62 2016), and analytical frameworks by Cocjin *et al.* (2017) and Corti *et al.* (2017) have been
63 presented to advance this concept. The major benefit of a fully mobile mudmat is that the
64 size can be much smaller than it has to be based on the conventional design approach that
65 aims for a fixed foundation. The technical justification for a smaller and fully mobile
66 mudmat is that the mudmat does not need to resist to the imposed load, but instead moves
67 to relieve the load. The design criteria then become to ensure that the movements (i.e.,
68 horizontal movement, vertical settlement, and rotation) of the mudmat are within the
69 subsea structure tolerance. For mudmats with rigid jumpers, these tolerances are typically

70 around half a meter in the vertical direction and one to two degrees for the rotation. The
71 tolerance for the horizontal movement depends heavily on the subsea layout but typically
72 is more than the tolerance in the vertical direction by up to several meters. Therefore, these
73 tolerances are more than an order of magnitude greater than that for buildings or fixed
74 offshore platforms (typically a few inches or less). All the above studies focused on a
75 mobile mudmat that is rigidly connected to the pipeline and moves back and forth with
76 hundreds of expansion-contraction cycles during the design life. The horizontal cyclic
77 amplitude (i.e., the peak or the trough to the neutral position) is typically in the range of
78 0.5 m to 2 m in each cycle, which corresponds to a full shut-in and start-up cycle of the
79 pipeline.

80 An intermediate design between a conventionally designed mudmat and a fully mobile
81 mudmat is a partially mobile mudmat with a sliding mechanism (slider) in the PLET. This
82 concept of a partially mobile mudmat is similar to a fully mobile mudmat but takes the
83 advantage of the slider that absorbs the majority of the pipeline expansion and contraction.
84 Therefore, the mudmat can only be dragged by the pipeline when the expansion or
85 contraction meets the end of the slider, and subsequently the horizontal cyclic movement
86 amplitude will be much smaller (typically less than 150 mm), compared to the total
87 movement of the pipe end. This partially mobile mudmat on soft clayey deposits is the
88 focus of this paper, with the motivation to mature this new technology for practical design
89 and to inspire future research. The current study adopts the same analytical framework as
90 Cocjin *et al.* (2017) for a fully mobile mudmat that is based on Critical State Soil
91 Mechanics (CSSM) (Schofield and Wroth, 1968), with the added features: (i) the smaller
92 sliding distance of a partially mobile mudmat is considered; (ii) a method for assessing the

93 accumulated rotation is provided, so the full range of tolerance checks can be addressed;
94 (iii) the analysis framework is simplified to closed-form analytical solutions for
95 engineering practice, and (iv) properties of typical normally consolidated and lightly
96 overconsolidated clays in the deepwater Gulf of Mexico (GoM) are provided to aid design
97 practice.

98

99 **Partially Mobile Mudmat Design Task**

100 The problem addressed in this study is depicted in Figure 1. As shown, a rectangular
101 surface mudmat with a width of B and a length of L rests on a half-space clayey deposit
102 and is subjected to in-plane loading and displacement. The vertical load V has an
103 eccentricity e_y relative to the origin, O , the geometric center of the mudmat in the y -axis,
104 and a horizontal cyclic sliding displacement h_y occurs along the y -axis acting h_0 above the
105 mudmat surface through a pivot which is free to rotate without inducing moment loads at
106 the pivot. Therefore, the design problem is simplified into an in-plane loading problem.
107 The design task is to determine the long-term accumulated total vertical settlement δ_v and
108 total rotation θ_x about the x -axis from hundreds of horizontal loading cycles.

109 The driving factor to cause the sliding of the mudmat considered here is from the
110 pipeline shut-in when the contraction of the pipeline exceeds the length of the slider in the
111 PLET. This leads to contact between the end of the slider and the pipeline, which drags the
112 mudmat sideways. However, during start-up, the expansion of the pipeline will be absorbed
113 by the slider, the direction of the horizontal load is reversed and only a reduced value is
114 mobilized, equal to any friction transferred through the slider. Thus, the slider is a ‘weak
115 link’ to absorb the expansion, while the mudmat itself remains in the same position during

116 the expansion of the pipeline. A similar situation may apply at the opposite end of the
117 pipeline, but with the expansion phase causing the slider limit to be reached leading to
118 mudmat movements.

119 Therefore, the scenario considered in this study can be simplified to be one-way
120 displacement-controlled cyclic loading along the y-axis with a displacement amplitude of
121 h_y in each cycle. The horizontal load, H_y , resulted from the horizontal sliding, is not known
122 as a prior but equals to the sliding resistance that is determined by the clay properties from
123 shearing and consolidation, and the associated failure mechanism. For the same reason, the
124 overturning moment M_x about the center of the foundation is not known but is determined
125 by H_y and the vertical load, V , and the eccentricities of these two loads, h_0 and e_y .

126 In reality, a mudmat is usually subjected to six-degree of freedom loading due to other
127 minor attached components, such as jumpers, and also has short skirts. However, for the
128 case when a mobile mudmat is proposed, the major component of loading is from the cyclic
129 sliding displacement h_y , so the effect of any horizontal force in the direction of the x-axis
130 is small and is neglected in this study. For the same reason, the overturning moment about
131 the y-axis and any torsional loading is also neglected. In addition, this study simplistically
132 focuses on a surface mudmat from the perception that the skirt has relatively minor effect
133 because the passive resistance acting on the soil is expected to be pushed aside and then
134 left away from the foundation in a berm in a displacement-controlled cyclic loading, so
135 makes no further contribution to the sliding resistance. The mudmat then slides on a soil
136 plane at skirt tip level, and this can be simplified to a surface foundation at a greater
137 eccentricity, e_v .

139 **Analysis Framework**

140 Given the design task of determining the long-term vertical settlement and rotation
141 from cyclic horizontal and moment loading, the analysis framework is established based
142 on the same plasticity and CSSM framework used previously (Cocjin *et al.*, 2017). The
143 long-term displacements of a mobile mudmat consist of (i) primary consolidation under
144 the applied vertical load (which is essentially the self-weight of the structure and the
145 foundation); (ii) plastic displacements due to the combined vertical-horizontal failure
146 mechanism beneath the mudmat as it slides; and (iii) displacements associated with the
147 dissipation of the excess pore pressure induced by the shear failure beneath the foundation,
148 i.e., the shear induced consolidation. The displacements from the above three different
149 mechanisms are combined to determine the final accumulated displacements.

150 ***Accumulated vertical settlement***

151 The accumulated total vertical settlement δ_v can be calculated as

$$152 \quad \delta_v = \delta_{cv} + \delta_{pv} + \delta_{sv} \quad 1$$

153 where δ_{cv} is the primary consolidation settlement; δ_{pv} is the accumulated plastic vertical
154 displacement after a certain number of cycles; δ_{sv} is the accumulated shear induced
155 consolidation settlement after a certain number of cycles. Note all the above three
156 components are time-dependent. A detailed explanation and the calculation method for
157 each of the above three major components are provided in the following text.

158 **Primary consolidation settlement**

159 The time-dependent primary consolidation settlement can be conveniently expressed
160 as Equation 2 (Feng and Gourvenec, 2016):

161
$$U = \frac{1}{1+(T/T_{50})^{-m}} = \frac{\delta_{cv}}{\delta_{cv,end}} \quad 2$$

162 where U is the consolidation degree expressed in terms of the vertical settlement; $\delta_{cv,end}$
163 is the vertical settlement at the end of the primary consolidation which can be calculated
164 from the one-dimensional consolidation theory that sums up the consolidation settlement
165 of each layer with the added stress determined from the elastic solution ; T is the
166 dimensionless consolidation time as expressed as $T = c_v t / B^2$ (with c_v and t being the
167 consolidation coefficient and the actual consolidation time, respectively); T_{50} is the
168 dimensionless consolidation time to achieve a 50% consolidation (i.e., $U=0.5$); and m is a
169 fitting parameter. Appropriate values for T_{50} , m and c_v are given by Feng & Gourvenec
170 (2016) and White *et al.* (2019).

171 The secondary consolidation effect is neglected in the current study due to: (1) for a
172 typical mudmat design life of 20 to 30 years, the secondary consolidation may not have
173 begun for deepwater GoM soft clays; (2) even the secondary consolidation begins, the
174 magnitude of the secondary consolidation settlement is typically more than an order of
175 magnitude smaller than the primary consolidation settlement for typical deepwater GoM
176 clays.

177 **Plastic displacements**

178 In each cycle, a mobile mudmat overcomes the horizontal sliding resistance due to the
179 available pipeline expansion or contraction force. Thus, plastic deformation is induced in

180 the clay medium that leads to plastic displacements of the mudmat. These plastic
 181 displacements are commonly calculated from the failure envelope, F , of the mudmat by
 182 adopting an associated flow rule which is appropriate for undrained conditions. The failure
 183 envelope of a mudmat (Feng *et al.*, 2014) can be simplified to Equation 3 in the current
 184 study due to the in-plane loading with a constant vertical load V representing the self-
 185 weights of the mudmat and the structures:

$$186 \quad F = \left(\frac{M_x}{M_{max}}\right)^q \left[1 - a \frac{H_y}{H_{max}} + b \left(\frac{H_y}{H_{max}}\right)^2\right] + \left(\frac{H_y}{H_{max}}\right)^2 - 1 \quad 3$$

187 where M_{max} and H_{max} are the maximum moment resistance and the maximum horizontal
 188 sliding resistance, respectively; q , a and b are parameters depending on the foundation
 189 geometry and the soil strength profile (Feng *et al.*, 2014). Note, the effect of the vertical
 190 load needs to be considered in determining M_{max} and H_{max} , i.e., M_{max} and H_{max} are
 191 functions of V . A detailed methodology to derive these parameters is given by Feng *et al.*
 192 (2014) based on numerical analysis for a range of soil conditions and mudmat shapes.
 193 Further analyses using the same methodology can be used to extend these solutions to other
 194 project-specific conditions.

195 Giving the horizontal sliding displacement of $h_{y,i}$ in the i^{th} cycle, the plastic vertical
 196 displacement $\delta_{pv,i}$ can be determined from the associated flow rule. This can be performed
 197 in a single increment because no work-hardening is introduced and V, H_y, M_x remain
 198 constant in one cycle. Therefore $\delta_{pv,i}$ can be calculated as: $F(V, H_y, M_x) = 0$ 4 (a)

$$199 \quad \delta_{pv,i} = \frac{\partial F / \partial V}{\partial F / \partial H_y} h_{y,i} \quad 4 (b)$$

200 where the subscript “p” denotes the plastic displacements. Then the total accumulated
201 plastic vertical settlement δ_{pv} is obtained by summing the plastic displacements in each
202 cycle as below:

$$203 \quad \delta_{pv} = \sum_{i=1}^{Cycles} \delta_{pv,i} \quad 5$$

204 Note the plastic displacements usually differ from cycle to cycle, because of the evolution
205 of the sliding resistance over the cycles, and the increase of the vertical bearing and moment
206 resistance due to the consolidation effect (Feng and Gourvenec, 2015; Vulpe *et al.*, 2016).
207 An implicit assumption made in Equation 5 is that the sliding distance is treated as plastic
208 displacement and the elastic component is neglected. This simplified assumption is
209 reasonable due to the small elastic displacement comparing to the plastic displacement
210 when the mudmat “fails” horizontally.

211 **Shear induced consolidation**

212 For typical deepwater soft clays that are normally or lightly overconsolidated, positive
213 excess pore water pressure will be generated once the soil is sheared, in particular the clays
214 directly beneath a mobile mudmat are forced to fail, reaching a steady resistance that is
215 referred to as the critical state in the terminology of CSSM. This excess pore water pressure
216 (which is induced by shear failure instead of the vertical loads) will dissipate over time,
217 and this not only induces additional settlement but also the clay will gain in strength. The
218 design is complicated by the fact that the failure of the clay is limited to a thin layer (shear
219 band) directly beneath the mudmat, while for clays in the deeper depth, although shear
220 stress is mobilized and pore pressure generated, the critical state usually will not be reached
221 for typical deepwater clays, where the strength of which usually increases linearly with
222 depth. Another challenge comes from the determination of the soil stress state, e.g., the

223 state relative to the critical state, in the subsequent cycles. These topics are addressed
224 below.

225 *Shear failure at first cycle*

226 Following the CSSM framework in the direct simple shear case (Randolph *et al.*, 2012),
227 as shown in Figure 2, the normal compression line (NCL) and the critical state line (CSL)
228 are two parallel lines with the same slope of λ , while the unloading-reloading path is a line
229 with a slope of κ in the $e - \ln(\sigma'_v)$ space (where e is the void ratio and σ'_v is the vertical
230 effective stress). The NCL and CSL have intersections of N and Γ with the vertical axis at
231 $\sigma'_v = 1kP_a$. For a normally consolidated (NC) clay without a previous shearing history,
232 the position will be on the NCL with the current vertical effective stress σ'_{vo} as represented
233 by point A, which is a simplification that ignores the effective stresses in other directions.
234 Given the typical loading rate to the mobile mudmat and the low permeability of deepwater
235 soft clays, the failure associated with each expansion and contraction event is in an
236 undrained condition. Hence, once the mobile mudmat is forced to overcome the sliding
237 resistance, the clay beneath the mudmat will follow a path horizontally from A, generating
238 excess pore water pressure and reducing the vertical effective stress. Eventually the stress
239 state migrates to the position B at a stress level of σ'_{cs} on the CSL, where failure is reached
240 and no more changes in the excess pore pressure occur with further shearing. The distance
241 between A and B represents the induced excess pore pressure, and the undrained shear
242 strength S_u (Equation 6) can be determined from the CSSM procedure by employing the
243 linear relationship between the shear stress and the vertical effective stress in the $\tau - \sigma'_v$
244 space at the critical state as shown in Figure 2 (where τ is the shear stress).

$$245 \quad S_u = M \exp\left(\frac{\Gamma-N}{\lambda}\right) \sigma'_{vo} = M \exp\left(\frac{\Gamma-N}{\lambda}\right) (\gamma'z + I_\sigma \sigma'_p U) \quad 6$$

246 where M is the slope of the CSL in the $\sigma'_v - \tau$ space; z is the depth below the soil surface;
 247 γ' is the equivalent submerged unit weight of the soil; U is the degree of primary
 248 consolidation since the mudmat was placed on the seabed; σ'_p is the applied surface
 249 pressure from the mudmat and is assumed to be uniform across the mudmat surface; and
 250 I_σ is the vertical stress influencing factor determining the vertical distribution of the applied
 251 surface normal stress at the centerline beneath the mudmat. The common way to
 252 determining I_σ is based on an elastic solution, e.g., Das (2013) and Cocjin *et al.* (2017).

253 The generated maximum excess pore pressure (as represented by the distance from A
 254 to B) at the critical state $u_{max,z}$ is expressed in Equation 7 (recalling $\lambda = (N - \Gamma)/\bar{A}\bar{B}$ in
 255 Figure 2):

$$256 \quad u_{max,z} = [1 - \exp\left(\frac{\Gamma - N}{\lambda}\right)] \cdot (\gamma'z + I_\sigma \sigma'_p U) \quad 7$$

257 At the surface (i.e., directly beneath the mudmat), the shear stress equals the undrained
 258 strength S_u at that elevation, but it decreases with depth; however, S_u usually increases with
 259 depth (as can also be seen from Equation 6). Therefore, the soil further below the mudmat
 260 will not fail and thus will not reach the critical state, and the generated excess pore pressure
 261 will be less than that predicted by Equation 7, and can instead be expressed generally as
 262 u_z . The theoretical solution for this excess pore pressure for a NC clay at the deeper depth
 263 is derived in Appendix A using a Modified Cam Clay (MCC) model (Roscoe and Burland,
 264 1968). A simplified way for approximation is shown in Equation 8 following Cocjin *et al.*
 265 (2017):

$$266 \quad \frac{u_z}{u_{max,z}} = \left(\frac{\tau}{S_u}\right)^\beta \quad 8$$

267 where β is a fitting parameter.

268 Combining Equation 6 and 8 gives:

$$269 \quad \frac{u_z}{u_{max,z}} = \left[\frac{\tau_{surf} I_\tau}{\text{Exp}\left(\frac{\Gamma-N}{\lambda}\right)(\gamma'z + I_\sigma \sigma'_p U)} \right]^\beta \quad 9$$

270 where τ_{surf} is the shear stress at the surface and equals S_u at the surface; I_τ is the shear
271 stress influencing factor determining the vertical distribution of the applied surface shear
272 stress at the centerline beneath the mudmat and can be determined using the elastic solution
273 from Holl (1941) and re-presented by Cocjin *et al.* (2017).

274 Combining Equation 6, 7, 9, and applying $z=0$ in Equation 6 (for the determination of
275 S_u at the surface) yields:

$$276 \quad u_z = \left[1 - \exp\left(\frac{\Gamma-N}{\lambda}\right) \right] \cdot \left[\frac{\sigma'_p U}{\gamma'z + I_\sigma \sigma'_p U} \cdot I_\tau \right]^\beta \cdot (\gamma'z + I_\sigma \sigma'_p U) \quad 10$$

277 *Consolidation after first shear failure*

278 Subjecting to shearing on the NCL, the soil migrates toward the CSL, and generates
279 excess pore pressure u_z , which is shown in Equation 10 and is also represented by the
280 distance $\overline{A'B'}$ in Figure 3. After complete dissipation of u_z , the change of the void ratio,
281 Δe_z , can be calculate from one-dimensional consolidation theory and is expressed as:

$$282 \quad \Delta e_z = -\kappa \ln \left(1 - \frac{u_z}{\sigma'_{vo}} \right) \quad 11$$

283 Equation 11 works no matter whether the soil has reached the critical state or not, as long
284 as the shear induced excess pore pressure is fully dissipated. Equation 11 is consistent with
285 previous studies of the settlement of normally consolidated clays following cyclic loading

286 (Feng and Gourvenec, 2016; Laham *et al.*, 2020; Ohara and Matsuda, 1988; Yasuhara and
 287 Andersen, 1991). However, Ohara and Matsuda (1988) found that the slope of the
 288 recompression line (i.e., κ in Equation 11) was in between the swelling line and virgin
 289 compression line from oedometer tests for Kaolinite clays. Yasuhara and Andersen (1991)
 290 also found that the volumetric strain due to the dissipation of the cyclically induced pore
 291 water pressure from direct simple shear (DSS) tests was larger than that calculated from
 292 Equation 11 if κ was determined from the recompression line from oedometer tests. For
 293 example, for Drammen clay, the selected value of recompression stiffness, κ , needs to be
 294 increased by 50% in order to match the DSS test results. The possible reason for this
 295 discrepancy is the cyclic loading not only generates an excess pore water pressure in the
 296 soil body, but also disturbs the clay structure (Yasuhara & Andersen 1991). Such an effect
 297 may not be directly relevant to the mudmat case because only a single cycle takes place
 298 prior to each recompression stage. However, it may be appropriate to increase κ and the
 299 calculated void ratio change in Equation 11 above that determined from an oedometer
 300 recompression stage.

301 Combining Equation 11 with 10 and recalling $\sigma'_{vo} = \gamma'z + I_\sigma \sigma'_p U$ yield the shear
 302 induced settlement as

$$303 \quad \Delta e_z = -\kappa \ln \left\{ 1 - \left[1 - \exp\left(\frac{\Gamma-N}{\lambda}\right) \right] \cdot \left[\frac{\sigma'_p U}{\gamma'z + I_\sigma \sigma'_p U} \cdot I_\tau \right]^\beta \right\} \quad 12 \text{ (a)}$$

$$304 \quad \delta_{sv,1} = \int_0^\infty \frac{\Delta e_z}{1+e_{z0}} dz \quad 12 \text{ (b)}$$

305 where $\delta_{sv,1}$ is the shear-induced vertical consolidation settlement (the subscript “1” denotes
 306 the first cycle); and e_{z0} is the initial void ratio at depth z .

307 *Shear induced consolidation in subsequent cycles*

308 The behavior of soils in the subsequent cycles becomes much more complicated due to
309 the following facts: (1) the undrained shear strength will generally increase depending on
310 the depth and the generated excess pore pressure in the previous cycles; (2) Equation 8 may
311 not work or the exponent β needs to be adjusted, because following the consolidation, the
312 clay status departs from the NCL and migrates toward the CSL. Thus, the initial response
313 will be elastic with no excess pore pressure, while Equation 8 is established based on the
314 NC clays; and (3) the shear stress influence factor I_τ from the conventional elastic solution
315 may not necessary valid (this is also true for the first cycle) and it is difficult to estimate
316 the accuracy of the elastic solutions. For the practical purpose, the above factors are
317 simplistically and implicitly addressed as follows.

318 Referring to Figure 3, at the surface where the soil has reached the critical state at the
319 first cycle, the change of the void ratio in the subsequent consolidation (from B to C) is
320 linearly proportional to the distance \overline{AB} . Similarly, the change of the void ratio in the
321 consolidation after the second shearing is linear proportional to the distance \overline{CD} . It is
322 straightforward to obtain the relation between \overline{AB} and \overline{CD} as follows (if the vertical
323 effective stress remains the same):

$$324 \frac{\overline{CD}}{\overline{AB}} = 1 - \frac{\kappa}{\lambda} \quad 13$$

325 Therefore, it can be concluded that at the surface, the change of the void ratio in the
326 next shear induced consolidation is a portion of that occurred in the previous shear induced
327 consolidation with a factor of $(\lambda - \kappa)/\lambda$. In deeper depth, the behavior is complicated by
328 the difficulty in determining of the excess pore pressure as described before; however, it is

329 simplistically assumed that the change of the void ratio in subsequently shear induced
 330 consolidation at deeper depths follows the same rule as in the surface (see the path $A' -$
 331 $B' - C'$) as shown in Figure 3. The above assumption, together with the assumption that
 332 the vertical effective stress remains the same, leads to the conclusion that the shear induced
 333 vertical consolidation settlement due to the subsequent shear cycles and consolidations
 334 decays geometrically with a factor of $(\lambda - \kappa)/\lambda$, as shown below:

$$335 \quad \delta_{sv} = \sum_{i=1}^{Cycles} \delta_{sv,1} \left(1 - \frac{\kappa}{\lambda}\right)^{i-1} = \delta_{sv,1} \left[\frac{\lambda}{\kappa} - \frac{\lambda}{\kappa} \left(1 - \frac{\kappa}{\lambda}\right)^{Cycles} \right] \quad 14$$

336 where δ_{sv} is the total vertical settlement from the shear induced consolidation. Note
 337 Equation 14 implicitly assumes that all the shear induced excess pore pressure has been
 338 dissipated before next cycle. This assumption is reasonable from the practical operation of
 339 view for three reasons: (1) the full consolidation assumption leads to a higher shear induced
 340 consolidation settlement and thus is in general conservative for the current application; (2)
 341 usually the pipeline will be in production for months to years before the next full shut-
 342 in/start-up cycle and thus it is expected that quite a substantial portion of the shear induced
 343 pore pressure will dissipate; (3) the operative consolidation coefficient c_v for surface
 344 foundations under lateral shearing is usually significantly higher than the one measured
 345 from oedometric tests, especially under cyclic loading due to the change of the soil stiffness
 346 and stress influence zone etc. White *et al.* (2019) comprehensively reviewed the model
 347 tests on the operative c_v and found the operative c_v under horizontal loading can increase
 348 by a factor of 10 under cyclic loading, and the operative c_v for pipelines under axial
 349 shearing is on average eight times greater than the one from oedometric tests. Similar
 350 findings have also been reported by Krost *et al.* (2011).

351 ***Accumulated rotation***

352 The estimation of the long-term rotation follows the same framework as for the
353 estimation of the long-term vertical settlement, and is expressed as below:

$$354 \theta_x = \theta_{cx} + \theta_{px} + \theta_{sx} \quad 15$$

355 where θ_x is the long-term total rotation about the x-axis; θ_{cx} is the rotation due to the
356 primary consolidation; θ_{px} is the accumulated plastic rotation after a certain number of
357 cycles; θ_{sx} is the accumulated rotation due to the shear induced consolidation after a certain
358 number of cycles. The same with the vertical settlement, all the above three rotation
359 components are time-dependent.

360 The plastic rotation can be estimated from an associated flow rule similar to Equation
361 4 as shown below:

$$362 \theta_{px} = \sum_{i=1}^{Cycles} \left(\frac{\partial F / \partial M_y}{\partial F / \partial H_y} h_{y,i} \right) \quad 16$$

363 The rotation from the shear induced consolidation is simplistically estimated from the
364 expected difference in the vertical settlements of two mudmats, which have half of the
365 length of the original mudmat under certain vertical loads (see Figure 4). As shown, the
366 original mudmat is divided into two mudmats with half of the original length by the center
367 line with vertical loads V_L (acting 0.25L to the right of the left edge of the mudmat) and
368 V_R (acting 0.25L to the left of the right edge of the mudmat), which are separated by a
369 distance of 0.5L. V^L and V^R are determined as follows:

$$370 V^L = \frac{V}{2} - \frac{M_x}{0.5L} \quad 17 (a)$$

371
$$V^R = \frac{V}{2} + \frac{M_x}{0.5L} \quad 17 (b)$$

372 where M_x is the overturning moment along the x-axis caused by the horizontal load
373 excluding the overturning moment from the eccentricity of the vertical load, because the
374 eccentricity from the vertical load will be taken into account in the rotation from the
375 primary consolidation. Therefore, the vertical load on the right half-length mudmat exceeds
376 the left one by $4M_x/L$, and the differential settlement is the settlement of the right half-
377 length mudmat under the vertical load of $4M_x/L$, and the rotation from the shear induced
378 consolidation can be estimated as follows:

379
$$\theta_{sx} = \frac{\delta_{sv}^R}{0.5L} \quad 18$$

380 where δ_{sv}^R is the vertical settlements from the shear induced consolidation of the right half
381 mudmat under vertical load of $4M_x/L$ (it is hypothetically imagined that these left and
382 right half mudmats are not connected). The calculation of δ_{sv}^R follows the same procedure
383 described in the section “Shear induced consolidation” except the vertical load is replaced
384 by $4M_x/L$. This conceptual model for the shear induced rotation estimate is a simplification
385 but is judged to be conservative for design because the two hypothetical half-length
386 mudmats are actually rigidly connected. This neglected structural connection will reduce
387 the shear-induced rotation, and so the approach set out here is conservative.

388 The rotation from the primary consolidation can follow the exact same method as for
389 the rotation from the shear induced consolidation, simply by determining the M_x from the
390 eccentricity of the vertical load. For subsea mudmats at deepwater sites with soft clays, the
391 mudmat stiffness is close to be rigid compared to the soil stiffness. Thus, the rotation (and

392 the differential settlement) from the primary consolidation is usually small and is typically
393 neglected in current design practice due to the controlled structural self-weight eccentricity.
394 A rule of thumb for this rotation based on the available operational observations and
395 writers' experience is that the differential settlement from the primary consolidation is less
396 than 20% of the total primary consolidation at the center, which can be used to estimate
397 the rotation. In this study, this rule of thumb value is used for estimating the rotation from
398 the primary consolidation for the sake of illustrating the design process; however, detailed
399 scrutiny and analysis may be required for a particular project under complex soil conditions
400 or the mudmat geometry and eccentricity are out the range of normal practice.

401 **Analysis Framework Input Parameters**

402 Table 1 lists the input parameters required for the proposed framework. The common
403 challenge of using the critical state type soil models in practice is the difficulty in
404 determining the key critical state parameters, i.e., N , Γ , λ , κ , M . These parameters are not
405 routinely measured in standard laboratory testing programs in an offshore project delivery,
406 especially in the United States. Thus, it is valuable to establish generic values for these
407 critical state parameters for GoM deepwater sites. Table 1 provides the typical values/best
408 estimate for deepwater soft clays in the GoM, and Figure 5 show the laboratory test data
409 from five different deepwater locations in the GoM. Note in Figure 5, the high estimate
410 and low estimate values are also provided based on both the standard statistical analysis
411 and the engineering judgement. The soil samples used in the laboratory were retrieved
412 using a thin-walled Shelby tube with a push sampler, minimizing the disturbance based on
413 the state-of-the-practice. One-dimensional consolidation tests and Ko-consolidated direct
414 simple shear (DSS) tests were usually performed. In Ko-DSS tests, the undisturbed soil

415 samples were usually consolidated to a normal stress of about 1.5 to 2 times the in-situ
416 vertical effective stress to ensure the soil is normally consolidated before shearing. The
417 determination of the input parameters from available laboratory tests is explained as
418 follows.

419 N and λ were determined from the relationship between the void ratio e and the
420 logarithmic vertical effective stress σ'_v from NC clays assuming a linear relationship
421 between the two exists as shown in Figure 5 (a). M is the ratio of the undrained shear
422 strength to the vertical effective stress at failure (see Figure 2) and was determined from
423 the Ko-DSS tests on NC clays as shown in Figure 5 (b). κ is back calculated by assuming
424 $\lambda/\kappa = C_c/C_r$, where C_c/C_r was determined from both engineering judgement and
425 oedometer tests (C_c is the slope of the virgin compression line from oedometer tests, while
426 C_r is the slope of the unloading-reloading line) as shown in Figure 5 (c) with the best
427 estimate of about 6.5. Γ is not measured from the laboratory tests but is calculated from
428 CSSM conditioned on the measured value of S_u/σ'_{vo} following Equation 6 as follows:

$$429 \quad \Gamma = N + \lambda \ln \left[\frac{(S_u/\sigma'_{vo})_{NC}}{M} \right] \quad 19$$

430 where $(S_u/\sigma'_{vo})_{NC}$ is the normalized stress ratio for NC clays from Ko-DSS tests and is
431 determined to be around 0.27 at shallow depths in deepwater GoM as shown in Figure 5
432 (d).

433 Other parameters in addition to the critical state parameters are determined as follows.
434 The submerged unit weight is usually within about 3 kN/m³ to 5 kN/m³ in the top 10 m.
435 The consolidation coefficient c_v value is correlated to the water content following the
436 design chart (NAVFAC, 1986), and usually consistent with the laboratory tests on soil

437 samples, e.g., the consolidation tests from the low pressure direct shear tests
438 (Geoconsulting, 2015). T_{50} and m (see Equation 2) for the primary consolidation are based
439 on Feng and Gourvenec (2015) and White *et al.* (2019); similar results were also obtained
440 from in-house simulations. In some soils, there can be a strong variation in permeability
441 and stiffness with stress level and loading path, causing c_v to vary with depth and through
442 time; these effects are reviewed by White et al. (2019). In soils where this is a significant
443 influence, the adopted value of c_v could be calibrated from a consolidation analysis with a
444 more sophisticated model for stiffness and permeability in which these additional effects
445 are considered. β (see Equation 8) is fitted to the excess pore pressure path for the NC clay
446 obeying the MCC model (see Appendix A) for typical values of λ/κ .

447 **Analysis Example**

448 A practical analysis example is provided in this study as shown in Figure 6. The
449 example mudmat has a length of 7.62m (25 feet) and a width of 6.1m (20 feet) with no
450 skirt and the geometric center “O” is also shown in the figure. The total vertical load
451 (including the self-weight of the mudmat) is about 400 kN with an eccentricity $e_y=0.61\text{m}$
452 (2 feet). The moment arm of the horizontal load/displacement is $h_0=0.91\text{m}$ (3 feet). The
453 mudmat is forced to move by 51mm (2 inches) in the y direction (along the length direction
454 of the mudmat) during shut-in when the pipeline contracts (the total contraction of the
455 pipeline during shut-in is typically greater than 51mm, but the majority of the contraction
456 will be absorbed by the slider. Hence, only the portion of the contraction that has not been
457 absorbed by the slider will force the mudmat to move). During start-up, it is assumed the
458 pipeline is in a state of free expansion because the expansion will be totally absorbed by
459 the slider and the frictional force in the slider is minor. Therefore, the horizontal sliding

460 distance of the mudmat itself is 51mm (2 inches) in each cycle happened during shut-in
461 when the pipeline contracts. It is planned that the pipeline will have six full cycles of shut-
462 in and start-up (i.e., complete cool down of the pipeline to the ambient temperature after
463 shut-in, and the heat up to the design temperature of the fluid after start-up) each year.
464 Partial cycles of shut-in and start-up have been neglected because the expansion and
465 contraction will be absorbed by the slider and hence will not induce horizontal sliding
466 distance.

467 The best estimate of the in-situ soil undrained shear strength is 2.15 kPa (45 psf) at the
468 mudline and increases with depth with a gradient of 0.94kPa/m (6.0 psf/ft). The submerged
469 unit weight of the soil is assumed to be constant of 3.9 kN/m³ (25 pcf). N , Γ , λ , κ , T_{50} , m are
470 taken to be the best estimate GoM values (see Table 1). M is taken to be 0.45, β is assumed
471 to be 2.75 based on the typical values of λ/κ . c_v is taken to be 0.68 m²/year.

472 The long-term primary consolidation settlement $\delta_{cv,end}$ (where the subscript “end”
473 denotes the end of the primary consolidation) is calculated following the conventional
474 oedometer design procedure, and is estimated to be 584 mm with the void ratio
475 simplistically calculated from the vertical effective stress from the $e - \ln(\sigma'_v)$ space. The
476 differential settlement of the mudmat is assumed to be 20% of total primary consolidation
477 settlement giving 117 mm. This differential settlement gives a long-term rotational angle
478 of $\theta_{cx,end}$ about the x-direction of 0.9°. These long-term primary consolidation settlement
479 $\delta_{cv,end}$ and rotation $\theta_{cx,end}$ can be used to estimate the consolidation settlement δ_{cv} and
480 rotation θ_{cx} at any time after installation with the aid of the time-dependent consolidation
481 degree as shown in Equation 2.

482 The plastic displacements are straightforward to calculate following an associated flow
483 rule (see Equation 4 and 16); however, the challenge is that the soil strength and hence the
484 undrained capacity of the mudmat under six-degree of freedom changes with time, e.g., the
485 uniaxial vertical bearing capacity and overturning moment can increase by 50%, and the
486 uniaxial sliding resistance can be doubled after the full consolidation depending on vertical
487 loading (Feng and Gourvenec, 2015). Therefore, a rigorous approach may be pursued by
488 considering the time each sliding failure occurred with the accomplished consolidation
489 degree at that specific time; however, sensitivity studies show that the induced plastic
490 displacement decreases with the increase of the mudmat consolidation degree under
491 vertical loading (and hence the increase of the mudmat undrained capacity), and it is
492 difficult to capture the exact operational timing that the full shut-in and start-up cycle will
493 happen, because the actual pipeline operation is affected by various uncertain factors that
494 is usually very difficult to predict during the design phase. Thus, for the design purpose, it
495 is assumed that the plastic displacements remain the same for all the cycles and can be
496 estimated from the first cycle with no increase of the mudmat undrained capacity with time.
497 This assumption significantly simplifies the calculation by eliminating the determination
498 of the consolidation degree at the specific time that the pipeline will shut-in or start-up,
499 which is highly uncertain in practice. In addition, this assumption is on the conservative
500 side for typical designs because for a mobile mudmat, the critical design criterion is the
501 displacement tolerance instead of the capacity of the mudmat (Note, it is assumed here that
502 the anchor forging which is the load transfer mechanism between the pipeline and the
503 mudmat has sufficient capacity to effectively transfer the load from the pipeline to the
504 mudmat. Thus, proper design checks need to be performed to ensure the anchor forging

505 has the required capacity). Based on the above discussion, given a 51mm (2 inches) sliding
506 distance per cycle, the vertical plastic displacement is estimated to be $\delta_{pv} =$
507 1.2 mm (0.048 inches) per cycle, and the plastic rotation about the x-axis is $\theta_{px} = 0.013^\circ$
508 per cycle.

509 For the shear induced consolidation, sensitivity studies show that the induced
510 displacements are largest when the primary consolidation is completed. Given the surface
511 pressure of 8.6 kPa (180 psf) (it is assumed that the vertical load of 400 kN is uniformly
512 distributed over the mudmat surface) with 100% primary consolidation, the shear induced
513 consolidation settlement after first cycle is $\delta_{sv} = 5.3 \text{ mm}$ (0.21 inches) following
514 Equation 12, and decreases geometrically by a factor of $(\lambda - \kappa)/\lambda = 0.827$ with cycles
515 (assuming in each cycle, the shear induced pore pressure has dissipated completely). After
516 the full consolidation under the given vertical loading, the undrained sliding resistance of
517 the mudmat following Equation 6 is about 2.3 kPa (48 psf). This undrained shear strength
518 gives the sliding load at failure of 107 kN, the overturning moment at failure $M_x =$
519 $107 \times 0.91 = 97 \text{ kN} \cdot \text{m}$ and $4M_x/L \approx 51 \text{ kN}$, which will be used in Equation 18 to
520 estimate the rotation due to the dissipation of the shear induced pore pressure. This
521 $4M_x/L \approx 51 \text{ kN}$ gives $\delta_{sv}^R = 1.7 \text{ mm}$ (0.075 inches) per cycle (i.e., the vertical settlement
522 from the shear induced consolidation of the right half mudmat under the load $4M_x/L$ and
523 increased by 50% to reflect the discrepancy between the calculation and the DSS tests as
524 discussed before), and hence gives the rotation from the shear induced consolidation $\theta_{sx} =$
525 0.03° per cycle. Similar to the shear induced consolidation settlement, the shear induced
526 consolidation rotation θ_{sx} decreases geometrically by a factor of $(\lambda - \kappa)/\lambda = 0.827$ with
527 cycles based on the current assumptions.

528 Figure 7 and 8 show respectively the vertical settlement and rotation with time after
529 installation for the design case (i.e., the mudmat slides 51mm per cycle and six cycles per
530 year). For simplicity, it is assumed the pipeline will be in operation as soon as the mudmat
531 is installed (In practice, the first start-up of the pipeline will be typically 3 to 12 months
532 after the mudmat is in place). As shown, for the accumulated vertical settlement, the
533 majority of the vertical settlement comes from the primary consolidation, which
534 contributes to about 75% of the total settlement for a design life of 20 years. The vertical
535 displacement due to the plastic failure contributes to the total settlement by about 20%,
536 while the shear induced consolidation settlement contributes to the rest 5% of the total
537 settlement. For the accumulated rotation, the rotation from the plastic failure becomes the
538 dominant factor that contributes to more than 60% of the total rotation, while the rotation
539 from the differential settlement in the primary consolidation contributes to about 30%, and
540 the remaining less than 10% comes from the shear induced consolidation.

541 The key finding from this design example is that the displacements from the shear
542 induced consolidation are likely an order of magnitude smaller than the anticipated total
543 displacement, which makes the sophisticated modeling of the complex mechanism
544 associated with the shear induced consolidation for a partial mobile mudmat likely
545 unnecessary. However, if a fully mobile mudmat without a sliding mechanism that absorbs
546 the majority of the expansion/contraction, the modeling of the shear induced consolidation
547 may become important (Cocjin *et al.*, 2014; Cocjin *et al.*, 2017). Another learning from
548 this design example is that knowing the primary consolidation settlement and rotation, it is
549 likely the total displacement can be upper bounded by multiplying a factor of, on the order
550 of, 1.5 to the primary consolidation settlement and rotation for a partially mobile mudmat.

551 This finding can be quite useful for engineering screening purpose during the concept
552 selection, although the finding in this study is still preliminary and sensitivities need to be
553 performed in the detailed design phase. In comparison, the preliminary design experience
554 from fully mobile mudmats indicates that the long-term accumulated settlement from
555 cyclic loading could be about 5 to 10 times the primary consolidation settlement.

556 **Discussions and Recommendations**

557 Compared to the existing studies on fully mobile subsea mudmat, the current study
558 possesses a number of features: (i) the current study focuses on a partially mobile subsea
559 mudmat that takes the advantage of the sliding mechanism in the PLET which absorbs the
560 majority of the pipeline expansions/contractions. Therefore, the sliding movement of a
561 partially mobile subsea mudmat in one cycle (on the order of few inches) is significantly
562 lower than a fully mobile mudmat (on the order of tens of feet), and represents a fit-for-
563 purpose engineering solution with significant commercial competitiveness between a fully
564 anchored mudmat (which may be too large causing installation issues or need corner piles
565 to anchor) and a fully mobile mudmat (which may cause excessive settlements that
566 compromise the structural integrity in deepwater soft clayey deposits); (ii) a predictive
567 method for mudmat rotations, which has not yet been fully explored in the existing studies,
568 is proposed with the intention to close out the last design concern for a partially mobile
569 mudmat; (iii) typical ranges of soil properties pertinent to using the proposed framework
570 are presented for deepwater GoM soft clays to facilitate the practical design, and in
571 particular to provide a basis for sensitivity study for creating the risk matrix of a partially
572 mobile mudmat.

573 However, the above framework is not intended to model the complex behavior of the
574 soil under a partially mobile mudmat precisely. Instead, the key motivation is to provide a
575 framework that can be used to capture the key mechanism of a partially mobile mudmat
576 and to retain the simplicity and rigor of the model for engineering concept selection. The
577 idea behind the simplification in modeling is that the uncertainties in the input soil
578 parameters and the simplified assumptions can be conveniently captured from sensitivity
579 studies, and a range of predictions from low estimate to high estimate can be constructed
580 with reasonable engineering efforts to build up the risk matrix for decisions. Nevertheless,
581 the simplifications do not necessarily rule out the opportunity to improve the modeling of
582 the framework. The following list describes the recommendations for future improvement
583 of the current framework (with the high priority one comes first based on the writers'
584 judgement):

585 1. Further physical model test programs, e.g., centrifuge tests, 1-g reduced scale model
586 tests, will be beneficial to further improve the understanding of the mechanism of a
587 partially mobile mudmat and to further refine the current predictive framework (the
588 writers are not aware of any model tests existed in the public domain on partially mobile
589 mudmats up to date). In addition, it is highly recommended that the operators start to
590 collect the field monitoring data from existing deployments that the current framework
591 can be benchmarked to.

592 2. The current framework for the mudmat rotation based on the one-dimensional
593 consolidation is simplified and incompatible with a rigid foundation where the two
594 half-length mudmats (see Figure 4) are calculated to have different settlements and
595 used in the rotation prediction. It is judged that the current method is on the

596 conservative side because the two “hypothetical” half-length mudmats are actually
597 rigidly connected that can help reduce the differential displacements. Therefore, a more
598 rigorous predictive framework can be highly beneficial to reduce the conservatism in
599 the current model.

600 3. The soil strength S_u used in predicting the displacements associated with the plastic
601 failure is based on the typical values measured from in-situ tests, which usually has a
602 finite value at the mudline. However, the S_u used in predicting the shear induced
603 consolidation displacements is directly calculated from the theoretical model based on
604 the critical state concept (i.e., Equation 6) under the given vertical loading with a certain
605 consolidation degree, which always gives a zero strength at the mudline if there is no
606 vertical loading or the consolidation has not yet occurred under the given vertical loads.
607 Apparently, with the intention of simplification and conservatism, there is an
608 inconsistency in the current framework in selecting the S_u in predicting the plastic
609 failure and shear induced consolidation. In addition, a constant submerged unit weight
610 for soil assumed in the current study is in general inconsistent with the void ratio
611 predicted from CSSM and may need to be improved. Therefore, a more rigorous
612 approach is probably required, e.g., to trace the variation of the in-situ S_u after the first
613 failure and with time and to use a consistent S_u and submerged unit weight profiles in
614 the all the predictions.

615 4. An associated flow rule is used in the current framework without further validations.
616 Existing studies show that a non-associated flow rule is more appropriate for mudmats
617 and can result in higher vertical settlement from the associated flow rule (Cocjin *et al.*,
618 2017). However, the sliding displacement of a fully mobile mudmat in each cycle (on

619 the order of tens of feet) is significantly larger than the one in a partially mobile mudmat
620 proposed here (on order of few inches). Therefore, further research may be granted to
621 study the appropriateness of the associated flow rule for predicting the displacement
622 associated with the plastic failure.

623 5. The CSL in the current framework is a fixed line in the $e - \ln(\sigma'_v)$ space following
624 the conventional CSSM; however, the CSL for actual clays might migrate under
625 shearing (e.g., remolding) or be curved in the low stress regions (Cocjin *et al.*, 2017).
626 This simplification can be further improved by introducing a curved CSL and/or let the
627 CSL migrate with loading. Alternatively, the effect of a migrating CSL can be assessed
628 with the current framework that the intercept of the CSL with the vertical axis in the
629 $e - \ln(\sigma'_v)$ space, Γ , can be adjusted based on different values of $(S_u/\sigma'_{vo})_{NC}$ covering
630 both intact and remolded conditions.

631 6. Linear superposition of the settlement/rotation from three different mechanisms is used
632 in the current framework. The main intention is to keep the framework simple, but the
633 key difficulty is to find the interactions between the various mechanisms. It is unclear
634 to the authors how these interactions can be efficiently captured, but the authors judge
635 the interaction is likely not strong. The reason is that the primary consolidation
636 settlement is contributed a lot by the soil in the deeper depth, while the plastic sliding
637 failure only involves a thin layer directly beneath the mudmat. Thus, the current
638 judgment is that the linear superposition is appropriate and is likely on the conservative
639 side.

640 **Conclusions**

641 This study provides a displacement predictive framework for practical designs of
642 partially mobile subsea foundations (i.e., mudmats), which represents a fit-for-purpose
643 engineering solution with significant commercial competitiveness between a fully
644 anchored mudmat (which may be too large causing installation issues or need corner piles
645 to fix the foundation) and a fully mobile mudmat (which may cause excessive settlements
646 that compromise the structural integrity) in deepwater soft clayey sites. The partially
647 mobile mudmat takes the advantage of the PLET's sliding mechanism that absorbs the
648 majority of the pipeline expansions and contractions, and therefore minimizes the cyclic
649 shearing to soils from the sliding mudmat.

650 The proposed predictive framework divides the accumulated displacement field
651 beneath a sliding mudmat into three components: (i) primary consolidation induced
652 displacement; (ii) plastic displacement when the sliding mudmat exceeds the soil strength
653 in each cycle; and (iii) shear induced consolidation displacement, i.e., the displacement
654 associated with the dissipation of the shear induced excess pore water pressure. The
655 primary consolidation is predicted following the conventional one-dimensional
656 consolidation theory, while the plastic displacement is predicted from the failure envelope
657 of a mudmat subjected to six-degree of freedom (Feng *et al.*, 2014) using an associated
658 flow rule, and finally the shear induced consolidation is predicted based on the critical state
659 soil mechanics. Simplified analytical solutions for the accumulated vertical settlements and
660 rotations under multiple cycles are derived, and the typical ranges for the key input
661 parameters are provided for the GoM deepwater soft clays to facilitate practical designs. A
662 typical design example is provided, and preliminary finding is that the dominant

663 accumulated displacements come from the primary consolidation and plastic failure, while
664 the shear induced consolidation is about an order of magnitude less than the total
665 accumulated settlement in a typical design life.

666 The proposed framework is not intended to model the complex behavior of the soil
667 beneath a partially mobile mudmat precisely. Instead, the key motivation is to provide a
668 framework that can be used to capture the key mechanism of a partially mobile mudmat
669 and to retain the simplicity and rigor of the model for engineering concept selection.
670 Opportunities for further refinement of the framework are listed, in particular, the role of
671 physical model tests and field monitoring are emphasized to better improve the predictive
672 framework and to quantify the uncertainties of the predictive framework.

673 **Data Availability Statement**

674 The following data, models, or code generated or used during the study are available
675 from the corresponding author by request: Derivation of the associated flow rule from the
676 mudmat failure envelope.

677

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686

687 **Appendix A Derivation of Excess Pore Water Pressure Based on The** 688 **Modified Cam Clay Model**

689 The modified Cam Clay (MCC) model is formulated in the $e - \ln(p')$ space, where p'
690 is the mean effective stress. By using the undrained condition, following equation can be
691 obtained with zero volumetric strain:

$$692 \quad \kappa' \ln\left(\frac{p'}{p'_0}\right) = (\kappa' - \lambda') \ln\left(\frac{p'_c}{p'_0}\right) \quad \text{A1}$$

693 where p'_0 , p'_c are the initial mean effective stress and the preconsolidation pressure,
694 respectively. κ' and λ' are the slope of the recompression and normal compression lines in
695 the $e - \ln(p')$ space.

696 Manipulating Equation A1 gives:

$$697 \quad p'_c = p'_0 \left(\frac{p'}{p'_0}\right)^{\kappa'/\kappa' - \lambda'} \quad \text{A2}$$

698 With the relationship of $p'_{cs} = 0.5p'_c$ (where p'_{cs} is the critical state pressure) for the MCC
699 model, the undrained shear strength S_u is determined as:

$$700 \quad S_u = 0.5M' \left(\frac{1}{2}\right)^{1 - \frac{\kappa'}{\lambda'}} p'_0 \quad \text{A3}$$

701 where M' is the slope of the critical state line (CSL) in $q - p'$ space where q is the
702 deviatoric stress, and can be determined from the yield surface of the MCC model as:

$$703 \quad q = M' \sqrt{p'(p'_c - p')} \quad \text{A4}$$

704 Combining Equation A2, A3, and A4 gives

$$705 \quad \frac{\tau}{s_u} = 2^{1-\frac{\kappa'}{\lambda'}} \cdot \frac{p'}{p_0} \sqrt{\left(\frac{p'}{p_0}\right)^{\lambda'/\kappa'-\lambda'} - 1} \quad A5$$

706 where τ is the shear stress defined as $0.5q$.

707 The excess pore water pressure u is defined as the difference between the initial and current
 708 mean effective stress as $u = p'_0 - p'$, and is related to the maximum excess pore water
 709 pressure u_{max} as

$$710 \quad \frac{u}{u_{max}} = \frac{p'_0 - p'}{p'_0 - p'_{cs}} \quad A6$$

711 Combining Equation A2 and A6 and using $p'_{cs} = 0.5p'_c$ give

$$712 \quad \frac{u}{u_{max}} = \frac{1}{1-2^{\frac{\kappa'}{\lambda'}-1}} \left(1 - \frac{p'}{p_0}\right) \quad A7$$

713 Therefore, the relationship between $\frac{\tau}{s_u}$ and $\frac{u}{u_{max}}$ following the MCC model is given by
 714 Equation A5 and A7, and is plotted in Figure A1 together with Equation 8 (note the slopes
 715 of the normal compression and recompression lines in the $e - \ln(p')$ space will be
 716 different from those in the $e - \ln(\sigma'_v)$ space, but the ratio of the slopes of the two lines
 717 remain the same in the two spaces).

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782 **List of Tables**

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Table 1 Framework input parameters

	Parameter	Illustration	Typical values for deepwater GoM
Geometry	B	mudmat width	3m - 8m
	L	mudmat length	7m – 15m
Loading	V	vertical load on mudmat	Varies
	e_v	vertical load eccentricity	Varies
	h_0	moment arm of horizontal load	Varies
	h_v	horizontal sliding distance in each cycle	less than 150mm
	γ'	submerged unit weight of soil	3 kN/m ³ to 5 kN/m ³
Soil model	N	Intersection of NCL with vertical axis at unit normal stress (in kPa)	4.6 (best estimate)
	Γ	Intersection of CSL with vertical axis at unit normal stress (in kPa)	4.3 (best estimate)
	λ	slope of NCL in $e - \ln(\sigma'_v)$ space	0.58 (best estimate)
	κ	slope of recompression line in $e - \ln(\sigma'_v)$ space	0.1 (best estimate)
	M	Slope of CSL in $\tau - \sigma'_v$ space	0.3-0.5
	β	Excess pore pressure fitting parameter	2.5-3.0
	c_v	consolidation coefficient (for primary consolidation only)	0.4-2.0 m ² /year
	T_{50}	normalized consolidation time to achieve 50% pf consolidation	0.043
	m	Consolidation degree fitting parameter	1.05

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790 Figure 3 Dissipation of shear induced excess pore water pressure

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792 Figure 5 (a) Test data for $e - \ln(\sigma'_v)$ relationship

793 Figure 5 (b) M value from Ko-DSS tests

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795 Figure 5 (d) $(S_u/\sigma'_{vo})_{NC}$ from Ko-DSS tests

796 Figure 6 Sketch of design example

797 Figure 7 Accumulated vertical settlement

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799 Figure A1 MCC model prediction of excess pore water pressure with shear stress