

Clonal myelopoiesis promotes adverse outcomes in chronic kidney disease

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ABSTRACT

We sought to determine the relationship between age-related clonal hematopoiesis (CH) and chronic kidney disease (CKD). CH, defined as mosaic chromosome abnormalities (mCA) and/or driver mutations was identified in 5,449 (2.9%) eligible UK Biobank participants (n=190,487 median age = 58y). CH was negatively associated with glomerular filtration rate estimated from cystatin-C (eGFR.cys; $\beta=-0.75$, $P=2.37 \times 10^{-4}$), but not with eGFR estimated from creatinine, and was specifically associated with CKD defined by eGFR.cys <60 (OR=1.02, $P=8.44 \times 10^{-8}$). In participants without prevalent myeloid neoplasms, eGFR.cys was associated with myeloid mCA (n=148, $\beta=-3.36$, $P=0.01$) and somatic driver mutations (n=3241, $\beta=-1.08$, $P=6.25 \times 10^{-5}$) associated with myeloid neoplasia (myeloid CH), specifically mutations in *CBL*, *TET2*, *JAK2*, *PPM1D* and *GNB1* but not *DNMT3A* or *ASXL1*. In participants with no history of cardiovascular disease or myeloid neoplasms, myeloid CH increased the risk of adverse outcomes in CKD (HR=1.6, $P=0.002$) compared to those without myeloid CH. Mendelian randomisation analysis provided suggestive evidence for a causal relationship between CH and CKD ($P=0.03$). We conclude that CH, and specifically myeloid CH, is associated with CKD defined by eGFR.cys. Myeloid CH promotes adverse outcomes in CKD, highlighting the importance of the interaction between intrinsic and extrinsic factors to define the health risk associated with CH.

INTRODUCTION

Clonal hematopoiesis (CH) is an age-related phenomenon characterized by a gradual replacement of polyclonal leucocytes by one or more clones marked by somatic mutations^{1, 2} or mosaic chromosomal alterations (mCA).^{3, 4} CH is associated with an elevated relative risk of developing hematological malignancies compared to age and sex matched controls without CH,⁵ and also an elevated risk of developing non-malignant, immune and inflammatory disorders^{6, 7} such as atherosclerotic cardiovascular disease (CVD)^{2, 8}, chronic obstructive pulmonary disease⁹ and premature menopause.¹⁰

Chronic kidney disease (CKD) is a common worldwide health problem defined by low estimated glomerular filtration rate (eGFR) and/or elevated urine albumin to creatinine ratio (uACR).¹¹ Patients with CKD experience a gradual and progressive loss of kidney function, but only a small minority progress to end stage kidney disease (ESKD) and require kidney replacement therapy. The majority of cases are at an early stage of the disease process¹², which remains incompletely defined due to variation in eGFR and albuminuria measurements.¹³⁻¹⁷

Like CH, CKD is associated with an elevated risk of CVD and mortality.¹⁸ Atherosclerotic risk factors for CVD, such as diabetes, smoking, hypertension and dyslipidemia, are prevalent in individuals with CKD, but there is an excess risk of CVD associated with CKD that is over and above that captured by atherosclerotic risk factors alone. In addition to sharing some risk factors, CH, CKD and CVD are characterized by persistent low grade inflammation,¹⁹⁻²² however a specific relationship between CH and CKD has not been defined. In this study, we

sought to assess the relationship between CH and CKD in UK Biobank (UKB), an ongoing, prospective UK cohort study of approximately 500,000 community-dwelling participants aged 40-69 years when recruited between 2006 and 2010.

METHODS

Study cohort

UKB participants provided comprehensive demographic, psychosocial and medical information during an initial visit to an assessment centre along with baseline blood and urine samples for genomic, biochemical, and other laboratory assessments. Long term follow-up is provided via linked medical records.²³ We focused on participants with both genome wide single nucleotide polymorphism (SNP) array and whole exome sequence (WES) data (n=200,361; median age = 58y, median follow up = 11y).²⁴

Identification of clonal hematopoiesis

We previously described the identification of myeloid, lymphoid or other mCA in UKB from SNP array data.²⁵ The process for identifying likely somatic driver variants is described in the Supplementary Methods. Mutated genes were defined as myeloid-neoplasia related ('myeloid') according to previously published criteria,⁸ other genes were defined as 'lymphoid'. The complete list of unique putative somatic driver variants (n=1,611) are shown in Supplementary Table 1. CH was defined as participants with any mCA and/or any somatic driver mutation; myeloid CH was defined as the presence of myeloid mCA and/or a myeloid somatic driver mutation(s); lymphoid was defined by lymphoid mCA and/or lymphoid mutations, without myeloid mutations or myeloid mCA.⁸

Kidney function

The estimated glomerular filtration rate (eGFR) in units of mL/min/1.73 m² was calculated in R using the Nephro package²⁶ and three different formulae as defined by the Chronic Kidney Disease Epidemiology Collaboration: creatinine (UKB field: 30700, eGFR.creat), cystatin-C (UKB field: 30720, eGFR.cys) or creatinine and cystatin-C (eGFR.creat.cys).²⁷ The creatinine based scores included ethnicity as recorded in UKB field: 21000. With respect to CKD, patients were considered as healthy (≥ 90), mild (≥ 60 and < 90) moderate (≥ 15 and < 60) or end stage (< 15) for each eGFR score.²⁷ In addition, uACR in mg/mmol was calculated as a further measure of kidney disease using albumin in urine (UKB field: 30500) and creatinine in urine (UKB field: 30510). Shrunken pore syndrome (SPS) is typically defined by an eGFR.cys/eGFR.creat ratio of ≤ 0.6 in the absence of factors that interfere with cystatin C or creatinine measurement, such as high muscle mass.²⁸ We defined potential SPS as an eGFR.cys/eGFR.creat ratio of ≤ 0.6 .

Discovery and validation cohorts

To investigate the relationship between CKD and either CH or myeloid neoplasia, the data were split randomly into equally sized discovery and validation cohorts. Results from the discovery and validation cohorts were combined using a fixed effects inverse variance weighted meta-analysis using STATA version 16 (StataCorp LLC, College Station, TX) and Cochran's Q test to measure heterogeneity.

The relationship between CH and CKD

To study the association between CH and CKD we excluded 10,144 participants due to (i) missing creatinine or cystatin-C data (n=9,913), (ii) any form of ESKD (n=231) that was either diagnosed before study entry according to relevant ICD10 codes or interventions and

procedures as detailed in the Supplementary Methods, or if any of the three eGFR scores was <15. Participants with ESKD were excluded due to the possibility of dialysis and/or erythropoietin treatment that would influence their eGFR scores and blood counts, and because the relationship between ESKD and CVD is well characterised. Individuals with diabetes or hypertension were not excluded. The relationship between CH and CKD was tested using multivariable logistic regression in R where CKD was used as the dependant and CH as a binary predictor. CKD was coded into cases (1) and controls (0) using the eGFR thresholds of <60 or ≥60 respectively²⁹ and the analysis was repeated for each eGFR score (eGFR.creat, eGFR.cys, and eGFR.creat.cys). Logistic regressions were adjusted for potential confounding variables: age, sex, smoking status, systolic blood pressure, diastolic blood pressure, cholesterol, high density lipoprotein (HDL), low density lipoprotein (LDL), body mass index (BMI), glycated haemoglobin (HbA1c) as an indicator of diabetes, high-sensitivity C-reactive protein (hs-CRP) as a marker of inflammation marker and the first ten genetic principal components. Effect sizes were reported as odds ratios (OR) with 95% confidence intervals (CI). The relationship between eGFR scores and CH were tested using multivariable linear regression in R where eGFR status was treated as the dependant and CH as a binary predictor and correcting for same confounding variables. UKB did not include follow up biochemical assessments for the great majority of participants and so incident ESKD was inferred from recorded hospital episodes as indicated above. Prevalent and incident myeloid neoplasia are defined in the Supplementary Methods.

Mendelian Randomisation

Mendelian Randomisation (MR) was used to assess the possibility of a causal relationship between CH and CKD by using germline SNPs associated with the development of CH as

instrumental variables. Following the STROBE guidelines,³⁰ we investigated the use of two significance thresholds for selecting instrumental variables based on their association with CH defined by driver somatic mutations in a subset of the TOPMed cohort (n=65,405 total participants; n=3,831 CHIP cases)³¹. The first used a modest threshold ($P < 0.001$) to select 380 SNPs with $MAF > 0.01$ for a liberal analysis which aimed to investigate the evidence for a true null relationship. In the second, conservative, analysis we used a stricter threshold ($P < 1 \times 10^{-5}$) to select a subset of 28 SNPs that were strongly associated with CH and would provide more robust evidence of causality. The effect sizes on CKD were obtained from a meta-analysis of 60 GWAS from the CKDgene consortium (n=625,219, including 64,164 CKD cases).³² We estimated that approximately 2.4% of individuals from the TOPMed cohort are also included in the CKDgene consortium which could inflate false positive findings.³³ To mitigate against this, we performed a sensitivity analysis using the estimated effect sizes in a subset of patients from the CKDgene cohort with European ancestry (n=480,698, including 41,395 cases). Detailed information for the SNPs used in both analyses is shown in Supplementary Table 2. MR was performed using the TwoSamplesMR package in R³⁴ to apply the Robust Adjusted Profile Score (MR-RAPS) methodology which enables the use of weak instrumental variables, is robust to pleiotropy and considers measurement error in the exposure estimate.³⁵ Additional sensitivity analyses were performed using methods that test the different assumptions of MR, specifically the inverse-variance weighted (IVW) method which performs a meta-analysis for the estimates of the instrumental variants,³⁶ the MR-Egger method which uses the average pleiotropic effect as the intercept that allow the use of instrumental variables with pleiotropic effects,³⁷ and the weighted median method which allows for a subset of instrumental variables to be invalid.³⁸

Prediction of adverse outcomes

A Cox proportional hazard model (survival package in R)³⁹ was used to determine if the risk of adverse outcome was associated with CH, CKD defined by each eGFR score or the urine albumin-to-creatinine ratio (uACR). Adverse outcomes were defined by a composite endpoint of either death (UKB data release April 2020), myocardial infarction (MI, field 40002, February 2018) or stroke (field 40006, February 2018). Participants who suffered MI or stroke before entering UKB were excluded. Follow-up times were calculated using the lubridate package⁴⁰ to determine the duration between study entry and the earliest of date of death (UKB field 40000), date of MI (UKB field 40002) or date of stroke (UKB field 40006). Patients without an adverse outcome were censored at the date of last follow up for MI and stroke or the date of they were lost to follow up (UKB field 191). Univariate survival analyses were performed for all traditional risk factors (age, sex, smoking status, LDL, HDL, cholesterol, HbA1c, BMI, hs-CRP, systolic and diastolic blood pressure). Variables with $P < 0.2$ were entered into a multivariate survival analysis in a backward stepwise manner and retained if they reached nominal significance ($P < 0.05$).

To assess the potential for a non-linear relationship between eGFR scores and adverse outcomes, we used a restricted cubic spline function⁴¹ to transform and segment the eGFR scores. Separate curves were fitted to each segment to generate a smooth fitted curve. The method was used to transform each eGFR score using the rms package in R⁴² and default values for the number of knots ($n=5$) and degrees of freedom ($n=4$). The regression included the covariates described above. The adjusted spline values were plotted with 95% confidence intervals.

Receiver operating characteristic curves (ROC) and area under the curve (AUC) metrics⁴³ were used to evaluate the prediction accuracy of the multivariable survival models. AUCs were reported for three pairs of prediction models with and without CH; (i) traditional risk factors (ii) traditional risk factors and eGFR.cys (iii) traditional risk factors and uACR. Where relevant, P values for all tests were corrected for multiple testing using the false discovery rate (FDR).

RESULTS

Definition and breakdown on CH in UKB

In a previous analysis of SNP array data from the entire UKB cohort, we identified 8,203 mCA larger than 2 Mb in 5,040 participants.⁴⁴ In the subset of participants with available WES data (n= 200,631), we identified 3,085 mCA in 2,016 participants, of which 197 (185 participants) were associated with myeloid neoplasms and 278 (237 participants) were associated with lymphoid neoplasms. Analysis of the WES data identified 4,137 putative somatic driver mutations (1,611 unique variants) in 3,863 participants (Supplementary Table 3). In total, 5,718 (2.9%) participants had CH defined by one or more mCA and/or driver mutations and 194,913 participants were considered as CH-free controls. For further analysis, these data were split randomly into discovery and validation cohorts (Table 1 and Supplementary Table 4).

Assessment of the relationship between CH and CKD

We compared eGFR.cys, eGFR.creat and eGFR.creat.cys in participants with or without CH after excluding 10,144 ineligible participants with pre-existing ESKD or missing biochemistry

measures. After excluding ineligible cases, the discovery cohort consisted of 2,735 participants with CH and 92,457 CH-free controls, and the validation cohort comprised of 2,714 participants with CH and 92,581 CH-free controls. As expected, the cystatin-C derived eGFR score was lower than the scores that included creatine⁴⁵ and consequently fewer participants were determined to have moderate CKD, defined by an eGFR score between 15 to 60, according to eGFR.creat (n=4,194) and eGFR.creat.cys (n=4,433) compared with eGFR.cys (n=8,304). The median for all three eGFR scores was lower in participants with CH compared to those without CH (Figure 1) and the median uACR was higher (1.2 with CH versus 1.05 without CH; $P<0.001$) indicating impairment of kidney function in association with CH. Participants with lower eGFR scores tended to be older, male, smokers, with low HDL, high LDL, high BMI, high systolic and diastolic blood pressure, and high albuminuria. (Supplementary Table 4).

To determine the association between CH and CKD, we performed logistic and linear regression analyses where CKD was coded as either a binary (1 = moderate CKD eGFR >15 and <60, 0 = eGFR \geq 60) or as a continuous trait based on each eGFR score and adjusted for potential confounding variables (Supplementary Table 5). In the logistic models, CH was associated with an increased risk of moderate CKD estimated from cystatin-C scores (eGFR.cys, OR=1.02 [95% CI: 1.01-1.02], $P=8.44\times 10^{-8}$). A weaker association was observed for eGFR.creat.cys (OR=1.01 [95% CI: 1.00-1.01], $P=0.04$) and there was no association with eGFR.creat (OR=1.00 [95% CI: 0.995-1.004], $P=0.93$), (Supplementary Table 6). Similar results were obtained from linear regression analysis where eGFR scores estimated from cystatin-C were negatively associated with CH (eGFR.cys, $\beta=-0.75$, $P=2.37\times 10^{-4}$) but not eGFR.creat.cys ($\beta=-0.21$, $P=0.33$), or eGFR.creat ($\beta=0.43$, $P=0.03$, not significant in the discovery and

validation cohorts) (Figure 2). For all tests there was no evidence for heterogeneity between the discovery and validation cohorts ($P > 0.05$, Cochran's Q test).

To investigate the relationship between CH and CKD in more detail, we tested the constituent components of CH for association with eGFR.cys as a continuous trait using linear regression. Low eGFR.cys scores were associated with myeloid mCA ($\beta = -4.44$, $P = 8.90 \times 10^{-5}$) but not lymphoid mCA ($\beta = -1.7$, $P = 0.12$) or other mCA ($\beta = 0.61$, $P = 0.15$). Alterations involving chr9p were the most strongly associated subtype of myeloid mCA ($\beta = -8.06$, $P = 8.80 \times 10^{-5}$). For CH defined by somatic mutations, myeloid neoplasia-associated genes were strongly associated with lower levels of eGFR.cys ($\beta = -1.33$, $P = 5.52 \times 10^{-7}$), whereas lymphoid genes were not significant ($\beta = -1.31$, $P = 0.125$). At the gene level, the relationship was significant for CH defined by *JAK2* ($n=139$, $\beta = -1.03$, $P < 1 \times 10^{-300}$) and *TET2* ($n=788$, $\beta = -1.94$, $P = 4.50 \times 10^{-4}$) variants but not *DNMT3A* or *ASXL1*. Again, for all tests there was no evidence for heterogeneity between the discovery and validation cohorts ($P > 0.05$, Cochran's Q test). Full results for the discovery and validation cohorts are presented in Supplementary Table 7. The median VAF of CH defined by myeloid neoplasia associated genes was higher in participants with CKD (eGFR < 60) defined by eGFR.cys (median VAF=0.24) compared to other participants (eGFR ≥ 60) (median VAF=0.21, $P = 1.71 \times 10^{-7}$) but no difference was seen for CKD defined by eGFR.creat (median VAF=0.23 vs 0.21, $P = 0.12$) (Supplementary Figure 1). At the level of individual genes, a significant difference was only seen for *JAK2* with a median VAF of 0.56 in cases with CKD defined by eGFR.cys compared to other participants (VAF=0.20, $P = 4.70 \times 10^{-6}$).

The link between myeloid neoplasms and reduced kidney function is well established and was replicated in our subset of UKB participants which included 320 participants with a

prevalent myeloid neoplasms (diagnosed before or within a year of study entry) that was associated with lower eGFR.cys score ($\beta=-5.22$, $P=7.77 \times 10^{-10}$). Excluding these cases, eGFR.cys was still associated with myeloid CH ($n=3,330$, $\beta=-1.05$, $P=8.80 \times 10^{-5}$), including both myeloid mCA ($n=148$, $\beta=-3.36$, $P=0.01$) and myeloid related-genes ($n=3241$, $\beta=-1.08$, $P=6.25 \times 10^{-5}$). Stratification at the gene level identified associations between eGFR.cys and mutations in *CBL*, *TET2*, *JAK2*, *PPM1D* and, to a lesser degree, *GNB1* (Table 2; Supplementary Table 8).

We assessed the relationship between myeloid CH and the risk of developing ESKD in participants without prevalent myeloid neoplasms or prior ESKD. Myeloid CH ($n=3,330$) was weakly but significantly associated with ESKD incidence ($n=307$, $\beta=0.002$, $P=0.006$). Specifically, 0.33% (11 out of 3,330) of participants with myeloid CH developed ESKD after study entry compared with 0.16% of controls (296 of 184,811)

MR analysis to test causal effect of CH on kidney function

The possibility of a causal relationship between CH and kidney function was assessed using Mendelian randomisation. In a liberal analysis, 380 independent SNPs associated with CH at ($P < 0.001$)³¹ were used to estimate the effect of CH on CKD (Supplementary Table 2). To test the different assumptions and scenarios, several MR methods were used as recommended and the results corrected for multiple testing.³³ Only the MR-RAPS method, which is adapted to test weak instrumental variables as applicable to our study, identified a positive causal relationship [OR=1.01; $P=0.029$]. However, this relationship failed to reach significance ($P=0.81$) in a more conservative analysis that applied stricter threshold ($P < 1 \times 10^{-5}$) to select 28 SNPs associated with CH (Figure 3). Due to the potential limited overlap between cohorts used to select instrumental variables, we performed a sensitivity analysis using a subset of

samples with European American ancestry which yielded similar results for the causal association between CH and CKD [OR=1.02; $P=0.029$]. Detailed results are presented in Supplementary Table 9.

Prediction of adverse outcomes by myeloid CH in CKD

As expected, established risk factors (myeloid CH, age, sex, ethnicity, smoking status, cholesterol, HbA1C, HDL, LDL, blood pressure, BMI, uACR, hs-CRP and eGFR scores) were associated on univariate analysis with an adverse outcome as defined by a composite endpoint of death, myocardial infarction, or stroke (Supplementary Table 10).

To understand the influence of myeloid CH and CKD on adverse outcomes, we focused on participants without prevalent myeloid neoplasms ($n=320$) or any prior history of CVD ($n=8,459$). Initially, Cox proportional-hazard analysis was used to identify risk factors unrelated to CH and CKD (Supplementary Table 11), and then these factors were added into the model. To determine which of the three eGFR scores was most appropriate to use in the model, we tested the linearity of each score in relation to outcome using a restricted cubic spline test, as described previously⁴⁵. Although all three scores were associated with adverse outcomes, eGFR.cys was more linear and negative compared to the scores that used creatinine in both the discovery and validation cohorts (Supplementary Figure 2). Focusing on eGFR.cys, the risk of adverse outcomes was higher in subjects who had CKD (HR=1.9, $n=1,180/6,970$) compared to CKD free participants ($n=8295/172,857$; $P=8.4 \times 10^{-65}$) (Supplementary Table 12). The risk of adverse outcomes was estimated to be 1.56-fold higher ($P=1.4 \times 10^{-11}$) in cases with myeloid CH ($n=338/3,078$) compared to myeloid CH-free participants ($n=9137/176,749$). Testing each component of adverse outcomes confirmed the

previously reported features of UK Biobank cohort⁴⁶ that CH was associated with all-cause mortality (HR=1.91, $P=2.5 \times 10^{-10}$) but did not reach significance for MI (HR=1.13, $p=0.38$) or stroke (HR=1.28, $P=0.15$) considered independently, in accordance with previous findings^{44, 47} (Supplementary Table 12).

ROC analysis was used to assess the predictiveness of multivariable models that incorporated myeloid CH, eGFR.cys and uACR. The baseline model consisting of age, sex, smoking status, HDL, HbA1c, systolic blood pressure, hs-CRP, BMI (Supplementary Table 11) and corrected for 10 genetic principal components had an AUC of 73.3% (72.8%–73.9%). The addition of myeloid CH as a binary factor or eGFR.cys as a continuous trait improved the predictiveness of the model to an AUC of 73.4% and 74%, respectively, and including both further improved the AUC to 74.1% (73.5%–74.6%), with very similar results achieved in both the discovery and validation cohorts (Figure 4, Supplementary Table 13).

To further investigate the relationship between CH and adverse outcome in participants with CKD, we stratified the cohort (excluding prior CVD and prevalent myeloid malignancies), into participants with moderate renal impairment (eGFR.cys ≥ 15 to < 60), mild impairment (eGFR.cys ≥ 60 to < 90) and normal kidney function (eGFR.cys ≥ 90). We then tested the effect of CH in each subset using Kaplan-Meier survival analysis. CH increased the risk of adverse outcome in all groups but was particularly marked (HR=1.6, 95% CI 1.2 to 2.14, $P=0.002$) for participants with moderate CKD ($n=59/226$ with myeloid CH compared to $n=1,121/6,744$ without myeloid CH) (Figures 5,6; Supplementary Table 14). Much of the risk of adverse outcomes was related to incident myeloid neoplasms which were diagnosed in 19 participants at a median of 3.6 years after study entry. Of these, 11 (58%) had adverse outcomes in

comparison to 48/207 (23%) who did not develop a myeloid neoplasm during the study period. Excluding the incident cases reduced but did not eliminate the risk of adverse outcomes (HR=1.4, P=0.05).

Relationship between myeloid CH and shrunken pore syndrome

We identified 966 (0.5%) UKB participants with potential SPS (eGFR.cys/eGFR.creat ratio ≤ 0.6). Of these, 58 (6.0%) had myeloid CH compared to 2.9% (n=5391) of participants with eGFR.cys/eGFR.creat ratio > 0.6 (OR=2.2, 95% CI = 1.6 - 2.9; $P=2.9 \times 10^{-7}$ Fisher's exact test), but after eliminating these cases myeloid CH was still associated with an adverse prognosis in CKD (HR=1.61, 95% CI 1.17 to 2.21, $P= 0.003$) and remained most pronounced for participants with moderate renal impairment (Supplementary Figure 3).

DISCUSSION

In this study we identified that CH, and specifically myeloid CH, is associated with CKD. The association was not seen with all markers of CH and, strikingly, not with mutations in *DNMT3A* or *ASXL1*, two of the most common drivers of clonality, although there was an overall association with clone size. These findings confirm previous observations that not all CH is equal,^{9, 25, 31} as well as the importance of having sufficiently large studies to understand the granularity of CH with respect to clinical outcomes.

We found that myeloid CH is specifically associated with eGFR.cys but not eGFR.creat and only marginally with eGFR.cys.creat. Similarly, recent studies have reported the superior utility of eGFR.cys in predicting the incidence of CVD and mortality in patients with CKD.^{45, 48,49} In the UK, the cost to measure cystatin C is 10-fold higher than that to measure serum creatinine, and consequently eGFR.creat is widely used for initial assessment of possible CKD. Although eGFR.cys is recommended to confirm CKD, this is not believed to be common practice, at least in the UK.⁴⁵ Our findings provide further weight to the argument that eGFR.cys is more informative than eGFR.creat to define CKD.

The finding that myeloid CH is associated with eGFR.cys also provides further evidence for the importance of chronic inflammation in CH-related disorders. Levels of cystatin C correlate generally with oxidative stress and inflammation^{45, 50}, a well-recognised feature of CKD¹⁹ that is also associated with an elevated risk of development of CVD.^{51,52} Other biomarkers of chronic inflammation have been associated with CH, e.g. C-reactive protein and IL-6.^{20,31} CH predisposes to hematological malignancies, particularly myeloid neoplasms⁵, and both CKD

and chronic inflammation have been described as features of myeloproliferative neoplasms.^{53, 54} Our data shows that myeloid CH increases the risk of adverse outcomes in the context of CKD, and that this increase is only partly explained by incident myeloid neoplasms or SPS, a recently described phenomenon which may be observed in both children or adults with normal or reduced eGFR and is associated with increased mortality and morbidity in a variety of settings.²⁸ Although our analysis was corrected for hs-CRP, it is possible that part of the increase in adverse outcomes is due to chronic inflammation induced by CH.

Mendelian randomisation uses genetic variation as a natural experiment to estimate causality in observational data³³ and has, for example, been used to detect a causal effect of cystatin C on risk of stroke.⁵⁵ Our initial analysis of 380 SNPs that predispose to CH provided suggestive evidence for a causal relationship between CH and CKD ($P=0.03$), but this link was not supported by a more conservative analysis of 28 SNPs that are more strongly associated with CH. Given that two of the most common CH genes (*DNMT3A* and *ASXL1*) were not associated with CKD, and that the 380 SNPs only explain 3.6% of the heritability of CH,³¹ the use of Mendelian randomisation in this context is clearly challenging, and may be compounded the possibility of other factors such as horizontal pleiotropy but these concerns are partly mitigated by the large sample size of the GWAS used for CH and CKD.

In summary, the role of CH in the pathogenesis of benign diseases varies widely, and depends on intrinsic factors that define the clone as well as extrinsic factors that impact the inflammatory environment.^{25, 56} In this study we have shown that CH is associated with CKD and confers an adverse prognosis over and above conventional risk factors for this common

394 disorder. Our findings suggest that screening for CH in CKD may be of clinical value to help
395 predict outcome.

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397 **ACKNOWLEDGEMENTS**

398 AAZD was supported by a Lady Tata International Award; NCPC and WJT were supported by
399 Blood Cancer UK. The authors acknowledge the use of the IRIDIS High Performance
400 Computing Facility and associated support services at the University of Southampton.

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402 **CONFLICT OF INTEREST**

403 The authors declare no conflicts of interest

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Table 1: CH defined by both acquired mCA and/or driver somatic mutations

Participants	Discovery cohort					Validation cohort					Total
	Males		Female		Total	Males		Females		Total	
	N	%	N	%		N	%	N	%		
Total number	45198	45%	55118	55%	100316	44956	45%	55359	55%	100315	200631
All CH	1286	45%	1582	55%	2868	1335	47%	1515	53%	2850	5718
Myeloid CH ^a	831	46%	960	54%	1791	885	49%	912	51%	1797	3588
Lymphoid CH ^b	135	48%	146	52%	281	140	46%	167	54%	307	588
All mCA	431	42%	585	58%	1016	439	44%	561	56%	1000	2016
Myeloid mCA	48	53%	42	47%	90	54	57%	41	43%	95	185
Lymphoid mCA	57	50%	56	50%	113	60	48%	64	52%	124	237
Other mCA	326	40%	487	60%	813	325	42%	456	58%	781	1594
All driver mutations	894	47%	1027	53%	1921	941	48%	1001	52%	1942	3863
Myeloid genes ^{c,d}	805	46%	933	54%	1738	854	49%	890	51%	1744	3482
DNMT3A	295	39%	470	61%	765	348	45%	419	55%	767	1532
TET2	193	46%	225	54%	418	188	46%	217	54%	405	823
ASXL1	103	64%	59	36%	162	92	64%	51	36%	143	305
JAK2	37	58%	27	42%	64	46	57%	35	43%	81	145
Other myeloid genes	220	54%	190	46%	410	225	52%	207	48%	432	842
Lymphoid genes	89	49%	94	51%	183	87	44%	111	56%	198	381
Control (CH-free)	43912	45%	53536	55%	97448	43621	45%	53844	55%	97465	194913

^a 79 participants had both myeloid mutations and myeloid mCA

^b Lymphoid CH was defined by lymphoid mCA and/or lymphoid mutations, without myeloid mutations or myeloid mCA. 30 participants had both lymphoid mutations and lymphoid mCA

^c 14 participants had both myeloid and lymphoid mutations and were classed as myeloid

^d 218 participants had more than one myeloid gene mutation

Table 2. Association between myeloid CH subtypes and eGFR.cys score after removing participants with prevalent myeloid neoplasms

Predictor	Cases	β	CI 2.5%	CI 97.5%	P
myeloid CH	3330	-1.05	-1.54	-0.57	8.80×10^{-5}
myeloid mCA	148	-3.36	-5.65	-1.07	9.11×10^{-3}
myeloid genes	3241	-1.08	-1.57	-0.60	6.25×10^{-5}
<i>DNMT3A</i>	1446	0.14	-0.59	0.87	0.73
<i>TET2</i>	778	-1.74	-2.74	-0.73	1.84×10^{-3}
<i>ASXL1</i>	283	-1.59	-3.21	0.03	0.09
<i>JAK2</i>	92	-4.69	-7.56	-1.82	3.21×10^{-3}
<i>GNB1</i>	86	-3.51	-6.40	-0.62	0.04
<i>SRSF2</i>	67	-2.42	-5.94	1.11	0.27
<i>TP53</i>	62	-0.01	-3.30	3.29	1.00
<i>PPM1D</i>	61	-5.87	-9.43	-2.31	3.08×10^{-3}
<i>SF3B1</i>	52	-2.37	-6.27	1.52	0.32
<i>FLT3</i>	36	-0.65	-5.49	4.19	0.81
<i>GNAS</i>	33	1.89	-2.61	6.40	0.49
<i>NF1</i>	28	-1.03	-6.07	4.01	0.73
<i>CBL</i>	28	-12.14	-17.40	-6.88	3.44×10^{-5}
<i>STAG2</i>	27	3.90	-1.37	9.16	0.23
<i>PRPF40B</i>	26	2.96	-2.29	8.21	0.35
<i>CREBBP</i>	24	-3.10	-8.49	2.30	0.34
<i>KDM6A</i>	22	-2.68	-8.49	3.14	0.45
<i>BRCC3</i>	21	-1.72	-7.70	4.27	0.66
<i>IDH2</i>	14	-5.56	-13.78	2.67	0.28
<i>KMT2D</i>	13	-3.43	-10.54	3.69	0.44

*59 participants had both myeloid mutated genes and mCA

FIGURE LEGENDS

Figure 1. CH is associated with lower eGFR scores. Meta-analysis of discovery and validation cohorts (cases with CH, n=5,449; controls without CH, n=185,038). (A) eGFR.cys: CH, median = 84.4; CH-free, median = 88.6 ($P < 0.001$; Mann-Whitney test), (B) eGFR.creat: CH median = 88.7; CH-free, median = 90.7 ($P < 0.001$), (C) eGFR.creat.cys: CH, median=87.2; CH-free, median= 90.4 ($P < 0.001$).

Figure 2. CH is specifically and negatively associated with eGFR estimated from cystatin-C. eGFR.cys: eGFR estimated from cystatin-C, eGFR.creat: eGFR estimated from creatinine, eGFR.creat.cys: estimated from both creatinine and cystatin-C. Square sizes represent the precision of each eGFR score.

Figure 3. Mendelian randomisation using robust adjusted profile score (MR-RAPS) to estimate the effect of SNPs associated with CH against their effect in relation to CKD. (A) Liberal analysis using 380 independent SNPs associated with CH at $P < 0.001$. The MR-RAPS test estimated a significant positive effect of CH on CKD (OR=1.014, CI 95%:1.003-1.024; $P=0.03$). B) Conservative analysis using 28 SNPs associated with CH at $P < 1 \times 10^{-5}$. The line of regression is indicated in blue and the axes show β coefficients for SNP effects on CH and CKD.

Figure 4: Risk factors for adverse outcome. The baseline risk factors included age, sex, smoking status, HDL, HbA1c, systolic blood pressure, hs-CRP, BMI and was corrected for 10

genetic principal components. The effect on AUC of adding in CH, eGFR.cys, and uACR relative to the baseline model is shown (meta-analysis of discovery and validation cohorts).

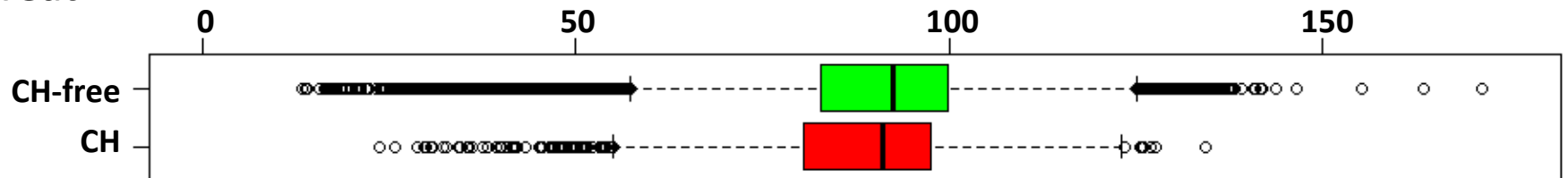
Figure 5: Myeloid CH predicts adverse outcomes in CKD. The forest plots show data stratified according to eGFR.cys as healthy (≥ 90), mild CKD (≥ 60 to < 90) and moderate CKD (≥ 15 to < 60). The risk of adverse outcomes was predicted by myeloid CH in all groups but was particularly marked (HR=1.6, P=0.002) for participants with moderate CKD.

Figure 6: Kaplan-Meier survival estimates for the three CKD groups according to absence or presence of myeloid CH. Log-rank test P values are reported for each group, and numbers at risk at 0, 2.5, 5, 7.5, and 10 years after study entry.

(A) eGFR.cys



(B) eGFR.creat



(C) eGFR.cys.creat

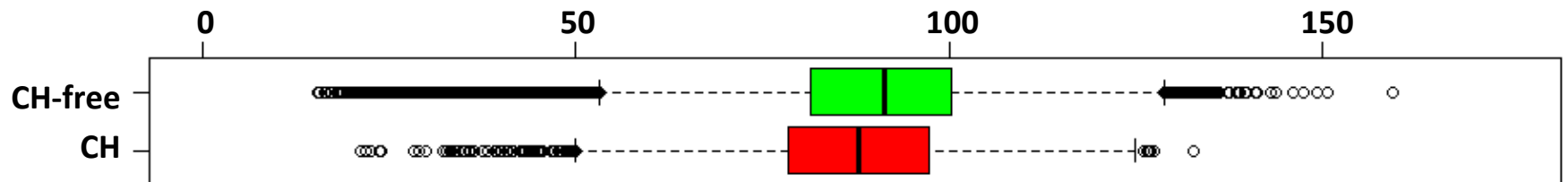


Figure 1

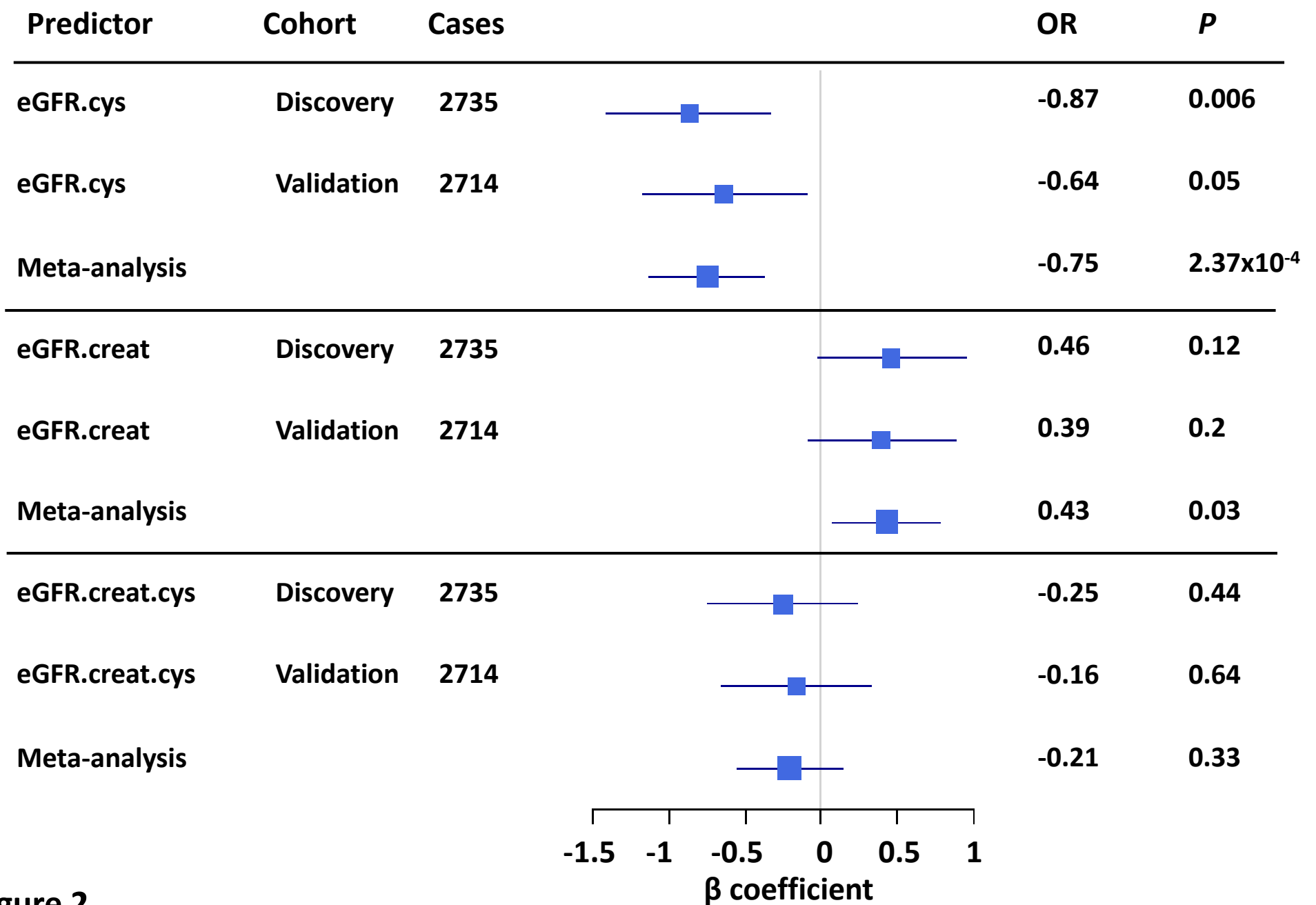


Figure 2

Myeloid CH

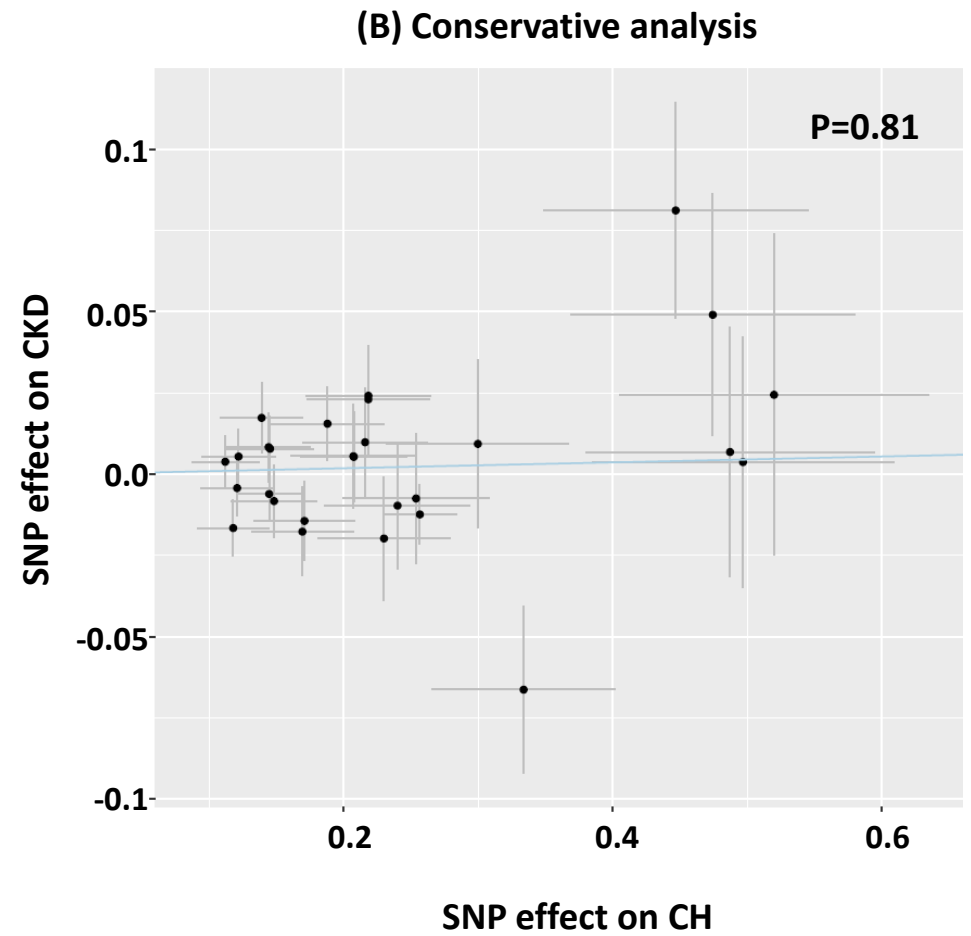
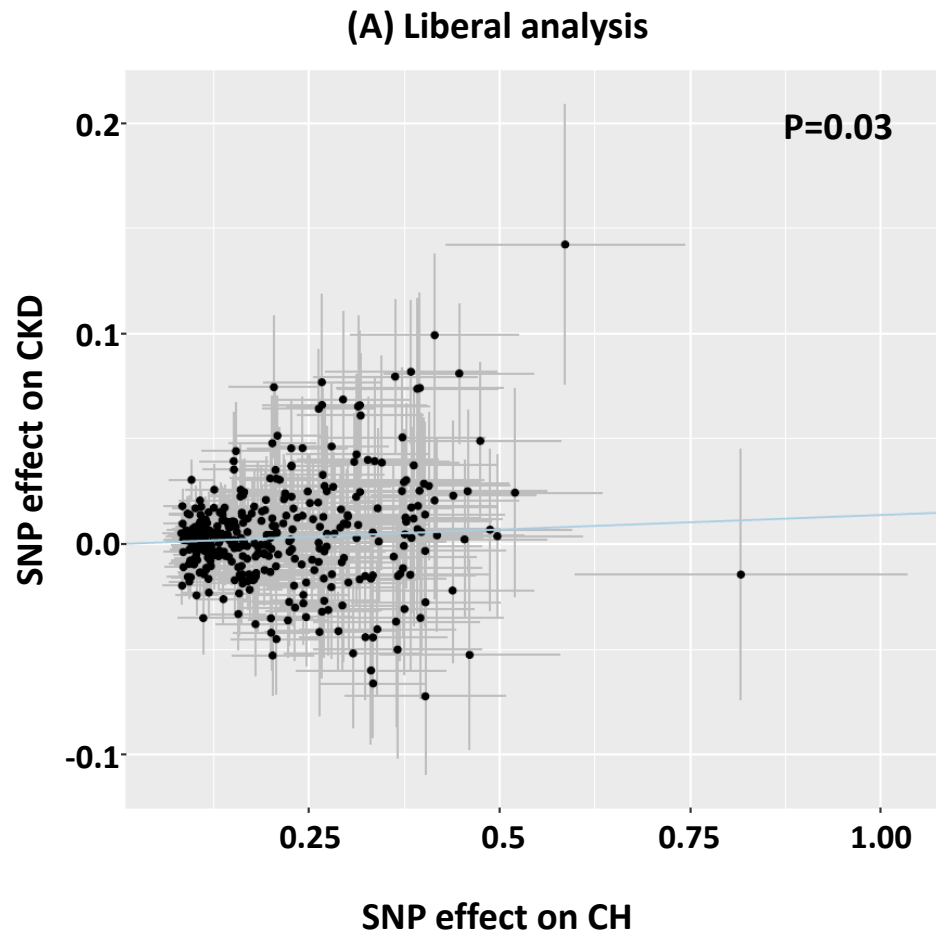


Figure 3

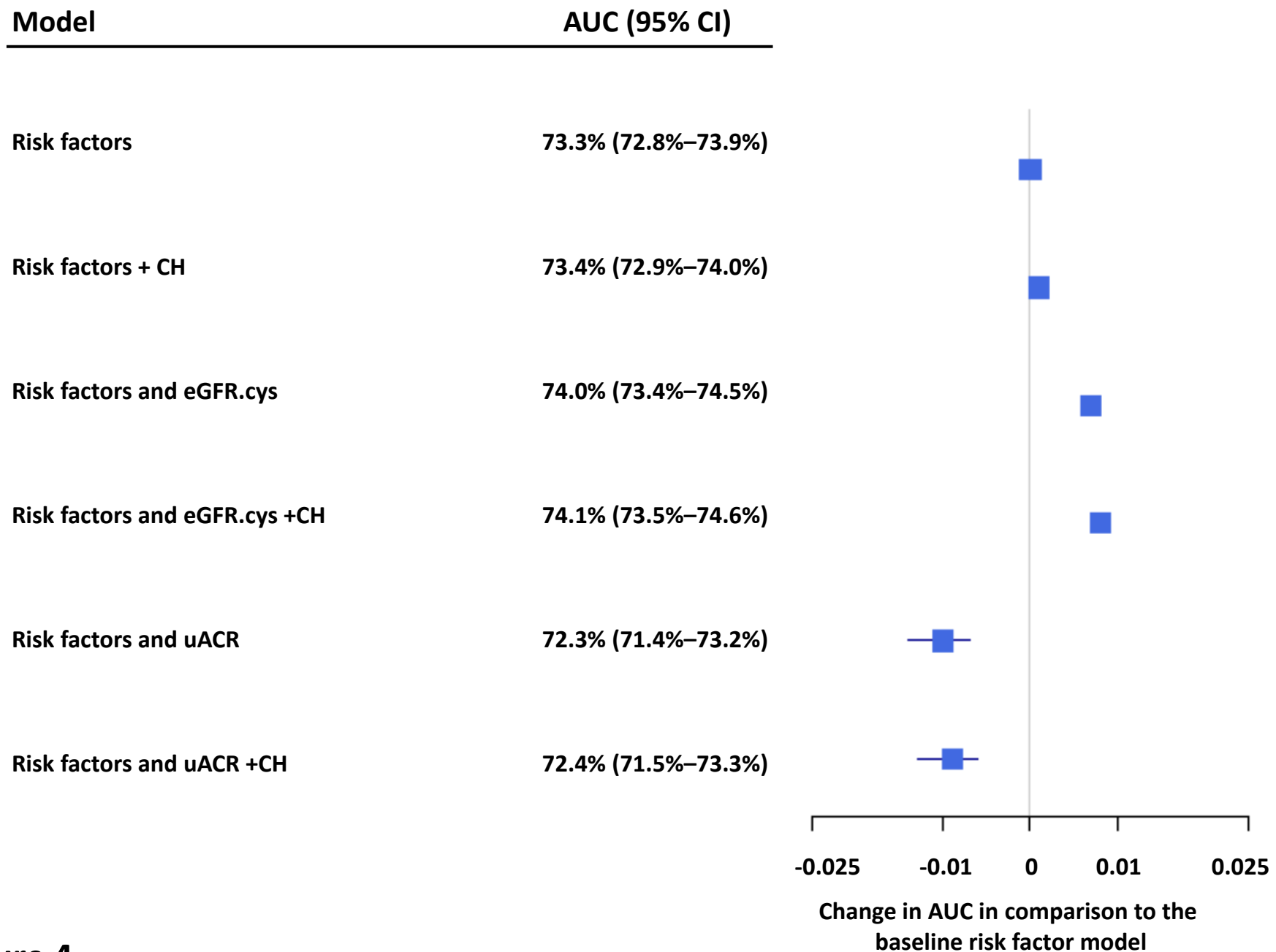


Figure 4

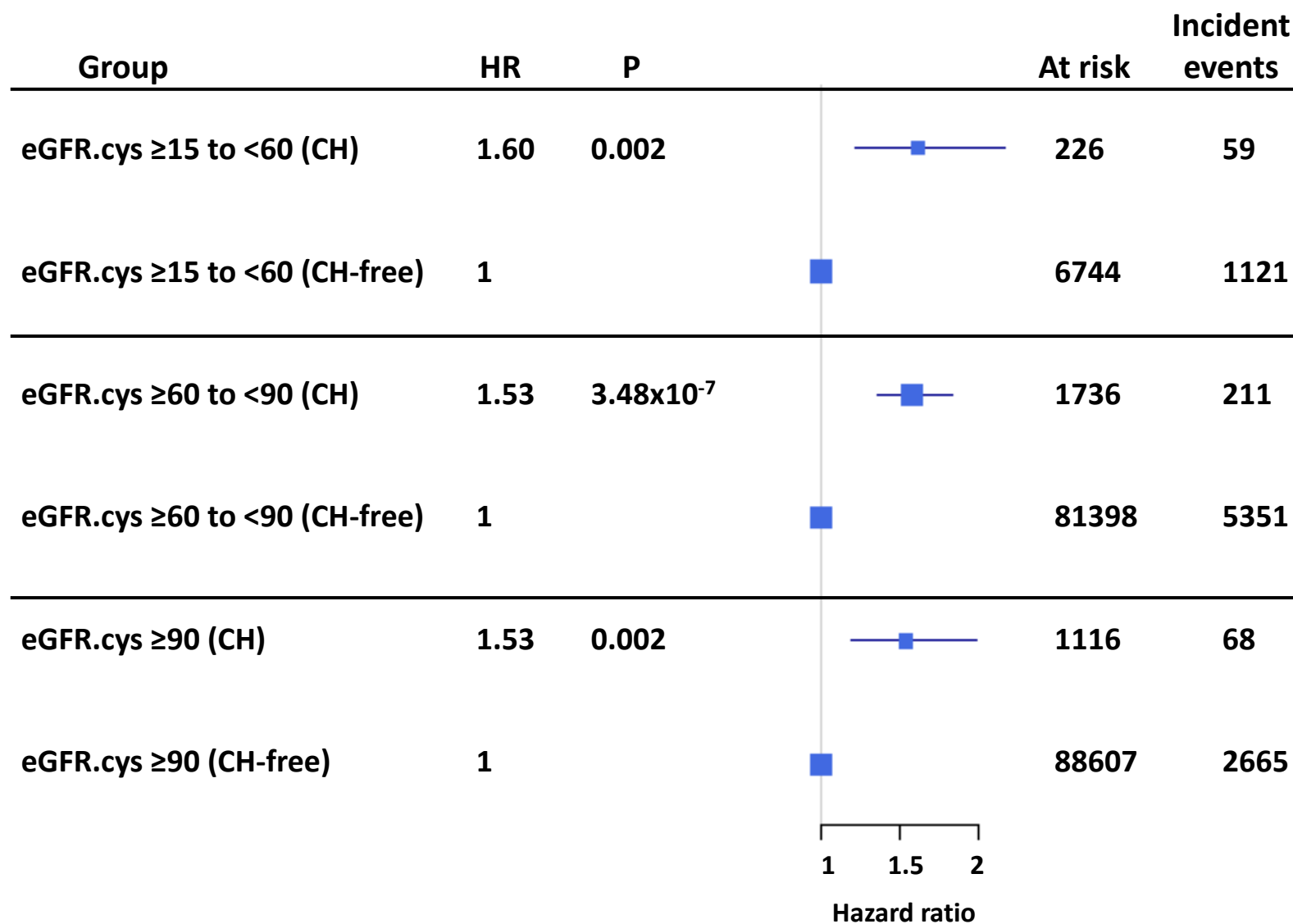


Figure 5

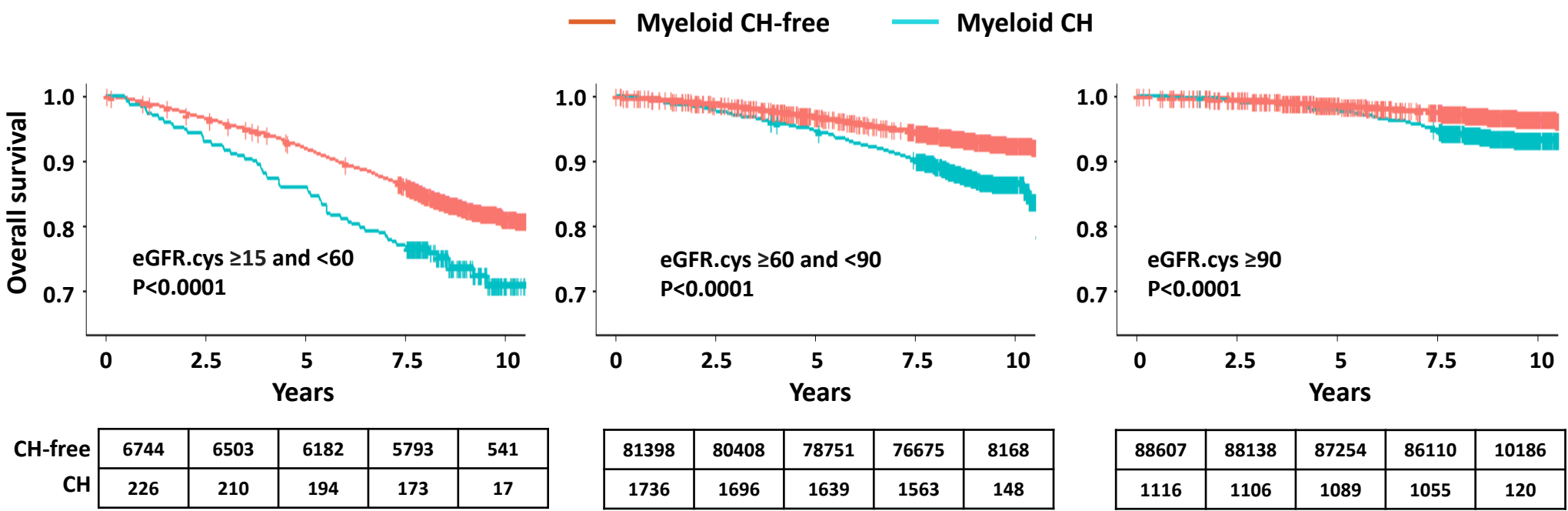


Figure 6

Supplementary Methods and Figures

Clonal myelopoiesis promotes adverse outcomes in chronic kidney disease

Ahmed A.Z. Dawoud, Rodney D. Gilbert, William J Tapper & Nicholas C.P. Cross

Supplementary Methods

Identification of clonal hematopoiesis from WES data

To identify putative somatic driver mutations from WES data, individual gVCF files from the DeepVariant version 0.10.0 caller¹ were converted to VCF format and merged into one multi-sample VCF using SAMtools/Bcftools². Multi-allelic variants were split into separate variants and the location of indels was normalised using their left most position.³ The multisample VCF was annotated using Annovar and the RefSeq gene database.⁴ Variants were defined as putative somatic driver mutations if they met the following criteria; (i) exonic, or splice donor/acceptor site; (ii) the alternative allele had a minimum of 3 reads for point mutation and 6 reads for indels; (iii) alternate allele frequency $\leq 1\%$ in GnomAD V2.1;⁵ (iv) predicted to be pathogenic (CADD⁶ phred score >20 meaning that the variant is among the 1% most deleterious variants in the human genome); (v) minor allele frequency (MAF) $\leq 0.01\%$ in UKB; (vi) observed in COSMIC version 91 database⁷ at least 3 times in hematopoietic and lymphoid tissues; (vii) inferred as somatic by failing the hypothesis that the alternative allele is normally distributed with a mean of 0.45 and a false positive rate of $P=0.05$ using a binomial test as described.⁸ Several exceptions to the rules to define putative somatic driver mutations were made in order to capture all relevant variants in known driver genes: (i) MAF >0.01 in UKB for *DNMT3A* R882, *JAK2* V617F and *GNB1* K57E; (ii) *TP53*: all mutations seen in at least once in COSMIC and validated in the International Agency for Research on Cancer database⁹ were included (iii) *TET2*: all missense mutations were included in regions encoding the catalytic domains (amino acids 1104-1481 and 1843- 2002)^{10; 11}; (iv) any *DNMT3A* variant was included that was seen at least once in COSMIC; (v) all frameshift indels, stopgain, and splice site mutations listed in a list of known myeloid neoplasia related genes were included¹².

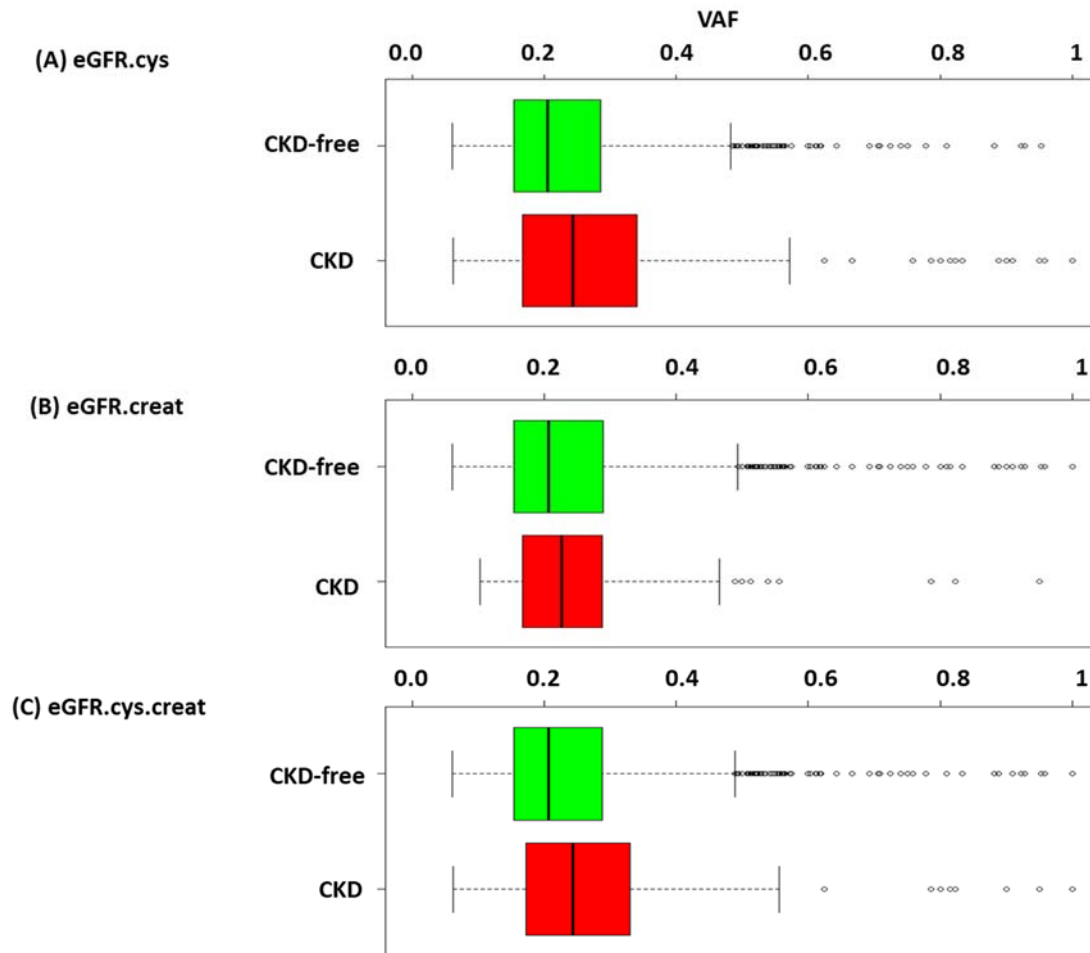
Exceptions to the binomial test were made for established driver variants with high fitness, e.g. *U2AF1* Q157, *FLT3* Y842C, *JAK3* R657Q, *IDH2* R140L, *CBL* Y371H, and *KRAS* G12V due to the high fitness of these variants.¹³ Variants that were absent from COSMIC were only considered if they had a heterozygous “0/1” or homozygous “1/1” genotype indicative of high quality genotype calls.

Diagnosis of ESKD

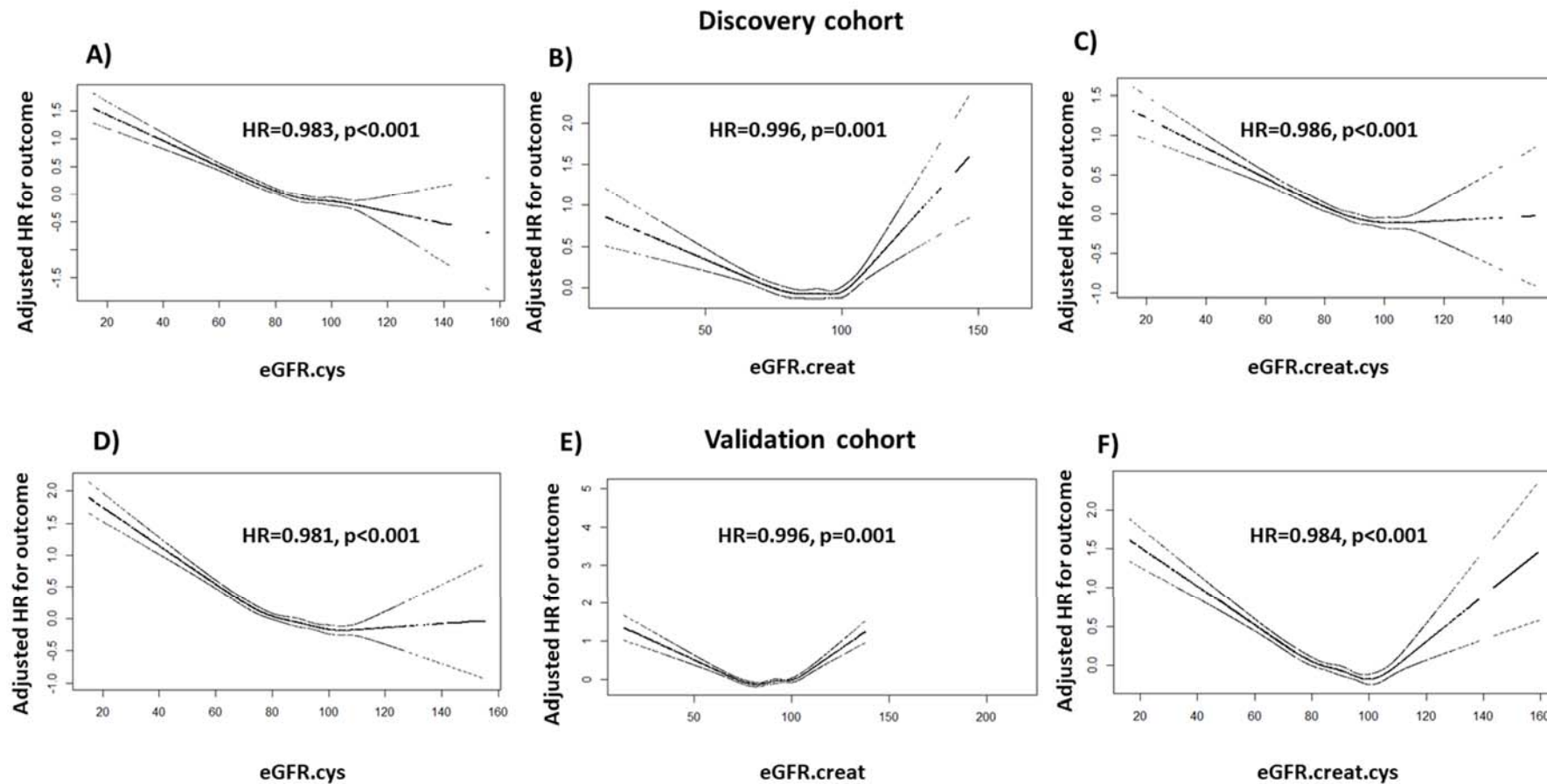
ICD10 codes used to classify ESKD in UKB participants were E85.3, N16.5, N18.0, N18.5, Q60.1, T82.4, T86.1, Y60.2, Y61.2, Y62.2, Y84.1, Z49.0, Z49.1, Z49.2, Z94.0, Z99.2. ESKD was also inferred by relevant interventions and procedures (OPCS4: L74.1, L74.2, L74.3, L74.4, L74.5, L74.6, L74.8, L74.9, M01.2, M01.3, M01.4, M01.5, M01.8, M01.9, M02.3, M08.4, M17.2, M17.4, M17.8, M17.9, X40.1, X40.2, X40.3, X40.4, X40.5, X40.6, X40.7, X40.8, X40.9, X41.1, X41.2, X41.8, X41.9, X42.1, X42.8, X42.9, X43.1)¹⁴

Prevalent and incident myeloid neoplasia

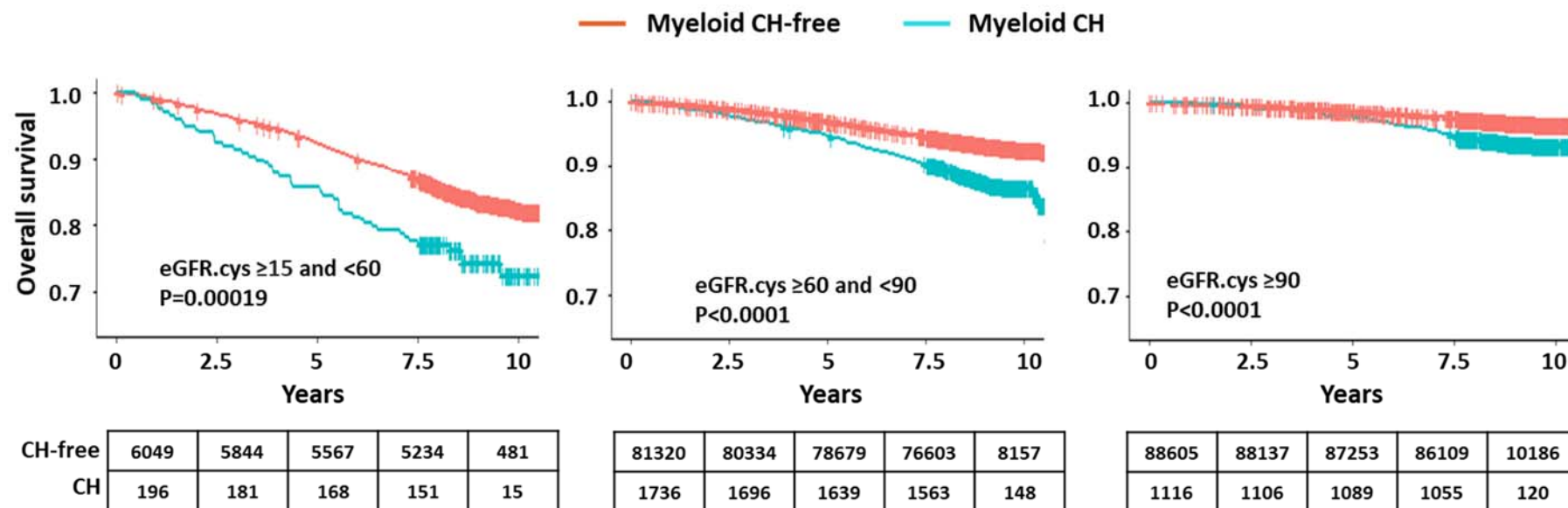
Participants with myeloid malignancy were identified from the national cancer registry and hospital inpatient records using the ICD10 codes C920, C921, C923, C924, C925, C927, C929, C930, C931, C940, C944, C946, C962, D45, D460, D461, D462, D464, D467, D469, D470, D471 and D473. Myeloid malignancies were considered prevalent if diagnosed before or within one year of study (n=320) entry, or incident (n=419) if diagnosed a year or more after study entry. The relationship between CH and ESKD in the absence of prevalent myeloid neoplasia was tested using multivariable logistic regression in R where ESKD diagnosed after the study entry was used as the dependant and CH as a binary predictor, and adjusted for the same CKD risk factors.



Supplementary Figure 1: CKD, defined by eGFR <60, is associated with VAF of driver mutations in myeloid related genes in 3,328 participants. Meta-analysis of discovery and validation cohorts (A) eGFR.cys: CKD (n=293), median = 0.24; CKD-free (n=3,035), median = 0.21 ($P = 1.71 \times 10^{-7}$; Mann-Whitney test), (B) eGFR.creat: CKD (n=111), median = 0.23; CKD-free (n=3,217), median = 0.21 ($P=0.12$), (C) eGFR.creat.cys: CKD (n=144), median=0.23; CKD-free (n=3,184), median= 0.21 ($P = 0.0002$).



Supplementary Figure 2: Restricted cubic spline to test the linearity of eGFR scores. Adjusted spline of each eGFR score was plotted against HR for outcome with default values for the number of knots ($n=5$) and degrees of freedom ($n=4$). The upper and the lower dotted lines indicate 95% confidence intervals. A, B, and C refer to the discovery cohort. D, E, and F refer to the validation cohort for each eGFR score.



Supplementary Figure 3: Kaplan-Meier survival estimates for the three CKD groups according to absence or presence of myeloid CH, and excluding the 966 participants with potential SPS. Log-rank test P values are reported for each group, and numbers at risk at 0, 2.5, 5, 7.5, and 10 years after study entry.

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