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* one printed soft bound copy of your thesis (this is for the University Library)
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## Part A: Research Student Declaration

*Research student should complete* ***online*** *before printing and signing*

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I understand that my e-thesis requires the copyright statement (shown in italics below), to be included in the electronic version submitted; that the thesis templates on the Library [website](http://library.soton.ac.uk/thesis/templates) include this statement and, prior to final submission, I should check that my e-thesis contains this statement. If not, I should add it before creating the final pdf version and place it in front of the title page (see guidance on the [Library website](http://library.soton.ac.uk/thesis/templates)). Note: this is only a requirement for your e-thesis, the copyright statement is not required for the printed version of your thesis.

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I understand that once the thesis and accompanying research data (if any) are deposited, a citation to both the thesis and research data will always remain visible.

I agree as follows:

that I have the authority to make this agreement, and to hereby give the University of Southampton the right to make the thesis and research data (if any) available in the way described above;

that any errors or omissions in the content of the thesis and research data (if any) will be my responsibility as the author;

that I have exercised reasonable care to ensure that the thesis is original, and to the best of my knowledge, neither it nor the research data (where deposited) infringe upon anyone’s rights, including copyright and related rights;

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|  |  |
| --- | --- |
| Print name: | Xiao Long Chen |
| Signature: |  |
| Date:  8th July 2021 |  |
| Title of thesis: | [Six Empirical Essays on Firm Performance and Internationalisation (Foreign Direct Investment and Trade)] |

## Part B: Preferred access to your thesis – research student request

# *To be completed by the Research student after discussion with their supervisor see* [*Guidance*](https://www.southampton.ac.uk/quality/pgr/research_degree_candidature/completion.page?)

Theses may be subject to restriction only in exceptional circumstances when the relevant Faculty, on behalf of Senate, approves an embargo for a period not normally exceeding three years from the date of examination (see [Regulations 50-51](http://www.southampton.ac.uk/assets/sharepoint/intranet/calendar/publicdocuments/RegulationsforResearchDegrees.pdf)).

### Access to thesis Tick ✓ access requested. Tick format as appropriate

|  |  |  |
| --- | --- | --- |
| **Access to thesis – No Embargo** | **Print** | **Electronic** |
| Thesis is available immediately |  |  |
|  | | |
| **Access to thesis – Embargo requested (see Guidance)** | | |
| 1. Embargo – Commercial Contract.[i] |  |  |
| 1. Embargo – Patent Pending [i] |  |  |
| 1. Embargo – Ethical considerations/ data protection [ii] |  |  |
| 1. Embargo – Third Party Copyright.[iii] | |  |
| 1. Embargo – Publication Pending.[iii] | |  |
| 1. Embargo – Other |  |  |
| **Note:** Ticks should only be placed in the boxes provided.  There is no situation where an embargo should be selected for print only. | | |

1. Embargo is normally required on both the Print and Electronic versions
2. Embargo can be on both or electronic only
3. Embargo should only be on the electronic version of the theses for these reasons
4. Add dates for the embargo requested above:

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| --- | --- |
| I understand that my thesis will be made available at the end of the embargo period.  I understand that it is my responsibility to contact the University (via [eprints@soton.ac.uk](mailto:eprints@soton.ac.uk)) if I require an extension of this embargo |  |

1. Add details in support of your embargo request.

*To be completed for* ***all*** *embargo requests above.*

|  |  |
| --- | --- |
| (Attach any supporting evidence. Note: Publication Pending is insufficient information):  …………………………………………………………………………………………………………………….  …………………………………………………………………………………………………………………….  ……………………………………………………………………………………………………………………. |  |

## Part C: Preferred access to your research data

*The Research Student and their Supervisor(s) should complete this section when the underpinning data are deposited (see* [*guide*](http://library.soton.ac.uk/thesis/data)*).*

*If there is* ***no data*** *underpinning the thesis complete section 6.*

*Data embargoes are not subject to section 51* [*Regulations for Research Degrees*](http://www.calendar.soton.ac.uk/sectionV/mphil-phd.html)*. Where data can be shared (open or on request) a DOI can be assigned, but will only be registered once the data is final. Confirmation from the supervisor, that the data can be shared and any embargo requested is appropriate, is required and should be emailed to* [*researchdata@soton.ac.uk*](mailto:researchdata@soton.ac.uk)*.*

*Where data cannot be shared a DOI cannot be provided.*

*If there are more than one dataset that underpins the thesis sections 1-4 should be copied and completed for each dataset. For datasets already published complete section 5.*

1. Title of dataset(s) underpinning PhD thesis

*Chapter 2 of PhD thesis entitled "The Effect of Country Components on Firm’s Internationalization-Performance Relationship: A Comparative Meta-Analysis "*

*Chapter 3 of PhD thesis entitled "* *The Study of Chinese Firm’s Export Behaviors: A Perspective of Productivity Cut-Off "*

*Chapter 4 of PhD thesis entitled "* *The Congestion Effect of Foreign Direct Investment’s Agglomeration on Firm Productivity in China: A Spatial Analysis "*

*Chapter 5 of PhD thesis entitled "* *The Dynamic Effect of Foreign Direct Investment on Export: The Evidence from China"*

*Chapter 6 of PhD thesis entitled "* *Financial Constraints in R&D Investment, Uncertainty, and Productivity: The Evidence from British Manufacturing Firms"*

*Chapter 7 of PhD thesis entitled "* *Investigating the Efficiency of British Listed Manufacturing Firms: What Is a Matter in the ‘Black Box’ "*

DOI: …………………………………………………………………………………………………………

1. What access would you like to request for your research data? (please tick ✓))

|  |  |
| --- | --- |
| Immediately available via the Institutional Repository |  |
| Made available after an embargo period |  |
| Data cannot be shared openly, but can be made available on request  *For example, significant risk of re-identification, participant information sheet stated sharing with bone fide researchers only* |  |
| Data cannot be shared – permanent embargo  *For example, commercial contract – do not own or have permission to re-share data* |  |
| Other |  |

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|  |  |  |  |
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| Date from: |  | Date to: |  |

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5. Data already published: (List any data that has been published in support of articles, conference papers or posters *etc. that are derived from your thesis research. Include DOIs, Handle or URI)*

Title:

DOI/Handle/URI:

6. No datasets for deposit

|  |  |
| --- | --- |
| There are no datasets underpinning this thesis for deposit |  |

If applicable please explain

…………………………………………………………………………………………………………………

## Part D: Preferred access – academic signatories

*(The main supervisor and, where appropriate, the Director of the Faculty Graduate School to sign, Student to complete name and title of thesis)*

|  |  |
| --- | --- |
| Student Name: | Xiao Long Chen |
| Title of Thesis | [Six Empirical Essays on Firm Performance and Internationalisation (Foreign Direct Investment and Trade)] |

### Main supervisor

I confirm the following:

There is no industrial or other individual/organisation whose permission is required prior to publication of this thesis and any supporting data on the institutional repository by virtue of their sponsoring or supporting the research, or

Where any industrial or other individual/organisation’s permission prior to publication is required then this has been obtained and a copy is attached to this permission.

The student’s preferred access for their thesis, research data (if any) and any embargoes requested are appropriate.

|  |  |
| --- | --- |
| Print name: | Professor Tapas Mishra |
| Signature: | Tapas Mishra |
| Date: | 8th July 2021 |

### Director of the Faculty Graduate School

I confirm the student’s preferred access for their thesis, research data (if any) and any embargoes requested are appropriate.

|  |  |
| --- | --- |
| Print name: |  |
| Signature: |  |
| Date: |  |