

1 **Invited paper**

2 ***Title:* Glycemic control predicts the risk of hepatic fibrosis in biopsy-proven**  
3 **NAFLD: a possible mediating role for leukemia inhibitory factor?**

4

5 ***Short Title:* LIF-mediated HbA1c-hepatic fibrosis association**

6 ***Authors' names***

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8 **Author's contributions**

9 Feng Gong and Ming-Hua Zheng designed the study. Gang Li and Ou-Yang Huang  
10 collected the data. Feng Gong, Hong-Lei Ma, Liang-Jie Tang, Na He, and Man, Mi  
11 analyzed the data. Kenneth I. Zheng, Ming-Hua Zheng, Christopher D. Byrne,  
12 Giovanni Targher and Rafael S Rios contributed to writing and proof reading the  
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14 Li. All authors contributed to the manuscript for important intellectual content and  
15 approved the submission.

16

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**List of Abbreviations**

ALT, alanine aminotransferase; AST, aspartate aminotransferase; BMI, body mass index; HbA1c, haemoglobin A1c; HOMA-IR, homeostatic model assessment of insulin resistance; LIF, leukemia inhibitory factor; NAFLD, nonalcoholic fatty liver disease; TC, total cholesterol; TG, triglycerides.

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None.

**Conflict of Interest Statement**

Nothing to declare.

**Keywords:** NAFLD; leukemia inhibitory factor; hepatic fibrosis

1

## 2 **Introduction**

3 Non-alcoholic fatty liver disease (NAFLD) is a growing epidemic, affecting up to  
4 30% of world's adults and around 55% of people with type 2 diabetes mellitus  
5 (T2DM).<sup>1</sup> T2DM is a well-established risk factor for the development of NAFLD, and  
6 is a strong predictor of liver-related morbidity and mortality.<sup>2</sup> Despite the strong link  
7 between NAFLD and T2DM, little is known about how the degree of glycemic  
8 control may impact the severity of NAFLD histology. Recently, Alexopoulos et al.  
9 reported a significant and independent association between the degree of glycemic  
10 control, as reflected by haemoglobin A1c (HbA1c) levels, and the severity of liver  
11 fibrosis.<sup>3</sup> However, as the authors mentioned, a causal relationship between HbA1c  
12 and the histologic severity of liver fibrosis has yet to be established. The aim of the  
13 present research was to further explore the relationship between HbA1c and the  
14 histologic severity of liver fibrosis in a Chinese cohort of well-phenotyped individuals  
15 with biopsy-proven NAFLD.<sup>4,5</sup>

16

## 17 **Materials and methods**

### 18 *Patient population*

19 Participants were prospectively recruited from the well-characterized PERSONS  
20 cohort in Wenzhou between June 2017 and July 2020. This study cohort included  
21 patients from a previously published study.<sup>6-8</sup> Enrollment criteria for the study  
22 included individuals with age between 18 and 75 years; evidence of hepatic steatosis

1 on imaging techniques (mainly ultrasonography), and then confirmed by liver biopsy.  
2 The exclusion criteria were as follows: (1) individuals who refused liver biopsy  
3 examination; (2) those with missing data for HbA1c levels; (3) those with secondary  
4 causes of chronic liver diseases (virus, drugs, autoimmunity); and (4) those who  
5 refused to sign the informed consent. A total of 568 subjects with NAFLD were  
6 initially included in the study, but we measured serum levels of cytokines only in a  
7 subset of 310 patients. The study protocol was approved by the ethics committee of  
8 the First Affiliated Hospital of Wenzhou Medical University (2016-246, 1 December  
9 2016).<sup>9,10</sup> Written informed consent was obtained from each participant.

10

### 11 ***Clinical and laboratory data***

12 Clinical and laboratory data were collected from all participants within 24 hours from  
13 liver biopsy. In all participants, demographic data, anthropometry, clinical parameters,  
14 as well as concomitant diseases were recorded by standard methods, as reported  
15 previously.<sup>10</sup> Hypertension was diagnosed by blood pressure  $\geq 140/90$  mmHg and/or  
16 use of any antihypertensive drugs. Homeostasis model assessment for insulin  
17 resistance (HOMA-IR) was used for assessing insulin resistance. Type 2 diabetes  
18 mellitus (T2DM) was diagnosed with at least one of the following widely accepted  
19 criteria: self-reported history of diabetes, use of any glucose-lowering agents, fasting  
20 glucose levels  $\geq 7.0$  mmol/L or hemoglobin A1c  $\geq 6.5\%$  ( $\geq 48$  mmol/mol).  
21 Hyperlipidemia was defined as presence of total cholesterol (TC)  $\geq 6.2$  mmol/L, low-  
22 density lipoprotein (LDL-C)  $\geq 4.1$  mmol/L, triglycerides (TG)  $\geq 2.3$  mmol/L or use of

1 any lipid-lowering agents. Obesity was defined as a body mass index (BMI)  $\geq 25$   
2 kg/m<sup>2</sup>.

3

#### 4 ***Liver biopsy***

5 Ultrasound-guided liver biopsy was performed under local anesthesia using a 16-  
6 gauge Hepafix needle. Liver biopsy specimens were analyzed by experienced  
7 pathologists, who were blinded to participants' clinical details. Grading of NAFLD  
8 histology was determined by NAFLD activity score (NAS) according to the NASH  
9 Clinical Research Network scoring system.<sup>11</sup> The NAS score included three histologic  
10 features, including the presence of steatosis, lobular inflammation, as well as balloon-  
11 like hepatocytes. Liver fibrosis was staged from zero to four as follows: 0 = no  
12 fibrosis, 1 = perisinusoidal or portal fibrosis; 2 = perisinusoidal and portal/periportal  
13 fibrosis; 3 = bridging fibrosis; and 4 = highly suspicious or definite cirrhosis,  
14 respectively.<sup>12</sup>

15

#### 16 ***Serum cytokines***

17 Serum samples were collected from patients in the fasting state on the day of liver  
18 biopsy and stored at -80°C prior to testing. Serum cytokines were measured using the  
19 Bio-plex 200 system and matched kits (Bio-Rad, Hercules, California, USA). All  
20 measurements were performed only once. The intra- and inter-assay coefficients of  
21 variations were  $\leq 15\%$  and  $\leq 25\%$ , respectively.<sup>13</sup> Specifically, we measured the  
22 following serum cytokines: leukemia inhibitory factor (LIF), interleukin-1 $\beta$  (IL-1 $\beta$ ),

1 interleukin-1 receptor antagonist (IL-1RA), IL-6, IL-7, IL-8, IL-9, IL-13, IL-16, IL-18  
2 and interferon-inducible protein-10 (IP-10).

3

#### 4 ***Statistical analysis***

5 Continuous variables were expressed as means  $\pm$  SD or medians (Q1-Q3) and  
6 categorical variables were expressed as frequencies (%). Continuous variables were  
7 compared by the unpaired Student's *t* test (for variables normally distributed) or the  
8 Kruskal-Wallis test (for variables not normally distributed), whilst categorical  
9 variables were compared using the chi-square test. The association between HbA1c  
10 and features of liver histology was investigated with ordinal logistic regression  
11 analysis. Generalized additive models (GAM) and smooth curve fittings were used to  
12 identify non-linear relationship between HbA1c and increased fibrosis stage (stage F  
13  $\geq 3$ ).<sup>14,15</sup> Causal mediation analysis was also performed to explore the indirect effect of  
14 the relationship between HbA1c and liver fibrosis in NAFLD mediated by serum  
15 cytokines.<sup>16</sup> Analytical software used consisted of SPSS version 22.0 (SPSS), and  
16 R3.3.1 (R Advancement Core Group, <http://www.r-project.org>).

17

## 18 **Results**

### 19 ***Patients characteristics***

20 Of the 590 individuals with suspected NAFLD, who were initially enrolled in the  
21 study, we excluded 22 persons for the following reasons: 10 subjects for alcoholic  
22 fatty liver, 6 subjects for autoimmune hepatitis, 3 subjects for drug-induced liver

1 injury and 3 subjects for missing data. As a consequence, 568 individuals with  
2 biopsy-proven NAFLD were included in the final analysis. Our cohort was  
3 predominantly male (72.9%), with a mean age of 41 years; mean HbA1c of 6.3% and  
4 26.4% had pre-existing T2DM. **Table 1** shows a summary of patients'  
5 anthropometric, clinical, laboratory and histological characteristics.

6

### 7 ***Relationship between HbA1c and liver fibrosis stage***

8 We found that every 1% increase in HbA1c was associated with 16% higher odds of  
9 increased liver fibrosis (odds ratio 1.16, 95%CI 1.04-1.30), after adjustment for age,  
10 sex, body mass index, serum aminotransferases, lipids, insulin resistance, presence of  
11 T2DM and diabetes treatment by ordinal logistic regression.

12

13 Moreover, we found that there was a non-linear relationship between HbA1c and  
14 increased liver fibrosis (stage F  $\geq 3$ ) by GAM and smooth curve fittings after  
15 adjustment for the aforementioned covariates. In particular, a non-linear relationship  
16 was detected between HbA1c and increased liver fibrosis, whose HbA1c inflection  
17 point was 9.2% (77 mmol/mol). Interestingly, the ORs on the left and right sides of  
18 the inflection point were 2.1 (95% CI 1.4-3.3) and 0.1 (95% CI 0-4.8), respectively  
19 **(Figure 1A)**.

20

### 21 ***Mediating effect of cytokines***



1 We measured serum cytokine levels only in a subset of 310 individuals with biopsy-  
2 proven NAFLD (**Table 2**). We also performed a mediation analysis to disentangle the  
3 possible mediating role of leukemia inhibitory factor (LIF) in the HbA1c-the severity  
4 of liver fibrosis relationship. Interestingly, 7% of the association (OR 1.07, 95% CI  
5 1.01-1.12) between HbA1c and liver fibrosis was mediated by serum, while the  
6 remaining 9% (OR 1.09, 95% CI 1.03-1.84) was mediated by a direct effect of HbA1c  
7 on the risk of increased liver fibrosis (**Figure 1B**).

8

9 **Discussion**

10 In this cross-sectional study, we have scrutinized the association between the degree  
11 of glycemic control, as reflected by HbA1c levels, and the severity of hepatic fibrosis  
12 and found a non-linear association between HbA1c and severity of liver fibrosis  
13 (stage  $F \geq 3$ ) in a Chinese cohort of well-phenotyped individuals with biopsy-proven  
14 NAFLD. Our results show that serum LIF levels are a single mediating factor that  
15 contributes to the association between HbA1c and the severity of hepatic fibrosis.  
16 These findings corroborate and extend the results of a previous study by Alexopoulos  
17 et al. through mediating effect analysis and threshold effect analysis.<sup>3</sup> In particular,  
18 our study confirms glycemic control to be linearly associated with the severity of  
19 fibrosis. The OR value of our study was 1.16 (95%CI 1.04-1.30), and that of the  
20 Alexopoulos study was 1.15 (95%CI 1.01-1.31). However, further exploring the  
21 complex relationship between HbA1c and liver fibrosis by means of a mediation  
22 analysis of serum cytokines, we also found a non-linear association between HbA1c

1 and increased liver fibrosis. Specifically, we found that increasing serum LIF levels  
2 may play an intermediary role in the relationship between HbA1c and the severity of  
3 hepatic fibrosis. Liver fibrosis is a structural change defined by an accumulation of  
4 extracellular matrix proteins, such as collagen often triggered by chronic  
5 inflammation.<sup>17</sup> LIF is an IL-6 family member. Levels of LIF and IL-6 are both  
6 increased locally in adipose tissue and systemically in pre-clinical models and patients  
7 with obesity.<sup>18</sup> These cytokines are also associated closely with chronic inflammation,  
8 and LIF signals through its canonical receptor LIFR (LIFR gene) and co-receptor  
9 gp130 to activate the JAK/STAT inflammatory pathway.<sup>19</sup> Therefore, we reason that  
10 increased levels of LIF may affect liver fibrosis mainly through this inflammatory  
11 pathway.

12

13 Our study has some important limitations that should be mentioned. Firstly, our data  
14 are derived from a single-center study that includes Chinese individuals with baseline  
15 characteristics that may differ from other cohorts of different ethnicities. Secondly, the  
16 cross-sectional design of our study precludes us from any causal inference. Moreover,  
17 we cannot exclude the possibility of residual confounding or confounding by  
18 unmeasured or unknown factors. Thirdly, liver biopsy is not commonly used to  
19 diagnose all patients with NAFLD, and the sample size of our study was relatively small.  
20 Therefore, there might be a selection bias affecting our study results towards NAFLD  
21 patients with younger age, and those without T2DM. That said, further research is  
22 needed to decipher the existing but complex link between HbA1c and the risk of

1 NAFLD-related fibrosis progression.<sup>20,21</sup>

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22 **Table legends**



1 **Table 1.** Clinical, biochemical and liver histology characteristics of participants with  
2 biopsy-proven NAFLD.

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4 **Table 2.** Subgroup of 310 individuals with biopsy-proven NAFLD with available  
5 serum cytokine measurements.

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1 **Figure legend**

2 **Figure 1.** (A) Non-linear association between HbA1c and risk of having advanced  
3 fibrosis (defined as stage  $F \geq 3$  on liver histology); (B) Mediation analysis model of  
4 the association between the degree of glycemic control (as reflected by HbA1c levels)  
5 and the risk of having hepatic fibrosis (i.e., 1-increment between F0 and F4 stage),  
6 mediated by serum leukemia inhibitory factor (LIF) concentrations.

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