

The benefits of co-evolutionary Genetic Algorithms in voyage optimisation

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Abstract

Reducing emissions is of increasing global importance. Within shipping, the International Maritime Organization's regulations are putting pressure on companies to quickly reduce emissions. One solution is the optimisation of a ship's route where even comparatively small reductions, in the order of 5%, provide sizeable cost and environmental benefits. The most recent advances from the Evolutionary Computation field have not been benchmarked on this problem, especially the co-evolutionary algorithms that provide the widest diversity of search. This paper compares state-of-the-art algorithms on three case studies, to show the impact of algorithm selection on the fuel consumption and expected voyage time. Four state-of-the-art Genetic Algorithms are selected to represent the leading families of Genetic Algorithm. The co-evolutionary approaches are shown to have the top performance, with cMLSGA (co-evolutionary Multi-Level

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Selection Genetic Algorithm) showing top performance on all the problems with the greatest potential reductions in fuel usage, 7.6% on average over the state of the art, and voyage times, 8.4% on average over the state of the art.

Keywords: Genetic Algorithm, Ship weather routing, Voyage optimisation, Maritime transport, Speed optimisation

1. Requirements for Voyage Optimisation Software

Voyage optimisation provides an immediate reduction in ship emissions. These systems have been recognised to cut these emissions in the region of 5-10% (1), helping ship operators to meet the International Maritime Organization's (IMO) target of reducing carbon intensity 40% by 2030 and greenhouse gas emissions 50% by 2050. In the longer term these tools will help to reduce the cost of using sustainable fuels, which are predicted to be more expensive than marine diesel and therefore a reduction in operational costs becomes more critical in encouraging the use of these fuels. They will also enable just-in-time arrival of ships at ports, helping to reduce the large quantity of emissions produced while ships wait to load/unload.

Voyage optimisation software is based on environmental data, a ship performance model, the restrictions for sailing and the voyage optimisation algorithm. The optimisation algorithm is a key element, with the literature showing a significant difference in performance between different solvers. The problem is in the selection of the best algorithm, as a wide range of methods are available to find the most fuel efficient routes for ships. In addition, the proposed methods utilise either single objective or multi-objective optimisation problems, with

19 multi-objective optimisation becoming a more important factor from an indus-
20 trial context. Genetic Algorithms are increasingly the most used method in the
21 state-of-the-art to optimise the route and speed. However, the latest algorithms
22 are not compared and the importance of this selection is not highlighted in the
23 current literature.

24 **2. State-of-the-art of voyage optimisation**

25 From a methodological perspective, voyage optimisation algorithms can be
26 divided into two categories for route planning: specific optimisation algorithms
27 and general optimisation algorithms (2). Here, specific optimisation algorithms
28 are designed for routing optimisation, such as the Modified Isochrone method
29 (3) and the Isopone method (4). General optimisation algorithms are used to
30 solve a range of optimisation problems in which users define their own models
31 for specific problems and include methods such as dynamic programming and
32 genetic algorithms. The early literature related to voyage optimisation has a
33 focus on the specific optimisation algorithms and Dijkstra, whereas more recent
34 approaches are mainly heuristics (5) or hyperheuristics. This literature has been
35 reviewed in detail, (6) and (7) but it is difficult to draw conclusions about which
36 algorithms provide the lowest fuel consumption, without compromising on the
37 time of arrival.

38 Table 1 compares a number of these approaches, focusing on those that have
39 solved the problem before the start of the voyage, without dynamic updates,
40 and with a focus on a single arrival port. Isochrone and Isopone methods are

41 included as one column, where Isopone methods are shown to have a stronger
42 performance (8).

43 A* is a popular method method for current commercial Voyage Optimisation
44 Software, despite limited benchmarking in the open literature. A comparison
45 with the original Genetic Algorithm show similar results, although A* is selected
46 as the results from the Genetic Algorithm are considered to be less robust and
47 dependant on the initial population (9). However, more modern variants of
48 the Genetic Algorithm, defined in Wang and Sobey as NSGA-II onwards (10),
49 are more robust to the starting population. The problem with A* is that its
50 computational time increases exponentially with the number of grids (6). While
51 the benchmarking performed is on a reasonable length route, from Venezuela
52 to the English Channel, there are a number of longer routes where it may not
53 perform well and the increase in fidelity of weather data is increasing the number
54 of nodes between destinations.

55 The most successful algorithms are 3DDP (3D Dynamic Programming) and
56 Genetic Algorithms, where these approaches can be shown to outperform all
57 of the others. 3DDP has been shown to be the highest performing dynamic
58 programming approach, (11) and (8), showing a slightly better, but similar,
59 level of performance to NSGA-II. A number of different Genetic Algorithms
60 are used: especially SPEA, NSGA-II and the original Genetic Algorithm or
61 those with similar mechanisms to it, such as the modified distance GA (12)
62 or the Genetic Algorithm integrated with dynamic programming (13). The
63 original variants of the Genetic Algorithm have not been compared to modern

64 algorithms but various benchmarking exercises in other fields suggest that the
65 new algorithms will have a considerably stronger performance (14).

66 Despite the success of the Genetic Algorithm on voyage optimisation prob-
67 lems, a number of modern highly-performing approaches are yet to be consid-
68 ered. Four main branches are recognized in the current state-of-the-art, (14)
69 and (10): niching, decomposition, co-evolutionary and multi-level selection.

70 Niching is exemplified by the crowding mechanism based niching technique
71 found in the most popular Genetic Algorithm, NSGA-II (34), which uses non-
72 domination to select the fittest members of the population for reproduction.
73 This approach has been extended to problems with higher numbers of objec-
74 tives, 4+, through NSGA-III. U-NSGA-III (35) has been proposed as a sin-
75 gle unified evolutionary optimisation procedure that solves single-, multi- and
76 many-objective optimisation problems efficiently, eliminating the need to bench-
77 mark NSGA-II and NSGA-III separately.

78 Decomposition algorithms work by dividing the search space into multiple
79 subspaces and solving each of them separately. The most popular approach in
80 this family of algorithms is Multi-Objective Evolutionary Algorithm Based on
81 Decomposition (MOEA/D) (36). In the MOEA/D the multi-objective prob-
82 lem is decomposed into a predefined set of subproblems, by assigning a distinct
83 weight vector to each individual and utilising a scalarisation method for the
84 fitness calculation. The MOEA/D based methods have been shown to outper-
85 form niching and other decomposition methods on unconstrained and dynamic
86 functions by promoting convergence over diversity (37). However, as the vector

Table 1: Comparison of state-of-the-art voyage optimisation algorithms in chronological order, where x indicates the algorithms benchmarked in the paper, ✓ are the top performing algorithms, * indicates algorithms of a similar family with small adjustments, red columns are variants of Dynamic Programming, blue are Genetic Algorithms and grey represent other methods

Dijkstra	A*	Dynamic Programming	SPEA	NSGA-II	Original	PSO	DIRECT	Grid Search	Exact	Isochrone	Directed graph	Reference
x												(15)
x												(16)
			x									(17), (18), (19), (20)
		x										(21)
		✓	x	x		x						(11)
					x					x	x	(22)
							x					(23)
					✓*			x				(12)
							x	✓				(24)
				✓					x*			(25)
										x		(26)
					x							(27)
	x				x							(9)
		x										(28)
x		✓								x		(8)
				x								(29)
		x										(30)
					x							(31)
x												(32)
						x						(33)
					x*							(5)
x					✓*							(13)

87 approach is based on predefined reference points, these algorithms are less effec-
88 tive on constrained and discontinuous problems, due to the gaps on the search
89 and objective spaces, or where there is a lack of a priori knowledge about the
90 search space.

91 Co-evolutionary algorithms refer to an evolutionary algorithm based on the
92 concept of two, or more, species that's evolution are dependant on each other.
93 In these algorithms the individuals are evaluated based on their interaction with
94 other individuals. The top performing co-evolutionary algorithm is Hybrid Evo-
95 lutionary Immune Algorithm (HEIA) (38). In HEIA, two distinct evolutionary
96 strategies, SBX and DE, are used independently on different sub-populations,
97 instead of problem decomposition. It has shown high performance on discontin-
98 uous cases with a better spread of points along the Pareto optimal front than
99 other methods, indicating that the reproduction process has strong diversity
100 retention.

101 Multi-Level Selection (MLS) algorithms are based on the concept of selection
102 being based on a fitness evaluation at multiple levels, for example in humans it
103 might consider the fitness of an individual and the fitness of that individual's
104 social group (39). This results in a sub-population algorithm that incorpo-
105 rates an additional selection procedure at the group-level, in addition to the
106 individual level used in the standard Genetic Algorithm. In this case differ-
107 ent sub-populations are allowed to compete with each other for reproduction
108 and survival in a similar way to the individuals inside each group. This cre-
109 ates an additional evolutionary pressure that allows a wider exploration of the

110 search space by different sub-populations (40; 41). It is the only GA to pro-
111 mote a diversity-first and convergence-second approach. This strategy has been
112 combined with co-evolution, resulting in co-evolutionary Multi-Level Selection
113 Genetic Algorithm (cMLSGA) (14). It is the first algorithm to exhibit co-
114 evolutionary behaviour at the collective level, leading to the top general perfor-
115 mance, with particularly strong performance on discontinuous and constrained
116 problems, where diversity in the mechanisms is of importance.

117 As only niching, NSGA-II, is represented in the literature related to ship
118 routing, this paper benchmarks the state-of-the-art Genetic Algorithms. Four
119 GAs are selected to represent each of the major categories of Genetic Algorithms:
120 U-NSGA-III (35), MOEA/D (36), HEIA (38), and cMLSGA (42). SPEA2 is also
121 included due to its performance on voyage optimisation problems considering
122 the travelling salesman problem (43) and (44). Based on Table 1 U-NSGA-III
123 should have similar performance to NSGA-II and 3DDP, which show the top
124 performance in the current literature and it's performance is used as a proxy
125 for the performance of the current top performing algorithms.

126 **3. Description of the Voyage Optimisation Software**

127 Typically the ship-routing problem is developed as a minimisation of the fuel
128 consumption and voyage time, while maximising the voyage safety. Emission
129 reduction is often considered to be a natural consequence from reduction of the
130 fuel consumption, as is the cost. A three objective minimisation problem is
131 considered: fuel consumption, voyage time and voyage distance. The voyage

132 time objective is to minimise the time for the voyage, with the Pareto Front
133 finding a range of possible journey arrival times, from which the closest route to
134 the preferred arrival time can be selected for the voyage. The objective for the
135 voyage distance has been added, due to its positive impact on the effectiveness
136 of all of the tested algorithms in pre-benchmarking. This is despite the direct
137 dependency of the other two objectives on it and that it is not an objective
138 of interest. Its inclusion leads to more “realistic” routes, where changes in the
139 ship’s course do not occur as often as in the two-objective pre-benchmarks. This
140 is important, especially during open-sea sailing, where a ship’s captain prefers
141 to maintain a stable course instead of adjusting it every few miles. Safety is
142 maintained by a set of constraints, to reduce the search space only on those
143 routes deemed safe and allowing a binary definition of safety. For comparative
144 purposes, all of the selected genetic algorithms have been incorporated into the
145 T-VOS © engine. It was selected, as it allows different genetic algorithms to
146 be incorporated as solvers, while benefiting from a high resolution of met-ocean
147 data and a range of safety parameters.

148 *3.1. Route representation*

149 Voyage optimisation begins by discretizing the ship’s possible sailing area
150 into a mesh of 250 nodes. The mesh is developed around a predefined, first-
151 order approximation of the route¹ in three steps:

¹First order approximations are included in the data supplement for benchmarking:
<http://dx.doi.org/10.17632/ssdbwvsrm9.2>

- 152 • The nodes are generated by solving the spherical triangle problem, while
153 maintaining a similar distance between neighbouring nodes. In this study
154 the target number of nodes in the first order approximation is set to 250
155 for each case. The resulting waypoints are, on average, 42.36km apart for
156 the Dalian to San Francisco voyage, 43.92km apart for the Southampton
157 to Karachi voyage and 27.6km for New York to Oslo voyage.
- 158 • Developing a minimum and maximum boundary for each node with a
159 maximum allowed spread of 10 degrees in each direction.
- 160 • Reducing the size of the minimum and maximum boundary for the first
161 and last 10% of nodes, so that the mesh size gradually “develops” from
162 the starting point, and reduces near the destination. This reduces the
163 computational effort and produces more feasible routes.

164 A separate parameter is maintained defining the ship’s speed between nodes.
165 Speed is changed every 5 waypoints, while remaining constant in between. This
166 allows more realistic voyages, as it is unlikely that the ship’s speed would be
167 adjusted regularly. A high-fidelity hindcast met-ocean data model taken from
168 HYCOM (HYbrid Coordinate Ocean Model), with a resolution of 0.08° for
169 ocean currents and 0.25° for wind and waves taken from NOAA GFS for global
170 and WRF for regional modelling, is used to simulate the actual weather-related
171 updates². This data is taken for a period starting on the 13th August 2018 for

²The weather data is available as a supplement for benchmarking:
<http://dx.doi.org/10.17632/ssdbwvsrm9.2>

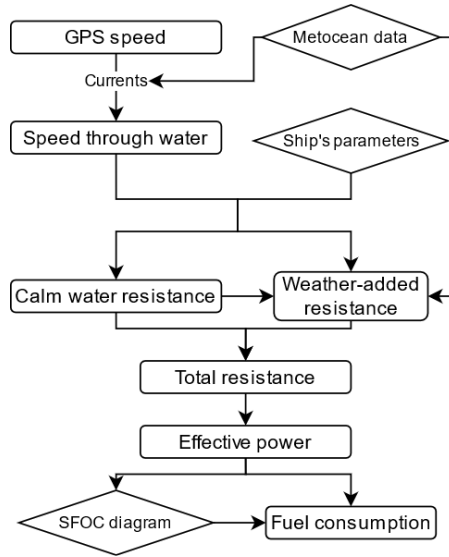


Figure 1: Fuel consumption calculation based on ISO15016:2015 (45)

172 each of the 3 voyages.”

173 As the node grid is a different shape to the met-ocean grid, the route between
 174 each node is split into a set of sub-routes using linear interpolation, that matches
 175 to the met-ocean grid to calculate the fuel consumption. This provides the
 176 benefits from the high-fidelity met-ocean data, increasing the accuracy of the
 177 route-planning, while maintaining reasonable computational times.

178 3.2. Fuel consumption

179 To predict the fuel consumption the ISO15016:2015 (45) procedure is used,
 180 presented in Fig. 1, which is commonly used for this purpose.

181 The main components in evaluating the fuel consumption are: the speed
 182 of the ship through water, met-ocean conditions, resistance calculation model
 183 and ship-model. The speed through water was calculated by subtracting the

184 speed of the currents from a ship's GPS speed. The calm water resistance
185 was calculated using Holtrop and Mennen (46) with weather added resistance
186 provided by the Kwon and Townsin (47) empirical formulas, which were derived
187 from a large number of experimental data. The Kwon and Townsin model
188 accounts for the wave and wind conditions through their impact on the ship's
189 resistance and therefore the required engine's power. The current is used to
190 calculate the speed through the water from the speed over the ground (GPS
191 speed), which is used in the Kwon and Townsin model to calculate the power.
192 The fuel consumption was calculated from the effective power, based on the
193 ship-specific fuel oil consumption curve (SFOC), and voyage time. A 2800TEU
194 container ship, taken from (48), is investigated, with ship's parameters detailed
195 in Table 2. A maximum speed of 20 knots and minimum speed of 14 knots are
196 assumed for the vessel, based on its size.

197 *3.3. Safety and voyage constraints*

198 To ensure that the route reduces the potential for grounding, larger land
199 masses are removed from the pool of potential nodes during the mesh genera-
200 tion process. This is done using the difference between the ocean depth, from
201 bathymetry data (49), and the draft of the ship, with an under keel clearance of
202 1.5 times the draft. This eliminates the infeasible sub-paths/edges and improves
203 the efficiency of the optimisation process. Here, high-fidelity bathymetry data,
204 with a resolution of 0.0045° taken from SRTM, is used to ensure safe under keel
205 clearance during the voyage. A number of additional constraints are introduced
206 in order to maintain ship's safety:

Table 2: Main particulars of the 2800TEU container ship

Ship particular	Value
Min speed	14 <i>kn</i>
Max speed	20 <i>kn</i>
Length	232 <i>m</i>
Beam	32.2 <i>m</i>
Depth	19 <i>m</i>
Draft	10.78 <i>m</i>
Block coefficient	0.685
Midship coefficient	0.98
Waterplane coefficient	0.75
Deadweight	40900 <i>t</i>

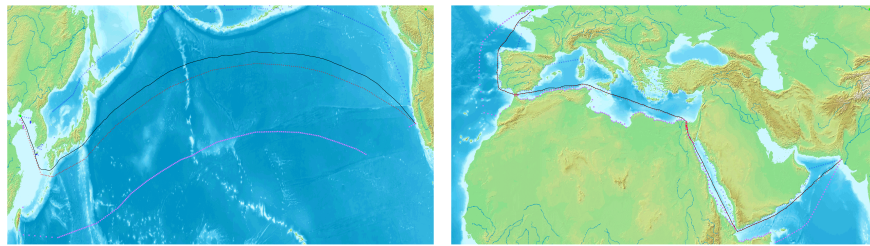
- 207 • the maximum allowed wind speed and wave heights are 20 m/s wind speed
208 for all directions; 6m for head waves; 5m for following waves and 4m for
209 beam waves.
- 210 • The maximum turning angle of the vessel at a single node is 20 degrees.
- 211 • The engine power can not exceed 90% of the maximum continuous rating.
- 212 • Route-specific traffic separation schemes are implemented by constraining
213 the speed or allowable direction at each node in that region e.g. for Suez
214 Canal and Gibraltar strait, taken from the IMO's Ship Routeing guidance
215 (50)

216 **4. Experimental plan**

217 *4.1. Voyage definitions*

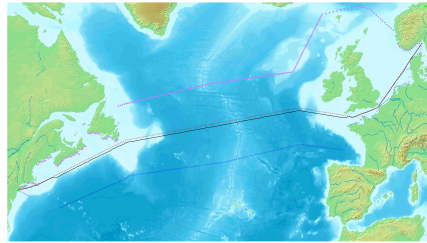
218 Three exemplar voyages are selected for this study, and illustrated in figure
219 2:

- 220 • Voyage 1: Dalian to San Francisco, as a long route with a large number
221 of nodes.
- 222 • Voyage 2: Southampton to Karachi via the Suez Canal as a route incor-
223 porating restrictions and traffic separation schemes.
- 224 • Voyage 3: New York to Oslo, which includes a bifurcation of the voyage,
225 where the ships can take a northern route around the United Kingdom,
226 and a southern route via the Channel.



(a) Voyage 1 - Dalian to San Francisco

(b) Voyage 2 - Southampton to Karachi



(c) Voyage 3 - New York to Oslo

Figure 2: Routes representing different voyage optimisation challenges used to benchmark the algorithms; the purple and blue dotted lines represent the boundaries of the mesh and the red dotted line represents the first order approximation of the route

227 The Traffic Separation Schemes included in the routes are: route 1: N/A,
228 route 2: the Suez Canal and the Strait of Gibraltar and route 3: the Dover
229 Straits. The Suez Canal has a speed limit of 7 knots, the Strait of Gibraltar has
230 a speed limit of 13 knots and there are no speed restrictions through the Dover
231 Strait. The weather on route 1 was the worst throughout the voyage from all of
232 the evaluated scenarios. There are two hurricanes, one of these starts South of
233 Japan and moves directly North, dissipating over the Japanese mainland and a
234 second which starts in the East China Sea and moves North, dissipating over the
235 South Korean Mainland. There are also high sea states over the main Pacific
236 Ocean where the wind is blowing North/North-East. These are situated in the
237 middle of the Pacific and which are level with the bottom of Korea, stretching
238 North and East into the Arctic circle. The other routes have fair weather during
239 the simulated journeys. More details of the weather are available in the data
240 attachment.

241 *4.2. Benchmarking methodology*

242 The population size was set to 1500 as the best value for all of the algorithms,
243 after testing in a range of values from 600 to 2000. The maximum number of
244 fitness function evaluations was set to 500,000 and each simulation was repeated
245 over 10 independent runs for each route. The algorithms³ are all used with the
246 hyperparameters documented in the original papers, shown in Table 3. cMLSGA

³The source code for the Genetic Algorithms utilised is in C++ and can be found here:
<https://github.com/pag1c18/cMLSGA>.

247 has 1 collective replaced every 5 generations.

Table 3: Hyperparamters for the different Genetic Algorithms

Hyperparameters	cMLSGA	NSGA-II	MOEA/D	HEIA	SPEA2
Crossover type	SBX	SBX	DE	SBX and DE	SBX
Crossover rate	1				
Mutation type	polynomial mutation				
Mutation rate	0.003333				
Algorithm Specific	collectives= 6	$\eta = 20$	F = 0.5,	$\eta = 20$,	$\eta = 20$
	$\eta = 20$,		CR = 1	F = 0.5,	
	F =0.5, CR = 1			CR = 1	

248 The performance was evaluated based on the mimicked Inverted Genera-
249 tional Distance (mIGD) (51) and mimicked Hyper Volume (mHV) (52). mIGD
250 is the average value of the minimum distance between uniform points on the
251 Pareto Optimal Front and the non-dominated solution set. Lower values of
252 mIGD emphasise better performance, focusing on the convergence of solutions,
253 and is calculated according to (51). mHV is the measure of the volume of the
254 objective space solutions that is dominated by the set of solutions, where bigger
255 values indicate better performance, emphasizing a higher diversity of solutions
256 on the Pareto Optimal Front. Here, the mHV metric is calculated according to
257 (52), which provides the fastest and most widely used method for this calcula-
258 tion. Since the global Pareto Optimal Fronts are not known for the presented
259 case studies, this front is approximated by non-dominated sorting of all of the

260 Pareto Optimal Fronts achieved from all of the separate tests, resulting in 653
261 points for voyage 1, 896 points for voyage 2 and 1001 points for voyage 3.

262 **5. Benchmarking of the performance**

263 The five algorithms are compared to generate optimum routes for the three
264 case studies. The resulting performance measures for the investigated voyages
265 are listed in Table 4. The lowest distance is included in the optimisation as
266 it improves the results for the other 2 variables, but is not included in the
267 discussion as it is not considered to be a useful characteristic, reducing the 3
268 dimensional Pareto Sets to 2 dimensional Pareto Fronts.

269 In all three cases cMLSGA shows the top performance in terms of reducing
270 the fuel consumption and finds the route with the lowest travel time. This
271 is followed by HEIA, MOEA/D, SPEA2 and U-NSGA-III for voyages 1 and 2
272 with MOEA/D finishing last for voyage 3. For voyage 1, the difference in the
273 fuel consumption between cMLSGA and the next best performer, HEIA, is not
274 significant, despite the length of the voyage. However, the results are significant
275 for Voyages 2 and 3 with voyage 2 giving a difference of 17 tonnes and for voyage
276 3 a difference of 4.8 tonnes. When compared to the worst performer, U-NSGA-
277 III, which approximates the strongest performance of the methods summarised
278 in Table 1, this difference is more substantial. In all of these cases the difference
279 is significant with voyage 1 showing a difference of 12.9 tonnes, voyage 2, 39.6
280 tonnes, and voyage 3, 12.2 tonnes. The top performing algorithms in each case
281 are those with co-evolutionary elements, showing the importance of diversity of

Table 4: Performance measures of the optimized routes where low values of IGD and high values of HV indicate stronger Pareto Fronts

Voyage	GA	IGD	HV	Most fuel		Most time	
				efficient route		efficient route	
name				Fuel	Time	Fuel	Time
				(tonnes)	(hrs)	(tonnes)	(hrs)
Voyage 1	cMLSGA	6.2*	0.041*	258.5	467.4	345.5	393.8
	HEIA	8.9	0.037*	258.6*	469.8	345.0	393.9*
	MOEA/D	9.2*	0.032	264.1*	464.3	333.5	400.8
	SPEA2	17.7	0.032	270.7	454.8	305.2	420.4
	UNSGA-III	14.8*	0.032	271.4	456.2	314.4	414.6*
Voyage 2	cMLSGA	14.2*	0.008*	313.0*	423.0	796.0	297.9*
	HEIA	17.5*	0.005	322.4*	422.6	807.4	300.8*
	MOEA/D	40.7*	0.007*	330.0	417.8	521.2	337.5*
	SPEA2	114.4	0.004	333.3*	405.6	520.9	333.8
	UNSGA-III	51.3*	0.006	352.6	398.3	488.2	345.1
Voyage 3	cMLSGA	4.2*	0.043*	164.1*	319.8	215.3	255.3*
	HEIA	8.5*	0.039*	168.9*	305.4	212.0	260.0*
	SPEA2	10.7*	0.011	174.5	300.1	197.5	270.9*
	UNSGA-III	12.1	0.032*	176.3	299.4	197.5	273.5
	MOEA/D	7.2	0.018*	179.2	288.7	205.6	262.6*

* indicates that the results are significantly better than the next score, using Wilcoxon's rank sum test with a significance level of $\alpha = 0.05$. Where green boxes are algorithms with co-evolutionary elements and the grey boxes show U-NSGA-III which acts as a proxy for the performance of algorithms used in the previous literature

282 search.

283 Figures representing the median Pareto Optimal Front achieved by each
284 algorithm for all the case studies are shown in Figs. 3, 4 and 5.

285 When comparing Pareto Optimal Fronts for the Dalian to San Francisco
286 route then U-NSGA-III and SPEA2 show narrow fronts, that do not contain
287 the range of results obtained by the other algorithms. MOEA/D finds a wider
288 range of points than these two algorithms and a complete range of points along
289 the front. The front for HEIA is much more discontinuous, including two points
290 with high time of arrivals that are not on the fronts for the other algorithms,
291 likely showing that the algorithm has not yet converged in this area. cMLSGA
292 shows a more jagged front than MOEA/D but with a wider range of points, that
293 provides a greater selection of routes on the time to arrival side but that do not
294 provide substantial benefits in fuel over HEIA. The Pareto Front looks more
295 resolved in this case than HEIA in the centre, with the results demonstrating
296 that this is a difficult problem to completely resolve in the number of function
297 calls available and showing that the results of generally higher interest, the
298 reduction in fuel consumption, are harder to find.

299 A similar behaviour is shown for voyage 2, between Southampton and Karachi.
300 In this case the shortest front is U-NSGA-III, which also has a shift to the high
301 fuel consumption/low travel time results and shows that the results have not
302 converged. MOEA/D shows a shorter front, but well resolved, with a few points
303 found at the extreme values. HEIA and cMLSGA have incomplete values along
304 the fronts, but with a much wider spread. These have a higher diversity of

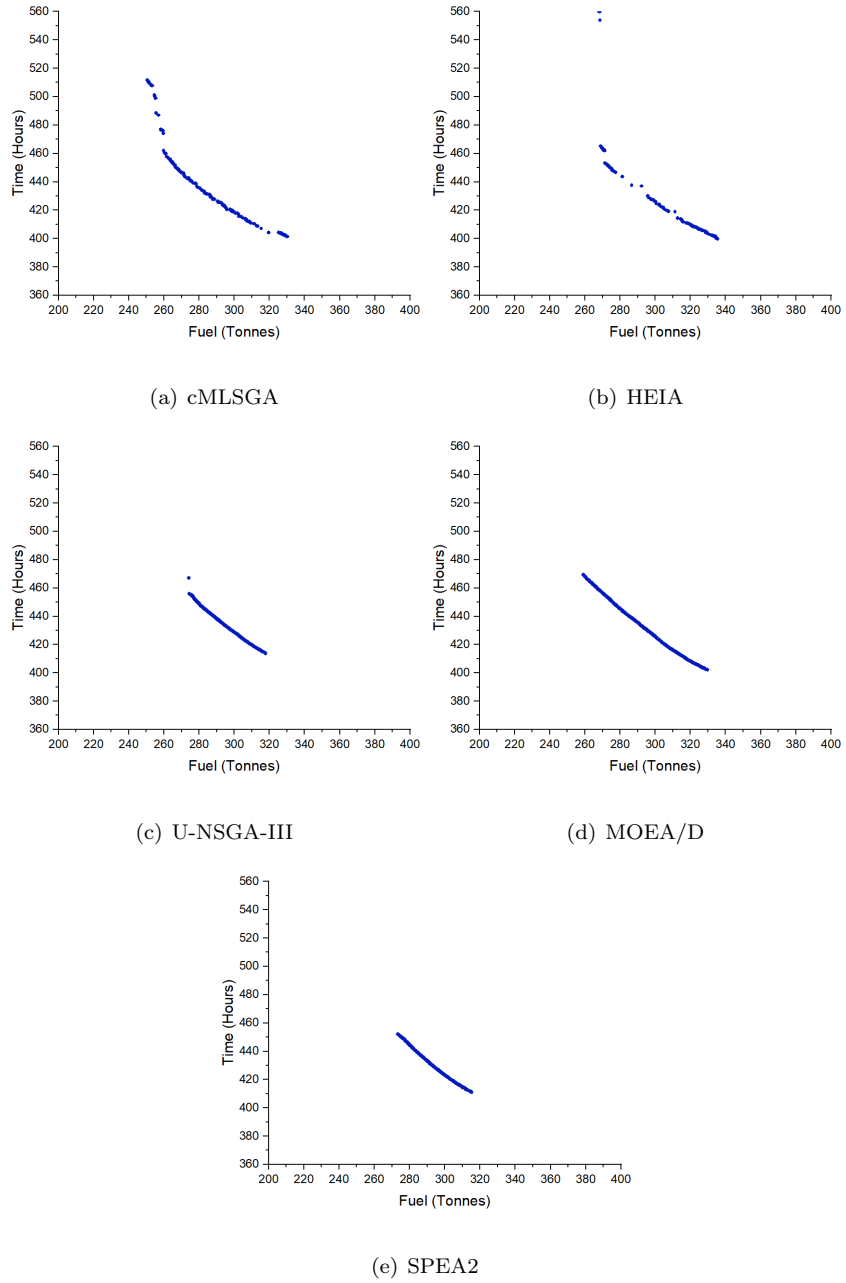


Figure 3: Pareto Optimal Fronts for minimum fuel consumption vs estimated time of arrival in Voyage 1

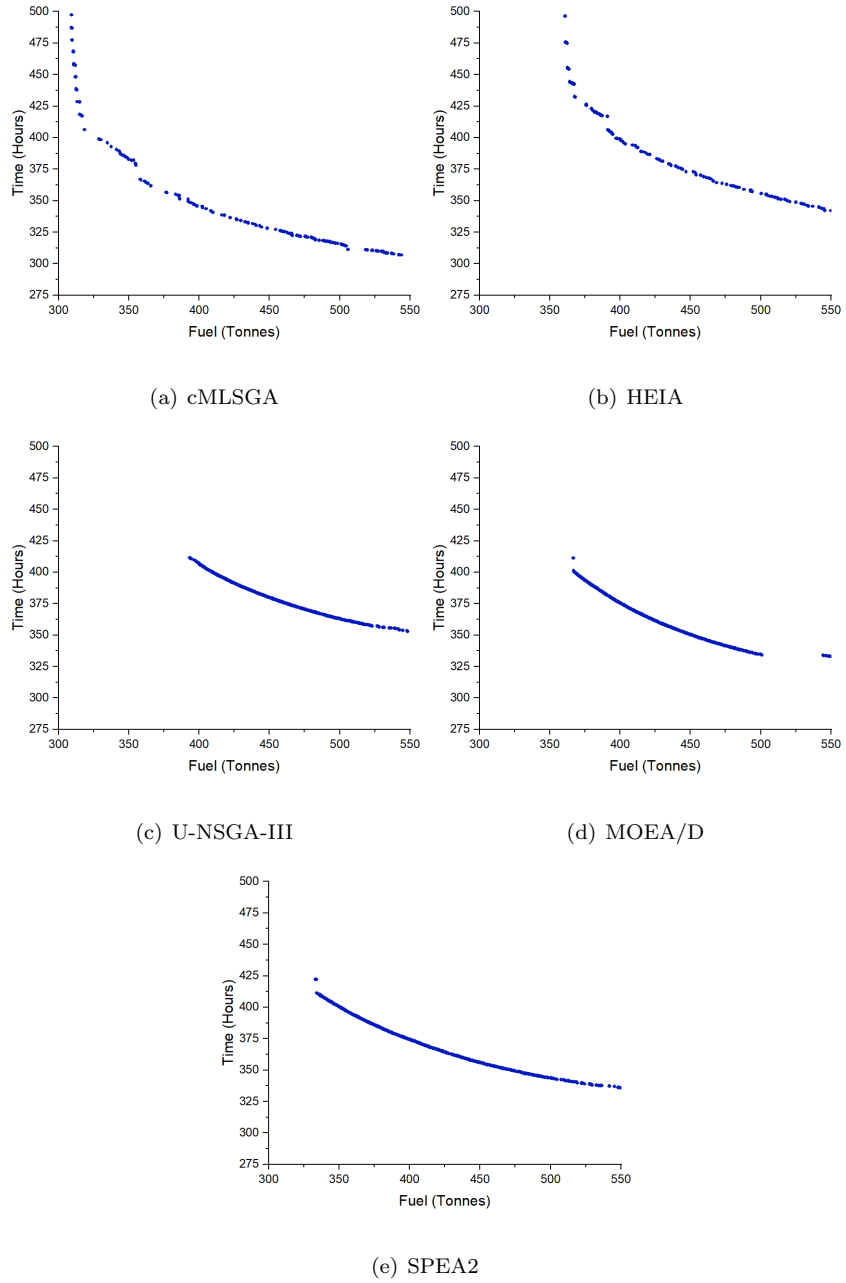


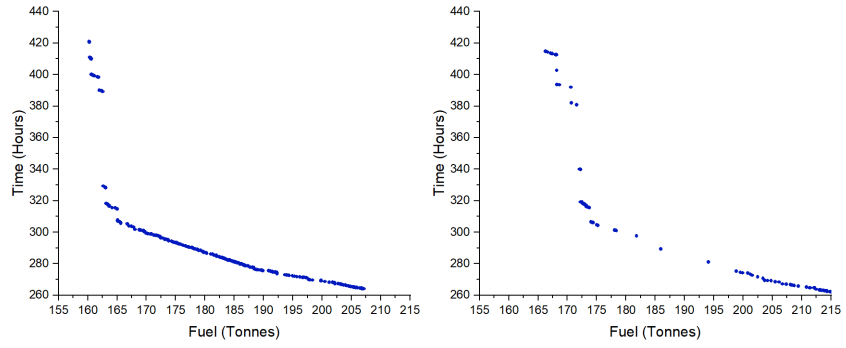
Figure 4: Pareto Optimal Fronts for minimum fuel consumption vs estimated time of arrival in Voyage 2

305 solutions but without having found all of the solutions in the middle area. This
306 provides a considerably higher number of low fuel options compared to the other
307 algorithms. HEIA in this case has not converged on the final solution and more
308 runs will be required to find the optimal solutions in the cMLSGA Pareto Front.
309 In this case many of the SPEA2 results might provide more realistic solutions
310 than HEIA, despite not providing the lowest fuel consumption.

311 The Pareto Fronts for voyage 3, New York to Oslo, also show a similar trend
312 to that for voyages 1 and 2, with U-NSGA-III, MOEA/D and SPEA2 showing
313 shorter fronts and a focus on higher fuel/short time solutions. In this case the
314 front for HEIA is sparse, with a number of points missing along the length, but
315 with a wide range of results at both ends, demonstrating solutions with low fuel
316 consumption and early arrival times. However, in these cases the results with
317 the lowest fuel consumption have a considerable increase in time of arrival and
318 it may be that the extreme fuel reduction adds too much additional time for a
319 realistic voyage. cMLSGA is the only algorithm to provide a range of solutions
320 that are close to optimal and that can be used to balance between arrival time
321 and fuel cost.

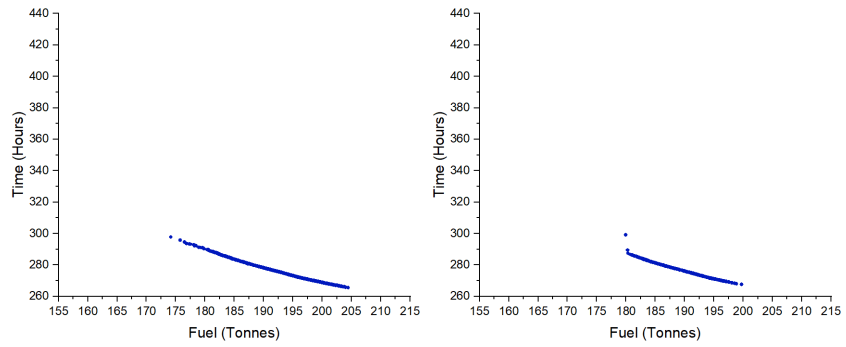
322 **6. Discussion**

323 The results show that the selection of the optimisation algorithm is impor-
324 tant. Small improvements in fuel reduction provide substantial cost savings and
325 help to reduce green house gas emissions. The previous literature demonstrates
326 a move towards the Genetic Algorithm to solve this problem, with NSGA-II



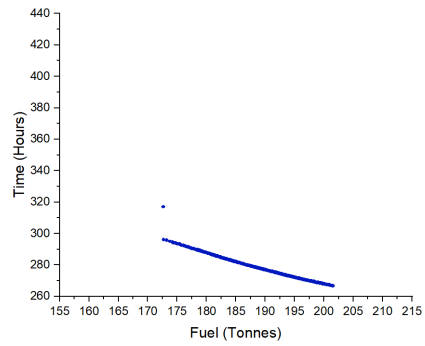
(a) cMLSGA

(b) HEIA



(c) U-NSGA-III

(d) MOEA/D



(e) SPEA2

Figure 5: Pareto Optimal Fronts for minimum fuel consumption vs estimated time of arrival in Voyage 3.

327 providing high performance, roughly equivalent to that of 3DDP. Testing of
328 more recently developed algorithms shows that the co-evolutionary approaches
329 demonstrate a clear improvement over the rest of the literature. The ability to
330 have different algorithms searching different parts of the search space provides
331 a diversity of search that is important in finding the extreme values. This is
332 increased in cMLSGA through the use of collectives that can spread even more
333 widely across the search space. These algorithms not only provide the best re-
334 sults, but they also provide a range of results that will become more important
335 as Just-In-Time arrival becomes more prevalent. It indicates that for future
336 applications the selection of the algorithm is likely to be even more critical. A
337 high diversity of search provides a range of profiles that can be selected from,
338 allowing selection of the one that is most beneficial for current ship operations.
339 As we move towards just-in-time arrival, where the port specifies a time of ar-
340 rival for the ship's captain to arrive and load/unload immediately, a range of
341 results becomes more important. This is to allow the flexibility to determine
342 what times will be possible and change route immediately to match changes in
343 the arrival. The result should be slower steaming and reduced emissions.

344 An area of difficulty in benchmarking is the range of different algorithms
345 that have been tested across a wide range of different problem types, with
346 varying levels of realism, making a fair comparison difficult. However, deter-
347 mining the difference in performance of the top performing algorithms is shown
348 to be significant. It is hoped that the open sourcing of the problems used for
349 this benchmarking, with the relevant weather data, will provide some initial

350 benchmarking problems for the community. These can be used in the future to
351 directly compare to the results documented here and to grow a set of problems
352 where high performance on the problem set will reflect high performance in the
353 real-world, focusing the literature on the top algorithms ⁴.

354 An area that is not considered within the paper explicitly is the run time
355 for the algorithms. Time dependant results are notoriously difficult to provide
356 fairly, with the results being dependant on the implementation and the users
357 computer. In this benchmarking the code bases are taken from the original de-
358 velopers of the algorithm, in the hope that this provides a reasonably optimised
359 version, and run on the same desktop computer. In this case cMLSGA provides
360 the fastest simulation times, with a mean value of 3 times faster resolution of
361 the function calls than the other algorithms (in the range of 5-15mins), ranging
362 from 2.6 times faster compared to SPEA2 on voyage 2 to 4.0 times faster for
363 HEIA on voyage 1. In addition, there are elements of engine performance that
364 are required to provide more realism, such as the inclusion of fouling, which will
365 provide more accurate assessments of the fuel used in transit.

366 **7. Conclusion**

367 Voyage optimisation solutions are reported to reduce fuel consumption and
368 greenhouse gas emissions up to 10-15%. However, it provides a complicated
369 optimisation problem and the number of algorithms that have been tested is
370 large. To see the full benefits of the approach requires benchmarking of the top

⁴Link to the dataset: <http://dx.doi.org/10.17632/ssdbwvsrcm9.1>

371 available algorithms. The use of Genetic Algorithms to solve these problems is
372 common but the current literature does not consider the state-of-the-art from
373 Evolutionary Computation, especially co-evolutionary algorithms, which exhibit
374 a high diversity of search. In this paper it is shown that these co-evolutionary
375 approaches outperform the rest of the state-of-the-art, and the top performing
376 algorithm is cMLSGA. In addition the benefits of the diversity of search are that
377 a range of solutions are produced that will empower changes in ship operations,
378 including Just-In-Time arrival. However, for these applications the selection
379 of the algorithm is shown to be even more important. The top performing
380 algorithm can result in a considerable difference in fuel savings, with a 7.6%
381 improvement over algorithms previously tested in the literature.

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