

1 **Parental preconception BMI trajectories from childhood to adolescence and asthma in the**
2 **future offspring**

3

4 **Abstract**

5 Background: Recent evidence suggests that parental exposures before conception can
6 increase the risk of asthma in offspring.

7 Objective: We investigated the association between parental preconception Body Mass Index
8 (BMI) trajectories from childhood to adolescence and subsequent risk of asthma in their
9 offspring.

10 Methods: Using group-based trajectory modeling from the Tasmanian Longitudinal Health
11 Study (TAHS), we identified BMI trajectories for index participants (parents) when aged 4 to
12 15 years. Multinomial regression models adjusted for potential confounders were utilized to
13 estimate the association between these early-life parental BMI trajectories and asthma
14 phenotypes in their subsequent offspring.

15 Results: The main analysis included 1822 parents and 4208 offspring. Four BMI trajectories
16 from age 4 to 15 years were identified as the best fitting model: “low” (8.8%); “normal”
17 (44.1%); “above normal” (40.2%); and “high” (7.0%). Associations were observed between
18 father’s “high” BMI trajectory and risk of asthma in offspring before the age of 10 years
19 (RRR=1.70, 95%CI 0.98, 2.93) and also asthma ever (RRR=1.72, 95%CI 1.00, 2.97), especially
20 allergic asthma ever (RRR=2.05, 95%CI 1.12, 3.72). These associations were not mediated by
21 offspring birth weight. No associations were observed for maternal BMI trajectories and
22 offspring asthma phenotypes.

23 Conclusion: This cohort study over six decades of life and across two generations suggests
24 that the “high BMI” trajectory in fathers, well before conception, increased the risk of asthma
25 in their offspring.

26

27 Keywords: Body Mass Index, preconception, intergenerational, transgenerational,
28 epigenetics, asthma

29

30 Abbreviations used:

31 BMI: Body mass index, GBTM: Group-based trajectory modeling, TAHS: Tasmanian
32 longitudinal health study, SNPs: Single nucleotide polymorphisms, ROS: Reactive Oxygen
33 Species, BIC: Bayesian Information Criteria, IOTF: International Obesity Task Force

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36 Capsule summary: Early onset and persistently high BMI in boys until puberty is associated
37 with increased risk of asthma in their offspring.

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40 Clinical Implications:

- 41 • “High BMI” trajectory among fathers was associated with increased risk of asthma in
42 their offspring, and such association was not evident in mothers. Therefore, in males the risk
43 in relation to the persistently high BMI trajectory may be targeted in early childhood rather
44 than at puberty to reduce the risk of asthma in the next generation.

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68 **Introduction**

69 There is increasing interest in understanding how parental health and lifestyle well before
70 conception may impact on asthma in the offspring and subsequent generations. However,
71 few epidemiological studies have been conducted of preconception exposures and asthma
72 risk and asthma phenotypes in the next generation. One study found that a mother's
73 exposure to smoking whilst she herself was *in utero* or being born to a father who started to
74 smoke during puberty was associated with offspring asthma¹. In contrast, being born to a
75 mother who began to smoke during puberty was not associated with offspring asthma². In a
76 recent study, the role of father's prepubertal smoking onset was further supported by the
77 impact on offspring lung function³. The biological plausibility of influence of pubertal
78 exposures, specifically in the male line, might be explained by germ cell epigenetic
79 mechanisms, where changes in germline epigenetic marks before conception can be
80 transmitted across generations⁴. In females, all primary oocytes for her lifetime exist before
81 birth or shortly after birth. However, the spermatogonia reserve germ cells in males do not
82 undergo spermatogenesis until puberty,⁵ and are thus open to prepubertal epigenetic
83 modification. Therefore, adverse exposures to grandmothers during pregnancy of the future
84 mother, or adverse exposures before puberty in future fathers may affect changes in
85 gametes' genetic information that could be transmitted to the next generation⁶.

86
87 Previous research has found that impaired spermatogenesis related to obesity is associated
88 with stem cell epigenetic alterations, suggesting that epigenetic pathways of obesity and
89 sperm quality could be transgenerational, thereby impacting offspring health⁷. Furthermore,
90 a recent human study across two generations found that onset of overweight during puberty
91 in fathers, but not in mothers, was significantly associated with an increased risk of non-
92 allergic asthma, but not allergic asthma in their offspring⁸. However, in this study, the
93 definition of pubertal overweight was based on recalled body silhouettes affected by a risk of
94 confounding by misclassification of this exposure status. Thus, it is imperative to address this

95 potentially important topic in a cohort with lifetime longitudinal data on parental overweight
96 from childhood to adolescence⁸. Furthermore, considerations of both onset as well as the
97 progression of obesity (i.e., prospective trajectories of obesity) might provide a more accurate
98 picture of how early-life weight changes affect future generations, compared to data on single
99 time points over an individual's life course.

100 To overcome some of the limitations of commonly used methods to assess BMI over time
101 group-based trajectory modeling (GBTM) has been used to track patterns of BMI through
102 childhood and adolescence. This approach essentially incorporates latent class random
103 effects analysis of growth trends and in doing so captures both individual's variation and
104 modeling of the mean growth curves for each class⁹.

105

106 We hypothesized that investigating such BMI trajectories through childhood and adolescence
107 before future conception of offspring would provide more accurate insights than currently
108 available into the influence of parental BMI on asthma in the next generation. Therefore, this
109 study aimed to investigate the association between such preconception BMI trajectories in
110 parents and risk of asthma of different phenotypes in their offspring and determine whether
111 these associations differed by parental sex.

112

113 **Methods**

114 The study sample for this analysis included parents (original participants) and their offspring
115 in the 53 year follow up of the Tasmanian Longitudinal Health Study (TAHS)¹⁰⁻¹². Information
116 regarding TAHS and its follow ups are described in Appendix I.

117

118 All the participants of the 53 year follow up (hereafter known as 'parents [mother and
119 father]') were asked to provide information about their offspring (hereafter known as
120 'offspring') (Figure 1). Of the 3609 parents, 2556 provided information about their offspring
121 including their asthma and allergy status. Parental reporting of offspring asthma was
122 previously validated and the agreement was good¹³. The 2556 parents had 6,148 offspring
123 (Figure 1), and the mean age of the latter was 23.4 (± 6 , range 0.5-38.9) years at the time of
124 assessment. Hereafter, the parents of the original TAHS participants are known as
125 "grandparents".

126

127 Parents' childhood and adolescent height and weight data were obtained from school medical
128 records available in Tasmania. Information related to general health was reported in the
129 school medical records as a routine practice. In this cohort, school medical records were
130 available for only one parent of the offspring, that is, the original participants of the TAHS.
131 The BMI data for the other parent were not available for analysis. We selected 15 years as
132 the upper limit of parent age to calculate BMI, since the mean age of boys to complete
133 puberty is 14.5 years and the environment might influence spermatogonia (the stem cell for
134 spermatozoa development) throughout puberty after the process has commenced². Previous
135 studies investigating environmental exposures before puberty have similarly used the age 15
136 cut-off^{2, 8}.

137

138 *Offspring asthma*

139 Parents reported information was used to define presence and onset of offspring asthma
140 using the questions, "Did this child have asthma before the age of ten years?" and "Did this
141 child have asthma after the age of ten years?". "Ever asthma" was defined by a positive
142 response to either of the two questions. The variable, "Asthma before and after 10 years"
143 was created to have three categories: no asthma, asthma before 10 years and asthma after
144 10 years. "Ever asthma" was defined as either asthma before or after 10 years. "Ever allergic
145 asthma" was defined as asthma plus eczema or allergic rhinitis ever¹⁴. Offspring who were
146 <10 years old at the most recent TAHS follow up (53 years follow up) were excluded when
147 classifying parent reported asthma in offspring before the age of 10 years. The reason for this
148 exclusion was that these offspring <10 years still have a chance to develop asthma and could
149 not be included in the definition: "offspring asthma before the age of 10 years".

150

151 *Statistical analysis*

152 BMI of parents for each age (from age 4 to 15 years) was calculated and standardized to the
153 reference population for the child's age and sex with the use of UK reference growth charts
154 using the STATA statistical package. Given not all had height and weight data for all time
155 points (i.e., 4-15 years), BMI z-score values were combined into three groups ("early
156 childhood": 4-6 years; "late childhood": 9-10 years; "adolescence": 14-15 years) in order to
157 allow enough age points to be used in the trajectory modeling (Table S1). However, some of
158 the age points (e.g., 7, 8, 9, 12 and 13 years) did not have sufficient BMI data to be included

159 in the trajectory modeling and were excluded from the analysis. Of the BMI data available,
160 3916 parents (of the 8583 TAHS original participants) had BMI data at all three age groups
161 (Table S2). Availability of mother's and father's BMI data separately for ages 4-15 years is
162 provided in Table S3.

163

164 *Group-based trajectory modeling*

165 The group-based trajectory modelling (GBTM) technique was used to identify distinct
166 subgroups of individuals whose BMI z-score measurements showed a similar pattern over
167 time. A finite set of unique polynomial functions, each corresponding to a discrete trajectory,
168 was modeled by the GBTM¹⁵. Details of GBTM are given in Appendix II.

169

170 *Parent BMI trajectories and offspring asthma*

171 In the main analysis, we examined the association between BMI trajectory group variable
172 (categorical variable) as the exposure and asthma variables as outcome variables using
173 regression models. The "Normal BMI" trajectory group (Figure 1) was considered as the
174 reference group in each model. The association between BMI trajectories and binary outcome
175 variable "Ever asthma" was analyzed using binary logistic regression and asthma variables
176 with three categories, "Ever allergic asthma (yes/no)" and "Asthma before and after 10 years"
177 analyzed using multinomial logistic regression models.

178

179 For each model, a specific minimal sufficient set of potential confounders was selected on the
180 basis of causal diagrams (directed acyclic graphs) using Dagitty software¹⁶ (Figure S1). The
181 potential confounders considered were mother ever-reported asthma at age 14 years, father
182 ever-reported asthma at age 14 years, grandmother or grandfather ever asthma, if the
183 grandmother or grandfather smoked during index parent's childhood, and grandfather's
184 occupation. Variables related to grandparents were collected in the TAHS 1968 baseline
185 study. All analyses were stratified by the sex of the parents, given the hypothesis of sex-
186 specific differences in the associations. Further, all the models were stratified by offspring sex
187 to examine parent and offspring sex-specific effects. Finally, in the analysis all the regression
188 models were clustered for family.

189

190 *Sensitivity and mediation analyses*

191 A set of sensitivity analyses were conducted to investigate the association between high
192 BMI during puberty of parents and asthma in offspring in line with Johannessen *et al.*¹⁷. In
193 addition, a mediation analysis was performed to investigate mediating effects of birth
194 weight of the offspring on father's overweight and offspring asthma (Appendix III).

195

196 All unadjusted models, including logistic and multinomial logistic regression models,
197 included only complete cases where all the exposure, outcomes and confounder variables
198 were available. Therefore, the number of observations in both unadjusted and adjusted
199 models was the same. All statistical analyses were performed using STATA version 15.1
200 (Stata Corporation, College Station, Texas, USA).

201

202 **Results**

203 *Participant characteristics*

204 Of the 2556 parents who provided information on their offspring, 1822 (836 fathers and 986
205 mothers) also had sequential, objective and independent information on their BMI, and their
206 4208 offspring were those included in the main analysis. In total, BMI trajectories were
207 developed for 6921 TAHS parents (Figure 1), and there was no difference between the profile
208 of BMI trajectories of parents included in the analysis (n=1822) and those not included in the
209 analysis (n=5099) (Table S4). Table 1 shows the parent and respective grandparent
210 characteristics according to father and mother. The prevalence of ever childhood asthma in
211 parents when they had been 14 years old was higher in subsequent fathers (18.2%) compared
212 to subsequent mothers (10.9%).

213

214 Grandfather occupation category was similar in both mothers and fathers. However,
215 combined grandparent smoking rates were slightly higher in the mothers (68.0%) compared
216 to the fathers (63.6%) (Table 1). In offspring, ever asthma in mothers was comparatively high
217 (29.1%) compared to asthma in the fathers (22.9%) and ever-allergic asthma was also higher
218 in these mothers (21.5%) compared to the fathers (14.3%) (Table 2).

219

220 *BMI Trajectories*

221 The best fitting BMI trajectory model, developed using BMI z-scores, had four trajectories
222 (n=6921) (Figure 2). In this model the average posterior probability of each trajectory

223 exceeded 0.7, suggesting good model adequacy. After considering the trend in each trajectory
224 group, they were labeled as ‘Low BMI’ (8.8%), ‘Normal BMI’ (44.1%), ‘Above normal BMI’
225 (40.2%), and ‘High BMI’ (7.0%) (Figure 2, Table S5). The prevalence of “High BMI” was slightly
226 higher in mothers (6.39%) compared to fathers (4.67%) (Figure 2), but overall the distributions
227 of all trajectories appeared to be quite similar (Figure S2). For each BMI trajectory, the raw
228 mean BMI score, range, and standard deviation for each age group by sex are given in the
229 Supplementary Table S6. We found similar BMI trajectories after restricting the analysis to
230 participants with data for all time points (n=3919) (Figure S3). There was no difference in
231 offspring asthma prevalence between parents with such complete BMI data and those with
232 partially missing BMI data in whom we used GBTM with maximum likelihood estimation to
233 allow for missing BMI time points (Table S7).

234

235 *Parent BMI trajectories and offspring asthma*

236 The associations between BMI trajectories of parents when aged 4 – 15 years and asthma in
237 their subsequent offspring (ever, before and after age 10 years) are reported in Table 3.
238 Compared with the “Normal BMI” trajectory, the “High BMI” trajectory in parents showed a
239 trend of association with offspring ever-asthma, and asthma both before and after age 10
240 years. Both ever asthma risk in offspring and asthma before 10 years were associated with
241 father's “High BMI” trajectory (RRR 1.72, 95%CI 1.00, 2.97 and RRR 1.70, 95%CI 0.98, 2.93,
242 respectively). No associations with offspring asthma were found in mothers (Table 3).

243

244 *Parental BMI trajectories and offspring allergic asthma*

245 In adjusted and unadjusted models, the “High BMI” trajectory compared with the “Normal
246 BMI” trajectory showed a trend of association only with ever allergic asthma. In the sex-
247 stratified analysis, only the “High BMI” trajectory of fathers was associated with offspring ever
248 allergic asthma (RRR 2.04, 95%CI 1.12, 3.72 (p=0.02)). No significant associations were found
249 or any other BMI trajectory, non-allergic asthma or in mothers (Table 4).

250

251 *Fathers’ BMI trajectories and offspring asthma – stratified by offspring sex*

252 In this stratified analysis the significant effects of fathers’ “High BMI” trajectory on ever
253 asthma and ever allergic asthma in offspring were mainly seen in the female offspring
254 (Tables S8 & S13).

255

256 *Sensitivity analyses*

257 In line with a previously published analysis⁸, a sensitivity analysis based on obesity, defined
258 using the international cut off points for BMI, showed a pattern of the “obese” parent group
259 being associated with increased odds of asthma in their offspring, although mainly in fathers.
260 This association at the age of 15 years was mainly observed among obese fathers and allergic
261 asthma in offspring RRR 2.36 (0.98, 5.69) (Tables S14 and S15). However, the associations
262 were not as clear-cut when using the international cut off points at the ages of 5 and 10 years
263 compared to childhood BMI trajectories in parents were used (Tables 16-19). Furthermore,
264 the sensitivity analyses using BMI during puberty as a continuous variable and categorical
265 variables (binary BMI; obese and non-obese, quartiles, and a categorical variable classified
266 using standard deviations) provided similar results as BMI trajectories (Tables S20 and S25).
267 However, none of the findings of these additional analyses were as consistent or strong as
268 the trajectory analysis.

269

270 *Mediation through offspring birth weight*

271 There was no mediation by offspring birth weight observed for the association between
272 fathers’ overweight and offspring asthma or its allergic phenotype (Table S26).

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274

275 **Discussion**

276 We identified that a “High BMI” trajectory from childhood to adolescence in fathers was
277 associated with an increased risk of allergic asthma in their offspring, while this association
278 was not seen in mothers. Our mediation analysis did not find offspring birth weight itself to
279 mediate this father-offspring association.

280

281 To our knowledge, this is the first study to prospectively use parental BMI trajectories from
282 their childhood to adolescence, which accounts for dynamic variations in BMI to investigate
283 asthma risk in their offspring. However, our results are consistent with the recent European
284 cohort study that showed that being overweight during prepuberty in males increased the
285 risk of asthma in subsequent offspring. Furthermore, unlike our more objective longitudinal
286 data, Johannessen et al. defined parental overweight using only retrospective information
287 gathered on body shape using a single time point. On the other hand, Johannessen et al. used
288 offspring information collected from the offspring themselves, supporting our study using
289 parental reported information. The consistency of message in these two differently designed
290 studies, using different definitions of exposures and outcomes, and different statistical
291 approaches, greatly strengthens the evidence that paternal prepubertal obesity may have key
292 importance for future offsprings’ health¹⁷.

293

294 Asthma is a complex condition with various phenotypes and is associated with both heritable
295 and environmental risk factors. Up until now, the heritable mechanisms have been explained
296 by genetic sequence variation such as single nucleotide polymorphisms (SNPs) using both
297 candidate gene and genome-wide association approaches¹⁸. However, these variations alone
298 are unable to explain either the total heritability of asthma or the increased prevalence of
299 asthma over recent decades ¹⁹. Developmental programming in mammals is thought to
300 account for how early life exposures could increase disease risk in later life, either via altered
301 developmental trajectories or epigenetic mechanisms. The observation that the effects of
302 environmental exposure in mammals may be transmitted through more than one generation
303 indicates that some epigenetic factors can be transferred through generations in a process
304 known as transgenerational epigenetics^{20, 21 22}. There is accumulating evidence from animal
305 studies and a few limited epidemiological studies to suggest that transgenerational
306 epigenetics are important in allergic disease outcomes ²².

307 Previous studies have demonstrated that environmental exposures can induce epigenetic
308 changes (e.g., air pollutants, heavy metals, polycyclic aromatic hydrocarbons and persistent
309 organic pollutants)²³ and also lifestyle factors, e.g., diet, obesity, physical activity levels,
310 tobacco smoking, alcohol consumption and psychological stress²⁴. In particular, epigenetic
311 changes that occur during the differentiation of stem cells for gametogenesis have the
312 potential to be transferred to the next generation. This is consistent with the outcome of our
313 study where we observed an increased risk of allergic asthma in offspring only through the
314 fathers, and not the mothers.

315

316 Previous research suggests that in males epigenetic signatures (such as methylation patterns)
317 are established at the time of germ cell differentiation, highlighting a susceptible
318 developmental window exposed to environmental and lifestyle factors²⁵. However, for
319 females, gametogenesis is initiated in utero and all primary oocytes are produced and exist
320 before birth⁵, and so are not susceptible to such late post-partum influences. This provides
321 one possible explanation why only fathers' high BMI during childhood and adolescence could
322 be associated with offspring asthma. There are only a limited number of human studies
323 investigating the potential effects of obesity on sperm stem cell epigenetics²⁶. Obesity or high
324 levels of fatty acids are associated with increased levels of reactive oxygen species (ROS)^{27 28}
325 and altered ROS balance may drive DNA methylation and changes in the chromatin structure
326 of spermatogonia DNA, essential factors in epigenetic processes²⁹. Although the exact
327 mechanisms are not fully elucidated, these findings shed some light on our understanding of
328 how a "High BMI" during adolescence could be imprinted in the sperm epigenome and
329 thereby transfer adverse health effects to offspring³⁰. A previous study has shown that BMI
330 at one time point during puberty was a predictor of asthma in offspring, which was supported
331 by our sensitivity analyses. However, BMI may change over time during childhood and
332 adolescence, thus the role of high BMI on offspring's asthma may depend on the BMI timing
333 as well as the longitudinal changes. Therefore, investigation of BMI trajectories could provide
334 better insights to the impact of BMI on offspring asthma. Moreover, understanding of BMI
335 patterns and their impact may better inform preventive interventions to avoid disadvantaged
336 BMI trajectories. For example, the risk in relation to the persistently high BMI trajectory may
337 be targeted in early childhood rather than later during puberty. Detailed supplementary

338 analyses findings for an association between BMI at one time point and offspring asthma
339 highlight our trajectory approach's advantage.

340

341 Birthweight data for offspring were used in the mediation analysis, which enabled us to
342 explore any effects of the offspring's own BMI on the association between parental BMI
343 during their own adolescence and subsequent offspring asthma. No mediation by personal
344 birth weight was found. Johannessen *et al.* used offspring BMI at the age of 8 years as a
345 mediator for the association between fathers being overweight at puberty and their offspring
346 asthma. They also found no such indirect effect ¹⁷. We speculate that these findings both
347 suggest an impact of paternal overweight on mechanisms related to inflammation, beyond
348 mechanisms pertaining to growth and obesity. However, in contrast to the current study,
349 Johannessen *et al.* ¹⁷ found that fathers being overweight during puberty was associated with
350 non-allergic asthma rather than allergic asthma in their offspring. In our study, the prevalence
351 of allergic asthma in offspring was 14.3% in the fathers and 21.5% in the mothers, compared
352 with just 9.3% and 10.2%, respectively, in the European study ¹⁷. The differences in
353 associations with allergic vs. non allergic asthma between our study and that of Johannessen
354 *et al.*, may be related to a number of factors. The offspring were much younger in the current
355 study (0.5 – 39 years) than in Johannessen *et al.*, (18-50 years), and our definition of allergic
356 asthma was broader. Moreover, the allergic phenotypes in the two studies may be different
357 due to geographical (Europe vs. Australia) differences, in general allergies are reported to be
358 more frequent in Australia than in Northern Europe. Also, the reporting was different in the
359 two studies. Therefore, the results regarding allergic asthma phenotype vs. non-allergic
360 asthma phenotype in these two different settings should not be given too much weight.

361

362 Prospective investigations of transgenerational effects in humans are difficult due to the long
363 lifespan of humans. Therefore, almost all studies conducted in this emerging field of research
364 to date have used recall data or proxy variables, especially for exposures that were decades
365 in the past. Thus, for example, Johannessen *et al.* ¹⁷ used recognition of body silhouettes to
366 characterize non-overweight and overweight subjects at earlier life stages in their study. Their
367 non-allergic asthmatic parents may have overestimated their childhood body shape given
368 that adult obesity is itself a potential cause of adult-onset non allergic asthma. Furthermore,
369 obesity in both parents and children could be related to shared environmental factors.

370 Alternatively, lack of such non differential error in recalling childhood obesity among allergic
371 asthmatics may have pushed the association towards null.

372

373 The "High BMI" trajectory was consistent throughout ages 5 to 15 years without overlapping
374 with other trajectories. This pattern has been observed by other studies with any overlap with
375 other trajectories occurring before 5 years^{31, 32}. According to previous literature after 5 years
376 no considerable overlap was observed in this "High BMI" trajectory group³³⁻³⁶. This group
377 might include individuals influenced by both genetic and obesogenic environment that
378 determine early development of obesity³⁷. Therefore, our "High BMI" group is likely to consist
379 of both genetically-predisposed and environmentally-induced overweight individuals.

380

381 *Strengths and Limitations*

382 The major strength of the TAHS is its longitudinal study design and prospectively collected
383 and detailed data. In particular, for this analysis parent BMI data were obtained from height
384 and weight data recorded in systematic sequential school medical records. The BMI during
385 childhood and adolescence is dynamic, which may not be accurately captured by using just
386 one single time point. To overcome this issue, we used BMI trajectories using all the data
387 available. Our findings from the trajectory analyses were consistent with our sensitivity
388 analyses using a single time point BMI as a categorical variable. However, single time point
389 BMI as an exposure did not demonstrate as clear signals as the trajectory analysis although
390 results were in the same direction. To explore the importance of specific ages, and we
391 analyzed BMI as a categorical variable for obesity at the single time points of ages 5 and 10
392 and 15 years, however, the findings were not consistent or strong as the trajectory analysis
393 (Tables S14 – S19). Furthermore, in the statistical models we included potential variables from
394 three generations and models were adjusted not only for the parent's generation, but also
395 for grandparents.

396

397 However, our study also had a few limitations. BMI data obtained from the school medical
398 records were not complete for all the parents. However, we addressed this issue by
399 combining some of the age groups together and forming three combined BMI groups that
400 were used in the trajectory modeling. In the present analysis, BMI information was available
401 to us only for one parent of the offspring, either mother or father who had been involved in

402 TAHS. However, as TAHS is a whole of population, cohort study and so was not focused on
403 recruiting specifically overweight or obese individuals, the chance of recruiting a higher
404 number of overweight or obese participants in one group (i.e., fathers or mothers) was
405 unlikely. Since one parent's BMI was missing in each instance, this was a limitation in the TAHS
406 study design as applied to this specific question. In the study by Johannessen *et al*¹⁷, one of
407 their models was adjusted for the other parent's overweight as reported by the offspring.
408 However, they did not find that this made any difference to outcomes. In the mediation
409 analysis, we have used parent-reported birthweight of offspring at the 53 year follow up.
410 Recalling the birthweight of offspring by parents is associated with non-differential
411 misclassification. In addition, a systematic review including 40 studies reported that there is
412 a good agreement between parent recalling of birthweight of their offspring regardless of the
413 recalling timescale³⁸. When defining asthma onset (ever asthma before or after 10 years),
414 participants aged less than 10 years were excluded. However, this group comprised only
415 0.01% of the sample and therefore the influence on the analysis was minimal. In this study,
416 we did not adjust for multiple tests as we focused on a predefined hypothesis based on
417 biological plausibility and interpreted overall patterns of associations. When stratified by
418 fathers and mothers, our key findings on the high BMI group are consistent with what is
419 hypothesized, with relatively narrow confidence intervals suggesting sufficient power.
420 Nevertheless, it is important to acknowledge that in such stratum specific estimated effects
421 should be interpreted with caution, and these findings require further replication. In our
422 sensitivity analysis, we found that categorizing zBMI into different categories might not
423 account for the potential variations in BMI and fluctuations between those categories during
424 a short period of time. The effect of genetics cannot be completely ruled out since it was not
425 accounted for in this analysis. In our study neither maternal BMI during pregnancy nor weight
426 gain during pregnancy were available. Therefore, we could not stratify the analysis for or
427 examine the mediating effect by these maternal factors. There may also be some unmeasured
428 confounding factors that we could not include in the analyses such as infections, poor diets
429 and lifestyle factors that were specially related to the High BMI trajectory, though these
430 would be unlikely to be gender specific.

431

432 *Conclusions*

433 We found that fathers' "High BMI" trajectory during their earlier childhood and adolescence
434 was associated with asthma before 10 years and ever asthma in their subsequent offspring,
435 which was especially evident for ever allergic asthma. Obesity has been a rapidly growing
436 global health concern of epidemic proportions for some decades, including in children and
437 young adults. Obesity during childhood and adolescence is not only associated with a higher
438 risk of diseases over the life course, but also transfers health risks to future generations
439 through epigenetic mechanisms. Our study strengthens findings from previous research on
440 the inheritance of risk factors for asthma across generations, with the key events occurring
441 well before subsequent conception. Thus, our findings highlight the necessity for all of
442 government public health policy and practice change to improve the health of children and
443 adolescents, not only to benefit their own health in the future, but also the health of
444 generations to come.

445

446 *Acknowledgments*

447 TAHS was supported by the National Health and Medical Research Council (NHMRC) of
448 Australia, research grants 299901 and 1021275; the University of Melbourne; Clifford Craig
449 Foundation; the Victorian, Queensland and Tasmanian Asthma Foundations; Royal Hobart
450 Hospital; Helen MacPherson Smith Trust; GlaxoSmithKline; and John L. Hopper. GB, JP, AL, CL
451 and SCD are funded through the NHMRC of Australia. The sponsors of the study had no role
452 in study design, data collection, data analysis, data interpretation, or writing of the report.
453 The corresponding author had full access to all the data in the study and had final
454 responsibility for the decision to submit for publication.

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583 Figure descriptions:

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585 Figure 1: Follow ups of the Tasmanian Longitudinal Health Study

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587 Figure 2: Parent BMI Trajectory Groups of Tasmanian Longitudinal Health Study. Dotted

588 lines indicate 95% confidence intervals

589 **Table 1: Characteristics of parents and grandparents**

Characteristics		Fathers n = 836	Mothers n = 986	Fathers not included in the analysis n =2,739	Mothers not included in the analysis n = 2,448	p**	p***
Parents		%(Count)	%(Count)	%(Count)	%(Count)		
Asthma ever reported at the age of 14 years	Yes	18.2 (152)	10.9 (107)	16.5 (453)	10.7 (263)	0.27	0.92
Grandparents							
Grandmother/grand- father ever asthma*	Yes	19.3(161)	17.7 (175)	19.6 (536)	18.3 (449)	0.11	0.06
Grandmother/grand- father smoked*	Yes	63.6 (532)	68.0 (670)	64.0 (1,754)	65.9 (1,613)	<0.01	0.02
Grandfather occupational category	Managers	16.0 (134)	14.4 (142)	11.1 (303)	10.6 (260)	<0.01	0.01
	Professionals	9.4 (79)	8.9 (88)	6.5 (178)	7.0 (171)		
	Associate Professionals	6.7 (56)	7.5 (74)	5.4 (147)	6.0 (148)		
	Tradespersons	22.7 (190)	25.6 (252)	26.4 (722)	24.8 (606)		
	Advanced Clerical	3.5 (29)	3.4 (34)	2.5 (69)	2.4 (58)		
	Intermediate clerical	8.5 (71)	9.8 (97)	8.6 (236)	7.9 (193)		
	Intermediate production	20.3 (170)	18.0 (177)	19.3 (529)	18.4 (451)		
	Elementary clerical	2.0 (17)	2.1 (21)	2.4 (67)	2.2 (54)		
	Laborers & related	10.8 (90)	10.2 (101)	11.8 (324)	13.3 (326)		

590 * Self reported by Grandparents when parents (TAHS original participants) were 7 years old

591 **P value, between fathers included and not included in the analysis, *** P value between mothers included and not included in the analysis

592 **Table 2: Characteristics of the offspring**

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		Father n = 1938	Mother n = 2270	p*
		%(Count)	%(Count)	
Ever asthma	Never asthma	76.8 (1,488)	70.6 (1,602)	<0.01
	Ever asthma	22.9 (445)	29.1 (662)	
Asthma status	Never asthma	76.8 (1,488)	70.6 (1,602)	<0.01
	Asthma before age 10 years	20.2 (392)	24.6(559)	
	Asthma only after age 10 years	2.7 (53)	4.5 (103)	
Ever allergic and non allergic asthma	Never asthma	76.8 (1,488)	70.6 (1,602)	<0.01
	Ever non-allergic asthma	8.5 (164)	7.5 (170)	
	Ever allergic asthma	14.3 (278)	21.5 (488)	
Sex	Male	50.6 (981)	51.2 (1,163)	0.84
Age, years (SD)		22.3 (5.3)	24.9 (5.3)	<0.01
Birth weight, kg (SD)		3.4 (0.6)	3.4 (0.6)	0.43

594 **P value, between fathers and mothers

595 **Table 3:** Association between parental Body Mass Index (BMI) trajectories (from 4 – 15 years) and offspring asthma (before or after 10 years).

	<i>Low BMI</i>		<i>Above normal</i>		<i>High BMI</i>	
	Unadjusted RRR (95% CI) p	Adjusted* RRR (95% CI) p	Unadjusted RRR (95% CI) p	Adjusted* RRR (95% CI) p	Unadjusted RRR (95% CI) p	Adjusted* RRR (95% CI) p
<i>Ever asthma (Number of offspring n = 4,208 offspring [1,822 parents])</i>						
Ever asthma	1.00 (0.73,1.38) 0.99	1.00 (0.73,1.36) 0.97	1.09 (0.92,1.30) 0.32	1.09 (0.92,1.30) 0.33	1.41 (1.00,1.99) 0.05	1.37 (0.97,1.94) 0.08
<i>Sex stratified analysis for fathers n= 1,938 offspring (836 fathers)</i>						
Ever asthma	0.98 (0.60,1.60) 0.93	1.02 (1.62,1.66) 0.94	1.09 (0.84,1.42) 0.53	1.05 (0.81,1.38) 0.70	1.67 (0.97,2.88) 0.06	1.72 (1.00,2.97) 0.05
<i>Sex stratified analysis for mothers n = 2,270 offspring (986 mothers)</i>						
Ever asthma	1.00 (0.66,1.51) 0.99	0.97 (0.64,1.46) 0.87	1.08 (0.86,1.36) 0.49	1.10 (0.87,1.39) 0.43	1.22 (0.78,1.91) 0.39	1.13 (0.71, 1.78) 0.61
<i>Asthma before or after 10 years (Number of offspring n = 4,197 [1,818 parents])</i>						
before 10 years	1.04 (0.74, 1.45) 0.83	1.04 (0.74, 1.45) 0.82	1.05 (0.87, 1.26) 0.63	1.04 (0.87, 1.26) 0.65	1.37 (0.97, 1.95) 0.08	1.36 (0.95, 1.93) 0.09
after 10 years	0.65 (0.27, 1.61) 0.36	0.63 (0.26, 1.56) 0.32	1.43 (0.98, 2.09) 0.07	1.44 (0.98, 2.11) 0.06	1.76 (0.84, 3.68) 0.13	1.59 (0.76, 3.32) 0.22
<i>Asthma before or after 10 years - Stratified analysis for fathers (fathers, n = 834) of 1933 offspring</i>						
before 10 years	1.00 (0.60, 1.66) 1.00	1.06 (0.63, 1.77) 0.84	1.04 (0.79, 1.38) 0.78	1.01 (0.76, 1.34) 0.95	1.63 (0.95, 2.83) 0.08	1.70 (0.98, 2.93) 0.06
after 10 years	0.56 (0.13, 2.47) 0.42	0.55 (0.12, 2.41) 0.43	1.36 (0.72, 2.57) 0.44	1.34 (0.70, 2.54) 0.38	2.06 (0.65, 6.48) 0.22	2.05 (0.64, 6.55) 0.22
<i>Asthma before or after 10 years - Stratified analysis for mothers (mothers, n =984) of n=2,264 offspring</i>						
before 10 years	1.04 (0.67, 1.63) 0.85	1.02 (0.66, 1.57) 0.95	1.04 (0.81, 1.33) 0.76	1.05 (0.82, 1.34) 0.70	1.19 (0.75, 1.88) 0.46	1.10 (0.69, 1.77) 0.69
after 10 years	0.68 (0.22, 2.07) 0.49	0.65 (0.21, 1.99) 0.45	1.44 (0.89, 2.33) 0.13	1.48 (0.91, 2.40) 0.11	1.52 (0.59, 3.92) 0.39	1.41 (0.55, 3.59) 0.47

596 RRR = Relative Risk Ratio, 95%CI = 95% Confidence Interval

597 In the analysis “Normal BMI” was considered as the reference group in the independent variable and “No asthma” in the dependent variable.

598 *Adjusted for parent’s ever asthma, grandmother’s or grandfather’s ever asthma, whether grandmother or grandfather smoked during

599 parent's childhood and grandfather's occupation. Offspring <10 years of age at the most recent TAHS follow up (parent aged 53 years)

600 excluded from the analysis

601 **Table 4:** Association between parent BMI trajectories (from 4 – 15 years) and offspring ever allergic asthma or ever non-allergic asthma

Number of offspring n = 4,203 (1,820 parents)						
	<i>Low BMI</i>		<i>Above normal</i>		<i>High BMI</i>	
	Unadjusted RRR (95 % CI) p	Adjusted* RRR (95 % CI) p	Unadjusted RRR (95 % CI) p	Adjusted* RRR (95 % CI) p	Unadjusted RRR (95 % CI) p	Adjusted* RRR (95 % CI) p
Allergic or non allergic asthma						
Ever non-allergic asthma	1.07 (0.64,1.78) 0.79	1.12 (0.67,1.86) 0.67	1.14 (0.88,1.49) 0.31	1.14 (0.87,1.49) 0.33	1.26 (0.73,2.18) 0.41	1.26 (0.72,2.20) 0.42
Ever allergic asthma	0.98 (0.69,1.39) 0.89	0.94 (0.67,1.33) 0.73	1.06 (0.86,1.31) 0.57	1.07(0.86,1.31) 0.55	1.45 (0.98,2.15) 0.06	1.40 (0.94,2.09) 0.09
Allergic or non allergic asthma - <i>Stratified analysis for fathers (fathers, n = 834) of 1934 offspring</i>						
Ever non-allergic asthma	1.02 (0.51,2.05) 0.96	1.10 (0.55,2.18) 0.78	1.04 (0.72,1.52) 0.83	1.01 (0.69,1.49) 0.96	1.00 (0.37, 2.75) 0.99	1.07 (0.39,2.97) 0.89
Ever allergic asthma	0.95 (0.54,1.69) 0.86	0.98 (0.55,1.73) 0.92	1.09 (0.78,1.51) 0.62	1.05 (0.76,1.48) 0.75	2.01 (1.11,3.62) 0.02	2.04 (1.12,3.72) 0.02
Allergic or non allergic asthma - <i>Stratified analysis for mothers (mothers, n = 989) of 2,282 offspring</i>						
Ever non-allergic asthma	1.13 (0.54,2.34) 0.74	1.17 (0.57,2.42) 0.67	1.26 (0.87,1.82) 0.22	1.28 (0.88,1.87) 0.19	1.48 (0.77,2.85) 0.24	1.40 (0.71,2.75) 0.33
Ever allergic asthma	0.96 (0.61,1.49) 0.85	0.89 (0.58,1.38) 0.61	1.03 (0.79,1.34) 0.82	1.05 (0.80,1.37) 0.74	1.14 (0.67,1.93) 0.64	1.05 (0.62,1.78) 0.85

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604 In the analysis “Normal BMI” was considered as the reference group in the independent variable and “No asthma” in the dependent variable. *

605 Adjusted for parent’s ever asthma, grandmother’s or grandfather’s ever asthma, if grandmother or grandfather smoked during parent’s

606 childhood and grandfather’s occupation. Offspring <10 years of age at the most recent TAHS follow up (parent aged 53 years) excluded from

607 the analysis.

608