

# Did an asteroid impact cause temporary warming during snowball Earth?

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## ABSTRACT

The ca. 717 Ma low-latitude Sturtian “snowball Earth” glaciation lasted ~56 Myr. However, sedimentological evidence for transient, open ocean conditions during the glaciation appears to contradict the concept of a global deep freeze. We demonstrate multiple lines of geologic evidence from five continents for a temporary, localized sea-ice retreat during the middle of the Sturtian glaciation, which coincides with one, perhaps two, asteroid impacts, and arguably more terrestrial impacts as inferred from the lunar impact record. The well-dated Jänisjärvi impact (ca. 687 Ma) is synchronous with repeated volcanic ash falls whose deposition is most parsimoniously interpreted to indicate a partially ice-free ocean. Temporary greenhouse warming caused by the vaporization of sea ice can explain localized glacial retreat within restricted seaways between these continents, where ice flow would have been constricted and sea ice thinnest before impact.

## 1. Introduction

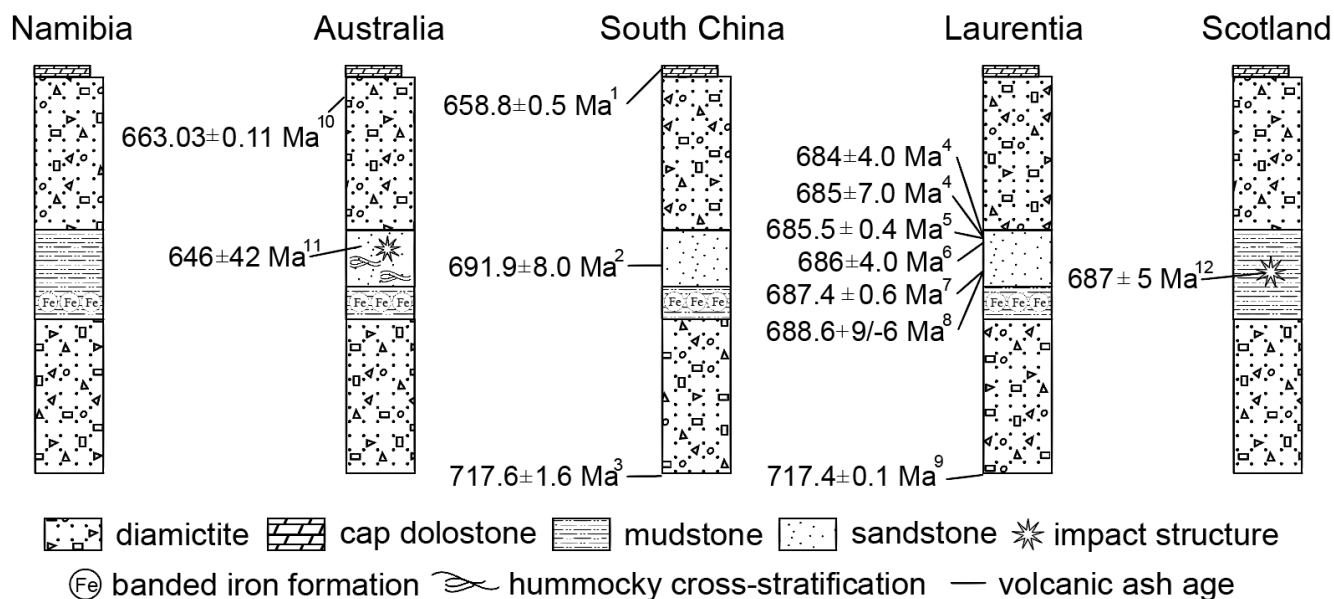
The snowball Earth hypothesis of global ice cover explains the occurrence of low-latitude glaciation and other geologic observations like the reprisal of banded iron formation (BIF) and <sup>13</sup>C-depleted “cap” carbonates (Hoffman et al., 1998; Kirschvink, 1992). Due to the high

29 planetary albedo of an ice-covered Earth, the freezing temperatures would create sea ice  
30 hundreds of meters thick ([Creveling and Mitrovica, 2014](#)). In this “hard” snowball state, it is  
31 thought that open ocean conditions are unsustainable, as the sea ice would gravitationally flow  
32 and thicken any areas where the ice is thinner. Nonetheless, evidence of dynamic ice-sheets  
33 and open ocean conditions, particularly for the Sturtian glaciation, appear to be inconsistent with  
34 the snowball Earth hypothesis ([Le Heron et al., 2013](#); [Le Heron et al., 2011](#)). In the Wilyerpa  
35 Formation of South Australia, numerous sandstone units with hummocky-cross stratification ([Le  
36 Heron et al., 2011](#)) most likely formed by oscillatory wave action produced during storms ([Dumas  
37 and Arnett, 2006](#)), requiring locally open ocean conditions. In the Chuos Formation of Namibia,  
38 open ocean conditions and glacial retreat is evidenced by a clast-free shale unit marking a  
39 maximum flooding surface ([Le Heron et al., 2013](#)). These observations have been used to argue  
40 that an interglacial period occurred within the Sturtian ([Le Heron et al., 2014b](#)).

41

42 Such questions, including the requirement of refugia in which evolving Metazoa could survive,  
43 inspired “waterbelt” climate models (a.k.a. “slushball” or “soft” snowball solutions) that explore  
44 whether a nearly global snowball with equatorial patches of open ocean was theoretically  
45 plausible ([Hoffman et al., 2017](#)). However, such models are technically challenging since they  
46 must closely approach ice-albedo runaway without falling victim to it. Furthermore, they are  
47 arguably problematic due to a lack of compatibility with cap carbonate and BIF formation  
48 ([Hoffman et al., 2017](#)). Reconciliation of the apparent evidence for an at least spatially and  
49 temporally isolated open ocean with the hard snowball model thus remains problematic. Here  
50 we present multiple lines of evidence for transient open ocean conditions, as well as a new

51 hypothesis to explain the mechanism controlling its occurrence: temporary, localized glacial  
 52 retreat of snowball Earth due to greenhouse warming from an asteroid impact.



53  
 54 **Fig. 1.** Schematic stratigraphic columns of five basins exhibiting sedimentological evidence for  
 55 glacial retreat and re-advance during the middle of the Sturtian glaciation. We compiled the dated  
 56 volcanic ash layers occurring near the middle of the Sturtian glaciation on two continents  
 57 (Laurentia and South China), two dated impact structures occurring during the glaciation, and  
 58 tuff ages constraining Sturtian onset and termination in the basins studied. The references for  
 59 the ages numbered are as follows: (1) Zhou et al. (2019), (2) Lan et al. (2015), (3) Lan et al.  
 60 (2020), (4) Lund et al. (2009), (5) Keeley et al. (2013), (6) Fanning and Link (2008), (7) Condon  
 61 and Bowring (2011), (8) Ferri et al. (1999), (9) Macdonald et al. (2010), (10) Cox et al. (2018),  
 62 (11) Spray et al. (1999), and (12) Jourdan (2012).

63  
 64 **2. Evidence for temporary and localized glacial retreat**

65 From ca. 717-661 Ma, the ~56-Myr-long Sturtian glaciation (Rooney et al., 2020) is the most  
 66 protracted snowball episode in Earth history. Sedimentological evidence for glacial retreat during  
 67 the Sturtian glaciation comes from five continents: Australia, Baltica (Scotland), Congo  
 68 (Namibia), Laurentia, and South China (Fig. 1). Firstly, each of these five continents records a

69 temporary reprise from the deposition of glacial diamictites (Fig. 1). Instead, the sections consist  
70 of mudstone, fine to medium-grained sandstones with hummocky cross-stratification, dolostone  
71 that was precipitated *in situ* (Hood et al.), and importantly, lack ice-rafted debris (Le Heron,  
72 2015). However, the lack of glacial diamictites does not necessarily mean glacial retreat.  
73 Alternatively, it could indicate that the ice sheets were cold-based and not active. Nevertheless,  
74 Le Heron (2015) discussed how these intervals are associated with retrogradation packages,  
75 indicating they occurred during glacial retreat.

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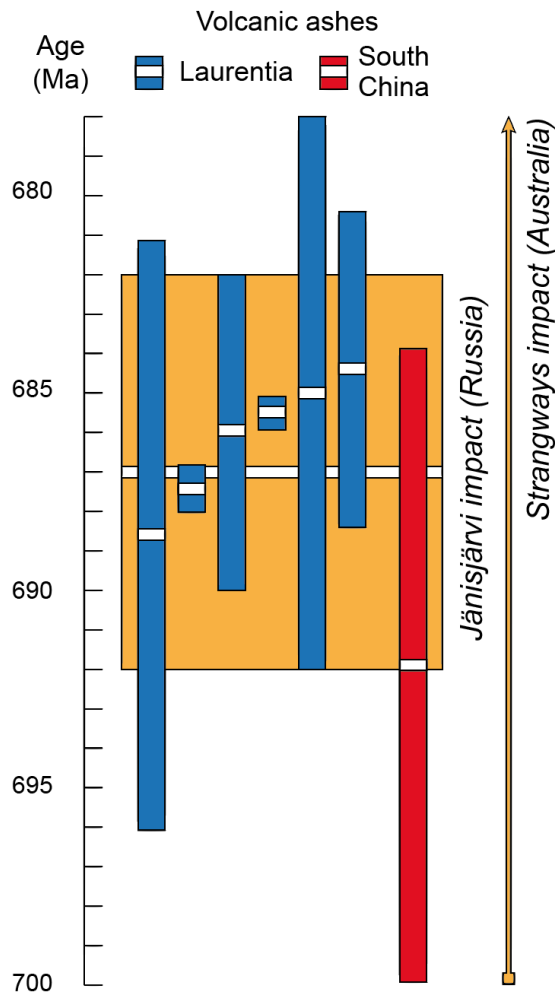
77 In addition, during this glacial hiatus in the middle Sturtian, these continents record  
78 stratigraphically thick intervals of BIF, mudstone, and sandstone deposited in the middle of the  
79 Sturtian glaciation (Fig. 1). Although the buildup of an oceanic pool of dissolved  $\text{Fe}^{2+}$  for BIF  
80 requires hard snowball conditions (Kirschvink, 1992), BIF deposition itself represents its  
81 oxidation due to air-sea-gas exchange (Lechte et al., 2019; Mitchell et al., 2021), which is  
82 impossible under a completely sealed snowball ocean. Sedimentology and  $^{56}\delta\text{Fe}$  isotopes  
83 indicate that BIF deposition occurred across a strong redox gradient both at and near the  
84 grounding line of continental ice sheets (Lechte et al., 2019). Thus, although BIF deposition  
85 required ventilation, it was highly localized on discrete continental margins. In Australia, the  
86 observed hummocky cross-stratification in sandstone units requires oscillating wave action in  
87 open ocean conditions following BIF deposition (Le Heron et al., 2011). Furthermore, in  
88 successions spanning all five continents (Fig. 1), such siliciclastic interruptions of Sturtian  
89 diamictites do not require glaciation to have occurred at that time and have been interpreted as  
90 due to glacial retreat followed by a re-advance of a second phase of the Sturtian glaciation

91 (Arnaud, 2004; Hu and Zhu, 2020; Lan et al., 2015; Le Heron et al., 2014a; Le Heron et al.,  
92 2013; Le Heron et al., 2011).

93

94 It has been suggested that volcanic activity would have continued largely unaffected by snowball  
95 Earth (Kirschvink, 1992). In addition to the sedimentological observations that require temporary  
96 glacial retreat and open ocean conditions (Fig. 1), we also suggest that the preservation of  
97 zircon-bearing volcanic ash layers (tuffs) that require evolved crustal magmatism (i.e., subaerial  
98 eruption) would not be deposited as uniform layers if the ocean was completely frozen over.

99 Snowball deglaciation is thought to require a critical threshold of carbon dioxide from volcanic  
100 emissions to overcome the high planetary albedo (Donnadieu et al., 2004). Despite ongoing  
101 volcanism, thick sea ice would have prevented ash falls from being deposited in sedimentary  
102 basins. However, basins in western Laurentia and South China preserve ash fall layers during  
103 the middle of the Sturtian glaciation; moreover, these tuffs are coeval with each other within age  
104 uncertainty (Figs. 1 and 2).



105

106 **Fig. 2.** The relative timing of impacts and ash falls during the Sturtian glaciation. Ages for  
 107 volcanic ashes as reported for Laurentia (blue) reported by [Rooney et al. \(2015\)](#) and South  
 108 China (red) by [Lan et al. \(2015\)](#). Ages for the Jänisjärvi impact of Baltica (yellow box) and  
 109 Strangways impact of Australia (yellow arrow) were both recalculated by [Schmieder and Kring](#)  
 110 [\(2020\)](#); see text for primary references.

111

112 The simplest explanation for the preservation of multiple ash falls is a temporary glacial retreat  
 113 and open ocean conditions at this time allowed volcanic ash emitted in plumes in the atmosphere  
 114 to settle through the water column. It has been argued that moulins (i.e., vertical shafts in glaciers  
 115 formed by meltwater percolation) at low-latitudes where sublimation was high could flush ash  
 116 falls into a snowball ocean ([Hoffman et al., 2017](#)). However, it is questionable whether such a

117 process could deposit coherent ash layers because volcanic ash flushed through an ice shelf  
118 and reworked until finally deposited beyond the ice grounding line would likely be strongly diluted  
119 by abundant terrigenous glacial detritus. Furthermore, the moulin model cannot easily account  
120 for the temporal rarity of ash layers as well as the spatiotemporal similarity of the observed tuffs  
121 (Figs. 1, 2, and 3), as during the Sturtian low-latitude continents were widespread (Fig. 3A); the  
122 moulins should have only been distributed at all continental margins within  $\sim 15^\circ$  of the equator  
123 (Hoffman et al., 2017).

124

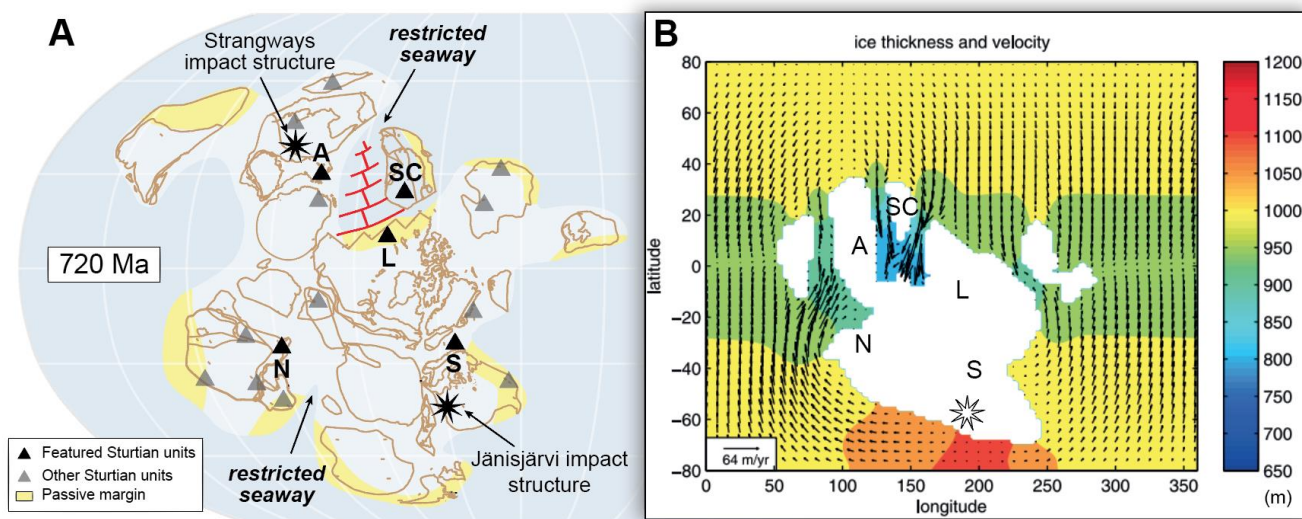
125 It could also suggest that the ash layers could be hydrovolcanic; however, the tuffs contain  
126 abundant dateable zircon in each of the tuffs (Lan et al., 2015; Rooney et al., 2015), which  
127 indicates they were most likely derived from evolved continental volcanic arcs. Furthermore,  
128 consistent with our interpretation of ash layers as evidence of open ocean conditions, tuffs also  
129 occur both early and late in both the Sturtian and Marinoan glaciations, i.e., during glacial  
130 advance and retreat, respectively (Prave et al., 2016; Rooney et al., 2015). Thus, the next  
131 question is whether the open ocean model can account for the ash falls being restricted to these  
132 two, or at least only a few, continents.

133

### 134 **3. Paleogeography and ice dynamics**

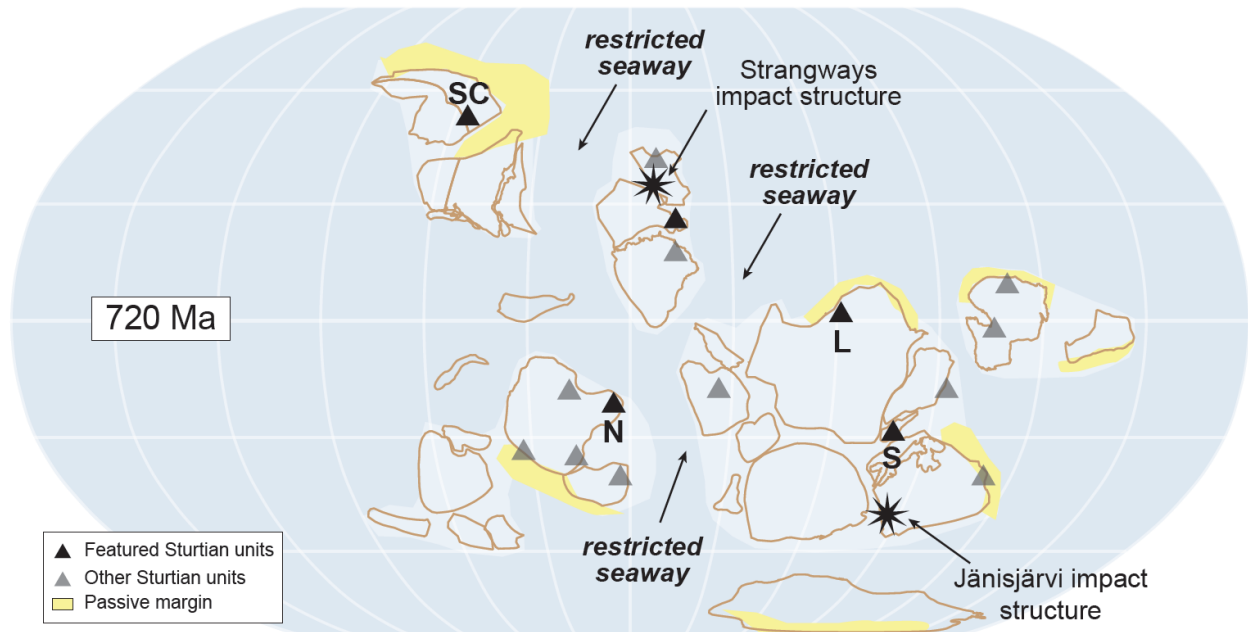
135 Sturtian paleogeography indicates that of the five continental margins exhibiting evidence for  
136 transient open ocean conditions, three (Laurentia, South China, and Australia) were nearest  
137 neighbors surrounding a shared restricted seaway, Namibia (Congo craton) also had a restricted  
138 seaway, and Scotland (northeastern Laurentia, facing the Baltica craton) was nearest to the  
139 Jänisjärvi impact, one of the two craters known from this time interval (Fig. 3A). The presence

140 of restricted seaways is also supported by an alternative paleogeography, but would imply the  
 141 existence of a third such seaway (Fig. 4). All three continents experienced mafic magmatism  
 142 between 830-720 Ma immediately preceding the Sturtian glaciation that has been interpreted as  
 143 related to plume magmatism facilitating the breakup of supercontinent Rodinia (Li et al., 2008).  
 144 The restricted seaway(s) between these continents may have just opened up at this time, or  
 145 already existed as an embayment within Rodinia (Jing et al., 2020).



146  
 147 **Fig. 3.** Continent, ocean, and ice configurations during the Sturtian glaciation. (A)  
 148 Paleogeography ca. 720 Ma (Li et al., 2013). Note pronounced embayment or restricted seaway  
 149 due to coeval rifting in between Laurentia (L), Australia (A), and South China (SC), and another  
 150 east of Namibia (N). An alternative position for South China is also consistent with our hypothesis  
 151 but involves a third restricted seaway (Fig. 4). (B) Sea-ice flow and thickness modeled according  
 152 to a similar paleogeographic arrangement at ca. 630 Ma (Li et al., 2008) indicating sea-ice  
 153 thinning within the embayment due to continental constriction (Tziperman et al., 2012). Locations  
 154 of each basin in Figure 1 are shown: N, Namibia; A, Australia; SC, South China; L; Laurentia; S,  
 155 Scotland.





156

157 Figure 4. Paleogeography ca. 720 Ma (Merdith et al., 2017). Compare with Figure 3A.

158

159 Such seaways would have played a pivotal role in the dynamics and distribution of Sturtian sea  
 160 ice. When considered only in one dimension (i.e., latitude), snowball sea-ice thickness is  
 161 essentially uniform, behaving like a “sea glacier” where thicker areas of ice flow gravitationally,  
 162 thickening initially thinner areas (Hoffman et al., 2017). Two-dimensional sea-ice modeling (i.e.,  
 163 latitude and longitude) accounts for the distribution of Neoproterozoic continents and depicts  
 164 considerable variation in ice thickness in restricted seaways (Campell et al., 2011; Tziperman et  
 165 al., 2012) (Fig. 3B). Ice flow through narrow passages is faster since there must be a balance  
 166 with total ice melting and evaporation; faster ice flow will prevent homogenous sea-ice thickening  
 167 that occurs throughout the rest of the snowball ocean (Tziperman et al., 2012). Thus, open ocean  
 168 conditions during a snowball Earth is most likely to occur in restricted seaways where sea-ice is  
 169 thinnest. Nonetheless, some additional forcing is still needed to explain the observations  
 170 requiring ice-free ocean.

171

#### 172 **4. Snowball impact hypothesis**

173 As “waterbelt” climate solutions are plausible but unable to accommodate the geologic evidence  
174 for predominantly limited air-sea-gas exchange characteristic of a snowball Earth (Hoffman et  
175 al., 2017), we seek an exogenic forcing to explain the temporary glacial retreat of a hard snowball  
176 Earth. We note that the ~14 km diameter Jänisjärvi impact crater in Russia (southern Baltica) is  
177 well-dated with Ar-Ar on impact melt rock at  $687 \pm 5$  Ma (Jourdan, 2012; Jourdan et al., 2008;  
178 Schmieder and Kring, 2020) and coeval with the well-dated volcanic ash falls preserved in  
179 Laurentia and South China (Fig. 2). Also overlapping with the Sturtian glaciation, albeit with  
180 considerable uncertainty, is the ~25 km diameter Strangways impact crater in north Australia  
181 dated with Ar-Ar on impact melt rock at  $657 \pm 43$  Ma (Schmieder and Kring, 2020; Spray et al.,  
182 1999). Both ages have been recalculated using the most currently revised K decay constants  
183 and monitor ages (Schmieder and Kring, 2020). The Jänisjärvi impact coincides with and occurs  
184 around the average age of the ash falls (Fig. 2). The coincidence motivates consideration of a  
185 potential cause and effect relationship between the Jänisjärvi impact and the temporary glacial  
186 retreat.

187

188 It is also likely that other impacts have not yet been discovered, or more likely, not preserved  
189 from the time of the Sturtian glaciation. For example, impacts that occurred in the circum-  
190 supercontinent Mirovoi Ocean constituting >75% of Earth’s surface area would have been lost  
191 over time due to subduction. The lunar cratering record has 4 (potentially 6) impacts during the  
192 Sturtian glaciation (Mazrouei et al., 2019) (Table 1). Given Earth’s substantially larger target size  
193 than that of the Moon, at least 21 terrestrial impacts are expected to occur for every lunar impact

194 [\(Mazrouei et al., 2019\)](#). Based on the lunar cratering record, therefore, as many as 84 terrestrial  
195 impacts could feasibly have occurred during the Sturtian glaciation. On this basis, during the  
196 interval between 717-661 Ma when 8 craters with diameter (D) >10 km formed on the Moon  
197 [\(Table 1\)](#), about 160 diameter (D) >10 km craters would correspondingly have formed on Earth  
198 over the same period.

199

200 [Terada et al. \(2020\)](#) dated 58 D >20 km lunar craters over the last ~2.5 billion years, and found  
201 that 2 D >20 km lunar craters formed during the equivalent interval to the Sturtian glaciation on  
202 Earth. Based on the lunar crater size distribution, the ratio of D >20 km craters to D >10 km  
203 craters on the Moon from the lunar crater size distribution is approximately a factor of 3.6.  
204 Accordingly, 2 D >20 km craters correspond to 7 D >10 km craters on the Moon, which is about  
205 the same value as that from [Mazourei et al. \(2019\)](#). Therefore, it is reasonable to conclude that  
206 140-160 D >10 km craters and 40 D >20 km craters formed on Earth during the Sturtian  
207 glaciation. Then, using the crater size distribution formed on the Moon since 3 Ga ([McEwen et](#)  
208 [al., 1997](#); [Wilhelms et al., 1978](#)), the likely occurrence of 40 D >20 km craters on Earth implies  
209 that the probability of a single D 100 km crater (i.e., Popigai-sized) formed during the same  
210 interval is high. This possibility is intriguing because a Popigai-sized crater formation would  
211 significantly affect the climate of the planet.

212

213 Provided that snowball continental ice sheets were as thick as 6 km ([Creveling and Mitrovica,](#)  
214 [2014](#)), it is estimated that most impactor sizes would have only excavated ice and not reached  
215 bedrock to preserve an impact crater ([Kring, 2003](#)). In the case of the Jänisjärvi crater, the Rb  
216 and Sr isotopes of its impact melt are notably out of equilibrium with the target sedimentary rock

217 (Larionova et al., 2006), which is indicative of the short amount of time for equilibration between  
 218 the impactor and the bedrock, and possibly due to interaction with ice instead of bedrock. Thus,  
 219 while there is strong evidence for at least one impact during the Sturtian snowball Earth, there  
 220 are compelling reasons to believe there could have been more, perhaps even an asteroid  
 221 breakup event at this time as implied by the lunar record. An asteroid shower has recently been  
 222 identified at ca. 800 Ma (Terada et al., 2020). There is often a substantial delay between asteroid  
 223 breakup and when the debris impacts Earth that can be, depending on the family in question,  
 224 millions of years (Zappalà et al., 1998) or even hundreds of millions of years (Vokrouhlický et  
 225 al., 2017). Therefore, the Jänisjärvi impact may be terrestrial evidence of the pre-Sturtian  
 226 asteroid breakup event inferred from the lunar record. A final consideration is that if the Jänisjärvi  
 227 impact actually occurred under kilometers of glacial ice, then the impactor would have to have  
 228 been much larger than the existing scar in the Karelia implies, which would have effectively made  
 229 it a rarer event overall. Thus, whether the Jänisjärvi impact acted alone or not is uncertain.

230

**Table 1. Lunar craters of the age of the Sturtian glaciation (ca. 717-661 Ma). From Mazrouei et al. (2019).**

<b>Names</b>	<b>Longitude (°E)</b>	<b>Latitude (°N)</b>	<b>Diameter (km)</b>	<b>Age (Ma)</b>
Reimarus H*	62	-49	11	640
No name*	166	36	12	645
Das*	223	-27	36	657
Nicolai A	24	-42	14	669
No name	215	6	13	678
Eichstadt G	279	-22	12	686
No name	200	-45	12	708
Pythagoras K*	284	67	13	732

\*Ages outside of Sturtian age, but within uncertainty.

231

232 The fact that a well-dated impact is coeval with, and most likely immediately pre-dates, multiple  
233 well-dated ash falls that correspond with sedimentological evidence for a glacial retreat during  
234 the Sturtian glaciation suggests that the impact may have caused temporary glacial retreat.  
235 Previous suggestions have been made concerning the climatic effects of an impact during a  
236 snowball Earth (Erickson et al., 2020; Koeberl and Ivanov, 2019; Kring, 2003). With an impact  
237 into ice, the most profound effect would be the vaporization of the impacted ice sheet and the  
238 injection of a water vapor plume into the stratosphere. Estimates of the magnitude of H<sub>2</sub>O  
239 released on the order of 10<sup>4</sup> GT (Erickson et al., 2020; Kring, 2003) (varying by impactor size  
240 and ice sheet thickness) would represent as much as 8 orders of magnitude more water vapor  
241 than resides in the present stratosphere (Kring et al., 1996). Since water vapor is a highly  
242 efficient greenhouse gas, it was suggested that impact-induced global warming could even  
243 trigger snowball deglaciation (Erickson et al., 2020; Kring, 2003). However, subsequent studies  
244 of deglacial facies found no compelling evidence of any geochemical or mineralogical signatures  
245 of an impact (e.g., enrichments in siderophile element abundances or the presence of Cr-spinels  
246 or shocked minerals) for either the Sturtian or the Marinoan snowball Earths (Gyollai et al., 2014).  
247  
248 Whereas an impact may be insufficient to terminate a snowball Earth, impact modeling (Erickson  
249 et al., 2020; Koeberl and Ivanov, 2019) suggests that the large resultant water vapor plume  
250 would strongly perturb Earth's atmosphere, and if sufficiently long-lived, might yield sufficient  
251 warming to cause sea-ice-free conditions in continental embayments such as those that existed  
252 between Laurentia, Australia, and South China, as well as near Namibia, both regions being  
253 specifically the only areas where ice flow modeling parameterized by paleogeography  
254 (Tziperman et al., 2012) indicates sea ice was already thin (Fig. 3B). After the temporary and

255 localized impact-induced glacial retreat, glacial re-advance would have occurred due to the still  
256 substantial albedo effect of a snowball Earth overcoming the transient greenhouse warming.  
257 While this hypothesis requires further testing, it does reconcile geologic observations indicating  
258 temporary glacial retreat during a largely “hard” and long-lived Sturtian snowball Earth.

259

## 260 **5. Pros, cons, and predictions**

261 This hypothesis pointing to a potentially understudied aspect of snowball climatology—localized  
262 and temporary meltback due to impact—provides impetus for further testing. The most obvious  
263 prediction of the hypothesis would be direct evidence of impact, either geological (e.g., tektites,  
264 shocked minerals, Cr-spinels, or siderophile element enrichments) or geochemical (e.g., Ir or  
265  $^3\text{He}$  anomalies), in the basal facies associated with glacial meltback in the middle of the Sturtian  
266 in those basins identified here (Fig. 1). Searches for such evidence of impact have been  
267 conducted on the Sturtian glaciation already, with no such evidence being found, but both  
268 studies (Bodiselitsch et al., 2005; Gyollai et al., 2014; Peucker-Ehrenbrink et al., 2016) targeted  
269 glacial termination facies (i.e., cap carbonates) in an attempt to test whether impact caused  
270 deglaciation—in contrast to our hypothesis of temporary meltback during the middle of the  
271 Sturtian.

272

273 One strength of our hypothesis is that the well-dated tuff layers are synchronous with each other  
274 and with the well-dated Jänisjärvi impact crater (Fig. 2). But a weakness is that the intervals of  
275 BIF, mudstone, and sandstone purported to signify temporary meltback during the Sturtian  
276 glaciation (Fig. 1) are more difficult to date. Nonetheless, such a goal is something to aim for as  
277 the most apparent stratigraphic prediction of our hypothesis is that these interlude facies should

278 be globally synchronous and of similar duration in each basin in which they occur. Another pro  
279 of the hypothesis is that it is independent of which available paleogeographic reconstruction is  
280 preferred (Figs. 3A and 4). But a con is that because Rodinia reconstructions are controversial  
281 and still being refined, the predictions for which basins were part of restricted seaways are  
282 loosely defined. Integrating realistic ice flow modeling with refined paleogeography could be  
283 utilized to test our hypothesis. Lastly, more sophisticated modelling of impact-induced climate  
284 perturbations specifically designed for snowball Earth should provide an additional means of  
285 testing the hypothesized connection between impact and transient glacial retreat in restricted  
286 seaways.

287

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292

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