Decrease in sleep duration a alcoholic fatty liver disease	nd poor sleep quality over time is associated with an increased risk of incident non-	1 2
Yoo Jin Um <sup>1</sup> , Yoosoo Chang <sup>,2</sup> Christopher D Byrne <sup>8,9</sup> and S	, <sup>3,4†</sup> , Hyun-Suk Jung, <sup>1,2</sup> In Young Cho <sup>1,5</sup> , Jun Ho Shin <sup>1,6</sup> , Hocheol Shin <sup>1,5</sup> , Sarah H. Wild <sup>7</sup> , Seungho Ryu <sup>2,3,4†</sup>	3 4
<sup>1</sup> Total <sup>:</sup> Healthcare Center, Ka Republic of Korea	ngbuk Samsung Hospital, Sungkyunkwan University School of Medicine, Seoul, 04514,	5 6
<sup>2</sup> Center for Cohort Studies, Te Medicine, Seoul, 04514,Repu	otal Healthcare Center, Kangbuk Samsung Hospital, Sungkyunkwan University School of blic of Korea	7 8
<sup>3</sup> Department of Occupationa School of Medicine, Seoul, 03	l and Environmental Medicine, Kangbuk Samsung Hospital, Sungkyunkwan University 3181, Republic of Korea	9 10
<sup>4</sup> Department of Clinical Resea Korea	arch Design & Evaluation, SAIHST, Sungkyunkwan University, Seoul, 06355, Republic of	11 12
<sup>5</sup> Department of Family Medic 03181, Republic of Korea	cine, Kangbuk Samsung Hospital, Sungkyunkwan University School of Medicine, Seoul,	13 14
<sup>6</sup> Department of Surgery, Kangbuk Samsung Hospital, Sungkyunkwan University School of Medicine, Seoul, 03181, Republic of Korea		15 16
	<sup>7</sup> Usher Institute, University of Edinburgh, Edinburgh, EH8 9AG, United Kingdom	17
	<sup>8</sup> Nutrition and Metabolism, Faculty of Medicine, University of Southampton, Southampton, SO16 6YD, United Kingdom	18 19
	<sup>9</sup> National Institute for Health Research Southampton Biomedical Research Centre, University Hospital Southampton, Southampton, SO16 6YD, United Kingdom	20 21
	*Correspondence: sh703.yoo@gmail.com; yoosoo.chang@gmail.com	22
	<b>Abstract:</b> The impact of changes in sleep duration and sleep quality over time on the non-alcoholic fatty liver disease (NAFLD) risk is not known. We investigated whether change in sleep duration and change in sleep quality between baseline and follow up are associated with risk of developing incident NAFLD. The cohort study included 86,530 Korean adults without NAFLD and with a low fibrosis score at baseline. Median follow-up was 3.6 years. Sleep duration and quality were assessed using the Pittsburgh	<ul> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> <li>28</li> </ul>
	Sleep Quality Index. Hepatic steatosis (HS) and liver fibrosis were assessed using ultrasonography and the fibrosis-4 index (FIB-4). Cox proportional hazard models were	29 20
subjects with incident HS and	used to determine hazard ratios (HRs) and 95% confidence intervals (CIs). 12,127 I 559 with incident HS plus intermediate/high FIB-4 were identified. Comparing	30 31 32
decrease in sleep duration of	>1hour, with stable sleep duration, the multivariate-adjusted HR (95% CIs) for incident	33

HS was 1.24 (1.15–1.35). The corresponding HRs for incident HS plus intermediate/high FIB-4 was 1.58 (1.10–2.29).	34
Comparing persistently poor sleep quality with persistently good sleep quality, the multivariate-adjusted HR for	35
incident HS was 1.13 (95% Cl, 1.05–1.20). A decrease in sleep duration or poor sleep quality over time was	36
associated with an increased risk of incident NAFLD, underscoring an important potential role for good sleep in	37
preventing NAFLD risk.	38
Keywords: Hepatic steatosis; Hepatic fibrosis; Change in sleep duration; Sleep quality; Pittsburg sleep quality index;	39
Fibrosis-4 score	40
	41
	42
	43
1. Introduction	44
Non-alcoholic fatty liver disease (NAFLD), the most common cause of chronic liver disease, is a multisystem disease	45
associated with a risk of hepatic and non-hepatic complications including cardio-metabolic disorders.[1-4] Lifestyle	46
modification, such as weight loss, is considered the first-line treatment as there is no approved drug for NAFLD	47
treatment.[5,6] It is important to evaluate all modifiable lifestyle factors, such as sleep duration and quality, to	48
establish a preventive strategy to reduce NAFLD risk.	49
We spend approximately one-third of our lifetime asleep and good quality sleep is crucial for our cardiovascular	50
health and the regulation of endocrine and immune systems.[7,8] The National Sleep Foundation has reported the	51
importance of sleeping more than 7 hours per day for adults to maintain ideal health.[9] However, in recent	52
decades, the prevalence of short sleep duration (defined as <6 hours) has been reported to be over 20%.[10]	53
Epidemiological studies suggest that short sleep duration is associated with obesity, metabolic syndrome, and	54
cardiovascular diseases.[11,12] These conditions are also commonly seen in patients with NAFLD[13], although two	55
meta-analyses investigating the relationship between sleep duration and NAFLD showed conflicting results[14,15].	56
Sleep duration is affected by various factors and can change over time.[16] According to one meta-analysis, the total	57
amount of sleep decreased dramatically with age in adults and the change gradually disappeared in the elderly.[17]	58
Further, the intra-individual variability of sleep was greater in younger adults than in older adults.[18] In modern	59
society, owing to the choice of lifestyle, family demand, or work-related factors, the prevalence of intra-individual	60
difference in sleep duration is increasing.[19,20] Therefore, the influence of sleep duration on health conditions	61
cannot be fully determined without considering changes in sleep duration over time.[21] Several cohort studies have	62
reported an association between change in sleep duration and adverse health outcomes including metabolic	63
syndrome,[21] type 2 diabetes,[22] and mortality.[23] However, to date, no study has investigated the impact of	64
change in sleep duration and change in sleep quality over time, on risk of NAFLD. Our previous study demonstrated	65
an association between sleep duration and sleep quality as risk factors for NAFLD, but in our previous work we did	66
not evaluate the role of change in sleep as a risk factor for NAFLD [24]	67

We aimed to evaluate the relationship between changes in sleep duration and changes in sleep quality and the68subsequent development of NAFLD, both with, and without intermediate/high probability of liver fibrosis; whilst69accounting for time-dependent measures including change in sleep duration, change in sleep quality, and potential70confounders during the follow-up period.71

### 2. Methods

### 2.1. Study population

This cohort study is a part of the Kangbuk Samsung Health Study, a cohort study of Korean adults who participated in 74 a health examination annually or biennially at Kangbuk Samsung Hospital Total Healthcare Centers in Seoul and 75 Suwon, South Korea. [25,26] The present study population was restricted to individuals who underwent baseline and 76 subsequent health screening examinations with information on sleep duration and sleep quality from March 2011 to 77 December 2017 and had at least one follow-up visit by December 31, 2019 (N = 251,608). We excluded subjects who 78 had either hepatic steatosis (HS) or intermediate/high fibrosis-4 (FIB-4) scores at baseline or subsequent visits 79 (n=105,088). Then, we excluded 57,748 subjects who met one or more of the exclusion criteria at baseline (Figure 1). 80 The final sample included 88,772 subjects. This study was approved by the Institutional Review Board of Kangbuk 81 Samsung Hospital (IRB 2021-01-024) and was conducted in accordance with the Declaration of Helsinki. The 82 requirement for informed consent was waived owing to the use of a preexisting de-identified dataset that was 83 routinely collected during the health screening process. 84

Participants who underwent a comprehensive health examination between 2011 and 2017 at Kangbuk Samsung Hospital, with at least 2 follow-up visits until December 31, 2019 (n = 251,608)

Participants who had a primary outcome at baseline (n = 109,100)

Hepatic steatosis on abdominal ultrasound at baseline and first subsequent visit (n = 93405)

- Intermediate or high probability of fibrosis based on FIB-4 or NFS (n = 28,493)

Participants free from a primary outcome at baseline (n = 142,508)

	Participants excluded at baseline (some met multiple exclusion criteria (n = 55,978)
	- Alcohol intake $\geq$ 30 g/day for men and $\geq$ 20 g/day for women (n = 16,404)
	- Night shift workers (n=9,125)
	- History of sleep apnea based on self-report (n=266)
	- History of narcolepsy (n=62)
	- Use of medication associated with hepatic steatosis
$\rightarrow$	(such as amiodarone, tamoxifen, methotrexate, steroid) ( $n = 827$ )
	- Liver disease or use of medications for liver disease $(n = 3,837)$
	- Positive serologic markers for hepatitis B or C virus ( $n = 4,309$ )
	- Liver cirrhosis (LC) on abdominal ultrasound or history of LC ( $n = 21$ )
	- Missing data on abdominal ultrasonography, alcohol consumption, sleep, components of
	the fibrosis-4 (FIB-4) and nonalcoholic fatty liver disease fibrosis scores (NFS) ( $n = 29,181$ )
	- History of cancer $(n = 2.986)$

Participants included in the final analysis (n = 86,530)

72

73

## 2.2. Data collection

All baseline and follow-up examinations were conducted at Kangbuk Samsung Hospital Health Screening Center88clinics. Data regarding patients' demographic characteristics, behavioral factors, medical history, and medication use89were collected using a standardized, self-administered questionnaire, while anthropometry, blood pressure, and90serum biochemical parameters were measured by trained staff during the health examination. Depressive symptoms91were assessed using the Korean version of the Center for Epidemiologic Studies Depression (CES-D) scale and were92categorized as having CES-D scores < 16 or  $\geq$  16.[27,28]93

Sleep duration and quality were assessed using the validated Pittsburgh Sleep Quality Index (PSQI) at baseline and 94 during the follow-up sessions. [29] The PSQI is a validated 19-item self-administered questionnaire used to evaluate 95 sleep quality during the previous month. The PSQI consists of seven components: subjective sleep quality, sleep 96 latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleeping medication, and daytime 97 function. Each component score ranged from 0 (best) to 3 (worst sleep properties), and the PSQI score was 98 calculated as the sum of each component score to generate an overall score. In one of the PSQI items, the subjects 99 were asked to report the hours of actual sleep at night in a typical 24 h period over the previous month. Sleep 100 duration was categorized into  $\leq$  5, 6, 7, 8, and  $\geq$  9 hours. Change in sleep duration was calculated for each subject as 101 the difference in sleep duration between baseline and subsequent visit (visit 1 and visit 2) values; these changes 102 were categorized into the following five groups: 1) decrease in sleep duration of > 1 hour, 2) decrease in sleep 103 duration of 0.1 to 1 hour, 3) 0 (stable sleep duration, reference), 4) increase in sleep duration of 0.1 to 1 hour, and 5) 104 increase in sleep duration of  $\geq$  1 hour. Poor sleep quality was defined as a PSQI score of  $\geq$  6, and good sleep quality 105 was defined as a PSQI score of < 6. Changes in sleep quality were categorized into the following four groups: 1) 106 persistently good sleep quality (good sleep quality at both baseline and follow up (reference group), 2) good sleep 107 quality at baseline but newly developed poor sleep quality at follow up, 3) poor sleep quality at baseline but good 108sleep quality at follow up, and 4) persistently poor sleep quality (poor sleep quality at both baseline and follow up). 109

The diagnosis of HS was based on an abdominal ultrasound, performed by an experienced radiologist who was110blinded to the aim of the present study. This diagnosis was determined using standard criteria, including the111presence of a diffuse increase in fine echoes in the liver parenchyma compared with those of the kidney or spleen112parenchyma, deep beam attenuation, and bright vessel walls.[30] The inter-observer and intra-observer reliability113values for HS diagnoses were substantial (kappa statistic of 0.74) and excellent (kappa statistic of 0.94),114respectively.[26]115

To assess the risk of progression to more severe NAFLD, a non-invasive index of liver fibrosis, FIB-4, was used.[31]116The subjects were classified into three groups, reflecting the probability of advanced fibrosis based on the FIB-4117score: low (FIB-4 <1.30), intermediate (FIB-4 1.30–2.66), and high (FIB-4  $\geq$ 2.67).[31]118

# 2.. Statistical analysis 119 The baseline characteristics of the subjects were described according to the changes in sleep duration. 120

The primary endpoints were the development of a) incident HS and b) incident HS plus an intermediate/high121probability of liver fibrosis. Incident HS and incident HS combined with an intermediate/high probability of liver122

87

fibrosis based on FIB-4 were treated as separate endpoints in each model. The event detection date was defined as123the earliest date of identification of HS or HS with an intermediate or high probability of liver fibrosis based on the124FIB-4 score, which was analyzed separately. The person-years were calculated as the sum of the follow-up duration125from baseline to the event detection date (HS or HS with fibrosis, separately) or until the final examination (before126December 31, 2019), whichever occurred first. Hazard ratios (HRs) and 95% confidence intervals (CIs) were127calculated using a Cox proportional hazards model.128

The risks of incident HS and incident HS combined with an intermediate/high probability of liver fibrosis were 129 separately evaluated according to the changes in sleep duration. The models were initially adjusted for age and sex. 130 Then, they were further adjusted for the following additional potential confounders: study center (Seoul, Suwon), 131 year of the screening examination, season (spring, summer, fall, and winter), smoking status (never, past, current, or 132 unknown), alcohol intake (none, < 10, or  $\ge$  10 g/day, or unknown), physical activity (inactive, minimally active, 133 health-enhancing physical activity [HEPA], or unknown), CES-D (<16,  $\geq 16$ , or unknown), education level (<134 community college graduate, ≥ community college graduate, or unknown), total energy intake, history of diabetes, 135 history of hypertension, history of cardiovascular disease (CVD), sleep duration at baseline, and sleep quality (for 136 analysis of changes in sleep duration); Model 1). Next, we sought to examine whether the relationship between 137 sleep duration and development of the primary endpoints was mediated by body mass index (BMI; Model 2) on a 138 priori grounds. We evaluated the mediation effect of BMI on the association between sleep duration and the risk of 139 HS or HS plus an intermediate/high probability of liver fibrosis if the BMI met the three criteria for being a potential 140 mediator as follows: 1) change in sleep duration was associated with BMI, 2) BMI was significantly associated with 141 the incident endpoint when change in sleep duration was included in the model, and 3) the addition of BMI to the 142 model attenuated the association between change in sleep duration and incident HS. We assessed the proportional 143 hazards assumption by examining graphs of estimated log (-log(survival)) versus the log of the survival time graph 144 and found no violation of the assumption. 145

Statistical analyses were performed using STATA version 16.0 (StataCorp LP, College Station, TX, USA). All reported P-146values were two-tailed, and a P-value < 0.05 was considered statistically significant.</td>147

148

154

### 3. Results

Table 1 shows the baseline characteristics of the 86,530 subjects according to changes in sleep duration. At baseline,149the mean (SD) age and the median change in sleep duration were 36.5 (6.0) years and 0 (interquartile range, -1 to 1)150hour, respectively. Compared with subjects with stable sleep duration, those with either a decrease or increase in151sleep duration between baseline and subsequent visits were more likely to be younger, have depressive symptoms,152and less likely to be men and current smokers.153

**Table 1.** Baseline characteristics of study participants by sleep duration.

Characteristics	Overall	Sleep duration				
enaracteristics	Overall	<-1	-1	0	1	>1
Number	86,530	5,991	19,112	37,981	18,091	5,355

[Type here]								
Age (years) <sup>a</sup>	36.5 (6.0)	35.5 (5.5)	36.6 (5.9)	37.0 (6.1)	36.1 (5.9)	35.1 (5.7)		
Men (%)	38.7	23.4	37.7	44.0	38.1	23.4		
Obesity (%)	9.6	8.6	10.0	10.0	9.0	8.2		
Current smoker (%)	13.3	9.4	13.4	14.7	13.0	8.6		
Alcohol intake (%) <sup>c</sup>	5.8	3.4	5.6	6.6	5.5	3.5		
HEPA (%)	13.8	13.6	14.3	13.9	13.4	12.6		
High education (%) <sup>d</sup>	88.9	86.5	88.3	89.4	89.5	88.0		
Married (%)	80.5	85.8	82.0	79.8	78.5	81.0		
Depression (%)	11.2	13.7	10.4	10.1	11.8	17.6		
Hypertension	3.8	2.7	3.7	4.2	3.7	2.5		
Diabetes	0.6	0.5	0.6	0.6	0.5	0.4		
History of CVD	0.7	0.4	0.7	0.8	0.6	0.5		
BMI (kg/m2)	21.6 (2.5)	21.4 (2.5)	21.6 (2.6)	21.7 (2.5)	21.6 (2.5)	21.3 (2.5)		
Systolic BP (mmHg) <sup>a</sup>	104.4 (11.6)	102.5 (11.3)	104.5 (11.7)	105.1 (11.7)	104.2 (11.5)	101.9 (10.8)		
Diastolic BP (mmHg) <sup>a</sup>	66.7 (8.8)	65.5 (8.6)	66.8 (8.8)	67.2 (8.9)	66.5 (8.7)	65.2 (8.2)		
Glucose (mg/dL) <sup>a</sup>	91.2 (8.8)	90.5 (8.1)	91.4 (8.9)	91.5 (8.8)	91.0 (8.9)	90.0 (8.4)		
Total cholesterol (mg/dl)ª	186.4 (31.1)	184.9 (31.4)	186.5 (31.4)	187.0 (31.1)	186.2 (30.9)	183.7 (30.4)		
LDL-C (mg/dL) <sup>a</sup>	111.8 (28.8)	109.6 (28.2)	111.8 (28.9)	112.8 (29.2)	111.7 (28.7)	108.3 (27.9)		
HDL-C (mg/dL) <sup>a</sup>	63.2 (14.6)	64.0 (14.6)	63.1 (14.5)	62.8 (14.5)	63.5 (14.6)	64.6 (14.6)		
Triglycerides (mg/dl) <sup>t</sup>	9 73 (56–100)	72 (55–97)	73 (56–100)	75 (57–102)	73 (56–98)	69 (54–93)		
ALT (U/L) <sup>b</sup>	14 (11–19)	13 (10–18)	14 (11–19)	15 (11–20)	14 (11–19)	13 (11–18)		
GGT (U/L) <sup>ь</sup>	15 (11–22)	13 (10–19)	15 (11–22)	16 (11–23)	15 (11–22)	13 (10–19)		
HOMA-IR <sup>♭</sup>	1.00 (0.68–1.41)	1.01 (0.68– 1.45)	1.01 (0.68– 1.42)	0.99 (0.67– 1.41)	0.99 (0.68– 1.42)	0.98 (0.65– 1.40)		
hsCRP (mg/L) <sup>b</sup>	0.3 (0.2–0.6)	0.3 (0.2–0.6)	0.3 (0.2–0.6)	0.3 (0.2–0.6)	0.3 (0.2–0.6)	0.3 (0.2–0.6)		
Total energy intake <sup>b, c</sup>	² 1487 (1137–1863	) 1469 (1109– ) 1839)	1493 (1144– 1868)	1500 (1155– 1867)	1469 (1114– 1851)	1463 (1104– 1898)		
Poor sleep quality	19.3	18.8	16.0	16.0	23.5	39.9		

Data are expressed as amean (standard deviation), bmedian (interquartile range), or percentage. Abbreviations: ALT,155alanine aminotransferase; BMI, body mass index; BP, blood pressure; CVD, cardiovascular disease; HDL-C, high-156density lipoprotein cholesterol; HEPA, health-enhancing physical activity; hsCRP, high-sensitivity C-reactive protein;157HOMA-IR, homeostasis model assessment of insulin resistance.  $b \ge 20$  g of ethanol per day;  $d \ge$  college graduate; e158

among 63,403 participants with plausible estimated energy intake levels (within three standard deviations from the log-transformed mean energy intake). 160

During the 305833 person-year follow-up, 12127 cases of incident HS were identified (incidence rate 39.7/103 161 person-years). The median follow-up duration was 3.6 years (interquartile range, 2.0–5.0). A decrease in sleep 162 duration was associated with an increased risk of incident HS (Table 2). After adjustment for age, sex, center, year of 163 the screening examination, season, alcohol consumption, smoking, physical activity, total energy intake, marital 164 status, education level, depression, history of diabetes, history of hypertension, sleep duration, and sleep quality, 165 the multivariate-adjusted HR (95% CIs) for incident HS comparing change in sleep durations of <-1, -1 to 0.1, 0.1 to 1, 166 and >1 hour with 0 hour (reference) was 1.24 (1.15–1.35), 1.12 (1.06–1.17), 1.00 (0.95–1.05), and 0.99 (0.91–1.08), 167 respectively. After further adjustment for BMI (Model 2), the association between the decrease in sleep duration 168and incident HS was attenuated but remained significant. After adjustment for WC instead of BMI, this association 169 persisted (Supplementary Table 1). Compared with persistently good sleep quality, persistently poor sleep quality 170 was associated with an increased risk of incident HS. After adjustment for BMI, sleep duration, and other 171 confounders, the multivariate-adjusted HR comparing persistently poor sleep quality with persistently good sleep 172 quality was 1.13 (95% CI, 1.05–1.20). Resolution of poor sleep quality or newly developed poor quality was not 173 associated with the risk of HS. 174

175

**Table 2.** Hazard ratios (95% CIs) of incident hepatic steatosis per sleep duration change and subjective sleep quality176change.177

	-		Incidence	Age and sex-	Multivariable-adju	sted HR <sup>a</sup>	
	Person-years (PY)	Incident cases	rate (/1,000 adjusted HR (		;% (95% CI)		
			PY)	CI)	Model 1	Model 2	
Sleep duration							
change category							
<-1 hour	21758.4	760	34.9	1.13 (1.05–1.22)	1.24 (1.15–1.35)	1.14 (1.06–1.24)	
- 1 hour	69109.2	2788	40.3	1.07 (1.02–1.12)	1.12 (1.06–1.17)	1.07 (1.02–1.12)	
0 hour	134225.6	5519	41.1	1.00 (reference)	1.00 (reference)	1.00 (reference)	
1 hour	62399.4	2453	39.3	1.05 (1.00–1.10)	1.00 (0.95–1.05)	1.02 (0.97–1.07)	
>1 hour	18340.3	607	33.1	1.09 (1.00–1.19)	0.99 (0.91–1.08)	1.03 (0.94–1.12)	
P for trend				0.195	< 0.001	0.015	
P for quadratic te	rm			0.003	< 0.001	0.018	
Sleep quality chan category	ge						

	[Type here]					
Persistent good quality	218435.7	9076	41.5	1.00 (reference) 1.00 (reference) 1.00 (reference)	1.00 (reference) 1.00 (reference)	
Developed poor quality	29805.7	1038	34.8	1.02 (0.95–1.09) 1.00 (0.94–1.07) 1.02 (0.95–1.08)	1.00 (0.94–1.07) 1.02 (0.95–1.08	
Resolved poor quality	29182.2	987	33.8	1.00 (0.93–1.06) 0.96 (0.90–1.02) 1.00 (0.93–1.07)	0.96 (0.90–1.02) 1.00 (0.93–1.07	
Persistent poor quality	28409.4	1026	36.1	1.10 (1.03–1.17) 1.05 (0.98–1.12) 1.13 (1.05–1.20)	1.05 (0.98–1.12) 1.13 (1.05–1.20	

Estimated from Cox proportional hazards models. The multivariate model was adjusted for age, sex, center, year of screening examination, alcohol consumption, smoking, physical activity, marital status, season, history of diabetes, history of hypertension, sleep quality (only for sleep duration change category), and sleep duration at baseline; model 2: model 1 plus adjustment for BMI. Abbreviations: BMI, body mass index; CI, confidence interval; HR, hazard ratio.

During the 332785.9 person-year follow-up, 559 cases of incident HS plus an intermediate/high FIB-4 were identified 183 (incidence rate 1.7/10<sup>3</sup> person-years). After adjustment for age, sex, and other confounders, the multivariate-184 adjusted HR (95% CI) for incident HS plus an intermediate/high FIB-4 comparing change in sleep durations of <-1, -1 185 to 0.1, 0.1 to 1, and >1 hour with 0 hour (stable sleep duration , the reference) was 1.58 (1.10–2.29), 1.16 (0.94– 186 1.44), 0.98 (0.77–1.23), and 0.89 (0.56–1.42), respectively.(Table 3) After further adjustment for BMI (Model 2), the 187 association between a decrease in sleep duration of > 1 hour and incident HS plus intermediate/high FIB-4 remained 188 significant. Compared with persistently good sleep quality, persistently poor sleep quality tended to be associated 189 with an increased risk of HS plus intermediate/high FIB-4, but this did not reach significance. 190

191

**Table 3.** Hazard ratios (95% CIs) of incident hepatic steatosis plus intermediate/high probability of advanced fibrosis192based on FIB-4 with respect to sleep duration change and subjective sleep quality change.193

				Age and sex-	Multivariable-adju	sted HR <sup>a</sup>	
•	Person-years (I	(PY) Incident cases	. ,	0 adjusted HR (95%	ώ(95% CI)		
			PY)	CI)	Model 1	Model 2	
Sleep duration change category							
<-1 hour	23439.9	36	1.54	 1.37 (0.96–1.94)	1.58 (1.10–2.29)	1.45 (1.004– 2.10)	
- 1 hour	75475.2	130	1.72	1.09 (0.89–1.35)	1.16 (0.94–1.44)	1.11 (0.90–1.38)	
0 hour	146570.2	268	1.83	1.00 (reference)	1.00 (reference)	1.00 (reference)	
1 hour	67695.1	104	1.54	1.03 (0.82–1.29)	0.98 (0.77–1.23)	0.99 (0.79–1.25)	
>1 hour	19605.6	21	1.07	1.00 (0.64–1.56)	0.89 (0.56–1.42)	0.93 (0.58–1.49)	

	[Type here]						
P for trend				0.197	0.028	0.104	
P for quadratic term				0.509	0.381	0.543	
Sleep quality change category							
Persistent good quality	238838	429	1.8	1.00 (reference)	1.00 (reference)	1.00 (reference)	
Developed poor quality	32044.1	46	1.4	1.13 (0.83–1.53)	1.11 (0.82–1.51)	1.13 (0.83–1.53)	
Resolved poor quality	31347	39	1.2	0.97 (0.70–1.35)	0.92 (0.66–1.29)	0.96 (0.69–1.34)	
Persistent poor quality	30556.8	45	1.5	1.17 (0.86–1.59)	1.09 (0.79–1.49)	1.18 (0.86–1.62)	

Estimated from Cox proportional hazards models. The multivariate model was adjusted for age, sex, center, year of screening examination, alcohol consumption, smoking, physical activity, marital status, season, history of diabetes, history of hypertension, sleep quality (only for sleep duration change category), and sleep duration at baseline; model 2: model 1 plus adjustment for BMI. Abbreviations: BMI, body mass index; CI, confidence interval; HR, hazard ratio.

199

## 4. Discussion

In this large-scale prospective cohort study of 86,530 patients with a median age of 36.5 years, a decrease in sleep 200 duration over time and persistently poor sleep quality were associated with an increased risk of developing NAFLD 201 both with and without an intermediate/a high fibrosis score. After further adjustment for BMI, the association 202 between decreased sleep and NAFLD was attenuated but remained significant. Furthermore, compared with 203 persistently good sleep quality, persistently poor sleep quality was significantly associated with the risk of NAFLD 204 even after adjusting for BMI. This trend was similarly seen in the relationship between a decrease in sleep duration 205 and incident HS plus an intermediate/high FIB-4. Persistent poor sleep quality also tended to be associated with an 206 increased risk of HS plus intermediate/high FIB-4, but the relationship was not significant. 207

Currently, no cohort studies are available on the relationship between sleep changes and NAFLD risk. A cohort study 208 of 15,753 participants in China found an association between shortening of sleep duration and the risk of metabolic 209 syndrome.[21] Another cohort study in the UK showed an association between increased sleep duration and the risk 210 of type 2 diabetes. [22] In the same study, the increased risk was also associated with decrease in sleep duration over 211 time, although this was not significant, possibly due to the insufficient number of participants examined for the 212 change in sleep duration. In addition, sleep quality, which would have been helpful in determining whether the long 213 sleep duration was compensatory, was not analyzed. Finally, another cohort study with 9781 participants showed a 214 U-shaped relationship between sleep duration change and mortality, indicating both a decrease and increase in 215 sleep duration as a predictor of increased mortality[23]. 216

The present study is the first to show an association between decrease in sleep duration and increased risk of217incident NAFLD, which extends and is in agreement with results from previous studies.[13] [21] Furthermore, whilst218none of these previous studies considered change in sleep quality, our study also incorporated change in sleep219quality over time as a key exposure, extending the work of others in this field.220

There are some plausible mechanisms linking the decrease in sleep and NAFLD. Hypothalamic-pituitary-adrenal 221 (HPA) axis and autonomic nervous system activities are important in the regulation of the immune system and 222 cardiometabolic function.[32] Cortisol, inflammatory cytokines, and norepinephrine, which are derivatives of these 223 systems, are associated with the variation in sleep.[33] The dysregulation of HPA axis caused by changes in sleep 224 increases the risk of chronic diseases.[34] Further, the individual behavioral factors also need to be considered. After 225 several days of sleep deprivation due to workload or school load, there is a tendency for individuals to seek sleep 226 compensation by sleeping more on weekends or drinking caffeine. [16,17] These behaviors may impair sleep the 227 following night, further provoking instability and variation in sleep.[33] Consequential poor sleep quality may induce 228 an elevated risk of cardiovascular disease, obesity, and other comorbidities.[35] 229

Considering the deprivation of sleep itself, a decline in sleep induces appetite through the increase in ghrelin and 230 decrease in leptin levels. [36] This eventually triggers weight gain and obesity, which is a risk factor for NAFLD. [37] 231 Further, deprivation in sleep can also cause impaired insulin sensitivity, [38] and insulin resistance is a key factor in 232 the pathogenesis of NAFLD. Moreover, proinflammatory activity, such as an increase in IL-6 or TNF- $\alpha$ , can be 233 aggravated by the decrease in sleep. [38,39] This relationship is significant because inflammation, induced by 234 inflammatory activity, is another mechanism of NAFLD. Additionally, the suppression of melatonin, known as a 235 strong antioxidant, may provoke chronic inflammation, increasing the risk of liver disease and other chronic diseases, 236 including cardiovascular diseases.[33] [40] 237

In our large-scale cohort study, we evaluated the effect of changes in sleep duration and quality on NAFLD both with 238 and without fibrosis, which is a major strength of our study. In addition, the participants of our study comprised a 239 relatively young population, which decreases potential bias from possible comorbidities. Furthermore, to the best of 240 our knowledge, our study is the first to analyze the relationship of the change in sleep duration and quality with 241 NAFLD. As NAFLD is one of the most frequently seen chronic liver diseases and the prevalence of short sleep 242 duration is approximately 20%, [10] the results of our study suggest the importance of maintaining adequate sleep 243 duration and good sleep quality in public health. 244

245

## 5. Limitations

There are some limitations to our study. First, sleep duration was assessed using a self-administered questionnaire. 246 However, self-reported sleep evaluation is commonly used in many studies and self-assessments are known to be 247 moderately correlated with actigraphy or objectively measured sleep duration [41,42] Further, we used the widely 248 validated PSQI to analyze sleep quality.[29] Second, a histologic diagnosis of the liver was not made. Histologic 249 assessment is accurate in evaluating the severity of steatosis, but ultrasonography is commonly used in many cohort 250 studies and is also an acceptable modality in the diagnosis of fatty liver.[43] Recently, newer noninvasive methods 251 for assessment of both hepatic steatosis and fibrosis have been developed and validated. One such promising 252 technique that is becoming available in clinical practice is multi-parametric ultrasound. Multi-parametric ultrasound 253

utilizes ultrasound, shear wave elastography and contrast-enhanced ultrasound measurements and this	254
methodology may be particularly useful in large cohort studies as it provides a non-invasive assessment of both liver	255
steatosis and fibrosis in NAFLD [44,45]. Third, the possible reason for the change in sleep length was not evaluated.	256
The decrease in duration over time may be caused by either intentional or unintentional factors, or both. These	257
include workload, stress, sleep apnea, comorbidities, or unknown underlying diseases, and further studies	258
considering these two factors are needed. Finally, the large proportion of young patients in our study may limit the	259
generalizability to other age or ethnic groups.	260
6. Conclusions	261
Our results show that a decrease in sleep duration and poor sleep quality over time is associated with an increased	262
risk of incident NAFLD. Further studies evaluating the interventional effects of modifying sleep duration are required.	263
Abbreviations	264
ALT: alanine aminotransferase	265
AST: aspartate aminotransferase	266
BMI: body mass index	267
CES-D: Center for Epidemiologic Studies Depression	268
CI: confidence interval	269
HOMA-IR: homeostasis model assessment of insulin resistance	270
HR: hazard ratio	271
HDL-C: high-density lipoprotein cholesterol	272
HPA: hypothalamic–pituitary–adrenal	273
hsCRP: high sensitivity C-reactive protein	274
LDL-C: low-density lipoprotein cholesterol	275
NAFLD: nonalcoholic fatty liver disease	276
PSQI: Pittsburgh Sleep Quality Index	277
6.1. Key points	278
6.1.1. Question	279
Do changes in sleep duration and sleep quality independently affect non-alcoholic fatty liver disease (NAFLD)NAFLD	280
risk?	281
6.1.2. Findings	282

n this large-scale prospective cohort study of 86,530 patients, stable sleepers had the lowest risk of incident hepatic	283					
steatosis (HS) and HS plus an intermediate/high FIB-4 score. A decrease in sleep duration over time was significantly	284					
associated with an increased risk of both incident HS and HS plus an intermediate/high FIB-4 score. Compared with	285					
persistently good sleep quality, persistently poor sleep quality was associated with an increased risk of HS and HS	286					
olus an intermediate/high FIB-4.	287					
5.1.3. Meaning	288					
Maintenance of adequate sleep duration and good sleep quality should be considered as a preventive strategy for	289					
educing NAFLD risk and its consequences. Physicians should be observant of changes in sleep duration and sleep	290					
quality, which might be a good timing to help identify individuals at high risk of subsequent NAFLD.	291					
Acknowledgments: This study was supported by the SKKU Excellence in Research Award Research Fund,	292					
Sungkyunkwan University, 2020. This study was supported by the National Research Foundation of Korea funded by	293					
he Ministry of Science, ICT, and Future Planning (NRF-2017R1A2B2008401). CDB is supported in part by the	294					
Southampton NIHR Biomedical Research Centre (IS-BRC-20004), United Kingdom.	295					
Financial Support: None to declare.	296					
Conflicts of Interest: None to disclose.	297					
Author Contributions: Conceptualization, Y.Chang., S.Ryu.; Methodology, Y.Chang., S.Ryu.; Formal Analysis, S.Ryu.;	298					
nvestigation, Y.Um, Y.Chang., S.Ryu.; Writing – Original Draft Preparation, Y.Um., Y.Chang.; Writing – Review &	299					
Editing, Y.Um., Y.Chang., H.Jung., I.Cho., J.Shin., H.Shin., S.Wild., C.Byrne., S.Ryu.; Supervision, Y.Chang., S.Ryu.,	300					
H.Shin.	301					
Supplementary Table S1. Hazard ratios a (95% CI) of incident hepatic steatosis or incident hepatic steatosis plus	302					
ntermediate/high probability of advanced fibrosis based on FIB-4 with respect to sleep duration change and	303					
subjective sleep quality change after further adjusting for waist circumference among 75,694 participants with waist	304					
circumference available.	305					
References	306					
Byrne, C.D.; Targher, G. NAFLD: a multisystem disease. <i>J Hepatol</i> <b>2015</b> , <i>62</i> , S47-64.	307					
Adams, L.A.; Anstee, Q.M.; Tilg, H.; Targher, G. Non-alcoholic fatty liver disease and its relationship with	308					
cardiovascular disease and other extrahepatic diseases. Gut 2017, 66, 1138-1153.	309					
3. Younossi, Z.M. Non-alcoholic fatty liver disease - A global public health perspective. <i>J Hepatol</i> <b>2019</b> , <i>70</i> , 531-	310					
544.	311					
4. Umbro, I.; Baratta, F.; Angelico, F.; Del Ben, M. Nonalcoholic Fatty Liver Disease and the Kidney: A Review.	312					
Biomedicines <b>2021</b> , 9.	313					
5. Chalasani, N.; Younossi, Z.; Lavine, J.E.; Charlton, M.; Cusi, K.; Rinella, M.; Harrison, S.A.; Brunt, E.M.; Sanyal,	314					
A.J. The diagnosis and management of nonalcoholic fatty liver disease: Practice guidance from the American	315					
Association for the Study of Liver Diseases. <i>Hepatology</i> <b>2018</b> , <i>67</i> , 328-357.	316					
Association for the study of liver Diseases. <i>Reputilogy</i> <b>2018</b> , 67, 328-357. 316						

6.	Dyson, J.K.; Anstee, Q.M.; McPherson, S. Non-alcoholic fatty liver disease: a practical approach to treatment.	317
Frontli	ne Gastroenterol <b>2014</b> , 5, 277-286.	318
7. Review	Irwin, M.R.; Olmstead, R.; Carroll, J.E. Sleep Disturbance, Sleep Duration, and Inflammation: A Systematic and Meta-Analysis of Cohort Studies and Experimental Sleep Deprivation. <i>Biol Psychiatry</i> <b>2016</b> , <i>80</i> , 40-52.	319 320
8.	Grandner, M.A.; Sands-Lincoln, M.R.; Pak, V.M.; Garland, S.N. Sleep duration, cardiovascular disease, and ammatory biomarkers. <i>Nat Sci Sleep</i> <b>2013</b> , <i>5</i> , 93-107.	321 322
	Hirshkowitz, M.; Whiton, K.; Albert, S.M.; Alessi, C.; Bruni, O.; DonCarlos, L.; Hazen, N.; Herman, J.; Katz, E.S.; ndish-Gozal, L.; et al. National Sleep Foundation's sleep time duration recommendations: methodology and summary. <i>Sleep Health</i> <b>2015</b> , <i>1</i> , 40-43.	323 324 325
10. from sl	Sheehan, C.M.; Frochen, S.E.; Walsemann, K.M.; Ailshire, J.A. Are U.S. adults reporting less sleep?: Findings leep duration trends in the National Health Interview Survey, 2004-2017. <i>Sleep</i> <b>2019</b> , <i>42</i> .	326 327
11. system	Xi, B.; He, D.; Zhang, M.; Xue, J.; Zhou, D. Short sleep duration predicts risk of metabolic syndrome: a natic review and meta-analysis. <i>Sleep Med Rev</i> <b>2014</b> , <i>18</i> , 293-297.	328 329
	Itani, O.; Kaneita, Y.; Tokiya, M.; Jike, M.; Murata, A.; Nakagome, S.; Otsuka, Y.; Ohida, T. Short sleep on, shift work, and actual days taken off work are predictive life-style risk factors for new-onset metabolic me: a seven-year cohort study of 40,000 male workers. <i>Sleep Med</i> <b>2017</b> , <i>39</i> , 87-94.	330 331 332
13.	Rinella, M.E. Nonalcoholic fatty liver disease: a systematic review. JAMA 2015, 313, 2263-2273.	333
14. nonalc 1807.	Wijarnpreecha, K.; Thongprayoon, C.; Panjawatanan, P.; Ungprasert, P. Short sleep duration and risk of oholic fatty liver disease: A systematic review and meta-analysis. <i>J Gastroenterol Hepatol</i> <b>2016</b> , <i>31</i> , 1802-	334 335 336
15. Meta-a	Shen, N.; Wang, P.; Yan, W. Sleep Duration and the Risk of Fatty Liver Disease: A Systematic Review and analysis. <i>Sci Rep</i> <b>2016</b> , <i>6</i> , 31956.	337 338
16.	Hirshkowitz, M. Normal human sleep: an overview. Med Clin North Am 2004, 88, 551-565, vii.	339
•	Ohayon, M.M.; Carskadon, M.A.; Guilleminault, C.; Vitiello, M.V. Meta-analysis of quantitative sleep eters from childhood to old age in healthy individuals: developing normative sleep values across the human n. <i>Sleep</i> <b>2004</b> , <i>27</i> , 1255-1273.	340 341 342
18. norma	Dillon, H.R.; Lichstein, K.L.; Dautovich, N.D.; Taylor, D.J.; Riedel, B.W.; Bush, A.J. Variability in self-reported I sleep across the adult age span. <i>J Gerontol B Psychol Sci Soc Sci</i> <b>2015</b> , <i>70</i> , 46-56.	343 344
19. <b>2008</b> , 9	Van Cauter, E.; Spiegel, K.; Tasali, E.; Leproult, R. Metabolic consequences of sleep and sleep loss. <i>Sleep Med</i> 9 Suppl 1, S23-28.	345 346
20. Morb I	Shockey, T.M.; Wheaton, A.G. Short Sleep Duration by Occupation Group - 29 States, 2013-2014. MMWR Mortal Wkly Rep <b>2017</b> , 66, 207-213.	347 348

21. Kailua	Song, Q.; Liu, X.; Zhou, W.; Wang, X.; Wu, S. Changes in sleep duration and risk of metabolic syndrome: the	349
Kallua	n prospective study. <i>Sci Rep</i> <b>2016</b> , <i>6</i> , 36861.	350
22. Shiple 1472.	Ferrie, J.E.; Kivimaki, M.; Akbaraly, T.N.; Tabak, A.; Abell, J.; Davey Smith, G.; Virtanen, M.; Kumari, M.; y, M.J. Change in Sleep Duration and Type 2 Diabetes: The Whitehall II Study. <i>Diabetes Care</i> <b>2015</b> , <i>38</i> , 1467-	351 352 353
23. study	Ferrie, J.E.; Shipley, M.J.; Cappuccio, F.P.; Brunner, E.; Miller, M.A.; Kumari, M.; Marmot, M.G. A prospective of change in sleep duration: associations with mortality in the Whitehall II cohort. <i>Sleep</i> <b>2007</b> , <i>30</i> , 1659-1666.	354 355
	Um, Y.J.; Chang, Y.; Jung, H.S.; Cho, I.Y.; Shin, J.H.; Shin, H.; Wild, S.H.; Byrne, C.D.; Ryu, S. Sleep Duration, Quality, and the Development of Nonalcoholic Fatty Liver Disease: A Cohort Study. <i>Clin Transl Gastroenterol</i> <i>12</i> , e00417.	356 357 358
	Chang, Y.; Ryu, S.; Choi, Y.; Zhang, Y.; Cho, J.; Kwon, M.J.; Hyun, Y.Y.; Lee, K.B.; Kim, H.; Jung, H.S.; et al. polically Healthy Obesity and Development of Chronic Kidney Disease: A Cohort Study. <i>Ann Intern Med</i> <b>2016</b> , 205-312.	359 360 361
	Chang, Y.; Ryu, S.; Sung, K.C.; Cho, Y.K.; Sung, E.; Kim, H.N.; Jung, H.S.; Yun, K.E.; Ahn, J.; Shin, H.; et al. olic and non-alcoholic fatty liver disease and associations with coronary artery calcification: evidence from the ouk Samsung Health Study. <i>Gut</i> <b>2019</b> , <i>68</i> , 1667-1675.	362 363 364
27. Psycho	Radloff, L.S. The CES-D scale: a self-report depression scale for research in the general population. <i>Appl ol Meas</i> <b>1977</b> , <i>1</i> , 385-401.	365 366
28. depre	Cho, M.J.; Kim, K.H. Diagnostic validity of the CES-D (Korean version) in the assessment of DSM-III R major ssion. <i>J Korean Neuropsychiatr Assoc</i> <b>1993</b> , <i>32</i> , 381-399.	367 368
29. new ir	Buysse, D.J.; Reynolds, C.F., 3rd; Monk, T.H.; Berman, S.R.; Kupfer, D.J. The Pittsburgh Sleep Quality Index: a nstrument for psychiatric practice and research. <i>Psychiatry Res</i> <b>1989</b> , <i>28</i> , 193-213.	369 370
	Mathiesen, U.L.; Franzen, L.E.; Aselius, H.; Resjo, M.; Jacobsson, L.; Foberg, U.; Fryden, A.; Bodemar, G. used liver echogenicity at ultrasound examination reflects degree of steatosis but not of fibrosis in ptomatic patients with mild/moderate abnormalities of liver transaminases. <i>Dig Liver Dis</i> <b>2002</b> , <i>34</i> , 516-522.	371 372 373
•	Shah, A.G.; Lydecker, A.; Murray, K.; Tetri, B.N.; Contos, M.J.; Sanyal, A.J.; Nash Clinical Research, N. arison of noninvasive markers of fibrosis in patients with nonalcoholic fatty liver disease. <i>Clin Gastroenterol</i> col <b>2009</b> , <i>7</i> , 1104-1112.	374 375 376
32. sleep: 3106-3	Buckley, T.M.; Schatzberg, A.F. On the interactions of the hypothalamic-pituitary-adrenal (HPA) axis and normal HPA axis activity and circadian rhythm, exemplary sleep disorders. <i>J Clin Endocrinol Metab</i> <b>2005</b> , <i>90</i> , 3114.	377 378 379
33. health	Slavish, D.C.; Taylor, D.J.; Lichstein, K.L. Intraindividual variability in sleep and comorbid medical and mental n conditions. <i>Sleep</i> <b>2019</b> , <i>42</i> .	380 381

34. Bose, M.; Olivan, B.; Laferrere, B. Stress and obesity: the role of the hypothalamic-pituitary-adrenal axis in metabolic disease. <i>Curr Opin Endocrinol Diabetes Obes</i> <b>2009</b> , <i>16</i> , 340-346.	382 383
35. Vyas, M.V.; Garg, A.X.; Iansavichus, A.V.; Costella, J.; Donner, A.; Laugsand, L.E.; Janszky, I.; Mrkobrada, M.; Parraga, G.; Hackam, D.G. Shift work and vascular events: systematic review and meta-analysis. <i>BMJ</i> <b>2012</b> , <i>345</i> , e4800.	384 385 386
36. Morselli, L.; Leproult, R.; Balbo, M.; Spiegel, K. Role of sleep duration in the regulation of glucose metabolism and appetite. <i>Best Pract Res Clin Endocrinol Metab</i> <b>2010</b> , <i>24</i> , 687-702.	387 388
37. Sheka, A.C.; Adeyi, O.; Thompson, J.; Hameed, B.; Crawford, P.A.; Ikramuddin, S. Nonalcoholic Steatohepatitis: A Review. <i>JAMA</i> <b>2020</b> , <i>323</i> , 1175-1183.	389 390
38. Briancon-Marjollet, A.; Weiszenstein, M.; Henri, M.; Thomas, A.; Godin-Ribuot, D.; Polak, J. The impact of sleep disorders on glucose metabolism: endocrine and molecular mechanisms. <i>Diabetol Metab Syndr</i> <b>2015</b> , <i>7</i> , 25.	391 392
39. Patel, S.R.; Zhu, X.; Storfer-Isser, A.; Mehra, R.; Jenny, N.S.; Tracy, R.; Redline, S. Sleep duration and biomarkers of inflammation. <i>Sleep</i> <b>2009</b> , <i>32</i> , 200-204.	393 394
40. Sun, H.; Huang, F.F.; Qu, S. Melatonin: a potential intervention for hepatic steatosis. <i>Lipids Health Dis</i> <b>2015</b> , <i>14</i> , 75.	395 396
41. Lockley, S.W.; Skene, D.J.; Arendt, J. Comparison between subjective and actigraphic measurement of sleep and sleep rhythms. <i>J Sleep Res</i> <b>1999</b> , <i>8</i> , 175-183.	397 398
42. Lauderdale, D.S.; Knutson, K.L.; Yan, L.L.; Liu, K.; Rathouz, P.J. Self-reported and measured sleep duration: how similar are they? <i>Epidemiology</i> <b>2008</b> , <i>19</i> , 838-845.	399 400
43. Hernaez, R.; Lazo, M.; Bonekamp, S.; Kamel, I.; Brancati, F.L.; Guallar, E.; Clark, J.M. Diagnostic accuracy and reliability of ultrasonography for the detection of fatty liver: a meta-analysis. <i>Hepatology</i> <b>2011</b> , <i>54</i> , 1082-1090.	401 402
44. Popa, A.; Bende, F.; Sirli, R.; Popescu, A.; Baldea, V.; Lupusoru, R.; Cotrau, R.; Fofiu, R.; Foncea, C.; Sporea, I. Quantification of Liver Fibrosis, Steatosis, and Viscosity Using Multiparametric Ultrasound in Patients with Non- Alcoholic Liver Disease: A "Real-Life" Cohort Study. <i>Diagnostics (Basel)</i> <b>2021</b> , <i>11</i> .	403 404 405
45. Sugimoto, K.; Moriyasu, F.; Oshiro, H.; Takeuchi, H.; Abe, M.; Yoshimasu, Y.; Kasai, Y.; Sakamaki, K.; Hara, T.; Itoi, T. The Role of Multiparametric US of the Liver for the Evaluation of Nonalcoholic Steatohepatitis. <i>Radiology</i> <b>2020</b> , <i>296</i> , 532-540.	406 407 408
	409
	410

411