

1 Wave comparisons of clinical characteristics and outcomes of COVID-19
2 admissions - Exploring the impact of treatment and strain dynamics

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25 **Running title:** COVID Waves: Clinical Characteristics

26 **Body word count:** 2,497

27

28 **Abstract**

29 **Objectives**

30 Dexamethasone has now been incorporated into the standard of care for COVID-19 hospital
31 patients. However, larger intensive care unit studies have failed to show discernible
32 improvements in mortality in the most recent wave. We aimed to investigate the impacts of these
33 factors on disease outcomes in a UK hospital study.

34 **Methods**

35 This retrospective observational study reports patient characteristics, interventions and
36 outcomes in COVID-19 patients from a UK teaching hospital; cohort 1, pre 16th June-2020 (pre-
37 dexamethasone); cohort 2, 17th June to 30th November-2020 (post-dexamethasone, pre-VOC
38 202012/01 as dominant strain); cohort 3, 1st December-2020 to 3rd March-2021 (during
39 establishment of VOC202012/01 as dominant strain).

40 **Results**

41 Dexamethasone treatment was more common in cohorts 2 and 3 (42.7% and 51.6%) compared
42 with cohort 1 (2.5%). After adjusting for risk, odds of death within 28 days were 2-fold lower in
43 cohort 2 vs 1 (OR:0.47,[0.27,0.79],p=0.006). Mortality was higher cohort 3 vs 2 (20% vs 14%); but
44 not significantly different to cohort 1 (OR: 0.86,[0.64, 1.15],p=0.308).

45 **Conclusions**

46 The real world finding of lower mortality following dexamethasone supports the published trial
47 evidence and highlights ongoing need for research with introduction of new and ongoing
48 concern of new COVID-19 variants.

49 **Abstract word count:** 193

50 **Keywords:** COVID-19 waves, dexamethasone, COVID-19 variants

51

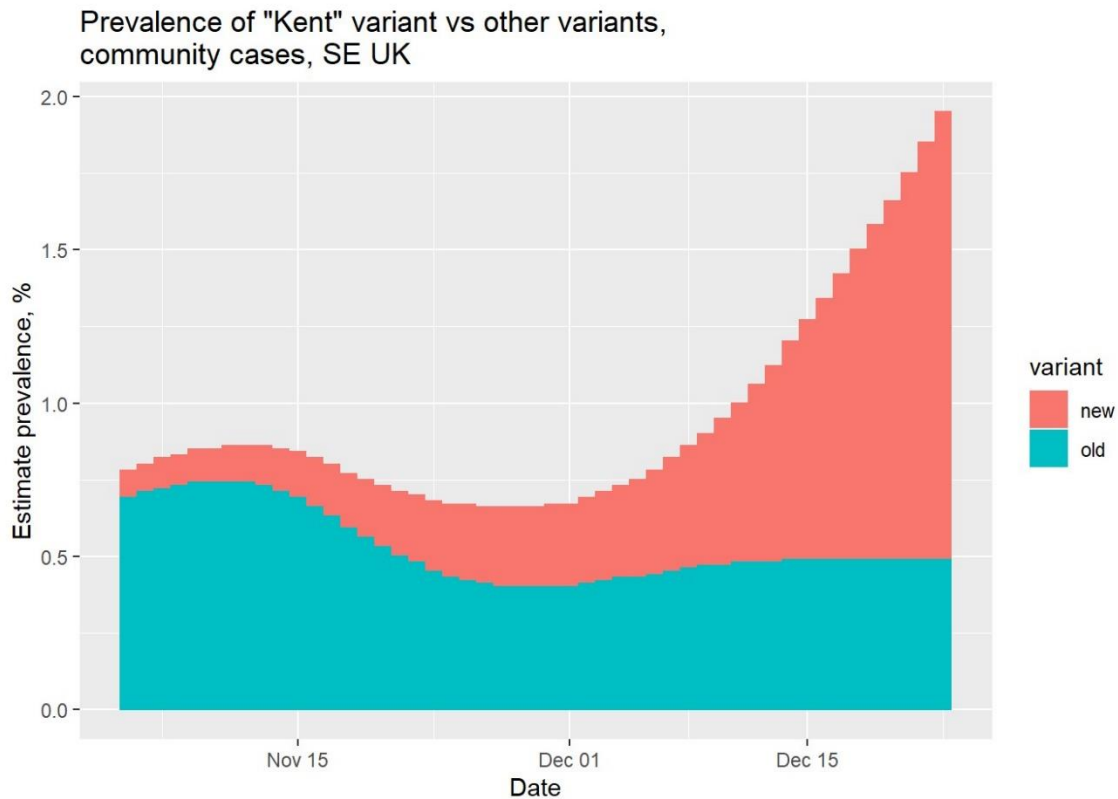
52 **Introduction**

53 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection leads to a range of
54 clinical outcomes from asymptomatic carriage to severe Coronavirus disease (COVID-19) (1, 2).
55 During the first COVID-19 peak May-2020, large clinical trials, including ACCORD and RECOVERY,
56 were initiated to rapidly test and identify new COVID-19 therapeutics (3-5). On 16th June-2020,
57 the RECOVERY trial identified dexamethasone as effective at reducing deaths in patients
58 receiving oxygen or invasive ventilation by a third, and was rapidly translated into standard of
59 care for all COVID-19 patients with oxygen requirement (4, 6, 7). However, since then, the larger
60 intensive care unit studies, such as the Intensive Care National Audit and Research Centre
61 (ICNARC) report on COVID-19 in critical care, have failed to show discernible improvements in
62 oxygen requirements and 28-day in-hospital mortality risk in the most recent wave (8).

63 A new SARS-CoV-2 virus lineage(B.1.1.7), known as Variant of Concern (VOC)202012/01, the
64 “Kent” variant, was detected in England in September-2020 and reported to have increased
65 transmissibility (9). A recent report highlighted infection with this lineage to associate with
66 increased oxygen requirements and a 60% higher 28-day in-hospital mortality risk in intensive
67 care unit (ITU) patients (10). By the peak of the third wave (end of December-2020), this new
68 variant established itself as the most prevalent SARS-CoV-2 lineage in South East of England
69 (Figure 1) (11).

70 Using data from the Research Evaluation Alongside Clinical Treatment in COVID-19 (REACT
71 COVID-19) study, established to provide a real-time database of a broader cohort of well-
72 characterised hospital patients with COVID-19 (12, 13), we report COVID-19 patient clinical and

73 biochemical parameters, interventions and outcomes for each COVID-19 wave. Through
74 comparison of the pre-dexamethasone first wave (cohort 1), the pre-VOC202012/01 post
75 dexamethasone period (cohort 2) and the most recent VOC202012/01 wave (cohort 3), we aimed
76 to gain insights around the impact of changing clinical practice and dexamethasone use and
77 VOC202012/01 on clinical outcomes.



78

79 **Figure 1:** changes in prevalence of new COVID-19 variant, SE England. Estimates from the ONS
80 (11) suggest that the prevalence of the novel COVID-19 variant (VOC202012/01) within the
81 community in South East England started to become dominant from December 2020 onward.

82

83 **Methods**

84 **Study design and setting**

85 Data were collected as part of the REACT observational and biobanking study of COVID-19 on
86 COVID-19 positive patients admitted to University Hospital Southampton 7th March-2020-3rd
87 March-2021 (12). Ethical approval was obtained from HRA specific review board (REC
88 20/HRA/2986).

89 **Participants**

90 Patients were included in the study if admitted to hospital with a positive RT-PCR result from
91 nasopharyngeal swab or bronchoalveolar lavage for SARS-CoV-2 and were split into 3 cohorts
92 dependent on date of presentation (Table 1). Patients with a first positive test date fewer than
93 28 days before the data cut-off date were excluded.

94 **Table 1: Cohorts of patients**

Cohort 1	first positive test up to 16 June 2020 (pre-dexamethasone, original variant)
Cohort 2	first positive test 17 June to 30 November (post-dexamethasone, original variant)
Cohort 3	first positive test 1 December 2020 to 3 March 2021 (post-dexamethasone, B.1.1.7)

95

96 **Variables**

97 Patients' characteristics included demographics (age, sex, body mass index) and comorbidities
98 (including asthma, COPD, cardiac disease and others). Patients defined as having a neurological
99 disease included those recorded as having a diagnosis of epilepsy, a demyelinating condition (e.g.
100 multiple sclerosis), an extra-pyramidal condition (e.g. Parkinson's disease), stroke, myasthenia
101 gravis, Huntington's, spina bifida, motor neuron disease, cerebral palsy, a degenerative disease
102 of the nervous system, spinal muscular atrophy, hydrocephalus, alcohol related neurological
103 disease, vascular related neurological disease or Alzheimer's.

104 Data collected at admission and throughout hospitalization as part of routine clinical care were
105 recorded (Table 3). Timing, dose and duration of treatments, including corticosteroids,
106 anticoagulants, antibiotics, antivirals and antifungals were collected. Data up to and including 28
107 days after each patient's first positive test were included in the analysis.

108 **Outcomes**

109 The primary outcome was in-hospital mortality within 28 days of first positive test. For evaluation
110 of changes in parameters, analysis was restricted to patients who were hospitalised for 2 or more
111 days.

112 **Data sources / measurement**

113 Clinical data were captured longitudinally, with change over time treated as explicit. A detailed
114 study protocol and overview of methodology has previously been published (12).

115 In order to adjust the analysis of mortality based on known COVID-19 risk factors, weighted risk
116 scores were calculated for patients after the first positive SARS-CoV-2 test (first available value
117 up to and including the day after test) using available variables and equivalent weightings as
118 described previously for 4C mortality score. Briefly, the following weightings were applied: age
119 (50-60 years score +2, 60-70 years score +4, 70-80 score years +6, >80 years score +7); sex (male
120 score +1); number of relevant comorbidities (1 score +1, >1 score +2); respiration rate (20-30
121 score +1, >30 score +2); peripheral oxygen saturation (<92% score +2); urea (7-14 mmol/l score
122 +1, >14 mmol/l score +3); CRP (50-100 mmol/l score +1, >100 mmol/l score +2). Glasgow Coma
123 Scale values were not included in risk score calculation, as approximately 90% of patients did not
124 have values available.

125 **Statistical methods**

126 Continuous data were summarised as median (interquartile range) and categorical as frequency
127 (percentage). Cohorts were compared using Chi-squared or Kruskal-Wallis tests, respectively.
128 Associations between cohorts and outcome were investigated using logistic regression adjusted
129 for the first risk score. P-values were adjusted for multiple testing using Holm–Bonferroni
130 method. Multivariable analysis of differences between cohorts upon presentation was
131 performed using machine learning models including tree-based models and regularised
132 regression models, combined with bootstrapping and recursive feature elimination. Given the
133 study’s real-world nature, there were a number of missing data points. as this paper is mainly
134 descriptive, we have not performed any imputation for missing data but describe the data as they
135 stand. For each model, the number of patients may vary due to missing values.

136 Results

137 Patient characteristics

138 To compare the clinical characteristics and outcomes of COVID-19 patients in each wave, we
139 collected data for all patients admitted to a NHS teaching hospital. 1,763 patients were included
140 in this analysis, 680 in Cohort 1, 213 in Cohort 2 and 870 in Cohort 3. After adjusting for multiple
141 testing, there were no significant differences in age or sex between cohorts (Table 2). Pre-existing
142 neurological disease was more common in cohort 1 (217/680, 31.9%) vs cohorts 2 (44/213,
143 20.7%) and 3 (218/870, 25.1%), adjusted p-value=0.017. However, no significant differences in
144 other comorbidities at presentation were seen, including cardiovascular disease, obesity, COPD
145 or diabetes (Table 2). Similarly, median (IQR) risk scores upon presentation were not significantly
146 different, cohort 1 (10 [6,12] vs cohort 2 (9 [5,11] vs cohort 3 (9 [6,11]), (p=0.144).

147 **Table 2. Patient demographics according to cohort**

	Cohort 1 n = 680	Cohort 2 n = 213	Cohort 3 n = 1036	Overall N = 1763	P-value (adjusted)
Age, median (IQR)	72 (54,83)	68 (46,81)	69 (54,81)	70 (53,82)	0.210
Male, n (%)	387 (56.9%)	116 (54.5%)	449 (51.6%)	952 (54.0%)	1
Number comorbidities, median (IQR)	2 (1,3)	1 (0,2)	1 (1,3)	1 (1,3)	1
Cardiac disease, n (%)	215 (31.6%)	61 (28.6%)	268 (30.8%)	544 (30.9%)	1
COPD, n (%)	129 (19.0%)	33 (15.5%)	143 (16.4%)	305 (17.3%)	1
Diabetes, n (%)	190 (27.9%)	49 (23.0%)	227 (26.1%)	466 (26.4%)	1

	Cohort 1 n = 680	Cohort 2 n = 213	Cohort 3 n = 1036	Overall N = 1763	P-value (adjusted)
Dementia, n (%)	31 (4.6%)	9 (4.2%)	33 (3.8%)	73 (4.1%)	1
Human Immunodeficiency Virus (HIV), n (%)	4 (0.6%)	0 (0.0%)	4 (0.5%)	8 (0.5%)	1
Cancer, n (%)	38 (5.6%)	11 (5.2%)	35 (4.0%)	84 (4.8%)	1
Neurological disease, n (%)	217 (31.9%)	44 (20.7%)	218 (25.1%)	479 (27.2%)	0.017
Obesity, n (%)	191 (28.1%)	62 (29.1%)	301 (34.6%)	554 (31.4%)	0.238
Renal disease, n (%)	204 (30.0%)	56 (26.3%)	269 (30.9%)	529 (30.0%)	1
Thromboembolism, n (%)	4 (0.6%)	0 (0.0%)	1 (0.1%)	5 (0.3%)	1
Risk scores*					
Missing, n (%)	148 (22%)	52 (24%)	150 (17%)	350 (20%)	
First score, median (IQR)	10 (6,12)	9 (5,11)	9 (6,11)	9 (6,11)	0.144

148 *Based on first available values within 1 day after admission; Statistical significance tested using
149 Kruskal-Wallis for continuous data and Chi-squared for categorical data, p-values adjusted using
150 Bonferroni-Holm correction. Significant values ($p < 0.05$) indicated in bold.

151 Patients defined as having a neurological disease included those recorded as having a diagnosis
152 of epilepsy, a demyelinating condition (e.g. multiple sclerosis), an extra-pyramidal condition (e.g.
153 Parkinson's disease), stroke, myasthenia gravis, Huntington's, spina bifida, motor neuron disease,
154 cerebral palsy, a degenerative disease of the nervous system, spinal muscular atrophy,
155 hydrocephalus, alcohol related neurological disease, vascular related neurological disease or
156 Alzheimer's.

157

158 **Biochemical characteristics**

159 Biochemical parameters were compared between cohorts using first available measurements
160 following positive SARS-CoV-2 test. Median (IQR) CRP was higher cohort 1 (91 (34, 153.5)) vs
161 cohort 2 (68 (21, 113)) and cohort 3 (72 (23, 131)) (p=0.002), differences in ferritin, glucose and
162 hemoglobin were also seen. However, no differences were seen for other biochemical
163 parameters, including total white blood cells, lymphocytes, neutrophils, eosinophils, d-dimer, or
164 creatinine (Table 3).

165 Due to cohort 3 including patients infected with both the original and VOC202012/01 variant
166 (Figure 1), we further evaluated the distribution of each biochemical parameter according to
167 month of first positive test to look for a bimodal distribution of values (potentially suggestive of
168 strain-related differences). There was bimodal distribution in CRP values. However, this was seen
169 in both cohorts 1 and 3, suggesting it unrelated to strain differences (data not shown). Similarly,
170 using multivariable analysis and various machine-learning methods to classify patients into
171 cohorts based on demographic and biochemical parameters upon presentation, prediction of an
172 individual's cohort had no greater accuracy than 60%, suggesting no consistent differences in
173 these features between cohorts (data not shown).

174 **Table 3: Cohort biochemical characteristics from first available measurements**

	Cohort 1 n = 582	Cohort 2 n = 169	Cohort 3 n = 744	Overall N = 1495	P-value (adjusted)
Alanine aminotransferase (ALT)	27 (16, 44)	28.5 (19, 41.25)	27 (16, 43)	27 (17,43)	1

	Cohort 1 n = 582	Cohort 2 n = 169	Cohort 3 n = 744	Overall N = 1495	P-value (adjusted)
Aspartate aminotransferase (AST)	44 (29, 72)	43 (26.5, 65.5)	40 (28, 57)	40 (27.25, 60)	1
BILIRUBIN	9.5 (7, 13)	9 (7, 13)	9 (7, 13)	9 (7, 13)	1
CREATININE	72 (52.25,103.75)	70 (56.25, 93)	78 (59, 105)	75 (57, 102.75)	0.067
CRP	91 (34, 153.5)	68 (21, 113)	72 (23, 131)	77 (25, 138)	0.002
D-DIMER	535 (363, 1029.5)	469.5 (319.250, 757.250)	467 (312, 896)	496 (325, 911)	0.502
EOSINOPHILS	0 (0,1)	0 (0,1)	0 (0,1)	0 (0,1)	1
FERRITIN	529 (213.5, 1033)	355 (186, 908.5)	364 (159, 702)	403 (175.5, 835.25)	0.015
GLUCOSE	6.5 (5.7, 8.2)	6.85 (5.7, 8.1)	7.3 (6.1, 9.8)	6.9 (5.8, 9.2)	<0.001
HAEMOGLOBIN	117 (99.5, 133)	130.5 (114.3, 142)	127 (112, 141)	124 (107, 138)	<0.001
Lactate Dehydrogenase (LDH)	681 (506, 934)	603 (441, 799)	624.5 (455, 884.5)	640 (468.5, 891.3)	0.617
LYMPHOCYTES	0.9 (0.6, 1.2)	0.9 (0.63, 1.4)	0.9 (0.6, 1.3)	0.9 (0.6, 1.3)	1
NEUTROPHILS	5.1 (3.6, 7.6)	4.9 (3.5, 7.2)	5.5 (3.5, 7.7)	5.3 (3.5, 7.6)	1
PLATELETS	226 (168.5, 298)	244.5 (169.5, 291.8)	222 (169, 297)	225 (169, 297)	1

	Cohort 1 n = 582	Cohort 2 n = 169	Cohort 3 n = 744	Overall N = 1495	P-value (adjusted)
POTASSIUM	4 (3.7, 4.4)	4 (3.8, 4.4)	4.1 (3.8, 4.4)	4.1 (3.8, 4.4)	1
SODIUM	137 (134, 139)	137 (134, 139)	137 (134, 139)	137 (134, 139)	1
TRIGLYCERIN	1.4 (1.1, 1.9)	1.4 (1, 1.9)	1.5 (1.1, 2)	1.4 (1.1, 2)	1
TROPONIN	13 (6, 33)	10 (5.5, 20.5)	13 (7, 31)	12.5 (6, 30.8)	0.963
UREA	6.2 (4.4, 9.4)	5.8 (4, 8.6)	6.5 (4.5, 9.5)	6.3 (4.5, 9.3)	0.502
WHITE BLOOD CELLS	6.9 (5, 9.5)	6.9 (4.9, 9.2)	7.2 (5, 9.8)	7 (5, 9.6)	1

175 Median (Q1, Q3) values reported. Statistical significance tested using Kruskal-Wallis. Significant
176 values ($p < 0.05$) indicated in bold.

177

178 **Intervention use and outcomes between cohorts**

179 We next looked at differences in treatments and outcomes between the cohorts.

180 Dexamethasone treatment was more common in cohorts 2 and 3 ($n=91$, 42.7% and $n=449$, 51.6%,

181 respectively) vs cohort 1 ($n=17$, 2.5%); similarly, tocilizumab treatment increased between

182 cohorts from 2 patients in cohort 1 (0.2%), to 6 patients in cohort 2 (2.8%) and 42 patients (4.8%)

183 in cohort 3 (Table 4). Remdesivir use was more common in cohort 2 (28, 13.1%) vs cohort 1 (10,

184 1.5%), but lower in cohort 3 (41, 4.7%) ($p < 0.001$). Macrolide use decreased with later

185 presentation, with 216 (31.8%), 23 (10.8%) and 66 (7.6%) receiving macrolide therapy in cohorts

186 1, 2 and 3, respectively. Tetracycline use increased from cohort 1 (63, 9.3%) to cohort 2 (56,
187 26.3%) and 3 (280, 32.2%) ($p < 0.001$).

188 Respiratory support (including any supplemental oxygen through to invasive ventilation during
189 28 days after first positive test) was lower overall in cohort 2 (106, 49.8%) vs cohorts 1 (438,
190 64.4%) and 3 (551, 63.3%) ($p = 0.006$) (Table 4). Specifically, lower levels of invasive ventilation
191 were seen in cohort 2 (14, 8%) vs cohort 1 (62, 11%) and cohort 3 (108, 14%) (Table S1). However,
192 high-flow nasal oxygen use was higher with later presentation, with 52 (9%), 33 (19%) and 184
193 (25%) receiving high-flow nasal oxygen, respectively. ITU admissions were similar between
194 cohort 1 (86, 12.6%), 2 (25, 11.7%) and 3 (146, 16.8%) ($p = 0.432$) (Table 4).

195 28-day mortality was substantially lower in cohort 2 vs cohort 1 (14% vs 27%, respectively) but
196 was greater in cohort 3 vs cohort 2 (20% vs 14%, respectively) ($p < 0.001$) (Table 4). Across all
197 cohorts, 28-day mortality increased with risk score. However, mortality rates in cohort 2 for
198 specific risk scores were lower vs cohorts 1 and 3 (Figure 2A). Moreover, after adjusting for risk
199 score at positive test using a multivariable logistic regression model, odds of death were lower in
200 cohort 2 vs cohort 1 (OR: 0.47; 95% CI: 0.27, 0.79; $p = 0.006$) but not in cohort 3 (OR: 0.86; 95% CI:
201 0.64, 1.15; $p = 0.308$; Figure 2A).

202 **Table 4: Treatments, interventions and outcomes for each of the cohorts**

203

	Cohort 1 n = 680	Cohort 2 n = 213	Cohort 3 n = 1036	Overall N = 1763	P-value (adjusted)
Treatments, n (%)					
Dexamethasone	17 (2.5%)	91 (42.7%)	449 (51.6%)	557 (31.6%)	<0.001

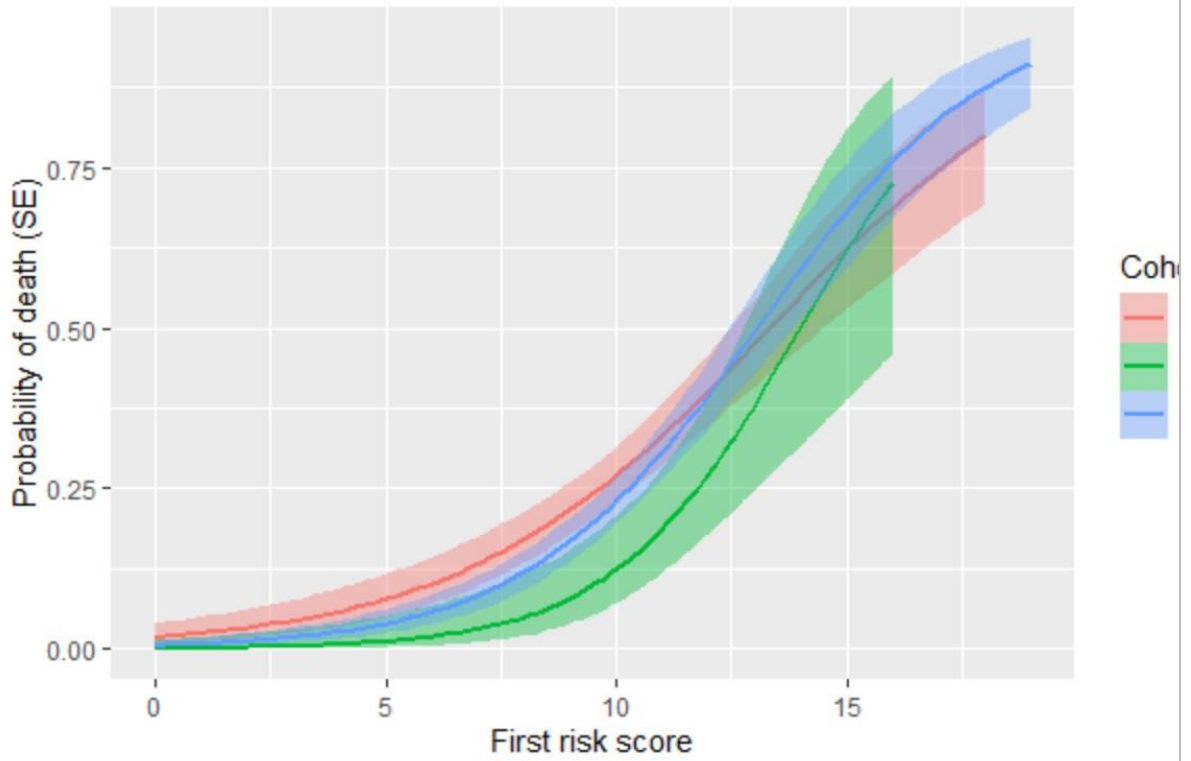
Prednisolone	69 (10.1%)	16 (7.5%)	73 (8.4%)	158 (9.0%)	1
Remdesivir	10 (1.5%)	28 (13.1%)	41 (4.7%)	79 (4.5%)	<0.001
Tocilizumab	2 (0.3%)	6 (2.8%)	42 (4.8%)	50 (2.8%)	<0.001
Baricitinib	0 (0.0%)	0 (0.0%)	0 (0.0%)	0 (0.0%)	NA
Macrolides	216 (31.8%)	23 (10.8%)	66 (7.6%)	305 (17.3%)	<0.001
Tetracyclines	63 (9.3%)	56 (26.3%)	280 (32.2%)	399 (22.6%)	<0.001
Interventions, n (%)					
ITU admissions	86 (12.6%)	25 (11.7%)	146 (16.8%)	257 (14.6%)	0.432
Respiratory support	438 (64.4%)	106 (49.8%)	551 (63.3%)	1095 (62.1%)	0.006
Outcomes, n (%)					
Readmissions within 28 days	109 (16.0%)	37 (17.4%)	173 (19.9%)	319 (18.1%)	1
28-day mortality	185 (27.2%)	30 (14.1%)	174 (20.0%)	389 (22.1%)	0.001

204 Statistical significance tested using Kruskal-Wallis for continuous data and Chi-squared for
205 categorical data, p-values adjusted using Bonferroni-Holm correction. Significant values
206 (p<0.05) indicated in bold.

207 Respiratory support included treatment with any type of oxygen therapy including supplemental
208 oxygen by nasal canula or facemask, non-invasive ventilation, invasive Ventilation and Optiflow
209 / High-Flow.

210

A



B

Variable	N	Odds ratio	p
First risk score	1413	■ 1.43 (1.36, 1.51)	<0.001
Cohort	1	■ Reference	
	2	■ 0.47 (0.27, 0.79)	0.006
	3	■ 0.86 (0.64, 1.15)	0.308

0.4 0.6 0.8 1.1 2.1 4

211 **Figure 2:** (A) 28-day mortality according to first risk score and cohort. Curves represent predicted
212 probability of death within 28 days of first positive test according to cohort based on a binomial
213 logistic regression model fitted to observed data. Shaded areas indicate 95% confidence interval.
214 (B) Risk-adjusted mortality according to cohort. Odds of death within 28 days of first positive test
215 based on a logistic regression model including first risk score and cohort.

216

217 **Discussion**

218 The REACT COVID-19 observational database is unique in data granularity and description of
219 routine clinical management (12). We investigated changes in 28-day mortality associated with
220 the widespread use of dexamethasone and emergence of VOC202012/01. We report lower
221 mortality in cohort 2 (post-dexamethasone, pre VOC202012/01) vs cohort 1 after linear
222 regression and adjustment for risk (14), supporting the RECOVERY dexamethasone arm results
223 (4). The mortality rate in cohort 3 during VOC202012/01 emergence, however, was increased vs
224 cohort 2, and risk-adjusted odds of death were no different cohort 3 vs cohort 1. This reflects UK
225 wide data and highlights the need for continued evaluation of treatment outcomes with
226 emergence of new SARS-CoV-2 variants (8, 10, 11).

227 Apart from the increase in dexamethasone treatment, other prescribing differences were also
228 evident between cohorts and reflective of increased understanding and emergence of new
229 treatments. Remdesivir was one of the first treatments to demonstrate survival benefit, and to
230 be employed routinely in clinical practice (15, 16). However, with emergence of dexamethasone
231 and tocilizumab and conflicting evidence around its efficacy, Remdesivir use fell (15-17). The

232 difference in tetracycline and macrolide use is also noteworthy and reflects the local antibiotic
233 policy, since macrolides treatment excluded participation in some arms of RECOVERY and
234 ACCORD (3, 4). Supportive care changed over the course of the first wave, with a shift towards
235 greater use of non-invasive ventilation (18).

236 Recent reports have suggested that VOC202012/01 is associated with higher mortality,
237 consistent with our finding that 28-day mortality rate was higher in cohort 3 vs cohort 2 (10).
238 Despite higher use of dexamethasone and other effective therapies in cohort 3, risk-adjusted
239 mortality was not significantly different vs cohort 1. These findings support the hypothesis that
240 VOC202012/01 is associated with higher mortality than the original variant. The increase in high
241 flow-nasal oxygen in later cohorts reflects what has been seen clinically, with various studies
242 demonstrating its benefit in reducing ICU length of stay in specific patients (19, 20). Whilst
243 dexamethasone has demonstrated efficacy in pre-B.1.1.7 SARS-CoV-2 infection, its impact on
244 VOC202012/01 hasn't been investigated in clinical studies.

245 It is important to note that, whilst we did see different levels of neurological disease between
246 cohorts, this is unlikely to explain mortality rate variation. There were no statistically significant
247 differences in rates of other comorbidities, age or sex, nor consistent variation in other patient
248 characteristics or biochemical parameters at presentation that could explain the observed
249 difference in mortality. Risk scores at presentation did not differ significantly between cohorts.
250 Whilst several statistically significant differences in biochemical parameters between cohorts
251 were reported (including CRP), the absolute differences were small, overall unlikely to be
252 clinically significant, and did not reveal consistent differences between cohort 3 and cohorts 1

253 and 2 that could be suggestive of differing pathobiology between the varying lineages of SARS-
254 CoV-2.

255 The data capture alongside clinical care is both a strength and limitation to the REACT COVID-19
256 Study. Whilst this design is more reflective of real-world clinical care, there is greater risk of bias,
257 with sicker patients undergoing more sampling than those demonstrating improvements. The
258 observational design allows only associations rather than causations to be determined, and other
259 possible explanations for differences in mortality but not biochemical parameters must be
260 considered.

261 First, it is noteworthy that cohort 2 required respiratory support levels and lower levels of
262 invasive ventilation. Whilst our risk score adjusts for oxygen saturation and respiration rate at
263 presentation, the lower requirement for respiratory support in cohort 2 suggests potential
264 differences in disease severity between cohorts that are not fully accounted for by risk scores.

265 Second, our data on strain prevalence are based on PHE local area data rather than direct patient-
266 specific sequencing. Therefore, it was not possible to link outcome directly with lineage data at a
267 patient level (11). Lineage data are available for greater numbers of patients in wave 3 through
268 national sequencing programmes, but fewer tests were initially sequenced nationally and
269 therefore a comparison was not possible. However, the PHE data reflect what we see in the
270 increasingly available trust lineage data and we intend to investigate specific outcomes related
271 to lineage data in the most recent cohort. Third, the choice of 28-day mortality outcome was
272 made based on national mortality reporting. However, some patients have much longer
273 hospitalisation, particularly those needing ventilation. Therefore, there may be differences in
274 mortality beyond 28 days between cohorts not captured in this analysis that may explain some

275 of the differences described. Fourth, non-patient clinical factors have the potential to influence
276 outcomes including trust COVID-19 pressure and ITU occupancy rates between cohorts. It is also
277 important to bear in mind the initiation of vaccination in the middle of December. Whilst the
278 number of patients vaccinated in the UK by the start of March was not substantial, these could
279 have impacted disease outcomes in cohort 3. Moreover, although we provide an in-depth
280 analysis of COVID-19 outcomes in the UK between June 2020-March 2021, the generalizability of
281 these findings to the rapidly changing COVID-19 landscape around the rest of the world is less.
282 Finally, symptom onset data were not available for all patients and therefore another
283 consideration is the timing of testing relative to symptom onset that may differ between cohorts.
284 However, in our initial analysis of wave 1, which did include symptom onset data, there did not
285 appear to be an impact on outcome (21). With a rapidly changing COVID-19 international picture,
286 future prospective studies are now essential to understand the impact of changing standard of
287 care, vaccination coverage and variant dynamics on COVID-19 outcomes and complications such
288 as mucormycosis, as well as how comorbidities impact these (22).

289 **Conclusions**

290 The REACT COVID-19 observational study provides a uniquely granular, longitudinal assessment
291 of change in outcomes in SARS-CoV-2 over the course of this pandemic in a teaching hospital in
292 England. Our data are reflective of larger, cross sectional studies in demonstrating an increase in
293 mortality with the emergence of the VOC202012/01, that appears to cancel out any overall
294 mortality benefit conferred by emerging treatments. The lack of variation in longitudinal clinical
295 parameters suggests that the mechanism of disease remains similar. While it is hoped that

296 widespread vaccination will impact transmission and disease severity of COVID-19 globally, this
297 work highlights the need for ongoing research into treatments to mitigate the impact of future
298 mutations.

299

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382

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387

388 **Conflicts of interest statement**

389 P.F. Reports that he is a previous employee of AstraZeneca and holds AstraZeneca shares. T.W.
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