

# A Procedure for Performing Nonlinear Pushover Analysis for Tsunami Loading to ASCE 7

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## ABSTRACT

The new ASCE 7-16 Chapter 6 offers a comprehensive and practical methodology for the design of structures for tsunami loads and effects. While it provides prescriptive tsunami loading and design requirements, Chapter 6 also allows for the use of performance-based nonlinear analysis tools. However, the specifics of load application protocol, and system and component evaluation for such a nonlinear approach are not provided. This paper presents a procedure for performing nonlinear static pushover analysis for tsunami loading within the framework of the ASCE 7-16 Standard. Through this approach, the user can both estimate the effective systemic lateral-resisting capacity of a building and the local component demand. This enables the identification of deficiencies in structural elements with respect to the ASCE 7-16 standard acceptance criteria. To demonstrate the procedure, a prototypical reinforced concrete multi-story building exposed to high tsunami hazard in the US Northwest Pacific coast is assessed. This is a building with sufficient height to provide last-resort refuge for people having insufficient time to evacuate outside the inundation zone. The results of the nonlinear static pushover analyses show that the structural system has sufficient lateral strength to resist ASCE 7-16 prescribed tsunami loads, but fails the checks for component-based loading, with the exterior ground story columns observed to fail in flexure and shear. The example demonstrates that use of the tsunami nonlinear static

27 analysis procedure allows the identification of structural deficiencies such that a targeted strengthening  
28 of the building can be conducted, (i.e. flexural and shear strengthening of the seaward and inland  
29 columns, for the case study building presented), leading to significantly reduced costs.

30 **Keywords:** Tsunami; ASCE 7 Standard; Nonlinear Static Analysis; Push over analysis.

## 31 INTRODUCTION

32 The catastrophic effects of recent tsunami triggered by large subduction earthquakes in the Indian  
33 Ocean (2004) and Japan (2011) have highlighted the tsunami threat posed to many coastal communities  
34 in the United States and around the world. Community preparedness in tsunami-prone areas of Alaska,  
35 Washington, Oregon, California and Hawaii has improved recently through installation of warning  
36 systems and increased preparedness for evacuation. However, there are many communities that have  
37 limited access to high ground and have insufficient time for complete horizontal evacuation. Under this  
38 scenario, taller buildings could potentially offer a safe haven. Critical facilities and multi-story buildings  
39 could be designated as vertical evacuation refuges if they have been designed and constructed to  
40 withstand tsunami loads.

41 To increase the resilience of coastal communities and mitigate tsunami damage to important  
42 structures, tsunami design procedures are now included in US design codes through the introduction of  
43 a new Chapter 6, Tsunami Loads and Effects, in ASCE 7-16, *Minimum Design Loads and Associated*  
44 *Criteria for Buildings and Other Structures* (ASCE, 2017a). This design standard has been included by  
45 reference in the requirements of the 2018 International Building Code (IBC, 2018), and an extensive  
46 guide to the provisions with example applications is now available in Robertson (2020). The ASCE 7-  
47 16 tsunami design provisions apply to essential (risk category IV) and critical (risk category III)  
48 facilities, and designated tsunami vertical evacuation structures located within the mapped Tsunami  
49 Design Zone (TDZ). Although not required by ASCE 7-16, local communities are strongly encouraged  
50 to require tsunami design for taller risk category II buildings (residential, commercial, etc.) in the TDZ  
51 to provide additional options of refuge-of-last-resort for those unable to evacuate to high ground or to  
52 a designated vertical evacuation structure. This also improves community resilience by ensuring that

53 important and substantial buildings survive the tsunami and can be re-occupied relatively soon after the  
54 event.

55 The Tsunami Design Zone (TDZ) is the area vulnerable to being inundated by the Maximum  
56 Considered Tsunami (MCT), defined as having 2% probability of being exceeded in a 50-year period,  
57 equivalent to a 2500-year return period. Figure 1 outlines the main steps for the evaluation of buildings  
58 in the TDZ. In essence, ASCE 7-16 defines hydrostatic, hydrodynamic and debris impact loads, load  
59 combinations, importance factors and acceptance criteria for two main types of structural checks:

- 60 1. A systemic check – wherein the structural lateral-force-resisting systems (LFRS) are checked  
61 for their adequacy in resisting the prescribed tsunami loading.
- 62 2. A component-based check – wherein the design strength of each individual structural  
63 component is checked for adequacy against an enhanced tsunami loading that accounts for local  
64 drag effects.

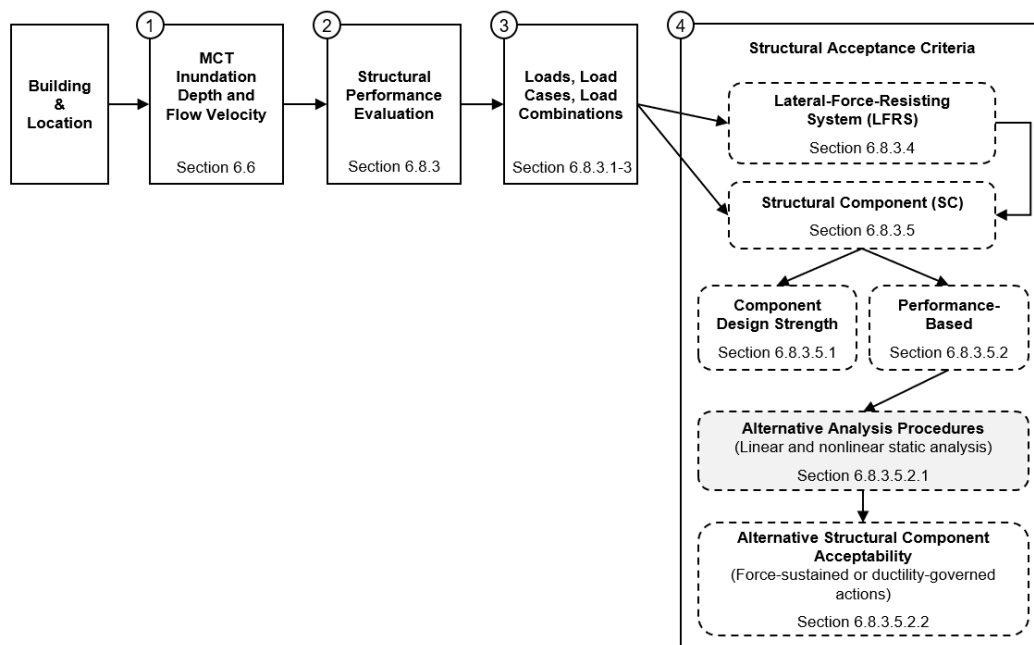


Figure 1: Tsunami nonlinear static analysis within the framework of ASCE 7-16 tsunami design provisions (Section numbers refer to ASCE 7-16 (2017a)).

65 Within the ASCE 7-16 standard, a simplified approach is proposed for use in the systemic check.  
66 In this simplified check, the acceptance criterion for LFRS is based on a comparison between the  
67 tsunami lateral load applied and the structure’s seismic design base shear, enhanced to include typical  
68 overstrength. For the component-based check, the prescriptive approach is to ensure that the component

69 design strength is larger than the internal forces obtained using a linear elastic, static analysis of the  
70 component subjected to the prescribed tsunami loading cases. For structural components that are part  
71 of the LFRS, the component internal forces must be combined with internal forces induced by the  
72 tsunami loads on the overall building system.

73 As an alternative to the simplified systemic check and prescriptive component-based check, the  
74 ASCE 7-16 standard allows for the use of performance-based criteria to assess structural response. This  
75 includes the adaptation of nonlinear static pushover analysis of ASCE 41-17 (ASCE, 2017b) to tsunami  
76 loading. However, no detailed guidance is provided as to how these performance-based methods should  
77 be carried out.

78 Performance-based engineering methods for tsunami are much less developed than for other  
79 hazards, such as earthquakes. For instance, in seismic engineering, nonlinear static and dynamic  
80 analysis, generally referred to as pushover analysis and time-history analysis, respectively, are well-  
81 established tools for performance-based design and assessment of structures. In reality, pushover  
82 analysis is a crude approximation of the highly dynamic loading and induced damage that occurs during  
83 a seismic event. However, when applied in the context of tsunami loading, pushover analysis can, in  
84 fact, very closely replicate the actual hydrodynamic drag on the overall structure and individual  
85 structural components, as these forces are typically of long duration (Foster et al., 2017).

86 The complexity of understanding the impact of onshore tsunami inundation on coastal structures,  
87 and the challenges in developing inundation models that can simulate realistic tsunami loads and  
88 resulting effects, have hampered the development of analytical methods (Rossetto et al., 2018). Recent  
89 advances in physical modelling of tsunami and new field observations from tsunami reconnaissance  
90 missions have led to the definition of new analysis approaches that apply realistic tsunami loads to the  
91 structure and account for the material nonlinearity of structural elements.

92 Structural analysis methodologies for tsunami have often been adapted from commonly-used  
93 nonlinear static analysis methods for earthquake loading. Macabuag et al. (2014) performed a sensitivity  
94 study to compare the structural response of a simple reinforced concrete (RC) frame under different  
95 code-based tsunami loadings, including those prescribed by ASCE 7-16. Hydrodynamic forces were  
96 applied to each inundated story of the building along the seaward columns, assuming a constant tsunami

97 inundation depth and increasing flow velocity. This approach is herein referred to as constant depth  
98 pushover (CDPO). CDPO is similar to a seismic pushover analysis, since the lateral tsunami force  
99 distribution remains constant while the magnitudes of the individual forces are increased monotonically.  
100 Hence, this approach can be implemented easily in structural analysis software that performs seismic  
101 pushover analysis. Attary et al. (2017) performed CDPO analyses in a fragility assessment of a low-rise  
102 steel moment-resisting frame structure. Random sets of tsunami depth and flow velocity values were  
103 used to generate a suite of tsunami forces using the expressions in FEMA P-646 (FEMA, 2012), and  
104 were then applied at each story level. Alam et al. (2018) used a similar approach for the fragility  
105 assessment of an existing six-story RC school. In the latter, the CDPO analysis approach was  
106 implemented applying distributed loading along the vertical structural members and explicitly  
107 accounting for the shear failure of columns. The hydrodynamic tsunami force was estimated based on  
108 the ASCE 7-16 Standard provisions. Since no specific building location was selected, CDPO analyses  
109 were performed using a random suite of inundation depths and velocities.

110 In the context of fragility assessment, Petrone et al. (2017) developed novel approaches for the  
111 analysis of structural performance under tsunami loading: namely tsunami time-history dynamic  
112 analysis (TDY) and variable depth pushover (VDPO). The TDY procedure follows the same principles  
113 as a seismic time-history analysis, apart from the input data, which is the tsunami force estimated from  
114 a simulated inundation time-history. In the VDPO analysis, the lateral tsunami force applied to the  
115 structure is incrementally increased by monotonically increasing the tsunami inundation depth at the  
116 site of the structure. At each depth value, the corresponding flow velocity is calculated assuming a  
117 constant Froude number ( $Fr$ ). A curve of tsunami base shear versus total drift can be plotted from the  
118 analysis, and the structural performance is assessed from this tsunami pushover curve at the location  
119 where the tsunami base shear equals the applied tsunami loading. TDY and VDPO are shown to provide  
120 consistent results in Petrone et al. (2017), Rossetto et al. (2019) and Petrone et al. (2020), with the  
121 VDPO analysis outperforming the CDPO analysis in terms of engineering demand parameter  
122 estimation, (i.e. inter-story drifts and column shear forces). All these studies have shown that the  
123 tsunami fragility of RC buildings is significantly influenced by the occurrence of shear failure in the  
124 columns. To capture this effect, the tsunami hydrodynamic loads are discretized into point loads

125 distributed along each column. In addition, the tsunami hydrodynamic force was estimated from  
126 experimentally-validated equations by Qi et al. (2014), which account for the regime conditions of the  
127 flow impacting the structure and the density of the urban environment.

128 The main limitation of the VDPO as originally conceived is that being a load-control analysis,  
129 VDPO is not capable of capturing the degrading portion of the pushover curve. This limitation was  
130 overcome by Baiguera et al. (2019) through the development of the VDPO2 approach, which consists  
131 of a two-phase nonlinear static analysis. In Phase 1 of the VDPO2, a load-control pushover analysis is  
132 conducted assuming that the inundation depth and flow velocity increase incrementally. This phase is  
133 essentially a replication of the VDPO. In Phase 2, the analysis switches to response-control pushover  
134 analysis, where the displacement is increased incrementally and the corresponding tsunami force is  
135 calculated. For the latter force calculation, the same inundation depth and load distribution as in the last  
136 step of Phase 1 of the analysis is assumed, with force increase attributed to an increase in the flow  
137 velocity (as in the CDPO). The switch from Phase 1 to 2 occurs either when a predefined load level is  
138 reached or when the analysis encounters a numerical convergence issue, whichever occurs first. In the  
139 latter case, the Phase 1 analysis is repeated up to the time step preceding the numerical convergence  
140 issue, and then Phase 2 is initiated.

141 The objective of this paper is to present a methodology whereby the VDPO2 can be applied for  
142 tsunami design of buildings located in the TDZ, following the ASCE 7-16 provisions. For ease of  
143 reference, this new approach will be termed ASCE-VDPO2. To demonstrate the ASCE-VDPO2  
144 approach, a prototypical RC frame selected from the design examples in Robertson (2020) is used as a  
145 case study. The advantages of using a pushover analysis approach for design compared to the  
146 prescriptive acceptance criteria of the ASCE 7-16 provisions are discussed.

## 147 **TSUNAMI LOADING**

148 The ASCE 7-16 Chapter 6, Tsunami Loads and Effects, provides a practical methodology to  
149 calculate the overall and component tsunami loads on a structure. These are presented in this section as  
150 they are adopted in the proposed ASCE-VDPO2 analysis method for consistency with the standard.

151 **Tsunami Load Calculation for the LFRS Systemic Check**

152 The tsunami load on a structure,  $F_T$ , which is used for conducting the systemic check on the LFRS,  
 153 is estimated using the following hydrodynamic drag equation:

$$F_T = \frac{1}{2} \rho_s I_{tsu} C_d C_{cx} B (hu^2) \quad (1)$$

154 where  $\rho_s$  is the minimum fluid mass density,  $I_{tsu}$  is the tsunami importance factor for hydrodynamic  
 155 loads ( $I_{tsu} = 1$  for TRC II buildings,),  $C_d$  is the drag coefficient,  $B$  is the building width perpendicular  
 156 to the flow,  $h$  is the inundation depth,  $u$  is the flow velocity, and  $C_{cx}$  is the proportion of closure  
 157 coefficient (with a minimum value of 0.7, adopted in this study). The drag coefficient  $C_d$  varies based  
 158 on the  $B/h$  ratio. Figure 2 plots the tabular  $C_d$ - $B/h$  data for rectilinear buildings given in Table 6.10-1  
 159 (ASCE 2017a), along with the linear interpolations between intermediate values that have been derived  
 160 in this paper. The corresponding equations are provided in the Appendix.

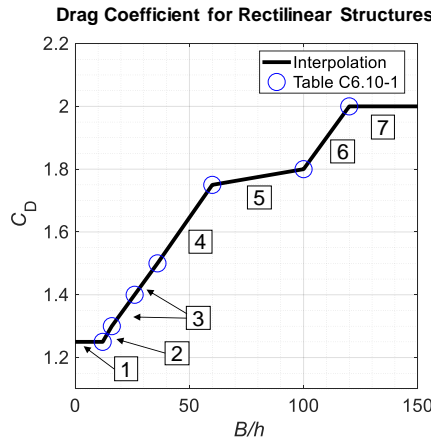


Figure 2: Linear interpolations of drag coefficient-width to inundation depth ratio  $B/h$  values from Table C6.10-1 (ASCE, 2017a). Numbers in boxes indicate the curve branches whose equations are provided in Table 1 in the Appendix.

161 For wide buildings, the overall drag is large for small inundation depths due to the large pressure  
 162 differential between the front and the back, since it takes longer for the water to equalize on opposite  
 163 sides of the building. The minimum fluid mass density  $\rho_s$  is 1127.5 kg/m<sup>3</sup>, estimated as follows:

$$\rho_s = k_s \rho_{sw} \quad (2)$$

164 where  $\rho_{sw}$  is the seawater mass density taken as 1,025 kg/m<sup>3</sup> and  $k_s$  is the fluid density factor that  
 165 accounts for a 10% increase in density due to suspended solids and other small objects, i.e.  $k_s = 1.1$ .

166 The tsunami depth  $h$  and flow velocity  $u$  at the structure are assumed by ASCE 7-16 to vary  
 167 according to the normalized time-history curves in Figure 6.8-1, reproduced here as Figure 3. The

168 curves are normalized to the maximum values of inundation depth,  $h_{\max}$ , and velocity,  $u_{\max}$ , at the  
 169 building site to make them generally applicable. The values of  $h_{\max}$  and  $u_{\max}$  at a building site can be  
 170 determined by applying the Energy Grade Line Analysis (Kriebel et al., 2017). No equations are  
 171 provided by ASCE 7-16 that describe the shape of the normalized time-history curves. Hence, in this  
 172 paper, to aid with the ASCE-VDPO2 analysis, a least squares error analysis was performed to develop  
 173 the best fit expressions shown in Table 2 in the Appendix. The maximum lateral hydrodynamic force  
 174 on the structure,  $F_{T,LC2}$ , occurs when the velocity reaches its peak in each direction and the inundation  
 175 depth is  $2/3$  of  $h_{\max}$ . This stage, indicated as Load Case 2 (LC2), controls the design of the LFRS.

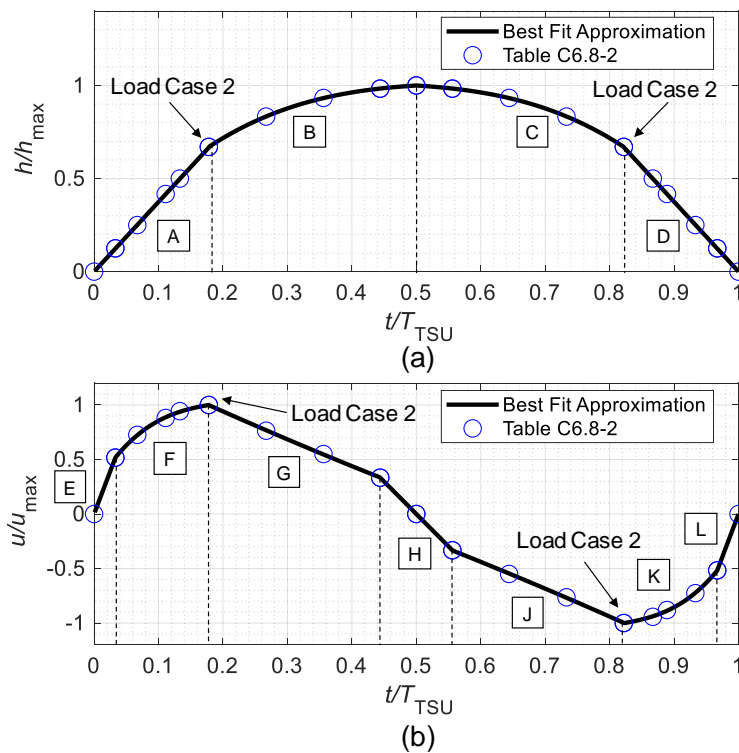


Figure 3: Best-fit approximations of the normalized time inundation depth (a) and flow velocity (b) curves from Figure 6.8-1 and Table C6.8.2 (ASCE, 2017a). Letters in square boxes indicate the branches of the curves. The corresponding best-fit equations are provided in Table 2 in the Appendix.

176 Figure 4 shows typical tsunami time-history curves together with the resulting lateral hydrodynamic  
 177 force on the overall building from Eqn. (1). The curves are derived for the case-study building presented  
 178 later in this paper, which is sited in Seaside, Oregon, and is 77.4 m wide in the direction perpendicular  
 179 to the tsunami flow. At the building site,  $h_{\max}$  and  $u_{\max}$ , are 9.57 m and 11.56 m/s, respectively.



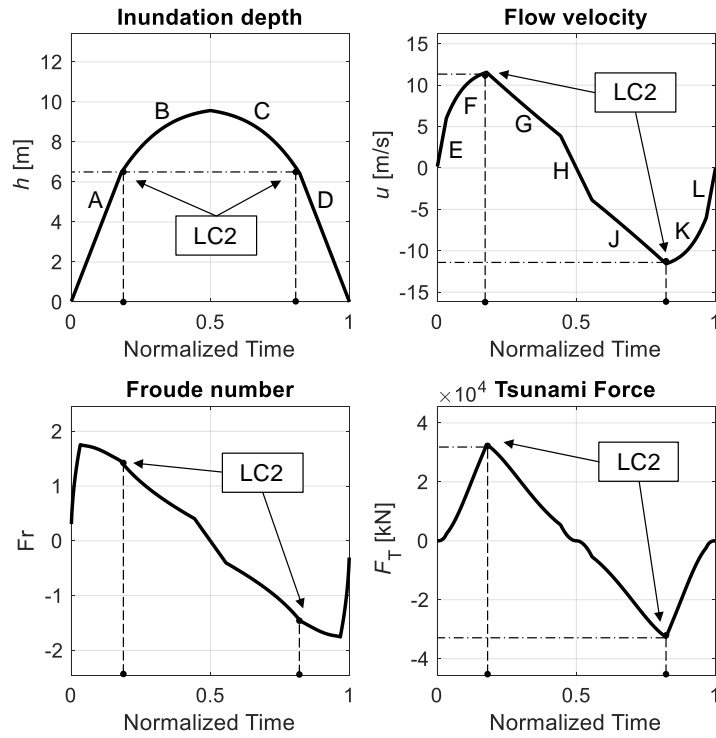


Figure 4: Example of tsunami inundation time-history curves for the case-study building in Seaside, Oregon.

180 ASCE 7-16 requires that tsunami loads be combined with other loads according to the load  
 181 combinations provided in ASCE 7-16 Section 6.8.3.3 as:

$$0.9D + F_{TSU} + H_{TSU} \quad (3)$$

$$1.2D + F_{TSU} + 0.5L + 0.2S + H_{TSU} \quad (4)$$

182 where  $D$  is the dead load,  $L$  is the live load,  $S$  is the snow load,  $F_{tsu}$  is the tsunami load for incoming  
 183 and receding directions of flow, and  $H_{TSU}$  is the load caused by tsunami-induced lateral foundation  
 184 pressures developed under submerged conditions. The load combinations given in Eqns. (3) and (4) are  
 185 consistent with the Extraordinary Load Combinations specified in ASCE 7-16 Section 2.5.

### 186 Tsunami Load Calculation for the Component-based Check

187 All structural components that are part of the LFRS are subjected to the net resultant of their  
 188 participation in resisting the overall drag force (Eqn. 1) and the hydrodynamic drag caused by local  
 189 flow around the individual component. The latter is given by Eqn. (5) and is applied as a distributed  
 190 load on the projected inundated height  $h_e$ :

$$F_d = \frac{1}{2} \rho_s I_{tsu} C_d b (h_e u^2) \quad (5)$$

191 where  $b$  is the effective width of the component and  $C_d$  depends on the shape of the individual member  
 192 being considered. For all exterior components that are likely to be subjected to debris accumulation,  $C_d$   
 193 = 2 and  $b$  is taken as the tributary width multiplied by  $C_{cx}$  (ASCE, 2017a).

## 194 THE ASCE-VDPO2 NONLINEAR PUSHOVER ANALYSIS FOR TSUNAMI LOADING

195 The VDPO2 structural analysis method presented in Baiguera et al. (2019a, 2019b) is here modified  
 196 to be consistent with the requirements of ASCE 7-16 and ASCE 41-17. The ASCE-VDPO2 adopts a  
 197 two-phase analysis approach, with a load-control first phase followed by a response-controlled second  
 198 phase, as described previously.

### 199 Analysis Procedure for Design – ASCE-VDPO2 Phase 1

200 For the systemic check in ASCE 7-16 the strength of the LRFS is checked against the 2,500-year  
 201 Maximum Considered Tsunami (MCT). In ASCE-VDPO2, therefore, the Phase 1 loading is based on  
 202 the ASCE 7-16 inundation time histories, presented previously, up to LC2. The tsunami inundation  
 203 depth is increased monotonically following sector A in Figure 3a, with the corresponding flow velocity  
 204 given in sectors E and F in Figure 3b at the same time step. Figure 5a illustrates the plots of inundation  
 205 depth, flow velocity, Froude number and tsunami force time-histories up to LC2 for the case-study  
 206 building presented later in the paper.

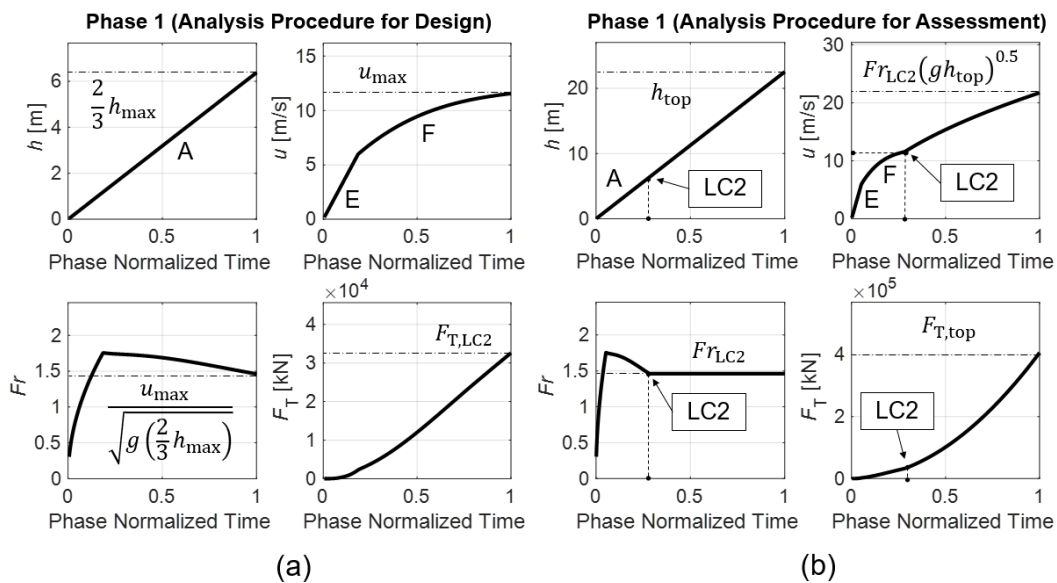


Figure 5: Example of Phase 1 for the case-study building: (a) design; (b) assessment.

207 **Analysis Procedure for Design – ASCE-VDPO2 Phase 2**

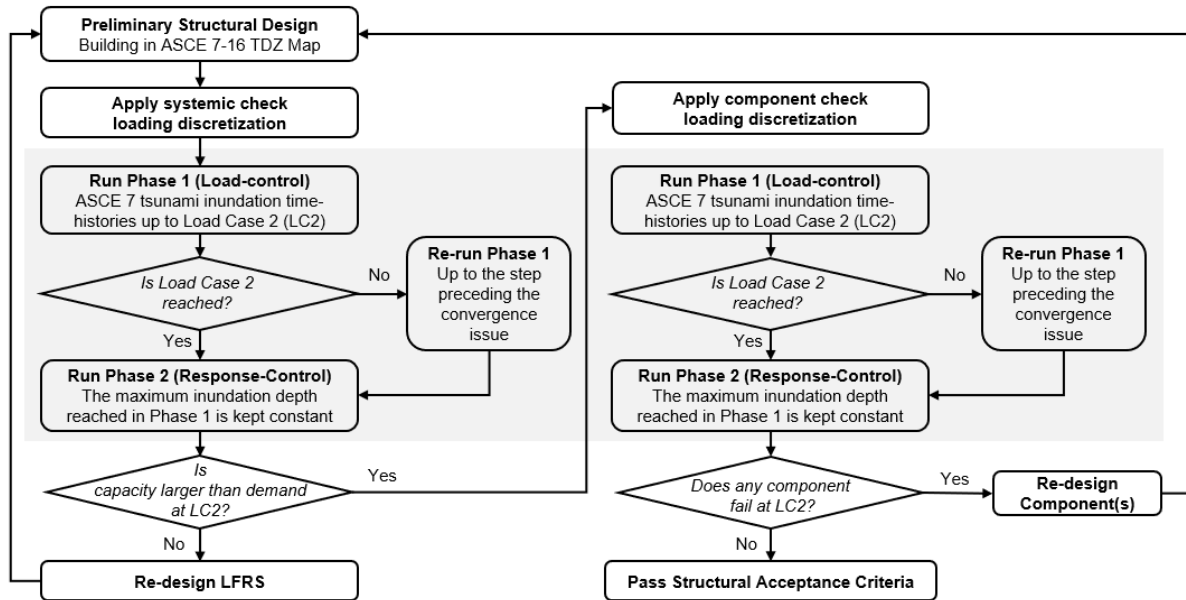
208 In Phase 2, the analysis switches to response-control pushover analysis, where the displacement is  
209 increased incrementally and the corresponding tsunami force is calculated. The switch from Phase 1 to  
210 2 occurs either when LC2 is reached or when the analysis encounters a numerical convergence issue,  
211 whichever occurs first. In the former case, the switch to response-control occurs immediately, with the  
212 same inundation depth and load distribution as in LC2 being assumed. In this case, the force increases  
213 as the flow velocity increases for the constant inundation depth,  $2/3h_{\max}$ . In the case where numerical  
214 instability precedes LC2, the Phase 1 analysis is repeated up to the time step preceding the numerical  
215 convergence issue, and then Phase 2 is initiated. The occurrence of a numerical instability before  
216 achievement of LC2 does not necessarily mean that the structure has failed before reaching LC2, and  
217 the response-control analysis allows the identification of the structural peak capacity, which may or  
218 may not exceed the load associated with LC2. For instance, numerical convergence issues might occur  
219 during the load-control (Phase 1) due to the inability to capture the degrading branch of the pushover  
220 curve.

221 **Analysis Procedure for Assessment – ASCE-VDPO2 Phases 1 and 2**

222 The proposed analysis procedure can also be employed to assess the LFRS for inundation depths  
223 and flow velocities larger than the ones for the design basis event (i.e. LC2). Such assessment is  
224 performed by first conducting the same Phase 1 analysis as for design up to LC2, and once LC2 is  
225 reached, the inundation depth continues to be increased linearly up to the top of the building, with the  
226 flow velocity calculated assuming a constant Froude number, taken as the Froude number at LC2, as  
227 illustrated in Figure 5b. Similar to before, the switch from Phase 1 to 2 occurs either when the inundation  
228 depth equals the building height, or when the analysis encounters a numerical convergence issue,  
229 whichever occurs first. In the former case, the switch to response-control occurs immediately, with a  
230 constant inundation depth (the building height) used and the same load distribution as in the last step of  
231 the Phase 1 analysis. In the case where numerical instability occurs, the Phase 1 analysis is repeated up  
232 to the time step preceding the numerical convergence issue, and then Phase 2 is initiated. In this case,  
233 Phase 2 assumes a constant inundation depth and the same load distribution as in the last step of the  
234 Phase 1 analysis.

235 **LOADING DISCRETIZATION METHODS**

236 The simplified systemic check in ASCE 7-16 compares the overall tsunami force to the design  
 237 seismic base shear, and does not require a structural analysis per se. However, the component-based  
 238 check does require an elastic analysis. For conducting the ASCE-VDPO2 analysis a load discretization  
 239 approach is needed for both systemic and component-based checks. The flowchart in Figure 6  
 240 summarizes the main steps of the analysis procedure for design.



241

242

Figure 6: Flowchart of ASCE-VDPO2 for design.

243 **Systemic Check Load Discretization**

244 ASCE 7-16 specifies that the systemic tsunami lateral load on the building be considered as  
 245 uniformly distributed on the coastal and inland elevations of the building. The overall tsunami force  $F_T$   
 246 can be discretized into  $j$  forces ( $f_{c,j}$ ), each applied to a line of external columns based on their tributary  
 247 width. Figure 7 illustrates the discretization of  $F_T$  for the case-study building presented later.

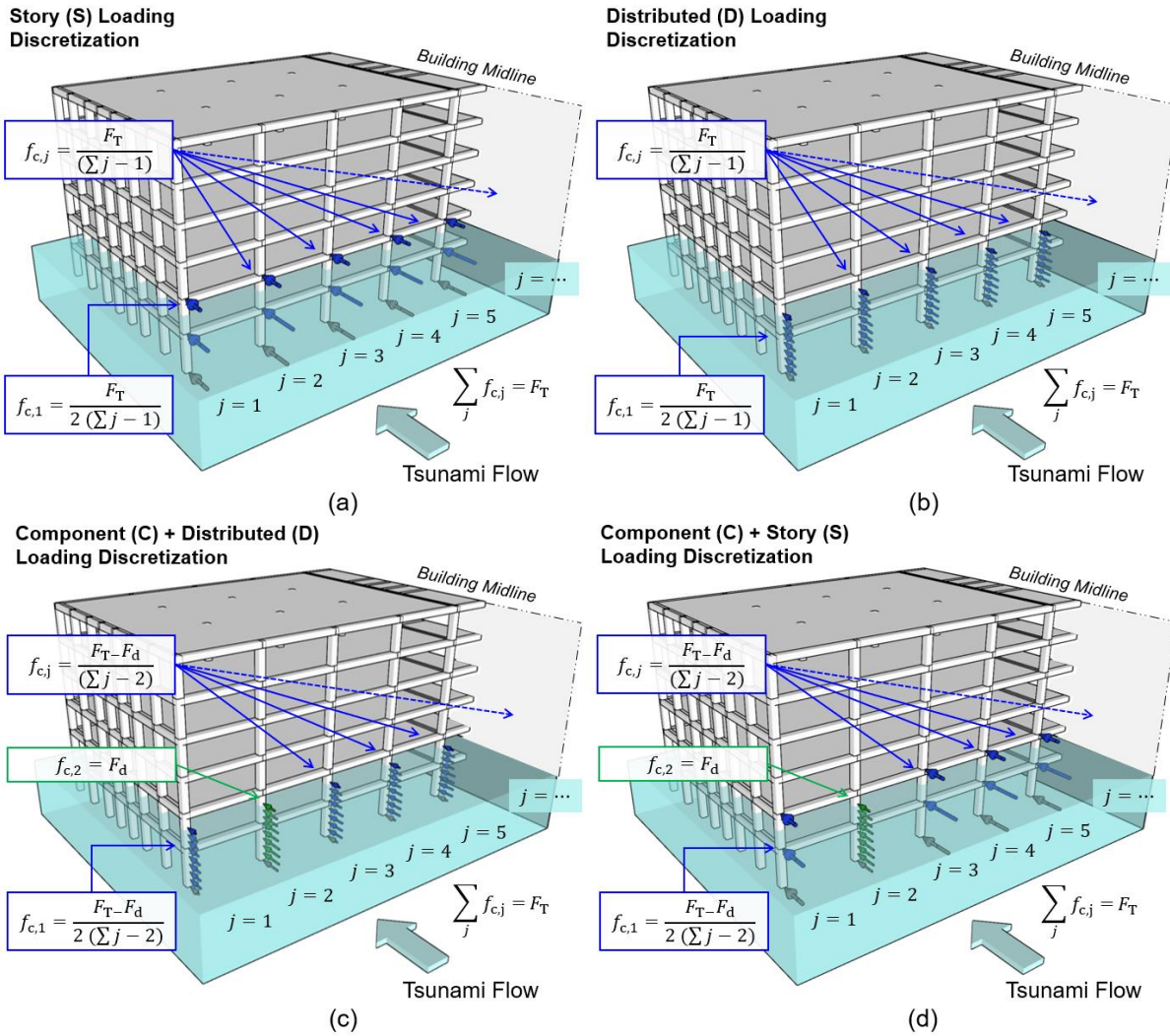


Figure 7: Example of loading discretization methods applied to one half of the case-study building at Load Case 2: (a) *S discretization* for overall hydrodynamic loads (shown in blue color); (b) *D discretization* for overall hydrodynamic loads (shown in blue color); (c) *C+D discretization* for component hydrodynamic loads (with component loads in green color); (d) *C+S discretization* for component hydrodynamic loads (with component loads in green color). The loads that are transferred directly to the foundations are shown in grey color to indicate that they are not accounted for in the analyses.

248 However, ASCE 7-16 does not prescribe how the force should be discretized and applied along the  
 249 columns. An approach used in past studies is to apply nodal loads to each story, referred to hereafter as  
 250 the *story (S) loading discretization*. The tsunami forces are calculated using a simple influence area  
 251 approach. As shown in the example in Figure 8a, portion of the load is transferred directly to the  
 252 foundations ( $f_1$ ) while portion is applied to the second and third floors ( $f_2$  and  $f_3$ ). Hence,  $f_{c,j,net} =$   
 253  $f_{c,j} - f_1$  is the net load resisted by the  $j$ -th line of exterior columns. If this loading discretization is  
 254 applied to all exterior columns, as illustrated in Figure 7a, the LFRS will effectively resist only a portion  
 255 of the overall applied building tsunami load, i.e.  $F_{T,net} = \sum_j f_{c,j,net} < F_T$ . Hence for *S loading*

256 *discretization*, the net overall tsunami load could be considerably smaller than  $F_T$  for buildings with a  
 257 tall first floor.

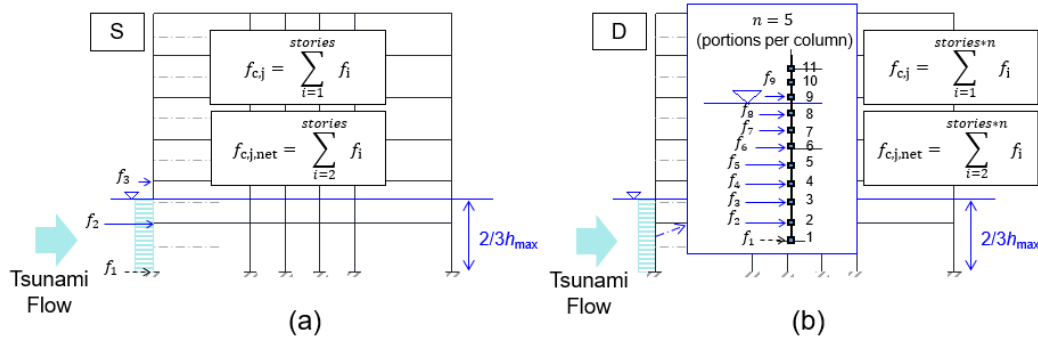


Figure 8: Loading discretization methods: (a) nodal forces applied to stories (*S discretization*); and (b) evenly spaced forces applied to columns (*D discretization*). The loads that are transferred directly to the foundations are dashed to indicate that they are not accounted for in the analyses.

258 An alternative approach is to discretize the same hydrodynamic forces into point loads distributed  
 259 along each column. This method, referred to hereafter as the *distributed (D) loading discretization*, has  
 260 been recommended in recent studies (Petroni et al. 2017; Alam et al. 2018), which have shown it to  
 261 provide the best estimation of demand parameters. If a distributed loading discretization is adopted  
 262 when using ASCE-VDPO2, it is recommended that for each column, the tsunami loads are applied at 5  
 263 load application points along the column height, as illustrated in Figures 7b and 8b. In this case, only  
 264 the load acting on the lower half of the bottom 1/5th of each column is assigned to the column base.  
 265 The portion of the load transferred directly to the foundations is much smaller than the one calculated  
 266 in the *S loading discretization*. Hence,  $F_{T,net}$  in the *D loading discretization* is comparable in magnitude  
 267 with  $F_T$ .

268 Due to the differences in  $F_{T,net}$  arising from the load discretization, it is clear that the *D loading*  
 269 *discretization* will result in higher shear loads being applied to the LFRS than the *S loading*  
 270 *discretization*. The *S loading discretization* will instead impart larger moments in the ground story  
 271 columns due to the loads being applied at a higher level in the structure. In this paper the structural  
 272 systemic response will be compared for both load discretization assumptions.

### 273 Component-Based Check Load Discretization

274 The ASCE 7-16 design methodology (Figure 1) requires that every structural element be evaluated  
 275 for component loads. If the structural component is also part of the LFRS, then the internal forces

276 resulting from the component loads must be combined with those resulting from the lateral load on the  
277 overall building. Hence, a bespoke loading distribution is proposed in this study, referred to hereafter  
278 as the *component (C) loading discretization*.

279 In order to evaluate the combined effect of systemic and component loads on an exterior column  
280 that is part of the LFRS, the component drag force given by Eqn. (5) (i.e.,  $F_d$  with  $C_D = 2$ ) is applied to  
281 that column as distributed nodal loads. Simultaneously, the remainder of the building lateral load given  
282 by Eqn. (1) (i.e.,  $F_T - F_d$ ) is applied to the remaining columns on the front of the building as distributed  
283 loads (Figure 7c) or as nodal loads at each story (Figure 7d). These two options are referred to hereafter  
284 as the *C+D* and *C+S loading discretization*, respectively.

## 285 **CASE-STUDY BUILDING**

286 To demonstrate the ASCE-VDPO2 approach, a case study example is used. This section describes  
287 the case study building and the finite element modelling approach used.

### 288 **Prototype building**

289 A six-story office building is considered as a case-study (Figure 9). The building is located in  
290 Seaside, Oregon, which is adjacent to the Cascadia subduction zone and thus characterized by high  
291 seismic and tsunami hazards. The Pacific Northwest is at high risk of a potentially destructive tsunami  
292 following a Mw 9 earthquake generated along the Cascadia subduction zone (Atwater et al., 1991).  
293 Figure 10 illustrates the building location within the ASCE 7-16 2,500-year probabilistic tsunami design  
294 zone map of Seaside. Based on the EGLA conducted in McKamey & Robertson (2019),  $h_{\max}$  and  $u_{\max}$   
295 at the building site are 9.57 m and 11.56 m/s, respectively.

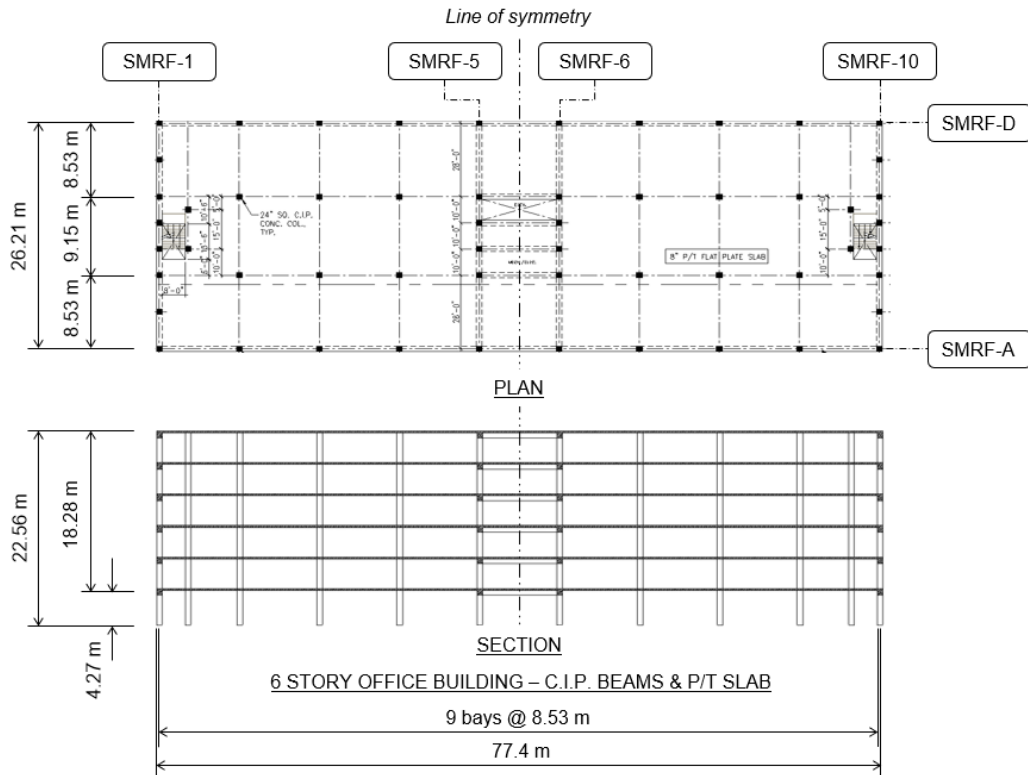


Figure 9: Prototype building (McKamey & Robertson, 2019).

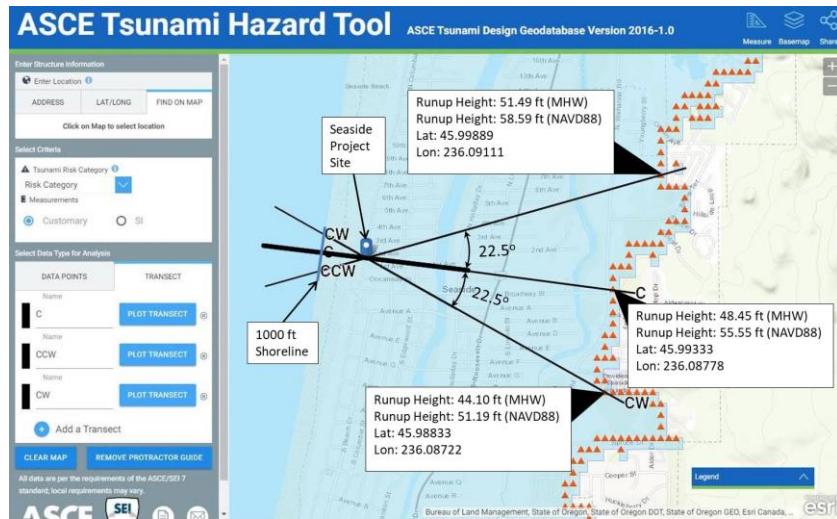


Figure 10: Image from the ASCE Tsunami Hazard Tool showing the prototype building location in Seaside, Oregon, along with the three transects used to perform the Energy Grade Line Analysis (from McKamey & Robertson, 2019).

296 The structure is classified as Tsunami Risk Category (TRC) II, and therefore it is not subject to  
 297 tsunami provisions. However, local jurisdictions are strongly encouraged to require tsunami design for  
 298 taller TRC II buildings in order to provide secondary refuge-of-last-resort and improve community  
 299 resilience. Chock et al. (2018) established suitable height thresholds for communities throughout the  
 300 US Pacific coast, satisfying both the prescriptive acceptance criteria and a recommended height at least



301 3.66 m greater than the inundation depth. For the case-study building, the upper three stories would be  
302 above  $h_{\max}$ , hence they could function as a refuge according to the proposal of Chock et al. (2018).

303 The case study building consists of RC special moment resisting frames (SMRF), a flat plate post-  
304 tensioned concrete floor system, and interior gravity load columns, as shown in Figure 9. The ground  
305 floor consists of a slab-on-grade that is isolated from the building columns. The building was designed  
306 for the ASCE 7-16 wind and seismic loads specified for Monterey, California (Robertson, 2020). The  
307 building design is appropriate for Seaside, which has similar seismic hazard to Monterey (Seismic  
308 Design Category D). Soil classification D for stiff soil is assumed for the building site. The seismic  
309 design was carried out assuming the seismic loading combination 1D+0.25L. The corresponding  
310 seismic weight is 111,568 kN. The lateral force resisting system consists of four SMRFs in the narrow  
311 direction (also the assumed tsunami flow direction), denoted as SMRF-1, 5, 6 and 10, and two moment  
312 resisting frames in the wide direction (Figure 9), denoted as SMRF-A and D. According to the design  
313 specifications, columns and beams have a nominal compressive strength  $f'_c$  of 27.6 MPa and the  
314 reinforcing steel has a nominal yield strength  $f_y$  of 414 MPa. As illustrated in Figure 11, the size of the  
315 columns is uniform along the height of the building, i.e. 71.1x71.1 cm for the SMRFs, and 61x61 cm  
316 for the internal gravity load columns, while the size of SMRF beams is 76.2 wide by 61 cm deep. The  
317 concrete cover is 5 cm. In the SMRF columns, steel reinforcing ratio varies from 1.3% at the ground  
318 floor to 1% at the upper stories. Transverse reinforcement in the SMRF columns consists of seismic  
319 hoops with three 12.7-mm-diameter legs at every 10 cm in the column ends (71 cm long) and three 9.5-  
320 mm-diameter legs every 15 cm in the central section. More details about the seismic design of the  
321 building can be found in Yokoyama and Robertson (2014). Complete tsunami design examples for this  
322 building, and a similar shear wall building, located in Seaside, OR, Monterey, CA, Hilo, HI and  
323 Waikiki, HI are provided in McKamey and Robertson (2019).

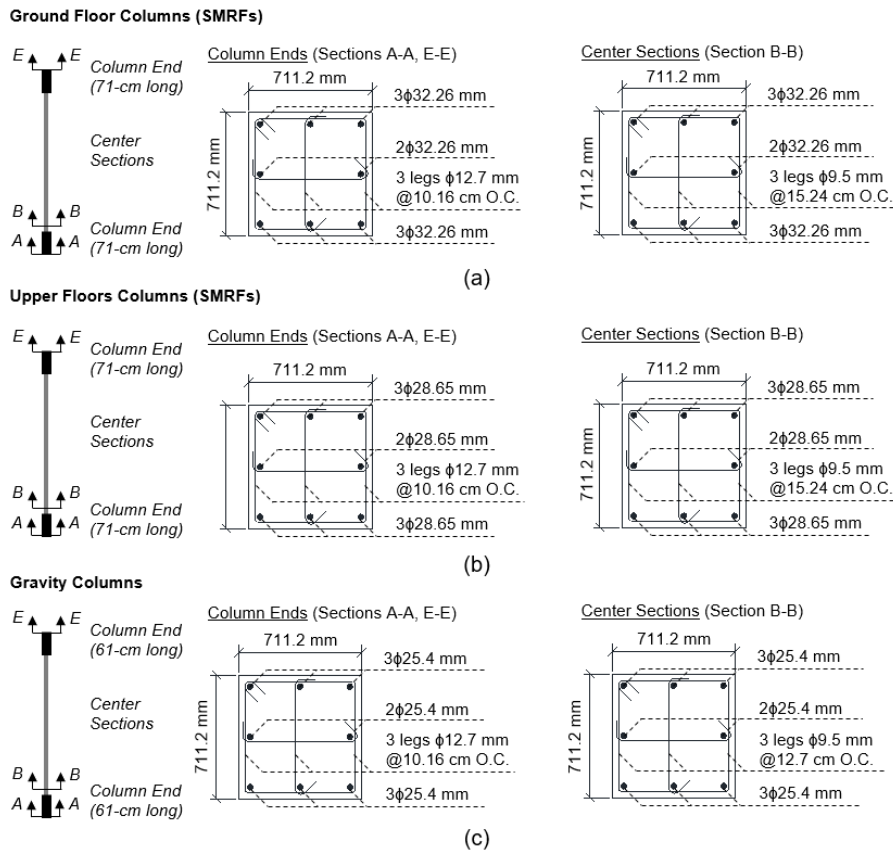


Figure 11: Column cross-section detailing: (a) SMRF columns at ground floor; (b) SMRF columns at upper floors; and (c) gravity columns.

324 **Finite element model**

325 The building is modelled in OpenSees (McKenna et al., 2010) as a two-dimensional model  
 326 replicating one half of the full structure. Figure 12a illustrates the various components of the model that  
 327 include: one end moment resisting frame (with 8 columns), one interior moment resisting frame (with  
 328 6 columns), six exterior columns that form part of the transverse exterior moment resisting frames (see  
 329 Figure 12b), and six internal gravity columns. All these components are linked by means of retained-  
 330 constrained node control so as to simulate the rigid diaphragm at each floor level.

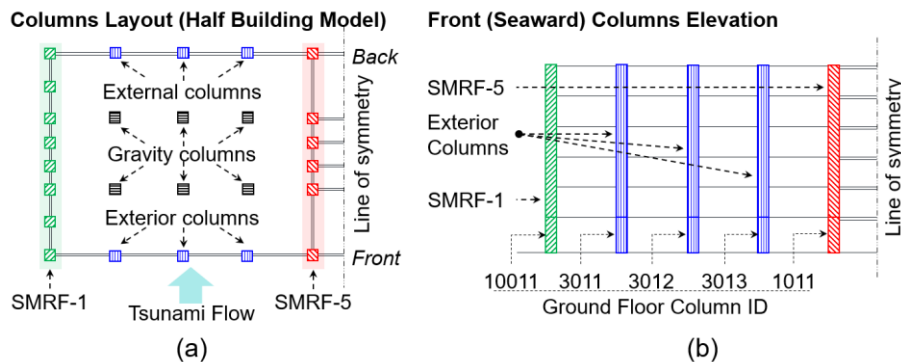


Figure 12: Main structural components for half of case-study building: (a) plan layout; and (b) elevation

331 Beams and columns are modelled using force-based nonlinear beam-column elements. A  
 332 distributed plasticity model is adopted, since the inelastic behavior due to tsunami pressure can form at  
 333 any point along the column height. A fiber approach is used for the cross-sections with five integration  
 334 points along each element.

335 Expected material properties are calculated by multiplying nominal values by appropriate factors  
 336 in accordance with ASCE 41-17 (ASCE, 2017b). The expected concrete compressive strength is  
 337 increased by a factor of 1.25, i.e. 41.4 MPa, and 1.50 for reinforcing steel yield and tensile strengths,  
 338 i.e. 517 MPa and 776 MPa, respectively. Reinforcing steel is assumed to have a strain hardening ratio  
 339 of 0.0057 and an ultimate steel strain of 0.22. The constitutive material *Concrete04* in OpenSees, based  
 340 on Uniaxial Popovics material (Popovics, 1973) with an unloading and reloading stiffness model  
 341 according to Karsan and Jirsa (1969) and exponential decay for the strength, is employed to model  
 342 confined and unconfined concrete. It is noted that *Concrete04* model simulates stiffness degradation.  
 343 Concrete within the reinforcement cage is associated with a confined concrete constitutive law (Figure  
 344 13a), while the cover concrete outside the reinforcement cage is modelled as unconfined (Figure 13b).  
 345 Due to the low axial forces in the beams, concrete in the beams is modelled as unconfined. The steel  
 346 stress-strain constitutive material is modelled using the Giuffre-Menegotto-Pinto model (Filippou et al.,  
 347 1983), named *Steel02* in OpenSees (Figure 13c).

348 Gravity loads are combined with the tsunami loads according to the load combination in Eqn. (3)  
 349 and are applied to the beams of the transverse frames and to the gravity columns.

350 Validation of the developed numerical model was conducted with respect to an independent model  
 351 of the same structure in Aegerter, (2021). The latter model is developed in ETABS using a lumped  
 352 plasticity approach. Comparison of ..... response showed a close agreement (within 5%).

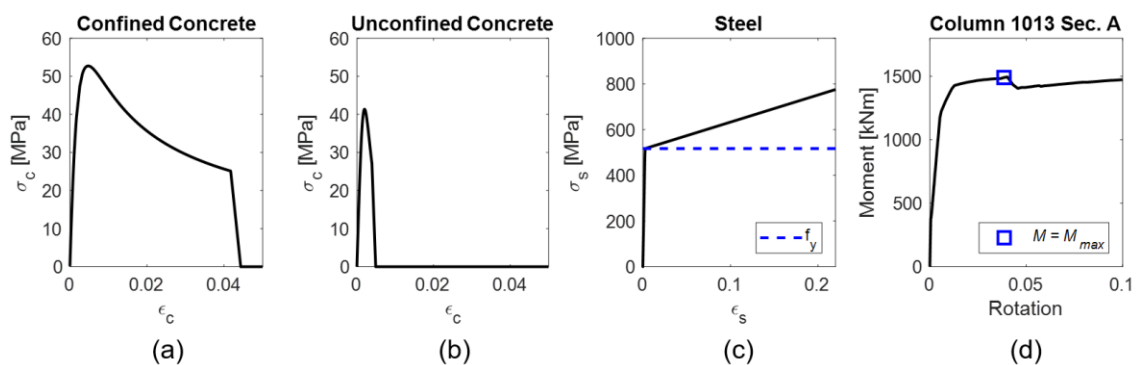


Figure 13: (a) and (b) *Concrete04* model for confined and unconfined concrete (Mander et al., 1988); (c) *Steel02* model for steel (Filippou et al., 1983); and (d) moment-curvature at the end section of a ground floor column.

### 353 **Structural Performance Evaluation – Design Systemic Check**

354 The structural performance is evaluated at an applied tsunami force corresponding to LC2 for the  
 355 design case. In this check, the maximum strength of the LFRS of the case study building, as calculated  
 356 using the ASCE-VDPO2 approach, is compared to the LC2 loading. If the tsunami pushover curve  
 357 shows the building to have a strength larger than the applied loading at LC2, then the systemic check is  
 358 deemed to have been passed.

359 The performance of individual components of the structure should not be evaluated within the  
 360 systemic analysis check, as the forces applied to these components are different from those imposed in  
 361 the component-based check and will not provide the correct target component strengths for design or  
 362 strengthening. However, as the former component loads are typically lower than in the component-  
 363 based check, observations of component failures can be indicative of problem areas within the structure.  
 364 It is noted that, while  $C_D = 2$  for component loading,  $C_D$  for the corresponding systemic force **on the**  
 365 **entire building varies from 1.25 to 2.0 and** is typically lower than 2 (see Figure 2).

### 366 **Structural Performance Evaluation – Component-based Check**

367 In the component-based check, component failure is indicated when either its moment or shear  
 368 capacity is reached. In particular, previous studies, (Petroni et al., 2017; Alam et al., 2018), have shown  
 369 that a typical collapse mechanism for RC structures under tsunami loading is the occurrence of shear  
 370 failure in the columns. This often precipitates global failure if no strengthening measures are adopted.  
 371 Hence, in this study, shear failure occurrence is tracked in all ground-story columns (i.e. the seaward  
 372 columns indicated in Figure 12b, which are subjected to the highest shear demand). It is assumed that  
 373 local shear failure occurs when the shear force at any section of a column exceeds the nominal shear  
 374 strength calculated according to the formulation of ASCE 41-17 (ASCE, 2017b):

$$V_n = k \left[ \alpha \frac{A_v f_y d}{s} + \lambda \left( \frac{0.5 \sqrt{f'_c}}{M/Vd} \sqrt{1 + \frac{N}{0.5 \sqrt{f'_c} A_g}} \right) 0.8 A_g \right] \quad (6)$$

375 where  $A_v$  is the area of shear reinforcement in a single set of hoops,  $f_y$  is the shear reinforcement yield  
 376 strength,  $d$  is the effective depth,  $s$  is the hoop spacing,  $k = 1$  for low ductility demand,  $\alpha = 1$  for  $s/d$

377  $< 0.75$ ,  $\lambda = 1$  for normal-weight aggregate concrete,  $f'_c$  is the compressive strength of concrete,  $A_g$  is  
378 the gross cross-sectional area,  $N$  is the axial compressive force (set to zero for tension force), and  $M/Vd$   
379 is the aspect ratio, which should not be taken as greater than 4 or less than 2. In this study, it is assumed  
380 that  $M/V = 0.5L_{col}$ , where  $L_{col}$  is the length of the column (e.g.  $M/Vd = 3.23$  for ground floor SMRF  
381 columns). Since fluid forces on structural components are classified as force-controlled actions in ASCE  
382 7-16, the shear strength is calculated using the nominal material properties, in accordance with Figure  
383 C10-1 in ASCE 41-17 (ASCE, 2017b). Both the end and center column sections are checked due to  
384 differences in their shear reinforcement (Figure 11). The OpenSees model does not evaluate shear  
385 failure, so a separate shear check is performed on all columns post-analysis. In addition, to check  
386 whether columns experience shear failure before flexural yielding, a further check on the attainment of  
387 the maximum flexural moment, i.e.  $M = M_{max}$ , is performed for the end sections, as illustrated in  
388 Figure 13d.

## 389 RESULTS

### 390 Prescriptive systemic acceptance criteria

391 ASCE 7-16 provides a simple criterion to evaluate the systemic tsunami capacity of a seismically-  
392 designed structure. This recognizes that a building designed to resist high seismic loading (i.e. Seismic  
393 Design Criteria D, E or F), has significantly greater inherent strength than the design seismic base shear,  
394 so portion of this overstrength can be utilized to resist the tsunami force (Chock et al., 2018).  
395 Effectively, the simplified check implies that the structural lateral force resisting system (LFRS) does  
396 not require additional lateral strength when:

$$F_{T,net,LC2} < 0.75\Omega_0 E_h \quad (7)$$

397 where  $F_{T,net,LC2}$  is that portion of the maximum overall building tsunami load,  $F_{T,LC2} = 32,603$  kN that  
398 is resisted by the LFRS,  $\Omega_0$  is the system seismic overstrength factor and  $E_h$  is the base shear due to  
399 horizontal earthquake forces. As illustrated in Figure 7a, portion of this tsunami load ( $f_1 = 10,894$  kN)  
400 is transferred directly to the foundations. The remaining  $F_{T,net,LC2} = 32,603 - 10,903 = 21,700$  kN must  
401 be resisted by the LFRS. For the prototypical building,  $\Omega_0 = 3$  (for special MRFs, ASCE 7 Table 12.2-  
402 1) and  $E_h = 10,831$  kN, hence  $\Omega_0 E_h = 32,493$  kN. The applied tsunami force  $F_{T,net,LC2} = 21,700$  kN is

403 less than the limit of  $0.75 \cdot 32,493 = 24,370$  kN from Eqn. (7). This check indicates that the seismic  
 404 lateral force resisting system should have sufficient strength to resist the overall tsunami loads.

405 **Systemic check via nonlinear static analysis - design**

406 Nonlinear static pushover analyses are employed to evaluate the lateral capacity of the structure to  
 407 resist tsunami loads. Figure 14a shows the total base shear-top drift curves from the seismic pushover  
 408 analysis along with the curve from the ASCE-VDPO2 with Phase 1 up to Load Case 2 and *S loading*  
 409 *discretization*. This load pattern is selected as it is the one currently recommended in the commentary  
 410 of the ASCE 7-16 for evaluating the lateral force resisting system at LC2 (Robertson, 2020). The  
 411 tsunami pushover curve shows that the systemic tsunami capacity of the building is significantly larger  
 412 (by 40%) than the overall net tsunami load at LC2 ( $F_{T,net,LC2}/2 = 21,700/2 = 10,850$  kN, shown as a  
 413 thick dashed line in Figure 14). Hence, the structure passes the systemic check of ASCE 7-16 when the  
 414 tsunami nonlinear pushover analysis is employed. It is noted that the systemic results have been  
 415 validated by a recent study (Aegerter, 2021), which developed a three-dimensional model of the same  
 416 building using the commercial software ETABS and employing a more standard lumped-plasticity  
 417 approach.

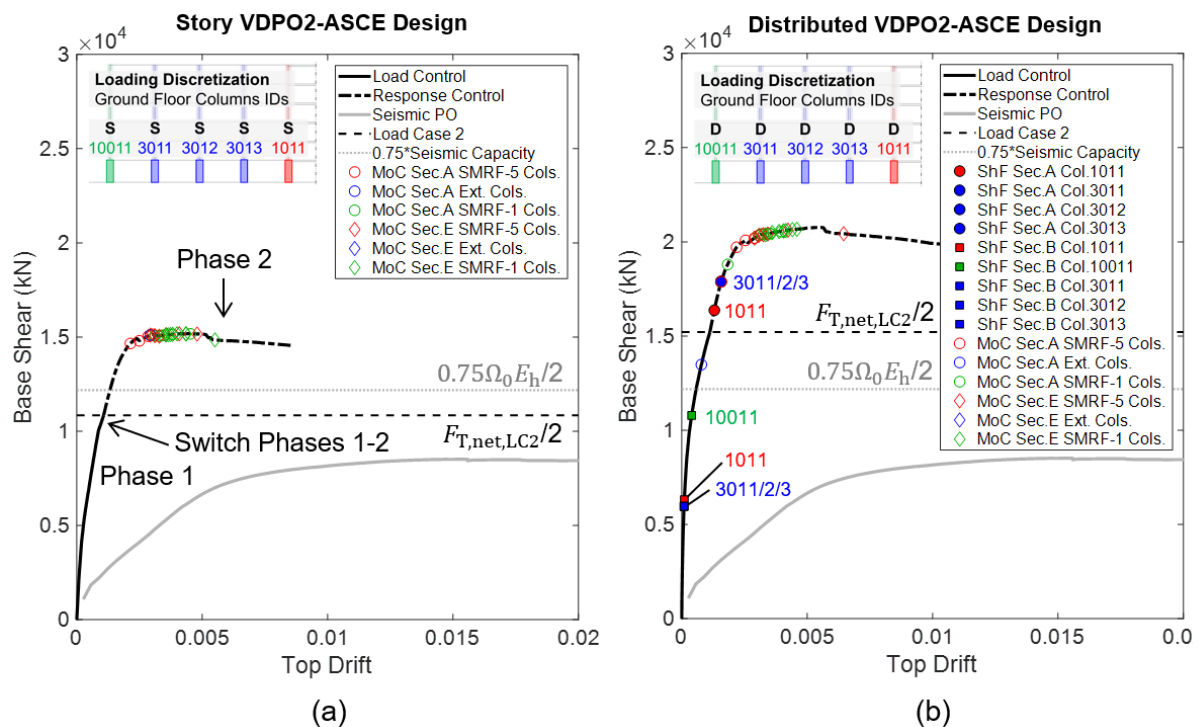


Figure 14: Base shear-top drift curves of seismic pushover (PO) and ASCE-VDPO2 analyses (Design): (a) *story loading discretization*; and (b) *distributed loading discretization*. Filled and empty markers indicate the attainment of shear failure (ShF) and maximum moment capacity (MoC) in ground floor columns cross-sections (see Figure 11), respectively.

418 A seismic pushover analysis is also performed to draw a consistent comparison between the actual  
419 lateral tsunami capacity with the corresponding seismic capacity. The seismic pushover is conducted  
420 using a lateral load distribution corresponding to the first mode response (fundamental period = 0.8 s;  
421 first mode characterized by 83% mass participation factor). It is observed that under the tsunami lateral  
422 loading the case study building exhibits both a significantly increased stiffness and lateral load capacity  
423 than under seismic loading. The case study building shows a strength increase under tsunami loading  
424 of 1.8 times the seismic response. The difference in response is a natural consequence of the  
425 significantly different way in which seismic and tsunami loads act on a building. Tsunami loads are  
426 only applied at the lower floors of the building, while the seismic loads are applied at every story of the  
427 structure (where the mass is assumed to be concentrated) with increasing magnitude at higher stories.  
428 This seismic load distribution therefore results in much larger roof lateral deformations and additional  
429 associated P-Delta moments.

430 However, the relationship between the tsunami and seismic strength of a building is not simple to  
431 predict. Other studies that have evaluated both the seismic and tsunami base shear capacities of  
432 structures using seismic and various tsunami pushover methods (Rossetto et al., 2019; Petrone et al.  
433 2020) show tsunami load capacities between 1.5 to 6 times larger than the respective seismic load  
434 capacities. These observations cast doubts as to the adequacy of the simplified systemic check within  
435 ASCE 7-16, which adopts the seismic design strength of a building as a predictor of its tsunami load  
436 resistance. Further questions are raised when the simplified check is conducted here using the actual  
437 seismic strength of the case study building instead of that estimated using the assumed overstrength  
438 factors. For the case study building, the actual seismic lateral capacity ( $8,521 \cdot 2 = 17,042$  kN) is larger  
439 than the design seismic base shear ( $E_h = 10,831$  kN) as expected but is substantially less than that  
440 predicted by the use of an overstrength factor  $\Omega_0 = 3$  (32,493 kN). Hence, for this building it is apparent  
441 that the overstrength factor assumed in the ASCE 7-16 simplified check significantly overestimates the  
442 reserve lateral load capacity. The overstrength factor is used in seismic design to ensure that critical

443 elements remain elastic while the yielding sections develop full plastic hinges. It is therefore biased to  
444 being high in order to provide conservative seismic design. The 0.75 factor in Eqn. (7) is intended to  
445 compensate for this bias, but it appears that this factor may be optimistic. It is important to note that if  
446 the simplified systemic check was conducted with the actual seismic base shear capacity of the structure  
447 (as predicted by the pushover), the structure would fail the check and a redesign of the LFRS would be  
448 required for it to resist the applied tsunami loads.

449 Due to the complexity in relating the seismic and tsunami strengths of structures it is not known if  
450 the simple systemic check allowed in ASCE 7-16 (Eqn. 7) is conservative or not. In most practical cases  
451 it is considered that the component checks will dominate the tsunami design/redesign of a structure.  
452 Nevertheless, it is recommended in this paper that non-linear structural tsunami analysis be used to  
453 conduct the systemic design check of ASCE 7-16 instead of the simplified check.

#### 454 **The influence of load discretization assumptions on the systemic check**

455 As previously described, the  $F_{T,net}$  applied in the analyses with  $S$  and  $D$  loading discretization is  
456 different due to the portion of loading that is assumed transferred directly to the structure foundations.  
457 Also the center of load application is much higher in the structure for the  $S$  loading discretization. The  
458 difference in size and location of applied loads results in differences in the structural response under  
459 the two loading discretization assumptions.

460 In the  $S$  loading discretization case, an apparent lower strength and stiffness of the structure is  
461 observed. It is seen that the higher level at which the loading is applied induces large moments, as well  
462 as shear forces, in the ground story columns of the structure. Bending failure of the columns (indicated  
463 by empty markers in Figure 14a) occurs without shear failure of columns. Instead, in the case of the  $D$   
464 loading discretization although the structure is subjected to a larger overall net tsunami force at LC2  
465 ( $F_{T,net,LC2}/2 = 30,422/2 = 15,211$  kN, slightly smaller than  $F_{T,LC2}$ ), this load is only partially  
466 redistributed via the building diaphragms, resulting in larger shear forces than in the ground story  
467 columns and an overall increased stiffness and strength of the structure (see Figure 15b) as compared  
468 to the  $S$  loading discretization case. From Figure 14b it can be observed that the larger internal shear  
469 forces induce failure in the central portions (i.e. Section B) of the seaward columns, and not in the end



470 sections where seismic design requirements for ductile response lead to increased transverse  
471 reinforcement (i.e. Section A). It is also noted that columns experience shear failure before flexural  
472 yielding, attained at the end cross-sections, i.e.  $M = M_{\max}$  at Sections A and E illustrated in Figure 14b  
473 by empty markers.

474 Despite the significantly different structure responses, the evaluation of the systemic tsunami  
475 capacity of the building, using both *D* and *S discretization*, indicates that the structure satisfies the  
476 ASCE 7-16 requirement for collapse prevention without additional strengthening. It should be noted  
477 that this systemic check is not intended to be used in the evaluation of the individual component  
478 capacities (column shear or moment capacity) because this systemic loading does not replicate the  
479 component loading required by ASCE 7-16 Section 6.10.2.2. Component assessment for the prototype  
480 building is presented later in this paper and it will be seen that the ground floor columns fail the  
481 component-based check. However, as the component check applies distributed loads to the component  
482 assessed, and as  $F_{T,\text{net}}$  is larger in the *D load discretization* case, applying the systemic load with the  
483 latter load discretization can provide an indication of which columns are likely to be critical when the  
484 component assessment is performed.

#### 485 **Systemic check via nonlinear static analysis - assessment**

486 The proposed procedure for tsunami nonlinear static analysis can also be used for assessing the  
487 capacity of the building for inundation depths and flow velocities higher than those associated with the  
488 MCT, as shown in Figure 5b. Figure 15a shows the total base shear-top drift curves from the seismic  
489 pushover analysis and from the ASCE-VDPO2 with extended Phase 1 and *S loading discretization*.  
490 While the analysis provides the same results up to LC2, it indicates that the building would have a  
491 maximum systemic tsunami capacity of 21,201.2 kN. This force is slightly smaller than those obtained  
492 in the previous analyses (see Figure 15b), due to the larger bending actions that result from the increased  
493 inundation depths. The peak strength of the structure occurs when  $h = 7.25$  m and  $u = 12.37$  m/s,  
494 compared with  $h = 6.38$  m and  $u = 11.56$  m/s for LC2. While this would correspond to an event with a  
495 return period longer than 2,500 years, it provides a measure of the building's reserve capacity for  
496 tsunami loading. The difference between the tsunami load associated with LC2 and the peak strength

497 of the structure is 37% in this case, which provides a reasonable estimate of the extra systemic capacity  
 498 of the structure.

499 **Component-based check via nonlinear static analysis**

500 ASCE 7-16 requires that all structural components be evaluated for hydrodynamic loads and that  
 501 all exterior structural components also be evaluated for debris impact loads. Debris impact loads are  
 502 extremely short duration impulsive loads and cannot be represented by pushover analysis. Therefore,  
 503 this study of pushover analysis for tsunami loads only considers the hydrodynamic loads on the  
 504 structural components.

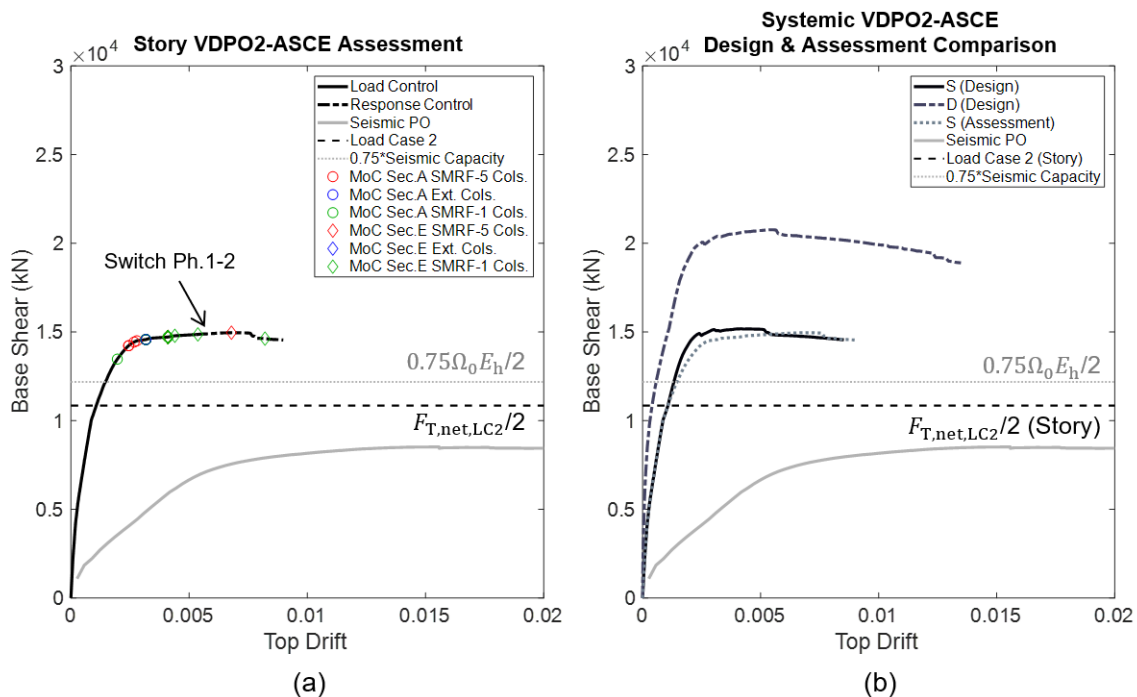


Figure 15: Base shear-top drift curves from seismic pushover (PO) and ASCE-VDPO2 analyses: (a) ASCE-VDPO2 with Phase 1 for Assessment and *S* loading discretization; and (b) comparison of systemic responses using Phase 1 for Design and Assessment and different loading discretization. Filled and empty markers indicate the attainment of shear failure (ShF) and maximum moment capacity (MoC) in ground floor columns cross-sections (see Figure 11), respectively.

505 The structural component assessment is done individually for each seaward column using *C+S* and  
 506 *C+D* loading discretization. Figure 16 presents the results of the pushover analyses with component  
 507 loading on a typical external column and on the corner seaward column (i.e. part of SMRF-1). The  
 508 worst load combination, presented in Figure 16a and b, occurs when the increased hydrodynamic  
 509 loading is applied to any of the external columns (see Figures 6c and d). The results for the *C+S* loading  
 510 discretization (Figure 16a) show that the external column with the increased component loading (e.g.

511 3011 column) experiences shear failure in both center and end sections for tsunami forces much smaller  
 512 than the LC2 design load. In this case, the LC2 tsunami force for half of the building is larger than  
 513  $F_{T,net,LC2}/2$  calculated for the *S loading discretization*, since it includes the increased drag force on the  
 514 component  $F_d$  and a share of the remainder  $F_{T,net,LC2} - F_d$ . The latter is assigned to all remaining columns  
 515 on the front of the structure, including those not present in the current model of half of the building (see  
 516 Figure 6c). Figure 156c plots the results for the component check on the ground floor corner column  
 517 (i.e. 10011) that fails in flexure and in shear, but later in the pushover analysis than the exterior column  
 518 as a result of the smaller hydrodynamic load tributary area for the corner column.

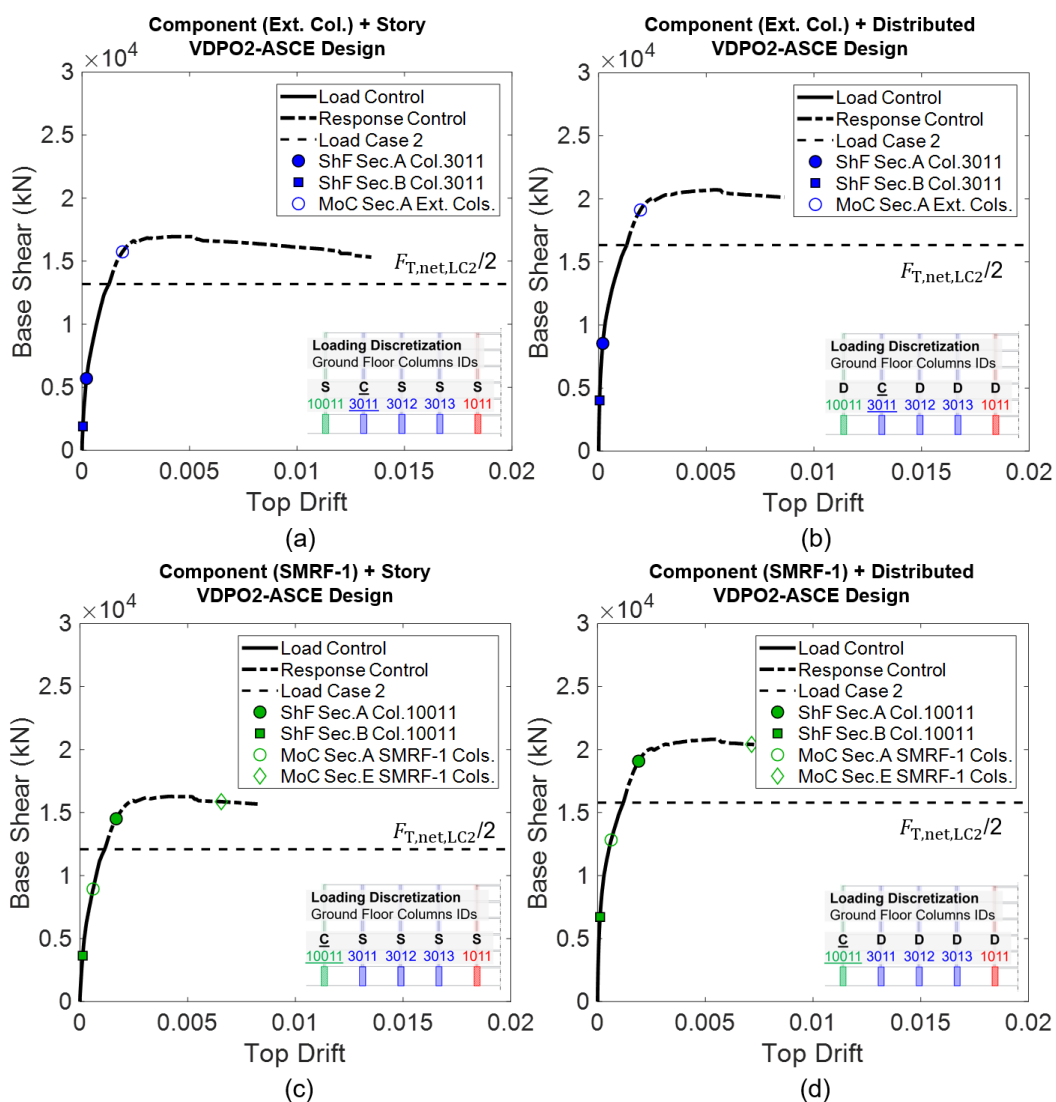


Figure 16: Base-shear-top drift curves for ASCE-VDPO2 analyses (Design): (a) *C+S loading discretization* for one line of external columns; (b) *C+D loading discretization* for one line of external columns; (c) *C+S loading discretization* for the corner column; and (d) *C+D loading discretization* for the line of corner column. Filled and empty markers indicate the attainment of shear failure (ShF) and maximum moment capacity (MoC) in ground floor column cross-sections (see Figure 11), respectively.

519 The pushover results for the *C+D loading discretization* are shown in Figures 16b and d for the  
520 exterior column (3011) and the corner column (10011), respectively. The shear and flexural failure  
521 points for the individual columns are equivalent to those observed in the *C+S loading discretization*  
522 (Figures 16a and c) indicating that either *story (S)* or *distributed (D) loading discretization* can be used  
523 to apply the remainder of the systemic load to the rest of the building while component distributed load  
524 is applied to the columns being assessed.

525 If shear failure of the ground column is assumed as the structural failure criterion, the resulting  
526 tsunami capacity of the building is approximately a third of the design tsunami load under the required  
527 component loading combination. Therefore, the structure does not meet the collapse prevention  
528 performance level required for taller risk category II buildings without additional strengthening of all  
529 exterior and corner columns.

530 The use of a nonlinear static pushover analysis provides information on which components need to  
531 be strengthened and by how much, in order to improve the tsunami performance of the structure. For  
532 the case study building, this could be achieved by increasing the flexural and shear capacity of the  
533 ground floor seaward and landward exterior columns. For instance, no shear failure would occur if shear  
534 reinforcement is designed using #4 ties with 5-cm-spacing for all seaward column sections (Figure 12).

## 535 **CONCLUSIONS**

536 This study presents a methodology for using nonlinear static pushover analysis within the  
537 framework of ASCE 7-16, called ASCE-VDPO2, and conducting the required systemic and component-  
538 based design checks. An RC frame located in a high tsunami hazard area was considered as a case-  
539 study. The ASCE-VDPO2 results were compared to the simplified ASCE 7-16 systemic tsunami  
540 capacity acceptance criterion, which compares the overall tsunami force to the design seismic base  
541 shear. The tsunami systemic capacity of the structure was seen to be sufficient to resist the ASCE 7-16  
542 prescribed tsunami loads. However, the results highlighted that the relationship between the tsunami  
543 and seismic strength of a building is not simple to predict. Hence, it is recommended in this paper that  
544 non-linear structural tsunami analysis be used to conduct the systemic design check of ASCE 7-16  
545 instead of the simplified check. The proposed procedure can also be used to assess the lateral force

546 resisting system for inundation depths and flow velocities larger than the ones for design basis event,  
547 providing an estimate of the extra systemic capacity of the structure. When component loading was  
548 considered, the seaward ground story columns were observed to fail in shear, precipitating structural  
549 failure. Because ASCE 7-16 requires that the same hydrodynamic conditions be considered during both  
550 incoming and outgoing tsunami flow, the seaward and landward ground story columns would need to  
551 be strengthened in flexure and shear. This approach has the potential for providing a more economical  
552 design as compared to the prescriptive ASCE 7-16 approach, which promotes an enhanced seismic  
553 design of the structure to meet the systemic acceptance criteria of the standard. The methodology is also  
554 expected to produce cost savings through its implementation. The authors are continuing this study to  
555 investigate these savings.

556 It is noted that the tsunami response of the case-study structure is evaluated considering only the  
557 effects of the tsunami-induced hydrodynamic loads. Other possible effects caused by tsunami, e.g.  
558 buoyancy, debris impact, scour, as defined in the ASCE 7-16 Standard, are not considered in this study.

559 **APPENDIX. BEST-FIT APPROXIMATION EQUATIONS FOR ASCE 7-16 TSUNAMI**  
560 **LOADS**

561 Table 1. List of best-fit approximation equations for the drag coefficient  $C_d$  in ASCE 7-16 Table 6.10-1.

Segment	$B/h$	Best-fit Approximation Equations
1	0–12	$C_d = 1.25$
2	12–16	$C_d = 0.0125 * B/h + 1.1$
3	16–36	$C_d = 0.01 * B/h + 1.14$
4	36–60	$C_d = 0.010417 * B/h + 1.125$
5	60–100	$C_d = 0.00125 * B/h + 1.675$
6	100–120	$C_d = 0.01 * B/h + 0.8$
7	>120	$C_d = 2$

562 Table 2. List of best-fit approximation equations for the normalized curves of tsunami inundation depths and flow velocity in  
563 ASCE7-16 Figure 6.8-1.

Segment	$t/T_{TSU}$	Best-fit Approximation Equations ( $x = t/T_{TSU}$ )
A	0–0.178	$h/h_{max} = 3.745x$
B	0.178–0.5	$h/h_{max} = 4.194x^3 - 7.457x^2 + 4.525x + 0.077$
C	0.5–0.822	$h/h_{max} = -4.225x^3 - 5.19x^2 - 2.24x + 1.35$
D	0.822–1	$h/h_{max} = -3.745x + 3.745$
E	0–0.033	$u/u_{max} = 15.667x$
F	0.033–0.178	$u/u_{max} = 75.24x^3 - 45.3x^2 + 9.98x + 0.235$
G	0.178–0.444	$u/u_{max} = 0.527x^2 - 2.825x + 1.485$
H	0.444–0.556	$u/u_{max} = -5.95x + 2.975$
J	0.556–0.822	$u/u_{max} = -0.527x^2 - 1.77x + 0.813$

K	0.822–0.967	$u/u_{\max} = 75.7x^3 - 181.7x^2 + 146.27x - 40.5$
L	0.967–1	$u/u_{\max} = 15.667x - 15.667$

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564 **DATA AVAILABILITY STATEMENT**

565 All data, models, and code that support the findings of this study are available from the  
566 corresponding author upon reasonable request.

567 **ACKNOWLEDGMENTS**

568 The research work presented in this paper was funded by the European Research Council, ERC  
569 grant agreement 336084 URBANWAVES, awarded to Professor Tiziana Rossetto. The authors are  
570 grateful to Mr. Jacob McKamey for his assistance in this research. The authors also acknowledge Willis  
571 Research Network for supporting the time of Dr Crescenzo Petrone and Dr Marco Baiguera.

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