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Challenges and perspectives for integral bridges in the UK: from design practice to fieldwork through small-scale laboratory experiments

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Challenges and perspectives for integral bridges in the UK: from design practice to fieldwork through small-scale laboratory experiments

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ABSTRACT

This study focuses on the investigation of the factors that have limited, so far, the development of a consistent design and assessment approach for integral bridges (IBs). This paper presents a review of previous research and current design practices for IBs, followed by a review of monitoring studies in the laboratory and in the field. As part of the UKCRIC PLEXUS experimental campaign, a small-scale 1g physical experiment is described. The test aimed to simulate the soil-structure interaction arising from seasonal expansion and contraction of the bridge deck and assess the performance of different monitoring techniques. Pressure cells were used to measure the lateral stresses behind the abutment wall, Particle Image Velocimetry was employed to monitor the soil behaviour behind the abutment and Linear Variable Differential Transformers were used to monitor the backfill surface movements. By combining the data from these instruments, a preliminary assessment of the soil-structure interaction behaviour of the idealised integral abutment under seasonal thermal loading has been obtained. These monitoring methods and the associated understanding of IBs' behaviour gained from the tests provide definitive evidence for the development of monitoring systems for larger-scale physical tests and field monitoring systems for IBs.

Keywords

Bridges; thermal effects; laboratory tests; earth pressure; settlement; monitoring; soil/structure interaction

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List of notation

Κ	lateral earth pressure coefficient
K ₀	horizontal earth compression coefficient, at rest
K _a	horizontal earth compression coefficient, active
K_p	horizontal earth compression coefficient, passive
d	displacement at the top of the bridge abutment due to thermal loading
α	coefficient of thermal expansion of the deck (of the order of 10^{-5} for concrete decks)
L_X	expansion length measured from the end of the bridge to the position on the deck that remains
stationa	ary when the bridge expands
$T_{e;max}$	characteristic maximum uniform temperature for a 50-year return period in the UK
T _{e;min}	characteristic minimum uniform temperature for a 50-year return period in the UK
Ø	slope of the earth pressure
Н	height of the abutment
d_d'	wall deflection at depth $H/2$ below ground level
K_d^*	design value of the earth pressure coefficient for expansion
С	dimensionless coefficient used in the calculation of K_d^*
$K_{p;t}$	coefficient of passive earth pressure used in the calculation of K_d^*
ϕ'	effective friction angle
c'_{peak}	peak effective cohesion
$arphi_{\it peak}$	peak effective friction angle
e_{min}	minimum void ratio
e_{max}	maximum void ratio
ε	soil volumetric strain

1 1. Introduction

2 A large investment is continually made in infrastructure globally. For example, the UK infrastructure pipeline 3 has committed £12.6bn for roads and £46.2bn for rail (National Infrastructure Delivery Plan 2016-2021). 4 Internationally, the global pipeline is estimated to be as high as US\$97 trillion (Global Infrastructure Hub, 5 2020). In transportation networks, bridges represent a particularly vulnerable element to which the largest 6 investment is linked (e.g., Rasulo et al. 2004; Nuti et al. 2009; Thoft-Christensen 2012; NIST 2015). In 7 traditional bridges, joints and bearings have emerged as the main source of bridge maintenance problems 8 and costs (Wolde-Tinsae and Greimann, 1988; Greimann and Wolde-Tinsae, 1986) due to the cyclic 9 displacements caused by thermal gradients, traffic and dynamic loads, while both corrosion of and access 10 to bearings provide particular maintenance challenges. Thus, integral bridges (IBs) are becoming 11 increasingly attractive because of reduced maintenance issues at the bridge deck-abutment interface 12 compared with traditional bridge construction.

13 Integral bridges have received increasing attention by designers in the last few decades and are widely 14 used in many countries for small-to-medium span highway bridges and overcrossings (Burke, 2009). They 15 now constitute a significant part of the transportation infrastructure stock, with an estimated number in 16 service of over 9,000 in the US alone (Paraschos and Amde 2011; White et al. 2010; Fiorentino et al 2021). 17 Integral bridges are likewise becoming more widely used in the United Kingdom, Europe and Asia 18 (Bloodworth, 2011), while their design varies according to practices and requirements outlined by regional 19 transportation authorities. In the United States, each state highway department has its integral abutment 20 programme and has established guidelines concerning their design and construction. The specification of 21 the American Association of State Highway and Transportation Officials (AASHTO LRFD; AASHTO, 2012) 22 is the most widely accepted integral bridge design guideline in the United States, providing performance 23 criteria for IB design. Parallel design guidance is provided in the Canadian Foundation Engineering Manual 24 (Canadian Geotechnical Society, 1978).

In the UK, PD 6694 (PD 6694-1: 2011) and the Highways Agency Design Manual for Roads and Bridges (BA42/96 2003) are currently the reference guides, with these documents referring to European standards (EN 1997-1, Eurocode 7) and CIRIA Report 760 on embedded retaining walls (Gaba et al., 2017) for relevant design parameters. Currently, span lengths of integral bridges are limited due to the lack of an adequate evidence base on which to predict their performance. There is consequent conservatism in design guidance (Dicleli et al. 2003; Baptiste et al. 2011, Zordan 2011a; Zordan 2011b; Mitoulis et al. 2016), which, in turn, reflects imperfect understanding of the behaviour of these structures under the imposed loads. In the UK, spans are limited to 60 m length and 30 degrees skew (BA42/96 2003, PD 6694 2011). Although design codes offer provision for the static design of integral bridges (BMVBS 2013; Gaba et al. 2017), the use of IBs is limited mainly by the lack of explicit design guidelines coherent across different countries.

35 The rationale for the limitations in design codes lies mainly in the uncertainty related to the soil-structure 36 interaction between the backfill and the abutment walls when integral bridge decks expand due to seasonal 37 thermal loads under ambient conditions (Gorini and Callisto, 2017; 2019; Huffman et al., 2015). When the 38 bridge expands, substantial force is exerted on the abutment by the soil reaction, and this can significantly 39 impact the integrity of the structure. Such inherently nonlinear soil action is dependent on the magnitude 40 and distribution (with height) of the wall displacement, which encompasses both translational and rotational 41 displacements depending on the boundary conditions. In the longer term, as seasons of cyclic expansion 42 and contraction of the bridge decks occur, there can be a build-up of significant lateral earth pressure behind 43 the abutments (Figure 1a). This asymmetric cyclic stress-strain behaviour is known as ratcheting (Horvath, 44 2004; Cui and Mitoulis, 2015; England et al. 2000). However, soil conditions can vary from relatively loose 45 to dense states with different compaction levels; consequently, the pressure that builds-up behind the 46 abutment could significantly increase with time - by a factor of four or more. Accordingly, axial forces on 47 the bridge deck may increase as well, by a factor of around two (part of the pressure being absorbed by 48 the abutment foundation), while bending moments in the composite deck may increase by somewhat less 49 than the axial forces, depending on soil stiffness and boundary conditions (Fennema et al., 2005; 50 Shamsabadi et al., 2007; Clayton et al., 2006; Mahjoubi and Maleki, 2018). The thermal loading also causes 51 ground settlement adjacent to abutments (which may be under approach slabs, if present), with gaps often 52 observed at the surface between the abutment and backfill (Figure 1b). Moreover, subsidence behind the 53 abutment wall can cause structural problems in approach slabs if the bending loads due to traffic are 54 significant (Muttoni et al., 2013).

55 A number of mitigation measures are available to reduce the excessive lateral pressures on the abutment 56 walls. These include limiting bridge length, skew and the vertical penetration of abutments into

- embankments; using selected granular backfill (Al-Ani et al., 2018); providing approach slabs to prevent
 vehicular compaction of the backfill (Muttoni et al., 2013); using embankment benches to shorten wing
 walls; and using suspended turn back wing walls (Paraschos, 2016).
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Figure 1. (a) build-up of lateral earth pressures behind the abutment under thermal loading, (b) gapping
 between abutment wall and backfill under cyclic thermal loading.

In addition, semi-integral abutment designs (Figure 2) are used to remove passive pressures under bridge seats. In such designs, the end screen wall and deck beams are integral with each other, but the end screen wall does not provide support to the deck beams. Instead, a structure with bearings, which can accommodate horizontal displacement, is provided as support to the deck beams.



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Figure 2. Semi-integral abutment design (from PD6694, 2011)

Compressible inclusions between the abutments and the backfill, such as EPS Geofoam, have also been proposed to mitigate the build-up of earth pressures and uncouple the response of the bridge from that of the backfill (Horvath 2000, 2005, Mylonakis et al. 2007a, Mitoulis et al. 2016, Fiorentino et al. 2021). However, extra design and construction work should be allowed for at the design stage. Compressible inclusions between the abutment and the backfill allow dissipation of the lateral earth pressures and the control of displacements in the backfill in performance-based design (Karpurapu and Bathurst 1992, Abdel
Salam and Azzam 2016).

77 It has also been observed that even if the superstructure responds linearly elastically under thermal loads 78 (which is anticipated in IBs), local nonlinear material behaviour of the backfill could result in triggering a 79 nonlinear response in the entire soil-bridge system (McCallen et al. 1994). Recurrent cyclic traffic loads 80 during integral bridge operation (assuming there is no bridging slab) further compacts the backfill, and may 81 also contribute to increases in lateral earth pressures. These effects can be replicated through mechanistic 82 models that have been proposed for the numerical modelling of soil-structure interaction effects on integral 83 bridge abutments (Zhang and Makris 2002, Kotsoglou and Pantazopoulou 2007, 2009; Kappos and Sextos 84 2009).

85 A field study of an integral bridge equipped with an elastic inclusion (i.e., a layer of elastic material between 86 the abutment and the retained soil) showed significantly reduced lateral earth pressures and tolerable 87 settlements of the approach fill (Hoppe, 2005). This isolated system exhibited a mirrored behaviour, with 88 increasing pressure effects occurring at each consecutive thermal cycle, while the backfill soil 89 displacements showed a settling effect with a decreasing magnitude with increasing number of cycles. An 90 important finding was that both the developed pressures and the associated displacements were smaller 91 than those in the conventional system: the peak pressures were seven times smaller and the settlement 92 around four times smaller. Due to the lower absolute pressures and an approximately linear pressure 93 distribution behind the abutment, the overall bending moments induced on the abutment walls were also 94 greatly reduced. This approach may, therefore, lead a more sustainable solution to span longer distances 95 (Caristo et al., 2018). However, without explicit adoption in codes, elastic inclusions are unlikely to achieve 96 widespread use and there remain maintenance implications that can make this solution less appealing.

97 Reducing or removing uncertainties/barriers and improving the functionality of IBs, throughout their design, 98 construction, operation and maintenance phases, provide a means of reducing infrastructure costs and 99 increasing their value. This can be achieved by better diagnosis (i.e., developing knowhow on the problem 100 to reduce epistemic uncertainty) and feeding research findings from laboratory experiments, modelling and 101 field-monitoring campaigns into national and international design code development (Dhar and Dasgupta 102 2019). In support of this and similar goals, the UK Collaboratorium for Research on Infrastructure and Cities

(UKCRIC; <u>www.ukcric.com</u>), has recently created a suite of world-leading laboratory facilities combining
 multi-disciplinary research teams with systems thinking and practice approaches to enhance the value of,
 and de-risk investments in, infrastructure and urban systems interventions.

106 The UKCRIC-PLEXUS (Priming Laboratory EXperiments on infrastructure and Urban Systems) 107 programme included a project that combined three of the new national facilities and a variety of research 108 approaches to establish a comprehensive picture of the soil-structure interaction behaviour of IB abutments 109 under lateral loading. This included a small-scale experimental campaign to complement the evidence base 110 available in the literature, which is reported herein following a brief review of current international design 111 practices (Section 2), an introduction to previous field monitoring techniques (Section 3) and a review of 112 previous research on IBs (Section 4). The results from the experimental campaign (Section 5) are then 113 presented and discussed in relation Sections 1-4, along with conclusions and plans for large-scale 114 experimental tests (Section 6).

115 2. Current design practice

The design of IBs varies according to practices and requirements stipulated by local transportation agencies, a brief summary is presented in Table 1. The US (AASHTO, 2012), Canadian (Canadian Geotechnical Society, 1978) and UK (PD 6694-1: 2011; BA42/96 2003) guidance are perhaps the most authoritative.

120 For abutment design, the earth pressure distribution behind the abutment is determined using a depth-121 dependent lateral earth pressure coefficient, K (Mei et al., 2017; Vahedifard, 2015), defined as the ratio of 122 effective lateral (horizontal) effective stress to the effective vertical stress at a specific depth. The value of 123 K depends on many parameters, notably the nature of soil (coarse grained vs fine grained), its density and 124 its loading history (over-consolidation ratio). There are three categories of horizontal earth pressure 125 coefficient: at rest (K_0) corresponding to zero horizontal wall movement and zero normal horizontal soil 126 strain, active (K_a) representing a theoretical minimum value requiring sufficient outward wall displacement 127 (i.e., away from the backfill), and passive (K_p) representing a theoretical maximum value requiring sufficient 128 inward wall displacement (towards the backfill). There are several, theoretical and empirical, theories for 129 establishing the lateral earth pressure coefficient. Coulomb (1773) first proposed a heuristic limit analysis

130 framework (known today as the Limit Equilibrium method) associated with shear failure of a soil wedge 131 within the backfill, using an optimisation procedure to identify stationary values among an infinite set of 132 candidate lateral thrusts. Mayniel in 1808 extended Coulomb's equations to include wall friction, and Muller-133 Breslau in 1906 further generalised Mayniel's equations to incorporate an inclined backfill and wall. 134 Coulomb's solution provides the most useful tool for establishing earth thrusts by hand calculations, yet the 135 method works solely with forces (not stresses) and thus cannot establish the point of application (elevation) 136 of the overall soil thrust. Subsequently, Rankine's (1857) theory, based on limit stresses to predict active 137 and passive pressure coefficients, produced exact stresses (hence predicting the point of application of soil 138 thrust). However, its applicability is limited - notably to vertical walls having roughness equal to the 139 inclination of the backfill under plane strain conditions - while the kinematics of the problem (i.e., soil and 140 wall displacements) and the compatibility of deformations are essentially ignored. More advanced stress 141 solutions encompassing inclined backfill and wall are available in Mylonakis et al. (2007b).

142 Importantly, the use of full passive pressures without regard to displacements and compatibility of 143 deformations is not conservative as it invariably suppresses the flexural effects of dead and live loads on 144 the bridge girders. Modified coefficients based on Rankine's solution have been proposed (Kloukinas et al 145 2015; Hanna and Diab, 2016; Pain et al., 2017; Rajesh and Choudhury, 2017). For relatively short single-146 span IBs, the passive earth pressure coefficients were reduced by multiplying the relevant Rankine 147 coefficients with modification factors. The displacement at the top of the bridge abutment due to thermal 148 loading, *d*, is calculated using Equation 1 (PD6694, 2011):

$$d = \alpha L_X (T_{e;max} - T_{e;min}) \quad (1)$$

150 where:

151 α is coefficient of thermal expansion of the deck (of the order of 10⁻⁵ for concrete decks);

152 L_x is expansion length measured from the end of the bridge to the position on the deck that remains 153 stationary when the bridge expands;

154 $T_{e;max}$ and $T_{e;min}$ are the characteristic maximum and minimum uniform bridge temperature components for 155 a 50-year return period in the UK (National Annex to BS EN 1991-1-5, 2003), respectively. The specified dimensionless displacement ("drift", which is the horizontal movement applied on the top of the abutment wall from span over the abutment wall height) for full passive pressure development is equal to approximately 4×10^{-2} for loose sand and equal to 1×10^{-2} for dense sand (Clough and Duncan, 1991). Widely used curves to determine the lateral soil pressure for loose, medium and dense granular materials (Figure 3) are presented in NCHRP Report 343 (NCHRP, 1991) and NAVFAC (1982), while design curves are provided in the Canadian Foundation Engineering Manual (Canadian Geotechnical Society, 1978) and by the US Section of the Navy (Cole and Rollins, 2006).

NCHRP (1991) and NAVFAC (1982) recommend applying limit equilibrium solutions based on log spiral failure mechanisms for standard backfill configurations, since Coulomb's failure wedge methodology is notoriously non-conservative for determining passive pressures (Xu et al., 2018; Keykhosropour, and Lemnitzer, 2019). AASHTO (2012) determines horizontal soil pressures on bridge abutments according to Rankine's active soil pressures, based on variations in the earth pressure coefficient as a function of structural displacement from experimental data and finite element analyses, leading to a practically linear relationship as shown in Equation 2 (Bal et al., 2018; Capilleri et al., 2019):

$$K = K_0 + \emptyset d \leq K_p \qquad (2)$$

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where *d* is the displacement of the IB towards the backfill, and ϕ is the slope of the earth pressure variation with horizontal displacement (which varies with the backfill type).



Figure 3. Relationship between wall displacement and earth pressure in sand (a) wall moving towards the
 backfill (passive like) (b) wall moving away from the backfill (active like) according to NCHRP (1991) and
 NAVFAC (1982).

There is reasonable agreement between the predicted average passive earth pressure of standard compacted gravel backfill with the results from full-scale wall tests performed at the University of Massachusetts (Bonczar et al., 2005). According to the tests, the pressure coefficient *K* (Massachusetts Bridge Manual, 1999) can be estimated using the following empirical equation:

181
$$K = 0.43 + 5.7 \left[1 - e^{-190(\frac{d}{H})} \right]$$
(3)

where *d* is the displacement of the IB towards the backfill soil, and *H* is the height of the abutment. The first term in Equation 3 (0.43) can be interpreted as a K_0 coefficient, while the multiplier on the second term (5.7) can be interpreted as the difference between passive and at rest pressures ($K_p - K_0$), being zero for zero displacement and maximum (5.7) for infinite displacement. To achieve a pressure equal to 99% of active requires a dimensionless displacement ("drift") of approximately 2.4%. These values correspond to the case of a rough wall and a medium-dense granular backfill.

188 From experiments investigating the cyclic stresses in backfill soil on a concrete wall with a pinned 189 connection to a strip footing (Firoozi et al., 2016; Yazdandoust et al., 2019), and according to PD 6694 190 (2011) for full height abutments on spread footings, which accommodate thermal movements by rotation or 191 flexure, the earth pressure on the retained face for an integral abutment wall is dependent on: (1) the 192 thermal movement range (based on a 50-year return period), (2) the direction of movement (expansion or 193 contraction), and (3) the magnitude of expansion or contraction for the combination of actions for the design 194 situation under consideration (Figure 4). The design value of the earth pressure coefficient for expansion K_d^* 195 can be estimated from Equation 4, but should not be taken as greater than $K_{p:t}$:

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$$K_d^* = K_0 + (\frac{Cd'_d}{H})K_{p;t}$$
 (4)

where K_0 is the coefficient of earth pressure at rest; *H* is the vertical distance from ground level to the level at which the abutment is assumed to rotate; d'_d is the wall deflection at depth *H*/2 below ground level; *C* is a dimensionless coefficient equal to 20 for foundations on loose soils with Young's modulus $E \le 100$ MPa, and 66 for foundations on rock or soils with $E \ge 1000$ MPa, and which may be determined by linear interpolation for values of between 100 MPa and 1000 MPa; $K_{p;t}$ is the coefficient of passive earth pressure used in the calculation of K_d^* .

Design guidance for IBs is developing worldwide with a particular focus on the effect of thermal loading. However, there are still unanswered questions related to longer-term effects due to cyclic loading, notably does the earth pressure distribution change after many years of thermal cycling loading, and should the cycling loading history be considered in the estimation of the lateral pressure distribution behind the abutment?

It is evident, therefore, that the design methods provided in guidelines are characterised by significant uncertainty on the degree of conservatism embedded in the methods and lack consistency between countries. This emphasises the need for further investigation both under controlled condition in laboratories and via monitoring of IBs in the field, notably focussing on the abutment and associated backfill behaviour during a large number of repetitive cycles of displacement.



Figure 4. Earth pressure distributions for abutments that can accommodate thermal expansion by rotation and/or flexure (from PD6694, 2011), where K_0 is the coefficient of earth pressure at rest; H is the vertical distance from ground level to the level at which the abutment is assumed to rotate; γ is united soil weight; z is the soil depth; K^* is the design value of the earth pressure coefficient for expansion.

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Table 1. Summary information of design guidance. (Note that limit equilibrium methods cannot predict distributions of passive pressures with depth. Accordingly, additional assumptions are needed to predict shear forces and bending moments at the two end of the wall). 223

Design guidance	Region	Estimation of earth pressures	Limiting Design Criteria		
AASHTO LRFD, 2012	USA	The earth pressure coefficient variations are a function of structural displacement from experimental data and finite-element analyses, leading to a quasi-linear relationship	The limiting design criteria varies in different states. In 1980, American Federal Highway Association (FHWA) recommended: steel bridge - 90m; cast-in-place concrete bridge - 150m; post-tensioned bridges - 183m.		
NCHRP, 1991		Limit equilibrium solutions based on log spiral failure mechanisms for standard backfill configurations (loose, medium and dense sand)			
NAVFAC, 1982		Limit equilibrium solutions based on log spiral failure mechanisms for standard backfill configurations (loose and dense sand)			
U.S. Department of Navy, 1982		Terzaghi's log spiral wedge theory to determine passive soil pressure coefficient			
Massachusetts Bridge Manual, 1999		Provided the equations (according to full-scale wall tests) to calculate the design earth pressure distribution behind the abutment of IABs			
Canadian Geotechnical Society, 1978	Canada	The soil pressure coefficients are based on the thermal movement of the model, vary with abutment rotation.	Different provinces have their own design guidance. For example, Alberta limited the span of IABs to 100m, with skew angle less than 20 degrees. Ontario limited the height of the abutment to 7m and length of wingwall to 6m.		
PD6694,2011	UK	Limit equilibrium approach and SSI analysis	Span length - 60m; skew - 30 degree; the characteristic thermal movement of the end of the deck is less than or equal to 40 mm.		

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228 3. Field monitoring of IBs

229 Field monitoring data from in-service IBs is significant both to inform and improve future design guidance, 230 and to refine experimental and numerical research. Many IBs globally are currently being monitored, see 231 Table 2 for a general overview of significant monitoring studies. One of the monitored IABs is the 232 Manchester Road Overbridge between Denton and Middleton: two side-by-side 40m span IBs with no skew 233 and 7m high abutments carrying the A62. The strain in, and the earth pressure acting on, the abutments 234 were monitored during construction and throughout the first two years of service. The first bridge was a 235 conventional portal frame structure retaining granular backfill, while the second was constructed with 236 contiguous bored pile abutments founded on glacial till. As the bridge deck expanded, lateral stresses 237 increased, demonstrating a strong correlation between lateral stresses and bridge temperature (Barker and 238 Carder, 2000).

239 Similarly monitored was a two-span, skewed IB of 50 m total length consisting of pre-stressed concrete 240 beams and cast in-situ deck structurally connected to full height, 9m high abutments founded in magnesian 241 limestone over the M1-A1 Link Road at Bramham Crossroads, North Yorkshire. The field measurements 242 (displacements of the abutment and deck; strains in the abutment and deck; earth pressures on the 243 abutment) were recorded during construction and over the first three years of service. The measured lateral 244 earth pressures after backfilling were consistent with predictions using the coefficient of earth pressure at 245 rest (K_0), calculated based on the estimated friction angle (ϕ'), while they increased slightly for each of the 246 following summers (Barker and Carder, 2001).

The results from both monitoring campaigns were invaluable, yet they only cover a relatively short period after construction whereas longer-term monitoring would be needed to determine the expected pattern of significant earth pressure escalation after more seasonal cycles; this would also have provided a better return on investment from the instruments installed (Barker and Carder, 2000; 2001).

A 15-degree skew, two-span IB of 90.9 m total length with 2.88 m high abutments in Trenton, New Jersey (USA), was monitored for a year. Abutment strains and soil pressures behind the abutment were monitored by the New Jersey Department of Transportation when revising the design specifications for integral bridges (Hassiotis et al., 2005). A steady build-up of soil pressures behind the abutment was observed. 255

A no-skew three-span IB of 82.3 m total length with 3.05 m high abutments spanning the Millers River between the towns of Orange and Wendell, USA, was monitored from 2002 to 2004, including longitudinal and transverse bridge displacements, backfill pressure distribution behind the abutment and abutment strains. The peak earth pressure at 2.5 m from the abutment top was observed to increase annually from 245 kPa (2002) to 280 kPa (2003) and 315 kPa (2004); similar earth pressure increases were observed at other depths behind the abutment (Brena et al., 2007).

262 The Van Zylspruit River Bridge (a five-span IB of 90.45 m total length with no skew and 6.6 m high

abutments, located on the N1 in South Africa) exhibited a maximum earth pressure significantly (~1.75

times) higher than the at rest pressure (Skorpen et al., 2018).

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Table 2. Summary information of monitored IABs

Reference	Location	Span length (m)	Skew degree	Hight of abutment (m)	Key monitoring findings
Barker and Carder, 2000	Manchester, UK	40	0	7	first two years of service, measured lateral stresses increased
Barker and Carder, 2001	North Yorkshire, UK	50	skewed	9	first three years of service, measured lateral earth pressures increased slightly for each of the following summers
Hassiotis et al., 2005	Trenton, New Jersey, USA	90.9	15	2.88	A steady build-up of soil pressures behind the abutment was observed
Brena et al., 2007	Millers River, USA	82.3	0	3.05	The peak earth pressure at 2.5 m from the abutment top was observed to increase annually.
Skorpen et al., 2018	Van Zylspruit River, South Africa	90.45	0	6.6	First of year of service, a maximum earth pressure significantly (~1.75 times) higher than the at rest pressure

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267 It is clear from the published literature that there is no more than ten years of reliable monitoring data 268 available for IBs, whereas backfill stress measurements are required for a bridge that has been in service 269 for more than a decade (Lock, 2002). It is currently unclear whether earth pressures would continue to increase, or increase asymptotically, or level off to a steady value towards the end of the bridge's servicelife (implying a hypothetical need for a 120-year observation period; Yap, 2011). Longer-term monitoring campaigns, notably focussing on the lateral soil pressure behind the abutment and incorporating redundancy to allow for instrumentation failures, are therefore essential; linking the data feeds to digital twins would further improve understanding of IB behaviour, improve designs and inform maintenance strategies.

276 4. Previous laboratory experimental research on IBs

277 4.1 Lateral earth pressure

278 Increases in IB backfill pressure or lateral earth pressure, which is related to the soil stiffness and strength 279 and is dominated by the compaction of the granular backfill, have been confirmed by cyclic triaxial tests on 280 Leighton Buzzard Sand that simulated the stress path that a typical IB abutment might impose on its 281 retained soil (Xu, 2005). In a centrifuge model study of a spread-base integral bridge abutment assembled 282 in a (677 × 192 × 535 mm) strongbox, the measured lateral earth pressure increased with the amplitude of 283 the passive displacements and the number of cycles, but at a decreasing rate (Ng et al., 1998). This 284 progressive increase in lateral stresses was also observed when the active state was reached at the end 285 of each cycle in laboratory triaxial tests on specimens of Leighton Buzzard sand subjected to the stress 286 paths and levels of cyclic straining that typical IB abutments might impose on its retained soil (Clayton et 287 al., 2006). Tapper and Lehane (2005) describe a centrifuge experiment on a pinned base abutment in a 288 $(510 \times 200 \times 245 \text{ mm})$ strongbox characterised by increasing displacements (d/H 0.10%, 0.40% and 1.26%), 289 which showed that lateral stress did indeed increase until the passive limit was reached. In small-scale 290 $(1140 \times 570 \times 300 \text{ mm})$ 1g testing, the lateral stress first increased significantly (around 25 cycles), then 291 the increase slowed (around 50 cycles), approaching asymptotically a steady-state condition (England et 292 al., 2000). This is anticipated, as the vertical effective stresses are approximately constant, so an 293 unbounded increase in lateral stresses is impossible, requiring an infinite magnitude of shear stress within 294 the backfill.

295 Centrifuge tests in a (677 × 192 × 535 mm) strongbox by Springman et al. (1996) used embedded and 296 spread-base abutments retaining Leighton Buzzard sand; they observed that the rate of stress increase on

297 the back of the abutment was much reduced after the first 20 cycles. The upper limits of the stress 298 escalation, however, are not well known (England et al., 2000). This earth pressure escalation was 299 attributed to two distinct mechanisms: the arching effect at small amplitudes and granular flow at large 300 amplitudes. The arching mechanism reduces the vertical stresses acting on the soil behind the lower half 301 of the wall, resulting in lower horizontal earth pressures at this point. A dominant arching mechanism relates 302 to small wall rotations while a dominant flow mechanism relates to large wall rotations (Tsang et al., 2002). 303 The flow mechanism allows a continuous deformation of the soil mass in one direction. The build-up of 304 lateral pressure was explained by the flow of granular materials during cyclic loading, known in the literature 305 as strain ratcheting (Hassiotis et al., 2005). The significant pressure build-up was attributed to sand particle 306 flow and densification due to cyclic loading, as well as the shearing of dense sand during bridge expansion 307 (Khodair and Hassiotis, 2005).

There seems to be agreement based on the published evidence that the lateral pressure behind an IB abutment increases with sufficient displacement of the abutment under thermal loading. However, it remains unclear whether the lateral pressure behind the abutment will continue building up at a specific rate eventually stabilising. Furthermore, the different soil types and construction conditions make this situation more complex. Therefore, monitoring of lateral soil pressure behind abutments is needed to obtain a full understanding of IB behaviour under thermal loading. An overview of the main features of experimental studies on earth pressures in IABs is provided in Table 3.

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Table 3. Dimensions of available model tests

Model	h [mm]	test type	aspect ratio			abutment material	backfill material	constraint abutment	
			w/h	t/h	h/H	L/h			
England et al. 2000	570	1g pseudo- static	0.53	0.035*	1	2	metal	Leighton Buzzard	Fixed-hinged
Springman et al. 1996	110/115.9	60g centrifuge	1.88/162	0.099/0.085	0.45/0.53	2.9/2.5	dural/steel	Dry sand	Embedded/spread- base
Cosgrave and Lehane 2003	1000	1g pseudo- static	0.3	0.025	1	2.61	mild steel plate	Dry siliceous sand	hinge
Lehane 2011	160/200	(20; 25; 37.5; 40) g centrifuge	0.8/1	0.1/0.08	0.65/1	3.19/2.55	aluminium	Fine sand/ Glass ballottini/ High- OCR kaolin	hinge

h: height, w: width, t: thickness of the abutment; H: height, L: length of the backfill *Estimation from the diagram proposed in the paper

323 4.2 Backfill settlement

324 Soil settlement has been observed when IBs are subjected to cyclic loading in centrifuge models of a 325 spread-based abutment (Ng et al., 1998) and scale-model retaining walls (England et al., 2000), some 326 authors even observed a gap developing between the soil behind the abutment and the wall (David and 327 Forth, 2011). The significant settlement behind the abutment reported by Ng et al. (1998) was attributed to 328 soil densification, strain ratcheting, horizontal sliding and the rocking motion of the abutment, while 329 Springman et al. (1996) warned against using loose backfill behind an IB abutment to prevent excessive 330 soil settlements. Lock (2002) noted that settlement due to thermal displacement of the IB deck is often 331 addressed by incorporating an approach slab, whereas Hoppe (1999) suggested this to be unnecessary if 332 the backfill is properly compacted.

The UK Design Manual for IBs (DMRB; BA42/96, 2003) does not mention the use of approach slabs, although backfill compaction is recommended to limit the soil settlement due to thermal displacement of the structure. Indeed, a survey of the UK's Highway Agency maintenance records of existing IBs revealed that, aside from isolated cases, most bridges showed no settlement problems (Yap, 2011); other field studies produced similar findings, with very few reporting soil settlement issues (Lock, 2002), emphasising the need for, and effectiveness of, good control of compaction specifications.

339 4.3 Effect of soil backfill

340 In the UK, free-draining backfill is specified in DMRB (BA42/96, 2003) as well-graded granular material with 341 particle sizes up to 75 mm (gravel), which could include constituents such as natural gravel, natural sand, 342 crushed gravel, crushed rock, crushed concrete, slag or chalk. It further specifies representative values of peak effective cohesion (c'_{peak}) and peak effective friction angle (ϕ'_{peak}) should be based on the compaction 343 344 to 95% of the maximum dry density in accordance with BS1377: part 4 (BSI 1990) using the vibrating 345 hammer method. The zone of granular backfill should extend from the bottom of the abutment wall to at least a plane inclined at 45° to the wall. According to Al-Ani et al. (2018), the backfill behind the abutment 346 347 should be compacted over at least the height of abutment and vertically below the bottom of the abutment 348 for about 25% of the abutment height.

349 Shah et al. (2008) state that the magnitude and mode of deformation of the backfill, the overall soil response 350 and the overall structural response are all heavily influenced by the level of compaction in the granular fill 351 behind the abutment, along with the relative flexural stiffness of the bridge deck, the abutment wall and any 352 foundation piles, the lateral pressure of the soil behind the wall, and the confining stress level in the soil. 353 This complex set of interdependencies is further complicated by lateral earth pressure build-up in granular 354 being not solely be due to densification, but readjustment of the soil fabric due to particles reorienting under 355 cyclic loading or straining (Fleming and Rogers, 1995), and hence compaction processes should replicate 356 this action (i.e. using a vibrating roller rather than vertical compaction technology). Particle shape is 357 therefore an additional consideration since it influences this readjustment in soil fabric (Yap, 2011).

The boundaries of design are being pushed further with the use of innovative backfill materials (e.g. elastic inclusions – a block of elastic material placed between the abutment wall and the retained soil) and approach slabs – such as in a US IB of 300m total length that is performing well without cracking and settlement of the pavement (Frosch, 2002). However, standard guidance on design and detailing of approach slabs (e.g. the connection to the abutment backwall, and the interface between the approach slab and approach fills) is lacking.

364 It is evident that a focus on backfill compaction (intensity, rotation of principal stresses, layer thickness and 365 confinement) could lead to decrease the build-up of pressure on the IB abutment and substantially avoid 366 backfill settlement. However, backfill compaction is not straightforward to control in IB construction 367 processes, therefore poorly-compacted backfill should be investigated alongside well-compacted granular 368 backfill taking cognisance of material types and gradings used in road foundations to limit permanent 369 deformation.

370 **5. PLEXUS pump-priming experimental campaign**

To investigate the soil-structure interaction uncertainties related to the backfill behaviour behind IBs and establish the efficacy of different sensing technologies, a PLEXUS 1g small-scale soil box experimental campaign was devised, thus also paving the way for experimentation at or near full-scale in the Soil-Foundation-Structure Interaction Laboratory (SoFSI) at the University of Bristol.

The PLEXUS rig was designed to simulate the effect on the backfill from abutment displacements due to seasonal expansion and contraction of the bridge deck. The monitoring regime included lateral stresses behind the abutment wall (pressure cells), the backfill surface displacement (LVDTs) and backfill soil deformation behind the abutment (Particle Image Velocimetry, PIV). Initial tests included the backfill material being loaded by a moving abutment wall having two different relative stiffnesses (i.e., flexible and rigid abutments), the displacements replicating horizontal thermal loading conditions associated with increasing cyclic displacements and multiple-cycle constant-displacement histories.

382 5.1 Experimental configuration

383 The 1525 x 1050 x 1150 mm test box accommodated the loading system (actuator) and a 1000 x 1000 x 384 1000 mm specimen of backfill. A 1000 mm high moveable wall was hinged at the bottom of the soil box to 385 simulate an IB abutment able to rotate about its base. The movable wall consisted of a 25 mm Perspex and 386 25 mm timber composite to simulate a flexible abutment wall, while the rigid movable wall consisted of a 387 25 mm Perspex, 25 mm timber composite, 50 mm aluminium frame and 25 mm timber composite producing 388 a sandwich configuration. Perspex was used for the box wall to enable PIV observations of backfill 389 displacements, while the remainder of the rig was designed without metal components to facilitate future 390 trialling of a ground penetration radar as a monitoring tool (see Figure 5). The abutment wall, end wall and 391 side wall were instrumented with pressure cells, while LVDTs were used to measure surface backfill 392 displacements. The backfill consisted of uniform Leighton Buzzard sand fraction B (see Fiorentino et al. 393 2021).



Figure 5. (a) an annotated 3D diagram of the test box, (b) the test box filled with Leighton Buzzard (LB)

397 5.2 Thermal loading

398 Thermal loading from temperature-induced cyclic expansion and contraction of the bridge deck was 399 simulated by push-pull pseudo-static motion of the moveable wall, its displacement being controlled by the 400 actuator mounted 870 mm above the wall base. In Test#1, the flexible abutment wall was subjected to 12 401 loading cycles with a loading rate of 0.5 mm/s (to simulate static thermal loading, Springman et al., 1996; 402 Lehane, 2011), each cycle lasting at least 40 seconds. The cyclic displacements at the top of the movable 403 wall started at ±5 mm, with increments of ±5 mm every two cycles, to reach ± 30 mm (drift ~3.5 x 10⁻²; see 404 Table 4). In Test#2, the rigid abutment wall was subjected to 59 'loading' cycles with a loading rate of 1.0 405 mm/s and cyclic displacements at the top of wall fixed at ±30 mm for each cycle (1 mm/s was considered 406 slow enough to simulate static thermal loading). The 30mm equals the seasonal deck movement (one end) 407 of a IAB in London with a 131m length concrete deck or a 91m length of steel deck (England et al. 2000).

408 **5.3 Instrumentation layout**

The instrumentation employed consisted of TPC-4000 series Total Earth Pressure Cells (TEPCs) to measure lateral stresses, and Linear Voltage Differential Transducers (LVDTs) and a high-resolution camera on the side of the test-rig to measure displacements. The TEPCs are designed to measure total pressure (combined effective stress and pore water pressure) in soils and at soil-structure interfaces yet, as the Leighton Buzzard Sand was dry, they directly provided effective stress measurements. The locations of the three end wall TEPCs (1-3), four moveable wall TEPCs (6-9) and sidewall TEPCs (4 and 5) are shown in Figure 6.

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Table 4. Summary information for Tests #1 and #2.

Test ID	Abutment Wall	Total Cycles	Loading Rate [mm/s]	Displacement history [mm] & Drifts
#1	Flexible Perspex +Timber ¹	12	0.5	2 · {±5mm; ±10mm; ±15mm; ±20mm; ±25mm; ±30mm} 2 · {(±5.7; ±11.5; ±17.2; ±23.0; ±28.7; ±34.5) × 10 ⁻³ }
#2	Stiff Sandwich section ²	59	1.0	$59 \cdot \{\pm 30 mm\}$ $59 \cdot \{\pm 34.5 \times 10^{-3}\}$

¹ 25 mm Perspex + 25 mm Timber

² 25 mm Perspex + 25 mm Timber + 50 mm Aluminium frame + 25 mm Timber

Figure 7 shows the positions of the nine LVDTs (1-9) placed in three rows on the backfill surface and four LVDTs (11-13) measuring the moveable (abutment) wall displacement. The high-resolution camera (Canon 70D 5472*3648 pixels) focussed on the Perspex sidewall to record 'full-field' backfill deformation using the PIV method, regarded as slow "fluid motion" (Stanier et al., 2010).



422

Figure 6. The layout of the pressure cells in the test box: (a) movable wall, (b) end wall, (c) sidewall
including exact position of actuator.

425 **5.4 Backfill**

The backfill material selected was Leighton Buzzard Sand (LBS) fraction B (Lings and Dietz, 2004;
Kloukinas et al., 2015; Fiorentino et al., 2021), having dry densities in Test #1 and Test #2 of 1.48 Mg/m³

428 and 1.44 Mg/m³, respectively. The minimum and maximum dry densities for the LBS were determined as 429 1.48 Mg/m³ and 1.65 Mg/m³. The density value in Test #2 obtained is slightly lower than the minimum 430 provided by Fiorentino et al. (2021) but this is likely due to the absence of compaction and no control of 431 density at the different filling stage leading to an overall figure that is about right within the tolerance of the 432 process implemented experimentally. The specific gravity of LBS grains was 2.65, while the minimum and 433 maximum void ratios (e_{min} and e_{max}), were 0.35 and 0.83, respectively (Fiorentino et al., 2021). To achieve a uniform, relatively loose LBS specimen, the sand was pluviated into the soil box in three layers, with 434 435 levelling (but no compaction) applied after each pour.



436

437 Figure 7. The layout of the LVDTs (a) plan view of the test box, (b) front view of the movable wall.

438 5.5 Results

439 The two tests have multiple differences (i.e., wall stiffness, 'loading' rate, displacement history increasing 440 or kept constant), making a systematic comparison difficult. Nevertheless, in Figure 8a, a preliminary 441 comparison is proposed between the earth pressure distributions obtained using Rankine theory and 442 PD6694 (see Figure 4 and Equation 4) and the passive-like pressure recorded at three instants: (a) at cycle 443 12 of Test #1 (i.e. the second cycle at +30 mm after the increasing displacement history), (b) at cycle 2 of 444 Test #2 (i.e. the second cycle at +30 mm), and (c) at cycle 12 of Test #2 (i.e. the twelfth cycle at +30 mm). 445 The vertical distance from ground level to the assumed point of rotation of the abutment (H) is 0.96 m, while 446 the wall deflection at depth H/2 below ground level (d'_{d}) was 0.7 times the horizontal movement of the end 447 of the bridge deck. C is 20 assuming that the Young's modulus of the sand is less than 100 MPa. The critical 448 friction angle was taken as $\phi_{cs} = 32^{\circ}$ and the elastic modulus as 20 MPa (Ng et al., 1998). The coefficient of passive earth pressure $(K_{p;t})$ was obtained through linear interpolation for values of ϕ_{cs} between 30° $(K_{p;t} = 4.29)$ and 35° $(K_{p;t} = 5.88)$ for a non-smooth vertical wall with $\delta/\phi' = 0.5$ retaining a horizontal backfill (see Table 8 in PD6694 2011).

452 The lateral soil pressures measured in both tests were larger than the pressures calculated according to 453 Equation 4 from PD6694 (see Section 2) using the maximum and minimum LBS densities. This may be 454 caused by the specific configuration of the experiments and the influence of the backwall (as the distance 455 between the abutment and the backwall was 1 m when at least 1.7 m would be necessary to develop a 456 complete passive failure wedge). However, the shape of the lateral pressure distribution on the abutments, 457 resulting from the three experimental measurement points, mimics the shape of the envelope proposed by PD6694. The lateral pressure on the abutment after the 12th cycle in Test #2 was larger than that after the 458 459 12th cycle in Test #1, attributed to the larger cyclic lateral displacement in Test #2 (an expected result) 460 and/or the lower stiffness of the abutment wall in Test#1 (also an expected phenomenon).





462 Figure 8. (a) Measured earth pressures and (b) ratio of horizontal to vertical stress when wall is moving

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into the backfill soil (passive).



466 Figure 9. Normalised distributions of earth pressures with depth: (a) x axis normalised by γ z and (b) x 467 axis normalised by γ H represented against y axis normalised by total height of the soil (H = 96 cm).

The lateral soil pressures on the movable wall in Figure 8a were normalised separately by the vertical stress, γz , where γ is united weight, z is the soil depth (Figure 9a), and by the maximum vertical stress, γH , where H is the total depth of the soil (Figure 9b), thereby making them scalable for general translation. The vertical axes of Figure 9 are normalised by the total height of the backfill. The ratios of horizontal to vertical stress measured by the bottom pressure cell at cycle 12 in Test #1 and at cycle 2 in Test#2 were very close to that calculated from PD6694, while the pressure at cycle 12 in Test #2 is larger.

In Test #2, the lateral pressure on the stiffer abutment after 12 cycles was larger than after 2 cycles (all cycles consisting of ±30 mm displacement). Figure 8b shows the rapid increase in lateral soil pressure with increasing number of cycles and displacements (up to cycle 12) in Test #1, and with constant displacement and increasing cycles in Test #2, compared with the theoretical passive Rankine value and comparative values suggested by NCHRP (1991) and NAVFAC (1982) shown in Figure 3a.

Backfill surface settlement is significantly smaller for the stiffer abutment after 2 cycles than after 12 cycles
(Figure 10). The settlements rapidly increased with the number of cycles. The settlement behind the stiff

abutment after 12 cycles is slightly larger than for the 12th cycle behind the flexible abutment, attributed to
abutment stiffness and/or amplitude of cyclic loading.



483

Figure 10. The settlement behaviour of the backfill, (a) Test #1 – 12th cycle, (b) Test #1 – 12th cycle
(zoomed-in), (c) Test #2 – 2nd cycle (zoomed-in), (d) Test #2 – 12th cycle (zoomed-in), the black dotted
line offers the same reference level for a better comparison.

The densification of the backfill in the zoomed-in areas of Figures 10b to 10d was analysed using the GeoRG PIV MATLAB analysis package (Stainer et al. 2015). As shown in Figure 11 (where volumetric strain value shown with the colour of contour and the black arrows only present the deformation direction of the soil backfill), the deformation of the backfill after 12 cycles in Test #1 (Figure 11a and 11b) was larger than that in Test #2 when the actuator was at maximum extension. In contrast, the opposite behaviour was observed when the actuator was at its maximum contraction. The lower lateral soil pressure on the flexible abutment than that on the stiff abutment was attributed to the backfill becoming denser in the stiff abutment
test. The densification of the backfill after two cycles in the stiff abutment configuration (Figure 11c and 11d)
is much larger than after 12 cycles (Figure 11e and 11f), thus indicating that the amplitude of the backfill
densification decreases with increasing loading cycles in the same test.

497 It is evident from these results that abutment stiffness, number of cycles, backfill material state and the 498 magnitude of abutment horizontal displacement are key parameters in determining IB performance, and 499 warrant further investigation in controlled experiments. Pressure cells and PIV measurements are 500 particularly suitable to compare the performance of different test configurations.





502 Figure 11. Percentage volumetric strain (ε) and deformation direction (black arrows) of the soil backfill: 503 Test #1 – 12th cycle (a) maximum extension position and (b) maximum contraction position; Test #2 – 2nd

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cycle (c) maximum extension position and (d) maximum contraction position; Test #2 – 12th cycle (e) maximum extension position and (f) maximum contraction position.

506 6. Conclusions

507 This paper discussed the behaviour of integral bridges (IB's) under thermal loading by reviewing: (1) current 508 international design practices, and (2) lessons learnt from field monitoring cases and previous experimental 509 research. The soil pressure behind the abutment wall is a crucial factor for the design and performance of 510 integral bridges, while the backfill behind the abutments significantly influences performance. The PLEXUS 511 experimental campaign, described herein, demonstrated the efficacy of its monitoring processes in 512 establishing soil-structure interaction behaviour of integral bridge abutments under thermal loading and 513 identified key research needs. In particular, pressure cells and PIV provided useful data for performance 514 comparisons in laboratory environments, while settlements measurements proved less informative. The 515 research revealed a need for precise density monitoring of the backfill throughout the duration of the tests 516 and throughout the soil specimen. In the non-metallic PLEXUS test rig, this could be achieved by ground 517 penetration radar, x-ray tomography and/or similar techniques.

The small-scale tests have created results that are suitable for analytical and numerical validation, although scaling effectiveness needs to be proven. Further, larger-scale physical model tests of integral bridge abutments, using different types of backfill compacted to counter unwanted deformations due to ratcheting (e.g. incorporating rotation of principal stresses) and to cover the variety of materials likely in practice, are required to ensure improved sustainable and resilient designs of integral bridges.

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524 Data availability statement

All data from the Plexus experimental campaign are available from the corresponding author by request

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