

1 **Identification and functional evaluation of *GRIA1* missense and truncation variants**  
2 **in patients with intellectual disability: An emerging neurodevelopmental phenotype**

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1 **Abstract**

2 *Background:* *GRIA1* encodes the GluA1 subunit of  $\alpha$ -amino-3-hydroxy-5-methyl-4-  
3 isoxazole propionate (AMPA) receptors, which are ligand-gated ion channels that act as  
4 excitatory receptors for the neurotransmitter *L*-glutamate (Glu). AMPA receptors  
5 (AMPA receptors) are homo- or heteromeric protein complexes with four subunits, each encoded  
6 by different genes, *GRIA1* to *GRIA4*. Despite GluA1-containing AMPARs having a crucial  
7 role in brain function, the human phenotype associated with deleterious *GRIA1* sequence  
8 variants has not been established. This study shows that mutations in *GRIA1* cause both  
9 dominant and recessive neurodevelopmental disorders.

10 *Methods:* Subjects with *de novo* missense and nonsense *GRIA1* variants were identified  
11 through international collaboration. Detailed phenotypic and genetic assessments of the  
12 subjects were carried out and the pathogenicity of the variants was evaluated using  
13 electrophysiological and biochemical analyses to characterize changes in AMPAR  
14 receptor function and expression. In addition, two *Xenopus* *gria1* CRISPR/Cas9 F<sub>0</sub>  
15 models were established and successfully used to characterize the *in vivo* consequences.

16 *Results:* Seven unrelated patients with rare *GRIA1* variants were identified. One patient  
17 carried a homozygous nonsense variant (p.Arg377Ter), and six had heterozygous  
18 missense mutations (p.Arg345Gln, p.Ala636Thr, p.Ile627Thr, p.Gly745Asp) of which the  
19 p.Ala636Thr variant was recurrent in three patients. The cohort revealed subjects to have  
20 a recurrent neurodevelopmental disorder mostly affecting cognition and speech.  
21 Functional evaluation of major GluA1-containing AMPAR subtypes carrying the *GRIA1*  
22 variant mutations showed that three of the four missense variants profoundly perturb  
23 receptor function. The homozygous stop-gain variant completely destroys the expression

1 of GluA1-containing AMPARs. In addition, data from the *Xenopus gria1* models shows  
2 transient motor deficits, an intermittent seizure phenotype and, by using a newly  
3 described method, a significant impairment to working memory in mutants.

4 *Conclusion:* These data support the first description of a new developmental disorder  
5 caused by both heterozygous and homozygous variants in *GRIA1* affecting AMPAR  
6 function.

7

8 **Keywords**

9 AMPA receptor, AMPAR, iGluR, Glutamate receptor 1, GLUR1, GLURA,  
10 Neurodevelopmental impairment, epilepsy, *Xenopus*, Free movement pattern Y maze,  
11 CRISPR.

12

## 1 **Introduction**

2 AMPARs belong to the ionotropic glutamate receptors (iGluR) superfamily of ligand-gated  
3 cation channels that mediate the majority of excitatory synaptic transmission in the central  
4 nervous system<sup>1</sup>. The primary function of AMPARs is to facilitate synaptic transmission  
5 by delivering excitatory postsynaptic currents (EPSC), but AMPARs are also involved in  
6 synaptic plasticity mechanisms thought to underlie learning and memory<sup>2-4</sup>. AMPARs  
7 form as tetrameric assemblies of the four subunits, GluA1-4, encoded by the *GRIA1-4*  
8 genes<sup>5</sup>. The *GRIA1* gene encodes the 907 amino acid GluA1 subunit (Fig. 1A-C). GluA1  
9 can assemble as a homomeric receptor or combine with GluA2-4 subunits into  
10 heteromeric AMPARs. Structurally, AMPARs have a four-layer structure with the amino  
11 terminal domains (NTDs) from each subunit forming an upper extracellular layer, the  
12 agonist-binding domains (ABDs) forming a middle layer containing four Glu binding sites,  
13 and the transmembrane domains (TMDs) forming a central, membrane permeating ion  
14 channel (Fig. 1B).

15 Neurodevelopmental disorders (NDDs) encompass a range of phenotypes such as  
16 intellectual, behavioral, memory, or motor deficits and are estimated to affect 1-3% of the  
17 population in Western countries<sup>6-9</sup>. A growing body of evidence suggests that a  
18 substantial proportion of NDDs have monogenetic causes affecting key proteins in  
19 excitatory neurotransmission<sup>10-12</sup>, including *GRIA* genes. Advances in understanding the  
20 genetic architecture of the brain may begin to unravel the genetic causes for NDDs. In  
21 particular, appreciating the critical role of AMPARs in excitatory neurotransmission and  
22 synaptic plasticity mechanisms is yielding a new perspective for their causal role in NDDs.  
23 Previous studies have implicated *GRIA2*, *GRIA3*, and *GRIA4* genes in NDDs, but *GRIA1*

1 has not been established as a disease-causing gene. Although variants in *GRIA1* have  
2 been identified through WES and WGS studies in NDD patient cohorts<sup>13-16</sup> and a potential  
3 mutation 'hotspot' in *GRIA1* has been postulated<sup>15</sup>, to date, there has been no detailed  
4 phenotypic analysis or functional work completed to classify these variants beyond  
5 uncertain clinical significance.

6 A cohort of unrelated NDD patients with both new and previously-reported *GRIA1*  
7 missense variants was identified through collaboration. In particular, this included a  
8 patient with a homozygous *GRIA1* stop-gain mutation that truncates the GluA1 subunit  
9 and appears to disrupt expression of any GluA1-containing AMPAR subtype. Functional  
10 evaluation of the impact of the *GRIA1* variants on the function of GluA1-containing  
11 AMPAR subtypes showed three out of four to have profound gain- or loss-of-function  
12 effects on important functional features of homomeric and heteromeric GluA1 receptor  
13 subtypes. In addition, the *in vivo* effects of disruption of GluA1-containing AMPAR  
14 expression was assessed in genetically altered *Xenopus* tadpoles using a novel  
15 behavioral model for measuring working memory. The results provide evidence that  
16 *GRIA1* variants are the cause of a monogenic NDD characterized by ID, speech and  
17 language delay, poor sleep, abnormal electroencephalogram (EEG) with or without  
18 seizures, normal brain imaging, and endocrine abnormalities, adding to the existing  
19 collection of *GRIA* related NDDs.

20

1 **Materials and methods**

2 **Cohort analysis**

3 Subjects were identified through the authors' clinical practice, GeneMatcher<sup>17</sup> or ClinVar  
4 databases<sup>18</sup>. Medical information including birth parameters, epilepsy,  
5 electroencephalograms (EEGs), developmental histories, brain MRIs and physical  
6 examinations were collected from the local healthcare providers. The study was  
7 conducted in agreement with the Declaration of Helsinki and approved by the local ethics  
8 committees. Since all patients had cognitive impairment, their parents or legal guardians  
9 gave informed consent.

10

11 **Genetic identification and analysis**

12 Subjects 1 to 5 were investigated by WES or WGS ordered by primary healthcare  
13 providers or as part of larger research studies (Supplementary patient information).  
14 Patient 6 was investigated by targeted panels (Supplementary patient information).  
15 Information on genetic analysis was not available for patient 7. All variants were  
16 annotated using the NM\_000827.3 (GRCh37/hg19) transcript of *GRIA1*. The functional  
17 consequences of missense variants were predicted using calculation of Combined  
18 Annotation-Dependent Depletion (CADD) scores<sup>19</sup>, and Sorting Intolerant From Tolerant  
19 (SIFT)<sup>20</sup> and Polymorphism Phenotyping v2 (PolyPhen2)<sup>21</sup> analysis. The Genome  
20 Aggregation Database (gnomAD v.2.1.1; <https://gnomad.broadinstitute.org/>) was  
21 employed to determine the frequency of the variants in control populations.

22

23 **Materials**

1 All chemicals were from Sigma-Aldrich (St. Louis, MO) unless otherwise stated.  
2 Dulbecco's modified Eagle's medium (DMEM), fetal bovine serum, trypsin, and penicillin-  
3 streptomycin were from Invitrogen (Carlsbad, CA). DNA modifying enzymes were from  
4 New England Biolabs (Ipswich, MA) except PfuUltra II Fusion HS DNA polymerase  
5 (Agilent, Carlsbad, CA). Tissue cell culture plasticware was from Sarstedt (Nümbrecht,  
6 Germany) unless otherwise stated. Cyclothiazide (CTZ), kainic acid, and NASP were from  
7 HelloBio (Bristol, UK). A DNA construct encoding GFP-GluA1 was a gift from Roberto  
8 Malinow (University of California, San Diego), and the construct encoding mCardinal-  
9 Farnesyl-5 was a gift from Michael Davidson (Addgene plasmid # 56159;  
10 <http://n2t.net/addgene:56159>; RRID:Addgene\_56159).

11

### 12 ***Xenopus tropicalis* husbandry**

13 Adult Nigerian strain *Xenopus tropicalis* were housed and maintained within the European  
14 *Xenopus* Resource Centre, University of Portsmouth, in recirculating systems at 24 – 25  
15 °C with 15% daily water changes on a 13 – 11-hour light-dark cycle. All work was  
16 conducted in accordance with the Home Office Code of Practice under PPL 79/8983 and  
17 PP4353452 following approval from the University of Portsmouth's Animal Welfare and  
18 Ethical Review Body. For egg recovery, female *Xenopus tropicalis* were primed with 10  
19 IU of Human Chorionic Gonadotropin (Chorulon, Intervet) and boosted with 100 IU the  
20 following morning. Egg clutches were fertilized with cryopreserved sperm (EXRC)<sup>22-24</sup>.  
21 Embryos were cultured at 27°C for the first 24 hours and 24°C thereafter in 0.05 X Marc's  
22 Modified Ringer's (MMR in mM: 22 NaCl, 0.5 KCl, 0.5 CaCl<sub>2</sub>, 0.25 MgCl<sub>2</sub>, 1.25 HEPES,  
23 pH 7.4), in complete darkness with a 50% media change every other day, with twice-daily

1 health checks. Once at feeding stages, tadpoles were fed a mixed diet of spirulina and  
2 sera micron twice daily, 5 days per week and once daily, 2 days per week.

3

#### 4 **Animal strains and genetic alteration**

5 Experimental data presented in this study were obtained from either wild-type (WT)  
6 Nigerian strain *Xenopus tropicalis* or a transgenic line expressing GFP in differentiated  
7 neural tissue [Xtr.Tg(tubb2b:GFP)Amaya] RRID:EXRC\_3001 (from here on referred to as  
8 tubb2bGFP). In these background strains, three different CRISPR/Cas9-based mosaic  
9 models were made and analyzed as detailed below: a *tyrosinase* crispant in which exon  
10 2 was targeted (*Xtr.tyr*<sup>em1EXRC</sup> referred to as “*tyr* crispant” in the text and used as a control);  
11 a *gria1* crispant in which exon 2 was targeted (*Xtr.gria1*<sup>em1EXRC</sup> referred to as “*gria1*  
12 knockout” in the text and described in Supplemental Fig. S2) and a second *gria1* crispant  
13 model in which exon 8 was targeted (*Xtr.gria1*<sup>em2EXRC</sup> referred to as “*gria 1* crispant” in  
14 the text and described in Fig. 2.).

15

#### 16 **Generation of knockout animals using CRISPR-Cas9**

17 The target regions within *gria1* (exon 2 and exon 8) were identified using Xenbase<sup>25</sup>, and  
18 single guide RNAs (sgRNA) were designed using v9.1 of the *X. tropicalis* genome and  
19 later blasted against v10 to check for additional off-target sites. Two single-stranded  
20 oligonucleotide templates (Supplementary Table S1) for sgRNA synthesis were selected  
21 for each region based on the following criteria: high mutagenic activity, minimal predicted  
22 off-target events, and a high frameshift frequency using the CRISPRscan<sup>26</sup> and inDelphi  
23 algorithms<sup>27</sup>. Following the Taq-based method described by Nakayama *et al.*<sup>28</sup>, single-

1 stranded oligonucleotides (Invitrogen, UK) containing the T7 promoter were annealed and  
2 extended with the universal CRISPR oligonucleotide, this template was then transcribed  
3 using a T7 Megashortscript kit (Invitrogen, UK). The resulting sgRNAs were purified using  
4 SigmaSpin™ Sequencing Reaction Clean-Up columns (Sigma-Aldrich), quantified using  
5 a NanoDrop 1000 spectrophotometer (Thermo Fisher Scientific, Loughborough, UK),  
6 analyzed by agarose gel electrophoresis, and stored at -80°C as single-use aliquots.  
7 Across all experiments, 1000 pg sgRNAs were co-injected with 2.6 ng Cas9 protein (Spy  
8 cas9 NLS, New England Biolabs) into single-cell *X. tropicalis* embryos. The efficiency of  
9 indel formation was assessed in genomic DNA from crispant embryos. Briefly, lysates  
10 were prepared from three batches of embryos collected at Nieuwkoop and Faber (NF)  
11 stage 10 and stage 41, by incubation at 56 °C for 2 hours in 50 mM Tris, 1 mM EDTA,  
12 0.5% [v/v] Tween-20, 100µg/ml Proteinase K, pH 8.5. Primers for PCR amplification of  
13 the target regions of interest were designed using Primer3 software<sup>29</sup> (Supplementary  
14 Table S1) and blasted against v10 of the *Xenopus tropicalis* genome (Xenbase).  
15 Amplicons were column purified (SmartPure PCR Purification Kit, Eurogentec, Belgium),  
16 Sanger sequenced (Genewiz, UK), and the resulting trace files were compared using ICE  
17 v2 CRISPR Analysis Tool software (Synthego, Redwood City, CA). Indels were confirmed  
18 by Sanger sequencing of subcloned PCR amplicons from mutant animals.

19

## 20 **Phenotypic analysis of crispant tadpoles**

21 To identify gross morphological differences between uninjected tadpoles and crispant  
22 tadpoles, animals were anesthetized in 0.025% w/v tricaine mesylate solution and visually  
23 inspected using an AxioZoom V16 stereomicroscope (Zeiss, Jena, Germany) with

1 fluorescence for visualizing GFP-expressing animals. To inhibit melanogenesis for the  
2 study of neural transgene expression, tadpole medium was supplemented with 75  $\mu$ M 1-  
3 phenyl 2-thiourea (PTU) after hatching at NF26. Analysis of working memory was  
4 examined at stage NF50, using the Zantiks MWP unit (Zantiks Ltd, Cambridge, UK) and  
5 the free-movement pattern (FMP) Y-maze<sup>30</sup>. The FMP Y-maze is validated for assessing  
6 spatial working memory and cognitive flexibility in zebrafish and has been applied to both  
7 mice and humans but has never been used in *Xenopus*. The FMP Y-maze quantifies  
8 deviations from randomness in search strategies by analyzing a continuous log of arm  
9 entries in the maze in terms of discrete choices (i.e. 'Left' or 'Right'), grouped into a series  
10 of four overlapping choices, called 'tetragrams' (e.g. LRLR, LLLR, etc.). Vertebrates such  
11 as zebrafish, mice, and humans show a common dominant search strategy (~25 - 30%  
12 of turns) of sequentially alternating left/right choices (LRLR or RLRL)<sup>30; 31</sup>. This pattern is  
13 abolished with memory-blocking drugs and reduces in aging<sup>30</sup>. The task is also able to  
14 quantify behavioral flexibility by analyzing the change across time in alternation  
15 strategies<sup>30</sup>. For example, behavioral flexibility is reduced with dopamine D<sub>1</sub> receptor  
16 antagonists and in the presence of acute stress<sup>30-32</sup>.

17

18 *Xenopus tropicalis* tadpoles were placed in semi-translucent, acrylic inserts containing  
19 two identical Y-mazes (three 10 x 10 x 25mm arms and a 10 x 10 x 10mm central zone).  
20 Inserts were filled with 0.05 X MMR and contained a dilute but equally distributed tadpole  
21 food mix. The Y-Maze arms were of equal size with no intra-maze cues, and lights were  
22 maintained off during each trial to match the rearing conditions of the tadpoles. An infra-  
23 red video camera was used for live monitoring and recording of the movement of

1 individual animals. Sample size estimations were calculated *a priori* from pilot studies  
2 performed on 16 uninjected control tadpoles and 16 *gria1* knockout tadpoles, using the  
3 G\*Power software package version 3.1.9.7<sup>33</sup>. Tadpoles were transferred into the Y-  
4 mazes and placed into the Zantiks MWP unit for a pretrial time of 120 seconds to  
5 acclimatize. They were then tracked for one hour of free search. Data from each trial were  
6 output in two forms: zone entries/exits over time and an AVI video file (with live tracking).  
7 Zone entries and exits were converted into left or right turns, grouped into overlapping  
8 sequences of four turns (tetragrams) using customized Excel spreadsheets, and  
9 normalized against the total number of moves. For experiments with MK-801 ((+)-5-  
10 methyl-10,11-dihydroxy-5H-dibenzo(a,d)cyclohepten-5,10-imine]), the concentration and  
11 delivery was based on previously published work in Zebrafish<sup>30</sup>. Specifically, tadpoles  
12 were placed in 100 mL beakers containing 50mL 0.05X MMR and 0.75mg/L MK-801 for  
13 2 hours before evaluation in the Y-maze.

14

## 15 **Molecular Biology**

16 Mutations in *GRIA1* (MIM 138248) were introduced by site-directed mutagenesis into their  
17 corresponding positions in rat cDNA expression constructs encoding GluA1. Specifically,  
18 the plasmid vector pXOOF<sup>34</sup> containing cDNA for the unedited flip isoform of rat *GRIA1*  
19 gene was used for site-directed mutagenesis and subsequent heterologous expression  
20 in mammalian cells or generation of mRNA for microinjection in *Xenopus laevis* oocytes  
21 (XOs). Site-directed mutagenesis was performed using the QuickChange mutagenesis  
22 kit (Stratagene, La Jolla, CA). The mutations were verified by Sanger DNA sequencing of  
23 the entire GluA1 coding region (GATC Biotech, Constance, Germany). For analysis of

1 cell-surface expression of WT and mutant GluA1 receptors, cDNA encoding a  $\beta$ -  
2 lactamase (blac) enzyme was inserted in the GluA1 cDNA in between the segments  
3 encoding the *N*-terminal signal sequence and the NTD using In-Fusion cloning (Promega,  
4 Mountain View, CA, USA). Specifically, a PCR-amplified DNA fragment encoding blac  
5 flanked by two short amino acid linkers (GGSGS and GGSG) was inserted in-frame  
6 between the signal sequence and the NTD using an *Xho*I restriction site introduced by  
7 site-directed mutagenesis of codon 24 and 25 to create WT and mutant blac-GluA1  
8 constructs. For imaging of the expression patterns of WT and mutant GFP-tagged GluA1  
9 subunit, a GFP-tagged GluA1 construct<sup>35</sup> and the red fluorescent membrane-reporter  
10 mCardinal-Farnesyl-5 were PCR subcloned into the CMV-IRES cassette of the pmLINK  
11 plasmid vector<sup>36</sup>. Two pmLINK plasmids can be fused by a two-step recombination  
12 strategy to form an expression construct for co-expression of cDNA inserts from two  
13 identical CMV promoter expression cassettes<sup>36</sup>. Specifically, pMLink-eGFP-GluA1-WT  
14 and pMLink-eGFP-GluA1-R377\* were digested with *Swa*I and combined with *Pac*I  
15 digested pMLink-mCardinal-Farnesyl-5 by use of Gibson assembly (Gibson Assembly®  
16 Cloning Kit, New England Biolabs) to generate pMLink-eGFP-GluA1-WT-mCardinal-  
17 Farnesyl-5 and pMLink-eGFP-GluA1-R377Ter-mCardinal-Farnesyl. For co-expression  
18 studies of WT and mutant GluA1 with the AMPAR GluA2 subunit and the auxiliary TARP-  
19 class subunit gamma-2, pXOOF plasmid constructs containing the flip isoform of rat  
20 GluA2 in the R-edited form (GluA2R) and gamma-2 were used. When used as templates  
21 for *in vitro* transcription of mRNA, all pXOOF plasmid constructs were linearized  
22 downstream of the 3' untranslated region using *Nhe*I, purified using a NucleoSpin DNA  
23 clean-up kit (Macherey-Nagel, Düren, Germany), and stored at a concentration of 1.0

1 ug/uL at -20 °C until use. cRNA transcription was performed using the ARCA mRNA  
2 synthesis kit (NEB, Madison, WI, USA). The resulting mRNA was column purified using  
3 NucleoSpin RNA Clean-up kit (Macherey-Nagel), diluted to 0.5 ng/nL, and stored at -80  
4 °C until use.

## 6 **Mammalian Cell Culturing and Expression**

7 HEK293T cells (American Type Culture Collection, Manassas, VA) were cultured in  
8 DMEM medium supplemented with 10% v/v fetal bovine serum, 100 units/ml penicillin,  
9 and 100 µg/ml streptomycin at 37 °C in a humidified 5% CO<sub>2</sub> environment. For expression  
10 of WT and mutant GluA1 in HEK293 cells, TransIT-LT1 DNA transfection reagent (Mirus,  
11 Madison, WI) was used as described previously<sup>34</sup>. Briefly, HEK293 cells in suspension  
12 (1e6 cells/mL) were mixed with DNA/transfection complex formed by mixing plasmid  
13 DNA, TransIT-LT1 reagent, and DMEM in a 1:3:90 ratio and immediately plated into poly-  
14 *D*-lysine coated glass-bottom 96-well plates (MatTek Corporation, Ashland, MA) at 1e6  
15 cells and 1 µg plasmid DNA per well and incubated for two days before experiments.

## 17 ***Xenopus laevis* oocyte expression**

18 Defolliculated XOs (stage V to VI) were prepared and injected with mRNA as described  
19 previously<sup>37</sup>. The care and use of *Xenopus laevis* were in strict adherence to a protocol  
20 (license 2014-15-0201-00031) approved by the Danish Veterinary and Food  
21 Administration. Injected XOs were incubated at 18 °C in Modified Barth's Solution (MBS)  
22 containing (in mM) 88 NaCl, 1 KCl, 0.41 CaCl<sub>2</sub>, 2.4 NaHCO<sub>3</sub>, 0.33 Ca(NO<sub>3</sub>)<sub>2</sub>, 0.82 MgSO<sub>4</sub>,  
23 5 Tris (pH 7.4) supplemented with 50 µg/ml gentamycin until use.

1

## 2 **Cell-surface expression levels**

3 Relative levels of surface-expressed blac-tagged GluA1 were quantified in living cells by  
4 measuring the conversion rate of the membrane-impermeable blac substrate nitrocefin  
5 by simple absorption spectroscopy<sup>38</sup>. For analysis in XOs, injected oocytes were placed  
6 individually in wells of clear-bottom 96-well plates containing 100  $\mu$ L MBS followed by the  
7 addition of nitrocefin to a final concentration of 50  $\mu$ M in a total volume of 200  $\mu$ L per well  
8 and incubated at 37 °C for 3 hours. During the incubation time, 50  $\mu$ L samples of medium  
9 were removed every 30 minutes, and the absorbance at 486 nm of the nitrocefin  
10 conversion product was determined using a microplate reader (Safire2, Tecan,  
11 Maennedorf, Switzerland), plotted as a function of time of sampling, and the rate of  
12 nitrocefin conversion was determined by linear regression analysis of the slope of the  
13 curve in the linear range.

14

## 15 **Western blot analysis**

16 Transfected HEK293 cells lysate was mixed 1:1 with 2xSDS sample buffer composed of  
17 50 mM Tris-HCl, 2% w/v SDS, 10% w/v glycerol, 1% w/v  $\beta$ -mercaptoethanol, 12.5 mM  
18 EDTA, 0.02 w/v % bromophenol blue (pH 6.8) and heated at 65 °C for 5 minutes. 20  $\mu$ L  
19 samples were loaded on freshly prepared 10% polyacrylamide gels, resolved based on  
20 molecular weight through electrophoresis, and transferred to a polyvinylidene difluoride  
21 membrane (Sigma-Aldrich) and incubated with antibodies for GluA1 for 2 h at RT,  
22 washed twice for 15 minutes at RT, then incubated with alkaline phosphatase-conjugated  
23 secondary antibody for 2 h at RT, and finally rinsed in water for 15 min. The

1 immunoreactive protein content in the membrane was visualized using alkaline  
2 phosphatase mediated conversion of 5-bromo-4-chloro-3-indolyl phosphate (BCIP)/nitro  
3 blue tetrazolium (NBT) into an insoluble blue-purple product (SIGMAFAST™ BCIP/NBT  
4 system, Merck).

5

### 6 **TEVC electrophysiology**

7 Glass micropipettes (0.69 mm ID/1.2 mm OD, Harvard Apparatus, Holliston, MA) were  
8 pulled on a Sutter P-1000 micropipette puller (Sutter Instruments, Novato, CA) to a tip  
9 resistance of 0.5-2.5 MΩ and filled with 3 M KCl. Oocytes were clamped using a two-  
10 electrode voltage-clamp amplifier (OC-725C, Warner Instruments, Hamden, CT) and  
11 continuously perfused with Frog Ringer's solution containing (in mM) 115 NaCl, 2 KCl, 5  
12 HEPES, and 1.8 BaCl<sub>2</sub> (pH 7.6 with NaOH) by gravity-assisted perfusion at flow rates of  
13 2 to 4 mL/min into a vertical oocyte flow chamber with a volume of 0.3 mL<sup>39</sup>. Compounds  
14 were dissolved in Frog Ringer's solution and added by bath application. Concentration-  
15 response data were generally recorded at holding potentials of -40 mV; otherwise, in the  
16 -20 to -80 mV range. Each compound solution was applied for 10 to 60 s depending on  
17 time needed to obtain steady-state currents. Data acquisition was accomplished using a  
18 CED 1401plus analog-digital converter (Cambridge Electronic Design, Cambridge, UK)  
19 interfaced with a PC running WinWCP software (available from Strathclyde  
20 Electrophysiology Software, University of Strathclyde, Glasgow, UK). Concentration-  
21 response experiments were performed by measuring agonist-evoked current during  
22 stepwise application of increasing concentrations of agonist, as illustrated in Fig. 3E. All  
23 experiments were performed at RT.

1

## 2 **Confocal Imaging**

3 A Leica SP2 confocal microscope equipped with an argon laser, a helium/neon laser, and  
4 63X 1.2 Na HCX PL APO water-corrected objective was used. GFP-tagged WT and  
5 mutant GluA1 were visualized using 488 laser lines at 25-35% input power as excitation  
6 sources and emission measurement in the 500-560 nm spectrum ranges. In addition, the  
7 co-expressed plasma membrane marker mCherry-Farnesyl-5 was visualized using the  
8 633-nm helium/neon laser line at 25-35% input power and collection of emission in the  
9 640 to 700-nm spectrum range. Overlay images were produced with Leica LAS AF Lite  
10 software (Leica Microsystems GmbH, Wetzlar, Germany).

11

## 12 **Data and statistical analysis**

13 To construct concentration-response curves from electrophysiological data, agonist-  
14 evoked current responses from individual oocytes were determined from TEVC traces  
15 using ClampFit 10 software (Molecular Devices, San Jose, CA) and normalized to the  
16 current response by maximal agonist concentration. Composite concentration-response  
17 plots were constructed from normalized responses from 8 to 30 oocytes and fitted using  
18 GraphPad Prism v6.01 (GraphPad Software, San Diego, CA, USA) to a four-variable Hill  
19 equation:

$$20 \quad response = + \frac{-bottom}{1 + 10^{(logEC_{50}-X) \cdot nH}}$$

21

22 In this equation, *bottom* is the fitted minimum response, *top* is the fitted maximum  
23 response, *nH* is the Hill slope, and X is the agonist concentration, and EC<sub>50</sub> is the half-

1 maximally effective agonist concentration, respectively. All statistical analyses of data  
2 from TEVC experiments were performed in GraphPad Prism 9. Unless otherwise stated,  
3 summary TEVC data are represented as mean with 95% confidence interval (CI) from n  
4 cells. One-way analysis of variance (ANOVA) with Dunn's *post hoc* multiple comparison  
5 test was performed for comparisons of three or more groups in which the data were  
6 normally distributed, and where a p-value <0.05 was considered significant

7  
8 For the FMP Y-maze experiment, data across groups were compared using an ANCOVA  
9 with significant effects assessed by Dunn's *post hoc* multiple comparison test, where a p-  
10 value <0.05 was considered significant. Grouped data are shown as the mean  $\pm$  the  
11 standard error of the mean (SEM). Unless otherwise stated, statistical significance was  
12 denoted as follows: \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001.

## 14 **Results**

15 **Genetic and clinical findings in NDD patients with homozygous and heterozygous**  
16 **GRIA1 variants.** Seven unrelated NDD patients with rare *GRIA1* variants were identified  
17 and included in the study via the author's clinical practice, direct communication, and  
18 through the GeneMatcher<sup>17</sup> or ClinVar database<sup>18</sup>. In all cases, the *GRIA1* variants were  
19 identified from clinical or research genetic analysis aimed to determine the genetic cause  
20 underlying the patient's NDD and were found to include four missense variants  
21 (c.1906G>A; p.Ala636Thr, c.1880T>C; p.Ile627Thr, 2234G>A; p.Gly745Asp, and  
22 c.1034G>A; p.Arg345Gln) and one truncating variant (c.1129C>T; p.Arg377Ter) (Table  
23 1). All missense variants were heterozygous and arose *de novo*, whereas the truncating

1 stop-gain variant was homozygously inherited from consanguineous parents. Three  
2 patients harbored the p.Ala636Thr variant (patients 2-4), which previously has been  
3 reported as a recurrent *de novo* *GRIA1* variant<sup>15</sup>. An overview of the genetic and  
4 bioinformatic data is provided in Table 1, while patient clinical features are provided in  
5 Table 2. Individual case stories of patients are included in the supplementary information  
6 (Supplementary patient information). Patient ages ranged from 7 to 26 years and included  
7 an equal number of males and females and one patient for which sex was not reported  
8 (Table 2). All patients were diagnosed with ID. In addition, patients were most severely  
9 affected in terms of verbal abilities: patients 1, 2, and 3 remained non-verbal while patients  
10 4 and 6 communicated using either simple words or short sentences. Data on speech  
11 was not available for patients 5 and 7. All patients could walk independently before 24  
12 months of life (ranging from 12 to 17 months). Patients 1, 2, 3, 4, and 6 had cognitive  
13 impairment ranging from moderate to severe based on clinical impression. Due to limited  
14 data access, it was impossible to determine the severity of cognitive impairment for  
15 patients 5 and 7. Also, data on behavioral phenotype were not available for subjects 5  
16 and 7 but were reported in all remaining patients. These included recurring themes, such  
17 as anxiety, ASD, and ADHD phenotypes (Table 2). Patient 6 was described as having a  
18 low anger threshold and had challenging behavior such as inconsolable upset, anger  
19 tantrums, and occasional aggressive outbursts; however, no self-injurious behavior was  
20 described. Patients 3, 4, and 6 had an autism spectrum disorder. Patients 1, 3, and 4  
21 were reported to have a sleeping disorder necessitating treatment with melatonin. Normal  
22 brain MRI was reported for all patients except patient 7, for which information on MRI  
23 status was not available. None of the patients were reported to have a movement

1 disorder. Epileptic seizures were only reported in patient 1, who carried the homozygous  
2 truncating variant and were reported to be treatment-resistant focal seizures  
3 (Supplementary patient information). An endocrine disorder was identified in two subjects  
4 included premature puberty in patient 1 and hypothyroidism plus polycystic ovarian  
5 syndrome in patient 6.

## 6 Evaluation of effects of *GRIA1* variants on GluA1 subunit expression

7 The amino acid residues in the GluA1 subunit protein affected by patient *GRIA1* missense  
8 variants are located in different subunit domains (Fig. 1A-B). As shown in the cartoon  
9 representation of a single GluA1 subunit protein (Fig. 1A), Arg345 is located in the NTD,  
10 Gly745 is located in the ABD that contains the Glu-binding site, and the Ile627 and Ala636  
11 are located within the M3 transmembrane domain of the TMD. The Arg377 residue  
12 affected by the stop-gain variant in patient 1 is located in the C-terminal of the NTD close  
13 to the linker that connects the NTD to the ABD (Fig. 1A). The stop-gain mutation (R377\*)  
14 is therefore predicted to cause expression of the NTD alone. All of the residues are  
15 located in GluA1 sequence regions that are highly conserved among species and the  
16 other AMPAR subunits GluA2-4 (Fig. 1B). Except for Arg345, no other missense variants  
17 affecting the residues exist in the Genome Aggregation Database (gnomAD), which may  
18 indicate sensitivity to missense variation. The p.Ile627Thr, p.Ala636Thr, and p.Gly745Asp  
19 variants that were not present in gnomAD were classified as potentially damaging by  
20 various *in silico* tools for the prediction of deleteriousness of single missense variants  
21 (Table 1). Moreover, missense tolerance ratio (MTR) analysis<sup>40</sup> of the *GRIA1* coding  
22 sequence reveals the codons encoding Ile627 and Ala636 to have MTR scores (0.41 and  
23 0.33, respectively) that are in the lower 5% percentile and close to the global minimum of

1 the entire subunit sequence (Fig. 1D), strongly indicating an unusually high sensitivity of  
2 these residues to missense variation. Gly745 also has a low MTR score (0.66) and is  
3 located in a local minima region of the MTR (Fig. 1D) and, therefore, is also predicted to  
4 have sensitivity to missense variants. In contrast, Arg345 is located in a sequence region  
5 without reported unusual sensitivity to missense variants.

6 For evaluation of the effect of the *GRIA1* variants on expression and function of GluA1-  
7 containing AMPARs subtypes, the mutations reflecting the *GRIA1* variants p.Arg345Gln  
8 (R345Q), p.Arg377Ter (R377\*), p.Ile627Thr (I627T), p.Ala636Thr (A636T), and  
9 p.Gly745Asp (G745D) were generated in the GluA1 subunit (Materials & methods).  
10 Mutational effects on the ability of the GluA1 subunit protein to fold correctly, assemble  
11 into receptors, and traffic to the cell surface membrane were first characterized using a  
12  $\beta$ -Lactamase ( $\beta$ -lac) enzyme reporter assay<sup>34; 41; 42</sup>. Specifically,  $\beta$ -lac was fused to the  
13 extracellular N-terminal of WT and mutant GluA1. The resulting  $\beta$ -lac tagged subunit  
14 constructs were expressed in *Xenopus laevis* oocytes (*Materials & methods*). Following  
15 two days of expression, the relative levels of WT and mutant receptor present at the  
16 oocyte cell surface were determined by measuring the rate of  $\beta$ -lac catalyzed cleavage  
17 of the cell-impermeable chromogenic substrate nitrocefin added to the cells<sup>38; 42</sup>. All  
18 mutants except R377\* displayed  $\beta$ -lac activity similar to WT GluA1, which indicates that  
19 the mutations do not affect normal efficiency of subunit expression, folding, assembly,  
20 and surface trafficking (Fig. 1E). In contrast, R377\* expressing oocytes showed a similar  
21 level of  $\beta$ -lac activity to un-injected oocytes (0.002 OD/h versus 0.001 OD/h;  $p = 0.72$ ;  
22 Fig. 1E), supporting that the R377\* mutation truncates the GluA1 subunit in the NTD such  
23 that the subunit lacks the ABD and TMD that is essential for subunit assembly into

1 functional receptors in the membrane (Fig. 1). This was further tested by expressing WT  
2 GluA1 and the R377\* mutant tagged with green fluorescent protein (GFP) in the N-  
3 terminal of the NTD in HEK293 cells together with the red-fluorescent cell-surface  
4 membrane reporter protein construct mCardinal-farnesyl (Materials and Methods).  
5 Confocal imaging of GFP fluorescence in the transfected cells showed clear membrane  
6 localization of WT GFP-GluA1 protein that overlapped with mCardinal-farnesyl  
7 fluorescence. In contrast, GFP fluorescence for GFP-GluA1-R377\* cells was confined to  
8 the intracellular compartments (Fig. 1F). Furthermore, Western blot analysis of GluA1  
9 protein size in protein extracts from WT and R377\* transfected HEK293 cells were  
10 performed (Fig. 1G). Analysis with an antibody directed against an NTD epitope showed  
11 GluA1 protein with a size corresponding to full-length GluA1 (100 kDa) in WT transfected  
12 cells, whereas R377\* transfected cells showed a protein product with a size  
13 corresponding to truncation at Arg377 (43 kDa). A similar analysis using an antibody  
14 directed at the GluA1 C-terminal showed a 100 kDa size for the WT protein and detected  
15 no GluA1 protein in extracts from R377\* transfected cells (Fig. 1G), indicating that  
16 translational read-through of the stop codon generated by the p.Arg377Ter variant does  
17 not occur. Together, these data show that the homozygous *GRIA1* variant p.Arg377Ter  
18 identified in patient 1 is a stop-gain variant that truncates GluA1 at Arg377 and prevents  
19 any expression of functional GluA1 subunit in human and amphibian cells.

## 20 Indels in exon 8 cause working memory deficits in tadpoles.

21 The homozygous stop-gain variant p.Arg377\* was modeled in *Xenopus tropicalis*  
22 tadpoles using CRISPR-Cas9 to investigate how the disrupted expression of the GluA1  
23 subunit contributes to neurological development and behavior. Gene editing in *Xenopus*

1 species is now so efficient that analysis is routinely performed in founder animals,  
2 enabling rapid testing to support the causality of genetic disruption across a range of  
3 genes<sup>43-46</sup>. To support the use of *X. tropicalis* to model variants in *gria1*, both human and  
4 *Xenopus tropicalis* share identical gene structures and produce proteins that are >87%  
5 conserved (Supplementary Fig. S1A and B). Additionally, the exon 8 target region  
6 corresponding to the homozygous nonsense variant identified in Patient 1 (p.Arg377\*) is  
7 well conserved in *Xenopus* (Supplementary Fig. S1C). CRISPR/Cas9 editing (Materials  
8 and Methods) was used to disrupt exon 2 (*Xtr.gria1<sup>em1EXRC</sup>*, *gria1* knockout) or the  
9 genomic DNA encoding 18 amino acids upstream of Arg377 in exon 8 (*Xtr.gria1<sup>em2EXRC</sup>*,  
10 *gria1* crisprant) (Supplementary Table S1 and Supplementary Fig. S1). Arg377 is the  
11 penultimate amino acid of exon 8, and targeting upstream of the variant was chosen to  
12 avoid altered splicing outcomes in the crisprant model. Sanger sequencing of the target  
13 region within exon 8 in genomic DNA samples collected from crisprant tadpoles  
14 demonstrated a good penetrance of indels. Further, sequencing of subcloned genomic  
15 amplicons revealed none of the sequenced clones represented the WT allele and  
16 demonstrated that half of the sequenced clones truncated the protein (denoted by the  
17 asterisk, Fig. 2A). The genotype of the *gria1* knockout model targeting exon 2 is  
18 predominantly a 7bp deletion (>75% indels, Supplementary Fig. S2A).

19 All phenotyping experiments were replicated in embryos from at least three different  
20 females, and no consistent early developmental abnormalities were noted across these  
21 experiments. Transient motor differences were seen in almost all post-hatching knockout  
22 (27 of 30 animals) and crisprant tadpoles (23 of 30 animals). Both types of *gria1* mutants  
23 were slower to hatch than controls and subsequently displayed an abnormal escape

1 response to tactile stimulation. Post-hatching control animals were observed to move  
2 away from all tactile stimuli (trunk and head), whereas both types of mutant animals  
3 responded either by moving in tight circles or not at all. At later stages (NF42 onwards),  
4 all tadpoles were seen to develop normally, adopting an appropriate filter-feeding (head  
5 down, tail up) posture with the ability to navigate their environment freely. Imaging  
6 revealed no obvious or consistent craniofacial abnormalities in either model (Fig. 2B;  
7 Supplemental Fig. 2B). Similarly, no significant gross structural differences were noted in  
8 the forebrain, midbrain, or hindbrain regions when *gria1* knockout (Supplemental Fig.  
9 S2C) or *gria1* crispant tadpoles (Fig. 2C) were made in a *tubb2bGFP* background to  
10 enable visualization of the brain. A small number of tadpoles in each batch were observed  
11 to demonstrate episodic periods of abnormal behavior (5 of 50 animals), which included  
12 'C-shaped' alternating axial contractions of the tail coupled with rapid changes in direction.  
13 These 'manic' bouts were followed by a prolonged and unusual period of immobility.  
14 Interestingly, this behavior is consistent with descriptions in the literature of seizures in  
15 *Xenopus* tadpoles<sup>47; 48</sup>.

16 All patients in this study have varying degrees of cognitive impairment (Table 2 and  
17 Supplementary patient information). Until now, quantitative measures of higher executive  
18 functions in *Xenopus* have not been described. Therefore, a novel free-movement pattern  
19 behavioral model was developed in *Xenopus tropicalis* tadpoles to assess the impact of  
20 *gria1* knockout on cognitive functions (Materials and Methods). Previous FMP Y-maze  
21 studies show a dominant vertebrate search strategy largely consisting of alternating  
22 left/right choice patterns (LRLR or RLRL). Importantly, this strategy can be impaired by  
23 pharmacological agents that disrupt working memory and cognitive flexibility<sup>30; 31</sup>. The

1 results presented here show that control tadpoles demonstrate a predominant search  
2 strategy consisting largely of alternations (black bars, Fig. 2D, Supplemental Fig. 2D and  
3 3A). The alternation strategy observed in control tadpoles was abolished following  
4 administration of the NMDA receptor antagonist MK-801 (green bars, Supplemental Fig.  
5 3). Specifically, MK-801 treated tadpoles showed "primitive" search patterns consisting of  
6 repetitions (Supplemental Fig. 3A and D), similar to those observed in invertebrate  
7 models<sup>30</sup>. These findings are consistent with those reported in rodent and zebrafish FMP  
8 Y-maze studies<sup>30; 49; 50</sup>, which show that glutamatergic disruption impairs spatial working  
9 memory and provides a further demonstration of the suitability of *Xenopus* tadpoles to  
10 study genetic disruption within *gria1*.

11 Next, the 1-hour FMP Y-maze assay was used to compare WT and *gria1* crispant (Fig.  
12 2D-G) or *gria1* knockout tadpoles (Supplemental Fig. S2D-G). Both the *gria1* crispant  
13 (Fig. 2D) and *gria1* knockout tadpoles (Supplemental Fig. S2D) showed a significant  
14 decrease in alternations compared to WT tadpoles. This decrease in alternations was  
15 present across the entire 1-hour trial (Fig. 2E and Supplemental Fig. S2E) and is  
16 consistent with a working memory deficit. These results agree with the finding of short-  
17 term working memory deficits in the *gria1*<sup>-/-</sup> mouse model<sup>51-55</sup>. Unlike in the *Gria1*<sup>-/-</sup> mouse,  
18 there was no evidence to support hyperactivity in either type of mutant tadpole (Fig. 2F,  
19 Supplemental Fig. S2F). However, caution must be applied to this conclusion since these  
20 assessments were made on the movement into and out of zones rather than the total  
21 distance covered. Finally, the tadpoles show a relatively static search strategy and appear  
22 to perform a decreasing number of turns across the trial, suggesting that the 1-hour trial  
23 period could be reduced in future studies (Fig. 2G, Supplemental Fig. 2G).

1

## 2 Impact of variants on homomeric GluA1 receptor function

3 The GluA1 subunit can assemble as a homomeric receptor and as heteromeric receptor  
4 subtypes with GluA2-4 subunits<sup>56-58</sup>. First, the effect of the *GRIA1* variants on ligand-  
5 gated ion channel function of homomeric GluA1 receptors was evaluated by measuring  
6 current responses to Glu application in *Xenopus laevis* oocytes expressing WT and the  
7 mutant GluA1 subunits (Fig. 3). Like all AMPAR subtypes, homomeric GluA1 receptors  
8 display fast and profound desensitization<sup>1; 59</sup>; resulting in current responses to Glu that  
9 within milliseconds decline by more than 95% from a peak response level to a steady-  
10 state level that represents the majority of the receptor population to reside in the  
11 desensitized receptor state<sup>60; 61</sup>. This response waveform cannot be resolved in *Xenopus*  
12 oocytes. Therefore, to enable measurement of both desensitized and non-desensitized  
13 response levels, recordings of Glu-evoked currents were performed in the presence and  
14 absence of cyclothiazide (CTZ), a compound that blocks AMPAR desensitization  
15 (Materials & methods) (Fig. 3A-B). Un-injected oocytes did not show any responses to  
16 Glu in absence or presence of CTZ (Fig. 3A), confirming that *Xenopus laevis* oocytes do  
17 not express endogenous AMPA receptors at functional detectable levels. All mutants  
18 except R345Q showed current responses that were significantly different from WT (Fig.  
19 3C; Table 4). As expected from the biochemical analysis of surface expression, oocytes  
20 expressing R377\* did not produce current response to Glu in the absence or presence of  
21 CTZ (Fig. 3A; Table 4); further confirming that the p.Arg377Ter variant prevents the  
22 expression of a functional GluA1 subunit. Also, no detectable desensitized or non-  
23 desensitized currents were observed for the G745D mutant (Fig. 3A; Table 4). The I627T

1 mutant displayed detectable currents during desensitizing and non-desensitizing  
2 conditions, however, with amplitudes more than 10-fold lower than WT (Fig. 3A; Table 4).  
3 These results indicate that the p.Ile627Thr and p.Gly745Asp variants identified in patients  
4 5 and 6, respectively, have loss-of-function effects on the function of the GluA1 subunit.  
5 In contrast, oocytes expressing the A636T mutant on average displayed 10-fold increased  
6 currents compared to oocytes expressing WT GluA1 (Fig. 3A and 3E; Table 4).  
7 Importantly, in individual A636T expressing oocytes, the Glu-evoked currents recorded  
8 sequentially in the absence and presence of CTZ had near-identical amplitudes (Fig. 3A),  
9 whereas currents in WT expressing oocytes increased 61-fold when desensitization was  
10 blocked by CTZ (Fig. 3D; Table 4); a factor that corresponds well to previously reported  
11 ratios between non-desensitized peak current and desensitized steady-state current  
12 amplitudes for homomeric GluA1 receptors recorded in HEK293 cells using fast-  
13 application protocols<sup>60; 61</sup>. This result strongly indicates that the A636T mutation disrupts  
14 the ability of homomeric GluA1 receptors to desensitize and explains the dramatic  
15 increase in current amplitude during non-desensitizing conditions. A similar analysis of  
16 the factor by which CTZ increased Glu-evoked current for R345Q and I627T showed  
17 increases that were not significantly different from WT (Fig. 3D and Table 4) to indicate  
18 that these mutations do not change receptor desensitization properties.

19 In addition to changing receptor desensitization properties, mutations can also change  
20 the current response to Glu by perturbing the activation properties of the receptor, e.g.,  
21 the ability of the receptor to open the channel when the agonist is bound. A measure of  
22 the activation properties of AMPARs is to determine the efficacy of the weak partial  
23 agonist kainic acid (KA) relative to the full agonist Glu for activating receptor currents<sup>62; 63</sup>

1 (Fig. 3H). When desensitization was blocked, KA evoked current at WT GluA1 that was  
2 21% of the Glu-evoked current (Fig. 3G; Table 4). The R345Q mutant showed similar KA  
3 efficacy (26%), indicating the mutation does not change receptor activation properties. In  
4 contrast, I627T showed a significantly lower KA efficacy of 5% compared to WT,  
5 indicating a decreased ability of the GluA1 subunit to translate agonist binding to channel  
6 opening (Fig. 3G and Table 4). This result explains the dramatic decrease in desensitized  
7 and non-desensitized current for I627T (Fig. 3B). In contrast, A636T showed a  
8 significantly increased KA efficacy (52%) (Fig. 3G and Table 4), indicating increased  
9 channel-opening ability. Notably, the effect of the A636T mutation has previously been  
10 studied for GluA1<sup>64-66</sup>. Ala636 is the third Ala residue in the SYTANLAAF motif that is  
11 completely conserved in all eukaryotic iGluR subunits (Fig. 1D). This motif forms the  
12 upper M3 helix that lines the extracellular entrance to the channel and acts as a gate  
13 during channel opening<sup>67-69</sup>. Profound effects of mutation of this conserved Ala to Thr  
14 were first identified in the GluD2 iGluR in the mutant *lurcher* mice strain<sup>70; 71</sup> that causes  
15 widespread neuronal cell death in homozygous animals. The same Ala-Thr mutation has  
16 subsequently been applied in several iGluR subunits to study the role of SYTANLAAF  
17 motif for, in particular, channel activation, including in GluA1<sup>64; 66</sup>. The results presented  
18 here corroborate previous findings that the Ala-Thr mutation profoundly increases the  
19 ability of GluA1 to activate channel-opening and further shows that desensitization is  
20 abolished.

21 Dose-response curves for Glu were generated for WT and the R345Q, I627T, and A636T  
22 mutants and used to determine the half-maximally effective concentration (EC50) of Glu  
23 (Materials and Methods) (Fig. 3E; Table 4). Due to the minimal current responses of the

1 I627T mutant under desensitizing conditions, the dose-response experiments for this  
2 mutant were performed in the presence of CTZ. The R345Q and I627T showed EC50  
3 values identical or very close to WT (Table 4), indicating that these mutations overall do  
4 not change receptor sensitivity to Glu. In contrast, the A636T mutant showed greatly  
5 increased sensitivity towards Glu compared to WT, leading to a 25-fold reduced EC50  
6 (Fig. 3F; Table 4), which agrees with previous reports<sup>64-66</sup>.

## 7 Impact of variants on heteromeric GluA1 receptor function and in presence 8 of the auxiliary subunit $\gamma$ -2

9 Homomeric GluA1 AMPARs are thought to exist *in vivo*<sup>72</sup>; however, heteromeric  
10 GluA1/A2 and GluA1/A3 receptors are considered to constitute the main population of  
11 GluA1-containing receptors in most CNS regions<sup>56-58; 73; 74</sup>. Furthermore, most native  
12 AMPARs form a complex with auxiliary subunits that regulate their function, such as the  
13 transmembrane AMPA receptor regulatory proteins (TARPs)<sup>75</sup>. To evaluate the effects of  
14 the *GRIA1* variants in the context of heteromeric receptors, WT and mutant GluA1 were  
15 expressed together with the GluA2 subunit and the electrophysiological studies of  
16 receptor function were repeated (Fig. 4). Specifically, WT and mutant GluA1 were  
17 expressed with GluA2 subunit in the R-edited (GluA2R) form in a 1:2 ratio which  
18 previously has been shown to result in the majority of GluA1 subunits to assemble with  
19 GluA2R to form a receptor population mainly composed of GluA1/A2R receptors (Material  
20 and methods)<sup>37; 76</sup>. Heteromeric assembly was confirmed by measuring the current-  
21 voltage (IV) relationship of Glu-evoked currents (Fig. 4B). The IV relationship changes  
22 from inwardly rectifying for homomeric GluA1 to linear when GluA1 assembles with

1 GluA2R subunits (Fig. 4B). Except for R377\*, all of the mutants displayed a near-  
2 complete shift from inwardly rectifying IV curves for homomeric expression to linear IV  
3 curves when co-expressed with GluA2R, showing that the mutations do not affect the  
4 ability of GluA1 to preferentially assemble with GluA2R to form heteromeric receptors  
5 (Fig. 4B). Also, mutant IV curves were identical to the equivalent WT, confirming that the  
6 mutations do not change rectification properties of homo- or heteromeric receptors.  
7 Although the GluA2R subunit can form homomeric receptors, these have very low  
8 channel conductance and traffic poorly to the cell surface<sup>77; 78</sup>. Consequently, homomeric  
9 GluA2R rarely produce detectable currents in *Xenopus* oocytes or other cells and are  
10 therefore not a concern in functional studies. In addition, to further mimic native AMPARs,  
11 GluA1/A2 receptors were also expressed with the prototypical AMPAR auxiliary TARP-  
12 class subunit  $\gamma$ -2 (also known as stargazin) (Materials and Methods)<sup>79</sup>. Successful  
13 incorporation of  $\gamma$ -2 subunits into the receptor complex was determined by measuring the  
14 ratio of sequential currents evoked by GLU and KA in the absence of CTZ (Fig. 4A).  
15 Previous work has shown that  $\gamma$ -2 increases steady-state current to KA relatively more  
16 than the Glu current, and determination of the KA/GLU current ratio provides a robust test  
17 for functional expression of AMPARs in complex with  $\gamma$ -2<sup>37; 79-83</sup>. For WT and all mutants  
18 except A636T,  $\gamma$ -2 co-expression increased the KA/Glu ratio approximately 4- to 6-fold  
19 and maintained linear IV curves, verifying the formation of heteromeric GluA1/A2R  
20 receptors in complex with  $\gamma$ -2 (Fig. 4B and Supplementary Fig. S4). Notably, as the  
21 GluA1/A2-A636T receptor showed a profoundly increased KA/Glu ratio, it is likely that  
22 further increase of this ratio as measure for  $\gamma$ -2 incorporation is not possible.

1 On this background, mutant WT and mutant GluA1/A2R receptors with and without  $\gamma$ -2  
2 were characterized for changes in the average current response, desensitization, and  
3 activation properties, and Glu EC50 using similar electrophysiological recording protocols  
4 as for homomeric GluA1 (Fig. 4A and Supplementary Fig. S4). Co-expression of R377\*  
5 with GluA2R did not produce currents, showing that the NTD-truncated GluA1 subunit  
6 cannot form a functional receptor with GluA2R. However, upon co-expression with  $\gamma$ -2,  
7 very small currents (10-20 nA) were detected in some oocytes (Fig. 4A; Table 4), but the  
8 amplitude of the currents was more than 300-fold smaller than the mean currents in  
9 oocytes expressing WT GluA1/A2R with  $\gamma$ -2 and, therefore, likely originate from  
10 homomeric GluA2R receptors, which previously have been shown to produce detectable  
11 currents when co-expressed with  $\gamma$ -2<sup>37</sup>.

12 Similar to the results from homomeric receptors, GluA1-I627T/GluA2 receptors showed  
13 currents that were significantly smaller than WT both during desensitizing conditions (40  
14 nA for I627T versus 511 nA for WT; Fig. Table 4) as well as non-desensitizing conditions  
15 (2728 nA for I627T versus 7051 nA for WT; Table 4). Also similar to homomeric I627T,  
16 the desensitization ratio was not significantly different from WT (Fig. 4D and Table 4), and  
17 the KA/Glu efficacy was decreased (9% for G745D versus 30% for WT; Fig. 4D and Table  
18 4). These results show that the effect of the I627T mutation on GluA1 activation properties  
19 lead to heteromeric GluA1/A2 receptors with reduce current amplitudes. However, when  
20 WT and I627T was co-expressed with the  $\gamma$ -2 auxiliary subunit, the mean current  
21 amplitudes were not significantly different (Fig. 4A and C; Table 4). Generally, inclusion  
22 of the  $\gamma$ -2 auxiliary subunit in AMPAR subtypes enhances receptor activation by  
23 increasing the efficiency of receptor subunits to translate agonist binding to channel

1 opening<sup>79; 84; 85</sup>, and this effect is manifest as a marked increase in apparent KA efficacy<sup>79;</sup>  
2 <sup>86</sup>. Indeed, when  $\gamma$ -2 was co-expressed with the heteromeric receptors, the KA/Glu  
3 response ratio increased from 30% to 74% for WT (Fig. 4A and D; Table 4). This effect  
4 was also observed for the I627T mutant where KA/Glu increased from 6% to 66% (Fig.  
5 4A and D; Table 4). Therefore, this result suggests that inclusion of  $\gamma$ -2 into the GluA1/A2  
6 receptor partly rescues the detrimental effect of the I627T mutation on receptor activation  
7 properties. Other TARP subtypes as well as non-TARP auxiliary subunits vary greatly in  
8 their influence on AMPAR function<sup>75; 86; 87</sup>. Further work examining functional effects of  
9 AMPAR variants in the context of different auxiliary subunits may therefore be warranted.  
10 For the A636T mutation, the effects observed in homomeric GluA1 were maintained in  
11 heteromeric GluA1/A2R overall; these showed significantly increased Glu-evoked  
12 currents, decreased desensitization, decreased Glu EC50, and increased KA efficacy  
13 (Fig. 4A, C and D; Table 4). This effect pattern was maintained upon  $\gamma$ -2 co-expression,  
14 except for the KA efficacy found not to be significantly different from WT (Fig. 4D).  
15 However, as previously mentioned,  $\gamma$ -2 in general enhances activation, and it might be  
16 speculated that this masks any effect of the A636T mutation on activation in heteromeric  
17 GluA1/A2 receptors with  $\gamma$ -2.

18 The G745D mutation that yielded homomeric GluA1 receptors that are completely  
19 inactive, displayed desensitized and non-desensitized Glu-evoked currents when co-  
20 expressed with GluA2R (Fig. 4A); however, the current amplitudes were 6-fold and 19-  
21 fold decreased, respectively, compared to the WT currents (Fig. 4C; Table 4).  
22 Surprisingly, analysis of the CTZ potentiation ratio and KA/Glu efficacy ratio showed  
23 G745D to have decreased desensitization and increased KA/Glu efficacy ratio, which

1 would suggest steady-state currents to be increased (Fig. 4D; Table 4). The EC<sub>50</sub> value  
2 for Glu was not changed (Supplementary Fig. S2). These effects of G745D were  
3 maintained when co-expressed with  $\gamma$ -2, except for the CTZ potentiation ratio, which was  
4 not different from WT GluA1/A2R with  $\gamma$ -2 (Fig. 4D; Table 4). These results show that the  
5 G745D changes functional properties of the GluA1 subunit to overall lower heteromeric  
6 GluA1/A2R receptor currents; however, they do not unequivocally reveal how the  
7 mutation disrupts function. Finally, the R345Q mutant displayed similar properties to WT  
8 GluA1/A2R with and without  $\gamma$ -2 with comparable current amplitudes, desensitization, and  
9 KA/Glu ratios that were not different from WT (Fig. 4D; Table 4).

10 In summary, the electrophysiological evaluation of the effect of the *GRIA1* variants on  
11 AMPAR function confirmed the prediction that the stop-gain variant p.Arg345Ter  
12 completely prevents the formation of functional GluA1-containing AMPARs. Notably, this  
13 finding supports the results from the *Xenopus* tadpole behavioral experiments that  
14 showed the knockout and the exon 8 deletion crispant tadpoles to have indistinguishable  
15 phenotypes. Together, this suggests that the homozygous p.Arg345Ter variant leads to  
16 complete loss of GluA1 in patient 1. For the missense variants in patients 2 to 7, the  
17 electrophysiological results strongly suggest that the p.Ile627Thr and p.Gly745Asp  
18 variants overall lead to severe loss-of-function phenotypes for homomeric GluA1  
19 receptors and significantly decrease heteromeric GluA1-containing receptor function. In  
20 contrast, the p.Ala636Thr variant produces a clear gain-of-function phenotype in  
21 homomeric and heteromeric receptors characterized by loss of desensitization and  
22 increased Glu sensitivity. The p.Arg345Gln variant was found not to change functional

1 parameters of both homomeric and heteromeric receptors, and therefore may be  
2 considered benign in terms of function.

3 Structural modeling of GluA1 shows that variants affect key receptor  
4 domains

5 Based on X-ray and cryo-EM structures representing the main functional states of the  
6 AMPARs, the structural mechanisms that underlie receptor function is becoming  
7 increasingly well-understood<sup>67-69; 88-90</sup>. Overall, the mechanism can be described by a  
8 four-state mechanistic model as illustrated in Fig. 5A. The Ile627, Ala636, and Gly745  
9 residues where the *GRIA1* variants lead to altered receptor function are located in regions  
10 of the receptor structure that hold critical roles in this model. Specifically, Ile627 and  
11 Ala636 are located in the upper part of the M3 helix, which lines the ion channel and  
12 contains the channel gate (Fig. 5A). Asp745 is located in the clam-shell shaped ABD  
13 containing the Glu binding site and which undergoes the initial conformational changes  
14 leading to channel opening and receptor desensitization (Fig. 5A). To understand how  
15 mutation of these residues can influence the stability of the key receptor states, the  
16 structural role of these residues was analyzed using homology models of homomeric  
17 GluA1 created from AMPAR structures that represent the resting, active, and  
18 desensitized receptor states (Supplementary methods) (Fig. 5B-D).

19 Gly745 is located in the center of a short beta-strand that acts as a hinge between the  
20 upper and lower domains (denoted D1 and D2) that form the clamshell-shaped ABD (Fig.  
21 5A–B). Upon agonist binding, D1 and D2 close around the agonist, leading to a pre-active,  
22 closed state from which the receptor can transition to an active, open-channel state or a

1 desensitized, closed-channel state (Fig. 5A). In general, glycine adds flexibility to the  
2 peptide backbone that is lost upon substitution with any residue. Therefore, it can be  
3 speculated that the G745D mutation changes hinge properties to destabilize the closed-  
4 cleft ABD conformation in the pre-active, active, and desensitized states. Also, in the  
5 tetrameric AMPAR complex, the four ABDs are arranged pairwise into two identical  
6 dimers. In each dimer, the two ABDs are in a back-to-back orientation with the agonist  
7 binding clefts facing outwards (Fig. 5A and B). Gly745 is part of the dimer interface that  
8 is formed mainly between the D1 subdomains (Fig. 5B). In the resting and active state  
9 GluA1 models, the side chain introduced by any mutation of Gly745 will face into a  
10 hydrophobic motif formed by side chains of Ile495, Pro508, and Leu765 that contributes  
11 to the D1/D1 interface (Fig. 5B, *lower panels*). The G745D mutation introduces an acidic  
12 side chain towards this motif, thereby possibly destabilizing the interface (Fig. 5B).  
13 Notably, the strength of the D1/D1 interface is important for the stability of the pre-active  
14 and active states, and perturbation is known to be determinant for the equilibrium between  
15 receptor states<sup>67; 91-93</sup>(Fig. 5A). Specifically, mutations that destabilize the interface  
16 promote entry into the desensitized, closed-channel state and prevent entry into the  
17 active, open-channel state<sup>94</sup>. Therefore, the loss-of-function effects of the G745D  
18 mutation may also be caused by the destabilization of the D1/D1 interface. Notably, in  
19 heteromeric receptors such as GluA1/A2R receptors, the ABD dimers form between  
20 GluA1 and GluA2R subunits. Thus, the ABD interface in both dimers will be affected by  
21 the GluA1-G745D mutation, explaining the dominant effect of the mutation in heteromeric  
22 GluA1/A2R receptors.

1 Ile627 and Ala636 are located in the transmembrane M3 helix that forms the ion channel  
2 pore and the channel gate (Fig. 5C-D). Ala636 is at the extracellular-facing tip of M3 that  
3 contains the channel gate and undergoes conformational changes during channel  
4 opening and closing<sup>68; 69; 90</sup>. The GluA1 models show Ala636 in the four subunits to be  
5 arranged in nearly identical conformations in the resting and desensitized states, where  
6 the channel gate is closed (Fig. 5D). In these states, the Ala636 residues pack closely  
7 against the side chains of Leu638 and Thr639 on the adjacent subunit and form a  
8 hydrophobic interaction network that likely contributes to the stability of the closed-  
9 channel states (*lower panels*, Fig. 5D). The substitution of alanine with threonine  
10 introduces additional bulk and polarity into this network which is predicted to destabilize  
11 the closed-channel configuration of the upper M3. In contrast, in the active, open-channel  
12 model, the tips of the M3 helices have moved away from the channel center axis. As a  
13 result, the four Ala636 side chains do not interact with any channel residues, facing a  
14 direction allowing the extra methyl and hydroxyl groups introduced by the A636T mutation  
15 (Fig. 5D). Therefore, the models suggest that A636T selectively destabilize the closed-  
16 channel conformations observed in the resting and non-desensitized states, thereby  
17 shifting receptor equilibrium towards the active state. This analysis is in good agreement  
18 with the functional results that show the A636T mutant to have enhanced activation and  
19 decreased desensitization (Fig. 5A).

20 Ile637 is located down the M3 helix below the channel gate and above the selectivity filter  
21 at the tip of the M2 re-entry loop. In the resting and desensitized states, the models show  
22 the isoleucine side chain projecting towards the channel center (indicated with a blue  
23 arrow in the top panel, Fig. 5C) and not forming any interactions with other residues. In

1 these conformations, the substitution of isoleucine with threonine, which has a similar-  
2 sized, but polar side chain, can be expected to be tolerated without changing the stability  
3 of the closed-channel states. In contrast, in the open-channel conformation of the active  
4 state, the Ile627 side chain is close to Phe598 and Gln600 at the tip of the re-entry M2  
5 helix and forms hydrophobic interactions that stabilize the open-channel state. The polar  
6 side chain of threonine may disrupt these interactions to destabilize the open-channel  
7 conformation and explain the effect of decreased activation of the I627T mutation (Fig.  
8 5A). In summary, except for p.Arg345Gln, the *GRIA1* variants implicated in NDDs affect  
9 residues that are positioned in critical structural domains in the GluA1 subunit protein,  
10 and analysis of the potential structural effect of the variants agree well with their observed  
11 functional effects to further substantiate their pathogenic status.

12

## 1 Discussion

2 The *GRIA1-4* genes are emerging as candidate disease-causing genes in NDDs;  
3 particularly in forms with severe intellectual disability (ID), but also in autism spectrum  
4 disorder (ASD) and attention-deficit disorder (ADD). Indeed, multiple studies employing  
5 *GRIA* targeted or whole-exome sequencing (WES) of individual NDD patients or cohorts  
6 have reported variants in all four *GRIA* genes as potential or verified pathogenic<sup>13; 15; 62;</sup>  
7 <sup>95-102</sup>. These include studies utilizing electrophysiological and biochemical analysis of  
8 potential variant effects on the function or expression of recombinant AMPARs containing  
9 the subunit variant. Functionally validated variants are so far best described for *GRIA2*  
10 and *GRIA3* for which more than 20 missense, insertion/deletion (indel), or stop-gain  
11 variants have been reported to change normal receptor function or disrupt or truncate  
12 subunit structure; strongly suggesting a linkage between specific *GRIA2* and *GRIA3*  
13 variants and NDD phenotypes<sup>7; 62; 97; 102; 103</sup>. For example, Salpietro *et al.*<sup>103</sup> performed  
14 functional evaluation of 11 *GRIA2* variants identified in NDD patients with severe ID and  
15 found the majority to impact function or expression of GluA2-containing AMPAR  
16 subtypes. Similar evaluation of five *GRIA3* missense variants identified in a cohort of 400  
17 unrelated males with X-linked mental retardation (XLMR) found all to drastically alter or  
18 destroy the function of GluA3-containing AMPARs<sup>97</sup>. *GRIA4* missense variants have also  
19 been associated with NDD phenotypes with severe ID<sup>95</sup>, but functional evaluation has not  
20 yet established whether these *GRIA4* variants change the function of GluA4-containing  
21 AMPAR subtypes.

22 In contrast to *GRIA2*, *GRIA3*, and *GRIA4*, the identity of *GRIA1* as an NDD-causing  
23 gene has yet to be established, with only three *GRIA1* missense variants so far reported

1 in NDD patients (p.Ile627Thr, p.Ala636Thr, and p.Gly745Asp)<sup>13-15</sup>. Interestingly, the  
2 p.Ala636Thr variant has been recurrently identified in six unrelated patients via WES or  
3 targeted *GRIA1* sequencing in two large NDD cohorts<sup>13; 15</sup>. However, none of these  
4 *GRIA1* variants reported in the literature have been functionally evaluated for the potential  
5 impact on the expression and function of GluA1-containing AMPARs and, therefore, the  
6 pathogenic significance of *GRIA1* in NDDs has remained unclear. Consequently, the  
7 diagnostic interpretation and reporting of *GRIA1* variants are at present challenging, with  
8 *GRIA1* variants classified as variants of uncertain significance as per the Association for  
9 Clinical Genomic Science (ACGS) guidelines for variant classification<sup>104</sup>. Furthermore,  
10 *GRIA1* is not part of most commercial or custom-made gene panels used for genetic  
11 diagnosis of NDD patients and is not included in most clinical genetic knowledgebases  
12 such as, for example, the widely used UK-based PanelApp web resource, where virtual  
13 gene panels related to human disease are curated. Together, these uncertainties  
14 regarding the pathophysiological role of *GRIA1* variants have limited patient diagnosis  
15 and potential AMPAR-targeted drug treatment options.

16 In the present work, a phenotype has been identified in NDD patients with *GRIA1*  
17 variants that includes ID, speech and language delay, poor sleep, abnormal  
18 electroencephalogram (EEG) with or without seizures, normal brain imaging, and  
19 endocrine abnormalities. Additionally, only missense heterozygous variants have been  
20 reported within the current literature, with our index case as the first homozygous  
21 nonsense variant in *GRIA1* causing a neurodevelopmental delay phenotype. Functional  
22 evaluation of the *GRIA1* variants identified in the patients was performed using  
23 electrophysiological and biochemical analyses, which characterized variant-induced

1 changes in receptor function and expression. Crucially, three of the four missense  
2 variants caused significant changes in the function of homomeric GluA1 and heteromeric  
3 GluA1/A2 subtypes, whether this was in the current response amplitudes, degree of  
4 desensitization, or receptor activation. The most pronounced abnormalities were  
5 demonstrated by the p.Gly745Asp variant, which had a minimal current response, and  
6 the homozygous nonsense variant (p.Arg377ter), which demonstrated no current  
7 response to Glu. In addition to the lack of current response, shown in the p.Arg377ter  
8 variant, there was no cell surface expression. These findings support the notion that  
9 homozygous nonsense variants result in no functioning *GRIA1* gene, leading to increased  
10 severity in phenotype, and is internally consistent with the results of mutants in the  
11 *Xenopus* model (below). Interestingly, the p.Ala636 variant showed an increased current  
12 response which was thought to be secondary to increased sensitivity to glutamate and a  
13 loss of desensitization. Our findings demonstrate that *GRIA1* contributes to two  
14 syndromes with an autosomal recessive and autosomal dominant inheritance pattern and  
15 increased severity demonstrated by early-onset seizures and profound speech and  
16 language delay in the autosomal recessive index case.

17 Fundamental insight into the physiological role of GluA1 has come from gene deletion  
18 studies in mice where knockout models of the GluA1-encoding gene *Gria1* have been  
19 established and report normal development and life expectancy in *Gria1*<sup>-/-</sup> animals<sup>54; 105-  
20 109</sup>. However, behavioral inconsistencies are often reported in studies employing the  
21 *Gria1*<sup>-/-</sup> model, including hyperactivity<sup>54; 106-110</sup>, impaired spatial working memory<sup>51-54</sup>, and  
22 abnormalities in prepulse inhibition<sup>111</sup> and sleep EEG in keeping with those found in  
23 schizophrenia<sup>112</sup>. Here, *GRIA1* gene function analysis is extended to a second model

1 utilizing a CRISPR-based loss-of-function analysis in crisprant *X. tropicalis* tadpoles and  
2 used to test explicitly the genotype-phenotype link caused by truncation of *GRIA1*.  
3 *Xenopus* have an extensive track record for cost-effective, high-throughput gene function  
4 analysis<sup>113-117</sup> and high evolutionary similarity to mammals, but broadly lack robust assays  
5 to measure higher executive functions. To date, most behavioral studies in *Xenopus* have  
6 focused on understanding behavior in the wild, with some reports detailing laboratory  
7 schooling<sup>118; 119</sup>, swim and search patterns<sup>120-123</sup>, color differentiation<sup>124-126</sup>, seizure  
8 induction<sup>47; 127</sup>, and learned behaviours<sup>126; 128</sup>. In contrast, sophisticated quantitative  
9 behavioral analysis has so far been limited in tadpoles. Against this background, the  
10 present work demonstrates the successful adaptation of an established method from  
11 other vertebrate models with high translational relevance to humans<sup>30</sup> to test the working  
12 memory of *gria1* mutant tadpoles. The initial characterization of the nonsense variant  
13 using *Xenopus* tadpoles was undertaken by creating homozygous indels within exon 8  
14 and a separate *gria1* knockout model. Our model demonstrates the quantitative  
15 behavioral analysis of higher cognitive functions in *Xenopus* tadpoles for the first time,  
16 using a test with the potential for future direct comparison between the animal model and  
17 the patient cohort. Homozygous indels created within exon 8 to mimic the homozygous  
18 nonsense variant (p.Arg377ter) functionally support the description of an NDD phenotype  
19 by showing working memory deficits without detectable structural changes to the brain.  
20 Overall, these findings are in keeping with those reported in the *gria1*<sup>-/-</sup> mouse, providing  
21 a second, cost-effective model organism to investigate further the functional role of  
22 GluA1-containing AMPARs in the brain.

1            In summary, this study establishes *GRIA1* as a human NDD causing gene that  
2 merits being part of the existing collection of *GRIA*-related NDDs.

3

4

## 1 **Supplemental Data**

2 Supplemental Data include seven figures and one tables and a supplementary methods  
3 section.

## 4 **Declaration of Interests**

5 *The authors declare no competing interests.*

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## 17 **Web Resources**

18 GeneMatcher: <https://genematcher.org>

19 OMIM: <https://www.omim.org>

20 Decipher: <https://decipher.sanger.ac.uk>

- 1 ClinVar: <https://www.ncbi.nlm.nih.gov/clinvar>  
2 gnomAD: <https://gnomad.broadinstitute.org>  
3 Addgene: <http://n2t.net/addgene>  
4 Xenbase: <http://www.xenbase.org/entry/>  
5 PanelApp: <https://panelapp.genomicsengland.co.uk>  
6 MTR-viewer: <http://biosig.unimelb.edu.au/mtr-viewer/>  
7

## 8 **Data and Code Availability**

9 This study did not generate datasets or code.

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1 **Figure legends**

2 **Figure 1. Location of residues in GluA1 affected by *GRIA1* variants and effect on**  
3 **receptor expression.**

4 **(A)** Cartoon representation of the structure and domain organization of the human GluA1  
5 subunit protein (NP\_000817.1) encoded by the *GRIA1* gene. Residues affected by the  
6 *GRIA1* variants evaluated in this study are shown in spheres (carbon and nitrogen atoms  
7 in purple and blue, respectively) and indicated with arrows. The GluA1 subunit structure  
8 was modelled from the structure of the rat GluA2 receptor (*Supplementary materials &*  
9 *methods*).

10 **(B)** Structure of the homotetrameric GluA1 AMPA receptor. The locations of the residues  
11 affected by the *GRIA1* missense variants are indicated by red spheres in the tetrameric  
12 receptor structure (*left*) and further shown in cross-sectional top views (*right*).

13 **(C)** Multiple alignment of the amino acid sequences that surround the residues affected  
14 by the *GRIA1* variants (highlighted in red) in GluA1 from human, rat, chicken, frog  
15 (*Xenopus*), and fish, and in human GluA2, GluA3, and GluA4 subunits. Residues with  
16 diverging physiochemical properties are shown in bold.

17 **(D)** Missense tolerance ratio (MTR)<sup>40</sup> analysis of the population-level variation in the  
18 coding regions in *GRIA1* predict the tolerance of missense variation along the GluA1  
19 primary structure to indicate residues or regions that are functionally sensitive to mutation.  
20 The plot was created using the MTR-Viewer online service. Horizontal lines show gene-  
21 specific MTR percentiles 5th, 25th, 50th, and neutrality (MTR = 1.0). Red domains in the  
22 plot indicate regions in the primary structure of GluA1 that are highly sensitive to missense  
23 mutation. The positions of the residues affected by the *GRIA1* variants in this study are

1 shown as red circles. For reference, a linear representation of the GluA1 domain structure  
2 is shown above the plot.

3 **(E)** Biochemical assessment of WT and mutant GluA1 cell-surface expression. Summary  
4 of blac enzyme activity levels from the surface of oocytes expressing WT and mutant  
5 GluA1 receptors tagged in the N-terminal of the NTD for measurement of receptor cell  
6 surface level. The enzyme blac catalyzes hydrolysis of the  $\beta$ -lactam ring of the  
7 membrane-impermeable substrate nitrocefin to cause a color change from yellow to red,  
8 which is measured as increase in optical density (OD) at 486 nm. As nitrocefin does not  
9 permeate the cell membrane, only extracellular blac activity is measured. The data shown  
10 are the mean  $\pm$  SEM of parallel measurements from 10 to 16 live oocytes expressing the  
11 indicated receptor subunits at 48 hours post RNA injection.

12 **(F)** Confocal imaging of HEK293 cells expressing the membrane reporter mCardinal-  
13 farnesyl (*left images*) and GFP-tagged WT and R377\* mutant GluA1 (*middle images*) to  
14 visualize cellular GluA1 distribution patterns. Co-localization of green and red  
15 fluorescence indicates cell surface localization of GFP-tagged GluA1 and is visualized by  
16 merging left and middle images (*right images*), thereby appearing yellow.

17 **(G)** Western blot analysis of WT and R377\* GluA1 protein expression in HEK293 cells  
18 using antibodies to the N – and C-termimi of the protein (methods).

19

20 **Figure 2. Tadpoles bearing CRISPR/Cas9 mediated insertion and deletion changes**  
21 **to exon 8, *gria1* (*Xtr.gria1<sup>em2EXRC</sup>*) have significant deficits in working memory.**

22 **(A)** Targeted disruption within *gria1* exon 8 generates a range of insertion-deletion  
23 changes *in vivo*. Sanger sequencing of eight subcloned genomic amplicons (exon 8) from

1 *Xtr.gria1<sup>em2EXRC</sup>* tadpoles revealed a range of indels that occurred in samples at the  
2 CRISPR cut site (indicated by the blue line), including the re-occurring 1bp deletion and  
3 3bp deletion. Further, four of the identified clones were found to cause a frameshift that  
4 truncated the protein (denoted by the asterisk).

5 **(B)** Representative micrograph images of the head region of uninjected control and *gria1*  
6 crispant tadpoles under bright-field conditions reveal no gross difference in craniofacial  
7 morphology.

8 **(C)** Representative bright-field (*left*) and fluorescence micrograph images (right, *in green*)  
9 of the head regions of transgenic [*Xtr.Tg(tubb2b:GFP)*Amaya, RRID: EXRC\_3001]  
10 tadpoles reveal gross morphology of the forebrain, midbrain, and hindbrain regions.  
11 Crispant (*Xtr.gria1<sup>em2EXRC</sup>*) tadpoles examined across three batches were generally  
12 indistinguishable from age-matched control tadpoles both in their craniofacial appearance  
13 and brain morphology. Specifically, brain length measured as the distance from the  
14 forebrain to the hindbrain (mean  $\pm$  SD) was not significantly different between control and  
15 *gria1* crispant tadpoles (control tadpoles: 1.33  $\pm$  0.1 mm, n=36; crispant tadpoles: 1.31  $\pm$   
16 0.1 mm, n=36,  $p = 0.537$ ).

17 **(D-E)** The relative frequency distribution plots of the 1-hour global search strategy of wild-  
18 type (*black bars*) and *gria1* crispant (*blue bars*) tadpoles in the FMP Y-maze. Shown is  
19 the summative (D: *left*, mean  $\pm$  SEM) and individual (D: *right*) tadpole performances from  
20 60 wild-type and 60 *gria1* crispant tadpoles. The *gria1* crispant tadpoles were observed  
21 to perform significantly fewer alternations than stage-matched control animals (ANCOVA:  
22  $F(2, 176) = 10.3, p < 0.001$  (n=60), D), and this difference in the overall proportion of  
23 alternations performed was observed throughout the trial (E).

1 **(F-G)** Overall, there was no significant difference in the number of turns performed by the  
2 uninjected control and *gria1* crispant tadpoles ( $t_{116.364} = -0.564$ ,  $p=0.574$ ), and all  
3 tadpoles were observed to perform fewer turns as the length of the trial increased (mean  
4  $\pm$  SEM).

5  
6 **Figure 3. Functional characterization of homomeric mutant GluA1 receptors.**

7 **(A-B)** Representative steady-state currents evoked by sequential 10-20 s applications of  
8 Glu (1 mM, *red bar*) and Glu in the presence of CTZ (100  $\mu$ M, *green bar*) from un-injected  
9 oocytes and oocytes expressing WT and mutant GluA1. The holding potential was -40  
10 mV in all shown recordings. Note that the R377\*, I627T, and G745D mutants (B) show  
11 no or very small currents relative to WT, R345Q, and A636T.

12 **(C)** Scatter plot with bars of individual and mean amplitude of Glu-evoked currents in  
13 oocytes expressing WT and mutant GluA1 in the absence (*red bars and symbols*) and  
14 presence (*green bars and symbols*) of CTZ block of desensitization. Error bars indicate  
15 the 95% confidence interval of the mean amplitude. Note the semi-log y-axis. The  
16 stipulated line indicates the mean amplitude level for WT GluA1.

17 **(D)** Summary of fold desensitization for Glu-evoked currents calculated from the  
18 amplitudes of currents evoked by sequential application of Glu in the absence and  
19 presence of CTZ. Data represent the mean of 10 to 50 oocytes for each WT and mutant  
20 GluA1. Error bars indicate the 95% confidence interval of the means.

21 **(E)** Representative steady-state currents evoked by sequential applications (*black bars*)  
22 of increasing concentrations of Glu at oocytes expressing WT and the A636T mutant  
23 GluA1.

1 **(F)** Composite concentration-response curves for WT and mutant GluA1 homomeric  
2 receptors. Data points represent the mean of 10 to 31 oocytes. Error bars are the SEM  
3 and are shown when larger than symbol size. The current responses are normalized to  
4 the maximal response evoked by Glu (1 mM).

5 **(G)** Overlay of representative steady-state currents evoked by sequential applications of  
6 Glu (1 mM, *green bars*) and KA (300  $\mu$ M, *purple bars*) in the presence of CTZ (100  $\mu$ M)  
7 to show the efficacy of KA relative to Glu for evoking current. The KA currents are shown  
8 normalized to the Glu current.

9 **(H)** Summary of the average KA/Glu current response ratios for WT and mutant GluA1.  
10 Error bars indicate the 95% confidence interval of the means.

11

12 **Figure 4. Functional characterization of heteromeric mutant GluA1 receptors.**

13 **(A)** Representative steady-state currents evoked by sequential 10-20 s applications of 1  
14 mM Glu (red bars), 1 mM Glu in the presence of 100  $\mu$ M CTZ (*green bars*), 300  $\mu$ M KA  
15 (blue bars), and 300  $\mu$ M KA in the presence of 100  $\mu$ M CTZ (300  $\mu$ M, *purple bars*), from  
16 WT and mutant GluA1/A2R receptors in the absence (*upper traces*) and presence (*lower*  
17 *traces*) of the TARP auxiliary subunit  $\gamma$ -2. The holding potential was -40 mV in all shown  
18 recordings. Note different amplitude scale for traces with and without  $\gamma$ -2.

19 **(B)** IV relationships of Glu-evoked currents from oocytes expressing WT and mutant  
20 GluA1 subunits alone (*white circles*), with the GluA2R subunit (black circles), and with the  
21 GluA2R subunit and  $\gamma$ -2 (*grey circles*). The current amplitude at the different holding  
22 potentials is shown normalized to the current at -40 mV. Data points represent the mean

1 from 6 to 10 oocytes. Error bars indicate the SEM and are shown when larger than symbol  
2 size.

3 **(C)** Summaries of the amplitudes of Glu-evoked currents in individual oocytes expressed  
4 recorded at -40 mV in absence (*red symbols*) and presence (*green symbols*) of CTZ.

5 **(D)** Summaries of the average desensitization and KA/Glu ratios for WT and mutant  
6 GluA1/A2R with (*right panels*) and without  $\gamma$ -2 (*left panels*). Error bars indicate the 95%  
7 confidence interval of the mean.

8

9 **Figure 5. Structural role of GluA1 residues affected by the *GRIA1* variants.**

10 **(A)** Cartoon illustration of a four-state model for the structural mechanism underlying  
11 AMPAR function and summary of the effects of the I627T, A636T, and G745D mutations.  
12 For simplicity, only two subunits with the ABD and M3 segments (*green and gray*,  
13 respectively) are shown, organized in a dimer complex. In the model, agonist (*black*  
14 *spheres*) binding to the clamshell-shaped ABD promotes the transition from the resting  
15 state to the pre-active state where the D1 and D2 subdomains of the ABD adopt a closed  
16 conformation around the agonist. From the pre-active state, the receptor can transition  
17 into the active state, which involves conformational changes in the upper region of the M3  
18 helices that open the channel, or to a desensitized state, which involves conformational  
19 changes around the ABD dimer interface. The D1/D2 hinge region is highlighted in  
20 orange. The table shows a qualitative summary of the mutational effects on receptor  
21 function with upward and downward arrows indicating increase and decrease,  
22 respectively, at homomeric GluA1 and heteromeric GluA1/A2 receptors.

1 **(B)** Upper panels show side-on views of the ABD region in a GluA1 subunit dimer (*green*  
2 *and gray, respectively*) in the resting (*left*), active (*middle*), and desensitized (*right*) states  
3 with the Gly745 alpha carbon shown as red spheres. Lower panels show zoomed views  
4 of the side chains of Ile495 (*blue*), Pro508 (*cyan*), and Leu765 (*black*) as stick  
5 representations with the atomic surface indicated by dots. These residues form a  
6 hydrophobic interaction network across the D1/D1 interface in the resting and active  
7 states. The G745D mutation will project the negatively charged aspartate side chain into  
8 this interaction network (indicated by red arrow).

9 **(C)** Upper panels show side-on views of the channel region formed by the M3 helices in  
10 a GluA1 subunit dimer in the resting (*left*), active (*middle*), and desensitized (*right*) states.  
11 The lower panels show zoomed views of the side chains of Ile627 (*red*), Phe598 (*cyan*),  
12 and Gln600 (*blue*) as sticks with atomic surfaces indicated by dots. In the active state  
13 conformation, but not in the resting and desensitized states, these residues form  
14 interactions that might stabilize the open-channel conformation of the M3 helices. The  
15 channel center axis is indicated by the blue arrow.

16 **(D)** Upper panels show extracellular top views of the channel gate with the side chains of  
17 Ala636 (*red*), Thr639 (*cyan*), and Leu638 (*blue*) are shown as sticks with atomic surfaces  
18 indicated by dots. The Ala636 side chains contribute to stabilizing the closed-gate  
19 conformation by forming hydrophobic interactions with Thr639 and Leu636 in the resting  
20 and desensitized conformations (*lower panels*).

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1 **Tables**

2 **Table 1. Variant information**

Patient	Variant	GRCh38	cDNA	CADD	SIFT	PolyPhen2	gnomAD frequency
1	p.Arg377Ter	g.153686324C>T	c.1129C>T homozygous	36.0	-	-	0
2 - 4	p.Ala636T	g.153764516G>A	c.1906G>A heterozygous	29.3	deleterious	probably damaging	0
5	p.Gly745Asp	g.153770379:G>A	c.2234G>A heterozygous	28.1	deleterious	probably damaging	0
6	p.Ile627Thr	g.153764490T>C	c.1880T>C heterozygous	28.5	deleterious	possibly damaging	0
7	p.Arg345Gln	g:153686229:G>A	c.1034G>A heterozygous	21.8	tolerated	benign	1.24 × 10e-5

3 The table shows the five *GRIA1* variants identified in subjects, the resultant change in amino acid, the  
4 genomic DNA nucleotide change in *GRIA1*, and the site of the variants in cDNA encoding the GluA1 subunit  
5 protein. Combined annotation-dependent depletion (CADD) scores <sup>19</sup> predicted that variants p.Arg377Ter,  
6 p.Ala636T, p.Gly745Asp, p.Ile627Thr are highly likely to deleterious variants. Sorting Intolerant From  
7 Tolerant (SIFT)<sup>20</sup> and Polymorphism Phenotyping v2 (PolyPhen2)<sup>21</sup> analysis predicted all variants to be  
8 deleterious or damaging, except for p.Arg345Gln, which is predicted as tolerated or benign. Note that the  
9 p.Ala636Thr, p.Ile627Thr, and p.Gly745Asp variants (in patients 2, 5, and 6, respectively) were reported  
10 previously <sup>13-15</sup>.

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1 **Table 2. Clinical features of patients harboring GRIA1 variants**

Patient	Patient 1	Patient 2	Patient 3	Patient 4	Patient 5	Patient 6	Patient 7
<b>Transcript number</b>	NM_000827.3	NM_000827.3	NM_000827.3	NM_000827.3	NM_000827.3	NM_000827.3	NM_000827.3
<b>GRIA1 variant</b>	c.1129C>T p.Arg377Ter	c.1906G>A p.Ala636Thr	c.1906G>A p.Ala636Thr	c.1906G>A p.Ala636Thr	c.1880T>C p.Ile627Thr	c.2234G>A p.Gly745Asp	c.1034G>A p.Arg345Gln
<b>Current age</b>	10	7	13	26	N.R.	21	N.R.
<b>Sex</b>	Female	Female	Male	Female	Male	Female	N.R.
<b>Intellectual disability (ID)</b>	Yes	Yes	Yes	Yes	Yes	Yes	Yes
<b>Level of cognitive impairment based on the clinical impression</b>	Severe	Severe	Severe	Moderate	Not classified	Moderate	Not classified
<b>Level of speech impairment</b>	Non verbal	Non verbal	Non verbal	Language difficult to understand	N.R.	Simple verbal language	N.R.
<b>Motor development</b>	Walked at 14 months.	Walked at 12 months Sat at 12 months	Walked at 18 months Sat at 5 months.	Walked at 17 months	N.R.	Walked at 13 months Delayed fine motor skills and coordination issues	N.R.
<b>Epilepsy diagnosis</b>	Yes	N.R.	No	No	No	No	No
<b>Electroencephalogram (EEG)</b>	Frequent interictal epileptiform discharges with spikes/spike over posterior regions	N.R.	N.R.	N.R.	N.R.	Normal	N.R.
<b>Other movement disorder</b>	Tip-toe walking	N.R.	N.R.	No	N.R.	Dystonia Catatonia	N.R.
<b>Behavioral issues</b>	Self-injurious behavior	Unspecified behavioral problems	ADHD ASD	ADHD	Unspecified behavioral problems	Anxiety Anger tantrums ASD	N.R.

Brain MRI	Normal	Normal	Normal	Normal	Normal	Normal	N.R.
Sleep	Poor sleep requiring melatonin	N.R.	Poor sleep requiring melatonin	Normal	Normal	Poor sleep	N.R.
<b>Vision</b>	Left intermittent divergent squint	N.R.	Possible squint, not diagnosed	Hypermetropia Astigmatism Glasses at 11 months	N.R.	Normal	N.R.
Dysmorphic features	No	Mild upslanting palpebral fissures	Broad forehead and telecanthus	No	Flushed cheeks and ears High arched palate	Normal	N.R.
Endocrine/Metabolic disease	Precocious puberty from 6 years of life	N.R.	No	No	No	Hypothyroidism Polycystic ovarian syndrome	N.R.
Cardiovascular disease	N.R.	N.R.	N.R.	No	Bicuspid aortic valve	No	N.R.
<b>Head circumference (cm)</b>	49	50	53	N.R.	N.R.	N.R.	N.R.
<b>Age at physical assessment (years)</b>	9 ½	5 ½	4	26	N.R.	19 ½	N.R.
<b>Weight (kg)</b>	31	26	21	60	N.R.	54	N.R.
<b>Height (cm)</b>	140.5	118	107.3	149	N.R.	157.5	N.R.
<b>Other genetic findings</b>	Normal <i>SLC2A1</i> and Epilepsy and Severe delay gene panel	Normal 250k SNP array and <i>FMR1</i> analysis	N.R.	normal array CGH	N.R.	N.R.	N.R.

- 1 ASD, autism spectrum disorder; ADHD, Attention deficit hyperactivity disorder; ID, intellectual disability;
- 2 N.R., not reported. Some information for Patient 2 and 5 were reported previously<sup>13; 15</sup>.

1  
2**Table 3. Functional parameters for WT and mutant GluA1-containing AMPAR subtypes**

Receptor	Mean current (nA)	<i>n</i>	Mean current with CTZ (nA)	<i>n</i>	Glu EC50 (uM)	<i>n</i>	Glu EC50 with CTZ (uM)	<i>n</i>	Fold desensitization	<i>N</i>	KA/Glu (%)	<i>n</i>
<b>WT</b>	104 (81-127)	53	4074 (2316-5832)	45	23 (21-25)	25	46 (40-51)	18	62 (51-72)	21	21 (18-25)	36
+ A2R	511 (372-651)	54	7051 (4631-9472)	26	18 (17-18)	31	n.d.	-	57 (46-66)	19	30 (27-32)	25
+ A2R + $\gamma$ -2	1474 (1028-1919)	53	7930 (4350-11509)	23	14 (12-17)	11	n.d.	-	7.2 (5.6-8.7)	46	74 (69-78)	27
<b>R354Q</b>	114 (63-164)	20	2081 (524-1959)	14	14 (12-16)	10	n.d.	-	71 (48-94)	15	24 (17-32)	9
+ A2R	255 (179-331)	26	3587 (2187-4987)	10	9.4 (8.4-11)	13	n.d.	-	38 (26-48)	9	30 (27-35)	16
+ A2R + $\gamma$ -2	1001 (720-1282)	43	4433 (2637-6230)	16	5.9 (4.7-7.2)	12	n.d.	-	5.9 (4.3-7.5)	16	80 (73-87)	16
<b>R377*</b>	0*** (0-0)	10	0***	10	n.d.	-	n.d.	-	n.d.	-	n.d.	-
+ A2R	0*** (0-0)	10	0***	10	n.d.	-	n.d.	-	n.d.	-	n.d.	-
+ A2R + $\gamma$ -2	0*** (0-0)	10	22*** (2-40)	10	n.d.	-	n.d.	-	n.d.	-	n.d.	-
<b>I627T</b>	1*** (0-1)	26	12*** (8-17)	31	n.d.	-	28* (26-30)	20	52 (35-69)	10	5*** (4-7)	10
+ A2R	40*** (28-52)	25	2728** (1821-3635)	16	15 (13-17)	10	n.d.	-	72 (47-97)	12	9*** (8-11)	17
+ A2R + $\gamma$ -2	825 (610-1041)	41	13505* (7835-19174)	9	11 (9-13)	17	n.d.	-	14 (12-18)	13	66 (61-71)	11
<b>A636T</b>	993*** (746-1246)	43	1105** (729-1482)	22	0.9 (0.8-1.0)	26	n.d.	-	1.9*** (1.6-2.4)	24	52*** (46-56)	25
+ A2R	2064** (1437-2690)	27	2474** (1636-3313)	16	4.2 (3.9-4.6)	10	n.d.	-	1.1*** (1.0-1.3)	16	81*** (70-90)	15
+ A2R + $\gamma$ -2	2504 (1151-3857)	20	1961** (1650-3758)	12	5.8 (4.8-7.1)	13	n.d.	-	1.3*** (1.1-1.4)	14	65 (55-75)	11
<b>G745D</b>	0*** (0-1)	34	0*** (0-1)	22	n.d.	-	n.d.	-	n.d.	n.d.	n.d.	-
+ A2R	83*** (57-109)	29	347*** (191-504)	11	14 (13-16)	11	n.d.	-	6.7*** (6.0-7.0)	11	58*** (52-63)	11
+ A2R + $\gamma$ -2	219*** (134-303)	31	767*** (354-1179)	22	5.8 (4.3-8.0)	10	n.d.	-	7.3 (5.8-8.9)	26	94*** (89-99)	11

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Values are given for mean currents, Glu EC50, fold-desensitization, and KA/Glu response ratios from the electrophysiological experiments as described in the text. The data represent means  $\pm$  95% confidence intervals. *n*, number of individual experiments or oocytes. Statistical information in the form of probability

- 1 value ( $p$ ) level is given where values are significantly different from WT as: \*,  $p < 0.05$ ; \*\*,  $p < 0.01$ ; \*\*\*,  $p <$
- 2 0.001. CTZ, cyclothiazide; Glu, glutamate; KA, kainic acid; N.D, not determined; WT, wild-type.