

1                   **Pros and Cons of Long-Chain Omega-3 Polyunsaturated Fatty Acids**  
2                   **in Cardiovascular Health**

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23 List of abbreviations: AF, atrial fibrillation; ALA,  $\alpha$ -linolenic acid; AV, anisidine value; CHD,  
24 coronary heart disease; CI, confidence interval; CVD, cardiovascular disease; DHA,  
25 docosahexaenoic acid; EPA, eicosapentaenoic acid; FADS, fatty acid desaturase; GOED,  
26 Global Organization for EPA and DHA Omega-3s; HDL, high density lipoprotein; LDL, low  
27 density lipoprotein; MI, myocardial infarction; PUFA, polyunsaturated fatty acid; PV, peroxide  
28 value; RCT, randomized controlled trial; TOTOX, total oxidation value.

29

30 **Abstract**

31 The long-chain omega-3 fatty acids, eicosapentaenoic acid (EPA) and docosahexaenoic acid  
32 (DHA), are found in seafood (especially fatty fish), supplements and concentrated  
33 pharmaceutical preparations. Long-term prospective cohort studies consistently demonstrate an  
34 association between higher intakes of EPA+DHA or higher levels of EPA and DHA in the body  
35 and lower risk of developing cardiovascular disease (CVD), especially coronary heart disease  
36 and myocardial infarction, and of cardiovascular mortality in the general population. The  
37 cardioprotective effect of EPA and DHA is likely due to the beneficial modulation of a number  
38 of known risk factors for CVD. Some large trials support the use of EPA+DHA (or EPA alone)  
39 in high-risk patients, as confirmed through recent meta-analyses, although the evidence is  
40 inconsistent. This review presents key studies that have investigated EPA and DHA in the  
41 primary and secondary prevention of CVD, briefly describes potential mechanisms of action,  
42 and discusses recently published RCTs and meta-analyses. Potential adverse aspects of long-  
43 chain omega-3 fatty acids in relation to CVD (peroxidation, increased bleeding, increased risk  
44 of atrial fibrillation) are discussed.

45

## 46 1. Introduction to omega-3 polyunsaturated fatty acids

47 Omega-3 fatty acids are a family of polyunsaturated fatty acids (PUFAs) characterized by the  
48 presence of the final double bond in the acyl chain being located three carbon atoms away from  
49 the terminal methyl group (omega or tail end).  $\alpha$ -Linolenic acid (ALA, 18:3 $\omega$ -3) is referred to  
50 as an essential fatty acid since humans, like other animals, do not express the delta-15  
51 desaturase enzyme required for insertion of the “ $\omega$ -3” double bond and so cannot synthesize  
52 ALA *de novo*. Because plants possess delta-15 desaturase, they can synthesize ALA from the  
53 omega-6 PUFA, linoleic acid (18:2 $\omega$ -6); therefore, plant-derived foods are the main dietary  
54 sources of ALA. Although they cannot synthesize ALA, animals can metabolize it by further  
55 desaturation and elongation processes that are considered to mainly occur in the liver. Through  
56 this pathway, ALA is converted to eicosapentaenoic acid (EPA, 20:5 $\omega$ -3) and then to  
57 docosahexaenoic acid (DHA, 22:6 $\omega$ -3) (Figure 1). Here we refer to EPA and DHA as long-  
58 chain omega-3 PUFAs. The desaturase enzymes involved in this conversion are encoded by the  
59 fatty acid desaturase (FADS) genes: *FADS1* encodes delta-5 desaturase and *FADS2* encodes  
60 delta-6 desaturase. These enzymes are regulated by hormones, including insulin (1) and female  
61 sex hormones (2), and have a requirement for certain micronutrients, including zinc (3).  
62 Furthermore, there is evidence that genetic polymorphisms in both FADS genes affect the  
63 activity of the desaturase enzymes and could play a role in determining the activity of this  
64 conversion pathway (4). Studies in adult humans demonstrate that converting ALA to EPA and  
65 DHA is generally poor, with very limited conversion all the way to DHA being observed (5;  
66 6). It is also thought that EPA can be synthesized from DHA by retro-conversion involving  
67 limited peroxisomal  $\beta$ -oxidation. However, a recent study using stable-isotopically-labelled  
68 DHA was interpreted to identify only very limited retro-conversion in humans (7).

69 ALA is found in significant amounts in several seeds, seed oils and nuts. Linseeds (also  
70 called flaxseeds) and their oil typically contains 45 to 55% of fatty acids as ALA. Soybean oil,  
71 rapeseed oil (also known as canola oil) and walnuts contain 5 to 10% of fatty acids as ALA.  
72 The most important dietary source of EPA and DHA is fatty fish or “oily fish” (e.g. salmon,  
73 tuna, herring, sardines, mackerel) providing approximately 1.5 to 3.0 g of these fatty acids per  
74 adult serving, as previously reviewed (8). Existing evidence of dietary habits suggests that  
75 typical intakes of ALA among adults in Western countries are between 0.5 and 2 g/day, while  
76 average (mean) intakes of long-chain omega-3 PUFAs (i.e. EPA and DHA) in some northern  
77 and eastern European, North American and Australasian countries are typically quoted to be  
78 about 0.1 to 0.2 g/day. Populations where oily fish consumption is more regular and in greater

79 amounts than in most Western populations (e.g. the Japanese) have a higher average intake of  
80 long-chain omega-3 fatty acids. Intake of EPA and DHA is closely reflected in blood levels of  
81 these fatty acids (9). Stark et al. (10) gathered data from 298 studies reporting long-chain  
82 omega-3 PUFAs in blood fractions in healthy humans. They assigned these data to one of four  
83 categories (high, moderate, low, very low) based upon EPA+DHA content. Very low blood  
84 levels of EPA+DHA were observed in North America, Central and South America, much of  
85 Europe, the Middle East, Southeast Asia, and Africa. These findings are consistent with low  
86 dietary intakes of EPA and DHA in these regions, mainly as a result of low consumption of oily  
87 fish, and low endogenous synthesis from ALA. In contrast, regions with high EPA+DHA blood  
88 levels included Japan, Scandinavia, and areas with native-born populations or populations not  
89 fully adapted to Westernized food habits.

90 Besides fish and other seafood, supplements of different kinds are also sources of EPA  
91 and DHA. These include fish oils, cod liver oil, krill oil and some algal oils; typical contents of  
92 EPA and DHA in such supplements are shown in Table 1. Concentrated pharmaceutical grade  
93 preparations of long-chain omega-3 PUFAs in different forms are also available (Table 1) and  
94 are highly relevant to prevention and treatment of cardiovascular disease (CVD). Due to the  
95 generally accepted health benefits of long-chain omega-3 fatty acids (8; 11; 12), strategies to  
96 increase their intake need to be identified; in this context, both seafood and the use of the various  
97 dietary supplements providing EPA and DHA currently play roles. Seafood is, of course, the  
98 primary source of the oil used for long-chain omega-3 supplements. This presents two  
99 challenges. The first is the sustainability of seafood as a source of long-chain omega-3 PUFAs  
100 (13). The second is the accumulation of toxic substances through the food chain into fatty fish,  
101 which are the richest dietary source of long-chain omega-3 fatty acids, due to pollution of the  
102 seas (14). Neurotoxic methylmercury and carcinogenic organochlorine compounds, such as  
103 dioxins and polychlorinated biphenyls, are the main toxic substances that accumulate. Thus, it  
104 is recognized that some types of fish have the risk of containing such substances. In general,  
105 processes used in extracting, purifying and treating oils for use as supplements remove the toxic  
106 substances (15). Nevertheless, it is important to note that different supplements and pharma-  
107 grade preparations provide different amounts of EPA and DHA, in different ratios and in  
108 different chemical forms (Table 1). In a typical standard fish oil supplement, EPA and DHA  
109 comprise about 30% of the fatty acids present. Thus, a one gram capsule of a standard fish oil  
110 would provide about 0.3 g of EPA+DHA. However, because the absolute and relative amounts  
111 of EPA and DHA vary among fish, they vary among fish oils. Most standard fish oils contain

112 EPA and DHA in a ratio of 1.5 to 1. More concentrated oil preparations are available; these  
113 “fish oil concentrates” may provide 0.45 to 0.65 g EPA+DHA per g of oil. Different chemical  
114 formulations of long-chain omega-3 fatty acids are also available. In most fish oils, the fatty  
115 acids are present in the form of triacylglycerols (aka triglycerides). However, it is now possible  
116 to obtain supplements where the long-chain omega-3 fatty acids are partly present as  
117 phospholipids, such as krill oil. Furthermore, ethyl ester and free fatty acid preparations are  
118 available, such as in highly concentrated pharmaceuticals. Processing can be used to standardize  
119 the concentrations of EPA and DHA present, for example in pharmaceutical-grade preparations,  
120 and to control the ratio of these fatty acids, which might be advantageous depending on the  
121 intended use of the supplement. Higher content of EPA in relation to DHA is primarily intended  
122 to reduce the risk of CVD, and preparations with a higher amount of DHA are mainly intended  
123 for specific populations/purposes such as pregnant and lactating women, infants and nervous  
124 system health.

125 The aim of this article is to discuss the role of long-chain omega-3 PUFAs in prevention  
126 and treatment of CVD, reviewing the evidence to date and also highlighting some of possible  
127 adverse effects of these fatty acids. This article builds upon, but updates and broadens the  
128 coverage of, a previous review on long-chain omega-3 PUFAs and CVD (16).

129

## 130 **2. Overview of long-chain omega-3 fatty acids in cardiovascular disease: from early** 131 **epidemiologic studies to date**

132 Since early observations made in the Greenland Inuit, many cross-sectional and prospective  
133 cohort studies and many trials reporting on risk factors for CVD and on primary and secondary  
134 prevention of coronary heart disease (CHD) or CVD have demonstrated that higher intake of  
135 fish, fatty fish, long-chain omega-3 PUFA supplements (e.g. fish oils), and individual long-  
136 chain omega-3 fatty acids represents an effective strategy to reduce risk, morbidity and  
137 mortality from CVD, especially CHD. The history of long-chain omega-3 PUFAs and CVD  
138 has been reviewed in detail elsewhere (16-20). In summary, native populations in Greenland,  
139 Northern Canada and Alaska consuming their traditional diet had much lower rates of death  
140 from CVD, especially CHD, than predicted, despite their high dietary fat intake. The protective  
141 component of the diet was proposed to be the long-chain omega-3 PUFAs consumed in very  
142 high amounts as a result of the regular intake of whale and seal meat, whale blubber and fatty  
143 fish. Low cardiovascular mortality is also seen in Japanese consuming a traditional diet and this  
144 diet is rich in seafood, including fatty fish and sometimes marine mammals, which contain

145 significant amounts of EPA and DHA. Much evidence has accumulated from prospective and  
146 case-control studies indicating that higher intake of EPA and DHA is related to lower risk of  
147 CVD outcomes in Western populations. These studies have been summarized numerous times  
148 in systematic reviews and meta-analyses and are discussed in detail elsewhere (16; 17; 19; 21).  
149 For example, in the prospective cohort Nurse's Health Study, with 16 years of follow-up data  
150 from 84,688 female nurses who had no CVD or cancer at baseline, there was an inverse  
151 association for developing CHD, having a non-fatal myocardial infarction (MI) or dying from  
152 CHD across quintiles of intake of fish and omega-3 fatty acids (including ALA, EPA and DHA)  
153 (22). A prospective analysis of ~420,000 participants from the National Institutes of Health  
154 AARP Diet and Health Study during 16 years of follow-up reported a significant inverse  
155 association between fish and EPA+DHA intake and different mortality outcomes (23). Across  
156 extreme quintiles, higher EPA+DHA intake was related to 15% and 18% lower CVD mortality  
157 in men and women, respectively. More recently, a large population-based cohort study  
158 evaluated the association of habitual use of fish oil supplements with CVD and mortality (24).  
159 Nearly half a million individuals, both men and women free from CVD or cancer at baseline,  
160 were enrolled between 2006 and 2010 and followed up to the end of 2018. Habitual fish oil  
161 supplementation was associated with a 7% lower risk of CVD events, a 16% lower risk of CVD  
162 mortality, and a 13% lower risk of all-cause mortality in the general population (24).

163 A 2012 meta-analysis of seven prospective cohort studies involving over 176,000  
164 participants confirmed consistency between long-chain omega-3 fatty acid consumption and a  
165 reduced likelihood of heart failure (25). The investigators reported a 15% risk reduction of heart  
166 failure associated with the highest intake compared to lowest fish intake and a 14% lower risk  
167 of heart failure for those with the highest versus lowest dietary intake or plasma concentrations  
168 of EPA+DHA. The nature of these cohort studies does not enable the identified lower risk of  
169 heart failure to be ascribed as a secondary effect of reducing CHD risk or an effect on cardiac  
170 contractile function independent of CHD. Both are possible. However, the GISSI-HF trial,  
171 randomised patients with prevalent heart failure to long-chain omega-3 fatty acids or placebo  
172 and reported significantly reduced mortality in the omega-3 fatty acid group (26); this would  
173 suggest that omega-3 fatty acids act to improve cardiac function in patients with heart failure.

174 Chowdhury et al. (27) aggregated prospective studies investigating the association of  
175 dietary or circulating EPA and DHA with risk of coronary outcomes. Results from 16 studies,  
176 including over 422,000 subjects, found a 13% reduction in risk for those in the top third of  
177 dietary intake of long-chain omega-3 fatty acids than those in the lower third of intake. Data

178 from 13 studies with over 20,000 participants showed 22%, 21% and 25% reduction in risk of  
179 coronary outcomes for those in the top third of blood levels of EPA, DHA or EPA+DHA,  
180 respectively, compared to those in the lower third (27). Alexander et al. brought together 17  
181 prospective cohort studies examining the relation of long-chain omega-3 fatty acids with the  
182 risk of various coronary outcomes, and showed an 18% lower risk for any CHD event for  
183 subjects with higher dietary intake of EPA+DHA than those with lower intake (28). There were  
184 also significant reductions of 19%, 23% and 47% in the risk for fatal coronary death, coronary  
185 events, and sudden cardiac death, respectively. Another study pooled data from nineteen trials  
186 investigating the association between EPA or DHA concentration in a body pool like serum,  
187 plasma, red blood cells or adipose tissue and risk of future CHD in adults who were healthy at  
188 study entry (29). Both EPA and DHA were associated with a reduction in risk of fatal CHD,  
189 with about a 10% reduced risk for each one standard deviation increase in either EPA or DHA.  
190 Harris et al. (30) gathered together 10 cohort studies and found a 15% lower risk of fatal CHD  
191 for each one standard deviation increase in the omega-3 index (i.e. the sum of EPA and DHA  
192 in red blood cells). A de novo pooled analysis of 17 prospective cohort studies with 42,466  
193 individuals confirmed association between a lower risk for death from CVD in patients with  
194 the highest versus the lowest quintile of circulating long-chain omega-3 fatty acids (i.e. EPA,  
195 DHA, and EPA+DHA) (31). These analyses support a clear role for EPA and DHA in primary  
196 prevention of CHD, and perhaps, more widely, of CVD, as discussed elsewhere (16).

197 The recognition of the benefits of long-chain omega-3 fatty acids has resulted in  
198 recommendations for fish, and more specifically for EPA+DHA intake, by various government,  
199 non-government and professional bodies. For example, United Kingdom Scientific Advisory  
200 Committee on Nutrition/Committee on Toxicity established dietary recommendations for adults  
201 in the general population to intake at least two portions of fish per week, at least one of which  
202 is fatty fish, equated to 450 mg EPA+DHA per day (32). The International Society for the  
203 Study of Fatty Acids and Lipids set the target of 500-650 mg EPA+DHA/day for the general  
204 population (33). According to other governing bodies, 400-500 mg EPA+DHA per day with at  
205 least 100-120 mg DHA per day is set by French Agency for Food, Environmental and  
206 Occupational Health Safety (34), while a minimum of 250 mg EPA+DHA is the appropriate  
207 daily intake recommended by Food and Agricultural Organisation of the United Nations (35).

208

### 209 **3. Risk factors for CVD that are targeted by EPA and DHA**

210 Beneficial modification of a broad range of risk factors probably explains the protective effect  
211 of long-chain omega-3 fatty acids towards CVD. These risk factors include blood triglyceride  
212 concentrations, blood pressure, thrombosis, cardiac function, vascular function and  
213 inflammation, which are all improved by long-chain omega-3 fatty acids (see (16) for  
214 References). Concerning modulation of blood lipid concentrations, there is overwhelming  
215 evidence that both EPA and DHA have a triglyceride-lowering effect (36), apparently with a  
216 slightly higher impact for DHA (37; 38). Although EPA and DHA do not show a total  
217 cholesterol-lowering effect to any significant degree in humans, they do have an independent  
218 effect on different lipid subfractions, with EPA lowering high density lipoprotein (HDL)<sub>3</sub>-  
219 cholesterol and DHA increasing the more cardioprotective HDL<sub>2</sub>-cholesterol (39; 40). It has  
220 also been suggested that DHA increases low density lipoprotein (LDL)-cholesterol (LDL-C)  
221 more than EPA and increases LDL particle size, an effect which was not seen for EPA (38-40).  
222 Although DHA increases LDL-C, it does not change apolipoprotein B concentration which is  
223 consistent with shifting LDL-C particles to a larger, less atherogenic profile (41-43). Regarding  
224 inflammation, several meta-analyses have demonstrated that EPA and DHA decrease serum or  
225 plasma concentrations of pro-inflammatory eicosanoids (e.g., thromboxane B<sub>2</sub> and leukotriene  
226 B<sub>4</sub>) (44), C-reactive protein, and pro-inflammatory cytokines such as interleukin-6 and tumor  
227 necrosis factor  $\alpha$  (45; 46). However, this effect may be dependent on the health status of the  
228 individuals. In addition to the anti-inflammatory effects, EPA and DHA appear to have an  
229 antioxidant role and regulate antioxidant signalling pathways. A high DHA content is found in  
230 mitochondrial membranes suggesting that DHA is important for adenosine triphosphate  
231 synthesis by oxidative phosphorylation (47). DHA is reported to reduce mitochondrial  
232 oxidative stress and cytochrome C oxidase activity while increasing manganese-dependent  
233 superoxide dismutase activity (47). The anti-inflammatory properties of long-chain omega-3  
234 fatty acids may also be important in this regard, since inflammation induces oxidative stress.  
235 Recent meta-analyses have found a significant reduction in platelet aggregation with long-chain  
236 omega-3 fatty acids, with a greater impact observed in non-healthy participants and  
237 considerable improvement of vascular endothelial function through increasing flow-mediated  
238 dilatation (48-50). EPA and DHA have been reported to lower systolic and diastolic blood  
239 pressure and heart rate (51; 52). Interestingly, DHA is reported to be more effective than EPA  
240 at lowering blood pressure and heart rate in normotensive individuals, whilst neither EPA nor  
241 DHA had any effect in hypertensive diabetic patients (39). Overall, there is strong evidence  
242 that both EPA and DHA beneficially modify a range of risk factors for CVD and this most

243 likely account for the reduced risk of developing and mortality from CVD reported in cohort  
244 studies, as described in Section 2.

245

#### 246 **4. Trials of prevention and treatment of CVD with long-chain omega-3 fatty acids**

##### 247 **4.1 Trials published before 2010**

248 Table 2 summarises the key randomized controlled trials (RCTs) of long-chain omega-3 fatty  
249 acids that report on hard cardiovascular endpoints. The Diet and Reinfarction Trial (DART)  
250 involved 2,033 recent MI survivors (mean: 41 days since MI), who were advised concerning  
251 fat, fish and fibre intake and followed up for 2 years (53). Those patients who were given advice  
252 to eat fatty fish (at least two portions per week) or to take supplements of fish oil showed a 29%  
253 reduction in total mortality and a decreased risk of death from CHD at 2 years than those  
254 patients given other dietary advice. The landmark GISSI-Prevenzione study enrolled 11,324  
255 survivors with recent MI ( $\leq 3$  months since MI) who were treated with 840 mg/d EPA+DHA,  
256 300 mg/d vitamin E, both EPA+DHA and vitamin E, or nothing (control group) for 3.5 years  
257 (54). Treatment with EPA+DHA significantly reduced the composite primary outcomes (-15%  
258 and -20%, respectively) and several secondary outcomes, including cardiovascular death by  
259 30%, sudden death by 45%, and total fatal events by 20%. There was no benefit for non-fatal  
260 MI or stroke. The effect of EPA+DHA on sudden cardiac death and total mortality was observed  
261 after 3 months and 4 months of treatment, respectively, and raised interest in the potential anti-  
262 arrhythmic benefit of EPA and DHA (55). In the GISSI-HF trial (26), 6,975 patients with  
263 chronic heart failure received 840 mg/d EPA+DHA or placebo throughout ~4 years and results  
264 showed a small (9%) but significant reduction in all-cause mortality. The randomized open-  
265 label, Japan EPA Lipid Intervention Study (JELIS) included 18,645 patients with  
266 hypercholesterolemia (total cholesterol  $\geq 6.5$  mmol/L) who were assigned to receive either a  
267 statin alone or a statin along with highly purified EPA (1.8 g/d EPA as an ethyl ester) with a 5-  
268 year follow-up (56). Among those patients who were receiving statin therapy, a number of  
269 participants were on conventional medication due to pre-existing CHD. The primary outcome  
270 was any major coronary event, including sudden cardiac death, fatal and non-fatal MI and other  
271 non-fatal events, including unstable angina pectoris, angioplasty, stenting, and coronary artery  
272 bypass grafting. Long-term use of EPA-ethyl ester as an addition to statin therapy had no effect  
273 over statin alone on the primary outcome in the primary prevention arm of the trial, but in the  
274 secondary prevention arm, EPA supplementation resulted in a 19% reduction in non-fatal  
275 coronary events versus statin alone group (56).

276

#### 277 **4.2 *Trials published from 2010 to date***

278 Three RCTs published in 2010 (57-59) did not replicate the findings of the earlier treatment  
279 studies. The OMEGA prospective RCT examined the effect of supplementation with 840 mg/d  
280 EPA+DHA over a period of 1 year in 3,851 survivors after acute MI, with the primary outcome  
281 of sudden cardiac death (57). Investigators reported a low rate of sudden cardiac death, total  
282 mortality, major adverse cardiovascular events or revascularisation during the following year.  
283 There was no significant difference between the omega-3 group and the control (olive oil)  
284 group. It is possible that the low rate of occurrence of the main outcomes precluded an effect  
285 of long-chain omega-3 fatty acids from being observed. The Supplémentation en Folates et  
286 Omega-3 (SU.FOL.OM3) trial investigated whether dietary supplementation with B vitamins  
287 and/or long-chain omega-3 fatty acids could prevent major cardiovascular events in patients  
288 with a documented history of cardiovascular disease (MI, unstable angina or ischaemic stroke)  
289 (58). Of the 2501 enrolled patients, around 50% received omega-3 fatty acids alone (600 mg/d  
290 EPA+DHA at a ratio 2:1) or B vitamins + omega-3 fatty acids vs placebo for 4.2 years. Long-  
291 chain omega-3 fatty acids (alone or with B vitamins) did not show any effect on the primary  
292 outcome (composite of cardiovascular death, stroke and non-fatal MI) or on the secondary  
293 outcomes. It is possible that the dose of EPA+DHA used was below the threshold required for  
294 an effect to occur. The Alpha Omega study recruited 4,837 post-MI patients who were assigned  
295 to receive one of three trial margarines fortified with a targeted additional daily intake of ~375  
296 mg/d EPA+DHA, or 1.9 g/d ALA, or EPA+DHA+ALA or a placebo margarine, and followed  
297 up for ~3.4 years (59). None of the treated groups had a reduction in cardiovascular events. The  
298 low dose of EPA+DHA used in this trial, compared to doses used in the pre-2010 trials should  
299 be noted. Nevertheless, on further analysis, a reduction in fatal CHD and in arrhythmia-related  
300 events was experienced among patients with diabetes in EPA+DHA and ALA-fortified  
301 margarine groups (59).

302 The ORIGIN (Outcome Reduction with an Initial Glargine Intervention) trial, published  
303 in 2012, investigated whether supplementation with 840 mg/d EPA+DHA can reduce  
304 cardiovascular mortality in dysglycaemic patients with recent MI or heart failure, together with  
305 a long-acting insulin (glargine) or standard care. A total of 12,536 participants were enrolled  
306 into the study with a median follow-up of 6.2 years (60). Compared with placebo, no effect of  
307 long-chain omega-3 fatty acids was reported for death from cardiovascular causes or arrhythmia  
308 and total mortality. The Risk and Prevention Study, from 2013, assessed the effect of

309 supplementation with 840 mg/d EPA+DHA in 12,513 patients at high cardiovascular risk but  
310 with no MI for a median of 5 years and reported no effect on death from cardiovascular causes  
311 or hospitalisation compared to placebo (61). In a prespecified subgroup analysis, compared with  
312 placebo, long-chain omega-3 fatty acids resulted in an 18% lower incidence of the revised  
313 primary outcome among women (composite of the time to death from cardiovascular causes or  
314 first hospital admission for cardiovascular causes). Most of the secondary outcomes (e.g.,  
315 sudden death, fatal or non-fatal MI, stroke or coronary event) did not differ between groups;  
316 however, admissions for heart failure were significantly lower in the long-chain omega-3 fatty  
317 acid group.

318         A Study of Cardiovascular Events iN Diabetes (ASCEND) trial, published in 2018,  
319 randomly assigned 15,480 patients with diabetes and no evidence of CVD to receive either  
320 long-chain omega-3 fatty acids (840 mg/d EPA+DHA) or olive oil as placebo (62). The primary  
321 outcome was the first serious vascular event; there was no difference in the primary outcome  
322 between the two groups after a mean follow-up of 7.4 years. In exploratory analyses, there were  
323 19% fewer deaths from vascular events in the long-chain omega-3 fatty acid arm, as well as a  
324 trend towards reduced risk of death (21%) from CHD.

325         The Vitamin D and Omega-3 (VITAL) trial, published in 2019, was a randomized  
326 controlled trial conducted as a two-by-two factorial design of vitamin D3 (at a dose of 50 µg/d)  
327 and long-chain omega-3 PUFA capsules (at a dose of 1 g/d containing 480 mg EPA + 360 mg  
328 DHA) among 25,871 healthy participants aged over 50 years for the primary prevention of  
329 CVD and cancer (63). After a median follow-up of 5.3 years, there was no statistically  
330 significant difference between the supplemented group with omega-3 PUFAs and the placebo  
331 group in the primary outcome of major cardiovascular events (a composite of MI, stroke or  
332 death from cardiovascular causes). An analysis of the individual components of the composite  
333 showed a significant reduction in the long-chain omega-3 fatty acid arm for MI (28% reduction)  
334 and CHD (17% reduction). Correspondingly, there was also a lower risk of death from these  
335 two non-prespecified outcomes (50% for MI and 24% for CHD), although the effect on CHD  
336 was not significant. There was a significant reduction in major adverse cardiovascular events  
337 (19%) and risk of MI (40%) for those who consumed fewer than 1.5 fish meals/week and then  
338 supplemented with long-chain omega-3 PUFAs.

339         In Reduction of Cardiovascular Events with Icosapent Ethyl Intervention Trial  
340 (REDUCE-IT) (64), published in early 2019, among the 8,179 patients, 29% comprised a  
341 primary prevention cohort with cardiovascular risk factors and 71% comprised a secondary

342 prevention cohort with established CVD or with diabetes (58% of all subjects had type 2  
343 diabetes mellitus). Baseline plasma LDL-C levels were well-controlled with statins (1.06 to  
344 2.59 mmol/L), while triglyceride levels were borderline and moderately elevated (1.52 to 5.63  
345 mmol/L). In the trial, participants received 2 g of a formulation rich in EPA ethyl ester (referred  
346 to as icosapent ethyl - this is the same preparation as used in JELIS) twice daily, providing a  
347 total of 3.6 g of EPA as a total daily dose versus mineral oil as placebo. With a median follow-  
348 up of 4.9 years, the primary outcome (a composite of cardiovascular death, non-fatal MI, non-  
349 fatal stroke, coronary revascularization or unstable angina) was reduced in patients who  
350 received EPA-ethyl ester compared to placebo (hazard ratio: 0.75; 95% CI: 0.68, 0.83;  $p <$   
351 0.001). The key pre-specified secondary outcome (a composite of cardiovascular death, non-  
352 fatal MI, or non-fatal stroke) was also significantly reduced in the EPA-ethyl ester group  
353 (hazard ratio: 0.80; 95% CI: 0.66, 0.98;  $p = 0.03$ ) as well as a whole range of other clinical  
354 outcomes (64). Post-hoc analysis of REDUCE-IT trial found an association between attained  
355 serum EPA level and clinical outcomes. EPA-ethyl ester treatment resulted in a 3.6-fold  
356 increase in serum EPA from baseline over five years, whereas in the placebo group level did  
357 not change (65). Another positive EPA-ethyl ester trial was EVAPORATE (Effect of Vascepa  
358 on Improving Coronary Atherosclerosis in People with High Triglycerides Taking Statin  
359 Therapy) (66). This study involved 80 patients with known angiographic coronary artery  
360 disease taking statins and with no history of MI, stroke, or life-threatening arrhythmia within  
361 the prior six months. The same EPA-ethyl ester preparation and the same dose were used as in  
362 REDUCE-IT. EVAPORATE demonstrated that EPA might directly promote atherosclerotic  
363 plaque attenuation in hypertriglyceridemic individuals at 18 months (66).

364         The findings of REDUCE-IT and EVAPORATE differ from those of the more recently  
365 published STRENGTH trial (Long Term Outcomes Study to Assess Statin Residual Risk with  
366 Epanova in High Cardiovascular Risk Patients with Hypertriglyceridemia) (67). In this study,  
367 patients with hypertriglyceridemia and high cardiovascular risk on statin therapy were treated  
368 with 4 g/day of an oil containing EPA and DHA (as free fatty acids); this provided about 2.2 g  
369 EPA + 0.8 g DHA daily. Corn oil was used as placebo. There was no significant difference in  
370 a composite outcome of major adverse cardiovascular events among patients who received  
371 additional omega-3 fatty acids to usual background therapies versus control, and the trial was  
372 stopped early (67). There has been significant discussion of why REDUCE-IT and  
373 STRENGTH, with similar study designs, target populations and outcomes, produced different  
374 results (68). REDUCE-IT used pure EPA whereas STRENGTH used EPA+DHA; REDUCE-

375 IT used EPA as an ethyl ester whereas STRENGTH used EPA and DHA as free fatty acids;  
376 REDUCE-IT used a higher overall dose of long-chain omega-3 fatty acids; and the two studies  
377 used different placebos.

378 The OMEMI (Omega-3 Fatty acids in Elderly with Myocardial Infarction) trial,  
379 published in 2021, randomized a total of 1027 patients with a recent MI (in the previous 2-8  
380 weeks) to receive ~1.6 g/day long-chain omega-3 fatty acids (930 mg EPA + 660 mg DHA) or  
381 corn oil (placebo) as an addition to standard care (69). After two years of follow-up, there was  
382 no significant difference between supplemented and control groups in the primary composite  
383 cardiovascular outcome.

384

### 385 **4.3 Meta-analyses of trials of treatment**

386 Meta-analyses published up to 2010 confirmed findings seen in individual trials investigating  
387 the effects of long-chain omega-3 fatty acids on different cardiovascular outcomes. In 2002  
388 Bucher et al. (70) meta-analysed results from 11 RCTs (2 dietary RCTs and 9 supplemental  
389 RCTs) involving 15,806 patients with CHD who received long-chain omega-3 fatty acids with  
390 a dose range of 0.3-6 g/d EPA and 0.6-3.7 g/d DHA. The analysis showed 30% reduced risk of  
391 fatal MI, 20% reduced risk of non-fatal MI, 30% reduced risk for sudden death and 20% reduced  
392 risk in overall mortality in marine omega-3 fatty acids group versus control. A 2005 meta-  
393 analysis of 14 RCTs with 20,260 participants in primary and secondary prevention settings  
394 found a 23% reduction in all-cause mortality and a 32% reduction in cardiovascular mortality  
395 for those patients who were taking supplemental long-chain omega-3 fatty acids (dose range  
396 not given) (71). A further meta-analysis from 2009 of 3 dietary RCTs and 5 supplemental RCTs  
397 in 20,997 patients with CVD found a 57% reduction in sudden death in patients with prior MI  
398 who were given long-chain omega-3 fatty acids compared to placebo (72). The identified  
399 reductions in cardiac death (29%) and overall mortality (23%) with long-chain omega-3 fatty  
400 acids were not significant. Dosage was in a range of 0.3–4.1 g/d EPA and 0.4–2.8 g/d DHA  
401 (72). Another 2009 meta-analysis of 11 RCTs in 39,044 subjects with all stages of CVD,  
402 including both low- and high-risk patients, reported a 13% reduction in both cardiovascular  
403 death and sudden death and an 8% reduction in overall mortality in high-risk patients who were  
404 given supplemental long-chain omega-3 fatty acids with a dose range of 0.7 to 4.8 g/d EPA +  
405 DHA (73).

406 Over the last ten years, several meta-analyses reported more mixed conclusions than  
407 earlier meta-analyses, as reviewed elsewhere recently (16). For example, a meta-analysis from

408 2012, representing 20 RCTs (3 dietary RCTs and 17 supplemental RCTs) of 62,851 patients in  
409 primary and secondary prevention settings, reported a 14% reduction in vascular death but no  
410 effect on cardiovascular events, total mortality, coronary or arrhythmia events with long-chain  
411 omega-3 fatty acids (74). Dosage of omega-3 fatty acids used in the included trials was in range  
412 of 0.8-3.4 g/d EPA+DHA and duration was 0.6-6 years (74). Published in 2014, a meta-analysis  
413 examined 17 RCTs involving 76,580 subjects with a broader range of supplemental omega-3  
414 fatty acid dosages (0.3 g/d EPA to 6 g/d EPA+DHA) and durations (0.1-8 years) (27). The study  
415 found a 7% reduction in the cardiovascular outcomes with long-chain omega-3 fatty acids (27).  
416 A meta-analysis published in 2017 covered 18 RCTs with approximately 93,000 subjects who  
417 were taking supplemental long-chain omega-3 fatty acids with doses ranging from 0.4 g to 5  
418 g/d EPA+DHA (28). The study reported 14%-16% reduction in CHD in high-risk subgroups  
419 i.e., those with elevated triglycerides and LDL-C (28). A 2020 meta-analysis conducted by  
420 Abdelhamid et al. (75) aggregated data of all RCTs published before August 2019 that reported  
421 CVD outcomes. The study numbered 162,796 subjects in primary and secondary prevention  
422 settings who were given dietary or supplemental omega-3 fatty acids in a dose range from 0.5  
423 g per day to more than 5 g per day for a duration of 1-7 years. A slightly reduced risk in CHD  
424 mortality and events was noted, with little or no effect on all-cause mortality, cardiovascular  
425 mortality, cardiovascular events, stroke or arrhythmia (75). A further meta-analysis was based  
426 on the work of Abdelhamid et al., but differed in the selection of clinical trials, focusing on  
427 studies in which the intervention was supplementation with EPA and/or DHA and not dietary  
428 advice (76). The investigators suggested that different foods contain widely varying amounts  
429 of EPA and DHA, making it challenging to estimate dosage and monitor compliance. In this  
430 meta-analysis restricted to supplemental trials, the dosage used varied from 0.4 g/d to 5.5 g/d  
431 EPA+DHA (average dose received was 1221 mg/d EPA+DHA). Pooled results did not show  
432 an association between supplementation and reduction in the risk of CVD events which is in  
433 accordance with Abdelhamid et al. However, long-chain omega-3 fatty acids were associated  
434 with statistically significant reductions in the risk of MI (13%), CHD events (10%), fatal MI  
435 (35%) and CHD mortality (9%); the results of these random-effects meta-analyses are presented  
436 in Figure 2. For CVD and MI, the protective effects of long-chain omega-3 fatty acids increased  
437 significantly with dosage (76). Meta-regression analysis found that increasing intake of EPA  
438 and DHA by 1 g/d EPA+DHA was associated with a 5.8% reduction risk in the CVD events.  
439 In the case of MI, the risk reduction was dose dependent, and each additional 1 g/d of  
440 EPA+DHA was associated with a significant risk reduction of 9%. This analysis did not find a

441 statistically significant benefit of EPA alone compared with EPA+DHA or a significant  
442 association between the year of publication and the overall impact of EPA and/or DHA on CVD  
443 outcomes.

444 Another recent meta-analysis of 13 RCTs (e.g., GISSI-Prevenzione, JELIS, GISSI-HF,  
445 SU.FOL.OM3, Alpha Omega, OMEGA, ORIGIN, VITAL, ASCEND and REDUCE-IT)  
446 demonstrated that EPA and DHA lower the risk of MI, CHD death, total CHD, CVD death and  
447 total CVD, without including REDUCE-IT in the analysis (77). Including REDUCE-IT in the  
448 analysis resulted in an even stronger inverse association for these outcomes (77). The total  
449 number of participants was 127,477, while the mean duration of follow-up ranged from 1.0 to  
450 7.4 years and the mean dose of EPA+DHA ranged from 0.37 to 4.0 g/d.

451 Very recently Rizos et al. (78) published a new meta-analysis of 17 RCTs of  
452 supplemental long-chain omega-3 PUFAs according to prior defined doses (i.e.,  $\leq 1$ ,  $2$ ,  $\geq 3$  one  
453 g capsules/d) and duration  $\geq 1$  year. For two capsules/d, a significant reduction was found for  
454 cardiac death while for  $\geq 3$  capsules/d, a statistically significant reduction in cardiac death,  
455 sudden death and stroke was observed. There was no association with any CVD outcome for  
456 doses less than two capsules/d.

457

## 458 **5. Recommendations for use of long-chain omega-3 fatty acids in patient treatment**

459 American and European authorities have made recommendations for clinical use of EPA and  
460 DHA with respect to CVD, as detailed elsewhere (16). In recognition of the ability of long-  
461 chain omega-3 PUFAs to lower blood triglycerides, in 2019 the American Heart Association  
462 updated its earlier recommendation for the use of 2 to 4 g/d EPA+DHA for triglyceride  
463 lowering: “we conclude that prescription n-3 fatty acids, whether EPA+DHA or EPA-only, at  
464 a dose of 4 g/d, are clinically useful for reducing triglycerides, after any underlying causes are  
465 addressed and diet and lifestyle strategies are implemented, either as monotherapy or as an  
466 adjunct to other triglyceride-lowering therapies” (40). On the basis of the positive outcomes of  
467 REDUCE-IT, the European Society of Cardiology and the European Atherosclerosis Society  
468 issued an update to the “Clinical Practice Guidelines for the Management of Dyslipidaemias”  
469 specifically recommending that “in high-risk patients with [triglyceride] levels between 1.5 and  
470 5.6 mmol/L (135–499 mg/dL) despite statin treatment, n-3 polyunsaturated fatty acids  
471 (icosapent ethyl 2 x 2 g/day) should be considered with a statin” (79). A more recent statement  
472 broadens this by indicating that, together with standard medical care, EPA as icosapent ethyl at  
473 a dose of 4 g/d is considered for patients with hypertriglyceridemia alone or with diabetes,

474 atherosclerotic cardiovascular disease and other cardiac risk factors in reducing cardiovascular  
475 risk (79). The National Lipid Association statement is that “for patients aged  $\geq 45$  years with  
476 clinical [atherosclerotic cardiovascular disease] ASCVD, or aged  $\geq 50$  years with diabetes  
477 mellitus requiring medication plus  $\geq 1$  additional risk factor, with fasting triglycerides 135 to  
478 499 mg/dL on high-intensity or maximally tolerated statin therapy ( $\pm$  ezetimibe), treatment with  
479 icosapent ethyl is recommended for ASCVD risk reduction” (80). In 2017, the AHA repeated  
480 its earlier support for EPA+DHA in people with CVD (19) and extended it by stating that “the  
481 recommendation for patients with prevalent CHD such as a recent MI remains essentially  
482 unchanged: treatment with n-3 fatty acid supplements is reasonable for these patients. Even a  
483 potential modest reduction in CHD mortality (10%) in this clinical population would justify  
484 treatment with a relatively safe therapy. We now recommend treatment for patients with  
485 prevalent heart failure without preserved left ventricular function to reduce mortality and  
486 hospitalizations (9%) on the basis of a single, large RCT. Although we do not recommend  
487 treatment for patients with diabetes mellitus and prediabetes to prevent CHD, there was a lack  
488 of consensus on the recommendation for patients at high CVD risk. Because there are no  
489 reported RCTs related to the primary prevention of CHD, heart failure, and atrial fibrillation,  
490 we were not able to make recommendations for these indications” (81).

491

## 492 **6. Potential adverse aspects of long-chain omega-3 fatty acids in relation to CVD**

### 493 **6.1. Oxidation of fish oil supplements**

494 Long-chain omega-3 fatty acids present in fish oil supplements are highly susceptible to  
495 complex oxidative degradation, producing primary lipid peroxides and secondary oxidation  
496 products such as aldehydes and ketones (82). Lipid peroxides are chemically different from  
497 unoxidized molecules and therefore may have distinct and diverse biological effects (83).  
498 Numerous factors can influence the oxidation rate in fish oil supplements, including light and  
499 oxygen exposure, temperature, antioxidant content and the presence of water or heavy metals  
500 (84). The degrees of primary and secondary oxidation are presented as peroxide value (PV) and  
501 anisidine value (AV), respectively. In combination, these measurements serve to estimate the  
502 total oxidation value (TOTOX). The Global Organization for EPA and DHA Omega-3s  
503 (GOED) set the limits related to these parameters as  $PV < 5$  meq  $O_2$ /kg,  $AV < 20$  meq/kg and  
504  $TOTOX < 26$  meq/kg for GOED members (85). Oxidative quality for encapsulated and liquid  
505 forms of EPA and DHA has been evaluated in many studies with different findings. Some  
506 studies confirmed compliance with regulation among tested products, but others reported that

507 a high percentage of products from various manufacturers exceed one or more of the quality  
508 parameter values (82; 83). In 2010, the European Food Safety Authority panel on biological  
509 hazards reported that “information on the level of oxidation of fish oil (as measured by peroxide  
510 and anisidine values) and related toxicological effects in humans is lacking” (86). One RCT  
511 investigated the effect of oxidized compared with non-oxidized fish oil on lipid peroxidation  
512 and antioxidant activity markers (87). There was no association between oxidized fish oil and  
513 acute oxidative toxicity. This study did not assess critical markers related to atherosclerosis,  
514 such as oxidized LDL or carotid artery intimal thickness. However, the findings of omega-3  
515 supplement trials are highly inconsistent, which could be partly attributed to less-efficacy of  
516 oxidized oils (83). To date, there are no sufficient specific clinical trials addressing the effects  
517 of oxidation on the efficacy of long-chain omega-3 fatty acids.

518

## 519 **6.2 Long-chain omega-3 fatty acids and bleeding**

520 Long-chain omega-3 fatty acids may affect platelet function, reducing platelet count and  
521 reactivity, prolonging bleeding time and increasing the ratio of anticoagulant versus  
522 procoagulant metabolites (prostaglandins and thromboxanes, respectively) (88; 89). In addition  
523 to their incorporation into platelet membrane, omega-3 fatty acids (i.e., EPA and DHA)  
524 compete with arachidonic acid for the cyclooxygenase and lipoxygenase pathways of metabolic  
525 transformation, lowering thromboxane A<sub>2</sub> production (see (90) for References). Modulation of  
526 platelet function depends on the dose of EPA and DHA and occurs mainly at doses greater than  
527 2 g/d (91). The effects appear to be primarily mediated by the action of EPA (92). Because of  
528 these effects, there has been some concern that long-chain omega-3 PUFAs, especially when  
529 used at a high dose, will adversely promote bleeding and prolong bleeding time. Regarding the  
530 potential for excess bleeding with omega-3 fatty acids, a paper published in 2007 aggregated  
531 evidence from 19 well-designed clinical trials with cardiovascular patients undergoing major  
532 surgery (i.e., coronary artery bypass, carotid endarterectomy and femoral artery catheterisation)  
533 (93). Based on these data it was concluded that long-chain omega-3 fatty acids did not increase  
534 the risk for clinically significant bleeding, either in patients treated with EPA/DHA alone or  
535 with antithrombotic/antiplatelet drugs. In the recent OPERA (Fish Oil and Perioperative  
536 Bleeding) trial, 1516 patients undergoing cardiac surgery were randomized to receive 8-10 g of  
537 EPA+DHA over 2-5 days in the preoperative period and then 2 g/d in the postoperative period,  
538 or placebo (94). Perioperative bleeding occurred in 6.1% of patients taking EPA+DHA.  
539 Comparing to placebo, in the omega-3 group, the odds ratio for perioperative bleeding was 0.81

540 (95% CI: 0.53, 1.24); absolute risk difference, 1.1% lower (95% CI: -3.0%, 1.8%). Thus, the  
541 study indicates less likelihood of perioperative bleeding with long-chain omega-3 fatty acids.  
542 Indeed, unexpectedly, higher levels of plasma omega-3 fatty acids achieved with  
543 supplementation were related to lower perioperative bleeding events (94). The longitudinal  
544 Multi-Ethnic Study of Atherosclerosis (MESA) recruited 6814 participants with no clinical  
545 CVD at baseline to investigate whether higher baseline levels of EPA, DHA and EPA+DHA  
546 would be linked with major bleeding events (95). An inverse association in incident major  
547 bleeding events was reported with higher baseline plasma levels of EPA (hazard ratio 0.69; CI:  
548 0.53, 0.9; P=0.01) and EPA+DHA (hazard ratio 0.78; CI: 0.65, 0.94; P=0.01), but not DHA  
549 (hazard ratio 0.68; CI: 0.44, 1.05; P=0.08) over a median of 14 years of follow-up. A publication  
550 from 2018 (96) reported previously unpublished data from 8 clinical trials of enteral nutrition  
551 products that included fish oil as a source of long-chain omega-3 fatty acids; these trials in a  
552 variety of patient groups (n = 600 patients) provided a range of doses of EPA+DHA (1.5 to 10.2  
553 g/d) for a variety of durations (8 d to 52 wk). There was no effect of long-chain omega-3 PUFAs  
554 on coagulation parameters and there was no difference between omega-3 and placebo groups  
555 in bleeding events. A very recent study reported no effect of an EPA-rich formulation providing  
556 3 g/d EPA for 4 to 10 weeks prior to surgery for prostate cancer on perioperative bleeding (97).  
557 Increased bleeding with long-chain omega-3 PUFAs is a theoretical consideration; however the  
558 accumulated evidence from human trials suggests that this is not a matter for concern.

559

### 560 **6.3 Long-chain omega-3 fatty acids and atrial fibrillation**

561 Some clinical trials have suggested that long-chain omega-3 fatty acids may be associated with  
562 an increased likelihood of developing atrial fibrillation (AF), especially in people with high  
563 cardiovascular risk and/or elevated blood lipids. These trials provided data on omega-3 fatty  
564 acids at different doses and in different formulations (98). In the previously mentioned VITAL  
565 trial, participants without CVD, cancer or AF at baseline and randomly assigned to receive 840  
566 mg/d omega-3 PUFAs (460 mg EPA + 380 mg DHA) or olive oil as placebo were studied (99).  
567 After a median of 5.3 years, there was no difference in incidence of AF events between the  
568 groups (3.7% versus 3.4%). However, trials using higher doses of long-chain omega-3 PUFAs  
569 have found increased risk of AF. For example, in the STRENGTH trial, treatment with 3.2  
570 g/day EPA+DHA as free fatty acids resulted in a higher likelihood of developing AF after a  
571 median of 3.5 years compared to placebo (2.2% vs 1.3% P < 0.001) (67). In REDUCE-IT,  
572 patients who were randomized to receive almost 4 g/d of purified EPA-ethyl ester, had a

573 significant increase in the risk of AF after a median of 4.9 years compared to control (5.3% vs  
574 3.9%; P = 0.003) (64). An intermediate dose of ~1.6 g/d omega-3 PUFAs (930 mg EPA + 660  
575 mg DHA) in the OMEMI trial also resulted in more AF in the treatment group compared with  
576 placebo (hazard ratio 1.84; P = 0.06) (69). Recent meta-analyses summarized results from large-  
577 scale clinical trials to answer the question of whether long-chain omega-3 fatty acids are dose-  
578 related with an increased risk for AF (100; 101). A meta-analysis of 5 RCTs (REDUCE-IT,  
579 ASCEND, Risk and Prevention, STRENGTH, OMEMI) found increased risk of incident AF  
580 with long-chain omega-3 PUFAs compared with placebo (incident risk ratio 1.37; 95% CI 1.22,  
581 1.54; P < 0.01) (100). When findings from VITAL Rhythm (99) were included, the findings  
582 were unchanged (incident risk ratio 1.29; 95% CI 1.13, 1.48; P = 0.0002) (100). The meta-  
583 analysis of Gencer et al. (101) included seven RCTs published between 2012 and 2020. Of  
584 81,210 patients, 72.6% were enrolled in trials testing ≤ 1 g long-chain omega-3 PUFAs/day and  
585 27.4% in trials testing > 1 g long-chain omega-3 PUFAs/day with a median follow-up of 4.9  
586 years. The use of long-chain omega-3 fatty acids was associated with an increased risk of AF  
587 (hazard ratio 1.25; 95% CI: 1.07, 1.46; P=0.013). In analyses specified by dose, the hazard ratio  
588 was higher in the trials testing > 1 g/d (hazard ratio 1.49; 95% CI: 1.04, 2.15; P=0.042)  
589 compared with those testing ≤ 1 g/d (hazard ratio 1.12; 95% CI: 1.03, 1.22; P=0.024; P for  
590 interaction <0.001). In meta-regression, the hazard ratio for AF increased for each 1 g increase  
591 of omega-3 fatty acids (hazard ratio 1.11; 95% CI: 1.06, 1.15; P=0.001). Thus, individual trials  
592 and meta-analyses of mostly recent trials indicate that long-chain omega-3 PUFAs increase the  
593 risk of AF, especially when used at high doses. A recent commentary has argued that the effect  
594 of long-chain omega-3 fatty acids on AF is “U-shaped”: they reduce risk of AF at moderate  
595 doses but increase risk at high doses (102). This is obviously a concern, although it is interesting  
596 to note that REDUCE-IT, while reporting increased risk of AF, also reported reduced risk of a  
597 range of hard cardiovascular outcomes.

598

## 599 **7. Summary, discussion & conclusions**

600 There is a large body of evidence from long-term prospective cohort studies that consistently  
601 demonstrates an association between higher intakes of fish, fatty fish and long-chain omega-3  
602 fatty acids (i.e., EPA+DHA) or higher levels of EPA and DHA in the body and lower risk of  
603 developing CVD, especially CHD, having an MI and cardiovascular mortality in the general  
604 population. This cardioprotective effect of EPA and DHA is plausible considering the robust  
605 identification of mechanisms that show that EPA and DHA beneficially modulate a number of

606 known risk factors for CVD, such as blood lipids, blood pressure, heart rate and heart rate  
607 variability, platelet aggregation, endothelial function and inflammation. Despite this, evidence  
608 for primary prevention of CVD through RCTs is relatively weak. Data from RCTs of  
609 EPA+DHA (or EPA alone) in high-risk patients, especially in the secondary prevention setting  
610 (e.g., post-MI) are inconsistent. Older (i.e., pre-2010) RCTs and meta-analyses based mainly  
611 on those RCTs suggested significant benefit of long-chain omega-3 PUFAs on hard  
612 cardiovascular outcomes. RCTs conducted since 2010 have not consistently confirmed this  
613 benefit. Two recent large trials with high doses of long-chain omega-3 PUFAs (REDUCE-IT  
614 and STRENGTH) had different findings, with REDUCE-IT showing significant benefits from  
615 EPA-ethyl ester and STRENGTH being stopped for futility. Nevertheless, recent meta-analyses  
616 confirm benefit from long-chain omega-3 PUFAs (76-78) and that these benefits are dose-  
617 dependent (76; 78). Reasons for inconsistencies amongst RCT findings may relate to dose of  
618 long-chain omega-3 PUFAs used, the exact composition and formulation used, the duration of  
619 follow-up, and the event rate in the population being studied. Studies of short duration or using  
620 low doses of EPA+DHA or being conducted against a background of multiple pharmaceutical  
621 interventions may be less likely to observe effects of long-chain omega-3 PUFAs. Another  
622 point to consider is that, unlike for pharmaceuticals, all individuals have some intake of long-  
623 chain omega-3 PUFAs and/or synthesise at least some EPA and DHA endogenously meaning  
624 that the “EPA and DHA native” state cannot occur, although background intake can be very  
625 low. Another point to consider is that the bioavailability of EPA and DHA can vary among  
626 individuals (103), with physiological differences and timing of consumption of supplements in  
627 relation to meal intake being important. Despite the inconsistencies in the literature, there are  
628 recommendations supporting the use of long-chain omega-3 PUFAs to treat  
629 hypertriglyceridemia (41; 79) and patients with CVD (81). Identifying more clearly the dose-  
630 dependent effects of EPA and DHA, separately and together, on cardiovascular risk factors and  
631 on clinical outcomes is important to further develop long-chain omega-3 PUFAs as effective  
632 therapeutic agents for CVD. Furthermore, robust primary prevention trials are still needed. It is  
633 important the long-chain omega-3 PUFAs preparations (e.g. softgel capsules) be protected from  
634 oxidation which may a) reduce the amount of active long-chain omega-3 PUFAs present and  
635 b) produce peroxidation products that are harmful. There has been some concern that long-  
636 chain omega-3 PUFAs might increase bleeding. However recent reports indicate that this is not  
637 an issue at the doses of EPA and DHA that are commonly used in trials or therapeutically.  
638 However, one concern that has recently become apparent from long-chain omega-3 PUFA trials

639 is a small, but significant, increase in risk of AF especially when the fatty acids are used at high  
640 doses. This has been seen in several RCTs and becomes clear through meta-analysis (100; 101).  
641 This effect is obviously concerning, although REDUCE-IT, while reporting increased risk of  
642 AF, also reported reduced risk of a range of hard cardiovascular outcomes. The mechanism by  
643 which high dose long-chain omega-3 PUFAs increase risk of AF needs to be identified so that  
644 more can be understood about this effect, those who are susceptible to it and how to mitigate it.

645

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648

#### 649 **Author contributions**

650 PCC: conceptualization, review and editing; ID: drafting, review and editing. Both authors read  
651 and agreed the final version of the manuscript.

652

#### 653 **Conflict of Interest**

654 ID has no conflict of interest to declare. PCC has received research funding from BASF AS and  
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657

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962 **Figure captions**

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964 Figure 1. Pathway of conversion of  $\alpha$ -linolenic acid to eicosapentaenoic acid and  
965 docosahexaenoic acid.

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967 Figure 2. Pooled results from meta-analysis of RCTs of long-chain omega-3 PUFAs and  
968 cardiovascular outcomes. The figure shows the pooled estimate of relative risk and 95%  
969 confidence interval, as well as the number of studies and combined number of participants.  
970 CHD, coronary heart disease; CVD, cardiovascular disease; MI, myocardial infarction.  
971 Reprinted from: Bernasconi, A.A., Wiest, M.M., Lavie, C.J., Milani, R.V., Laukkanen, J.A.  
972 Effect of omega-3 dosage on cardiovascular outcomes: an updated meta-analysis and meta-  
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976

977 Table 1. Content of EPA and DHA in supplements and pharmaceuticals.

<b>Supplement type</b>	<b>Typical EPA + DHA content per g of oil (mg)</b>	<b>Comments</b>
Cod liver oil	200	Usually more EPA than DHA; mainly in triglyceride form
Standard fish oil	300	Usually more EPA than DHA; mainly in triglyceride form
Fish oil concentrate	450-600	Usually more EPA than DHA; mainly in triglyceride form
Tuna oil	460	More DHA than EPA; mainly in triglyceride form
Krill oil	205	Usually more EPA than DHA; some in phospholipid form
Algal oil	400	Mainly DHA
Flaxseed oil	0	Contains ALA, not EPA and DHA
<b>Pharmaceutical</b>	<b>Typical EPA + DHA content per g of oil (mg)</b>	<b>Comments</b>
Omacor/Lovaza	460 + 380	In ethyl ester form
Epanova	550 + 200	In free fatty acid form
Vascepa/Icosapent ethyl	900 + 0	In ethyl ester form

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981 Table 2. Summary of the main randomized controlled trials of long-chain omega-3 fatty acids reporting on hard cardiovascular outcomes.

Trial (Reference)	Patient group	EPA+DHA dose (mg/day)	Form of EPA and DHA	Control	Average duration of follow-up (yr)	Sample size	Main findings with long-chain omega-3 fatty acids
GISSI-Prevenzione (54, 55)	Recent MI	460 + 380	Ethyl ester	None	3.5	11334 across 4 arms (2 arms with EPA+DHA)	Reduced both primary outcomes (composite of death, non-fatal MI or non-fatal stroke (-15%) and composite of cardiovascular death, non-fatal MI or non-fatal stroke (-20%)) and secondary outcomes (all fatal events (-20%); cardiovascular death (-30%); cardiac death (-35%); coronary death (-35%); sudden death (-45%))
GISSI-HF (26)	Chronic heart failure	460 + 380	Ethyl ester	“Matching placebo”	3.9	6975 across 2 arms	Reduced primary outcome (mortality (-9%))
JELIS (56)	Hypercholesterolemia (primary prevention) or Hypercholesterolemia + pre-existing CHD (secondary prevention)	1800 + 0 (+ statin)	Ethyl ester	Statin alone	4.6	18645 across 2 arms	Primary prevention: No effect Secondary prevention: Fewer non-fatal coronary events (-19%)
OMEGA (57)	Recent MI	460 + 380	Ethyl ester	Olive oil	1.0	3851 across 2 arms	No effect on primary outcome (sudden death) or secondary outcomes (mortality; composite of mortality, MI or stroke; revascularization)
SU.FOL.OM3 (58)	History of CVD (previous MI, unstable angina or ischemic stroke)	400 + 200 ( $\pm$ B vitamins)	Not stated but most likely triglyceride	“Placebo”	4.2	2501 across 4 arms (2 arms with EPA+DHA)	No effect on primary outcome (composite of non-fatal MI, stroke or death from CVD) or secondary outcomes (mortality; non-fatal MI; stroke; all coronary events; revascularization; other cardiovascular events)
Alpha-Omega (59)	Previous MI	226 + 150 (in margarine)	Triglyceride	Standard margarine	3.4	4837 across 4 arms (2 arms with EPA+DHA)	No effect on primary outcome (composite of fatal or non-fatal CVD or need for cardiac intervention) or secondary outcomes (mortality; fatal CVD; fatal CHD; ventricular arrhythmia-related events) but reduced fatal CHD and fewer arrhythmia-related events in patients with diabetes
ORIGIN (60)	Dysglycemia plus recent MI or heart failure	460 + 380 ( $\pm$ long-acting insulin)	Ethyl ester	Long-acting insulin or standard care alone	6.2	12536 across 4 arms (2 arms with EPA+DHA)	No effect on primary outcome (death from cardiovascular causes), secondary outcomes (mortality; death from arrhythmia; composite of death from cardiovascular causes, non-fatal MI or

Risk and Prevention (61)	High CVD risk	460 + 380	Ethyl ester	Olive oil	5.0	12513 across 2 arms	non-fatal stroke) or other outcomes (MI; stroke; revascularization; hospitalization for heart failure) No effect on primary outcome (composite of time to death from cardiovascular causes or hospitalization for cardiovascular causes) or secondary outcomes (composite of death, non-fatal MI or non-fatal stroke; composite of time to death from cardiovascular causes, non-fatal MI or non-fatal stroke; death from CHD; sudden death)
ASCEND (62)	Diabetes	460 + 380	Ethyl ester	Olive oil	7.4	15480 across 2 arms	No effect on primary outcome (serious vascular events: composite of non-fatal MI, non-fatal stroke, transient ischemic attack or vascular death), secondary outcome (serious vascular events or revascularization) or components of primary outcome except fewer vascular deaths (-19%)
VITAL (63)	Healthy (> 50 yr old)	460 + 380 (± vitamin D)	Ethyl ester	“Matching placebo”	5.3	25871 across 4 arms (2 arms with EPA+DHA)	No effect primary outcome (composite of MI, stroke or death from cardiovascular causes), secondary outcome (primary outcome or revascularization) or some individual components of these outcomes (stroke; death from CHD; death from CVD; death from stroke) but reduction in MI (-28%), CHD (-17%) and death from MI (-50%)
REDUCE-IT (64)	CVD risk and raised triglycerides (primary prevention) or Established CVD and diabetes and raised triglycerides (secondary prevention); all on statins	3600 + 0	Ethyl ester	Mineral oil	4.9	8179 across 2 arms	Reduction in primary outcome (composite of cardiovascular death, non-fatal MI, non-fatal stroke, revascularization or unstable angina) (-25%), secondary outcome (composite of cardiovascular death, non-fatal MI or non-fatal stroke) (-20%) and multiple other outcomes (cardiovascular death or non-fatal MI (-25%); MI (-31%); revascularization (-35%); cardiovascular death (-20%); hospitalization for angina (-32%); stroke (-28%); death, non-fatal MI or non-fatal stroke (-23%))
STRENGTH (67)	CVD risk and raised triglycerides; all on statins	2200 + 800	Free fatty acid	Corn oil	3.5	13078 across 2 arms	No effect on primary outcome (composite of cardiovascular death, non-fatal MI, non-fatal stroke, revascularization or unstable angina) or

OMEMI (69)	Recent MI	930 + 660	Triglyceride	Corn oil	2	1027 across 2 arms	any secondary outcome (composites of outcomes; cardiovascular death; mortality) No effect on primary outcome (composite of non-fatal MI, stroke, mortality, revascularization or heart failure) or on any component of primary outcome; no effect on secondary outcome (new onset atrial fibrillation although that tended in be higher with omega-3)
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982 Abbreviations: CHD, coronary heart disease; CVD, cardiovascular disease; MI, myocardial infarction.