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**University of Southampton**

Faculty of Engineering and Physical Sciences

School of Engineering

**An experimental study on the effects of winglets on the performance of horizontal  
axis tidal turbines**

by

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Thesis for the degree of Doctor of Philosophy

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# University of Southampton

## Abstract

Faculty of Engineering and Physical Sciences

School of Engineering

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An Experimental Study on the Effects of Winglets on the Performance of Horizontal Axis

Tidal Turbines

by

Rodolfo Olvera Trejo

Horizontal Axis Tidal Turbines (HATT) currently have a lower power coefficient than commercially available wind turbines. This has meant that in recent years less funding goes towards developing such technology. Furthermore, some planned projects have not gone ahead, and there does not seem to be support from the government to promote its development. In the wind industry, turbines can have 5 times the rated power of a tidal turbine because the rotor size is not a problem. In the tidal industry, size is constrained due to technical and environmental factors. An approach to face this issue is to increase the power coefficient for a fixed rotor size.

An experimental study is presented to investigate the performance of winglets fitted to a 1:20th scaled 1 m in diameter HATT. Winglets have been extensively employed in the aviation industry to reduce the vortices generated at the end of aircraft wings decreasing drag and hence increasing fuel economy of civilian aircraft. For horizontal axis turbines, winglets facing backwards on the suction side of the blades have been the subject of extensive research almost exclusively based on computer-driven numerical simulations as a means to increase the power capture of the rotor.

With the use of oil-based paint flow visualisation, the mechanism behind the phenomenon affecting winglets facing the suction side has been identified as part of this work. Vortices form behind the blade/winglet interface when they are oriented towards the flow direction. These vortices reduce performance due to viscous effects. Power and thrust coefficients were measured from the scale HATT and together with numerical Blade Element Momentum simulations, the bending moments at the root could be calculated. A winglet facing downstream decreases the power coefficient by 12% in average and increases the thrust coefficient by an average 5%. On the other hand, a symmetrically mirrored winglet facing upstream can increase the power coefficient by around 1-2%, at the same time the thrust coefficient increases by 3-4%. Assuming that the increase in thrust is caused by fitting the winglets, their structural cost in the bending moment at the root of the blade is up to 40% more, 4.2-5.6% in this case.

Cost-data from industry has suggested that even marginal increases in power coefficients due to winglets can provide a return on investment given the relative costs of blade manufacture and the expected level of subsidies awarded to new and developing forms of electricity generation. Therefore, further work to optimise pressure-side winglets should be conducted.



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## List of Accompanying Materials

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## Research Thesis: Declaration of Authorship

Print name: Rodolfo Olvera Trejo

Title of thesis: An Experimental Study on the Effects of Winglets on the Performance of Horizontal Axis Tidal Turbines

I declare that this thesis and the work presented in it are my own and has been generated by me as the result of my own original research.

I confirm that:

1. This work was done wholly or mainly while in candidature for a research degree at this University;
2. Where any part of this thesis has previously been submitted for a degree or any other qualification at this University or any other institution, this has been clearly stated;
3. Where I have consulted the published work of others, this is always clearly attributed;
4. Where I have quoted from the work of others, the source is always given. With the exception of such quotations, this thesis is entirely my own work;
5. I have acknowledged all main sources of help;
6. Where the thesis is based on work done by myself jointly with others, I have made clear exactly what was done by others and what I have contributed myself;
7. Parts of this work have been published as:
  - An experimental study on the performance of blade winglets for horizontal axis tidal turbines (Under revision)

Signature: ..... Date: 10-Feb-22



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## Definitions and Abbreviations

|                |   |                      |
|----------------|---|----------------------|
| [°]            | degrees   |                      |
| $\alpha$ , AoA | angle of attack                                       | [°]                  |
| $\beta$        | pitch angle   | [°]                  |
| $\eta$         | efficiency  | [%]                  |
| $\mu$          | dynamic viscosity                                     | [Pa-s]               |
| $\rho$         | density   | [kg/m <sup>3</sup> ] |
| $\tau$         | torque  | [N-m]                |
| $\phi$         | local inflow angle                                    | [°]                  |
| $\omega$       | rotational speed                                      | [rad/s]              |
| [ $\Omega$ ]   | Ohms  |                      |
| $a$            | induction factor                                      |                      |
| $a'$           | axial induction factor                                |                      |
| $A$            | Area  |                      |
| [ <i>bit</i> ] | binary digit  |                      |
| $B$            | number of Blades                                      |                      |
| $C_{P,T}$      | $C_P$ : Power coefficient, $C_T$ : Thrust coefficient | [ ]                  |
| $c$            | airfoil chord   | [ <i>m</i> ]         |
| $F$            | Force   | [N]                  |
| $h$            | height  | [ <i>m</i> ]         |
| [ <i>Hz</i> ]  | Hertz   |                      |
| $K$            | Constant  |                      |
| [ <i>m</i> ]   | metre   |                      |

## Definitions and Abbreviations

|                |                      |       |
|----------------|----------------------|-------|
| M              | Moment               | [Nm]  |
| [N]            | Newtons              |       |
| r, R           | radius, fixed radius | [m]   |
| Re             | Reynolds number      |       |
| [rad]          | radians              |       |
| [s]            | second               |       |
| TSR, $\lambda$ | tip speed ratio      | [ ]   |
| $u, U$         | flow velocity        | [m/s] |
| x, y, z        | axis of reference    |       |

## Sub- and super-scripts

|                    |                                     |       |
|--------------------|-------------------------------------|-------|
| ( ) <sup>+</sup>   | high value                          |       |
| ( ) <sup>-</sup>   | low value                           |       |
| ( ) <sub>gen</sub> | generator                           | [%]   |
| ( ) <sub>0</sub>   | initial condition                   |       |
| ( ) <sub>∞</sub>   | condition one: undisturbed flow     | [S/]  |
| ( ) <sub>w</sub>   | condition two: flow in the far wake | [S/]  |
| ( ) <sub>max</sub> | maximum value                       |       |
| ( ) <sub>r</sub>   | reference value                     | [S/]  |
| ( ) <sub>(y)</sub> | function of                         | [m/s] |
| ( ) <sup>α</sup>   | power index (velocity profile)      | [ ]   |

## Abbreviations

|         |                                 |
|---------|---------------------------------|
| AEP     | Annual Energy Production        |
| Aim-TTi | Aim Thurlby Thandar Instruments |
| ANN     | Artificial Neural Network       |

|          |  |
|----------|--|
| AoA      | angle of attack  |
| BEIS     | Department for Business, Energy, and Industrial Strategy |
| BEMT     | Blade Element Momentum Theory                            |
|          | CAD Computer-Aided Design                                |
| CapEx    | Capital Costs, Capital Expenditure                       |
| CfD      | Contracts for Difference (UK)                            |
| CFD      | Computational Fluids Dynamics                            |
| CSD      | Computational Structure dynamics                         |
| DAQ      | Data AcQuisition   |
| DUT      | Delft University of Technology                           |
| EMEC     | European Marine Energy Centre                            |
| ETS      | European Union Emission Trading System                   |
| EU       | European Union   |
| FEA, FEM | Finite Element Analysis, Finite Element Method           |
| FORCE    | Fundy Ocean Research Center for Energy                   |
| FFT      | Fast Fourier Transform                                   |
| FSI      | Fluid Structure Interaction                              |
| GA       | Genetic Algorithm  |
| GPL      | General Public License                                   |
| GUI      | Graphical User Interface                                 |
| HATT     | Horizontal Axis Tidal Turbine                            |
| HATCT    | Horizontal Axis Tidal Current Turbine                    |
| HATST    | Horizontal Axis Tidal Stream Turbine                     |
| HKT      | Hydro-Kinetic Turbine                                    |
| MCT      | Marine Current Turbine                                   |

## Definitions and Abbreviations

|          |  |
|----------|--|
| TCT      | Tidal Current Turbine  |
| TEC      | Tidal Energy Converter   |
| TSG      | Tidal Stream Generator   |
| TST      | Tidal Stream Turbine   |
| HAWT     | Horizontal Axis Wind Turbine   |
| Interreg | Interregional European projects  |
| LabView  | Laboratory Virtual Instrument Engineering Workbench, visual programming program for National Instruments equipment |
| LCOE     | Levelised Cost of Energy   |
| NASA     | National Aeronautics and Space Administration  |
| NDA      | Non-Disclosure Agreement   |
| NI       | National Instruments   |
| OES      | Ocean Energy Systems   |
| QBlade   | Software to simulate VAWT and HAWT rotors using BEM  |
| RED      | Renewable Energy Directive (EU)  |
| RET      | Renewable Energy Target (Australia)  |
| ROCs     | Renewable Obligation Certificates (UK)   |
| SET Plan | Strategic Energy Technology Plan   |
| TIGER    | Tidal Stream Industry Energiser  |
| UoS      | University of Southampton  |
| VAWT     | Vertical Axis Wind Turbine   |
| VLM      | Vortex Lattice Method  |
| XFOIL    | Program to design and analyse airfoils   |



# Chapter 1 Introduction

## 1.1 Tidal Energy

Marine energy provides two main sources of energy: wave and tidal. Waves range from surface fluctuations to transatlantic waves, but the ones from which energy can be harvested are generated by wind currents, and the latter are a by-product of the sun heating earth's surface. Wave energy converters have shown good results in controlled conditions like in the laboratory, but they have struggled to match the performance in real life conditions due to the unpredictable and severe weather in the sea surface environment. Tidal currents are generated by gravitational forces, density gradients and the Coriolis effect. Tidal energy is mostly associated with extracting energy from the height difference of the rise and fall of the sea surface. Other methods include tidal currents and salinity and temperature gradients (EMEC, 2012). In fact, tides and tidal currents occur simultaneously because the sea level is mainly influenced by gravitational forces, and water motion generates these currents. Many devices have been designed to convert tidal current kinetic energy into electricity: horizontal and vertical axis turbines, oscillating hydrofoil, enclosed turbines, Archimedes screw, tidal kite, and others (Aqua-RET Project ©, 2012). The most common tidal current device is the horizontal axis tidal turbine (HATT). These tidal turbines work similarly to wind turbines, with the difference that they are installed under the sea and they rotate due to the water flow. Most of the knowledge for this technology comes from wind industry, yet the same level of power capture efficiency has not yet been achieved.

Tidal potential has been estimated in several countries including the UK. The Carbon Trust estimated in 2011 that by 2050, in a moderate scenario, that 20-30 *TWh* of electricity per year could come from tidal energy, compared to UK electricity consumption for that year of 360 *TWh* (Low Carbon Innovation Coordination Group, 2012, p. 4). According to British Petroleum's Energy Outlook (2019, p. 53), renewables are set to account for two-thirds of the growth in world power generation, achieving a share of 30% by 2040, and becoming the primary source of energy in the global power sector, with power generation absorbing half of all primary energy. In this central scenario, renewable energy is the fastest growing source of primary energy, with half of global growth in energy supplies, to increase from 5% today (BP, 2020, p. 7), to around 15% by 2040 (BP, 2019, p. 79). Renewables reached 1% of global energy only in 2006, and are expected to make the fastest incursion than any fuel ever (BP, 2019, p. 105). The energy sector, and in particular electricity, played an important role in the Covid-19 crisis, emphasizing the need of more secure and resilient energy systems (IEA, 2020, p. 18). Europe has the highest share of renewable power generation at 20.9%, twice the global average of 10.4% (BP, 2020, p. 60). With an expected

## Chapter 1

increase in the power market to over 50% by 2040 in the region, intermittency is one of the main challenges to address (BP, 2019, p. 105).

In 2009 the European Union (EU) defined a Renewable Energy Directive (RED) in line with the EU Emission Trading System, that is focused on reducing greenhouse gas emissions (UK Government, 2010, p. 5). Taking the UK as an example, it promotes the development of renewable power by a mechanism named Contracts for Difference (CfD). Under this agreement, the government agrees to pay over a 15-year period the difference between the cost of a low carbon technology and the average market price for electricity. There have been 3 allocation rounds since 2015 every 2 years, with the fourth expected to occur in 2021. However, as predicted by Bahaj (2013, p. 13), tidal stream energy has not received the level of support seen before 2017 when a different scheme called Renewable Obligation Certificates (ROCs) was in place.

Australia and Mexico are two other examples where incentives for renewable sources have been adopted (del Razo, 2016, p. 88). These two countries have defined targets for renewable energy production in the mid-term. In Australia, the Renewable Energy Target (RET) required a minimum of 20% of renewable energy production by 2020 (del Razo, 2016, p. 87). The Clean Energy Regulator in Australia announced that the target had been met in September 2019 (Clean Energy Council, 2020). In 2020, 27.7% of electricity generation came from renewable sources (Clean Energy Council, 2021, p. 7). The Mexican government is pursuing a 35% of electricity generated from clean sources by 2024, with interim targets of 25% for 2018, and 30% for 2021. By the end of 2018, the gross power generation from renewable sources was 23.2%, around 8% less than expected (Secretaría de Energía, 2020, p. 22). The UK had a target of 30% of its electricity being produced from renewables by 2020 (UK Government, 2010, p. 4). The figures for 2019 showed that 35% of total electricity generation came from renewables, already meeting the target. There were two other targets in line with the RED, one for renewable heat of 12%, and a second for renewables in transport of 10%, as of 2019 figures were 7.9% for heat and 8.8% in transport, both still behind the targets by 2020 (BEIS, 2020, p. 106). In addition, the total renewable energy target was set at 15% by the end of 2020. The final generation for the RED in 2020 was 13.6% overall, 6.6% for heat (revised), and 10.3% for transport (BEIS, 2021, p. 43).

Tidal energy has the unique characteristic of being completely predictable ahead of time, even over several years in advance (Fraenkel, 2002, p. 8). This occurs because the main tidal currents are driven by the interaction of the earth with the gravitational forces of the sun and the moon (Bryans *et al.*, 2005, p. 2035). For such reason, if there were an array of tidal turbines (namely tidal farm), the renewable energy supply from that turbine arrangement could be predicted in advance of the forecasted electricity demand. The main challenge for most renewable sources like

solar and wind is that the local available power varies over days, hours and even minutes. In addition to this, resource availability does not match the real time demand (Delucchi and Jacobson, 2011, p. 1171).

There is a global effort to decarbonise the electrical grid for many reasons broadly discussed. Modernisation and increasing energy efficiency are two of the key factors to improve security by diminishing the chances of outages, improving flexibility, reducing losses, and allowing the integration of intermittent renewables like wind and solar. This would allow the system to overcome natural occurring disasters, extreme weather conditions and other unexpected events (IEA, 2020, p. 15).

Wind turbine developers are manufacturing larger rotors to achieve a higher energy extraction; tidal turbine developers cannot do the same. Wind turbines with rotor diameters of 150 m or more are built now by the largest companies (Kumar *et al.*, 2016, p. 212), with rated power close to 10 MW. On the other hand, tidal turbines have constrictions that prevent the technology to use the same approach. Recently, real size prototypes have rotor diameters under 20 m (Zhou *et al.*, 2017, p. 857), with rated power of around 2 MW. The main restrictions faced by tidal turbine developers include: turbulence in the seabed caused by the natural bathymetry, circular motion near the surface created by waves, and the vertical velocity profile (Myers and Bahaj, 2008, p. 1, 2010, p. 219). This interaction is illustrated by Allmark, Martinez, *et al.* (2021) in Figure 1.1.

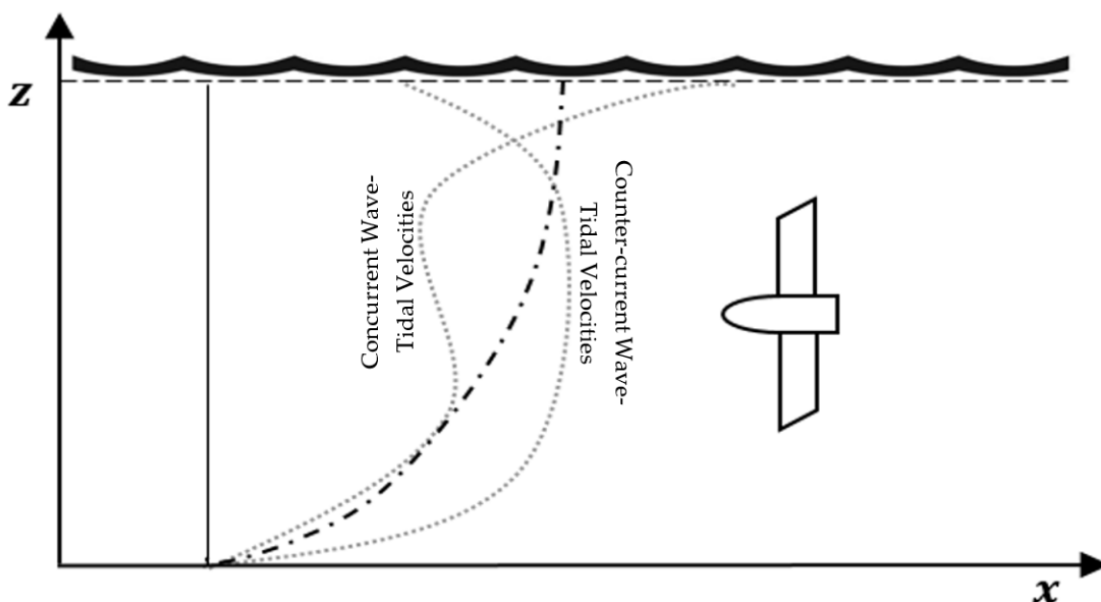


Figure 1.1 Velocity profile under current-wave interaction (Encarnacion, Johnstone and Ordonez-Sanchez, 2019, p. 9).

The velocity profile shifts towards the left when waves are travelling in the same direction as the current because the lower part of the circular motion of the waves moves to the opposite

direction, while at the surface there is a more pronounced profile towards the current direction. Similarly, when waves are travelling opposite to the current, the velocity profile shifts to the direction of the current below the surface, and opposite at the surface.

Tidal turbines encounter three main differences with wind turbines: Reynolds numbers (inherent to the environment), possible cavitation and other stall characteristics (Batten et al., 2006, p.249). There are other limitations to the blade length regarding capital costs and modern material properties, because compared to wind turbines, thrust forces per unit area are around 30 times higher for tidal turbines compared to wind turbines at their typical rated flow velocities. Ebdon *et al.* calculated the thrust to be only 6 times higher per unit area, but their calculations were based using wind speeds of 40 *m/s* (144 km/hr) (2021, p. 15). The thrust force due to the incoming flow to the turbine is given by  $\frac{1}{2}\rho AU^2$ , where  $\rho$  is the density of the fluid and  $U$  its speed. The rated wind speed for a typical wind turbine is around 15 *m/s* (Office of Energy Efficiency & Renewable Energy, 2017), and the density of air is 1.225 *kg/m<sup>3</sup>*, resulting in 173.18 kN for a 20-m wind turbine. Similarly, a typical value for the rated speed of a conventional tidal turbine is 3 *m/s* (Encarnacion, Johnstone and Ordonez-Sanchez, 2019, pp. 1–2), and the density of sea water is 1025 *kg/m<sup>3</sup>*, producing 5.79 MN for a tidal turbine of same size. Figure 2.10 compares two turbines with these characteristics. Additionally, there is a perceived limit of 25-26 m in diameter for horizontal axis tidal turbines. Such a limit is determined by mechanical properties of currently available materials (Johnstone, 2021).

## 1.2 Project Overview

Tidal turbines are currently tested full scale and are at a stage just before deployment of commercially viable arrays. One of the main factors holding the technology back is the high capital cost. There are financial incentives for this type of technology albeit less than some years ago. Wind power and solar photovoltaic panels are benefiting from most of these incentives. Tidal turbines still have room for improvement regarding power capture efficiency. This means that increasing such efficiency, will translate into a reduction in the Levelised Cost of Energy (LCOE). Most of the available knowledge from the wind energy sector has been applied to designing and developing modern tidal turbines, but there is still a gap between efficiencies. Horizontal axis turbines have root and tip losses that could be reduced by slightly modifying blade designs and measures such as these are seen as lower risk than, for example, manufacturing slender more efficient blades when operating in such a harsh and high force environment. The research herein is centred about experimental tests using a 1:20th scale horizontal axis tidal turbine and improving efficiency of the primary power capture system which in this case is the rotor/blades.

SIMEC Atlantis is a company with some history in the tidal industry. They provided the blade geometry of their AR2000 tidal turbine for this research. In the year 2000, Marine Current Turbines Ltd. was founded, and in 2003 installed the first offshore tidal turbine 'Seaflow' with a rated power of 300 kW. In 2008, they installed the first commercial-scale turbine 'SeaGen S' in Northern Ireland, it was rated at 1.2 MW and connected to the electrical grid. In 2010 Siemens became a shareholder of the company and in 2012 acquired the majority of the shares in the company. Atlantis Resources Ltd. acquired the company in 2015, after securing funding the previous year for the first multi-MW turbine project MeyGen. In 2017, GFG Alliance acquired almost half of the company to become SIMEC Atlantis Energy.

With the current gap in power capture efficiencies between tidal turbines and wind turbines, every 0.01 increase in power coefficient justifies up to a £50,000 capital cost for a 2 MW device (Bray, 2017) based on present device costs and revenue for the sale of electricity. The aim of this project is to study available techniques to increase energy capture efficiency through modification of an existing blade set. These methods are detailed further in the following chapter and broadly function by reducing losses due to flow separation and turbulence. Research conducted herein will investigate previous work to increase the capture efficiency of horizontal axis turbine blades and will aim to select one of those methods for further investigation.



## Chapter 2 Tidal Energy Review

Tidal current generation in the UK has a technically extractable resource of 18 *TWh/y*, or around 4.8% of its annual electricity demand (Metoc Ltd. for the Sustainable Development Commission, 2007, pp. 9–12). Figure 2.1 shows a map of the resource, 60% of which is located in the Pentland Firth (where the EMEC is located), other sites include: Casquets (Channel Islands), Rathlin Island and other sites in Northern Ireland, Mull of Galloway, Islay, Carmel Head (Anglesey), Bill of Portland, The Bristol Channel, Pembrokeshire (St David's Head, Ramsey Island), and Lley peninsula (2007, p. 25).

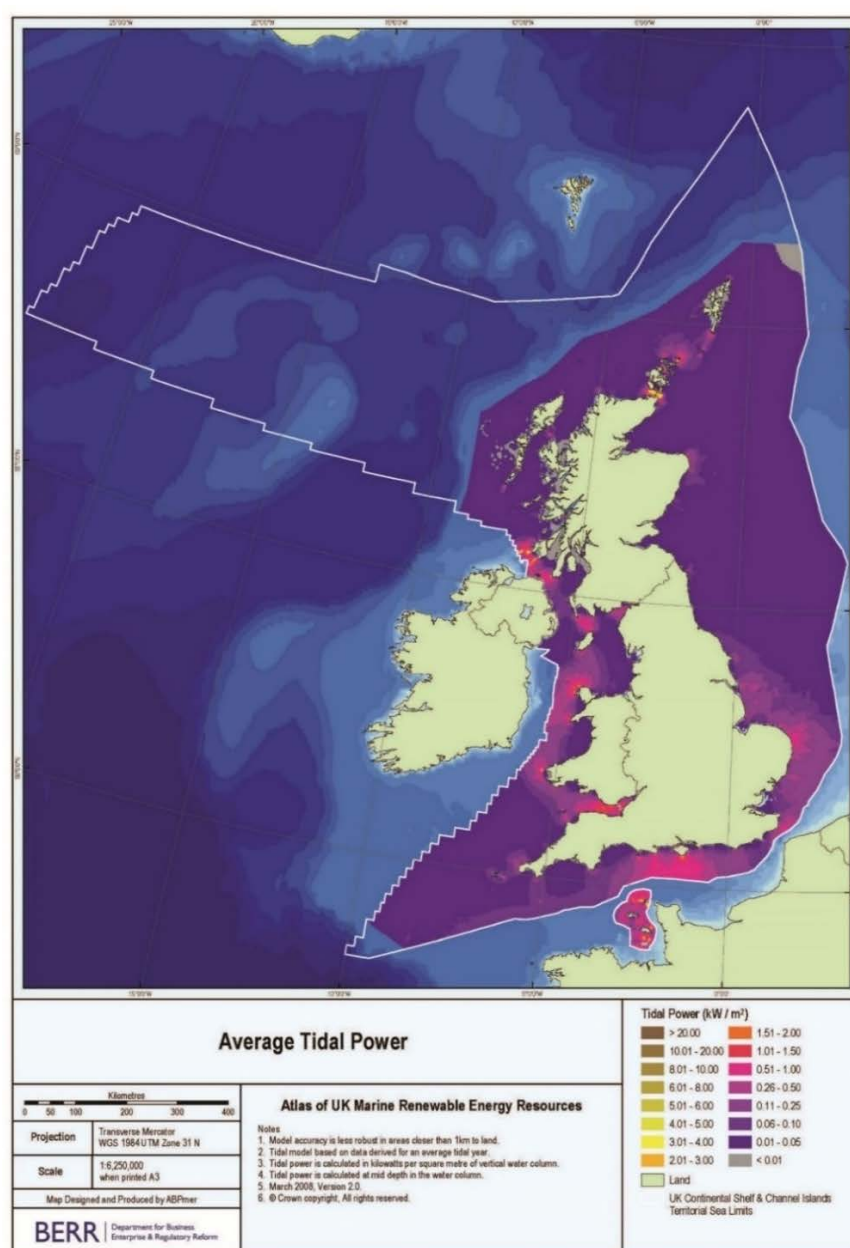


Figure 2.1 Average Tidal Power in the UK (Reproduced from <http://www.renewables-atlas.info/>  
© Crown Copyright).

## Chapter 2

Worldwide there is a potential annual energy available of tidal current estimated at 800 TWh/y, which represents around 3.5% of global electricity demand (Breeze, 2014, p. 288). Figure 2.2 shows tidal current projects around the world. Sites marked on grey are early concepts, yellow means they are not operational, and green fully operational.

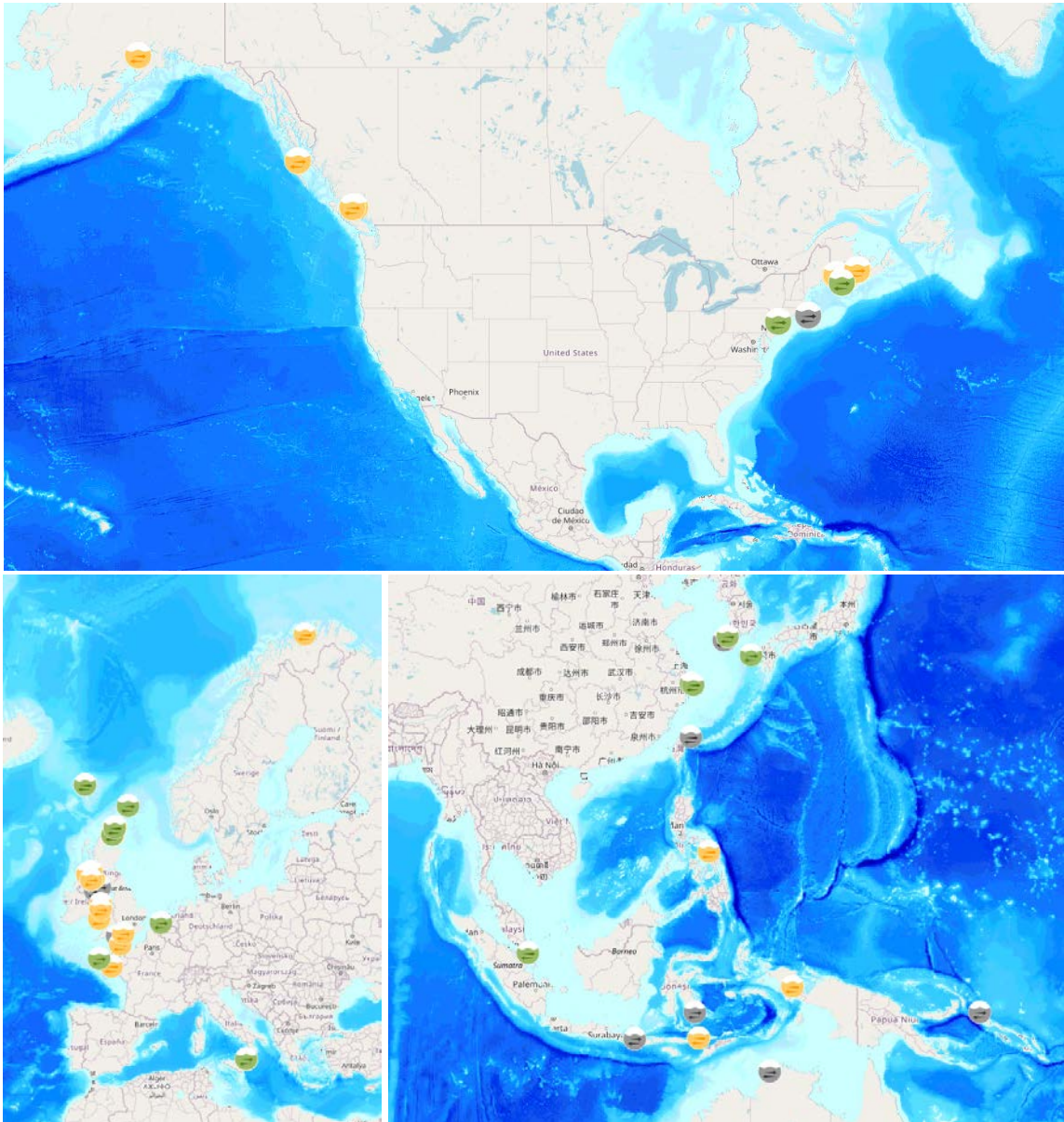


Figure 2.2 Tidal current projects around the world (Ocean Energy Systems, 2021).

In North America, the USA has a demonstration project on the East Channel of the East River (0.105 MW). Most projects in Canada are on the coast of Nova Scotia (0.5 MW installed). In Europe most projects are located at the European Marine Energy Centre in the Pentland Firth, UK (6 MW), and some further North (0.4 MW). In mainland Europe there is a single project at the Eastern Scheldt in the Netherlands (1.25 MW). One off the island of Ouessant, Brittany in France (0.5 MW) and a small turbine at the Strait of Messina in Italy (55 kW). In Asia, China has a couple of projects on their east coast (1.5 MW), Singapore has one in the Singapore Strait (62 kW), South



Korea has one in the Yellow Sea (0.15 MW), and Japan one in the straits of Naru Island, Goto island chain (0.5 MW).

Tidal currents are predictable, they can be decomposed into harmonic functions, but they vary from site to site. Tides are generated from the gravitational pull of the celestial bodies governed by Newton's law of universal gravitation  $F = \frac{GMm}{D^2}$ , where G is the gravitational constant ( $6.67 \times 10^{-11} \text{ Nm}^2/\text{kg}^2$ ), M and m are the masses of the bodies, and D is the distance between the centres of the masses. Evidently, tides are mainly affected by the influence of the sun and the moon. The sun is much larger than the earth, but considerably further than the moon, so the sun's effect is only 46% of the one from the moon (Baker, 1991, p. 3).

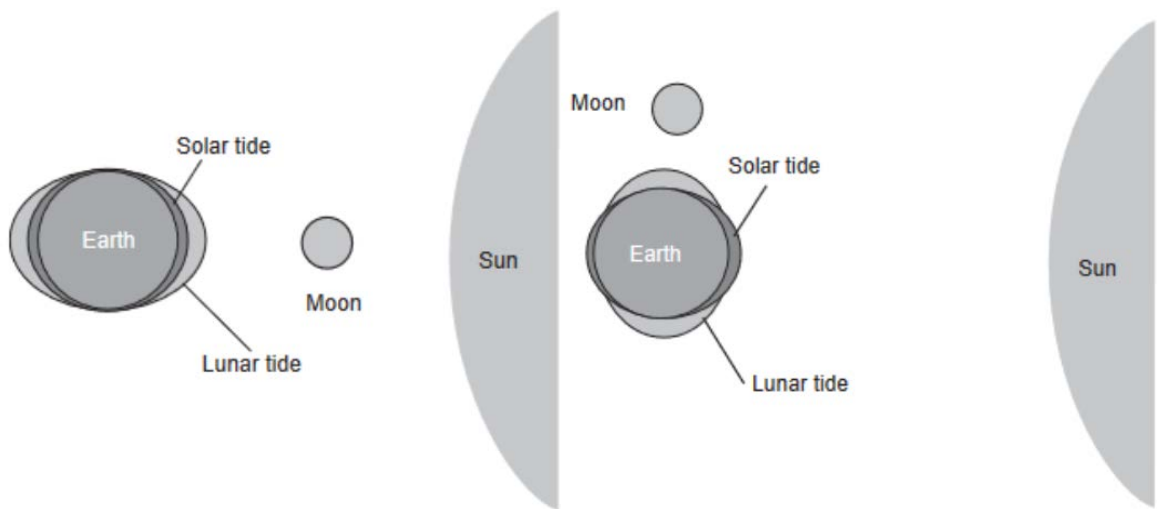


Figure 2.3 Position of the moon along the earth-sun axis during spring tides (Left) and neap tides (Right) (Bryden, 2004, p. 141).

The rise and fall of the sea level produce the sea current, which can be decomposed into harmonic equations of the form:

$$U(t) = U_0 + \sum \left[ U_A \cos \left( \frac{2\pi t}{T_A} + \rho_A \right) \right] \quad \text{Equation 2.1}$$

Where  $U(t)$  is the current speed at any time  $t$ ,  $U_0$  is the mean current speed (usually 0),  $U_A$  is the mean current of any constituent,  $T_A$  is tidal period of the constituent, and  $\rho_A$  is the phase difference of the constituent when  $t = 0$  (Hardisty, 2009, p. 24).

The main constituents of the currents are the lunar diurnal constituent ( $O_1$ ), the principal lunar semi-diurnal constituent ( $M_2$ ), the lunar quarter diurnal ( $M_4$ ), and the principal solar semi-diurnal constituent ( $S_2$ ), where U corresponds to the current, so  $O_{U1}$  is from  $O_1$  (Hardisty, 2009, p. 48).

$$U(t) = U_0 + U_{O_{U1}} \cos \left[ \frac{2\pi t}{T_{O_{U1}}} + \rho_{O_{U1}} \right] + U_{M_{U2}} \cos \left[ \frac{2\pi t}{T_{M_{U2}}} + \rho_{M_{U2}} \right]$$

$$+U_{MU_4} \cos \left[ \frac{2\pi t}{T_{MU_4}} + \rho_{MU_4} \right] + U_{SU_2} \cos \left[ \frac{2\pi t}{T_{SU_2}} + \rho_{SU_2} \right] + \dots$$

The faster speeds occur during spring tides, and the lower peak speeds happen during neap tides as illustrated in Figure 2.4. On the left a yearly variation can be appreciated, and on the right a monthly range is shown.

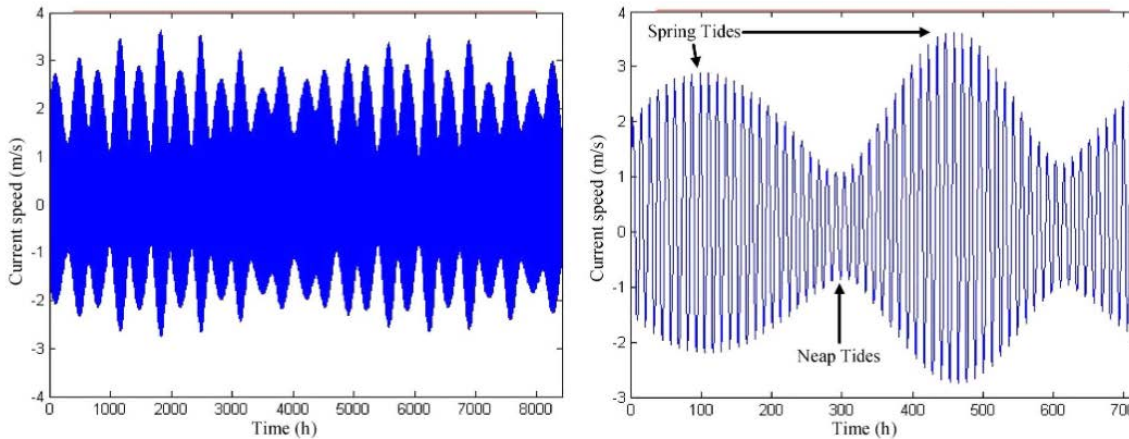


Figure 2.4 Example of tidal current speed variation over a year (Left) and over a month (Right)

(Ben Elghali *et al.*, 2007, p. 788) ©[2007].

## 2.1 State of the industry

According to the Ocean Energy Systems (OES) annual report (2019, pp. 10–17), the following projects have an overall capacity of more than 1 MW. In Canada, in the province of Nova Scotia Big Moon Power was allocated a 5-MW project in 2018, 2 MW for Jupiter Hydro in 2019, and 1.5 MW for Nova Innovation in 2019. Sustainable Marine Energy Ltd. Will deliver a 9-MW project in a joint venture with Minas Tidal Ltd. DP energy is progressing with a 9-MW project at the Fundy Ocean Research Center for Energy (FORCE) with 6 Andritz Hammerfest Hydro AH1000 MK1 turbines. Tocardo has been operating a 1.25 MW tidal power plant in the Eastern Scheldt, in the Netherlands. Magallanes Renovables, a Spanish-based company, has a 1.7 MW power platform deployed since February 2019 in Orkney. In the UK, SIMEC Atlantis Energy has generated more than 30 GWh with their 6-MW project called MeyGen, consisting of 4 turbines (SIMEC Atlantis Energy, 2020c). Nova Innovation is also a UK based company with 3 x 100 kW turbines in the Shetland Islands. It was recently granted licence to install a 1.5-MW tidal array in the Bay of Fundy, Nova Scotia, starting in 2020. Orbital Marine Power keeps the site where the SR2000 was at EMEC, a 2-MW floating device deployed when the company was called Scotrenewables Tidal Power, that achieved over 3 GWh of generation in a period of comprising from 2016 to 2018. The

second-generation device, under the new company rebranding, will still be a floating 2-MW device called the O2.

During the tidal energy industry development, there have been various concepts proposed for horizontal axis tidal turbines (HATTs), but the ones widely manufactured are the regular 3 bladed horizontal axis devices. Lunar Energy Tidal was working with a ducted prototype [Figure 2.5 (Left)]. In March 2008, the company had signed a Memorandum of Understanding with Korea Midland Power, to develop a 300-MW tidal array in South Korea by 2015 (Bahaj, 2011, p. 3414). Unfortunately, they were not able to secure the remaining funding for the project, and it had to be terminated (Bahaj, 2013, p. 5). Another company with a unique prototype that had a similar outcome was OpenHydro. At some point this company was acquired by DCNS as a subsidiary, but that was finally liquidated in July 2018. It started operations in 2005, and as the name of the company name suggests, they had developed a turbine with an open centre. One of their biggest and latest turbines was an 8<sup>th</sup> generation, 2-MW, 16-m open-centre tidal turbine [Figure 2.5 (Right)]. This device was generating power in Cape Sharp, Nova Scotia (Naval Group, 2016).



Figure 2.5 Lunar Energy turbine (Lunar Energy, 2020) (Left). OpenHydro turbine (Right) (EMEC, 2022) (Photo by Mike Brookes-Roper).

There are no commercial tidal farms up to this date. The main reason is the huge initial capital cost required to build a tidal farm. The technology is still at its early stages of maturity. Wind turbines and solar PV panels were at this stage too, but the difference is that they had more support for being one of the first big scale renewables with a large potential worldwide. It is true that the tidal sector gets most of the know-how from wind industry, but it still has not achieved the same level of competitiveness needed in the market. One way of closing that gap is by improving the efficiency of the turbines, to bring them closer to the levels of wind turbines. Having done that, tidal developers will also probably recur to the expertise that has been acquired in the last years in offshore wind for the deployment of their own turbines.

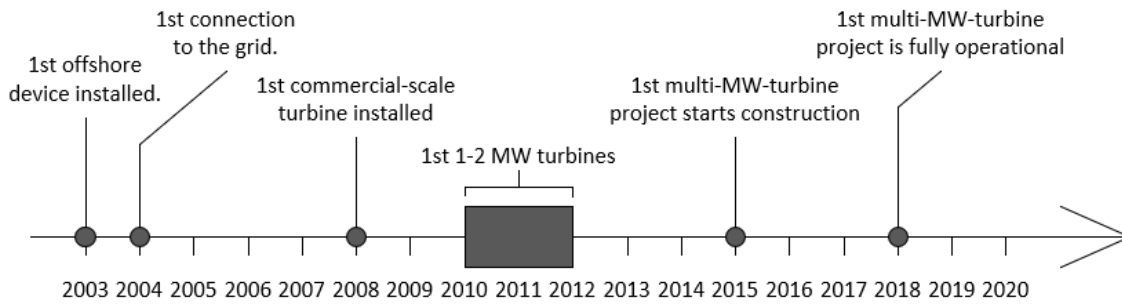


Figure 2.6 Milestones of tidal energy in the last decades.

As it can be seen in Figure 2.6, in the last couple of decades, Marine Current Turbine (MCT) developers have accomplished a series of milestones that have brought them to a stage where they are very close to developing commercial tidal projects. In 2003, Marine Current Turbines Ltd. installed the world’s first offshore tidal turbine ‘Seaflow’ with a rated power of 300 kW. In 2008, the world’s first commercial scale turbine was installed, the ‘SeaGen S’ (Figure 2.7), a two-bladed twin turbine with a rated power of 1.2 MW and a total cost of around £10m (MacGillivray *et al.*, 2014, p. 119). Siemens became a shareholder of the company in 2010 and acquired the remaining shares in 2012. In 2015, the company was purchased by Atlantis Resources Ltd. (2015). In 2017 after an acquisition, it became SIMEC Atlantis Energy (SIMEC Atlantis Energy, 2020a).



Figure 2.7 Seagen S turbine (SIMEC Atlantis Energy, 2016).

In 2004 ANDRITZ HYDRO Hammerfest connected the world’s first tidal turbine prototype to the grid in Norway. The ‘HS300’ had a rated power of 300 kW and accumulated more than 16,000 hr of operation. The next model, the ‘HS1000’, was the first 1 MW pre-commercial device installed at the EMEC in 2011(ANDRITZ HYDRO Hammerfest, 2012, p. 7).

Tidal Generation Ltd. deployed in 2010 a 500-kW device, called 'Deepgen', at the EMEC. From 2011 to 2012, the company became a subsidiary of Rolls-Royce, it was acquired subsequently by Alstom and in 2013 a 1-MW turbine was installed at the same centre. Finally, in 2016 GE completed the acquisition of Alstom, ended the tests at the centre and started developing a 1.4-MW turbine, denominated 'Oceade' (EMEC, 2016a).



Figure 2.8 AR1500 turbine (Lockheed Martin, 2017)

In 2014, MeyGen Ltd., 86% owned by SIMEC Atlantis, secured funding for the first multi-MW turbine project, that started construction in 2015. Phase 1A was fully operational in 2018. It consists of one 1.5-MW Atlantis 'AR1500' (Figure 2.8) and three 1.5-MW ANDRITZ HYDRO Hammerfest turbines. The project consists of 3 Phases, with a permit of up to 398 MW of installed capacity, with a present grid capacity of 252 MW (SIMEC Atlantis Energy, 2020b).

Other small companies are Voith Hydro and Tocado. The German company Voith Hydro developed a 1-MW turbine 'HyTide 1000' with a rotor diameter of 13 m and it was installed at the EMEC in 2013. Tocado is a Dutch company with integrated floating platforms. For example, their Universal Foundation System can consist of five 300 kW 'T2' turbines to add up a capacity of 1.5 MW in a single structure. In October 2019 Tocado was declared bankrupt (EMEC, 2016b). Subsequently in January 2020 it was announced that QED Naval and HydroWing had acquired Tocado Tidal Power (van Unen, 2020).

Some small devices are worth of mention. The company Sabella developed the 'D10', a 10-m rotor with a power capacity of 0.5-1.1 MW (Zhou *et al.*, 2017, p. 855). Nautricity Ltd. has a 500-kW contra-rotating turbine 'CoRMaT' that was installed at the EMEC in April 2017 (EMEC, 2017).

As of summer 2020, there are two companies still testing their devices at the EMEC, they are Magallanes Renovables SL, and Orbital Marine Power Ltd which is the rebranded company previously known as Scotrenewables Tidal Power Limited (EMEC, 2020). What both have in common are their floating structures for the turbines. This setup promises to reduce installation costs (Magallanes Renovables, 2020; Orbital Marine Power, 2020).

### **2.2 Issues Faced by Industry**

In 2016, the European Commission published a review of the state of the industry of wave and tidal current energy producers. The report was produced by the Institute for Energy and Transport, part of the Joint Research Centre. The purpose of this centre is to provide independent evidence to support EU policies. The authors Uihlein and Magagna cover the following aspects: resource assessment and forecasting; environmental impacts; socio-economic impacts; grid integration; installation, operation and maintenance; and regulatory affairs, together with existing gaps in knowledge and some recommendations on where future research should focus (2016).

Resource assessments of tidal currents have been made for several years now; forecasts are also readily available. The main environmental impacts include changes in water flows, composition of substrate, and sediment dynamics which also affect sea life directly. Life Cycle Assessments have also been carried out for devices that were ready for deployment. Such is the case for the 'Seagen', made by Douglas, Harrison and Chick (2008). In the case of tidal arrays, it gets more complicated, because until now there is no tidal farm of sufficient scale to evaluate. The approach is to make an estimate, which varies with the size and factors such as turbine efficiency, transmission and power losses (Domenech, Eveleigh and Tanju, 2018, p. 725). Other costs must be considered as well, such as operational costs, grid connection and installation costs. Social impacts include job creation, CO<sub>2</sub> reductions, impacts on other marine users, energy security, health and quality of life. There is only one cost-benefit analysis, available online, of a hypothetical tidal array by Houde (2012). In the analysis a 300 MW array was assumed, at present value it was estimated to yield negative numbers due to the high initial costs. Grid connection involves difficulties with high costs too, as subsea electrical components can be very expensive. Another issue regarding grid connection is the resource availability because the grid would have to be extended to allow integration from the coasts. It is estimated that the installation, operation, and maintenance can be around 3.4–5.8% of capital expenditure, compared to 2.3–3.7% for offshore wind (Lacal Arantegui *et al.*, 2014). Lastly, the current legal framework needs to be revised as not much attention is given to ocean energy and the legal barriers it may encounter.

Vazquez and Iglesias investigated the effects of the input values on a Levelised Cost of Energy analysis for hypothetical tidal stream projects. The assessment proposed six tidal farms with different number of turbines, rows and spacing. The device interaction was modelled numerically, and the impact of the economic and financial variables was also considered. In addition to that, sensitivity and multivariable analyses were performed. The result showed that increasing the power coefficient  $C_p$  from 0.35 to 0.50 reduced the LCOE by 20% (2016, p. 95).

$$C_p = \frac{\tau\Omega}{\frac{1}{2}\rho AU_{max}^3} \quad \text{Equation 2.2}$$

The power generated by a turbine can be calculated multiplying the torque ( $\tau$ ) times the rotational speed ( $\Omega$ ). The denominator is the available power in the fluid, where  $\rho$  is the density,  $A$  the area of the rotor, and  $U_{max}$  the maximum flow velocity. The power coefficient is the proportion of energy that can be extracted from the total available to the swept area of the rotor.

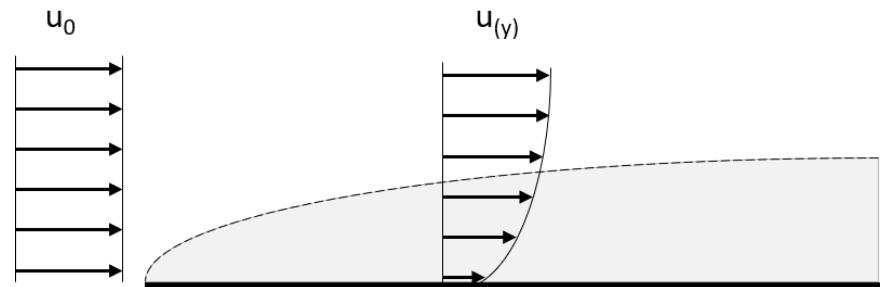


Figure 2.9 Velocity profile developed over a surface.

Tidal turbines are constrained in size by their proximity to the seabed and the water surface. Onshore wind turbines have tall towers to see a relatively uniform wind velocity profile across the turbine. The velocity profile shown in Figure 2.9 is well approximated by a 1/7th power law ( $\alpha$ ),  $u_y = u_r (h/h_r)^\alpha$  (Myers and Bahaj, 2010, p. 220), where  $h$  is the height and  $r$  the reference measurement. In the case of tidal turbines, the velocity seen at the top of the turbine can be 50% higher than the one at the bottom in an example from Mason-Jones *et al.* (2013, p. 24). Ideally, the turbine should be positioned close to the water surface, but that is not always possible due to maritime traffic. In the same example, a 10-m diameter turbine is proposed to be positioned 10 m from the seabed, and it was modelled using CFD. The cyclic torque, power and thrust resulted in an asymmetric loading due to the high velocity shearing flow, that have to be considered in the design process (Mason-Jones *et al.*, 2013, p. 29).

In the third auction of CfD in the UK, there was a record-breaking price of around £40/MWh for offshore wind farms that could start operating in 2023. Those prices could be cheaper than existing combined-cycle gas plants. The first auction in 2014 awarded projects with prices of £150/MWh (Simon, 2019). The strike price is a fixed price payable for the electricity generation

that new technology developers can receive usually for first of a kind or new technology. CfDs pay the difference between the reference price (market price) and the strike price. Strike prices for tidal stream have been from £305/*MWh* (2014-2019) to £217/*MWh* (2024/25) for the first three rounds, with no projects awarded so far. The reference price of electricity for the financial year 2020/21 was £55.20/*MWh*.

In comparison, the European Strategic Energy Technology Plan (SET Plan) projects that tidal energy could achieve a LCOE of £135/*MWh* by 2025 and £90/*MWh* by 2030 (OES, 2019, p. 32)<sup>1</sup>. At the same time, for tidal stream, the BEIS estimates a LCOE for 2025 of £169 to £338 per *MWh*. Being optimistic, if offshore wind projects that started in 2016 were awarded a strike price of £150/*MWh*, and an optimistic scenario places the LCOE of tidal stream energy at £169/*MWh*, that implies that tidal energy is still behind offshore wind by around 10 years. A recent 2 *MW* floating tidal stream device was estimated at a LCOE of under £200/*MWh*, comparing to the same offshore wind projects mentioned above, where 3 *GW* of installed capacity benefit from prices at 2019 of £165 to £180 per *MWh*, could mean that the gap could come narrower than 10 years between both technologies (Orbital Marine Power, 2021). Considering all the investment being put in wind energy, and the lack of same attention to tidal, the gap could increase. It is understandable that the government allocates most of the funding on more mature (thus cheaper) technologies, but it slows down the development of new ones. The tidal energy industry acquired a significant proportion of its knowledge from the wind industry, but that does not mean that at some point the wind sector could not benefit from new developments on tidal generators.

Onshore wind power does not require support from government anymore as it is a mature and competitive technology. Offshore wind energy seems to have taken the same route too. In Germany and The Netherlands, there are already subsidy-free offshore wind turbine projects. It seems that in 2019 The UK may have awarded the world's first offshore windfarm that would have to pay money back to the government during the lifetime of the project if the wholesale prices keep going up at a growth of 0.3% per year (even below historical rates) (Jansen *et al.*, no date, p. 618). As it has been previously mentioned, tidal turbine developers cannot just increase the rotor diameter of the devices to get higher power performance, because a higher tip speed ratio increases the possibility of cavitation. Cavitation is a phenomenon that occurs when water pressure drops below vapour pressure forming bubbles that later implode, this could erode the blades and reduces the turbine performance. Bahaj *et al.* found that the decrease in power capture could be up to 5% (2007, p. 419). In shallow water, seabed and the water surface represent additional constraints to rotor sizes. There is another reason not to increase

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<sup>1</sup> Exchange rate of £0.9/€ (Aug 2020)



indiscriminately the rotor size, and that is thrust force. The maximum axial thrust force ( $T_{max}[N]$ ) acts in the direction of the flow, given by:

$$T_{max} = \frac{1}{2} C_T \rho A V_{max}^2 \quad \text{Equation 2.3}$$

Where  $C_T$  is the thrust coefficient, typically around 0.9 (Bahaj and Myers, 2003, p. 2209),  $\rho$  is water density,  $A$  is the turbine cross sectional area, and  $V_{max}$  is the undisturbed flow speed. The higher the thrust, the more expensive the structure must be to withstand it. A device with a high power coefficient, and a relatively low thrust coefficient would be ideal in terms of cost-effectiveness. Water density is 800 times higher than air, outweighing the reduction of tidal turbines swept area and flow velocity, compared to wind turbines conditions, as seen next.

The Office of Energy Efficiency and Renewable Energy of the United States of America Department of Energy, shows a power curve for a typical wind turbine where it can be appreciated that the rated speed is 15 m/s (2017). Two of the most powerful tidal turbines to date, the SR2000 (Scotrenewables), and the AR2000 (SIMEC Atlantis), have a rated current speed of 3.0 m/s (Encarnacion, Johnstone and Ordonez-Sanchez, 2019, pp. 1–2). Taking the densities for air and sea water of 1.225 kg/m<sup>3</sup> and 1025 kg/m<sup>3</sup>, respectively, Figure 2.10 shows that a tidal turbine of 25 m in diameter receives the same amount of axial thrust as a 145-m wind turbine. A factor of around 5.8 could be used for other values using this comparison. The rated power for the turbines is in the range of 10 MW for wind and 2 MW for tidal.

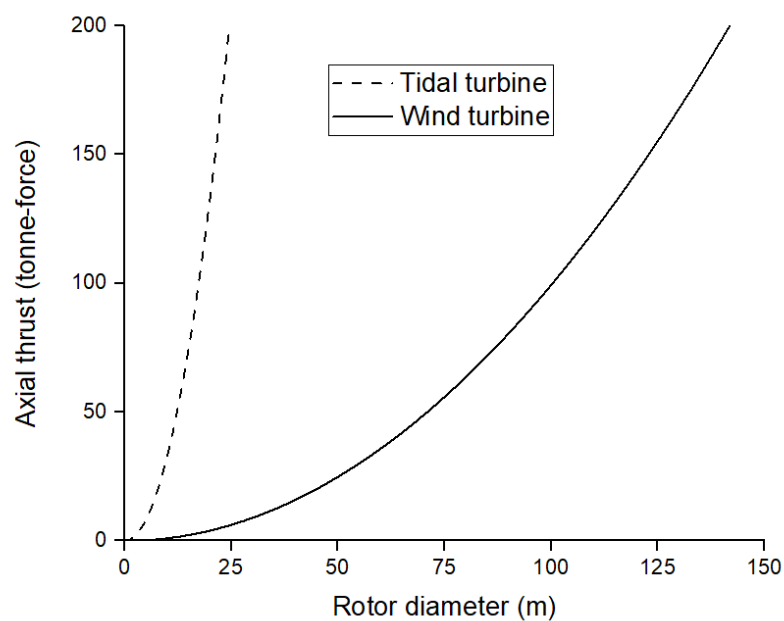


Figure 2.10 Thrust comparison for tidal and wind turbines.

In 2016, the UK Government removed funding support for marine energy for CfDs. The second auction in 2017 was dominated by offshore wind and gas projects, with a small amount support to energy from waste. In the same year, the construction of a pilot tidal array in Normandy was authorized, and even though almost half of the CapEx was funded, the project did not go through. The TIGER project, funded by Interreg, has the aim to reduce tidal industry costs from £270/*MWh* to £135/*MWh* by 2025 (OES, 2019, p. 32)<sup>2</sup>. The UK Offshore Renewable Energy Catapult reported in 2018 that tidal has the potential to reach £90/*MWh* by 1 *GW* installed and £80/*MWh* by 2 *GW*. As it will be seen in the next section, tidal turbines have a power coefficient close to wind turbines and have similar levels of power coefficient for equally rated turbines (Bahaj and Myers, 2003, p. 2207).

### 2.3 Energy costs

In the previous section we compared technologies based on their LCOE. As its name suggests, it is a measure that weights different variables for the duration of the project, resulting in a simple cost per unit of electricity that allows comparisons between different technologies. The main input variables for the calculation are the capital costs, operation and maintenance, discount rate, expected lifetime and depending on the technology some others like fuel for fossil-fuel-dependent technologies, or efficiency for renewable energy. Vazquez and Iglesias realised a single and multiple variable analysis, both key studies in Multi-criteria Decision Making, for tidal stream energy projects. They found that the three factors, in order of importance, that affected the LCOE were the power coefficient, the discount rate and the capital costs (Vazquez and Iglesias, 2016, p. 99).

In the introduction it was briefly discussed that offshore energy-harvesting farms should be as close to the shore as possible to reduce costs, in addition, the water depth can increase the costs significantly as well. The 2015 Cost of Wind Energy Review calculated a LCOE for onshore wind energy at £46/*MWh*, and £138/*MWh* for bottom-fixed offshore wind farms, 3 times more expensive (Moné *et al.*, 2015, p. 74)<sup>3</sup>. The same report in 2018 places the LCOE for onshore wind at £32/*MWh*, 30% cheaper in only 3 years. Bottom fixed turbines were £68/*MWh*, coming down to cost just above 2 times the price on-land. Wind farms with floating structures still being the most expensive at £100/*MWh* with a sensitivity range for offshore wind turbines of £63-£124/*MWh*. The variation is mostly influenced by the fluctuation in CapEx (£1882-£4954/*kW*) that depends mostly on water depth and proximity to shore (Stehly and Beiter, 2018, p. 37)<sup>3</sup>.

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<sup>2</sup> Exchange rate of £0.9/€ (Aug 2020).

<sup>3</sup> At a exchange rate of £0.76/\$ (Aug 2020)

Modern wind turbines achieve a power coefficient of around 0.50 (Porté-Agel, Bastankhah and Shamsoddin, 2020, p. 2), while tidal turbines range from 0.39 to 0.45 (Rosli and Dimla, 2018, p. 36). Both are governed by the Betz limit of 0.59 for the maximum power that can be extracted by these types of devices in an open flow. For this first parameter, there is more room for improvement for tidal turbines, so it makes sense that it is the most important factor affecting the calculation of the LCOE. The discount rate is the second value considered, and because it is calculated for projects ranging 20 or 30 years, it works in a similar way as the compound interest, but in this case is the amount of value that the initial investment will lose over time. Project developers tend to use high discount rates, of around 10% (Vazquez and Iglesias, 2016, p. 91), because they generally expect to get profit at the middle of the project, between 10 and 15 years from start. According to WindEurope, the average lifetime of a wind turbine ranges between 20 and 25 years, with some reaching up to 35 years by repowering them (WindEurope, 2020, p. 9). Tidal turbines lifespan is in the same range, as it is the case for the one sold by Andritz Hydro, with a lifetime of 25 years and 5 periods of servicing (2012, p. 9). Rotor blades are the most expensive parts of a tidal turbine as can be seen in the costs breakdown (Coles *et al.*, 2021, pp. 2139–3) in Figure 2.11. The rotor blades represent the 43% of the cost of a single turbine, in this example, £5M each.

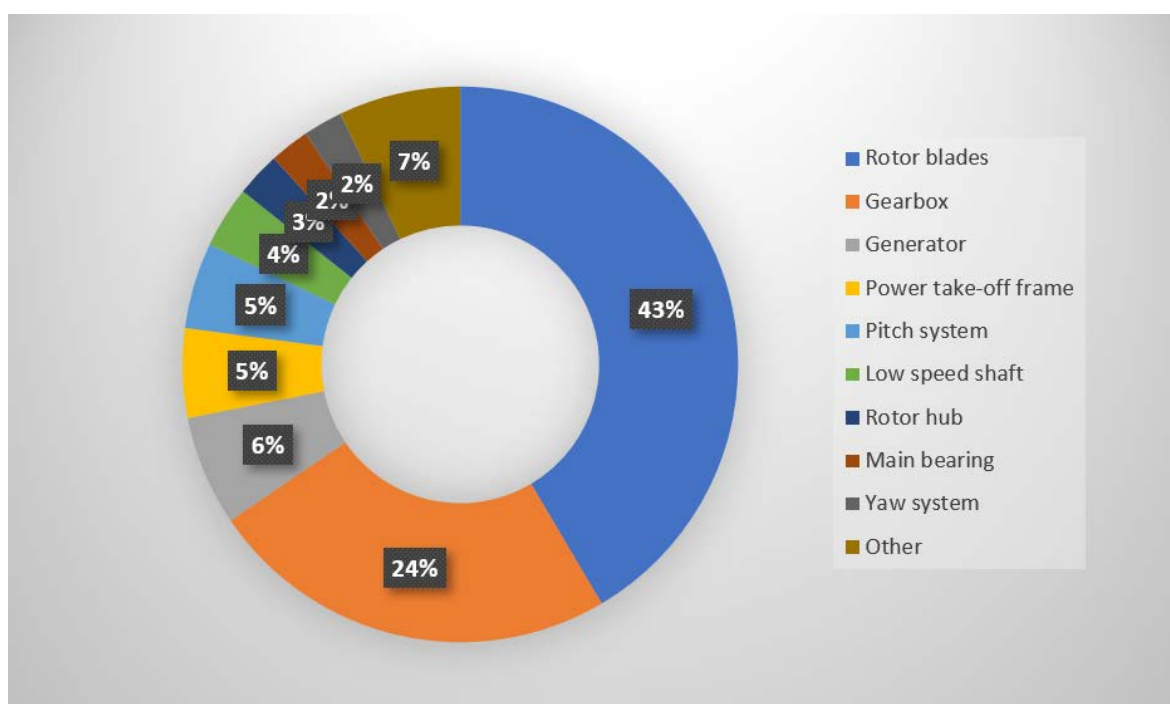


Figure 2.11 Capital costs breakdown for a single tidal turbine.

For the case of a tidal array, the costs can be observed by category (Black & Veatch for BEIS, 2020, p. 23) in Figure 2.12. Turbines represent 39% of the CapEx. Modern manufacturing processes use composite materials, so the blades are built with a spar (also called ‘skeleton’) (Lin, Lee and Lwin,

2011, p. 237). Redesigning the blades would incur in a minimum extra manufacturing cost (included in other costs), so it seems like a feasible course of action to improve the power output of a tidal turbine. Increased power coefficients in tidal turbines can come from better control systems, forecasting, maintenance strategies and improved blade hydrodynamics. Offshore wind turbines are expected to be rated at 12-15 MW for projects from 2023, tidal current turbines are limited in size because of the environmental factors previously discussed (CATAPULT Offshore Renewable Energy, 2018, p. 11). In the next section, the different options to increase energy production in tidal turbines will be discussed.

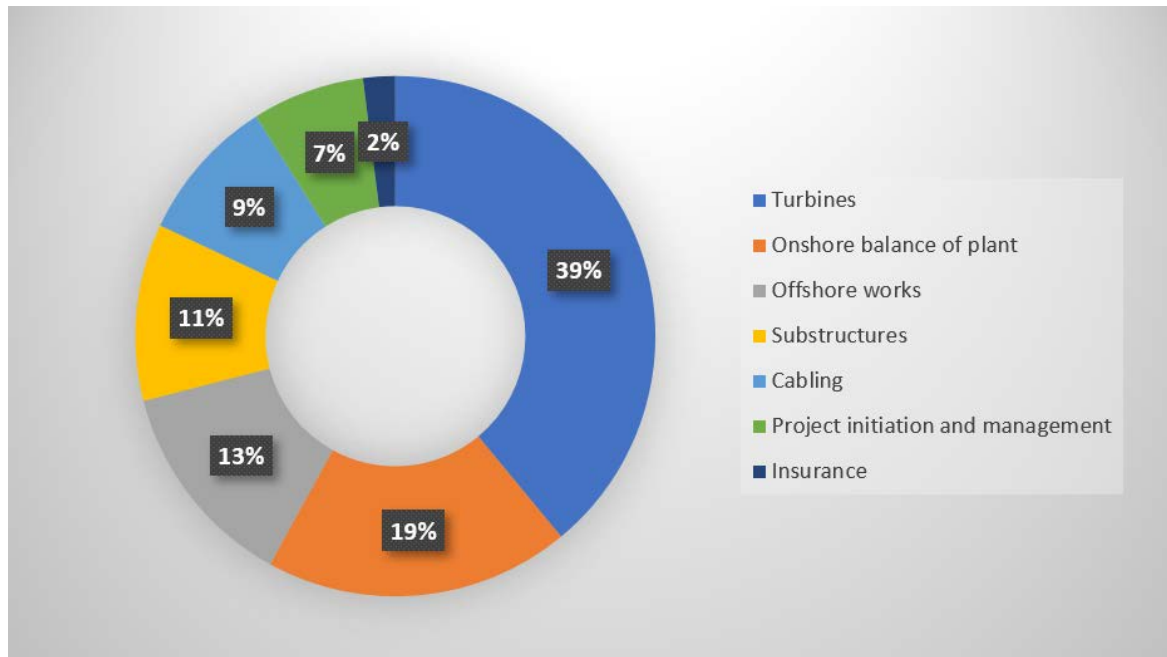


Figure 2.12 Capital costs of a case study tidal stream farm.

## 2.4 Methods studied to increase power output

There have been various methods studied both by simulations and experimentally to try to improve power capture from horizontal axis wind turbines. Bach et al. (2014) investigated experimentally the use of micro-tabs that alleviated the overall load to the blade, improving the lift to drag ratio, but no measurement was made to the power or thrust coefficients. In 2015, Ibrahim et al. introduced slots and tubercles to the blades and tested their performance for different wind speeds. The average power coefficient for the slotted blades was 0.39, compared to 0.36 for the straight blade, and 0.13 for the one with tubercles (Ibrahim *et al.*, 2015). Non-straight blades also have been studied by Shen *et al.*, finding an optimised shape for a specific blade that resulted in an increase in the AEP of their model by 5.9%, while keeping the same level of thrust for the turbine (2016). The most widely studied, and probably the ones that have given better results are winglets, and they will be discussed in depth in the following section.

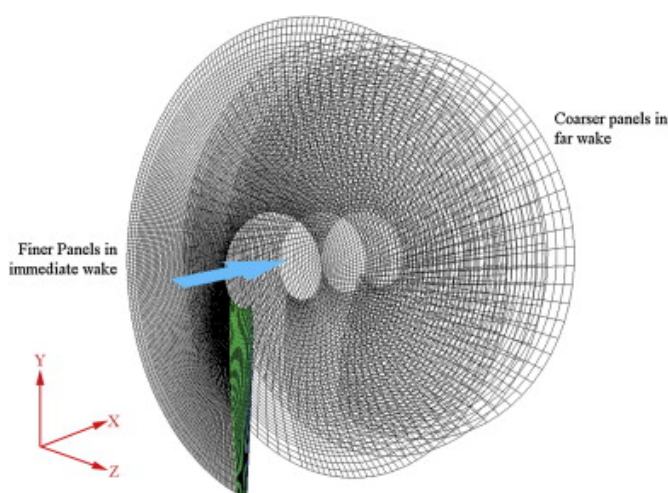


Figure 2.13 Fluid dynamics simulation for bend-twist coupled HATT blades (Nicholls-Lee, Turnock and Boyd, 2013, p. 543).

In the case of tidal turbines, there have been few simulations and fewer experimental studies performed. Nicholls-Lee, Turnock and Boyd made a fluid dynamics analysis of passively adaptive tidal turbine blades, estimating an increase of up to 5% in the  $C_p$  and a decrease of up to 12% in the  $C_T$  (2013, p. 549) (Figure 2.13). Experiments performed by J. Giles were focused on a technique that did not modify the design of the turbine but added a ramp on the seabed to produce a more even velocity profile at the rotor, that could result in an increased thrust and 5% more power (2013, p. 132).

## 2.5 Winglets on Airplane Wings

In 1897, English engineer Frederick W. Lanchester, obtained a patent for vertical surfaces at the wing tips. In 1976 an aeronautical engineer, Richard Whitcomb, carried out his research at NASA using the term winglet to refer to a nearly vertical wing extension to reduce the induced drag on wings (Whitcomb, 1976). In principle, their main function is to prevent the interaction of the fluid from the high to the low-pressure side, diminishing the tip vortex, decreasing the spanwise flow, therefore reducing the induced drag (Maughmer, 2003, p. 1100). Winglets are evolving in aviation towards active systems that can respond to flight conditions (Jeffrey, 2020, p. 37). Figure 2.14 (L) shows the vortex formed at the edge of a wing where the flow from the high-pressure side travels towards the low-pressure side, as a result from the pressure difference. Figure 2.14 (R) illustrates the same vortices occurring at the current turbine blade tips. In contrast to an airplane wing, where vortices are perpendicular to the stream flow, in the case of current turbines, the vortices travel relatively in the same direction of the fluid.

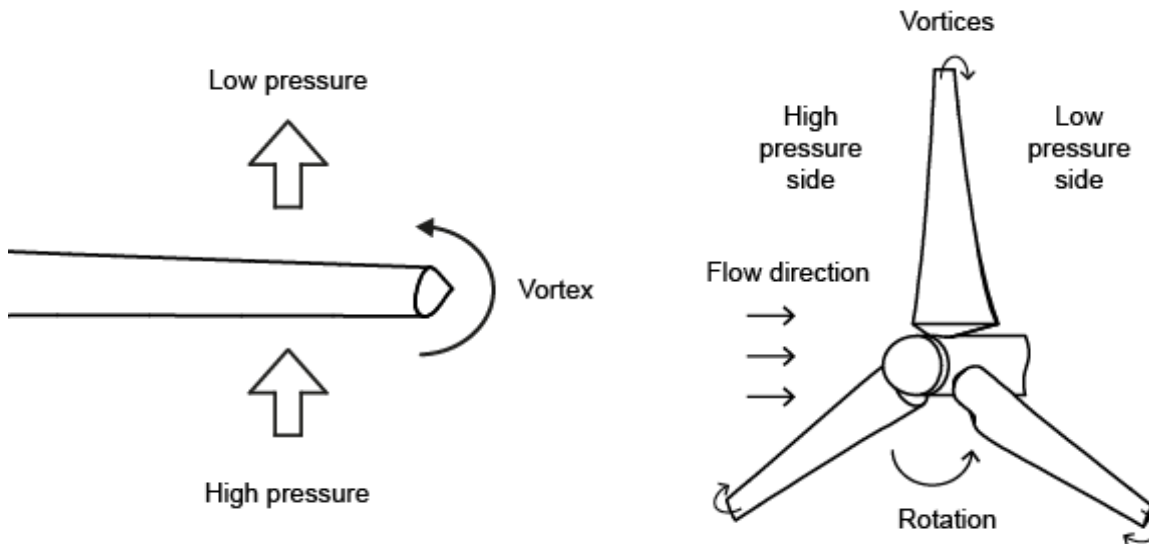


Figure 2.14 Left: Vortex direction in an airplane wing. Right: Vortices in a turbine blade.

There are different types of winglets, such as multi-tip, blended, fins, spiroid, raked, c-type and winglet fence (Sweety *et al.*, 2019, pp. 220–224). The present study is focused mainly on bent wing extensions (blended winglets) because their construction is straightforward, and they can be defined with 8 key parameters that will be detailed in the next section. Such specification can help determine the effect of each variable in the overall performance, but it does not necessarily mean that the result will be the addition of such changes, rather an overall outcome.

## 2.6 Winglets on wind and tidal turbines

In 1985, Gyatt and Lissaman tested tip devices in HAWTs, finding no apparent improvement over the regular wing performance, but emphasizing that ‘The promising results obtained on nonrotating wings make it difficult to accept that tip devices could not improve wind turbine performance’. During that time, the most cost-effective method was experimental, both either out on the field or in wind tunnels (Gyatt and Lissaman, 1985, pp. 61–63).

### 2.6.1 Winglets on wind turbines

At the beginning of the 1990s, a Japanese researcher, Yukimaru Shimizu from Mie University, in collaboration with the Delft University of Technology (DUT), carried out a series of experiments on “Mie-type” winglets. These winglets have a height of around 20% the blade length, and are dual, this means that there is a section pointing upwind and downwind, with the latter being bigger. Additionally, some theories were developed on how winglets might affect tip vortices, and numerical models were applied (Shimizu *et al.*, 1990). Around the year 2000, the increased

capability and availability of computers made Computational Fluid Dynamics (CFD) replace physical experiments and simulating the use of winglets on wind turbines became the standard.

Shimizu et al. started testing the “Mie-type” vanes of approximately 20% the height of the blade. They reported an increase in the power coefficient of around 27% for a tip speed ratio (TSR) of 4, no effect on thrust is presented. After that, Prof. van Bussel from the DUT in The Netherlands, developed a momentum theory for a blade-winglet configuration. The main assumption was that the increase in power happened by the shift in the vorticity of the wake downstream (van Bussel, 1990, p. 1). A couple of years later, further experiments and an adjusted theoretical model was presented, announcing an augmentation of 17% in the power coefficient, instead of the 27% previously stated, for a TSR of 5 (Shimizu *et al.*, 1992, p. 122). In 2003 more studies were carried out the power augmentation reported was 8.75% (Shimizu *et al.*, 2003a, p. 187).

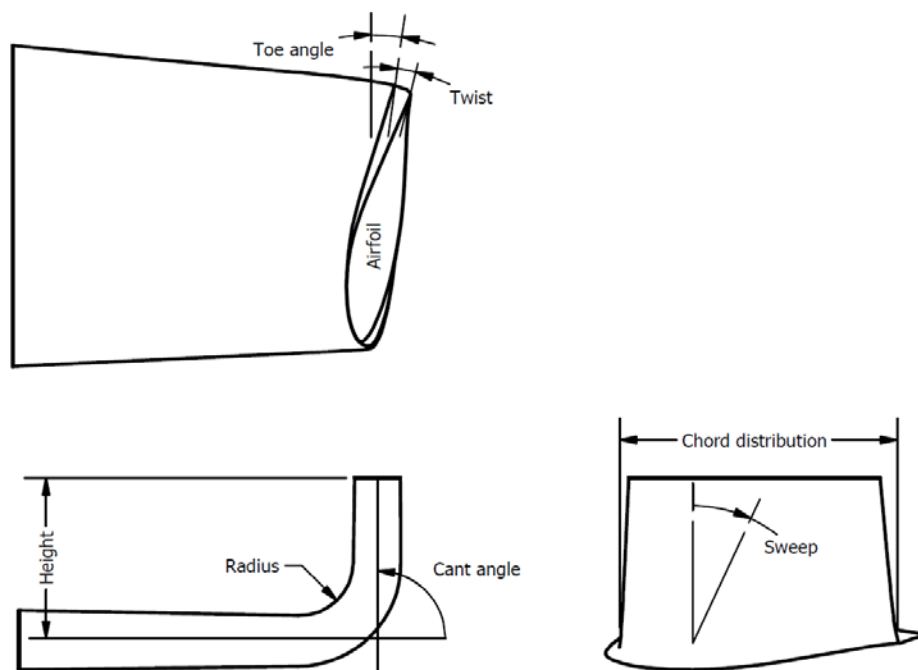


Figure 2.15 Design variables for winglets facing the suction side.

In 2006 Johansen and Sørensen used CFD to investigate the possible increase in  $C_p$  by using winglets on wind turbines at Risø National Laboratory, Denmark. A key aspect from their studies is that they used the geometry explained by Maughmer (2003) that defines 8 key parameters of winglets: the airfoil, chord distribution, height, radius, twist, sweep, cant and toe angle (Figure 2.15), the cant angle is measured from the plane of the blade towards the suction side. A cant angle of  $90^\circ$  means towards the suction side and pointing the pressure side would be  $-90^\circ$ . The height is represented as a percentage of the blade length. The radius is also represented as a percentage of the winglet height. The sweep as well as the other angles, is measured from a normal view of the turbine and if applicable, following the flow direction. A positive sweep angle

## Chapter 2

is looking backwards the axial rotation and vice versa. Their simulations focused on different configurations of winglets facing the pressure side for wind speeds from 6  $m/s$  to 12  $m/s$ . The one with the best overall power performance had a height of just 1.5% the size of the blade at 90°, obtaining a 1.3% increase in power and 1.6% in thrust (Johansen and Sørensen, 2006, p. 8). According to their simulations, the winglet affects approximately 14% of the end part of the blade (2006, p. 9). Finally, the effect of pointing the winglet to the opposite side, the suction side, was analysed with slightly better results (2006, p. 16).

In the following year, Gaunaa and Johansen presented their theoretical work where they explain that the increase in power is derived to a reduction of tip effects rather than the downwind vorticity shift as believed until that date (2007a, p. 5). They evaluated the effect on a winglet with 25% of radius, and the results from their code placed downwind winglets as superior in performance compared to upwind ones (2007a, p. 8). In their article, a reduction in the total drag is also achieved. The total drag is the addition of the drag induced by the winglet minus the reduction of the induced drag of the blade (2007a, p. 2). It is pointed out that compared to the work seen on winglets for airplanes, few has been done on winglets for rotors (2007b, p. 48; Gertz, Johnson and Swytink-Binnema, 2012, p. 390). In another paper, Johansen and Sørensen estimated an increased power of 1.0% to 2.8% and additional thrust of 1.2% to 3.6%. The winglet configuration yielding the best results had a height of 2%, a radius of 20%, and a twist of 4° with an increase of 1.74% in power and 2.13% in thrust (Johansen and Sørensen, 2007).

Over the past 10 years more simulations have been carried out with the use of CFD, incorporating winglets of less than 10% of relative height with respect to the blade length, resulting in power coefficient increases ranged from 2% to 8%. Prof. Chattot studied the effects of blade tip modifications on wind turbine performance using an optimization code, based on Golden vortex model (2009). The results favoured a backward sweep, and forward dihedral and winglet (facing upstream), each one analysed independently. Lawton and Crawford used a wake vortex method and concluded that a winglet facing downwind of a 5% height would result in a power increase of 2% with a similar increase in thrust (2014). Elfarrar, Sezer-Uzol and Akmandor pointed out that winglets add aerodynamic forces and bending moment due to their weight too (2014, p. 136), and used a genetic algorithm to optimize a winglet design and estimated the power capture increase in 9% (2015).

In 2019, Mourad *et al.* found through simulations that winglets performed better to the opposite side of what was commonly found, and cited 6 authors that supported winglets pointing upwind for better rotor performance (2020, p. 3). Maughmer wrote that “..., the downward-oriented winglet produces a spanwise contraction of the wake and is less effective...” in his paper regarding



the design of winglets for sailplanes (2003, p. 1100). As it was discussed in section 2.5, the pressure and the suction side for an airplane wing and a turbine blade are almost perpendicular to the flow direction from each other. In this case, an upward-oriented winglet, would correspond to the suction side of a wind turbine, *i.e.* pointing downwind. The experiments performed by Ali *et al.* are for a non-rotating blade mounted on a base (2015, pp. 760, Fig. 2), and it makes sense that their results compare to what is a standard in airplane industry, that is winglets pointing upwards. Zhu's *et al.* simulations determined that winglets facing the pressure side were more effective than the ones facing the opposite direction in some conditions and vice versa. Their conclusion was that a design with winglets facing both directions was more effective in all conditions (Zhu *et al.*, 2017, p. 7). Khaled *et al.* performed a series of experiments and simulations with winglets from 1-7% of height and cant angles from 15-90° (pointing downwind), finding with an Artificial neural Network that the longest performed better at around 48.3°. Their simulations were compared to experiments with five winglets at 90°, and the winglets in their simulations went out of the original rotor size as it can be deduced from their graph of  $C_P$  vs. cant angle (Khaled *et al.*, 2019, pp. 10, Fig. 15), where all winglets should have the same coefficient as the normal blade at an angle of 0°. Khalafallah, Ahmed and Emam carried out simulations of wind turbine blades with winglets facing both directions, finding that the best configuration was for the ones pointing upstream, in addition to downstream swept blades (2019, p. 9). It is interesting to find the former and the latter simulations suggesting that winglets perform better in the opposite direction to what previous studies had shown.

A fewer number of experiments have been carried out in the academia recently. Gertz and Johnson set a baseline case for a wind turbine with exchangeable tips of the outer 10% of the blade. The evaluation testbed included a model based on Blade Element Momentum (BEM) theory. The blades had a length of 1.6 m and the rotor was tested at a 10-m × 12-m open-jet wind tunnel with a capability of producing winds up to 11 m/s (2011, p. 1361). The next year, Gertz, Johnson and Swytink-Binnema presented their results with a power increase of 5% to 7% for wind speeds between 6.5 m/s and 9.5 m/s. In the same article, a report is mentioned where it is suggested that winglets can only be optimized for one operating point (2012, p. 392) as their effect has a bell-shaped power curve, and their own results were in agreement (2012, p. 406).

If a tidal turbine were to be optimised for an operating point, the percentual increment would not reflect on its entirety to the annual energy production. Due to the variation in speeds of tidal currents, it would be less than that, and it would be site specific. Taking as an example Figure 2.16 showing a case study for a site with a maximum speed of 4 m/s, using the product specifications for first commercial scale turbine, the SeaGen-S 2000, with a rate speed of 2.5 m/s. In this case,

roughly half of the yearly production is extracted above rated speed. This means that if the maximum power were to be incremented by 2%, the AEP would only increase by 1%.

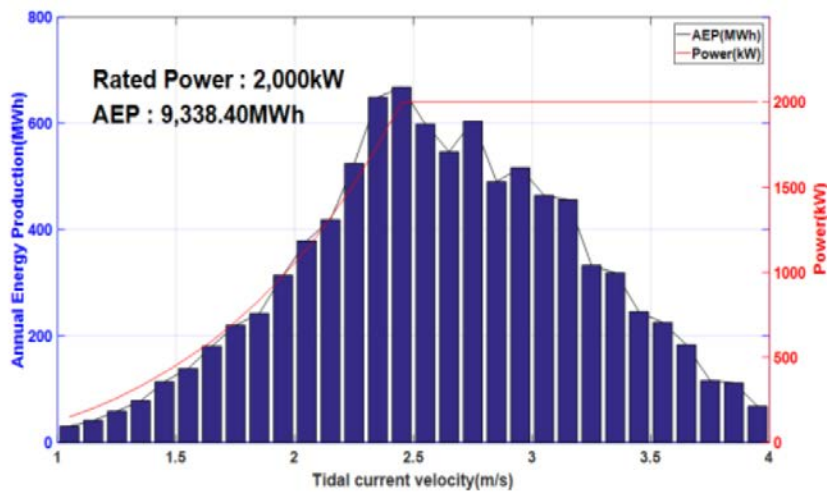


Figure 2.16 Average Energy Production for a case study with a SeaGen-S turbine (Ko, Park and Lee, 2018, p. 1305) (Reproduced and modified with permission from the Coastal Education and Research Foundation, Inc.).

In 2012 and 2013 Saravanan, Parammasivam and Rajan, from India, presented their results on the effect of winglets on pressure difference. In their first study, the rotor had a diameter of 340 mm and the two variables of the winglets taken into consideration for the study were the height and radius, bent to the suction side with a cant angle of 75° (2012, pp. 425, 426). The wind speed was kept constant for all tests at 5 m/s. The presence of the winglets seemed to have an increased pressure at 0.3c with a maximum pressure difference at 0.95R (2012, p. 427). In the suction side the pressure dropped up to 10% (2012, p. 425). Then, in 2013 a small rotor was tested for low wind speeds with the same winglets used previously. This time, in addition to the fact that they measured an improvement in the power coefficient for low wind speeds, they observed that the starting velocities were lower for all setups with winglets (2013, p. 162). Aravindkumar, from India as well, measured a noise reduction of 25% (in logarithmic scale) in addition to a power increase of 2.01% (2014, p. 243).

Another experimental investigation was found on a small scale HAWT for domestic purposes. Results indicate a lift-to-drag ratio increase of 26%, but it has to be pointed out that the experiment involved a non-rotating blade (Ali *et al.*, 2015). Tobin, Hamed and Chamorro obtained an 8.2% power increase and 15% added thrust for a turbine with winglets (2015, p. 11955). Similarly, a radial increase in length of the blade would result in a bigger rotor area with a corresponding power output increase of 7.8% (2015, p. 11969). In addition to that, the higher thrust coefficient generated a region of higher mean shear and turbulence outside of the rotor (2015, p. 11963).

In a different experiment, the interaction between two wind turbines fitted with winglets was studied. The wind turbine located downstream saw a decrease in power capture, however the added power extraction for both was higher (Ostovan and Uzol, 2016). Mühle et al. studied the effect of winglets on the tip vortex and the near wake, finding that for wake regions larger than  $x/D=4.0$ , the wake's mean recovered faster due to the tip vortex interaction stimulated by the winglets, in addition to a higher power extraction (2020).

ENERCON is probably the only large manufacturer that has exploited the potential of winglets. Figure 2.17 shows a rotor blade from the E-101 wind turbine with winglets. The company has been using them for their turbines since the early 2000's. Some versions of the E-66 (Hau, 2013) and E-70 turbine models include them. Their winglets point towards the pressure side to allow tower clearance (Hansen, 2017, p. 19; Johnson *et al.*, 2019, p. 16). The upgraded 2010 version of the 2007 E-126 model achieved an enhancement of 12% to 15% was by the refinement of the airflow around the nacelle and the addition of winglets (de Vries, 2010).



Figure 2.17 ENERCON E-101 turbine with winglets (Aßbrock, 2013).

### 2.6.2 Winglets on Tidal Turbines

Studies on winglets for tidal turbines are scarce, the ones published are mostly numerical, and the majority produced suggest they should face the suction side. Zhu et al. took power and thrust measurements from an experimental study on a horizontal axis marine current turbine, carried out at the University of Southampton (Bahaj *et al.*, 2007) to adjust their baseline for the simulation. Their best design produced a power increase of 3.96% at a higher tip speed ratio (Zhu *et al.*, 2017, p. 7). Ren, Liu and Zhang presented a triangular winglet bent downstream that increased the power coefficient by 4.34% and the thrust coefficient by 3.97% at an optimal TSR of 5 (2017). In 2019, the same authors compared the effect of facing the winglets in both directions,

finding that the best design achieved a 4.66% power increase when facing downstream (Ren, Liu and Zhang, 2019).

To the time of this project, only one experimental study was found. Young et al. evaluated four different winglets, consisting of a linear extension of the tidal turbine blade, varying the main parameter of cant angle. In their study, the power coefficient, the hydrodynamic efficiency, and the structural efficiency were considered. After initial simulations using a modified vortex-lattice method, three winglets were designed to face upstream, and one downstream. Even though all of them were expected to perform better than the regular blade, only the ones facing upstream did. It was proposed that viscous effects (i.e. a separation at the corner of the blade-winglet junction) play a role in the drop in power coefficient for the winglet facing downstream (Young et al., 2019).

Table 2-2 on page 40 summarizes the main studies carried out on winglets (bent wing extension ones) design parameters and its effect on different numerical, CFD, and experimental studies of HATs with winglets, from its beginning and its evolution to date.

### **2.7 Material selection for tidal turbines**

Blades are the most expensive components of the turbines and are expected to last for as long as 10 to 20 years of operation (Li *et al.*, 2014, p. 230). Tidal turbines face additional issues to wind turbines. Due to the high density of water, high cyclic hydrodynamic loads can cause fatigue and failure. Corrosion is another challenge inherent to the marine environment. Material selection plays an important role when mitigating such demands. From materials used in ship propellers, to more advanced composites which performance has not been tested for long periods of times under water, new technologies are applied in the manufacturing process to make the blades cost-effective as well as strong, stiff, and durable.

Metal alloys such as nickel-aluminium bronze have been widely used in the ship industry to manufacture propellers. Ship propellers have a different geometry than tidal turbine blades, and their purpose is to give a ship propulsion rather than harvesting the kinetic energy from the current as a tidal turbine does. Metallic tidal turbine blades would add weight, which in consequence would require a thicker shaft, and it can be seen how the additional weight translates into a heavier (and more expensive) turbine. In that sense, composite materials are the preferred choice of tidal turbine developers due to the complex shape of the blades (Li *et al.*, 2016, p. 418).

Standard turbine blades consist of a foam core, shear web and facesheet. Fibre glass/epoxy composites are used for the shear web and facesheet as they can withstand cyclic hydrodynamic

loads and corrosion. The core foam serves as the inner structure in the manufacturing process, in operation it can provide neutral buoyance for the rotor and provides water permeability. The shear web is responsible for carrying out the shear loading during operation (Anyi and Kirke, 2010, p. 111).

Carbon fibre/epoxy blades provide more strength and lightness, but they are normally 10 to 20 times more expensive than glass fibres (Li *et al.*, 2014, p. 231). Up until 2020, only around 10 published results were published in the previous 40 years on the effect of aging by sea water on failure of carbon fibre laminates for marine applications (Le Guen-Geffroy *et al.*, 2020, p. 199). An option is to use fibre glass composites with carbon fibre reinforcements in key components like the spar. The larger the wind turbine, the more efficient and cost effective it is, as the size increases, more carbon fibre reinforcement is used to compensate the high stress and fatigue on the blades (Golfman, 2016, pp. 3–11). In recent years, additive manufacturing has brought the opportunity of replacing moulds with parts produced using this technique, reducing time and costs. It could also be part of the structure to bear the cyclic loads. Even the shear web could be integrated into the design together with the root fasteners (Murdy *et al.*, 2021, p. 1).

Passively adaptive tidal turbine blades have the capability of changing pitch, on a fixed pitch turbine, depending on the load. Murray *et al.* (2018) constructed a bent twist turbine blade with graphite epoxy composite. The induced bent twist coupling was achieved by orienting the composite fibres at 30° from the axis along the blade. A FEA-BEMT tool was developed for the iteration between the structural response and the hydrodynamic performance of the passive adaptive blades. CFD can also be coupled with the FEA as done by (Nicholls-Lee, Turnock and Boyd (2013). Particle swarm optimisation (PSO) can also be used iteratively after the hydrodynamic modelling, in conjunction with the structural analysis to find the optimum number of layers, ply thickness and orientations (Li and Chandrashekhara, 2015, p. 1191).

## 2.8 Computational Fluid Structure Interaction (FSI)

FSI couples CFD with Computational Structure Dynamics (CDS) to give a more realistic solution to simulations. CDS uses a Finite Element Method (FEM) to evaluate the forces and stresses on the structure, to predict potential material failure. Some authors consider that a full scale fluid-structure interaction is essential to model wind turbines performance accurately (Korobenko *et al.*, 2018, p. 255).

One example is the work carried out at the Sustainable & Resilient Structures Research Group at the National University of Ireland Galway. With the aid of an in-house structural analysis software called BladeComp and their state-of-the-art Large Structures Testing Laboratory, different

projects within the wind and tidal industry, regarding turbine blades, have been carried out (Finnegan *et al.*, 2020, p. 260). In 2019 they analysed the structure for manufacture of an Orbital Marine Power tidal turbine, determining that the impact on blade deflection using a single shear web instead of two was minimal (Fagan *et al.*, 2019, p. 9). The internal structure of a tidal turbine can be seen in Figure 2.18. The blade structure was designed and optimised using their in-house software BladeComp which carries out multi-objective optimisation to minimise the blade mass and flapwise tip deflection (Jiang, Fagan and Goggins, 2019, p. 3). The material analysed was Glass-fibre with a powder epoxy resin. The software uses a genetic algorithm to determine the optimum number of layers and their orientation (Jiang, Fagan and Goggins, 2019, p. 4).

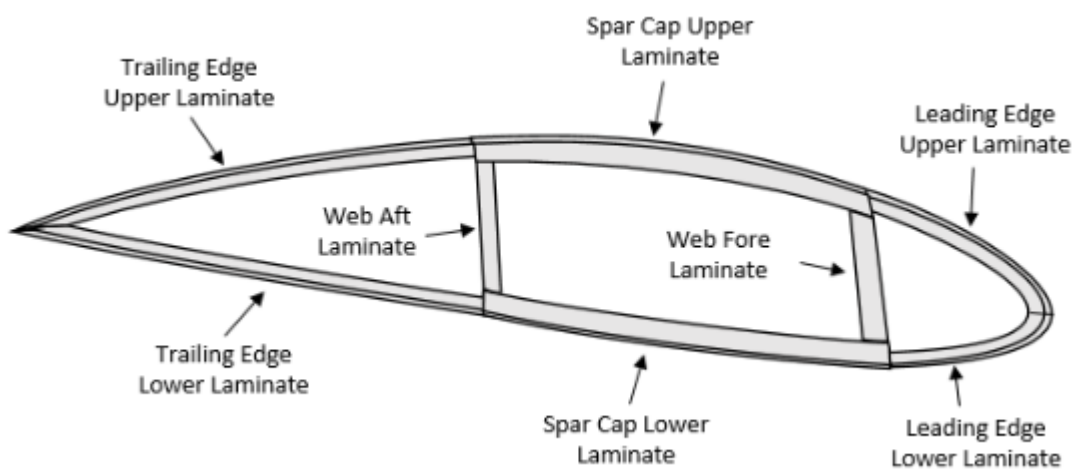


Figure 2.18 Tidal turbine internal structure (Fagan *et al.*, 2019, p. 5).

Another example of these types of studies is the one carried out by Lothodé *et al.* (2020, p. 4) on the blade-mast fluid-structure interaction, validating their results with the experimental results of one of the tidal turbines of the University of Southampton. The blade was modeled with three different Young's moduli  $E=69\text{ GPa}$  corresponding to the original value of aluminium, a second one with a value of  $E/2$ , and the third one with  $E/4$ . In the simulation it was found that the blades with the lowest Young's modulus bent so much that the performance was seriously compromise. For instance, if the same turbine had purely wooden blades, it would have a lower power capture purely from a wrong selection of materials.

## 2.9 Computational Fluid Dynamics

Computational Fluid Dynamics aim to model the physical properties of conservation of mass, momentum (Navier-Stokes equations), and energy, together with turbulence modelling (Rodriguez, 2019, p. 11). The Reynolds number ( $Re$ ) plays an important role, as it describes laminar and turbulent flows. There are methods that disregard the viscous effects and others that take them into consideration. The most common used methods are explained next.

### 2.9.1 Vortex Lattice Method (VLM)

VLMs are surface panel methods that represent thickness effects with source panels and lift effects with vortex ones. They rely on numerical techniques to generate a solution. It is assumed that the vortex strength varies both chordwise and spanwise. For each point in the lattice, the velocities induced by other sections are summed. The result is a set of linear equations expressing the boundary condition of flow tangency on the surface. The local velocities are used to calculate the static pressure on each panel. With these pressures, the forces and moments can be obtained. They are inviscid codes, meaning that they do not simulate the boundary layer, thus, the drag coefficient from the skin friction is not accounted for (Bertin and Cummings, 2014, pp. 788–789).

Laß *et al.* performed an analysis using a VLM and verifying their model against experimental results published by Bahaj *et al.* (2007), finding a good agreement of the power and thrust coefficients in uniform flow with a yaw angle of  $25^\circ$  and a uniform flow of  $1.8 \text{ m/s}$  (2019). Young *et al.* carried out a numerical and experimental study on tidal turbines using a software called Tornado. It is a vortex lattice code used for wing applications, so it had to be modified to model the motion of the tidal turbine wake. In order to verify the numeric results and to understand viscous effects, experimental tests were carried out using a  $700 \text{ mm}$  tidal turbine. Experiments showed that the discrepancy between the inviscid model relied on a combination of viscous and inviscid effects (2019).

### 2.9.2 Reynolds-averaged Navier-Stokes (RANS)

Predicts the average result of turbulent flows. It includes transport equations to simulate effects of Reynolds stresses generated or dissipated within the flow, representing the transport momentum in the mainstream direction, caused by disturbances in the other two perpendicular directions. Average stress models are adjusted to semi-empirical models, dependent of experimentally obtained constants. These values are very frequently obtained where the fluid is air or water, and in the experimental setup there is a flat plate (Alonzo-García, Gutiérrez-Torres

and Jiménez-Bernal, 2016, p. 320). It is a numerical method that solves the time-averaged Navier-Stokes equations, where the velocity has two components, one for the average velocity and another one for the fluctuations. They are turbulence models, and the most common ones use two variables, the first being the turbulent kinetic energy, and the second either frequency, dissipation, time, enstrophy, acceleration, or another. As long as both form a mathematical expression with units of length squared per unit of time, the same units as the turbulent kinematic viscosity. The most commonly used turbulence models and its main characteristics are next described (Rodríguez, 2019, p. 148).

### **2.9.2.1 Kolmogorov 1942 $k-\omega$**

It was the first two-equation transport model developed. Kolmogorov noted that turbulence consists of eddies that range from large to small. He realised that energy was taken from the flow by large eddies and passed to the smaller ones until energy was dissipated by the viscous force of the fluid. This process is now referred as “cascading”. Large eddies (called integral eddies) contain 4/5 of the energy. Small eddies where energy dissipation occurs are named Kolmogorov eddies, and the ones in between are defined as Taylor eddies. This second transport variable ( $\omega$ ) applies to the three eddy scales. Together they form a self-consistent combination of variables for the two-equation models (Rodríguez, 2019, p. 149).

### **2.9.2.2 Wilcox $k-\omega$**

In 1988, David Wilcox combined the Prandtl’s  $k$  transport turbulence partial differential equations (PDE), Kolmogorov’s  $\omega$  transport PDE, and Saffman’s upgrades to produce a model. In 1998, he made some advances to his model, and in 2006 it was further improved. The latter is a good model for turbulence near the wall conditions as well as free stream, placing this model as one of the best two-equation RANS model of the three (Rodríguez, 2019, p. 153).

### **2.9.2.3 Standard $k-\epsilon$ (SKE) Model**

During the 1940s, Pei Yuan Chou associated the dissipation model, based on  $\omega$ , for Taylor eddies. For the author, Taylor scale eddies played an important role in the dissipation of turbulence, so he deemed necessary to find an equation to describe the behaviour of this length. It is defined by the equation of decay of vorticity. The original model went into different modifications, until in the early 1970s, Jones, Launder, and Sharma came with the standard  $k-\epsilon$  (SKE) Model (Rodríguez, 2019, p. 159).



#### 2.9.2.4 Menter 2003 SST Model

Florian Menter realised that the SKE model simulated reasonably flows at high Re numbers in free streams, while the 1988  $k-\omega$  model worked well at low Re numbers and near the wall. He combined both models to act on the regions that they performed better and blends them at intermediate regions. In 1992 he created the shear stress transport (SST) model, which was improved later in 2003. This model is considered as good as the 2006  $k-\omega$  model, and even performs better in the transonic regime (Rodriguez, 2019, p. 172).

Batten, Harrison and Bahaj measured the accuracy of the actuator disc-RANS approach for predicting the performance of a scaled tidal turbine. Their model had a minor tendency to underpredict the power coefficient, and over-predict the thrust coefficient, which is considered to be a conservative model in the sense that it underpredicted the amount of energy that could be extracted by the turbine, and at the same time over-predicted the thrust force exerted on the turbine (2013, p. 10). Another comparative study with used a RANS model to predict the performance of a 700-mm tidal turbine, finding good agreement at the rated TSR of 4, but a discrepancy at lower and higher TSR. The difference at lower speeds was attributed to the fact that the CFD simulation does not capture the stall characteristics of the airfoil accurately. At higher angles of attack, the flow is assumed to remain attached, which consequently over-predicted the torque. There was also an over prediction at higher TSR, but there was no clear reason found. It is explained that the lack of the turbine nacelle in the simulation was the one responsible for underpredicting the losses caused by the latter (Smyth, 2020, p. 67).

#### 2.9.3 Large Eddy Simulation (LES)

LES modelling focuses on simulating large eddies, the ones containing around 80% of the turbulent kinetic energy and using a model to approximate the smaller eddies. LES is considered an intermediate methodology in terms of resolution, cost and speed between RANS and DNS. Generally speaking, LES is an order of magnitude more time consuming than RANS, but two, three, or more orders of magnitude computationally cheaper than DNS. Due to its effectiveness in engineering, there are dozens of LES models available.

Ahmed *et al.* (2017) performed a computer simulated study based on a tidal stream turbine deployed at EMEC by Alstom. Load fluctuations were studied: power, thrust, and bending moments at the blades. These loads are produced by the inflow velocity profile, support structure, rotor, turbulence and waves. The cyclic fluctuations were simulated with RANS solvers, and the full spectrum of turbulent fluctuations using a LES model. The average loads were successfully reproduced using the SST  $k-\omega$  model, and the full range of loads with the LES

model (2017, pp. 236, 246). A full scaled tidal turbine was simulated (including 3 blades, nacelle and pile) with RANS and LES by Afgan *et al.* (2013). The geometry and experimental setup is from Bahaj *et al.* (2005) for their deep tip immersion case with the optimum pitch angle of 20°. LES simulations were in good agreement with the experimental results, RANS simulations under predicted the performance at low rotational speeds (TSR=5) by around 10%, but were closer to LES simulations at the design operating conditions (TSR=6), within 3% (2013, p. 100). LES provides a detailed description of tip vortices, which are not captured by RANS models, the next step is to include wafe motion to simulate the flow cyclic variation (2013, p. 108).

Hybrid computer models have been developed to overcome the difficulties of LES models to solve near-wall regions. These models combine the easiness and power of RANS models near the wall, and the resolution of the rest of the flow using LES methods. An example is the detached-eddy simulation (DES) (Nicholls-Lee and Turnock, 2007, p. 4). Gajardo Orellana (2017) successfully applied a coupled DES-BEM model that was validated against work from Stallard *et al.* (2013) on interaction of scaled tidal turbines in a flume.

### 2.9.4 Direct Numerical Simulation (DNS)

DNS solves all time and spatial scales of the Navier-Stokes equations, so it does not model, average or approximate any variable, function, or geometry. It is extremely accurate, but at the same time it requires tens of millions to billions of computational nodes (Day *et al.*, 2012). To be able to use DNS for very large systems (nuclear plants, cruises, jets), faster computers with multiple cores and many core processors might be required, or even the nano and quantum computers to be developed in the next decades.

Jing *et al.* (2020) performed direct numerical simulations on a horizontal axis wind turbine (HAWT) to study the effect of the Coriolis and centrifugal forces (generated from the rotating turbine) on the flow detachment from the blades, showing that the laminar to turbulent transition on rotating blades is caused by the Tollmien–Schlichting wave (2020, p. 10). Table 2-1 provides a high-level comparison of different CFD methods applied to most engineering applications, excluding large systems, in terms of resources needed and its output.

Table 2-1 Comparative table for different CFD methods in engineering applications.

|                         | Inviscid methods                     | RANS   | LES  | DNS                                    |
|-------------------------|--------------------------------------|--|--|--|
| Time consuming          | Minutes-hours on a personal computer | Hours to days on a personal computer         | 10s-100s times more than RANS                | Hundreds plus hours on a supercomputer |
| Cost                    | Low                                  | Accessible for most engineering applications | Expensive for most engineering applications  | 100s-1000s times more than LES         |
| Computational resources | Hundreds of sections analysed        | Thousands to millions of nodes               | Up to $10^7$ nodes required                  | $10^7$ - $10^9$ nodes required         |
| Level of output         | Does not predict viscous effects     | Models all turbulent scales                  | Resolves large eddies, models smaller scales | Solves all turbulent scales            |

## 2.10 Testing sites for tidal turbines

There are three main ways where a scaled turbine can be tested: in a current circulating flume, at a towing tank or in-situ. Each has different characteristics, advantages and disadvantages.

### 2.10.1 Current Circulating Tanks

As the name suggests, it is a water tank with the capability of recirculating water to generate a current, some can even generate waves like IFREMER at Boulogne-sur-Mer, France. The turbulence intensity at this facility for a speed of  $0.8 \text{ m/s}$  has been measured to be 1.85% (Porter *et al.*, 2020, p. 7). These types of facilities tend to be expensive due to the amount of electricity needed to recirculate the water. The flume is  $18 \text{ m}$  long,  $4 \text{ m}$  wide, and  $2 \text{ m}$  deep Figure 2.19.

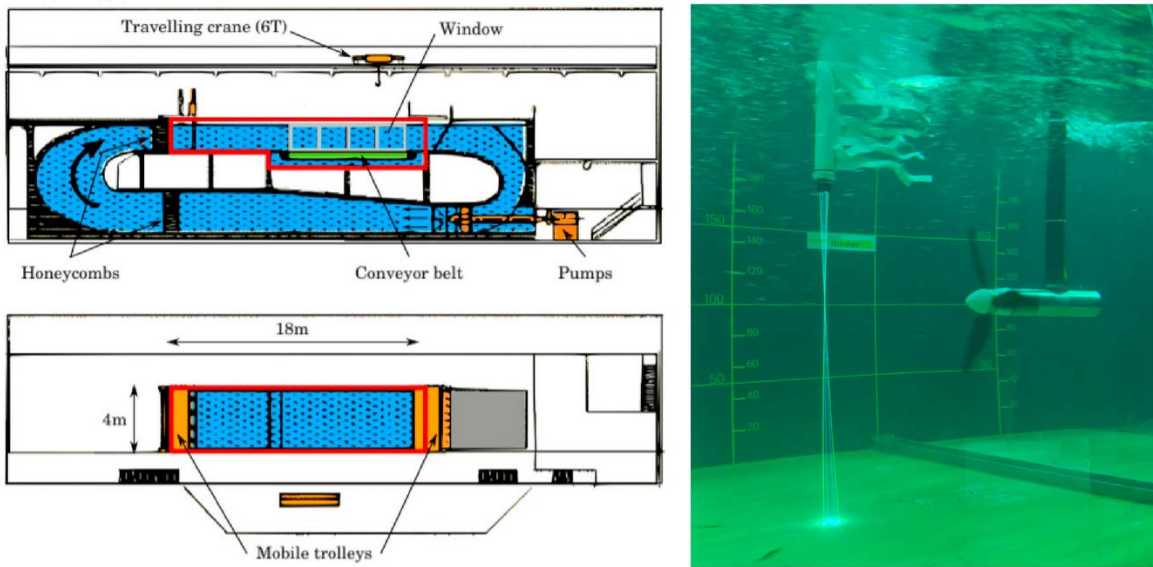


Figure 2.19 Schematic of IFREMER flume (Left). Scaled turbine being tested (Right) (Allmark, Ellis, *et al.*, 2021, p. 1423).

Another option is the round recirculating current and wave tank FloWave at the University of Edinburgh, with turbulence intensity values between 5%-11% across the test area for typical tidal turbine test velocities between 0.9-1.1 *m/s* (Figure 2.20).

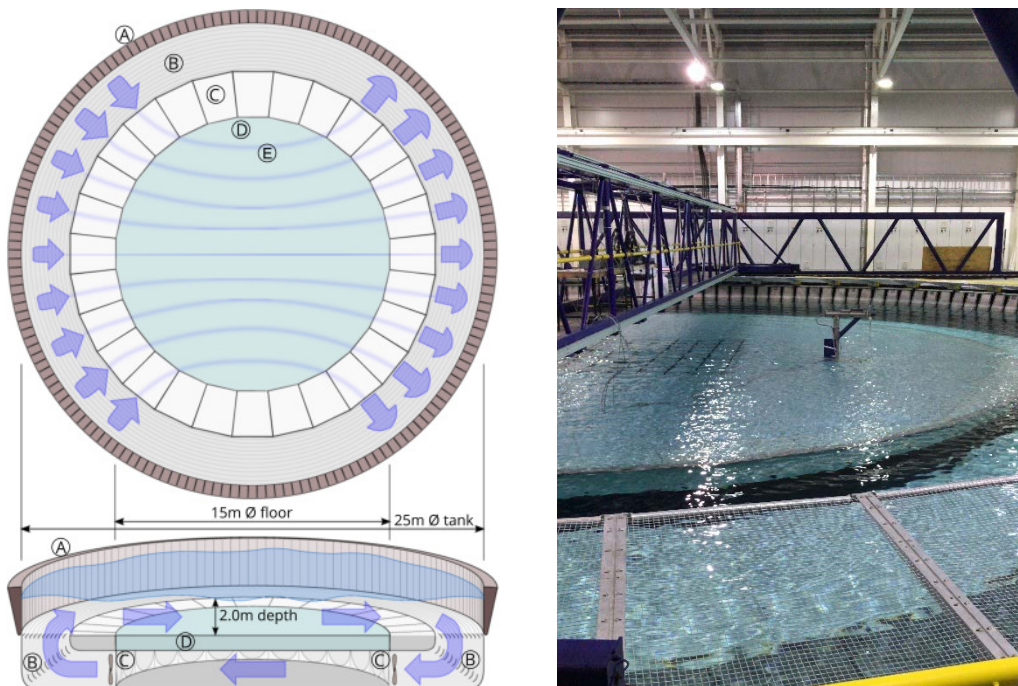


Figure 2.20 FloWave diagram (Left) (Sutherland *et al.*, 2017, p. 104). Example of installed turbine with raised floor (Right).

### 2.10.2 Towing tank

A towing tank is a large construction containing hundreds of thousands to millions of litres of water. With the use of a carriage, any device attached to it can be towed along the tank through still water to generate an apparent flow towards the moving object. Some facilities have wave making capabilities as well. They were mainly designed for ship applications, but they can be used to study submerged bodies and sporting fluid dynamics too. Figure 2.21 shows the schematic of a 76-m towing tank (Kelvin Hydrodynamics Laboratory at the University of Strathclyde), and a tidal turbine being tested at the Umberto Pugliese towing tank in Italy. The turbulence in the undisturbed flow at these facilities is practically zero, the downside of it is that measurements length is dependent on the size of the tank, and there has to be a waiting time between towing runs to allow the water in the tank to settle and thus achieve repeatable conditions.

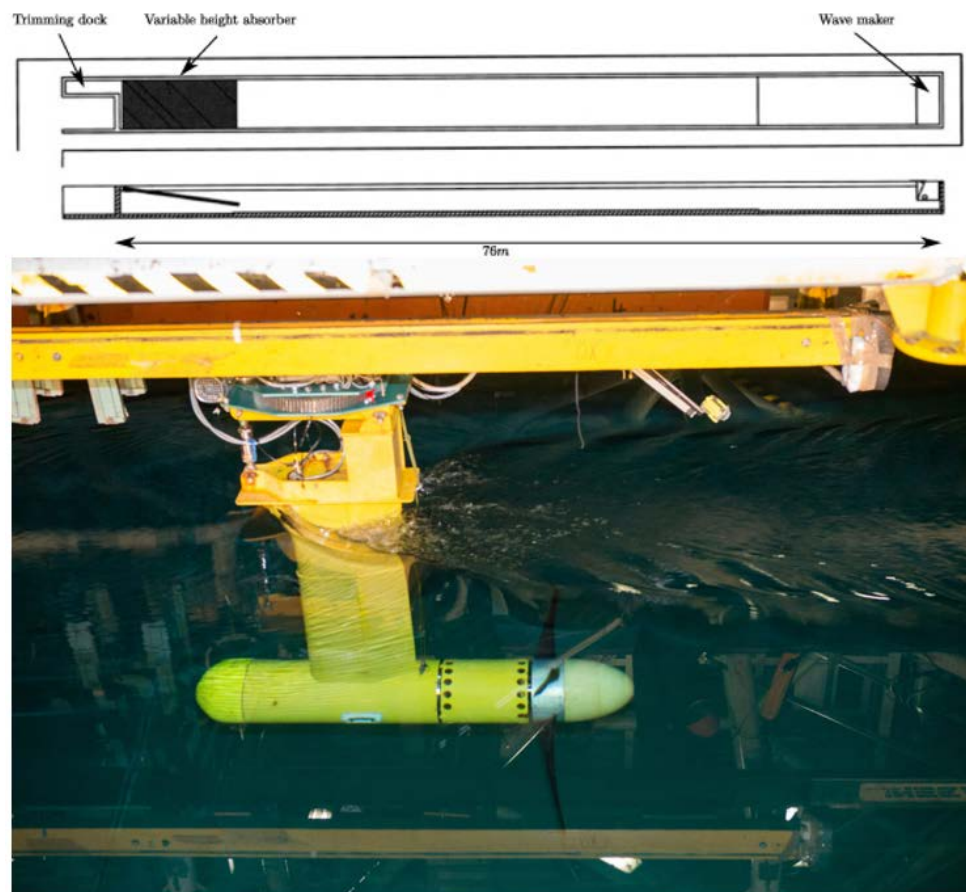


Figure 2.21 Towing tank diagram (Up) (Gaurier *et al.*, 2015, p. 90). Turbine fixed to the carriage (Down) (Institute of Marine Engineering, 2021).

### 2.10.3 *In-situ*

There is always the option to test the devices in a river or the coast. Accessibility would be the first issue, including access to facilities and instrumentation. Turbulence intensities can vary between 3-24% (Gaurier *et al.*, 2020, p. 1158). The advantage is having more realistic environmental conditions. Figure 2.22 shows the deployment of a turbine close to the mouth of a river.

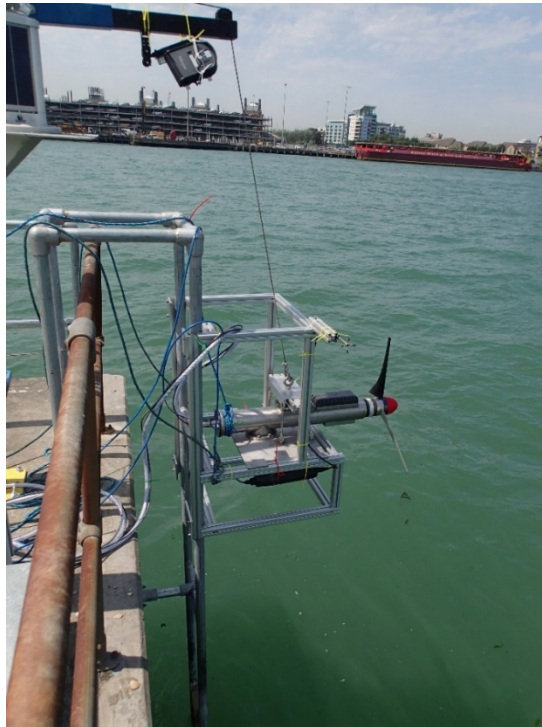


Figure 2.22 Pre-test measuring instrumentation deployment at Weston jetty (University of Southampton).

## 2.11 Research needs

The power coefficient of tidal turbines is similar to the ones seen in the wind industry. The difference is that to close that gap, tidal developers have a limit in size due to the environment and capabilities of materials used these days. Optimising the blades by making them slimmer is not a solution either because of the large thrust forces seen in the marine environment. Economically speaking, and as a matter of public perception, it is not worth the risk jeopardizing the structural integrity of tidal turbines to make the optimisation. As the technology matures, more governmental support can be expected, just as it happened with the wind energy industry. New methods to increase power output can achieve this task without changing the original blade design. Winglets have shown a good performance in the aviation sector and have also been used commercially on wind turbines. Due to the characteristics of the flow around the areas of interest,

it would be better suited for this project to evaluate experimentally the impact of fitting winglets to a scaled tidal turbine.

There is a need to measure how winglets affect the power and thrust coefficient on tidal turbines. Also, there is no methodology on how to design winglets for low speed rotating applications as there are for high subsonic speeds (Whitcomb, 1976), and low speeds (Chattot, 2006) for non-rotating applications. A better understanding between the winglet/flow interaction would be ideal. 80% of the previous simulations studied in this research suggest that winglets for horizontal axis turbines could increase the power coefficient between 1.3-10% while increasing the thrust coefficient by similar values.

## 2.12 Research aim

The aim of this project is to quantify the effect of adding winglets to a tidal turbine on the power and thrust coefficient through a series of experiments in a towing tank. It is expected that the flow around flow enhancement devices on a rotating blade might include regions of stalled or highly rotational flow which might not be accurately simulated computationally or modelled numerically therefore an experimental work that can be visually analysed in this case seems appropriate.

## 2.13 Research objectives

- Review techniques previously suggested or tested to improve power capture on horizontal axis wind/tidal turbines.
- Identify the design parameters for winglets
- Design and commission the manufacturing of the blades and winglets
  - Design the blade/winglet interface
- Measure the effect of different winglets designs on the power and thrust coefficients
- Analyse and interpret the results
- Identify the source of any change detected
- Make suggestions for further improvement

## 2.14 Research limitations

- Few experiments have been carried out using winglets on wind turbines, and less on tidal.
- Variations on power and thrust coefficients could be small, in the range of 1-2%.
- There is no hi-tech equipment available for flow visualisation.

Table 2-2. Main studies on HATs with winglets and their design parameters

| Research Paper                           |       |         |          | Parameters  |             |          |                       |           | Results     |         |                       |                       |
|--|-------|---------|----------|-------------|-------------|----------|-----------------------|-----------|-------------|---------|-----------------------|-----------------------|
| Source                                   | Type  | Country | Method   | Height [%R] | Radius [%H] | Cant [°] | T, t <sup>4</sup> [°] | Sweep [°] | Airfoil     | TSR (λ) | P <sub>aug.</sub> [%] | T <sub>aug.</sub> [%] |
| (Mühle <i>et al.</i> , 2020)             | Wind  | Norway  | Exp.     | 10.76       | 3.09        | 90       |                       | 17.86     |             | 6       | 10.68                 | 12.64                 |
| (Mourad <i>et al.</i> , 2020)            | Wind  | Egypt   | CFD      | 0.8         |             | -90      | 20t                   | 0         | SD8000      | 7       | 2.4                   | 2.9                   |
| (Young <i>et al.</i> , 2019)             | Tidal | UK      | VLM+Exp. | 10, 20      | (10mm)      | -90-90   |                       |           |             | 4       | 10                    |                       |
| (Khaled <i>et al.</i> , 2019)            | Wind  | Egypt   | Exp.+CFD | 1-7         | (1mm)       | 90       |                       |           | NACA4412    | 5       | 8.28                  | 8.74                  |
| (Khalafallah, Ahmed and Emam, 2019)      | Wind  | Egypt   | CFD      |             |             | -40      | 10T                   |           | Swept blade | 6       | 4.39                  |                       |
| (Zhu <i>et al.</i> , 2017)               | Tidal | China   | CFD      | 2.5         | 48          | 90, -90  | -                     | 45        | NACA 63-812 | 3 - 10  | 3.96                  |                       |
| (Ostovan and Uzol, 2016)                 | Wind  | Turkey  | Exp.     | 6           | (0)         | 90       | 1T                    | -0.5      | PSU 94-097  | ≈6      | 4.2                   | 6.5                   |
| (Tobin, Hamed and Chamorro, 2015)        | Wind  | USA     | Exp.     | 6.7         | 100         | 90       |                       |           |             | 5.4     | 8.2                   | 15.0                  |
| (Elfarra, Sezer-Uzol and Akmandor, 2015) | Wind  | Turkey  | CFD+GA   | 1.5         | (0)         | 45, 90   | 0, 2T                 | + -       | S809        | 1.5-7.5 | 3.2-4.6               | 0.8-1.5               |
| (Lawton and Crawford, 2014)              | Wind  | Canada  | CFD      | 5           |             | 90       | 6.73T                 | 0         | NACA 64     |         | ≈2                    | 2.8                   |
| (Elfarra, Sezer-Uzol and Akmandor, 2014) | Wind  | Turkey  | CFD+GA   | 1.5         | (0)         | 84       | 2T                    |           | S809        |         | ≈9                    | ≈1.3                  |

<sup>4</sup> T: twist, t: toe



|  |      |             |      |     |      |                         |       |   |               |      |         |         |
|--|------|-------------|------|-----|------|-------------------------|-------|---|---------------|------|---------|---------|
| (Saravanan, Parammasivam and S, 2013)      | Wind | India       | Exp. | 4   | 12.5 | 75                      |       |   | NACA 4412     | 2-3  | 2-6     |         |
| (Gertz, Johnson and Swytink-Binnema, 2012) | Wind | Canada      | Exp. | 8   | (0)  | 90                      | -0.5T | 0 | PSU 94-097    | 6.7  | 5-7     |         |
| (Chattot, 2009)                            | Wind | USA         | Num. | 10  | (0)  | -90                     |       |   | S809          | 5.39 | 3.5     |         |
| (Gaunaa and Johansen, 2007b)               | Wind | Denmark     | CFD  | 2   | 25   | 90                      |       |   | Risø B1-15    | 8    | 1.74    | 2.80    |
| (Gaunaa and Johansen, 2007a)               | Wind | Denmark     | Num. |     |      |                         |       |   |               |      | 2.47    | 2.61    |
| (Johansen and Sørensen, 2007)              | Wind | Denmark     | CFD  | 2   | 20   | 90                      | 4T    | 0 |               |      | 1.0-1.8 | 1.2-3.6 |
| (Johansen and Sørensen, 2006)              | Wind | Denmark     | CFD  | 1.5 |      | 90                      |       | 0 | NACA 64-518   |      | 1.3     | 1.6     |
| (Shimizu <i>et al.</i> , 2003b)            | Wind | Japan       | Exp. | 9   |      | Mie-type                |       |   | NACA 4418     | 5.5  | 14.5    |         |
| (Shimizu <i>et al.</i> , 2003a)            | Wind | Japan       | Exp. | 9   |      | Mie-type                |       |   | NACA 4418     | 5.42 | 8.75    |         |
| (Imamura, Hasegawa and Kikuyama, 1998)     | Wind | Japan       | Num. | 10  |      | 10-80                   |       | 0 | NACA 0012     |      |         |         |
| (Shimizu <i>et al.</i> , 1992)             | Wind | Japan       | Exp. | 20  |      | Mie-type                |       |   | NACA 4412     | 5    | 17      |         |
| (van Bussel, 1990)                         | Wind | Netherlands | Num. | 20  |      | Mie-type                |       |   | NACA 4412     | 8    | =       |         |
| (Shimizu <i>et al.</i> , 1990)             | Wind | Japan       | Exp. | ≈20 |      | Mie-type                |       |   | FX74-CL6-140  | 4    | 27      |         |
| (Gyatt and Lissaman, 1985)                 | Wind | USA         | Exp. | 5   |      | Single, fin, and double |       |   | NACA 23012,21 |      | -       |         |



## Chapter 3 Theory

In 1919 the German physicist Albert Betz concluded that the highest kinetic energy that could be extracted from a free flow passing through a planar disc is nearly 60% of the total energy available (Betz, 1927, p.911). Evidently, this is an ideal value considering some assumptions including the following:

- There is a uniform thrust throughout the entire disc.
- The flow is non compressible and it is perpendicular to the axis of rotation.
- Comparing it to a Horizontal Axis Turbines (HATs) the rotor would not have a hub and extracts energy as if it had infinite number of blades and without losses.

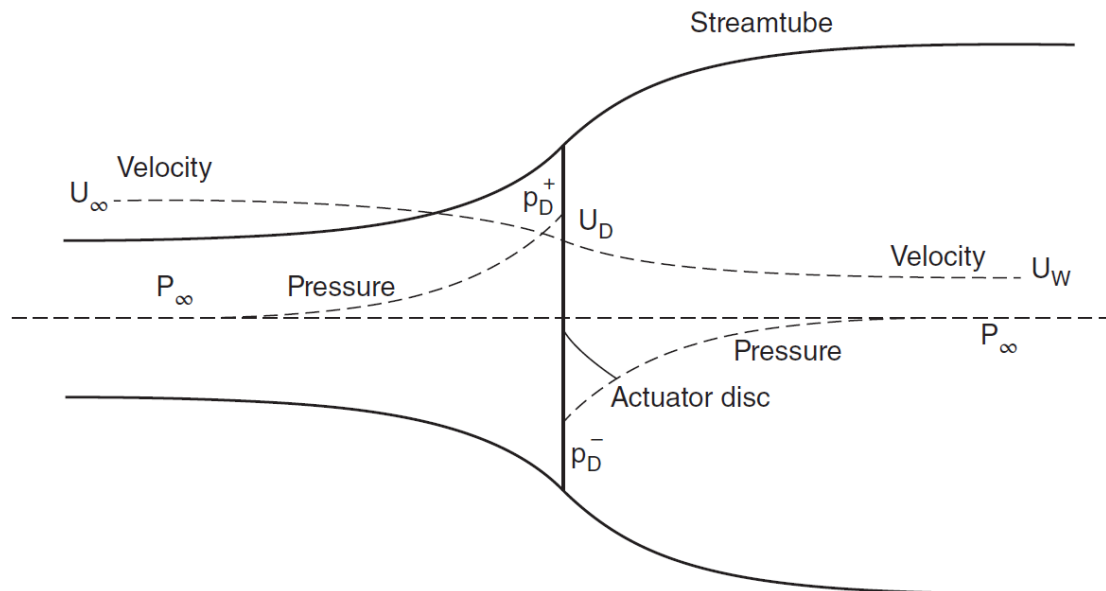


Figure 3.1 Ideal energy-extracting actuator disc (T. L. Burton *et al.*, 2021, p. 41).

Figure 3.1 is a schematic of the actuator disc that represents the behaviour of the flow as it passes through and energy is extracted. It can be seen that the flow has an initial velocity  $u_\infty$  and a velocity after energy is extracted  $u_w$ . The power coefficient  $C_p$  of the optimal amount of power  $P$  that can be extracted, when the  $\frac{u_w}{u_\infty}$  ratio is  $\frac{1}{3}$ , is equal to  $\frac{16}{27}$ :

$$C_{P_{\max}} = \frac{P_{\max}}{P_{\text{wind}}} = \frac{16}{27} \approx 0.593 \quad \text{Equation 3.1}$$

$\frac{1}{3}$  represents the ratio of the flow speed after and before the turbine. The flow experiences a change of momentum, caused due to the pressure difference. The peak power is extracted when the velocity in the far wake is a third of the ambient flow speed. Beyond this point, the flow

### Chapter 3

velocity at the disc starts to reduce and the power capture decreases. If there was a hypothetical situation where you could take all of the energy out of the flow, the velocity behind the disc would be  $0 \text{ m/s}$  ( $\frac{u_w}{u_\infty} = 0$ ), there is no more energy in the flow to convert into useful energy. In fact, as it can be seen in the Simple Momentum Theory that follows, the total speed reduction is equal before and after the rotor to achieve maximum power extraction.

Such power coefficient can be surpassed in an enclosed-device situation, where a Venturi-like nozzle would accelerate the flow and increase the rotational speed. Ideally, tidal arrays would be deployed as close to the coasts as possible, to reduce costs, and in locations with strong tidal currents. That is the case for narrow channels. In this scenario, the turbines placed in a line would act as a tidal fence that would 'block' a considerable cross-section of the channel. Such blockage would cause an increased speed of the water surrounding the turbines by the flow upstream as only a small amount of water could divert around the turbines (It is also true for hydropower, where turbines are ducted) (Twidell, 2015, p. 463).

Tidal turbines encounter three main differences with wind turbines: Reynolds numbers (inherent to the environment), possible cavitation and other stall characteristics (Batten et al., 2006, p.249). Most commercial wind turbines operate with Reynolds numbers on the range of  $10^6$  and  $10^7$  (Ge, Tian and Deng, 2016, p. 1). Tidal turbines operate around Reynolds numbers of  $10^6$  as well (SIMEC Atlantis Energy, 2018). The difference between the two relies on how such Reynolds numbers result from the calculations. The Reynolds number is defined as:

$$Re = \frac{\rho u L}{\mu} \quad \text{Equation 3.2}$$

Where  $\rho$  is the density,  $u$  is the flow speed,  $L$  is the section length, and  $\mu$  is the dynamic viscosity. On wind turbines the Reynolds number is product of higher flow speeds and a less viscous environment. In the case of tidal turbines, the Reynolds number is the result of the water density and higher viscosity. Table 3-1 shows a worked example for a tidal and wind turbine. The ratio of the Reynolds numbers is around 1:10.

Table 3-1 Re number for two example turbines.

|       | $\rho \text{ [kg/m}^3\text{]}$ | $TSR$ | $u \text{ [m/s]}$ | $\mu \text{ [kg/(m} \cdot \text{s)]}$ | Chord [m] | Re [ ]              |
|-------|--------------------------------|-------|-------------------|---------------------------------------|-----------|---------------------|
| Tidal | 1025                           | 5.0   | 3                 | $1.002 \times 10^{-3}$                | 0.4       | $6.017 \times 10^6$ |
| Wind* | 1.225                          | 5.0   | 15                | $1.825 \times 10^{-5}$                | 0.1       | $5.034 \times 10^5$ |

\* For a small wind turbine

Cavitation appears when water pressure is reduced below the vapour pressure, forming bubbles that subsequently implode in regions of higher pressure. It has erosive effects on the blades, reduces hydrodynamic performance, and produces noise and vibration (Carlton, 2019, p. 13). Cavitation is more likely to occur at the tip of the blade during the highest point of its rotation (Myers and Bahaj, 2006, p.2209). Considering that tidal turbines need to be installed in shallow waters (less than 50 m), the tip speed should be limited to 10 m/s–15 m/s, as shown in a cavitation diagram as a function of depth by Fraenkel (2002, pp. 3–6). Power control by means of stall regulation might be a better cost-effective solution rather than pitch regulation due to the complications implicated in the last one (Batten et al., 2008, p.1095). Stall regulation occurs when the hydrodynamic performance of the airfoil decreases as the angle of attack increases in high flow speeds, pitch regulation relies on an active pitch control for the blades. Nearly all present designs of large wind turbines are pitch-regulated as the addition of active power control across all operating conditions is seen as beneficial for the additional cost and complexity. Additionally, Horizontal Axis Wind and Tidal Turbines (HAWTs and HATTs) have losses from the tips of around 5-10% (Wood, Okulov and Bhattacharjee, 2016, p. 269), and there is also a small percentage of unused energy at the centre of the rotor. It is estimated that by redirecting that flow to the edges of the turbine, there could be an increment of 3% in power (Peters, 2015).

### 3.1 Aerodynamics of HAWTs

The Wind Energy Handbook (T Burton *et al.*, 2011, pp. 39–92) dedicates a chapter on the Aerodynamics for Horizontal Axis Wind Turbines. For the present project it is important to recall two main theories: the actuator disc theory and the rotor blade theory. This section presents a summary of the underlying theory for HAWTs that can be applied for Horizontal Axis Tidal Turbines (HATTs).

#### 3.1.1 Actuator Disc Theory

It can be assumed that in an ideal wind turbine, the stream flow affected by it is surrounded by a boundary layer, and that the air does not cross it. As the speed upstream is higher than the one downstream, consequently, the stream-tubular section is smaller upstream and bigger downstream. The mass of air at any cross-section of this “tube” is given by  $\rho AU$ , where  $\rho$  is the density of air,  $A$  the cross-section area and  $U$  the air velocity. With this,

$$\rho A_{\infty} U_{\infty} = \rho AU = \rho A_w U_w \quad \text{Equation 3.3}$$

where 1 refers to upstream, no sub-script at the actuator disc, and 2 downstream. Refer to Figure 3.1 for the evolution of the flow as it passes through the actuator disc.

There is a drop in the static pressure at the disc. It is also considered that the actuator disc will decrease the upstream velocity by  $-aU_\infty$ .  $a$  is defined as the axial flow induction factor. Leaving a velocity at the disc of

$$U = U_\infty(1 - a) \tag{Equation 3.4}$$

**3.1.1.1 Simple Momentum Theory**

The air passing through the disc varies its velocity, thus a change of momentum defined by

$$\text{Change of momentum} = (U_\infty - U_w)\rho AU \tag{Equation 3.5}$$

The change of momentum is caused by the pressure difference before and after the disc. The high pressure, represented by the “+” sign, is facing the flow and the side with low pressure, represented with the “-” sign, is in the same direction of the flow. So,

$$(P^+ - P^-)A = (U_\infty - U_w)\rho AU_\infty(1 - a) \tag{Equation 3.6}$$

To get the pressure difference, Bernoulli’s equation can be applied upstream and downstream.

Under steady conditions, the total mechanical energy is constant

$$\frac{1}{2}\rho U^2 + P + \rho gh = K \tag{Equation 3.7}$$

The equation represents the total energy in the flow. From left to right: kinetic energy, static pressure, and potential energy. Where:

- $\rho$  is the density [kg/m<sup>3</sup>],
- $U$  is the flow speed [m/s],
- $P$  is the static pressure [Pa],
- $g$  is the gravitational constant [9.81 m/s<sup>2</sup>], and
- $h$  is the height from a point of reference [m].

Applying upstream and downstream, considering that the flow is incompressible and horizontal

$$(P^+ - P^-)A = \frac{1}{2}\rho(U_\infty^2 - U_w^2) \tag{Equation 3.8}$$

Substituting in equation 2.5 and solving the quadratic equation for  $U_2$  gives

$$U_w = U_\infty(1 - 2a) \tag{Equation 3.9}$$

From Equation 3.4, it can be seen that half of the speed is lost upstream of the actuator disc and the other half downstream. The second solution to the quadratic equation is when  $U_2$  is the same as  $U_\infty$ , when  $a = 0$ , as if the disc had no effect on the flow.

### 3.1.1.2 The Power Coefficient

From Equation 3.6 the force can be calculated as:

$$F = (P^+ - P^-)A = 2\rho AU_\infty^2 a(1 - a) \quad \text{Equation 3.10}$$

The power is  $FU$

$$\text{Power} = FU = 2\rho AU_\infty^3 a(1 - a)^2 \quad \text{Equation 3.11}$$

The power coefficient is the Power as defined previously, divided by the available power at the actuator disc, with the same conditions, but as if the disc had no effect on the flow

$$C_P = \frac{\text{Power}}{\frac{1}{2}\rho AU_\infty^3} \quad \text{Equation 3.12}$$

Then

$$C_P = 4a(1 - a)^2 \quad \text{Equation 3.13}$$

### 3.1.1.3 The Lanchester-Betz limit

$C_P$  has a maximum value when

$$\frac{dC_P}{da} = 4(1 - a)(1 - 3a) = 0 \quad \text{Equation 3.14}$$

$$\text{And } a = \frac{1}{3}$$

As stated at the beginning of the chapter,

$$C_{P\max} = \frac{16}{27} \approx 0.593 \quad \text{Equation 3.15}$$

### 3.1.1.4 The Thrust Coefficient

Similarly, the thrust coefficient becomes

$$C_T = \frac{\text{Thrust}}{\frac{1}{2}\rho U_\infty^2 A} \quad \text{Equation 3.16}$$

$$C_T = 4a(1 - a) \quad \text{Equation 3.17}$$

This is valid for values of  $a < \frac{1}{2}$ , because when  $a = \frac{1}{2}$ ,  $U_w = (1 - 2a)U_\infty$  becomes zero, then the previously mentioned momentum theory no longer applies, as shown in Figure 3.2. The theoretical and experimental values for the thrust coefficient are compared in Figure 3.3, where it

can be appreciated that around the optimum value of  $a_T = \frac{1}{3}$ , the coefficient keeps increasing without reaching an evident peak.

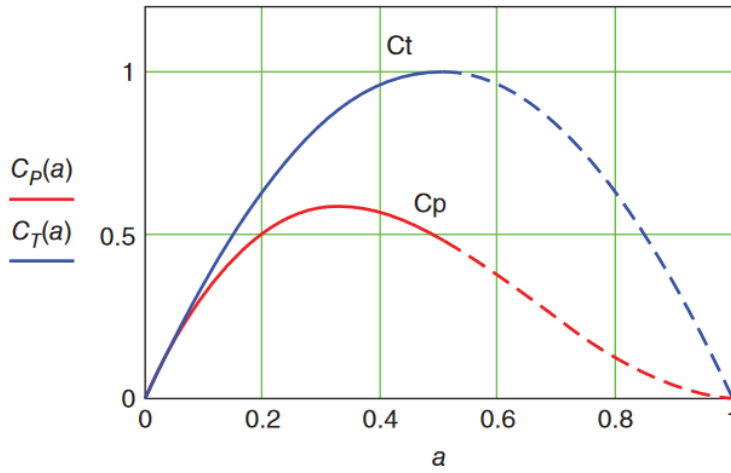


Figure 3.2 Theoretical variation of CP and CT with the induction factor (T. Burton *et al.*, 2021, p. 44).

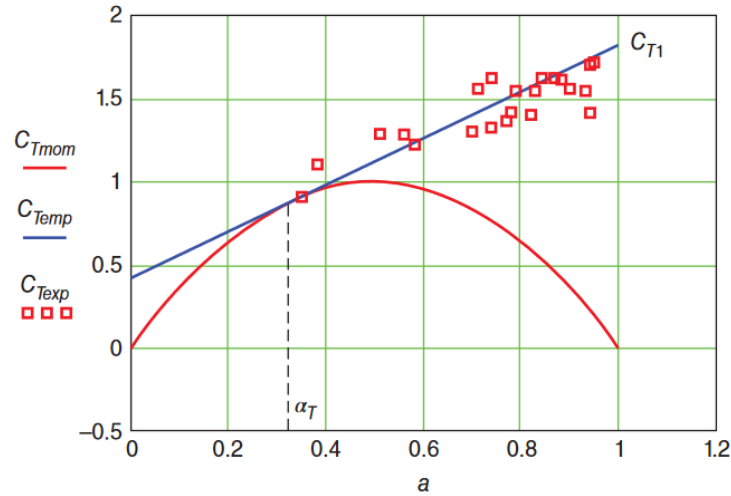


Figure 3.3 Theoretical and measured values of the thrust coefficient (T. Burton *et al.*, 2021, p. 67).

**3.1.2 Rotor disc theory**

Wind turbines consist of a rotor with n number of blades rotating at an angular velocity  $\Omega$ . As the rotor spins, it sweeps out a disc, creating a pressure difference across it. This creates a loss of axial momentum in the wake. Together with that loss, there is a “loss” of energy that could be collected by an electrical generator connected to the shaft. Together with the thrust, the rotor sees a torque in the direction of rotation, opposite to the resistance exerted by the generator.



### 3.1.2.1 Wake rotation

The torque on the rotor disc produced by the flow produces a torque of equal magnitude and opposite direction on such flow. This causes the flow to rotate in opposite direction of the rotor. The flow gains a velocity factor tangent to the rotation, together with the axial one.

### 3.1.2.2 Angular momentum theory

The tangential and axial velocities are different for every section of the disc, called annular rings.

$$\delta\tau = \rho\delta AU_\infty(1-a)2\Omega a' r^2 \quad \text{Equation 3.18}$$

Where  $\delta A$  is the area of an annular ring.

$$\delta P = \delta\tau\Omega$$

Making equal to Equation 3.11,

$$2\rho\delta AU_\infty^3 a(1-a)^2 = \rho\delta AU_\infty(1-a)2\Omega^2 a' r^2$$

simplifying

$$U_\infty^2 a(1-a) = \Omega^2 r^2 a'$$

$\Omega r$  is the tangential velocity of the ring.  $\lambda_r = \Omega r / U_\infty$  is the *local tip speed ratio*. At the edge  $r = R$ , and  $\lambda = \Omega R / U_\infty$  is called the *tip speed ratio*.

Then,

$$a(1-a) = \lambda_r^2 a' \quad \text{Equation 3.19}$$

From Equation 3.18, considering that the area of the ring is  $\delta A = 2\pi r \delta r$ ,

$$\delta P = \delta\tau\Omega = \left(\frac{1}{2}\rho U_\infty^3 2\pi r \delta r\right) 4a'(1-a)\lambda_r^2$$

Where the term in parenthesis is the available power passing through, then, the remaining component is the section efficiency

$$\eta_r = 4a'(1-a)\lambda_r^2 \quad \text{Equation 3.20}$$

For the power coefficient:

$$\frac{\delta C_P}{dr} = \frac{4\pi\rho U_\infty^3(1-a)a'\lambda_r^2 r}{\frac{1}{2}\rho U_\infty^3 \pi R^2} = \frac{8(1-a)a'\lambda_r^2 r}{R^2}$$

$$\frac{\delta C_p}{\delta \mu} = 8(1 - a)a'\lambda^2\mu^3 \quad \text{Equation 3.21}$$

$$\mu = r/R.$$

Bernoulli's theorem can be applied at the disc to give

$$\begin{aligned} \frac{1}{2}\rho U_\infty^2(1 - a)^2 + \frac{1}{2}\rho\Omega^2 r^2 + \frac{1}{2}\rho w^2 + p^+ \\ = \frac{1}{2}\rho U_\infty^2((1 - a)^2 + \frac{1}{2}\rho\Omega^2(1 + 2a')^2 r^2 + \frac{1}{2}\rho w^2 + p^- \end{aligned}$$

Then

$$\Delta p = 2\rho\Omega^2(1 + a')a'r^2$$

There are two components to this pressure drop. One

$$\Delta p_1 = 2\rho\Omega^2 a'r^2 \quad \text{Equation 3.22}$$

and the second

$$\Delta p_2 = 2\rho\Omega^2 a'^2 r^2 \quad \text{Equation 3.23}$$

which is caused by a radial, static pressure gradient in the wake, balancing the centrifugal force.

$$\frac{dp}{dr} = \rho(2\Omega a')^2 r$$

### 3.1.2.3 Maximum power

Differentiating Equation 3.20

$$\frac{da}{da'} = \frac{1 - a}{a'} \quad \text{Equation 3.24}$$

Doing the same for Equation 3.19

$$\frac{da}{da'} = \frac{\lambda_r^2}{1 - 2a}$$

making them both equal

$$a'\lambda_r^2 = (1 - a)(1 - 2a) \quad \text{Equation 3.25}$$

Solving Equation 3.19 for  $a'$ , and substituting in Equation 3.25 gives the optimum values for  $a$  and  $a'$  that give the maximum power coefficient

$$a = \frac{1}{3}$$

and

$$a' = \frac{a(1-a)}{\lambda_r^2} \quad \text{Equation 3.26}$$

Substituting Equation 3.30 in Equation 3.21

$$C_p = 8 \int_0^1 (1-a) \frac{a(1-a)}{\lambda^2 \mu^2} \lambda^2 \mu^3 d\mu = 4a(1-a)^2 = \frac{16}{27} \quad \text{Equation 3.27}$$

### 3.1.3 Rotor blade theory (blade-element/momentum theory)

The forces on the blade elements with radius  $r$  and length  $\delta r$  for multiple blades of a wind turbine, produce a rate of change of axial and angular momentum passing through the rotor. Additionally, the force on the elements induced by the change in pressure related to the rotational velocity in the wake is also produced by the lift and drag forces.

#### 3.1.3.1 Blade element theory

It starts with the assumption that the forces mentioned above can be calculated as it would be done in a two-dimension airfoil, with an angle of attack  $\alpha$  from the incident velocity at the 2-D plane of the blade, ignoring the spanwise velocity and 3D effects.

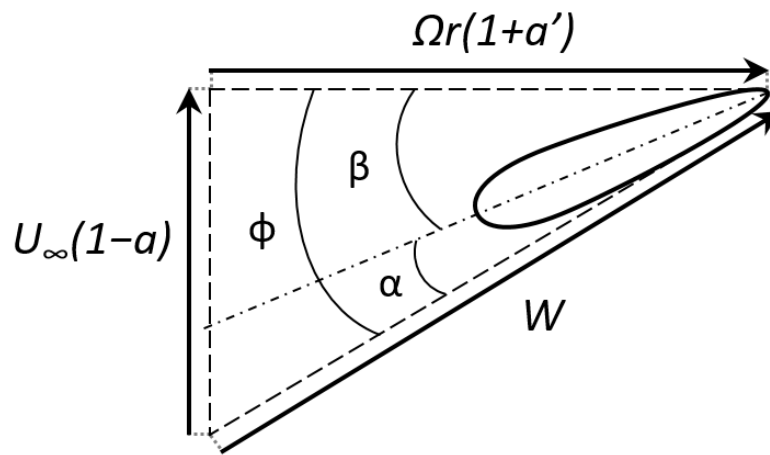


Figure 3.4 Blade element diagram.

Considering a turbine with  $B$  blades, radius  $R$ , chord  $c$ , and a pitch angle  $\beta$ . Where the chord and pitch angle vary along the blade. Blades rotating at angular velocity  $\Omega$ . The tangential velocity is  $r\Omega$ , and the one of the wake is  $a'r\Omega$ . Combined, the tangential flow is  $(1+a')r\Omega$ . The resultant relative velocity at the blade is  $W$

$$W = \sqrt{U_\infty^2(1-a)^2 + r^2\Omega^2(1+a')^2} \quad \text{Equation 3.28}$$

## Chapter 3

Acting at an angle  $\phi$ . Then

$$\sin\phi = \frac{U_\infty(1-a)}{W} \text{ and } \cos\phi = \frac{r\Omega(1+a')}{W} \quad \text{Equation 3.29}$$

The angle of attack is

$$\alpha = \phi - \beta \quad \text{Equation 3.30}$$

The main assumption of this theory is that the lift and drag forces are the same as in an element facing a 2-D flow. The lift force is defined by

$$\delta L = \frac{1}{2}\rho W^2 c C_l \delta r \quad \text{Equation 3.31}$$

and the drag

$$\delta D = \frac{1}{2}\rho W^2 c C_d \delta r \quad \text{Equation 3.32}$$

Where  $C_l$  and  $C_d$  are the corresponding lift and drag coefficients.

### 3.1.3.2 The blade-element/momentum (BEM) theory

In the BEM theory, it is assumed that the forces of the blade are the only ones responsible for the change in axial momentum of the air passing through the swept area and that the axial induction factor does not change at different radii. In practice, this factor is rarely uniform, but assuming it does not change has been experimentally proven to be acceptable (Lock, Bateman and Townend, 1924).

The thrust on the turbine equals the rate of change of axial momentum from Equation 3.10, with  $A = 2\pi r\delta r$

$$\delta T = \frac{1}{2}\rho W^2 Bc(C_l \cos\phi + C_d \sin\phi)\delta r = 2\pi r\delta r \rho U_\infty(1-a)2aU_1 \quad \text{Equation 3.33}$$

The torque equals the rate of change of angular momentum

$$\delta \tau = \frac{1}{2}\rho W^2 Bcr(\delta L \sin\phi - \delta D \cos\phi)\delta r = 2\pi r\delta r \rho U_\infty(1-a)2a'r^2\Omega \quad \text{Equation 3.34}$$

If drag is disregarded from the equations,  $\phi$  can be calculated from

$$\tan\phi = \frac{a'r\Omega}{aU_\infty} = \frac{a'}{a} \frac{r}{R} \lambda \quad \text{Equation 3.35}$$

$\lambda$  is the tip speed ratio  $R\Omega/U_\infty$ .

From geometry, the inflow angle is also

$$\tan\phi = \frac{1 - a}{\lambda_r(1 + a')} \quad \text{Equation 3.36}$$

and  $\lambda_r$  is the local speed ratio  $r\Omega/U_\infty$ .

Equating both formulas

$$\frac{a' r}{a R} \lambda = \frac{1 - a}{\lambda_r(1 + a')} \quad \text{Equation 3.37}$$

$$a(1 - a) = \lambda_r^2 a'(1 + a')$$

$\mu = r/R$  and at the edge of the blade  $\mu = 1$  and  $a' = a'_t$ , then

$$a(1 - a) = \lambda^2 a'_t(1 + a'_t) \quad \text{Equation 3.37b}$$

Including the drag, Equation 3.33 can be simplified to

$$\frac{W^2}{U_\infty^2} B \frac{c}{R} (C_l \cos\phi + C_d \sin\phi) = 8\pi a(1 - a)\mu \quad \text{Equation 3.38}$$

The torque in Equation 3.34 becomes

$$\frac{W^2}{U_\infty^2} B \frac{c}{R} (C_l \sin\phi - C_d \cos\phi) = 8\pi \lambda \mu^2 a'(1 - a) \quad \text{Equation 3.39}$$

For convenience

$$C_x = C_l \cos\phi + C_d \sin\phi \quad \text{Equation 3.40}$$

and

$$C_y = C_l \sin\phi - C_d \cos\phi \quad \text{Equation 3.40b}$$

Equations Equation 3.38 and Equation 3.39 are solved for  $a$  and  $a'$  in an iterative process, considering a 2-D airfoil. The following equations, derived from Equation 3.38, Equation 3.39, Equation 3.40, and Equation 3.40b are more convenient to use. The right-hand side of the equations are initially evaluated with some approximated values, leaving easier equations to solve for the next iteration.

$$\frac{a}{1 - a} = \frac{\sigma_r}{4 \sin^2 \phi} C_x \quad \text{Equation 3.41}$$

$$\frac{a'}{1 - a'} = \frac{\sigma_r}{4 \sin \phi \cos \phi} C_y \quad \text{Equation 3.42}$$

$\sigma$  is the blade solidity, and it is defined as the blade front area divided by the total rotor area.  $\sigma_r$  is the chord solidity, and it is the blade chord length at a given radius divided by its respective circumferential length.

$$\sigma_r = \frac{Bc}{2\pi r} = \frac{Bc}{2\pi\mu R} \tag{Equation 3.43}$$

In practice  $a$  is not uniform along the blade, the error involved assuming that it is, is small for tip speed ratios greater than 3. The blade-element/momentum theory is summarized in Figure 3.5.

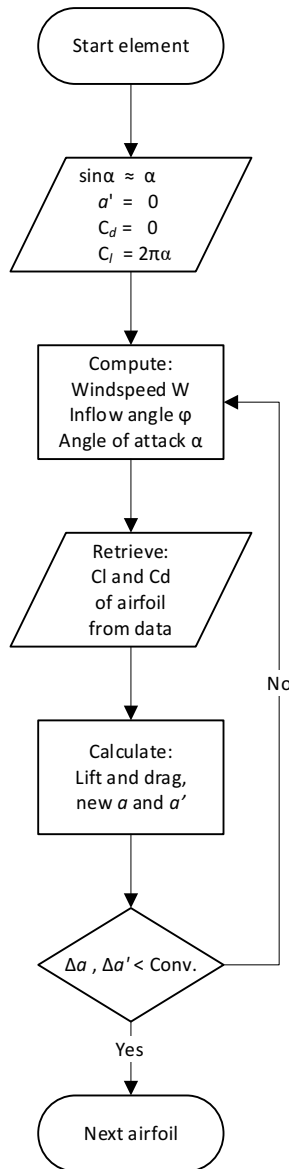


Figure 3.5 Flow chart of the blade-element/momentum theory.

The iteration process starts by making the following assumptions: the angle of attack ( $\alpha$ ) is very small, so that  $\sin(\alpha) \approx \alpha$ ; the tangential induction factor ( $a'$ ) is zero; and the drag coefficient ( $C_d$ ) is zero too. This simplifies the calculation of the lift coefficient ( $C_l$ ). From there, an inflow angle and new angle of attack are calculated. With information of the lift and drag coefficients for the

specific airfoil, the lift and drag forces are calculated. New axial and tangential induction factors are obtained from the forces and compared with the previous factors. When the difference between the new induction factors, and the previously calculated ones is within the convergence criterion, the process for this annular ring is complete, and the process is repeated for the next section until the forces for all the blade sections have been calculated.

### 3.1.3.3 Determining the rotor power and torque

To calculate the performance of a rotor, an iterative process is also needed. It starts by assuming that  $a$  and  $a'$  are initially zero, obtaining  $\phi$ ,  $C_l$ , and  $C_d$ , then calculate new factors using Equation 3.41 and Equation 3.42, until values converge.

From Equation 3.34, the torque at each blade section with length  $\delta r$  is

$$\delta\tau = 4\pi\rho U_\infty \Omega r a' (1 - a) r^2 \delta r$$

including the drag

$$\delta\tau = 4\pi\rho U_\infty \Omega r a' (1 - a) r^2 \delta r - \frac{1}{2} \rho W^2 B c C_d \cos\phi r \delta r$$

The whole rotor develops a torque

$$\tau = \frac{1}{2} \rho U_\infty^2 \pi R^3 \lambda \int_0^R \mu^2 \left( 8a'(1 - a)\mu - \frac{W}{U_\infty} \frac{B}{\pi} \frac{c}{R} C_d (1 + a') \right) \delta\mu \quad \text{Equation 3.44}$$

The power developed is

$$P = \tau\Omega$$

The power coefficient is

$$C_p = \frac{P}{\frac{1}{2} \rho U_\infty^3 \pi R^2}$$

It is an iterative solution because the airfoil characteristics are a non-linear function of the AoA. The process is summarized in Figure 3.6.

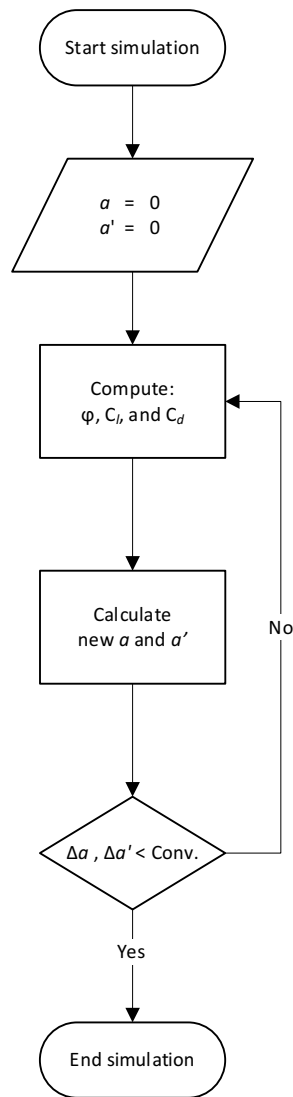


Figure 3.6 Flowchart of the calculation of the rotor performance.

The performance of a rotor is calculated over a range of tip speed ratios. From the blade-element/momentum method previously described, the lift and drag as a function of the angle of attack and Reynolds numbers are interpolated to determine the coefficients of the rotor at a certain angle of attack.

### 3.1.4 Blade geometry

#### 3.1.4.1 Optimum design for variable speed

For a given tip speed ratio, the torque at each section is maximised when

$$8\pi\lambda\mu^2 \frac{d}{da'} a'(1-a) = 0$$

so that



$$\frac{da}{da'} = \frac{1-a}{a'} \quad \text{Equation 3.45}$$

Dividing Equation 3.39 over Equation 3.38

$$\frac{\frac{C_l}{C_d} \tan\phi - 1}{\frac{C_l}{C_d} + \tan\phi} = \frac{\lambda\mu a'(1-a)}{a(1-a) + (a'\lambda\mu)^2} \quad \text{Equation 3.46}$$

The inflow angle  $\phi$  is

$$\tan\phi = \frac{1-a}{\lambda\mu(1+a')} \quad \text{Equation 3.47}$$

Substituting Equation 3.47 in Equation 3.46

$$\frac{\frac{C_l}{C_d} \frac{1-a}{\lambda\mu(1+a')} - 1}{\frac{C_l}{C_d} + \frac{1-a}{\lambda\mu(1+a')}} = \frac{\lambda\mu a'(1-a)}{a(1-a) + (a'\lambda\mu)^2}$$

Simplifying

$$\begin{aligned} & \left[ \frac{C_l}{C_d} (1-a) - \lambda\mu(1+a') \right] [a(1-a) + (a'\lambda\mu)^2] \\ & = \left[ \lambda\mu(1+a') \frac{C_l}{C_d} + (1-a) \right] \lambda\mu a'(1-a) \end{aligned} \quad \text{Equation 3.48}$$

Ignoring drag, Equation 3.48 reduces to

$$a(1-a) - \lambda^2\mu^2 a' = 0 \quad \text{Equation 3.48b}$$

Differentiating Equation 3.48b with respect to  $a'$

$$(1-2a) \frac{da}{da'} - \lambda^2\mu^2 = 0 \quad \text{Equation 3.49}$$

Substituting Equation 3.45 into Equation 3.49

$$(1-2a)(1-a) - \lambda^2\mu^2 a' = 0 \quad \text{Equation 3.50}$$

Solving Equation 3.48b for  $a'$  and substituting in Equation 3.50, the flow induction factors are obtained for optimum operation

$$a = \frac{1}{3} \quad \text{and} \quad a' = \frac{a(1-a)}{\lambda^2\mu^2} \quad \text{Equation 3.51}$$

To determine blade geometry, and necessary pitch angle  $\beta$ , substitute  $\sin\phi$  in Equation 3.39 (still disregarding the drag)

$$\frac{W}{U_\infty} B \frac{c}{R} C_l (1 - a) = 8\pi\lambda\mu^2 a' (1 - a) \quad \text{Equation 3.52}$$

From where

$$\frac{B}{2\pi R} \frac{c}{R} = \frac{4\lambda\mu^2 a'}{\frac{W}{U_\infty} C_l}$$

Then

$$\sigma_r \lambda C_l = \frac{B}{2\pi R} \frac{c}{R} \lambda C_l = \frac{4\lambda^2 \mu^2 a'}{\sqrt{(1 - a)^2 + (\lambda\mu(1 + a'))^2}} \quad \text{Equation 3.53}$$

Including the optimum values of Equation 3.51

$$\sigma_r \lambda C_l = \frac{\frac{8}{9}}{\sqrt{\left(1 - \frac{1}{3}\right)^2 + \lambda^2 \mu^2 \left(1 + \frac{2}{9\lambda^2 \mu^2}\right)^2}} \quad \text{Equation 3.53b}$$

The left-hand side of Equation 3.53b includes the chord solidity  $\sigma_r$  and the lift coefficient  $C_l$  as the blade geometry parameter.

Turbines operate at variable speeds and can still maintain a constant tip speed ratio to obtain a maximum power coefficient. The torque has to be maximised at each station for a chosen tip speed ratio. The drag is disregarded at first and only the lift component is calculated, which gives the induction factors in Equation 3.51 ( $a = \frac{1}{3}$  in agreement with the momentum theory).

For the blade geometry (how the chord varies along the blade) and pitch angle  $\beta$  distribution, the lift coefficient can be defined as the value that corresponds to the maximum lift to drag ratio, even though the drag has been disregarded. If for a specific design,  $C_l$  is kept constant, Figure 3.7 shows how the geometry needs to be for higher tip speed ratios. A high tip speed ratio needs a thin long blade, and a low tip speed requires a thick short one.

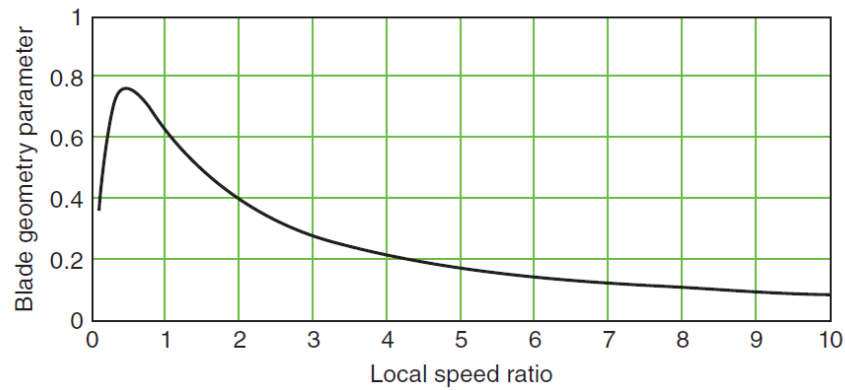


Figure 3.7 Blade geometry parameter versus local tip speed ratio (T. L. Burton *et al.*, 2021, p. 71).

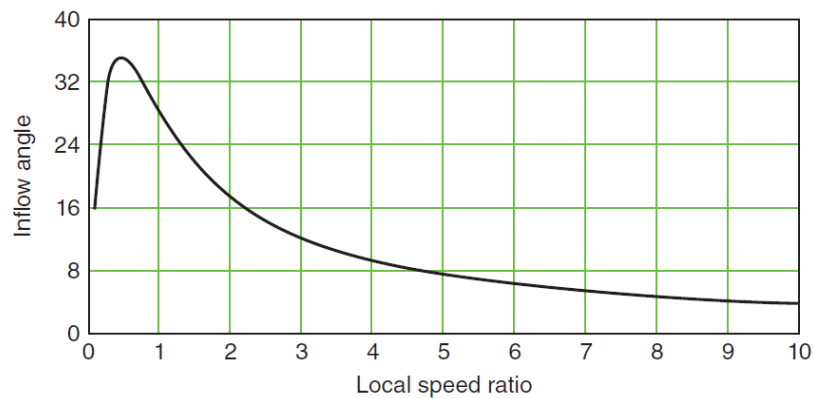


Figure 3.8 Inflow angle versus local tip speed ratio (T. L. Burton *et al.*, 2021, p. 72).

Similarly, the inflow angle varies as shown in Figure 3.8 and Equation 3.54. As with the blade geometry, close to the root, the inflow angle is larger.

$$\tan \phi = \left[ \frac{1 - a}{\lambda \mu (1 + a')} \right] \quad \text{Equation 3.54}$$

For optimum operation (when  $a = \frac{1}{3}$ ):

$$\tan \phi = \left[ \frac{1 - \frac{1}{3}}{\lambda \mu \left( 1 + \frac{2}{9 \lambda^2 \mu^2} \right)} \right] \quad \text{Equation 3.54a}$$

### 3.1.4.2 A blade design

Taking the NACA 4412 airfoil as an example (a common choice for hand-made wind turbines due to its bottom side being almost flat, which makes it easy to manufacture), at a Reynolds number of around  $5 \times 10^5$ , the maximum lift to drag ratio happens at a lift coefficient of approximately 0.7 and an angle of attack of around  $3^\circ$ . Keeping  $C_l$  and  $\alpha$  constant at those values, on a three-blade

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rotor operating at a tip speed ratio of 6, the blade chord to radius ratio and the twist vary as shown in Figure 3.9.

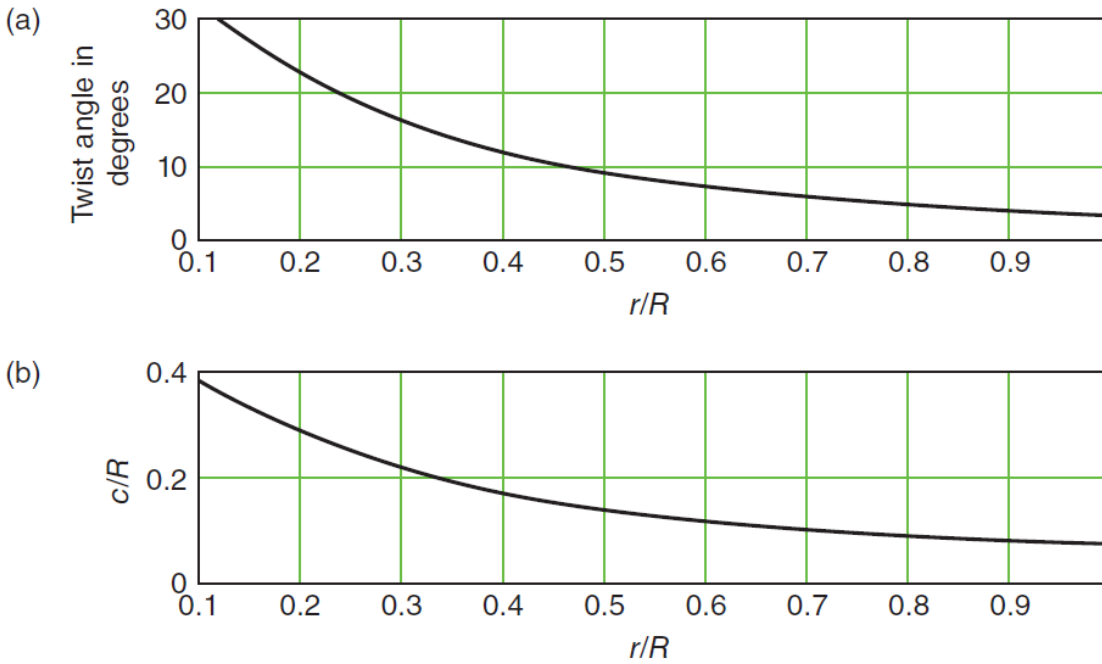


Figure 3.9 Optimum blade twist and chord to radius ratio (T. L. Burton *et al.*, 2021, p. 73).

It can be seen in Figure 3.9b that the blade does not have a uniform taper, which would make it difficult to manufacture. At optimum tip speed ratio, most of the torque comes from the outer part of the blade (T Burton *et al.*, 2011, p. 72). At the same time, the tip losses occur at the blade tip as it will be seen in the following sections. To make the manufacturing process simple, a straight line can be drawn passing through the outer 70% to 90% section of the blade, at the same time a lot of material is removed from the root as can be observed in Figure 3.10.

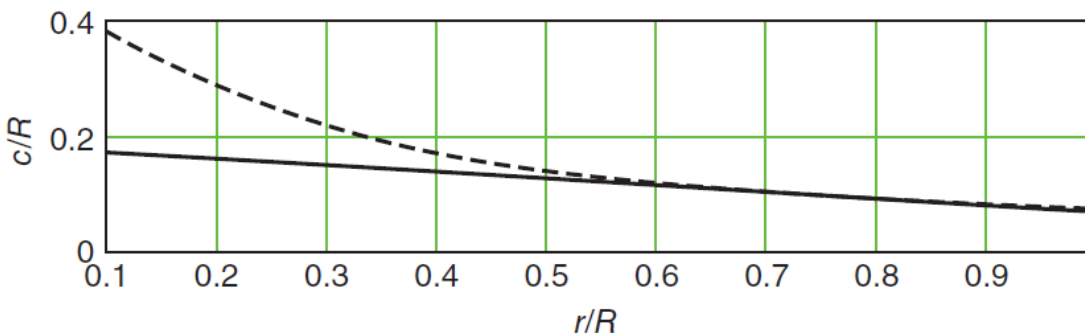


Figure 3.10 Linear taper for optimal blade design (T Burton *et al.*, 2011, p. 71).

The new chord to radius ratio is:

$$\frac{c}{R} = \frac{8}{9(0.8\lambda)} \left( 2 - \frac{\lambda\mu}{0.8\lambda} \right) \frac{2\pi}{C_l\lambda B} \quad \text{Equation 3.55}$$

0.8 in Equation 3.55 refers to the point at 80% of the blade, midpoint between the chosen values. Equation 3.53b and Equation 3.55 can be combined to calculate the  $C_l$  along the blade (Figure 3.11).

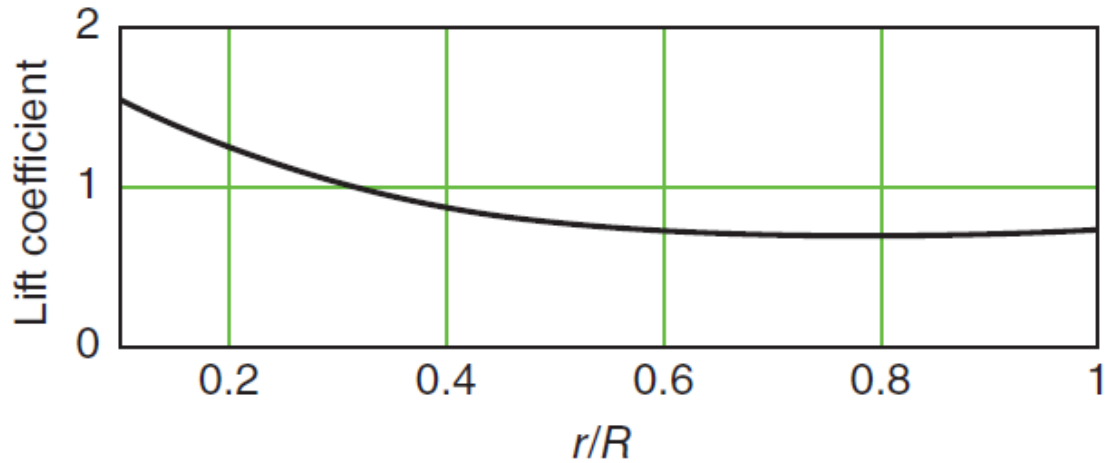


Figure 3.11 Lift coefficient along the linear tapered blade (T. L. Burton *et al.*, 2021, p. 74).

### 3.1.4.3 Effect of drag on optimum blade design

The same procedure followed in section 3.1.3.2 for Equation 3.33 can be done including the drag. Equation 3.39 becomes

$$\frac{B}{2\pi R} \frac{c}{\lambda C_l} = \frac{4\lambda^2\mu^2 a'(1-a)}{\frac{W}{U_\infty} \sqrt{(1-a) - \frac{C_l}{C_d} \lambda\mu(1+a')}} \quad \text{Equation 3.56}$$

Figure 3.12 shows the results of Equation 3.44 with maximum power coefficients for different TSRs and lift to drag ratios. Induction factors have been calculated without considering drag (Equations Equation 3.41 and Equation 3.42) the torque is the one that includes drag from Equation 3.44.

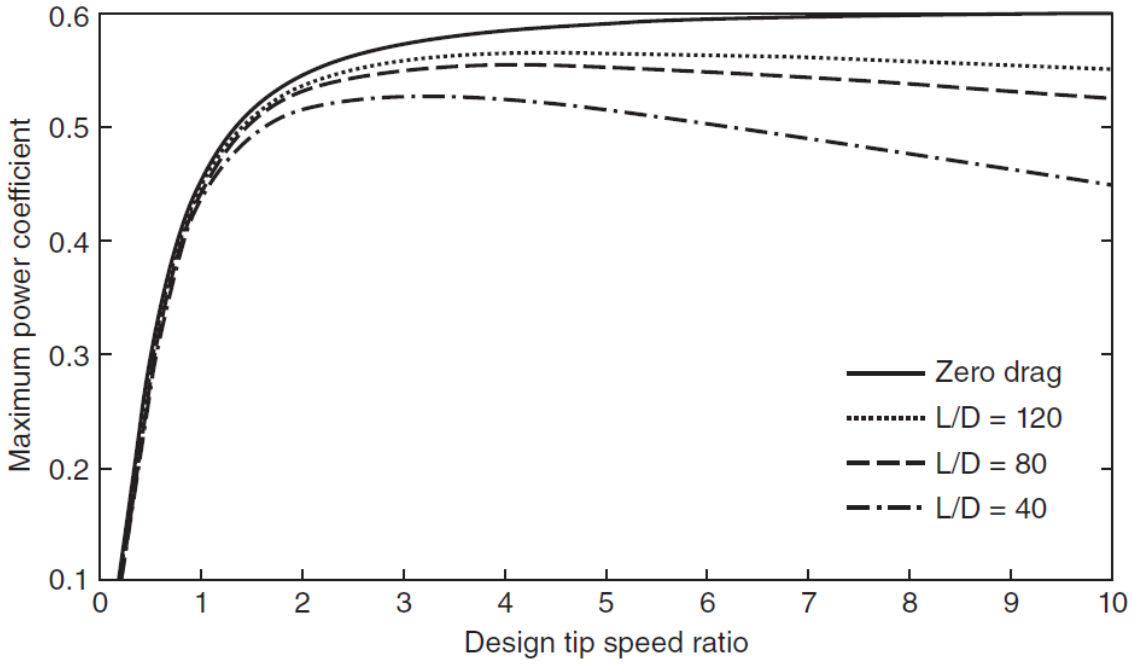


Figure 3.12 Variation of  $C_p$  versus TSR for different lift/drag ratios (T. L. Burton *et al.*, 2021, p. 76).

**3.1.4.4 Tip losses**

To be able to quantify tip losses, it would be necessary to know how the axial induction factor varies azimuthally, but it is not possible to calculate using the blade element-momentum theory. In 1919, Ludwig Prandtl developed an approximation for the tip-loss factor.

**3.1.4.5 Prandtl’s approximation**

In 1919 Ludwig Prandtl developed an approximate solution for the tip-loss factor  $f(r)$

$$f(r) = \frac{2}{\pi} \cos^{-1} \left( e^{-\pi \left( \frac{R_w - r}{d} \right)} \right) \tag{Equation 3.57}$$

$R_w - r$  is measured from the edge of the wake.  $d$  is the distance between discs. The angle of the vortex sheets is  $\phi_s$

$$d = \frac{2\pi R_w}{B} \sin \phi_s = \frac{2\pi R_w U_1 (1 - a)}{B W_s} \tag{Equation 3.58}$$

Figure 3.13 shows the variation of the tip loss factor from the root to the tip of a blade.

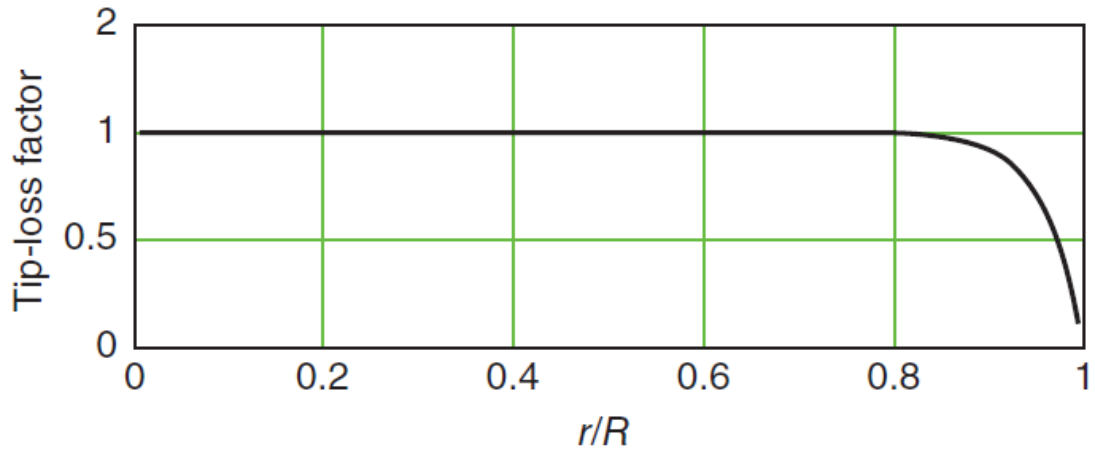


Figure 3.13 Variation of the tip-loss factor along the span (T. L. Burton *et al.*, 2021, p. 79).

### 3.1.4.6 Effect of tip losses on optimum blade design

Without tip losses, the optimum axial induction factor is constant at  $\frac{1}{3}$ . Considering tip losses,  $a$  becomes zero at the end of the wake and increases as it approaches the tip of the blade. If  $a(r)$  varies with the radius, the flow factor is  $a(r)/f(r)$ . From Equation 3.47, the inflow angle becomes

$$\tan\phi = \frac{1}{\lambda\mu} \left( \frac{1 - \frac{a}{f}}{1 + \frac{a'}{f}} \right) \quad \text{Equation 3.59}$$

If drag is disregarded, Equation 3.47 becomes

$$\tan\phi = \frac{\lambda\mu a'(1-a)}{a(1-a) + (a'\lambda\mu)^2} \quad \text{Equation 3.60}$$

Therefore,

$$\frac{\lambda\mu a'(1-a)}{a(1-a) + (a'\lambda\mu)^2} = \frac{\left(1 - \frac{a}{f}\right)}{\lambda\mu \left(1 + \frac{a'}{f}\right)}$$

Expanding

$$\lambda^2\mu^2 \frac{(f-1)}{f} a'^2 - \lambda^2\mu^2(1-a)a' + a(1-a) \left(1 - \frac{a}{f}\right) = 0 \quad \text{Equation 3.61}$$

The first term of the previous equation can be ignored because it is zero for most part of the blade where  $f = 1$ , and in the tip region  $a'^2$  is very small. For tip speed ratios of more than three, such assumption makes a negligible difference.

$$\lambda^2 \mu^2 a' = a \left(1 - \frac{a}{f}\right) \quad \text{Equation 3.62}$$

Equation 3.45 is still valid

$$\frac{da}{da'} = \frac{1-a}{a'}$$

Equation 3.62 becomes

$$\frac{da}{da'} = \frac{1}{\lambda^2 \mu^2} \left(1 - 2 \frac{a}{f}\right)$$

Then

$$(1-a) \left(1 - 2 \frac{a}{f}\right) = \lambda^2 \mu^2 a'$$

Equating it to Equation 3.62

$$a^2 - \frac{2}{3}(f+1)a + \frac{1}{3}f = 0$$

giving

$$a = \frac{1}{3} + \frac{1}{3}f - \frac{1}{3}\sqrt{1-f+f^2} \quad \text{Equation 3.63}$$

Where  $a$  is the radial variation.

The power coefficient is still determined by Equation 3.21

$$C_P = \frac{P}{\frac{1}{2}\rho U_\infty^3 \pi R^2} = 8\lambda^2 \int_0^1 a'(1-a)\mu^3 d\mu$$

Figure 3.14 shows the difference in power coefficient, including tip losses. The effect of tip-losses is more significant at lower TSRs because the separation between helicoidal vortex sheets is large, on the other hand, at high tip speed ratios drag reduces the power coefficient.



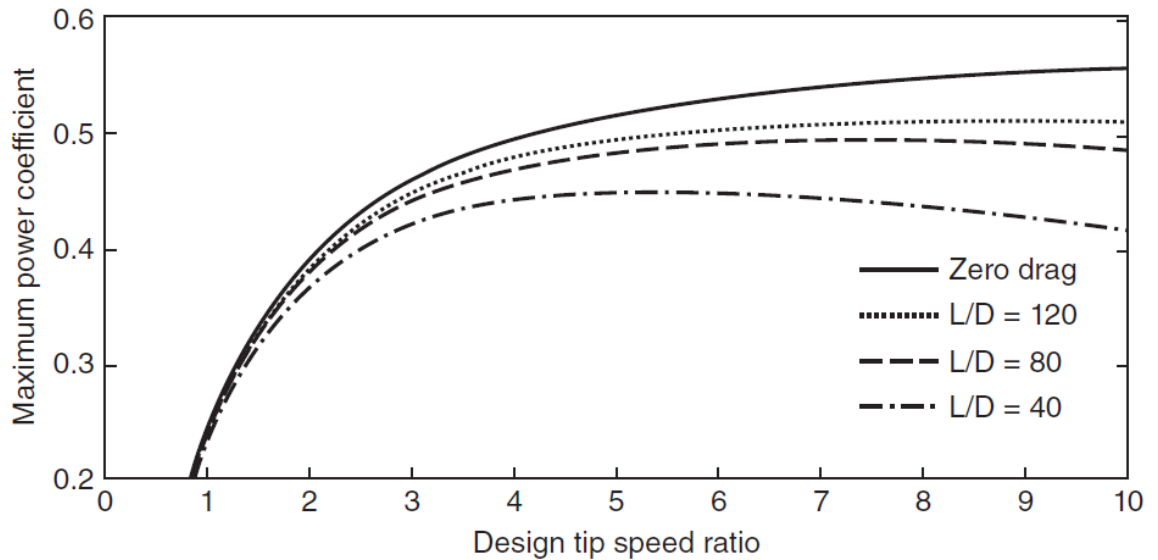


Figure 3.14 Variation of  $C_p$  versus TSR for different lift/drag ratios, including tip-losses (T Burton *et al.*, 2011, p. 90)

Prandtl's tip-loss correction has been used since Glauert refined the BEM theory (1929). Wilson and Lissaman (1974) improved the tip-loss model, and subsequently de Vreis (1979). Mikkelsen, Sørensen and Shen (2001) proposed an updated technique, very shortly upgraded (Shen *et al.*, 2005), in good agreement with experimental results for a NREL rotor and a Swedish WG 500 turbine. Nowadays, Prandtl's and Shen *et al.* tip-loss corrections are found in BEM software.

Tornado is a vortex lattice code developed by Melin (2000) capable of modelling the interaction of airplane wing's spanwise sections unlike BEM models. Young *et al.* modified the code to use it in rotational applications (2019). Still, the code is not capable of simulating viscous effect (like most simulations) and an experimental study was carried out to test four winglet designs on tidal turbines. In all cases, winglets facing the pressure side increased the performance of the turbine.

### 3.1.5 Bending moment on the blade

The moment of the blade can be calculated from the torque and the thrust as follows:

$$\Delta T = \Delta L \cos(\phi) - \Delta D \sin(\phi) \quad \text{Equation 3.64}$$

$$\frac{\Delta \tau}{r} = \Delta L \sin(\phi) + \Delta D \cos(\phi) \quad \text{Equation 3.65}$$

Where L and D are the lift and drag forces, the other elements have been previously defined.

$$\Delta L = C_L \frac{1}{2} \rho W^2 c \cdot dr \quad \text{Equation 3.66}$$

$$\Delta D = C_D \frac{1}{2} \rho W^2 c \cdot dr \tag{Equation 3.67}$$

$c \cdot dr$  is the area of the blade element producing the lift and drag forces.

All calculations are for one blade, so for the whole rotor it would have to be multiplied by the number of blades ( $B$ ).

Each component of the torque and thrust along the blade produce a maximum bending moment at the root as shown in Figure 3.15. The resulting moment can be calculated as:

$$M = \sqrt{\left(\sum_{i=1}^n \tau_i\right)^2 + \left(\sum_{r>0}^R r \times T_r\right)^2} \tag{Equation 3.68}$$

Where  $\tau_i$  is the local torque,  $r$  the radial position, and  $T_r$  the local thrust.

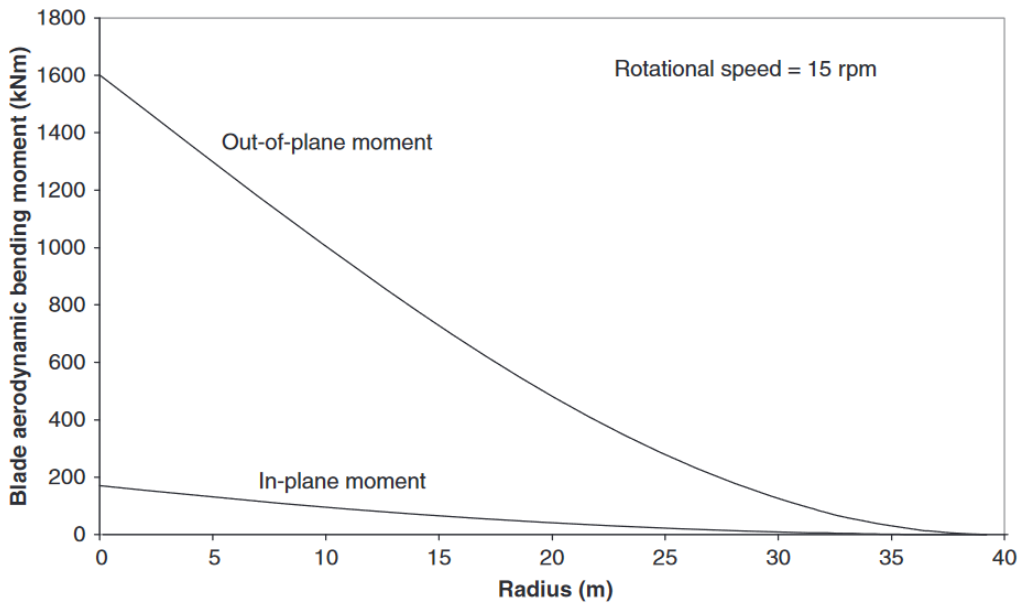


Figure 3.15 Bending moment along the blade of an 80 m diameter wind turbine in operation (Tony Burton *et al.*, 2011, p. 216).

The torque is the in-plane moment, the one that rotates the blades around the axis. The out-of-plane moment is the thrust, and in the case of this turbine goes towards the opposite direction of the hub cone. Figure 3.16 shows the contribution from each airfoil to the bending

moments, and the axes where they act on. The moment in  $x$  corresponds to the torque, the moment in  $y$  is product of the thrust, and the  $y'$  axis is where resulting moment acts upon.

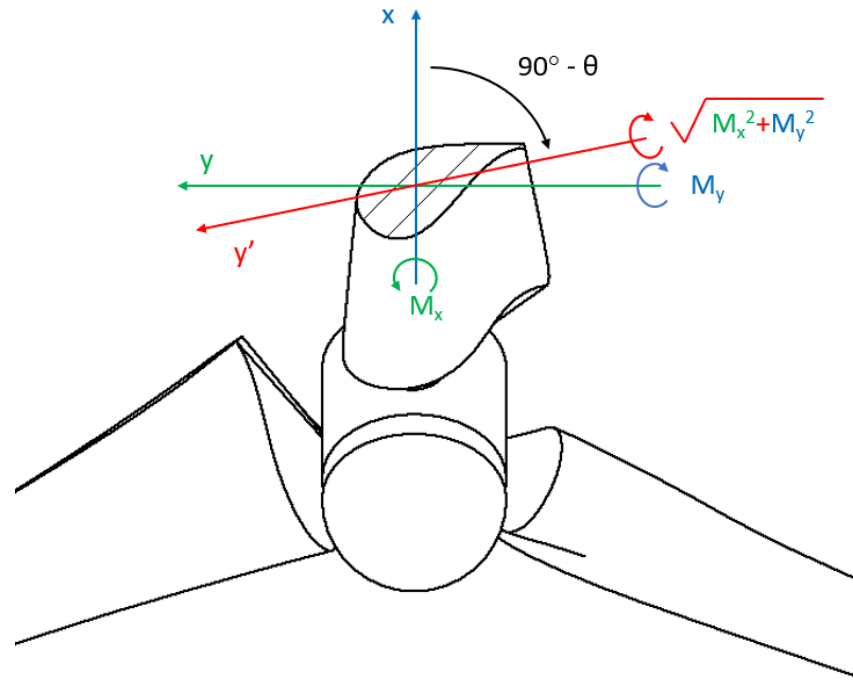


Figure 3.16 Schematic of the axes for each airfoil section, the moments generated at the root of the blade, and the combined moment along the prime axis.

Just as the thrust and torque coefficients, the moment coefficients along the axes can be calculated proportionally to the energy available through the rotor area:

$$C_{M_x} = \frac{M_x}{\frac{1}{2}\rho U_\infty^2 AR}$$

$$C_{M_y} = \frac{M_y}{\frac{1}{2}\rho U_\infty^2 AR}$$

$$C_M = \sqrt{(C_{M_x})^2 + (C_{M_y})^2}$$

## 3.2 Summary

Actuator disc theory is an analytical method to quantify rotor performance. The rotor is represented by a porous disc that allows the flow through the swept area, while being subject to surface forces. It is based on conservation of mass, momentum, and energy. The main limitation is that forces are equally distributed along the disc (Sørensen, 2012, p. 255).

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Rotor disc theory models the turbine as a rotor with  $n$  number of blades rotating at an angular speed  $\Omega$ , creating a pressure difference across the disc. This generates a loss of axial momentum in the wake, together with a “loss” of energy that could be extracted by an electrical generator connected to the shaft. The rotor perceives a torque in the direction of rotation, together with a thrust force in the same direction as the flow (T. L. Burton *et al.*, 2021, p. 45).

Blade-element/momentum theory (rotor blade theory) combines blade element theory, which discretises the blade into small sections to calculate the forces in those elements and integrates them along the blade over one revolution to determine the forces and moments for the entire rotor, with momentum theory (actuator disc theory) to determine the power and torque of the rotor. In addition, it can be applied to obtain the induction factor along the blades (Agelin-Chaab, 2018, p. 502).

It assumes that each section accounts independently for the convergence of the solution, rather than the actual interaction of them. It does not take into consideration the wake expansion. Hub and tip losses are not taken into account, but those corrections can be incorporated. The yaw of the turbine is not considered. Turbulent flow, flow detachment, 3D-flow, and spanwise flow cannot be modelled with this method. BEMT is still a powerful numerical method, very efficient computationally speaking, that “*has been the mainstay of the wind turbine industry for predicting wind turbine performance*” (Dixon and Hall, 2013, p. 475).

## Chapter 4 Experimental method

### 4.1 Turbine design and operation

The turbine used in this work is a 3-bladed ‘upwind’ horizontal axis device with blade diameter of 1 m scaled to 1:20 from the AR2000 turbine from SIMEC Atlantis Energy. The blade geometry data was provided under an NDA, for such reason the specifications are not presented in detail, but there is an effort put to give specific examples with commonly available airfoils.

Non-dimensionalised details are specified in section 4.3.1. Approximately the outer 10% of the blade is interchangeable as detailed in section 4.3.2. The blade pitch angle was kept at 0°, but the design allowed pitch angles from -5° to 5° in increments of 1° by the use of different root pieces. An image is shown in section 4.3.3.

It is equipped with a dynamometer that measures rotor torque and thrust at the hub. It utilises full strain gauge bridges and runs ‘wet’ upstream of all seals and bearings. Rotor speed and blade radial position is quantified via a rotary encoder mounted on the main shaft within the nacelle. A 2-stage planetary gearbox and a synchronous generator convert mechanical energy to electrical and the rotor speed is controlled either by a wire-wound resistor bank or an electrical variable load. All data travelling out from the turbine is sampled and amplified using a wireless telemetry system to transmit data from the shaft to cables that join into a main umbilical cord that also convey the generated power out and low voltage DC power in to power the onboard systems. Further details of the turbine design and general set up can be found in (Bahaj and Myers, 2013; Galloway, Myers and Bahaj, 2014).



Figure 4.1 Cp and Ct curves from the BEM software simulation.

Before manufactured, the turbine performance was simulated with a BEM software (Figure 4.1), and compared against the full-scale turbine, then the blade design was sent to the Engineering Design and Manufacturing Centre (University of Southampton) to be milled on a 5-axis CNC machine from T6082-T6 aluminium alloy, with a precision of  $\pm 50$  microns (Figure 4.2). The

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winglets were 3D printed in aluminium as well with at a precision of  $\pm 0.1$  mm (Figure 4.3), subsequently hand polished and finished to fit the blades.



Figure 4.2 CNC machining of a blade from a block of aluminium.

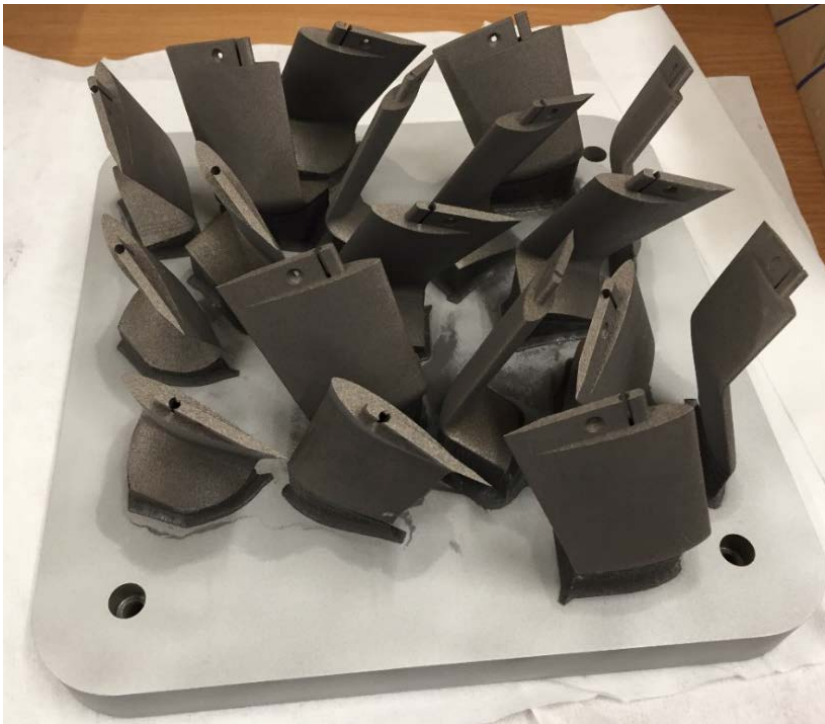


Figure 4.3 Several winglets 3D printed in aluminium on a 30x30-mm plate.

QBlade is a software developed by the Institute for Fluid Dynamics and Technical Acoustics of the Technical University of Berlin, released under a General Public License. The program integrates XFOIL, which is a very well-known program for the design and analysis of airfoils, to analyse their performance and include them into the simulation of HAWT and VAWT (QBlade Team, 2014).

The functions used are shown in Figure 4.4.

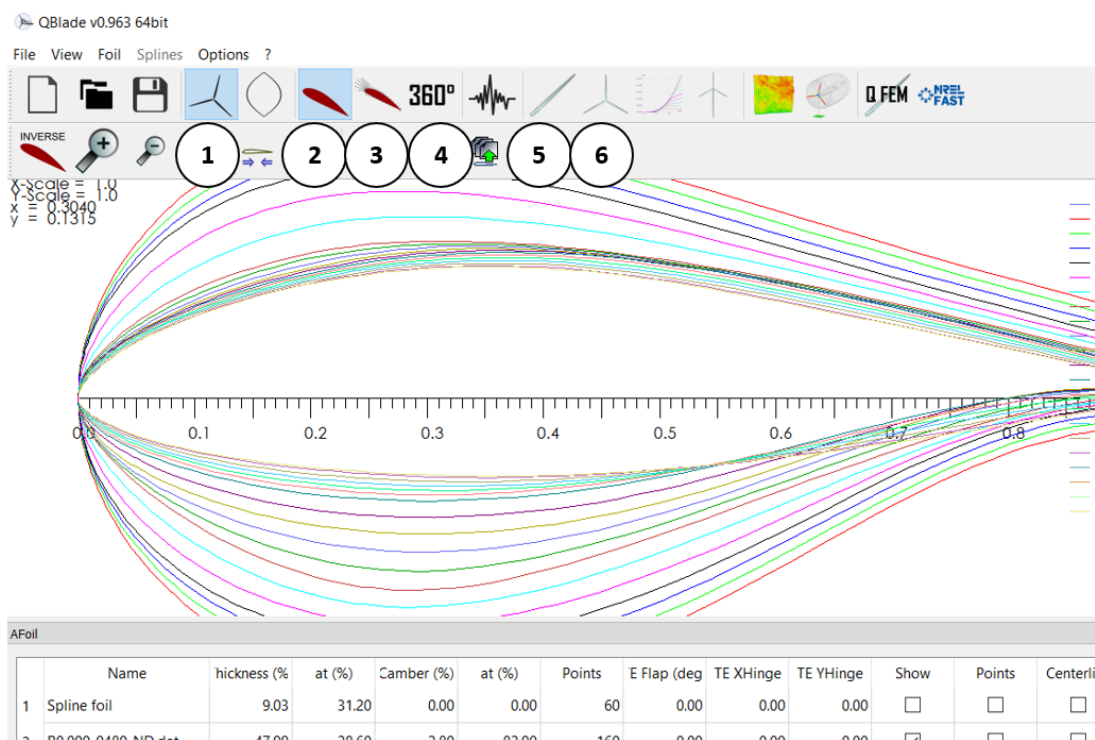


Figure 4.4 Qblade user interface.

1. HAWT Mode
2. Airfoil design
3. XFOIL Direct Analysis
4. Polar Extrapolation to 360
5. HAWT Rotorblade Design
6. Rotor BEM Simulation

The first step is to select the HAWT mode and load an airfoil profile into the software. Following the airfoil used as example in Section 3.1.4.2, the NACA 4412 profile can be seen in Figure 4.5.

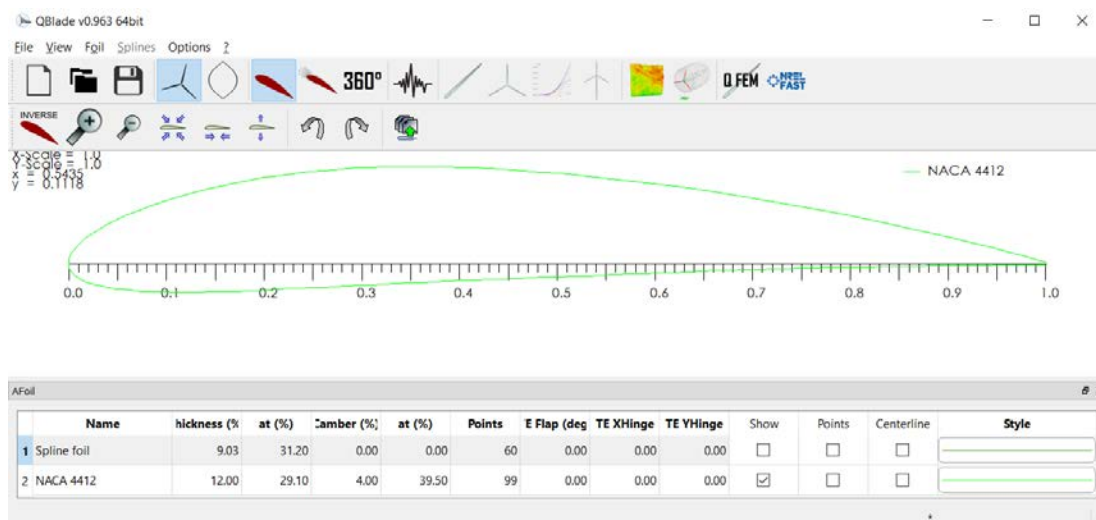


Figure 4.5 NACA 4412 airfoil loaded in the Airfoil design section in QBlade.

## Chapter 4

Next, the airfoil is analysed using the integrated XFOIL routine. XFOIL is a program written by Mark Drela in 1986 to compute the flow around subsonic foils in 2D. It calculates the pressure distribution around the airfoil, thus the lift and drag coefficients. To calculate a new polar, it is necessary to define the parameters shown in Figure 4.6.

The image shows a software dialog box titled "Analysis parameters for NACA 4412". It contains the following fields and controls:

- Analysis Name:** Radio buttons for "Automatic" (selected) and "User Defined". A text input field contains "T1\_Re0.010\_M0.00\_N2.0".
- Reynolds and Mach Numbers:** Input fields for "Reynolds =" (value: 10000) and "Mach =" (value: 0.00).
- Transition settings:** "Free transitions (e^n) method" with "NCrit=" (value: 2). "Forced transition:" with "TripLocation (top)" (value: 1) and "TripLocation (bot)" (value: 1).
- Buttons for "OK" and "Cancel" at the bottom.

Figure 4.6 Analysis parameters.

The first field is the name, which can be determined automatically from the airfoil number, Reynolds, Mach and NCrit numbers, or defined by the user. Then the Mach number can be specified because this is a program developed initially to use on aircraft wings, and according to the XFOIL manual, reasonably accurate solutions can be expected for Mach numbers below 1.05 (Drela and Youngren, 2001). The Reynolds number has to be specified too. For the first estimate, the resultant from the flow speed and the rotational speed versus the blade twist helped group the chord-based Reynolds numbers from  $6.67 \times 10^4$  to  $2.67 \times 10^5$  in increments of 16,667 as shown in Figure 4.7.

A tip-speed-ratio range from 4 to 8 would cover the speeds allowed by the experiments. The lowest Reynolds number of  $6.67 \times 10^4$ , in fact corresponds to the scaled Reynolds number as it will be seen in the next section. The numbers are grouped and filled in different shades of grey for easiness of visualisation.



| TSR       | 4        | 4.5      | 5        | 5.5      | 6        | 6.5      | 7        | 7.5      | 8        |
|-----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|
| Hydrofoil | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 0         | 6.67E+04 | 6.67E+04 | 6.67E+04 | 8.33E+04 | 8.33E+04 | 1.00E+05 | 1.00E+05 | 1.00E+05 | 1.17E+05 |
| 1         | 6.67E+04 | 6.67E+04 | 8.33E+04 | 8.33E+04 | 1.00E+05 | 1.00E+05 | 1.17E+05 | 1.17E+05 | 1.33E+05 |
| 2         | 8.33E+04 | 8.33E+04 | 1.00E+05 | 1.00E+05 | 1.17E+05 | 1.17E+05 | 1.33E+05 | 1.50E+05 | 1.50E+05 |
| 3         | 8.33E+04 | 1.00E+05 | 1.17E+05 | 1.17E+05 | 1.33E+05 | 1.50E+05 | 1.50E+05 | 1.67E+05 | 1.67E+05 |
| 4         | 1.00E+05 | 1.17E+05 | 1.17E+05 | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 |
| 5         | 1.17E+05 | 1.17E+05 | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 |
| 6         | 1.17E+05 | 1.33E+05 | 1.50E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 |
| 7         | 1.17E+05 | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.17E+05 | 2.33E+05 |
| 8         | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 |
| 9         | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 10        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 11        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 12        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 13        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 14        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 15        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 16        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 17        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 18        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 | 2.67E+05 |
| 19        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.33E+05 | 2.50E+05 |
| 20        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 |
| 21        | 1.33E+05 | 1.50E+05 | 1.67E+05 | 1.67E+05 | 1.83E+05 | 2.00E+05 | 2.17E+05 | 2.33E+05 | 2.50E+05 |

Figure 4.7 First estimation of Reynolds numbers for all sections on tip speed ratios from 4 to 8.

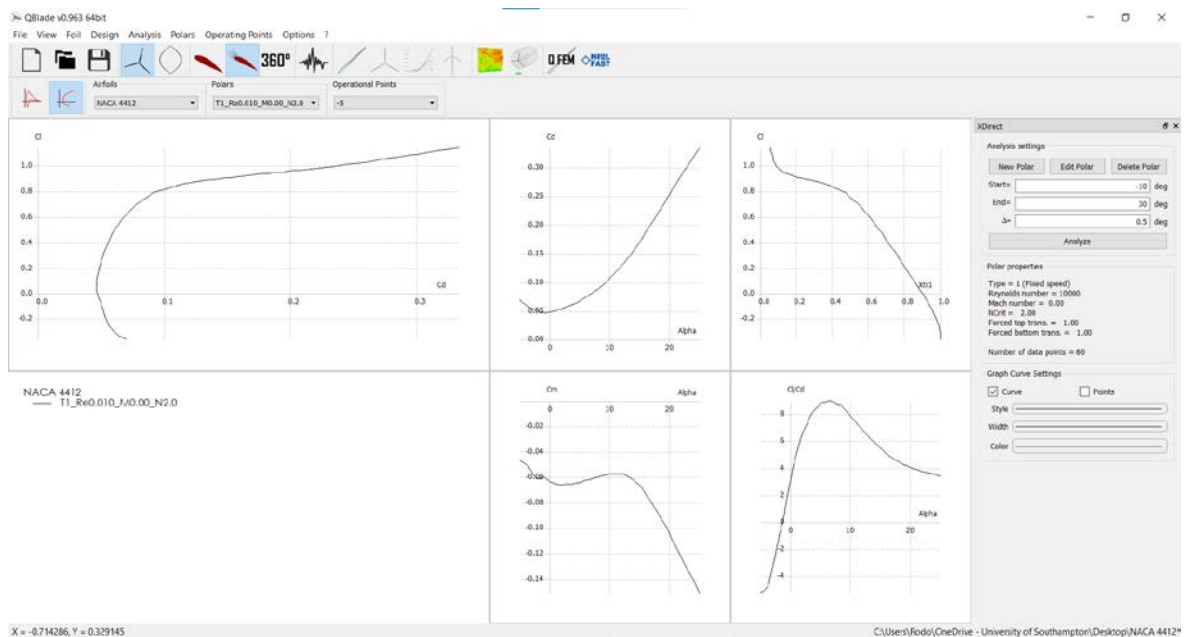


Figure 4.8 XFOIL computation for the NACA 4412 airfoil.

Figure 4.8 shows an output example for the NACA 4412 airfoil from  $-5^\circ$  to  $25^\circ$  angles of attack in increments of  $0.5^\circ$ . Again, these angles were estimated from the geometry analysis of the resultant of the flow speed and the rotational speed versus its projection on the airfoil considering the twist angle.

Chapter 4

To check the initial selection of angles of attack and Reynolds numbers, Figure 4.9 and Figure 4.10 were taken from the actual rotor simulation for tip speed ratios from 4 to 8.

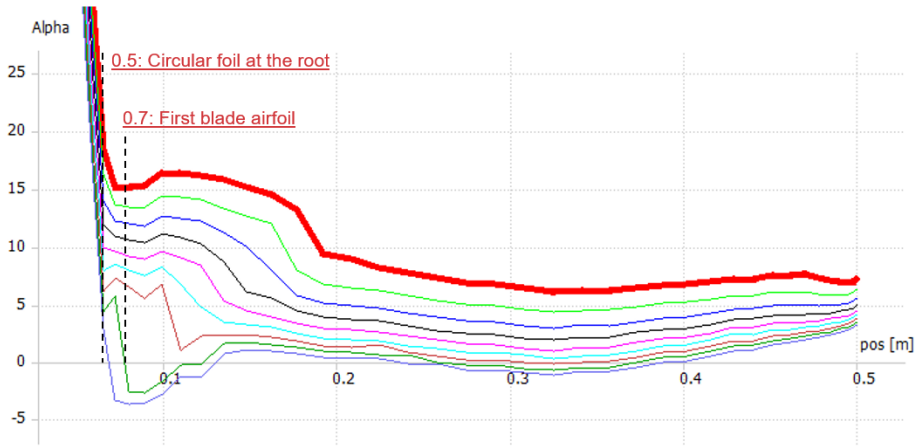


Figure 4.9 Alpha angles for the rotor for tip speed ratios from 4 to 8.

In Figure 4.9 it can be seen that for the slowest tip speed ratio (4) in red, the largest angle of attack is at 0.1 m from the centre of the axis with a value of 16.6°. At the same time, the smallest angle of attack at a tip speed ratio of 8 is -3.35° again just before 0.1 m from the centre, so the range chosen from -5° to 25° covers all the angles. The first straight lines correspond to the joint between the root (a circular foil) and the first airfoil.

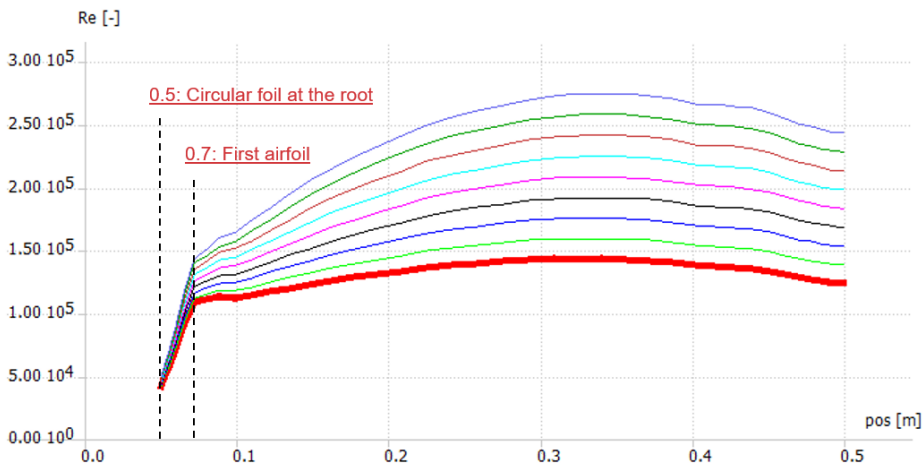


Figure 4.10 Reynolds numbers for the rotor simulation for tip speed ratios from 4 to 8.

In the case of the Reynolds numbers, Figure 4.10 shows the Reynolds numbers for the lowest tip speed ratio of 4 in red up until a tip speed ratio of 8. The lowest value where the blade starts at a position of 0.7 m is around  $1.08 \times 10^5$ , and the highest point is for a tip speed ratio of 8 located between 0.3 and 0.4 m on the blade with a value close to  $2.74 \times 10^5$ . The range estimated initially of  $6.67 \times 10^4$  to  $2.67 \times 10^5$  close to the high end of the values very and the lowest. As it will be seen later, the airfoil values are extrapolated to a range from -180° to 180°.

$N_{crit}$  corresponds to the turbulence level for the coefficient to use in the  $e^n$  criterion for the transition of the flow from laminar to turbulent. Table 4-1 shows some values with the corresponding turbulence level and an example situation (Drela and Youngren, 2001, p. Transition criterion).

Table 4-1  $N_{crit}$  values for different situations.

| <b><math>N_{crit}</math></b> | <b>turb. Level (%)</b> | <b>Situation</b>  |
|------------------------------|------------------------|-------------------|
| 1                            | 1.966%                 |                   |
| 2                            | 1.296%                 |                   |
| 3                            | 0.854%                 |                   |
| 4                            | 0.563%                 | dirty wind tunnel |
| 5                            | 0.371%                 |                   |
| 6                            | 0.245%                 |                   |
| 7                            | 0.161%                 |                   |
| 8                            | 0.106%                 |                   |
| 9                            | 0.070%                 |                   |
| 10-12                        |                        | clear wind tunnel |
| 11-13                        |                        | motor glider      |
| 12-14                        |                        | sailplane         |

Murray *et al.* carried out towing tank experiments on tidal turbines, finding that an  $N_{crit}$  value of 2 reflected the behaviour of the environment (Murray *et al.*, 2018, p. 209).

The next step is the polar extrapolation. There are two methods to choose from, Montgomerie and Viterna. The first was used as the second is for large HAWTs (Marten and Wendler, 2013, p. 75). Figure 4.11 shows the lift and drag coefficients extrapolation for the NACA 4412 airfoil.

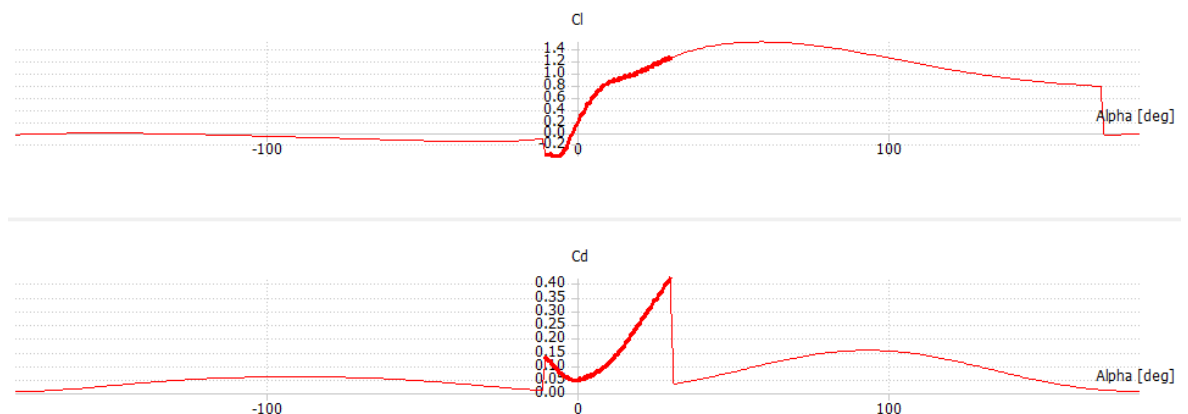


Figure 4.11 Lift and drag coefficients extrapolation from  $-180^\circ$  to  $180^\circ$ .

The next section is for the blade design, as can be seen in Figure 4.12. (All design details hidden).

## Chapter 4

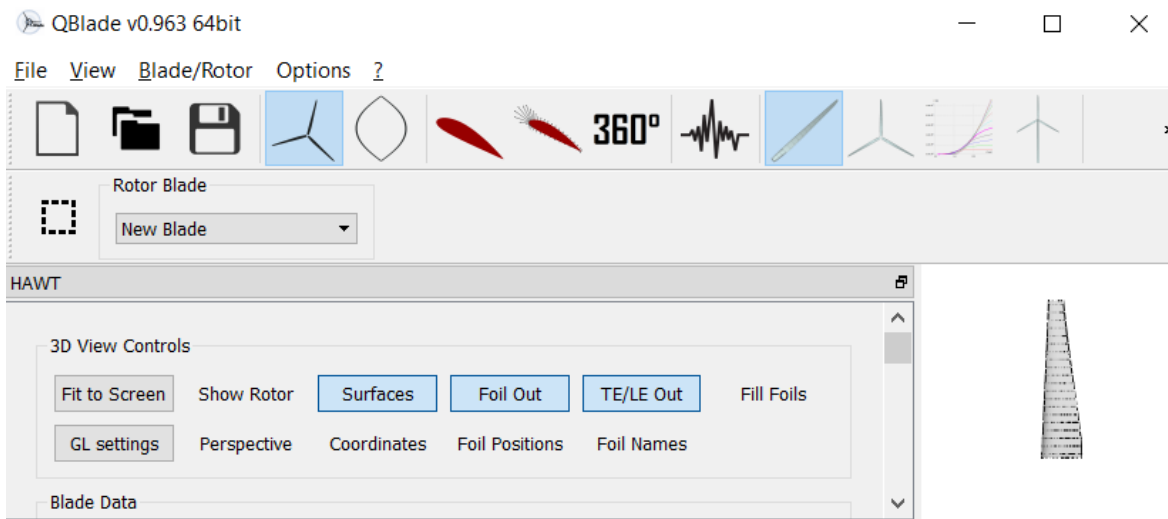


Figure 4.12 Blade design section.

The BEM theory combines the actuator disc theory (Section 3.1.1) and the rotor blade theory (Section 3.1.3). The blade is sectioned into a number of elements, each defined by their position with respect to the radius, airfoil profile, chord, twist and width. The windspeed  $W$  (relative to each section) and the angle of attack  $\alpha$  are calculated. Then, from the previous step the lift and drag coefficients for each profile are extracted. With that information and the area of an airfoil the normal and tangential forces can be calculated, hence the thrust and torque. Each element's contribution is added to find the thrust and torque for the rotor (Marten and Wendler, 2013, p. 33) (Figure 4.13).

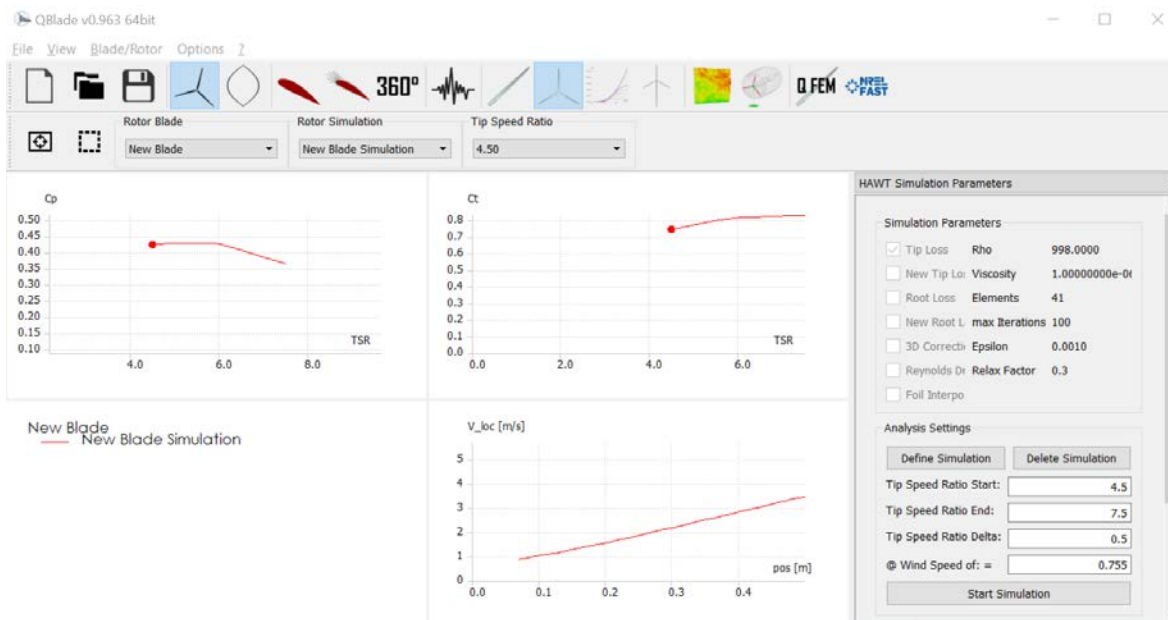


Figure 4.13 Rotor BEM simulation

Simulation Name

New Blade Simulation

Corrections

Prandtl Tip Loss

New Tip Loss

Prandtl Root Loss

New Root Loss

3D Correction

Reynolds Drag Correction

Foil Interpolation

Variables

41 Discretize Blade into N Elements

0.001 Max Epsilon for Convergence

100 Max Number of Iterations

0.35 Relax. Factor

998 Rho

0.000001 Viscosity

Create

Figure 4.14 BEM parameters.

Figure 4.14 shows the different parameters that can be chosen for the simulation. In the right-hand side, has values than can be defined arbitrarily such as the number of blade elements or the number of iterations. Others such as density or viscosity vary with temperature. Values were chosen for 20°C at atmospheric pressure. Epsilon is either the difference in the induction factor or the axial induction factor before the program determines that the calculation of the convergence of forces and angles before passing to the next calculation. The relaxation factor is introduced to overcome the fluctuation of the induction factor, it can take a value from 0 to 1 and helps the software be more robust when making the calculations.

Figure 4.15 shows the problem at the root when asking the program to account for root losses.

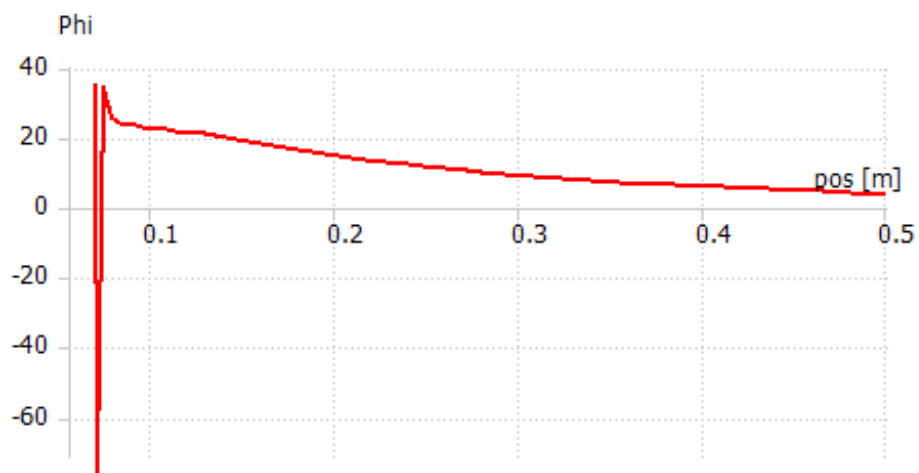


Figure 4.15 Inflow angle with root corrections.

## Chapter 4

The rotor was simulated for a full range of tip speed ratios so that the power and thrust curves could be better appreciated as seen on Figure 4.16. The dot along the line indicates the peak power at a tip speed ratio of 5.

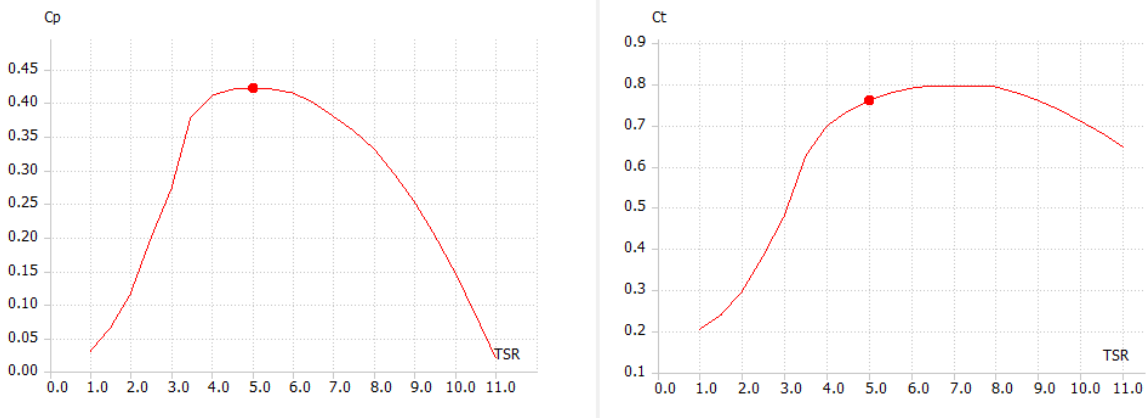


Figure 4.16 Full power and thrust curves for the rotor simulation, indicating peak power.

In order to simulate the curves, all polars had to be calculated from  $4 \times 10^4$  to  $4 \times 10^5$  Reynolds numbers in increments of 10,000. Figure 4.17 shows the Reynolds numbers along the blade for tip speed ratios from 1 to 11 in increments of 0.5. The thicker line shows the variation for maximum estimated power output. At that tip speed ratio of 5, the tip of the blade experiences Reynolds numbers of  $1.5 \times 10^5$ , and as it is explained in the next section it corresponds to the expected values at peak power compared to the full-scale turbine.

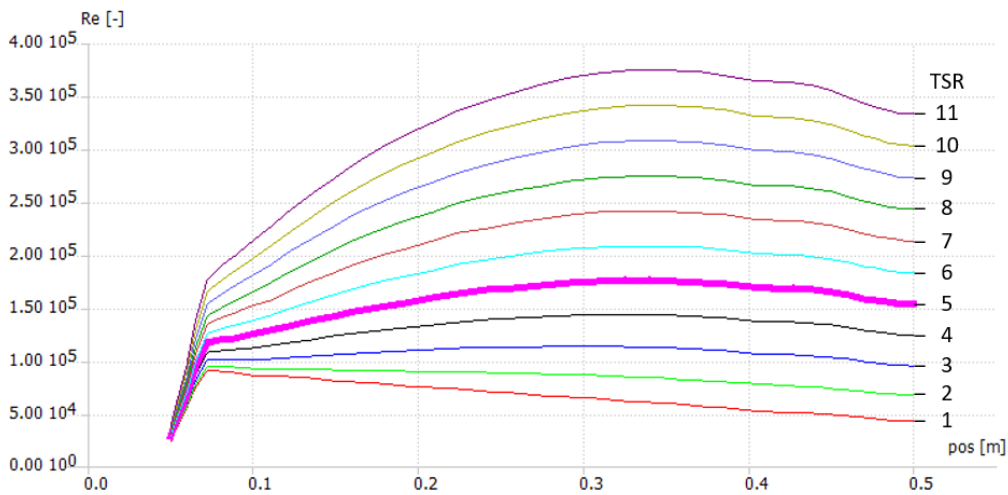


Figure 4.17 Reynolds numbers at each length of the blade for different TSRs.

The performance of the rotor was simulated before manufacturing the blades. Once it was checked that the simulation showed a performance curve above 0.4 of  $C_p$ , the blade designs were sent to be manufactured., Neither an extension of the blade nor the winglets were analysed. Winglets were 3D printed and subsequently tested in the towing tank.

After exporting the Drag coefficient, inflow angle, and inflow velocity, Figure 4.18 (Top) shows the Force per unit of length simulated along the blade, and Figure 4.18 (Bottom) when the tip losses are considered. A rotor simulation to compare the power curves with and without considering losses is shown in Figure 4.19. When 3D correction or Foil interpolation were chosen, the program crashed. There was no change seen when choosing the Reynolds correction option. For those reasons, the only option considered was the Tip Loss correction.

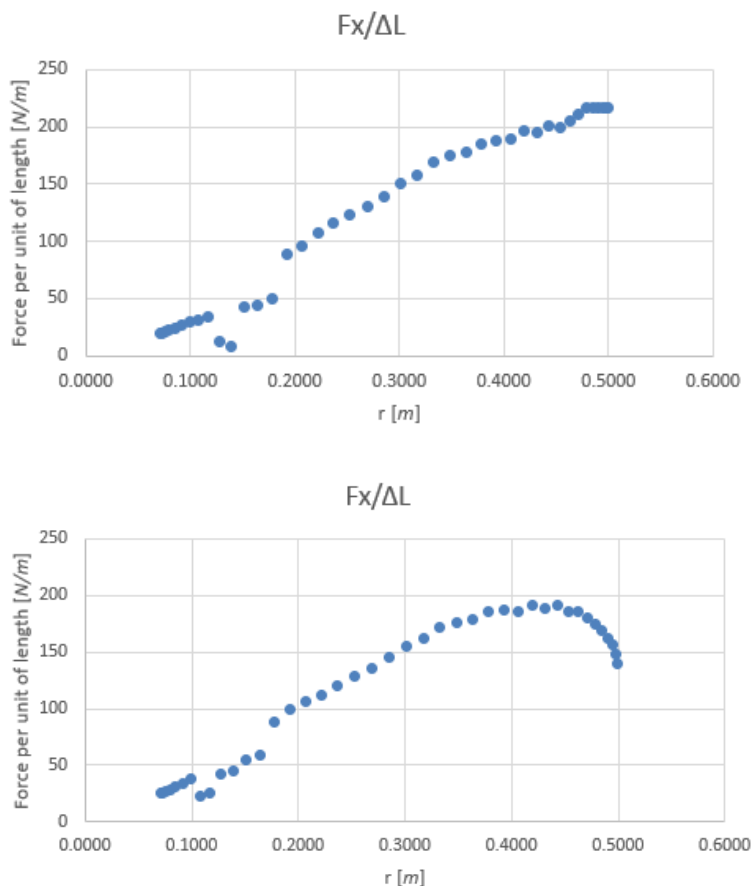


Figure 4.18 (Top) Simulation without tip losses. (Bottom) Tip losses accounted for (Used with permission from Microsoft).

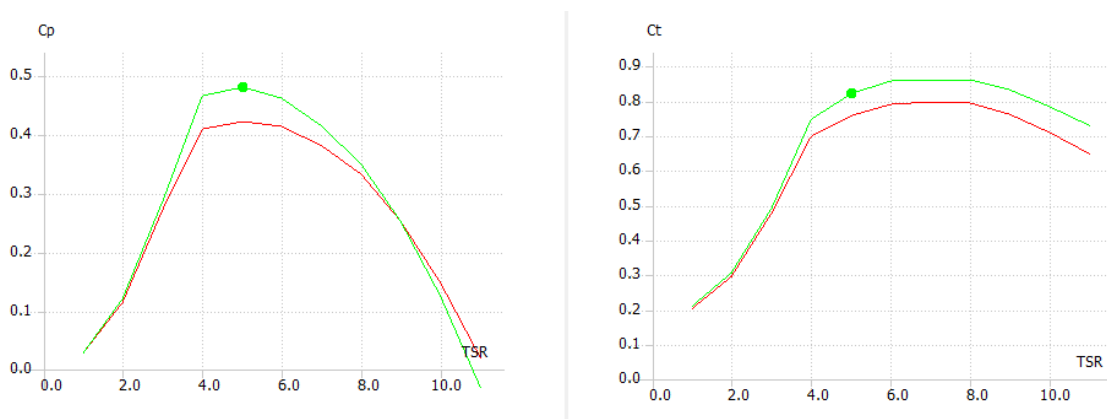


Figure 4.19 Rotor simulation with tip losses (red line) and disregarding tip losses (green line).

## 4.2 Turbine Scaling

All dimensions follow an isotropic scaling. The speeds using the Froude scaling to keep dynamic similarity, as both are influenced by inertia and gravity forces, and the Re numbers are shown in the following table:

Table 4-2 Scaling factors.

|                         | AR2000 | Scale, factor         | 1:20 prototype |
|-------------------------|--------|-----------------------|----------------|
| Diameter [m]            | 20     | Isotropic, 1/20       | 1              |
| Operational speed [m/s] | 2.8    | Froude, 1/√20         | 0.626          |
| Rotor speed [rpm]       | 14.3   | Froude, √20           | 64             |
| Angular speed [rad/s]   | 1.47   | Froude, √20           | 6.69           |
| TSR [ ]                 | 5.35   | 1                     | 5.35           |
| Re number               | 6E6    | (1/20) <sup>3/2</sup> | 6.7E4, 1.5E5*  |

\*Expected experimental value

In practice, a towing speed of 0.76m/s was chosen to get a range of rotational speeds from 60 to 120 rpm, given the generator efficiency. An optimum operational TSR of 5 was found under such conditions. The result of the power scaling is presented in the following table:

Table 4-3 Power scale.

|       | AR2000 | Scaling factor                             | 1:20 prototype   |
|-------|--------|--|------------------|
| Power | 2 MW   | $1/(20^2 * (2.8/0.76)^3) \approx 1/20,003$ | $\approx 99.9 W$ |

Canet, Bortolotti and Bottasso published in the European Academy for Wind energy scaling factors for a geometrically scaled model like the one used in this project (2020, p. 15). That approach gives a scaled Reynolds number of  $6.7 \times 10^4$ , and the experimental value can be calculated for the optimal operating speed at the tip of the blade with  $\alpha \approx 0$ , so that  $\cos(\alpha) \approx 1$ :

$$\frac{TSR * flow\ speed * chord}{dynamic\ viscosoty} = \frac{5 * 0.76 * 0.04}{1 \times 10^{-6}} = 1.52 \times 10^5$$

The simulation calculated a value of  $1.53381 \times 10^5$ .

One of the most sensitive parameters is the Reynolds number, because at low Reynolds numbers the viscosity plays a high role on the boundary layers and flow detachment from the airfoil. At higher Reynolds numbers the turbulence generates conditions for better flow attachment and the viscous effects become less influential. A representative airfoil operating between Re numbers of  $1 \times 10^4$  to  $5 \times 10^4$  experiences flow separation at very low angles of attack. Between Re numbers



of  $5 \times 10^4$  to  $1 \times 10^5$  there is flow separation at the back of the blades, and it is not until above values of  $5 \times 10^5$  that the airfoils operate in ideal conditions (Winslow *et al.*, 2017) (Figure 4.20).

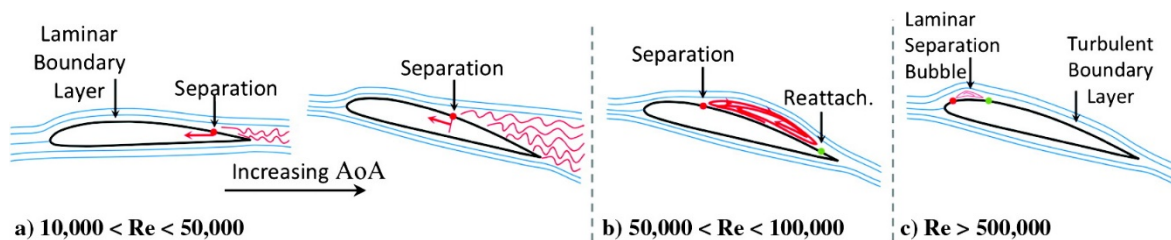


Figure 4.20 Airfoil behaviour at Reynolds numbers below  $1 \times 10^6$  (Winslow *et al.*, 2017, p. 1051).

Table 4-4 shows the calculation of the rotor size for a scaled model of a 20-m rotor diameter operating at maximum power output and Re numbers in the range of  $6 \times 10^6$  at the tip of the blade. Any model smaller than 0.8 m would be operating at conditions with a strong influence of viscous effects. On the other hand, it would require a scale no less than 1:8 to achieve Re numbers above  $5 \times 10^5$ , that is a diameter of 2.5 m for a geometrically scaled model.

Table 4-4 Rotor scaling for a 20-m tidal turbine operating at Re numbers of  $6 \times 10^6$ .

|                |                 |                 |                 |
|----------------|-----------------|-----------------|-----------------|
| Re number      | $5 \times 10^4$ | $1 \times 10^5$ | $5 \times 10^5$ |
| Rotor diameter | 0.5 m           | 0.8 m           | 2.5 m           |
| Flow speed     | 0.48 m/s        | 0.61 m/s        | 1.08 m/s        |

## 4.3 Blade design

### 4.3.1 Non-dimensionalised parameters

The data provided included the hydrofoils profiles, hub radius, position, twist and chord. The blade was divided into 20 sections, and the position given was the one at the middle of each section as it can be seen in Figure 4.21. The normalised twist can be appreciated in Figure 4.22. A normalised value of 1 for the twist corresponds to the maximum twist of the blade at the root. The other angles are a proportion of it, and they are plotted so that the curve can be visualised. The first and last points were defined so that they kept the same slope of the curve. The foils at the beginning and at the end of the blade were scaled appropriately from the adjacent ones. The centreline was chosen at  $\frac{1}{3}$  of the leading edge as it was approximately the location of the thickest section for each airfoil.

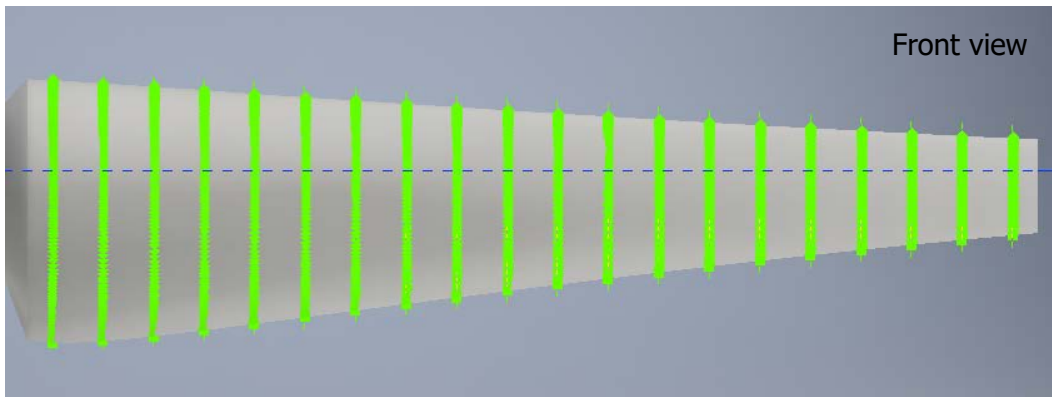


Figure 4.21 Blade hydrofoils positions (Autodesk screen shot reprinted courtesy of Autodesk, Inc.).

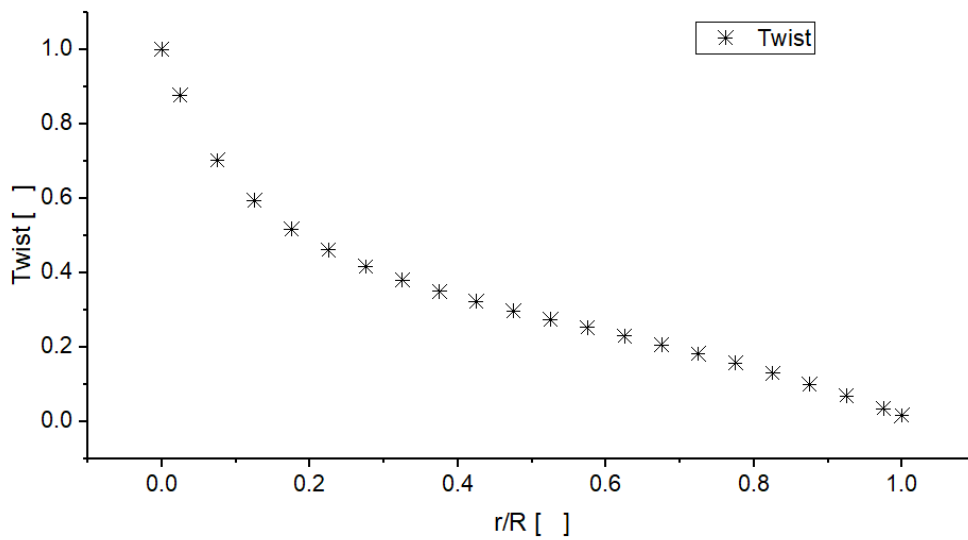


Figure 4.22 Blade pre-twist for each section.

### 4.3.2 Tip attachments

The middle part of the 18<sup>th</sup> section of the blade was used for the fitting design, giving an interchangeable 10.75% outer part of the blade as seen in Figure 4.23.

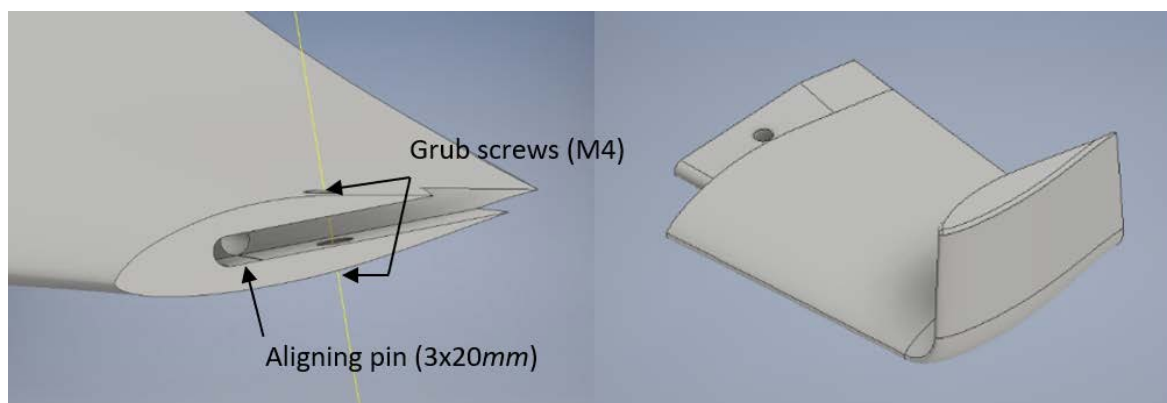


Figure 4.23 Interchangeable section of the blades (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

### 4.3.3 Blade alignment

Previous root designs had an issue with the alignment, as it was difficult to make the three blades be aligned when placing them in the hub. With the aligning root shown in Figure 4.24, the blades could be aligned in increments of  $1^\circ$  from  $-5^\circ$  to  $5^\circ$  with different root tips. The precision was measured to  $0.5^\circ$ .

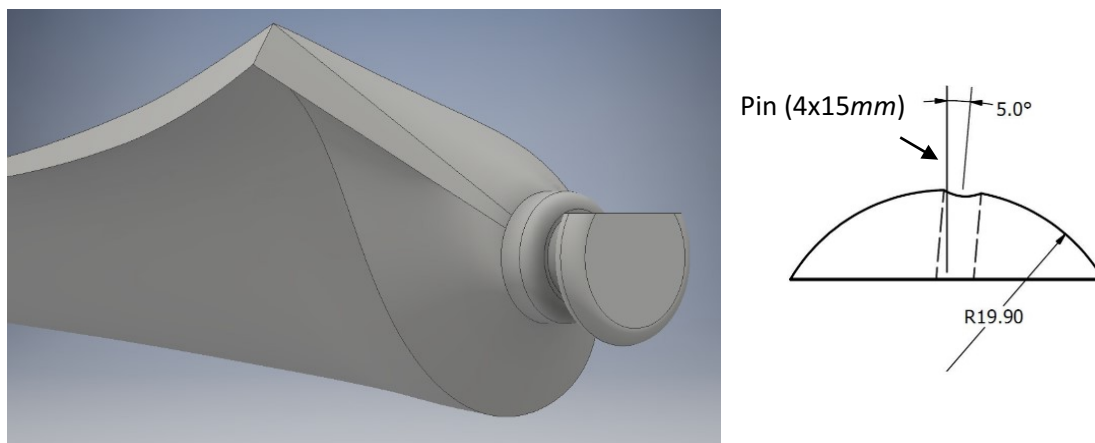


Figure 4.24 Root alignment (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

## 4.4 Winglets

Out of the winglets listed in Section 2.5, the present study focuses on bent wing extensions. These winglets are achieved by extending the blade past the radius, maintaining the airfoil shape and twist proportional to the distance from the centre, to maintain its 'triangular' appearance and bending them to keep the original rotor size. That way, the bent section forms the winglet. Figure 4.25 shows isometric views of the 20 different winglets finished. They had to be hand polished and fitted with the aligning pins. Table 4-5 shows the detailed specification of the 20 winglets designed and 3D printed for this project. They are numbered in order of design, varying the most relevant parameters first such as height, radius, cant, airfoil and toe angle. The height is calculated relative to the blade length, and the radius relative to the winglet height. It was found that to keep the same curvature radius, it might be more appropriate to relate it to the blade length. The cant angle is considered positive towards the pressure side and negative towards the suction side. Fillet is the curvature radius at the top of the winglet. The Loft feature was used in the CAD program and it determines how the winglet connects to the blade before being bent. Some airfoil shapes were changed for half the chord, a line, half a line, a point and inverted airfoils. The toe angle is measured seeing the rotor from the front, towards the direction of the flow, and using the normal convention of positive clockwise and negative counterclockwise.

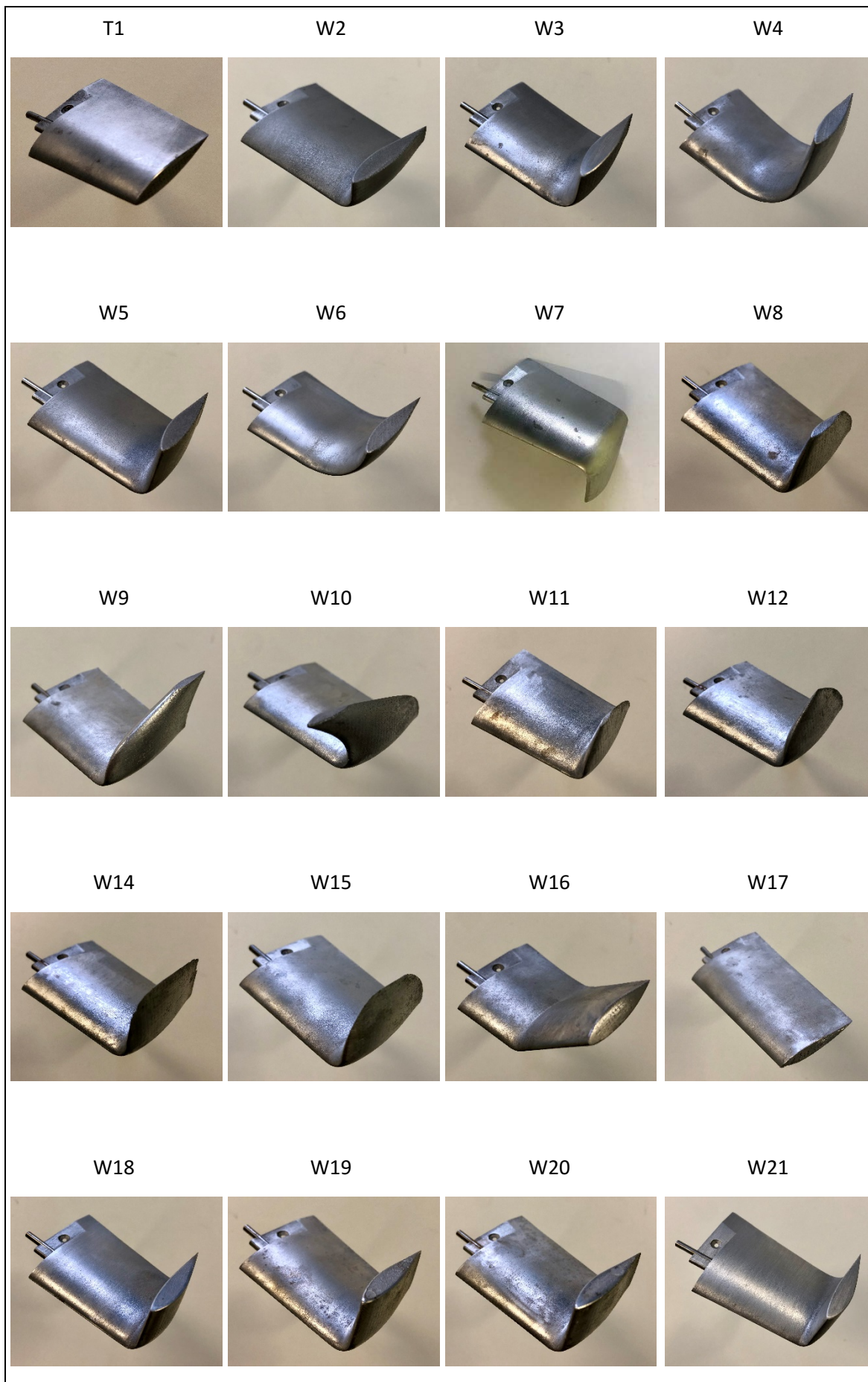


Figure 4.25 Isometric views of the 20 hand-finished winglets.

Table 4-5 Detail of the 20 different winglets and extensions designed.

| No. | Height        | Radius | Cant | Fillet   | Loft    | Shape     | Centre | Toe   |
|-----|---------------|--------|------|----------|---------|-----------|--------|-------|
| T1  | 0 mm (0.0%)   | 0%     | 0°   | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W2  | 12.5mm (2.5%) | 50%    | 90°  | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W3  | 25 mm (5.0%)  | 50%    | 90°  | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W4  | 50 mm (10.0%) | 50%    | 90°  | 0.563 mm | Free    | Foil      | Yes    | 0°    |
| W5  | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W6  | 25 mm (5.0%)  | 100%   | 90°  | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W7  | 25 mm (5.0%)  | 25%    | -90° | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W8  | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Tangent | ½ c       | Yes    | 0°    |
| W9  | 25 mm (5.0%)  | 25%    | 90°  | 0.0 mm   | Tangent | ½ c       | +45°   | 0°    |
| W10 | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Tangent | ½ c       | -45°   | 0°    |
| W11 | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Tangent | ¼ c       | Yes    | 0°    |
| W12 | 25 mm (5.0%)  | 25%    | 90°  | N/A      | Tangent | Point     | Yes    | 0°    |
| W14 | 25 mm (5.0%)  | 25%    | 90°  | N/A      | Tangent | Line      | Yes    | 0°    |
| W15 | 25 mm (5.0%)  | 25%    | 90°  | N/A      | Tangent | Line ½c   | Yes    | 0°    |
| W16 | 25 mm (5.0%)  | 25%    | 45°  | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| E17 | 25 mm (5.0%)  | 0%     | 0°   | 0.6 mm   | Free    | Foil      | Yes    | 0°    |
| W18 | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Free    | Inv. Foil | Yes    | 0°    |
| W19 | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Free    | Foil      | Yes    | 3.5°  |
| W20 | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Free    | Foil      | Yes    | -3.5° |
| W21 | 25 mm (5.0%)  | 25%    | 90°  | 0.6 mm   | Free    | Inv. Foil | Yes    | -3.5° |

The first tip is labelled as T1 (Figure 4.26) because it is not a winglet *per se*, it is in fact the tip of the normal blade which section was split with the design to attach the winglets. In other words, when the blade has this tip attached, it looks like a normal blade. The blade with this configuration serves as baseline for the rest of the winglets which are mostly grouped in threes to try to see a trend in any variation.

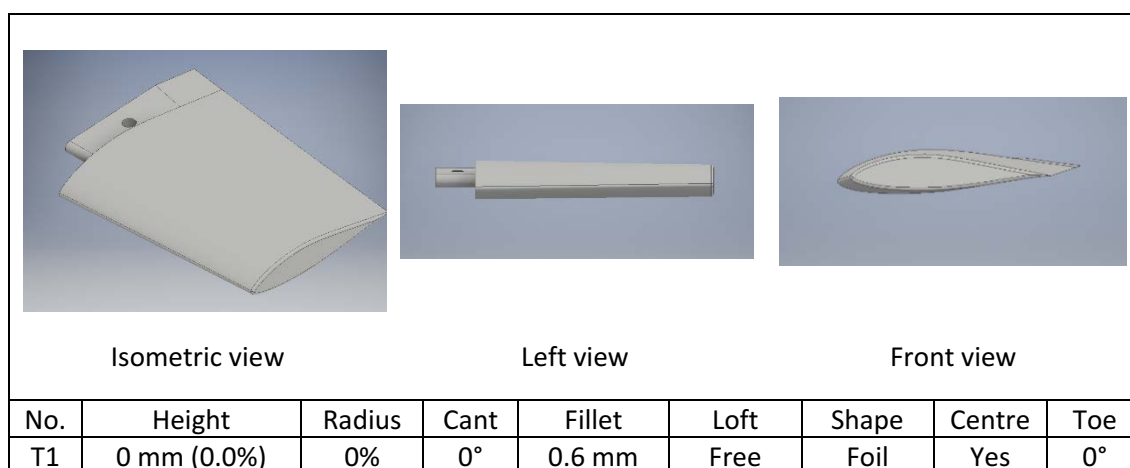


Figure 4.26 Tip 1 (T1): Tip for the normal blade (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglets 2-4 were designed to compare the first design parameter that makes a winglet, which is the height. All other values were fixed, but because the radius is relative to the height, so it changes too. It was an issue addressed after their design during the experimental results

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comparisons. Different views of the winglets, together with their specifications can be seen in Figure 4.27, Figure 4.28, and Figure 4.29.

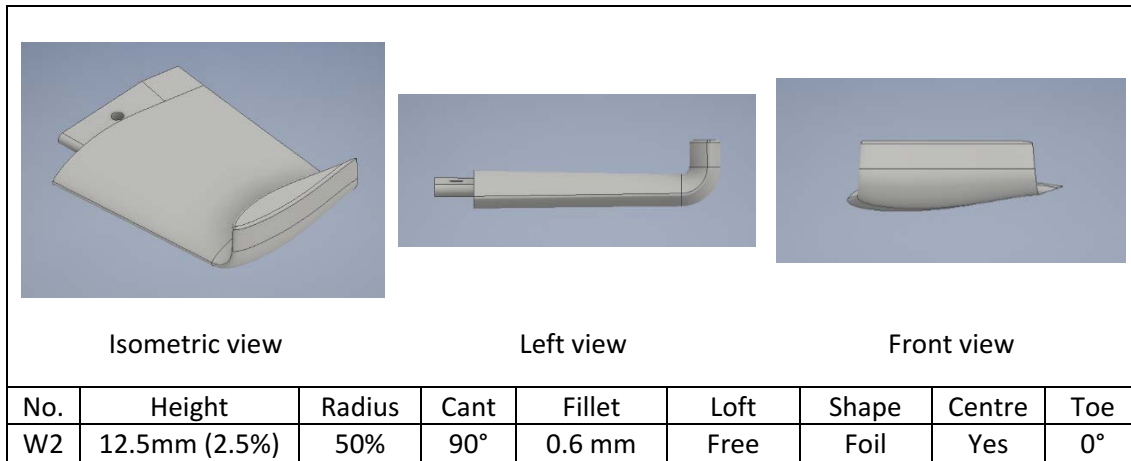


Figure 4.27 Winglet 2 (W2): 2.5% height (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

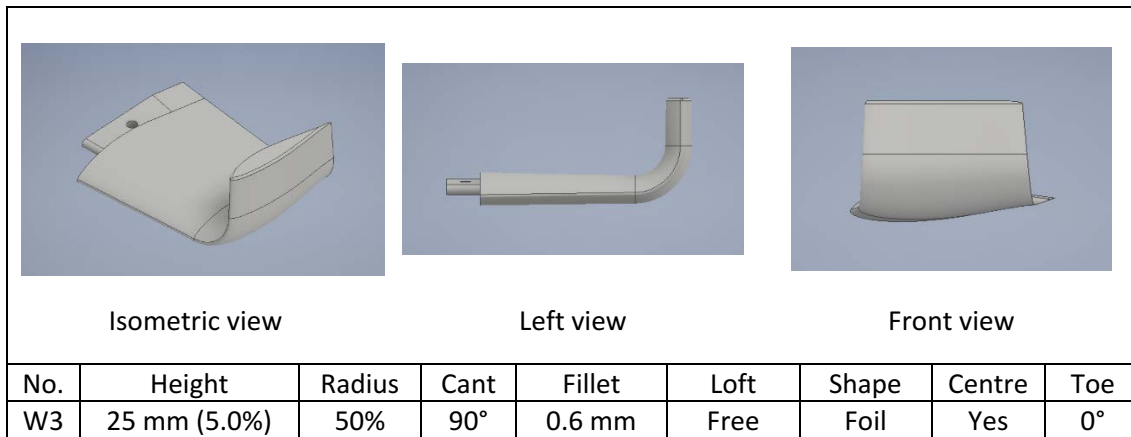


Figure 4.28 Winglet 3 (W3): 5.0% height (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

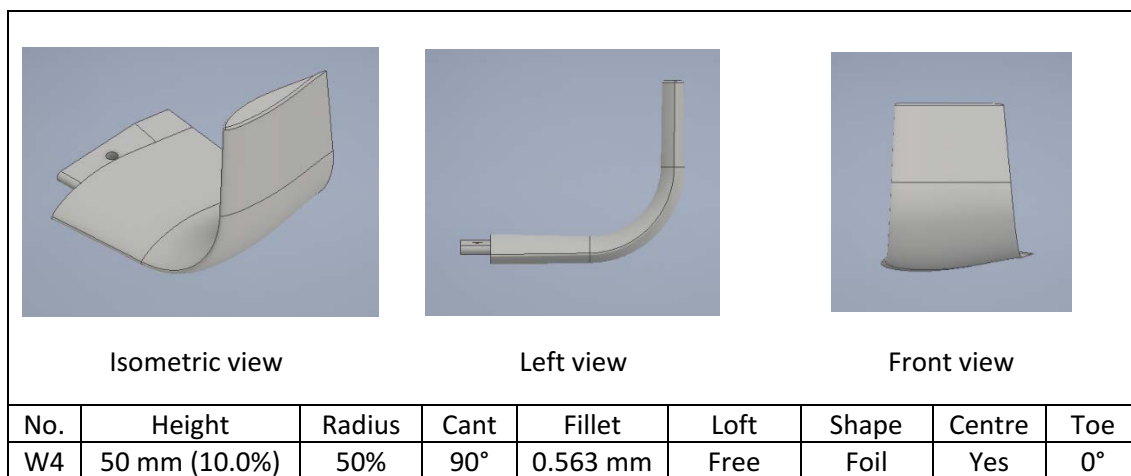


Figure 4.29 Winglet 4 (W4): 10.0% height (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglets 5 and 6 compare the curvature radius versus winglet 3. The values are 25%, 50% and 100%. The height is kept constant at 5% (Figure 4.28, Figure 4.30, and Figure 4.31).

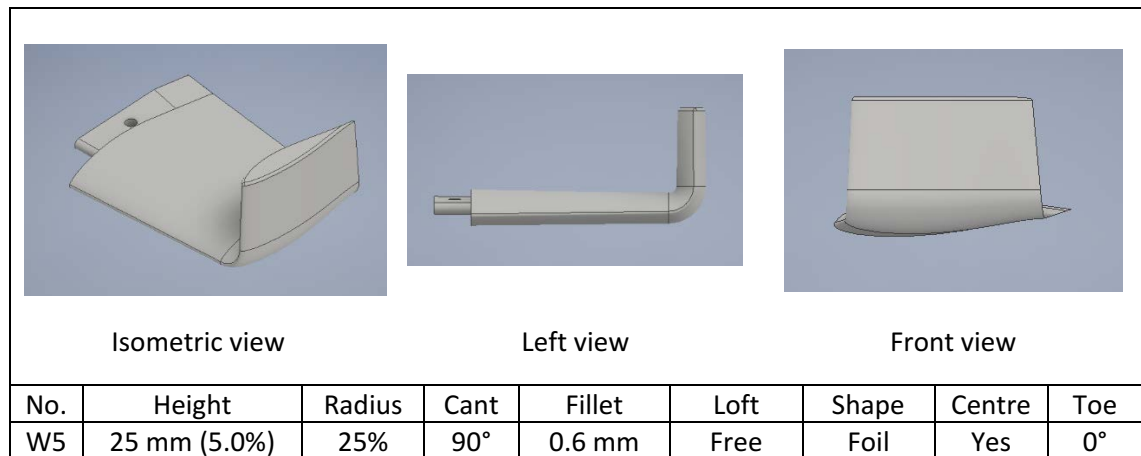


Figure 4.30 Winglet 5 (W5): 5.0% height, 25% radius (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

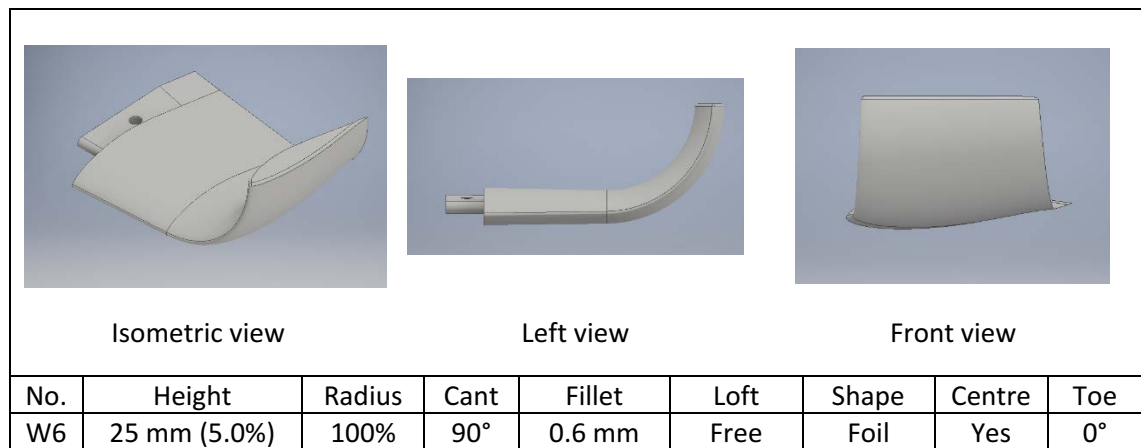


Figure 4.31 Winglet 6 (W6): 5.0% height, 100% radius (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglet 7 (Figure 4.32) compares cant angle versus winglet 5 (Figure 4.30).

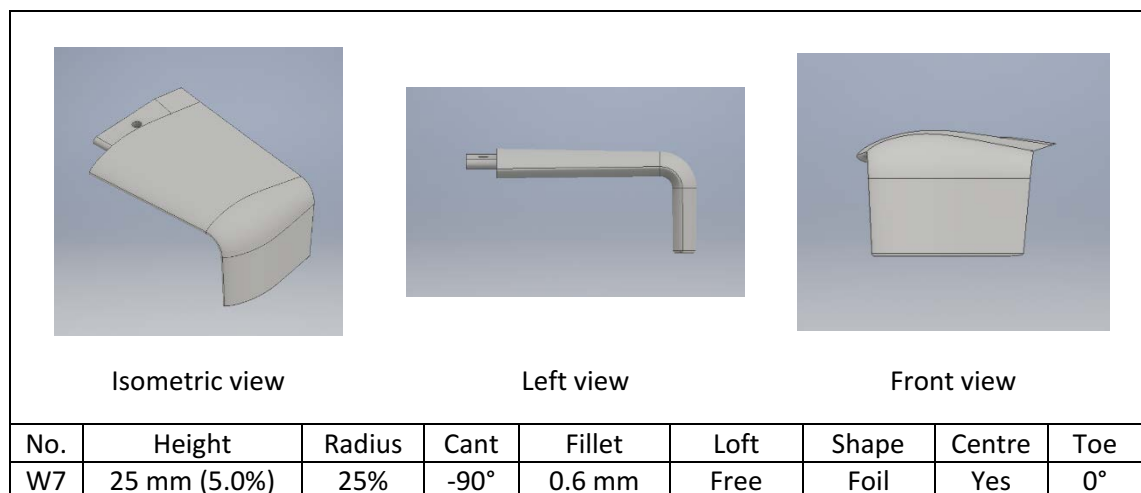


Figure 4.32 Winglet 7 (W7): 5.0% height, 25% radius, -90° cant (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

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Winglet 8 (W8) varies the size of the end airfoil to half of it, positioned at the central axis (Figure 4.33, everything else is the same as winglet 5 (Figure 4.30).

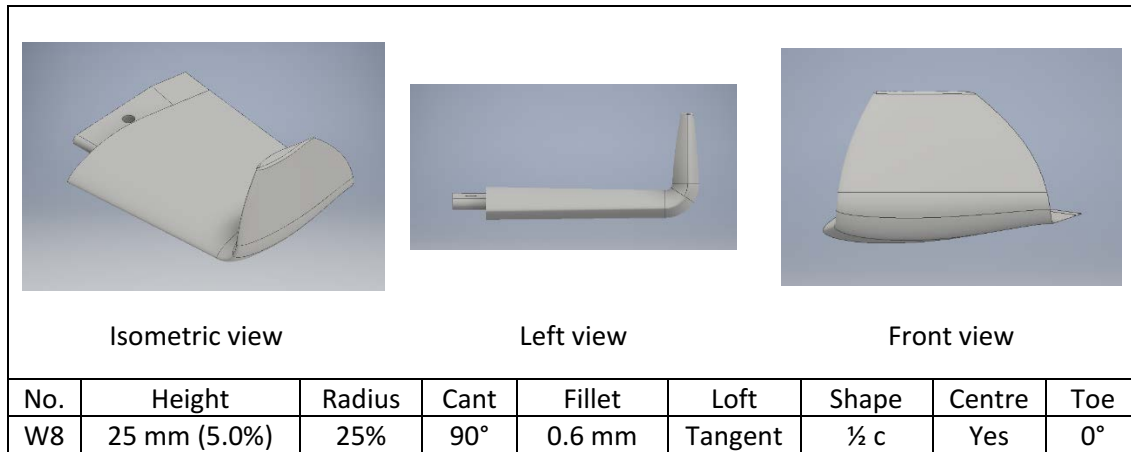


Figure 4.33 Winglet 8 (W8). Half airfoil at the central axis (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglet 9 and 10 are similar to the previous winglet, with the difference that the airfoil is moved back and forward by 45° (Figure 4.34 and Figure 4.35).

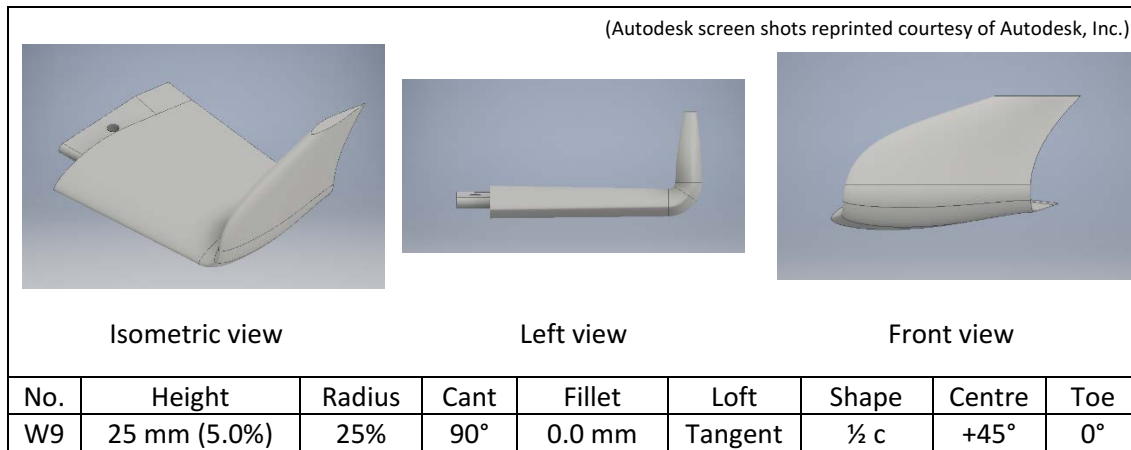


Figure 4.34 Winglet 9 (W9). Half airfoil +45° from the central axis (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

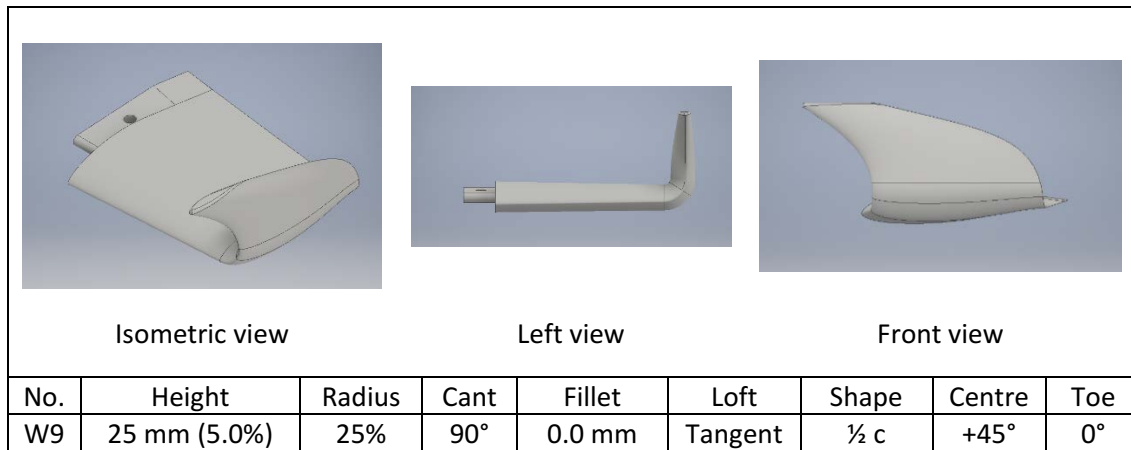




Figure 4.35 Winglet 10 (W10): Half airfoil -45° from the central axis (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglets 11 and 12 were designed to further explore the reduction in size of the tip airfoil. Winglet 12 (Figure 4.37) is very similar to winglets used by Enercon (Figure 2.17, p. 27). As mentioned before, for obvious reasons, there are no published results about them, and it is thought that they are oriented towards the pressure side for tower clearance concerns. Winglet 11 (Figure 4.36) has a tip airfoil half the size of winglet 8.

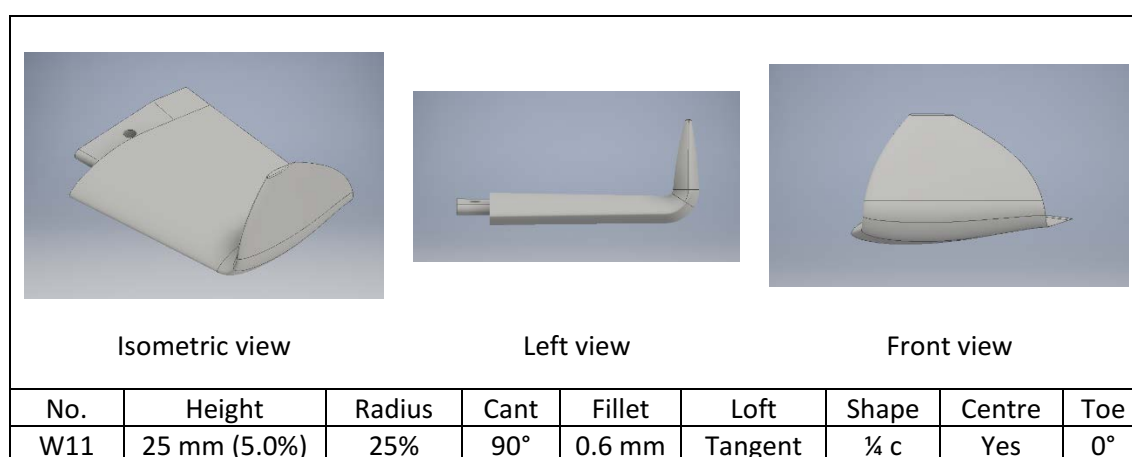


Figure 4.36 Winglet 11 (W11): A quarter airfoil at the central axis (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

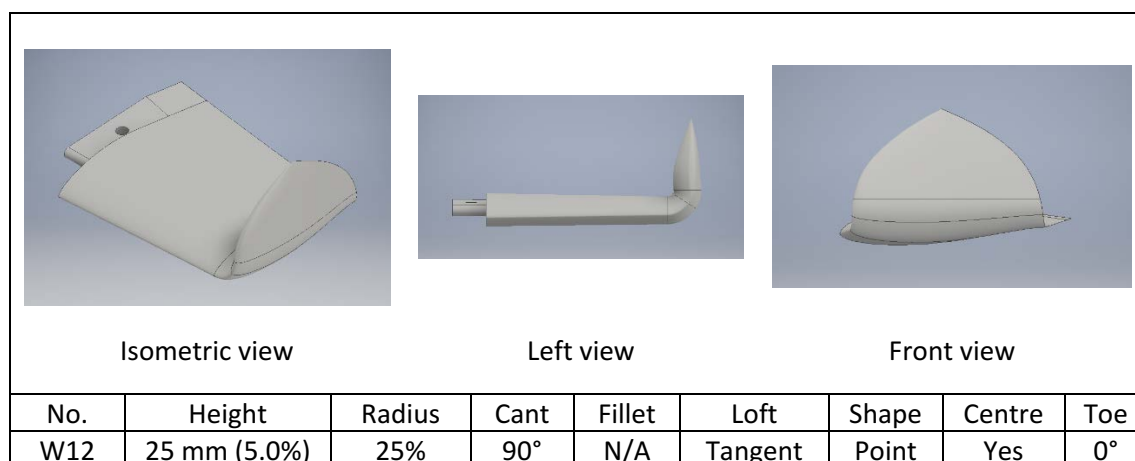


Figure 4.37 Winglet 12 (W12): Endpoint located at the central axis (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglets 14 and 15 (Figure 4.38 and Figure 4.39) were designed to explore the effect of reducing the airfoil thickness. Winglet 14 has two sharp edges that do not seem aerodynamic or stable. Winglet 15 has half the chord of winglet 14 so that the edges are rounded, and it seems to have a more aerodynamic shape.

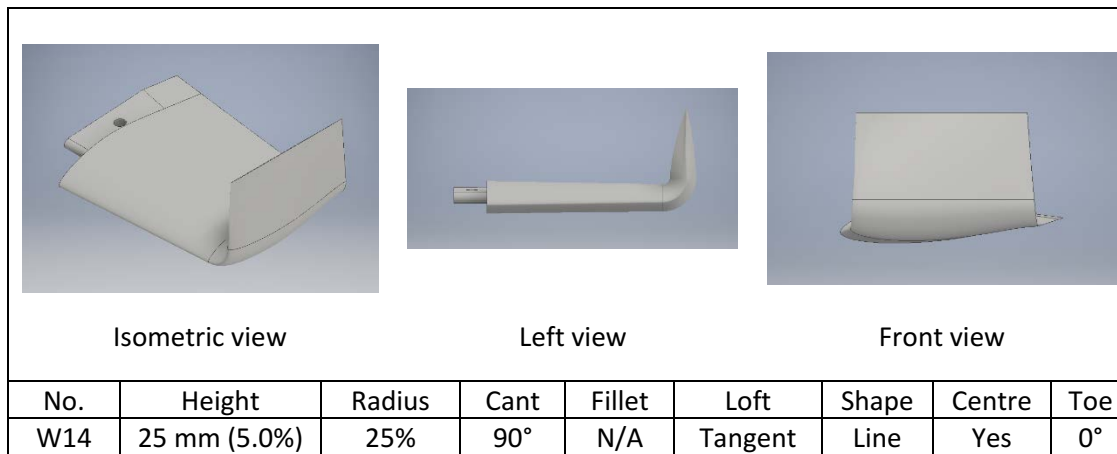


Figure 4.38 Winglet 14 (W14): Airfoil of 1mm thickness to resemble a line (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

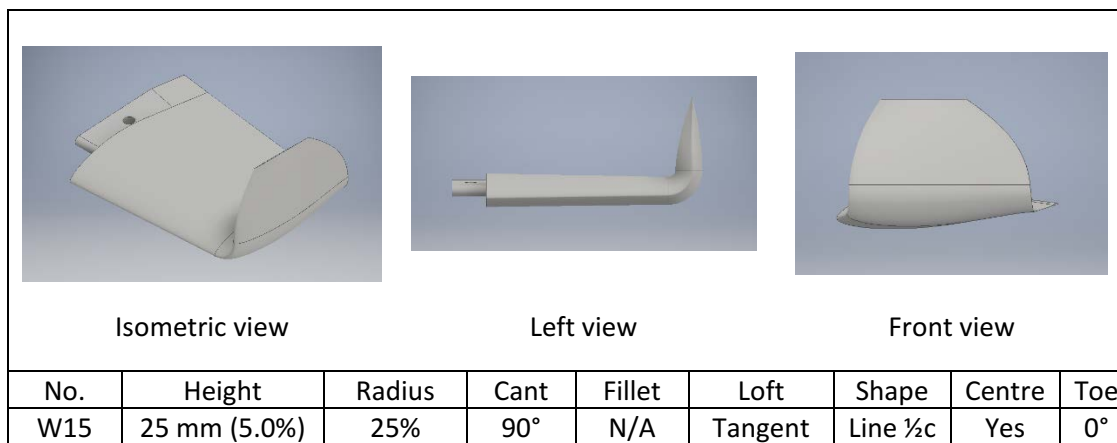


Figure 4.39 Winglet 15 (W15): Half airfoil 1 mm thick positioned in line with the central axis (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglet 16 (Figure 4.40) has a cant angle of 45° towards the suction side, keeping the same rotor diameter, to compare it with winglet 5 (90° cant angle) and the baseline tip 1 (T1), so that there was a middle point between no cant angle and a ‘full’ cant angle.

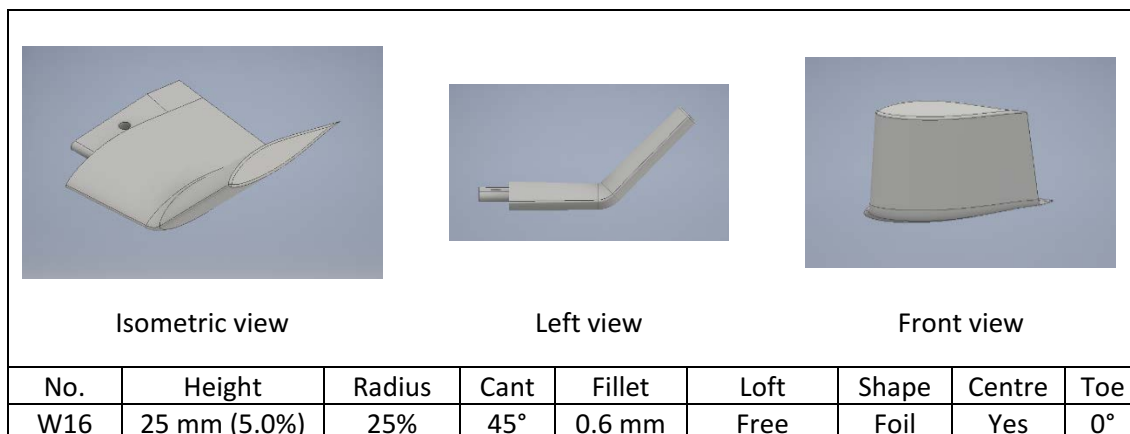


Figure 4.40 Winglet 16 (W16): 45° cant angle (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Extension 17 (Figure 4.41) is simply a rotor extension. In principle, a bigger rotor generates more power. For this to be completely true, the whole blade should have been scaled, but to manufacture another 3 sets of blades just to prove this is not practical. Instead, the effect of extending the blade by keeping the same blade aspect ratio and parameters such as twist, is explored.

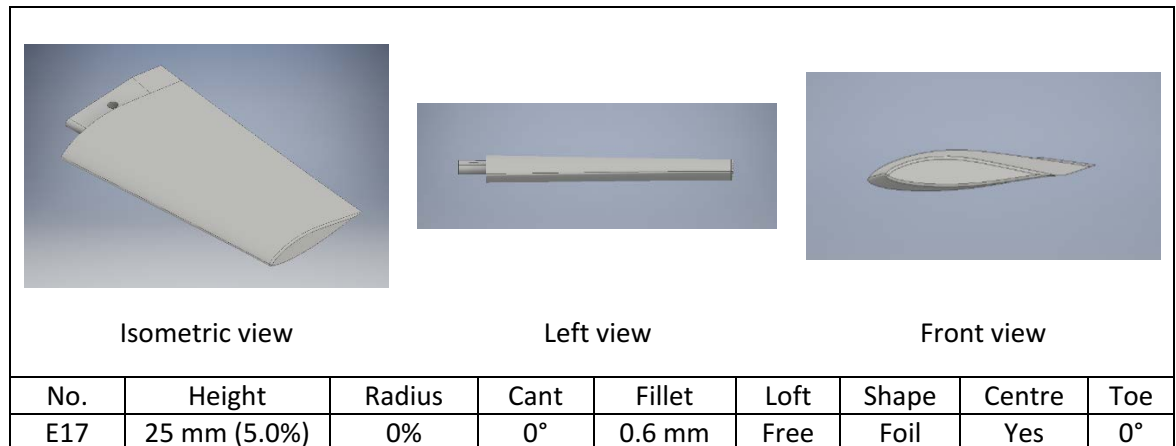


Figure 4.41 Tip extension (E17): 5.0% blade extension (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglet 18 has the same characteristics as the base winglet (W5) but an inverted profile. The way it was flipped is detailed in the next section.

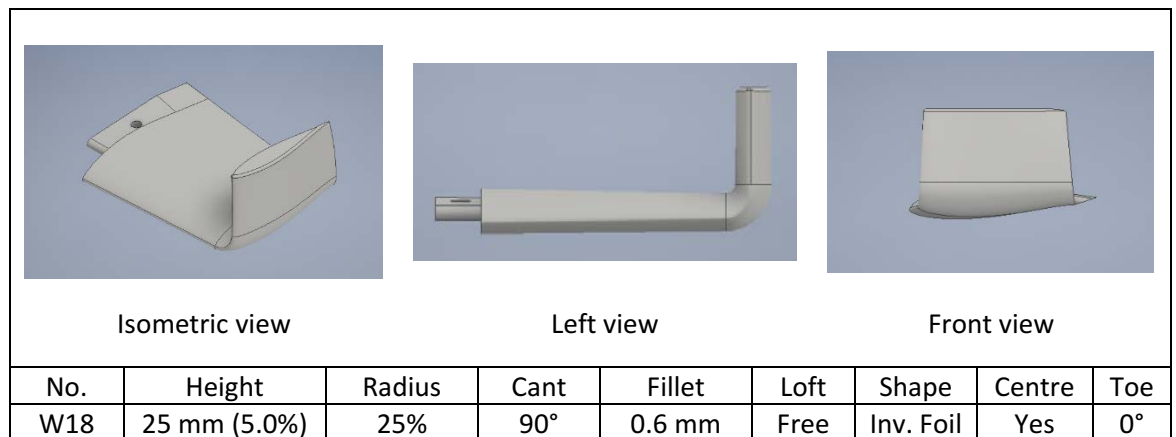


Figure 4.42 Winglet 18 (W18): Inverted airfoil (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglets 19 and 20 were designed to measure the effect of the toe angle in winglets. The toe angle would be similar to a blade pitch, and it changes the angle of attack perpendicular to the flow that passes through the turbine as it rotates (Figure 4.43 and Figure 4.44). Winglet 21

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incorporates an inverted airfoil shape and a toe angle, again to try and measure any effect of the airfoil orientation.

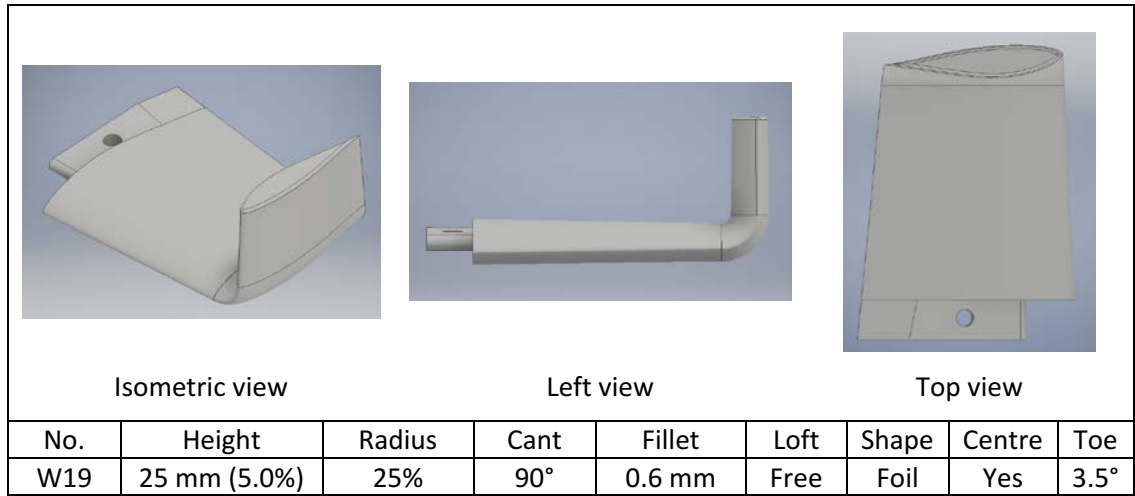


Figure 4.43 Winglet 19 (W19): Winglet with a 3.5° toe angle towards the centre of the turbine (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

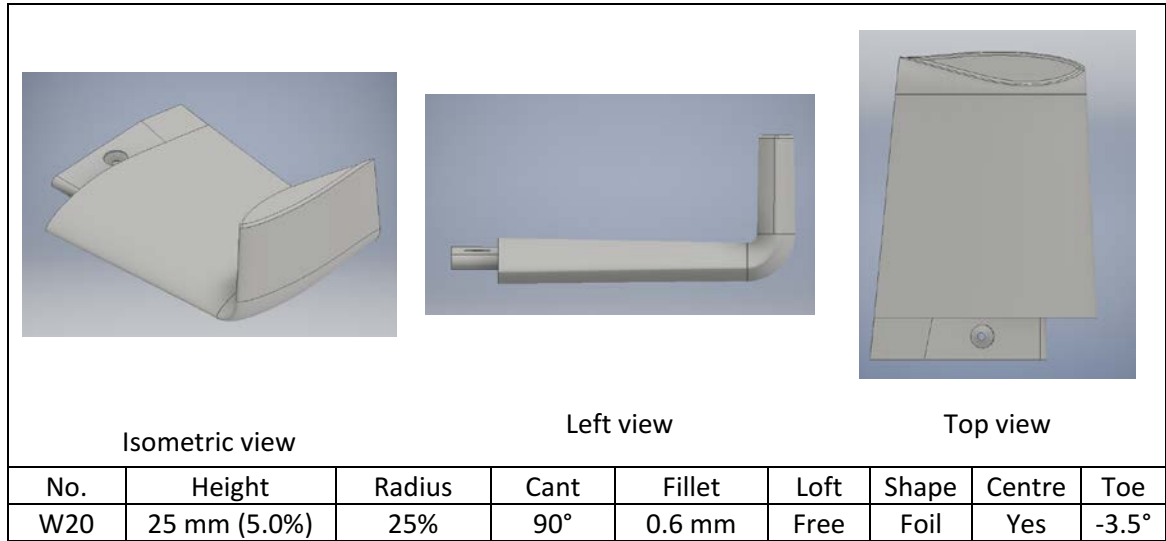
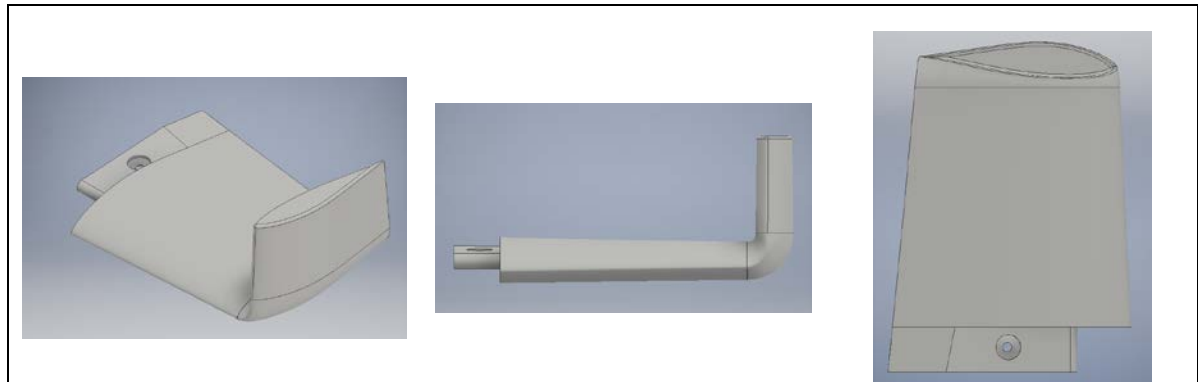


Figure 4.44 Winglet 20 (W20): Winglet with a 3.5 toe angle away from the centre of the turbine (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).



| Isometric view |              | Left view |      |        | Top view |           |        |       |
|----------------|--------------|-----------|------|--------|----------|-----------|--------|-------|
| No.            | Height       | Radius    | Cant | Fillet | Loft     | Shape     | Centre | Toe   |
| W21            | 25 mm (5.0%) | 25%       | 90°  | 0.6 mm | Free     | Inv. Foil | Yes    | -3.5° |

Figure 4.45 Winglet 21 (W21): Winglet with an inverted foil and a 3.5 toe angle out (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

#### 4.4.1 Airfoil alignment for the inverted profile

There were two options to flip the airfoil profile for the extension of the blade that would be bent into a winglet. If the blade is bent towards the suction side, the winglet ends up with an 'inverted' profile, as seen from behind the blade. Considering that the direction of rotation, an inverted airfoil is less aerodynamic and produces more drag than if it was flipped. A solution to this is to invert the profile just at the end of the blade before bending it to produce the winglet. Figure 4.46 shows winglet 5 (W5), which is a normal extension of the blade bent towards the suction side, the airfoil is upside down. In the same figure is winglet 18 (W18) that has been flipped to correct this matter. The procedure is detailed next.

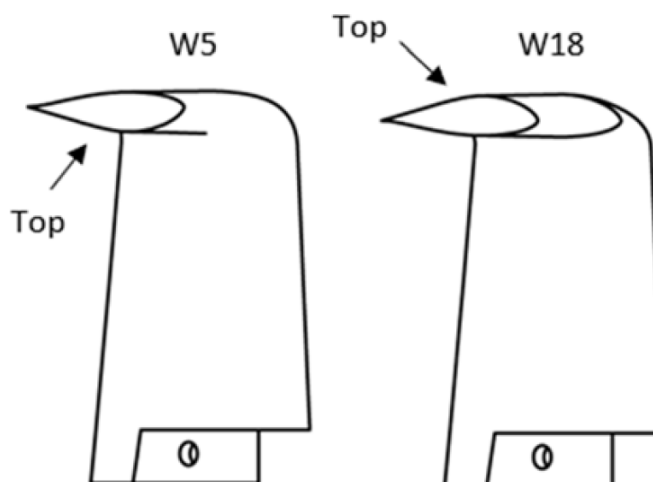


Figure 4.46 Normal and inverted airfoil bent towards the suction side to create a winglet.

Figure 4.47 shows the airfoil shape at the tip of the blade, with its centre point located at the axes' intersection. Option 1 is to align the trailing and leading edges, flipping the airfoil along the chord line and centring their inner points as shown in Figure 4.48. When the sections are joined, there is a misalignment shown in Figure 4.49.

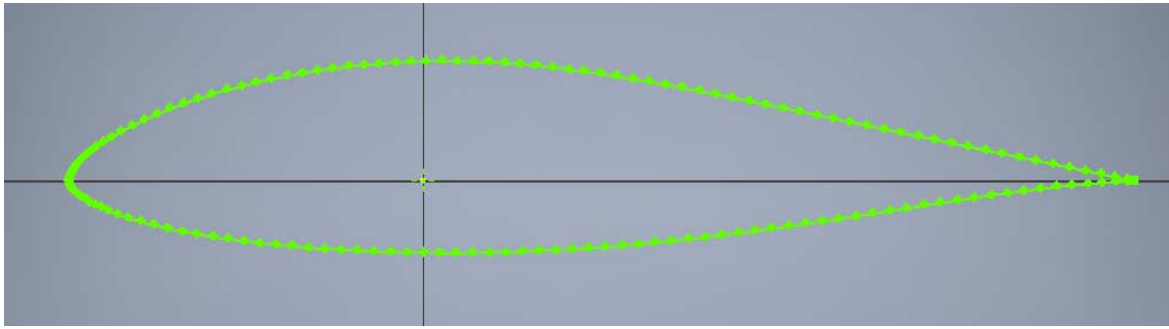


Figure 4.47 Tip profile with the centre point along the leading and trailing edge line (Autodesk screen shot reprinted courtesy of Autodesk, Inc.).



Figure 4.48 Inverted airfoils with aligned centre points (Autodesk screen shot reprinted courtesy of Autodesk, Inc.).

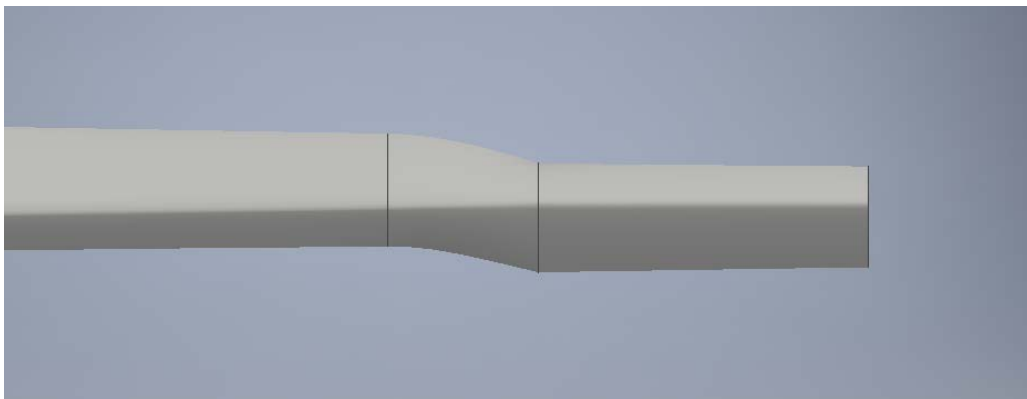


Figure 4.49 Blade extension for inverted airfoils with aligned centre points (Autodesk screen shot reprinted courtesy of Autodesk, Inc.).

The second option is to keep a fixed height along the span of the blade as shown in Figure 4.50. When both sections are joined, there is a jump from one leading edge to the other, as shown in Figure 4.51. After being bent, the joined section ends up between the beginning and the end of the curve, with the difference that a fixed height keeps the curvature radius in place as can be

observed in Figure 4.52 (Right), contrary to what happens if the centre points are aligned, resulting in what can be observed in Figure 4.52 (Left).

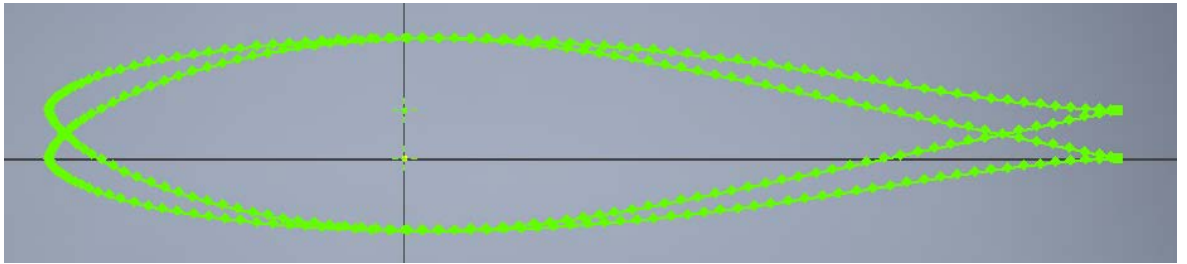


Figure 4.50 Inverted airfoils with fixed height (Autodesk screen shot reprinted courtesy of Autodesk, Inc.).

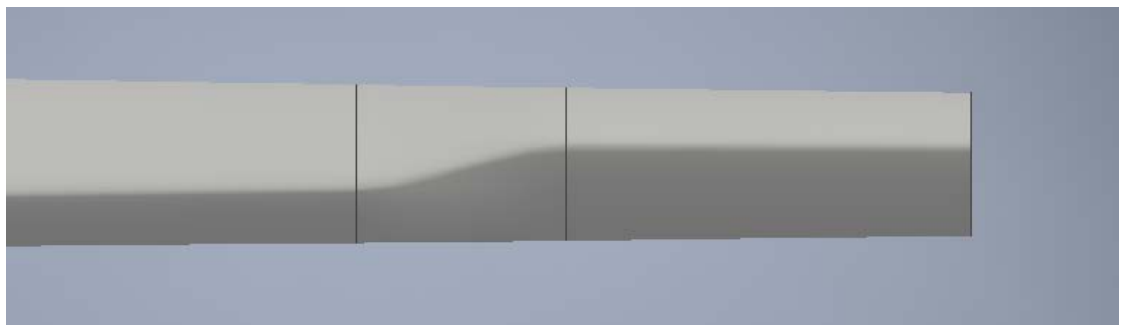


Figure 4.51 Blade extension for inverted airfoils with fixed height (Autodesk screen shot reprinted courtesy of Autodesk, Inc.).

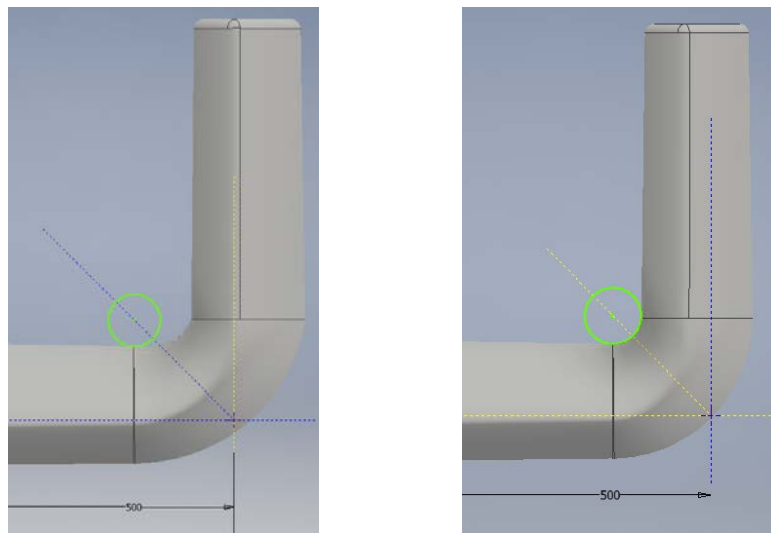


Figure 4.52 Curvature radius for aligned centre points (Left), and for fixed height (Right) (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

### 4.5 Gearbox and DC-motor generator efficiencies

The gearbox has a step-up ratio of 1:10 and the efficiency is estimated to be constant at 97% (Bahaj, 2013). The generator is a DC motor rated at 24 V and a speed of 3,000 rpm with an internal resistance of 50 mΩ. The efficiency of the generator varies as shown in Figure 4.53, with values for the straight blade at different tip speed ratios in Table 4-6. This is key to identify the peak in the power curve, although the instrumentation does not allow to record the instantaneous current and voltage delivered by the turbine during an entire run.

Table 4-6. Generator efficiency for the straight blade at given TSRs.

| TSR          | 4.6 | 4.8 | 5.1 | 5.5 | 5.9 | 6.2 | 6.4 | 6.9 | 7.3 |
|--------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| $\eta_{gen}$ | -   | 38% | 67% | 80% | 86% | 90% | 92% | 95% | 97% |

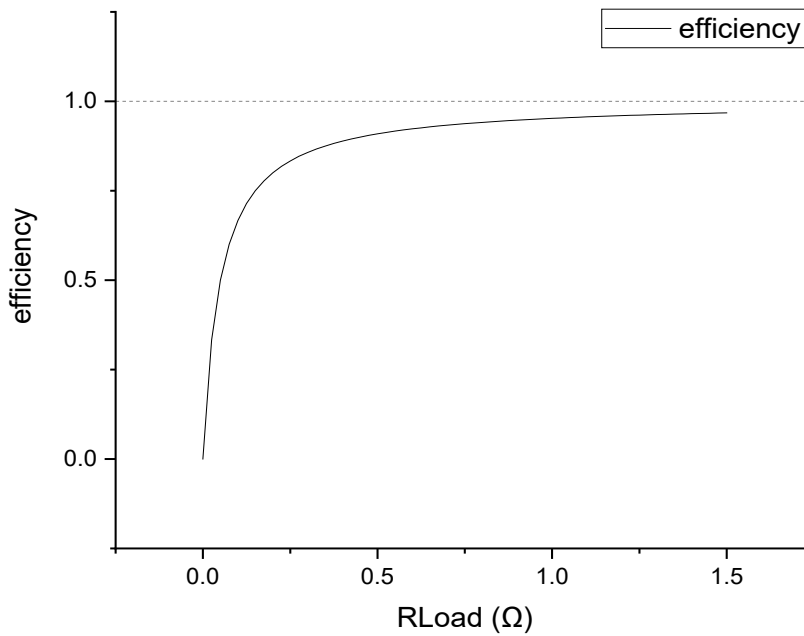


Figure 4.53 Generator efficiency vs. load resistance.

According to the maximum power transfer theorem (Figure 4.54), as the name states, for a Thevenin equivalent circuit, the maximum power dissipated in a system occurs when the load resistance matches the internal resistance of the generator, and the efficiency is of 50%. For this case, it is at around a tip speed ratio of 5.0.



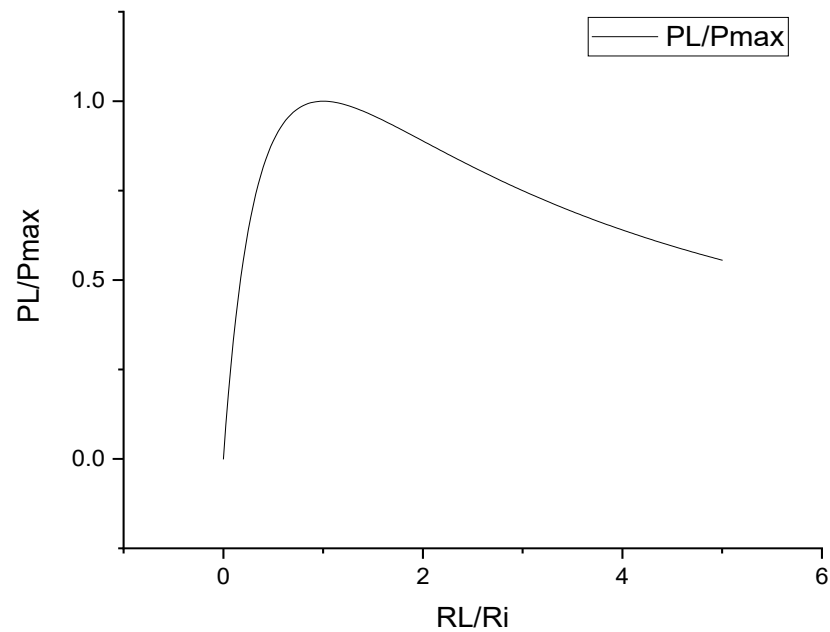


Figure 4.54 Maximum power transfer theorem.

When the load is disconnected, the turbine output is zero, because it is in short circuit, as shown in Figure 4.55 (Left). At this state, power is dissipated as heat by the internal resistance, and the turbine rotates at its lowest speed. The turbine operation occurs when the load is connected [Figure 4.55 (Centre)]. Lastly, the turbine rotates freely with an open circuit [Figure 4.55 (Right)] and reaches its maximum rotational speed when it is in equilibrium with the drag force.

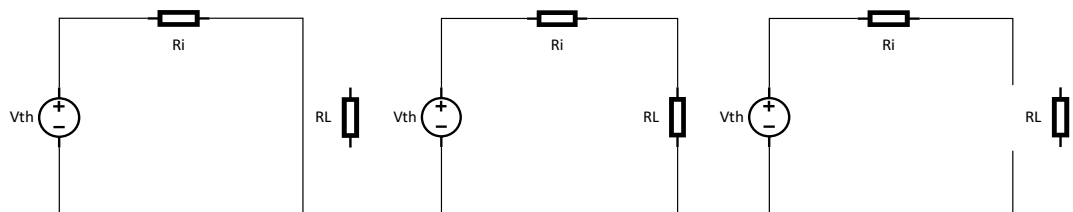


Figure 4.55 Turbine equivalent circuits. Short (Left), connected (centre), and open (Right).

## 4.6 Software

The thrust and torque signals were collected, filtered and amplified via a wireless telemetry system located inside the nacelle. A National Instruments® data acquisition (DAQ) box, model NI USB-6210, received the analogue signals, and passed them to a LabVIEW® program for a real-time viewing (Figure 4.56), and saved the raw data for post-processing. The power was

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dissipated using a 300-W Aim-TTi LD300 Electronic Load, with a range from 0.04 to 10  $\Omega$  (0.01  $\Omega$  resolution), and a regulation of less than 2% for 90% load power change.

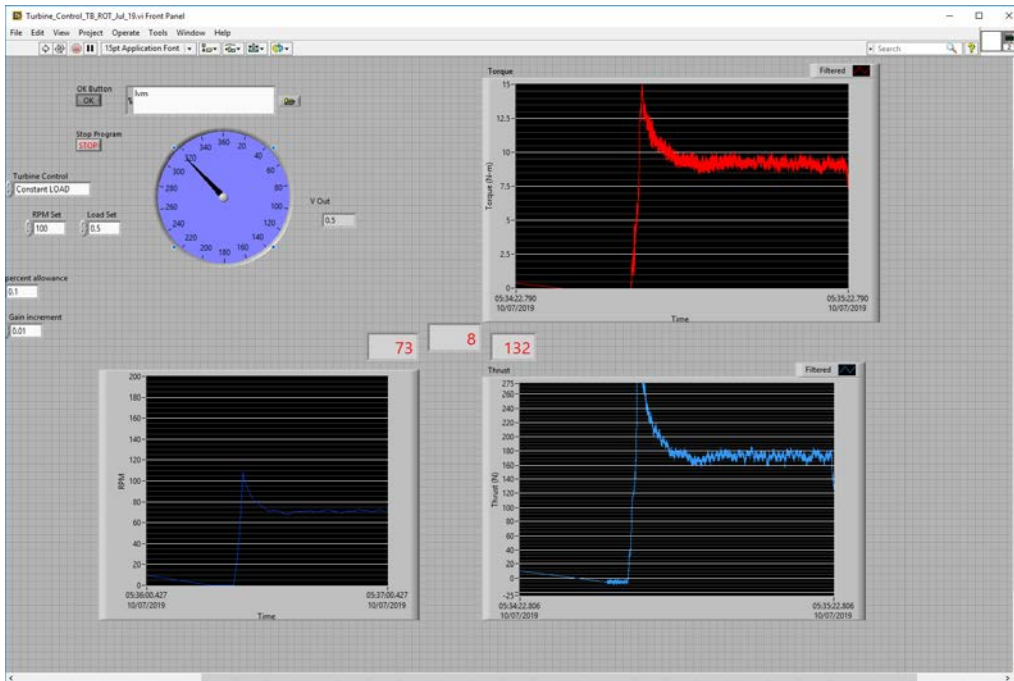


Figure 4.56 LabView screen preview of experimental runs (© 2022 National Instruments Corp.).

### 4.7 Calibration and uncertainty analysis

The thrust dynamometer was calibrated in the laboratory from 0 to 150  $N$  at intervals of 30  $N$  with a precision of 3.4  $mN$ . The torque dynamometer calibration ranged from 0 to 11  $N\cdot m$  using intervals of 2.2  $N\cdot m$  measured to 0.26  $mN\cdot m$ , by hanging 0.5  $kg$  weights at  $0.442 \pm 0.001 m$  from the centre of the shaft at  $0 \pm 1 deg$  at the plane of rotation. The zero reading for the thrust has scattered noise with a normal distribution as shown in the perpendicular histogram of Figure 4.57, with a standard deviation of  $\pm 5.77 mV$ , which represents around 1.03% of the average signal. The linear correlation has a value of 0.9999938 as shown in Figure 4.58, with a slope 227.893  $N/V$ .

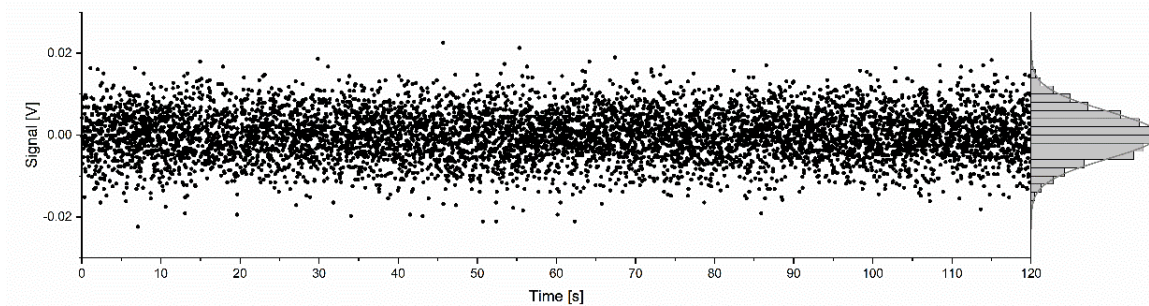


Figure 4.57 Noise in the acquisition signal.

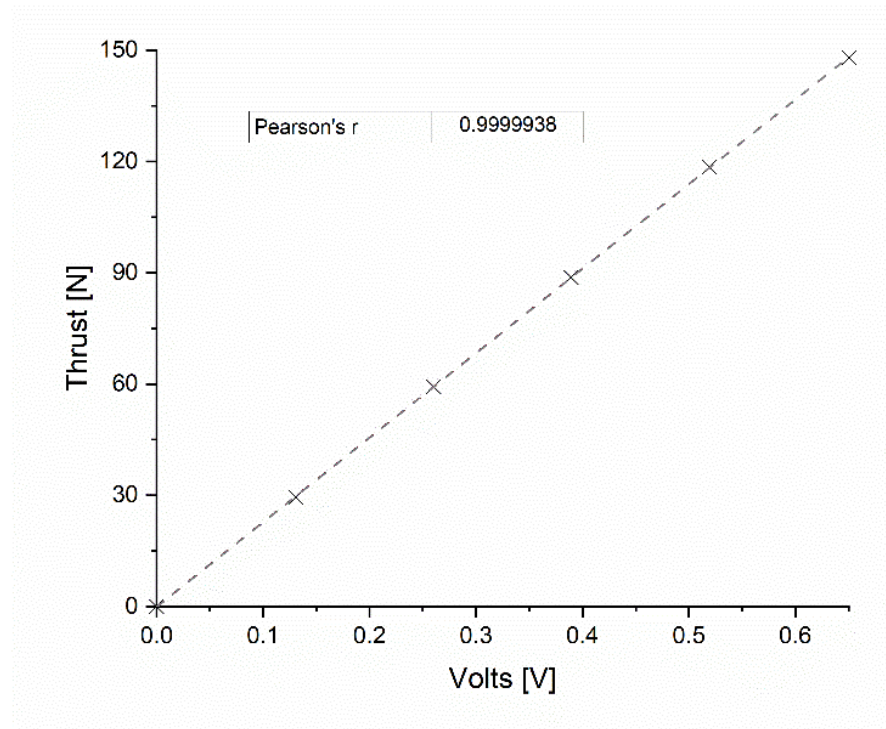


Figure 4.58 Thrust calibration.

The tank has been measured to have a velocity variation of  $\pm 0.002$  m/s at 0.46 m/s (Galloway, 2013, p. 71). Additionally, the system of the carriage compensates for any additional load to keep the speed at the selected value. The variability in the angular speed at constant load for a speed of 0.85 m/s and 85 rpm was estimated by measuring 7 repeat runs (Table 4-7) with a standard deviation of 0.48 rpm. The variation of 0.5% agrees with the variation in the carriage speed.

Table 4-7. Repeatability runs.

| Run            | 1     | 2     | 3     | 4     | 5     | 6     | 7     |
|----------------|-------|-------|-------|-------|-------|-------|-------|
| Mean rpm       | 84.89 | 83.97 | 85.10 | 85.13 | 85.37 | 84.99 | 85.44 |
| Std. dev [rpm] | 5.18  | 5.28  | 5.14  | 5.01  | 4.88  | 5.09  | 4.99  |
| $C_p$          | 0.47  | 0.48  | 0.49  | 0.48  | 0.48  | 0.47  | 0.48  |
| Std. dev [ ]   | 0.014 | 0.014 | 0.013 | 0.012 | 0.011 | 0.012 | 0.015 |
| $C_T$          | 0.81  | 0.83  | 0.83  | 0.82  | 0.82  | 0.81  | 0.81  |
| Std. dev [ ]   | 0.020 | 0.025 | 0.022 | 0.024 | 0.020 | 0.018 | 0.023 |

The standard deviation for the power and thrust coefficients are 1.4% and 1.2% of the mean, respectively. Precision uncertainties are added in quadrature (Gandhi *et al.*, 2019, pp. 22–24). The power is a product of the torque and angular velocity; thus, the percentage uncertainties have been added arithmetically as shown in Table 4-8.

Table 4-8 Measurement uncertainties.

|                       | Thrust (N) | Torque (N·m) | $\Omega$ (rpm) | Power (W) |
|-----------------------|------------|--------------|----------------|-----------|
| Precision uncertainty | 0.8538     | 0.0327       | 0.48           | 0.49      |

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|                        |        |        |       |       |
|------------------------|--------|--------|-------|-------|
| Regression uncertainty | 0.4311 | 0.0257 | -     | -     |
| Total uncertainty      | 0.9564 | 0.0416 | 0.48  | 0.49  |
| Percentage of the mean | 1.29%  | 0.77%  | 0.53% | 1.30% |

Averaging the signal over a period of 120 s and plotting the error under a minute, the mean and the standard deviation converge with an error of less than 0.1% in just under five seconds as it can be seen in Figure 4.59. After performing the repeatability runs, it was found that the revolutions per minute (rpm) can be replicated around a predefined value with an accuracy of  $\pm 0.48(02)$  rpm.

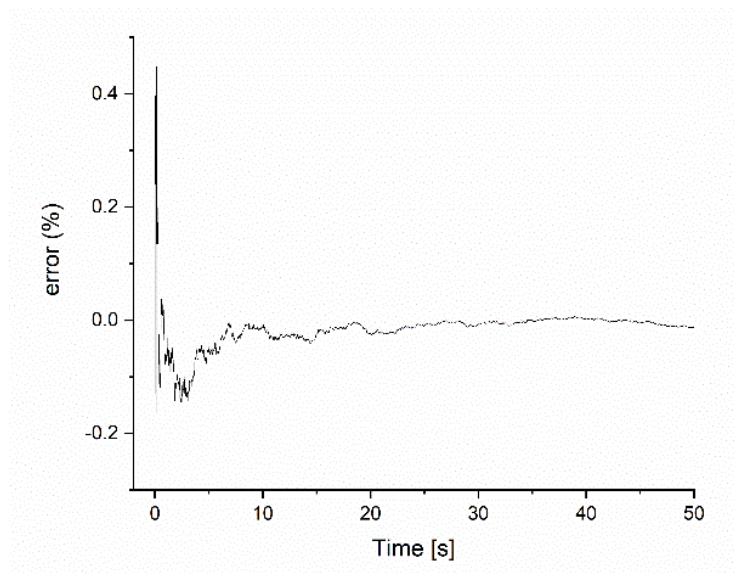


Figure 4.59 Percentage error for a sample time of 2 minutes.

### 4.8 Experimental set-up

Experiments were conducted at the wave/towing tank at Solent University in Southampton, UK. The tank has dimensions of 60m long, 3.7m wide and 1.8m deep, and a maximum carriage speed of 4 m/s (Figure 4.60).



Figure 4.60 View from the end of the towing tank (Solent University, 2017).

According to Du Buat's paradoxon, thrust forces can be 30% higher in a naturally turbulent environment such as a river (Muller, 2020, p. 2). The turbulence intensity in a towing tank can be considered to be close to zero (Blackmore, Batten and Bahaj, 2014, p. 9). Conditions with almost no turbulence are encountered after a long waiting time, such as at the beginning of the day when the water has been still for hours. It was seen empirically that after a waiting time of 10-15 minutes still conditions were achieved. Due to time and cost constraints, a waiting time of 4 minutes was determined as appropriate, by monitoring the fluctuations in the rotor speed. A 1-minute wait gave visible fluctuations in the speed, 2 minutes was determined to be the minimum waiting time before other corrections had to be made to the data. It took around 5 minutes per run (each data point recorded), 7 to 8 operating points were evaluated per winglet, and it took around 15 minutes to change the tips of the blades, no repeat runs were performed unless an anomaly was observed such as excessive noise on a run, equipment malfunction or significant deviation from an observed trend. To this end an inspection of all points on the performance curves for each winglet was analysed once acquired and any points of interest (for example peaks, unusual high or low values) were checked and repeated. Setting up the turbine and lowering it down took around 2-3 hours at the beginning and end of each experimental period. The turbine was towed a few runs at the beginning of the day to bring all components to an operating temperature. Under the chosen conditions, it took around 1 week per set of experiments, waiting

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10-15 minutes for the tank to settle down would have meant increasing the total time of experiments 2-3 times more. One week is manageable because even though it is an intense task, it can be carried out '*non-stop*'. A usual week of experiments starts by transporting the hundreds of kilograms of devices and equipment on site which have to be assembled and disassembled at the end of the experiments. An early start of the day is mandatory, so that experiments can start as early in the day as possible, finishing late. Evenings are usually spent post processing data, debugging files or fixing technical problems. It is a very demanding endeavour both physically and mentally. It is also a very exciting and fulfilling experience, that invariably ends up depleting energy and resources. A day at one of such facilities costs thousands of pounds, and like any resource it must be optimised. The five rounds of experiments carried out would have taken months to complete, and the tank and project availability would not have been sufficient.

Another factor that was kept in mind was the blockage correction. There does not seem to be general agreement between different blockage correction models (Galloway, 2013, p. 143). A blockage correction method was used for a tidal turbine of 7.5% the cross-sectional area of the same towing tank used in this study. Finding that for a thrust coefficient of 0.8, the corrections accounted for a decrease of 8% and 5% of the power and thrust coefficient respectively (Bahaj *et al.*, 2007, p. 416).

In a towing tank environment, conditions can be controlled and measured more precisely than in real life conditions. Results will generally be ideal, and power coefficients will be higher than in normal operational conditions. On the other hand, it should be considered that higher forces can be seen by the turbine in a turbulent environment and keep that in mind for structural integrity when designing full scale devices. Towing tank experiments are practical because they allow making comparisons with slightly different conditions and identify minor changes in the results.

As seen in Section 4.2, the airfoil profiles are operating under Re numbers where the flow should have very similar conditions to the non-scaled turbine. The only other option would be to increase the rotor size (impractical as it has been seen already), because unlike for wind tunnels, it would not be possible to have a pressurised circulating flume. Operating the turbine at higher speeds could also bring Re numbers higher, but it would defy the purpose of the Froude scale. In Section 5.9, the Reynolds effect is discussed.

The turbine was placed at mid depth (Figure 4.61 ), in the middle of the tank (Figure 4.62).

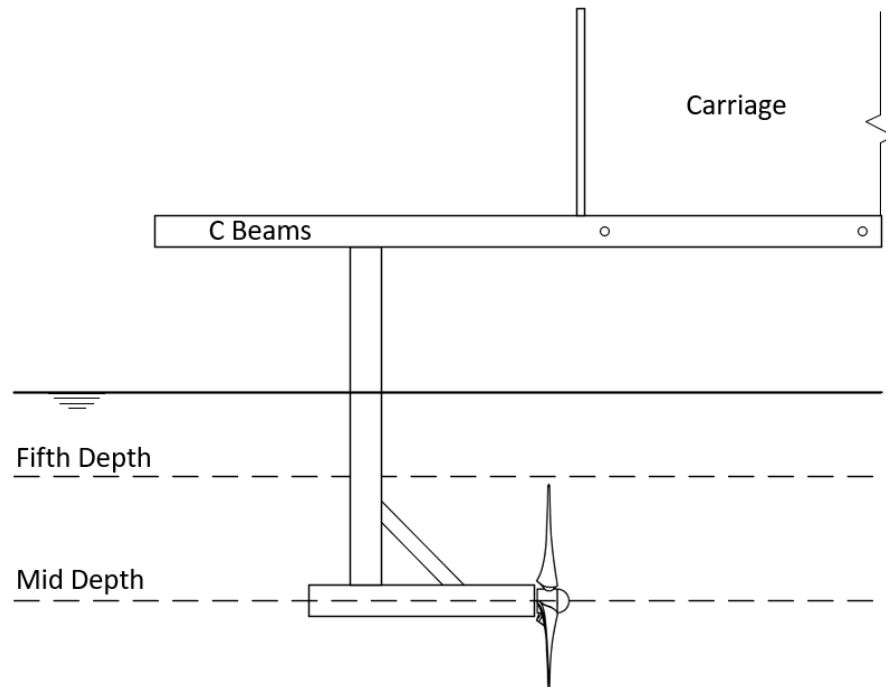


Figure 4.61 Side view of tidal turbine deployment.

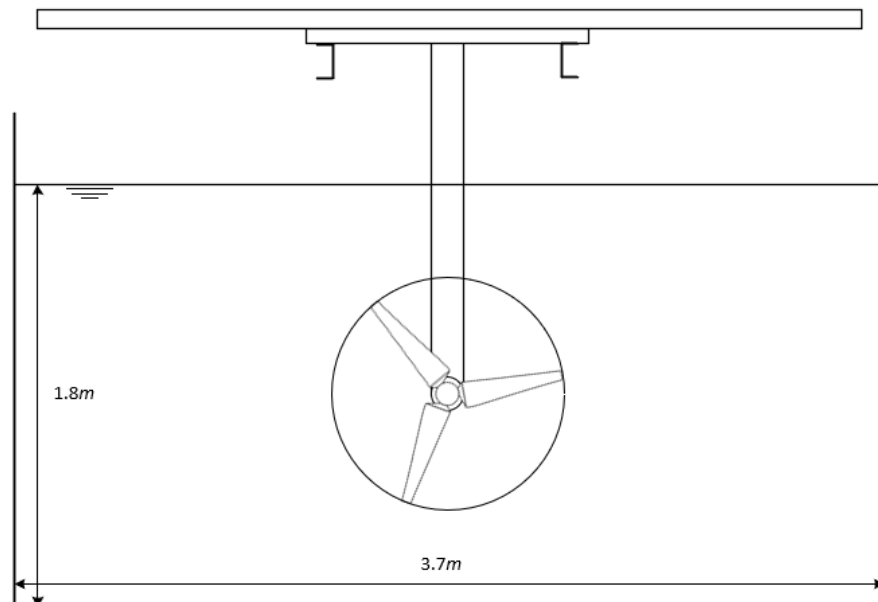


Figure 4.62 Front view of tidal turbine in the tank.

## Chapter 4

The electronic equipment was operated from the carriage with a similar layout as the one presented in Figure 4.63, consisting of the following items connected to the turbine via the umbilical cord:

- 1) Telemetry system: Receiving the data wirelessly directly from the shaft.
- 2) DAQ box: Receiving the amplified signal and feeding it to the personal computer.
- 3) Laptop: To visualise, record, store and process the data.
- 4) Electronic load: The front connection of the Aim-TTi LD300 was used with a maximum current input value of 30 A, maximum voltage of 80 V, and power of 320 W.
- 5) Power supply: Available in case the turbine did not self started, but it was not needed.
- 6) Compressor: To keep the turbine pressurised at 0.2 bar and prevent water infiltration.

Additionally, the carriage controls are located to the right of the setup, on a different bench facing the towing direction.

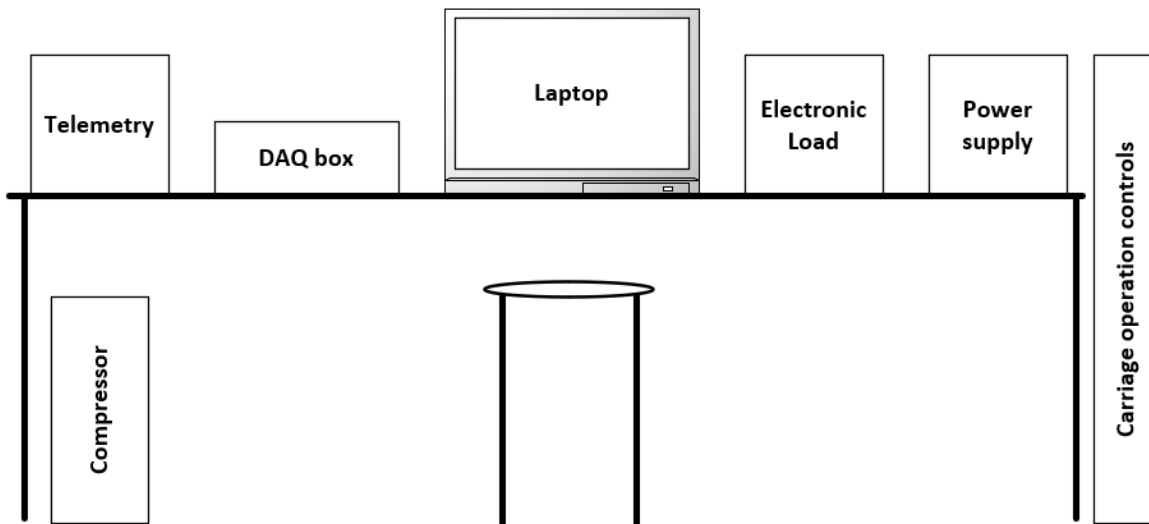


Figure 4.63 Equipment layout.

The turbine was deployed at the beginning of the day and lifted by the end of using a winch. The turbine fully assembled and ready to be deployed is shown in Figure 4.64. Each time, it took around 30 minutes to put the turbine in place. The blade and winglet interface made it easier only to pull the turbine up towards the front of the carriage just enough for the blades to come out of the water and be able to interchange the tips. This way, it was possible to change a whole set of winglets in around 15 minutes, rather than the hour it took to lift and lower the turbine completely. Pictures of the turbine with (Figure 4.65a) and without winglets (Figure 4.65b); the blade and winglet interface (Figure 4.65c); and some 3D printed winglets (Figure 4.65d).





Figure 4.64 Turbine ready to be deployed.

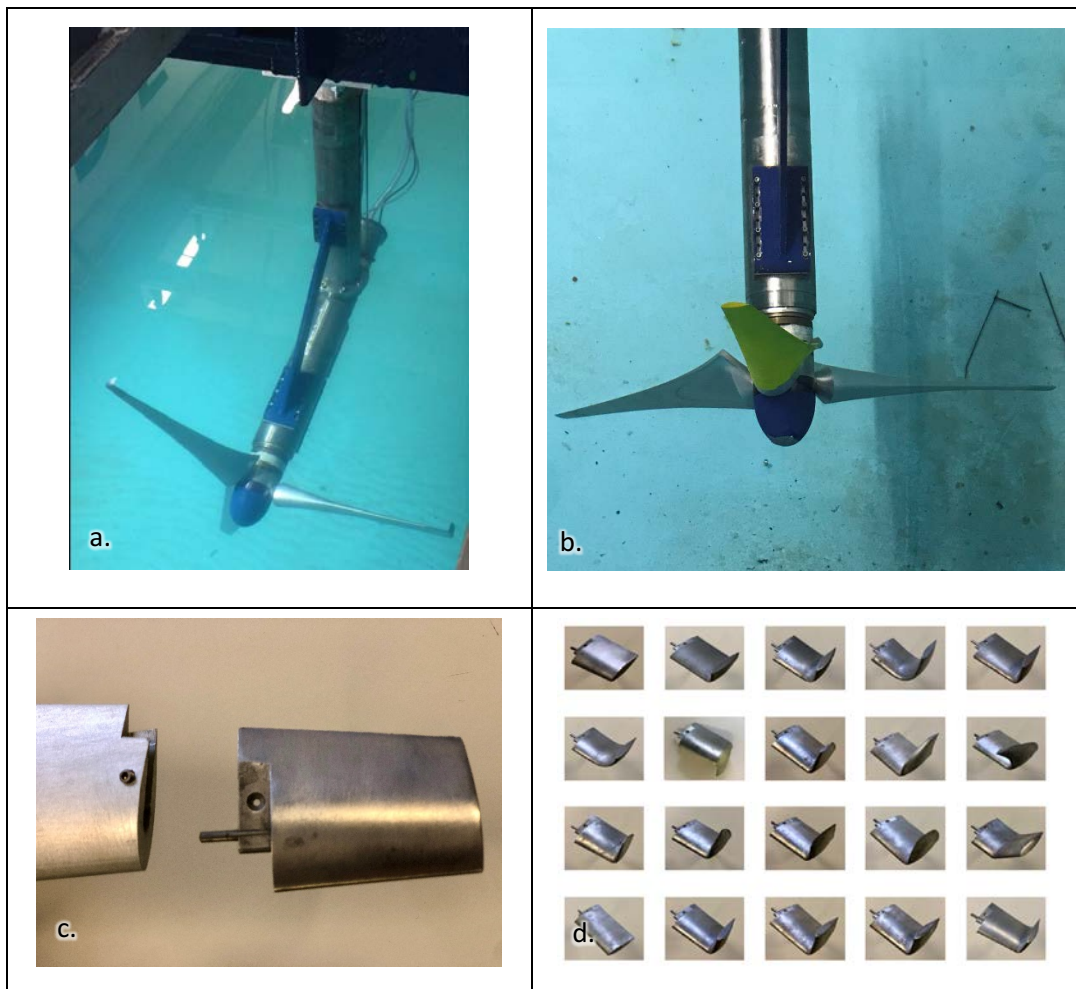


Figure 4.65 a) Turbine installed in towing tank with winglets. b) Main rotor. (c) Blade and winglet interface. (d) 3D printed winglets.



## Chapter 5 Results and discussion

The experiments presented in this section are the result of 5 sets of experiments carried out both at the Solent University towing tank and at FloWave in the University of Edinburgh. Part of these results were shared as a collaborative work with two other projects. The complete details of the experimental runs can be found in Appendix B, and turbine specifications in Section 4.1. All measurements were taken with a fixed pitch angle of  $0^\circ$ .

### 5.1 Experimental process

The thrust measurement for W14 is presented as an example run. The data is unfiltered but has been calibrated as shown in Figure 5.1 with around 30 s of useful data per run (2,000 readings).

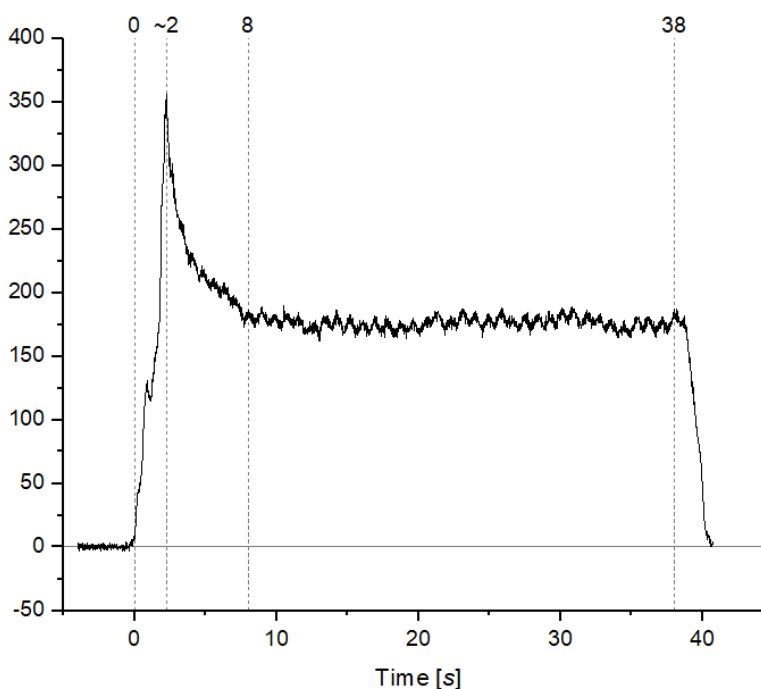


Figure 5.1 Example of a thrust recording for W14.

The run can be divided into four stages:

1. [0 to 2 s] Carriage starts up. Load disconnected, so the turbine starts rotating freely.
2. [2 to 8 s] Load is connected. The carriage reaches constant speed by that time.
3. [8 to 38 s] Carriage runs at desired speed. The turbine operates at constant load.
4. [38 to 40 s] Carriage reaches the end of the operating length and it is slowed down.

Small fluctuations can be observed during the recording, they are correlated to the turbine rotational speed as shown in Figure 5.2. If the mean is taken for both values from seconds 8 to 38,

and values are normalised with respect to each mean, the relationship can be seen in Figure 5.3. It is expected to see the thrust and torque fluctuate proportionally to the speed, as they depend on it and it is the only varying factor. The zoomed section is presented in Figure 5.4, the rpm data has been smoothed for ease of visualisation.

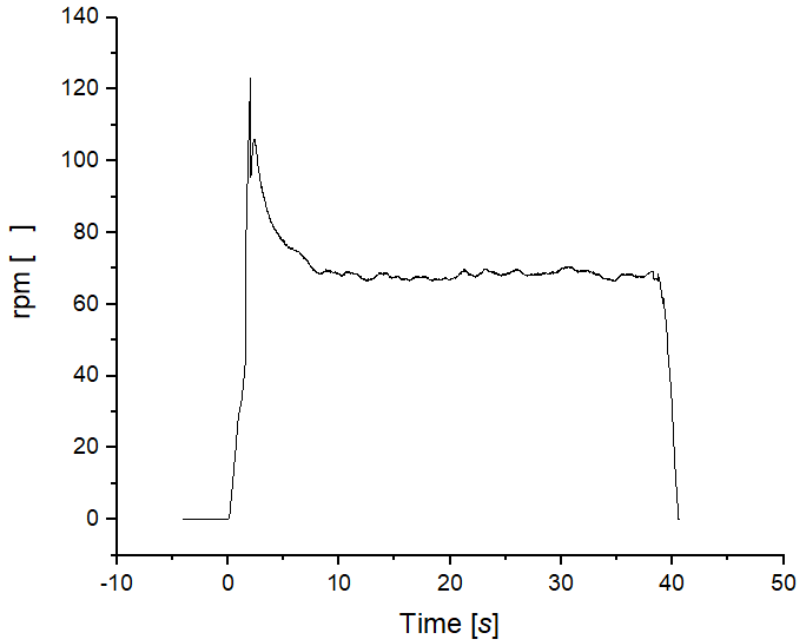


Figure 5.2 Rotational speed at around 68 rpm for W14.

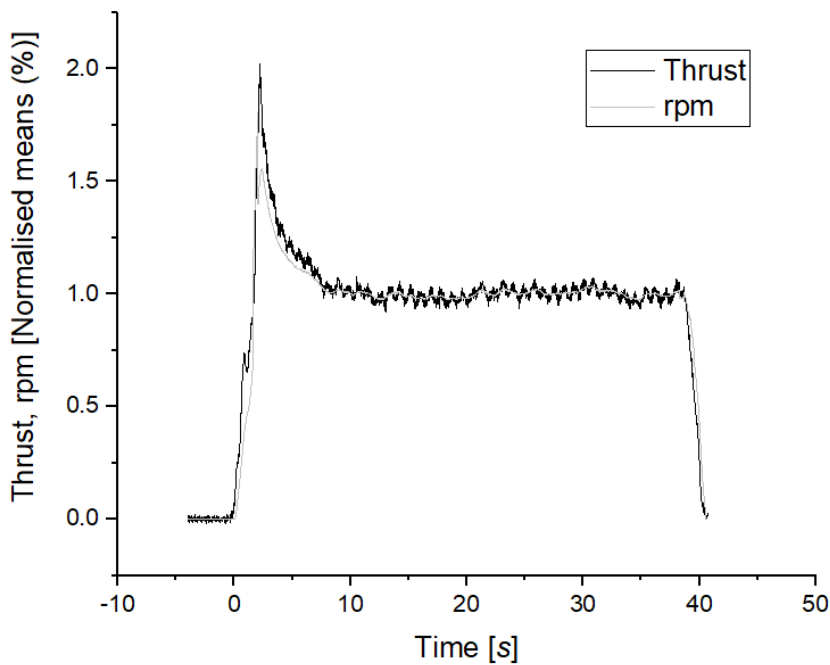


Figure 5.3 Superimposed thrust and rpm measurements with normalised means from 8 to 38 s.

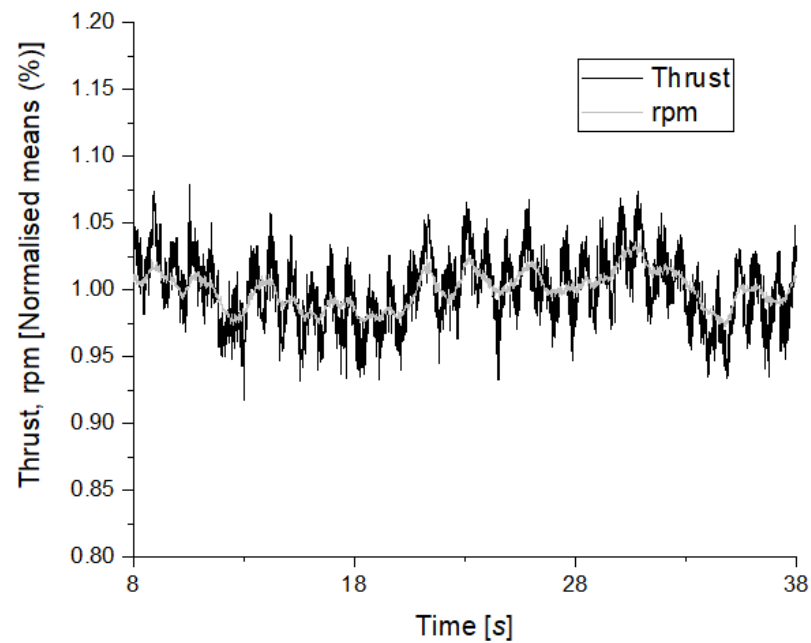


Figure 5.4 Close up of the visual correlation between the thrust and the turbine rotational speed.

### 5.1.1 Thrust vibration

When the fluctuations of the rotational speed are eliminated and the voltage of the thrust normalised, the variations can be seen in Figure 5.5. It is possible to identify these small fluctuations because the turbine is being towed and turbulence is almost null.

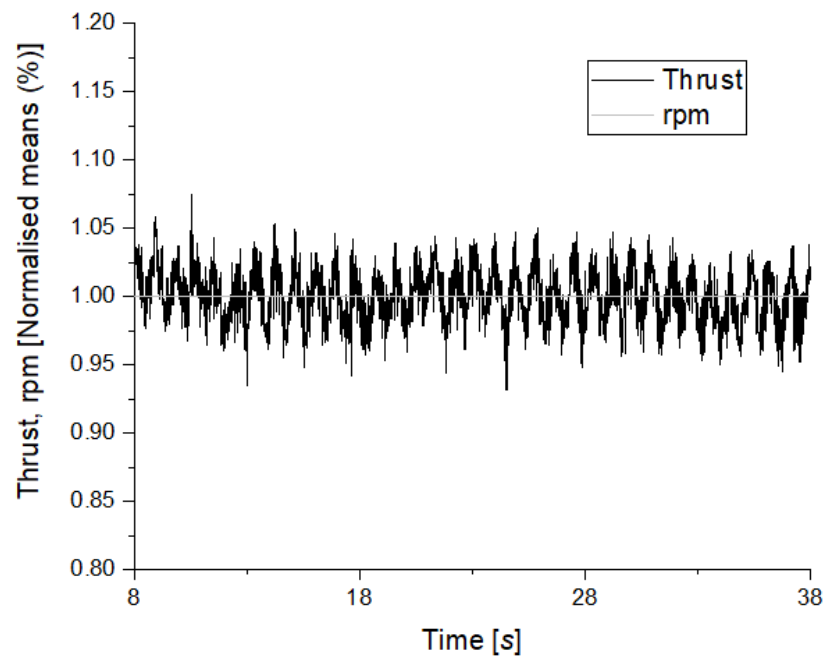


Figure 5.5 Variation of thrust with respect to constant rpm, both normalised.

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Frequency is  $68/60 \text{ Hz}$ , corresponding to the rotational speed, and it presents a normal distribution as it can be observed in Figure 5.6. The average is  $1+1.12\text{E-}15 \text{ V}$  with a standard deviation of  $15.7 \text{ mV}$ . This vibration could be caused from the blades being unbalanced, as the manufacturing process included machining, cutting, and drilling at different stations. All from a block of aluminium which width was chosen to be the thinnest possible so that the CNC-machine time was minimum. That could have compromised precision in the alignment of the blades.

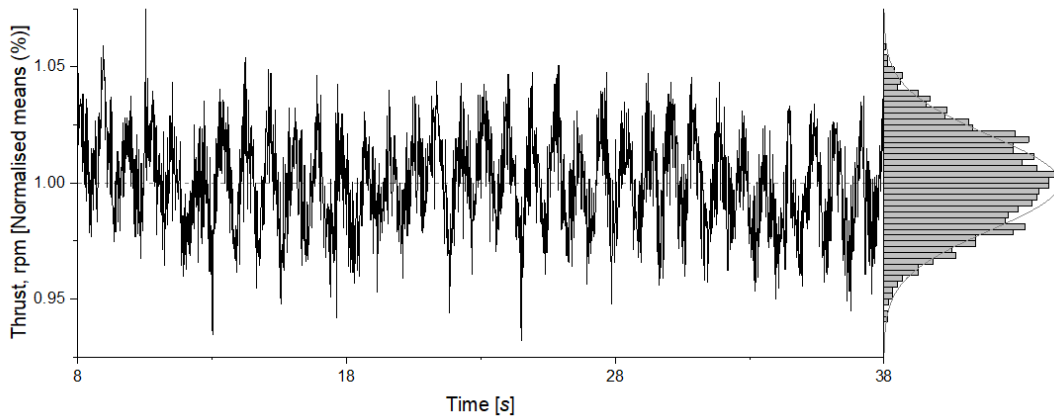


Figure 5.6 Distribution of thrust oscillations around constant rpm.

The spectral analysis of the signal shows a frequency  $1.13 \text{ Hz}$  present in the recording (Figure 5.7). It corresponds to the same rotational frequency of the system:  $\frac{68 \text{ rpm}}{60 \text{ sec}} = 1.13 \text{ Hz}$ . Such vibration is most likely product of an unbalance in the system, because that is the main cause of synchronous vibration (matching vibrating and rotational frequencies) (Adams, 2010, p. 330).

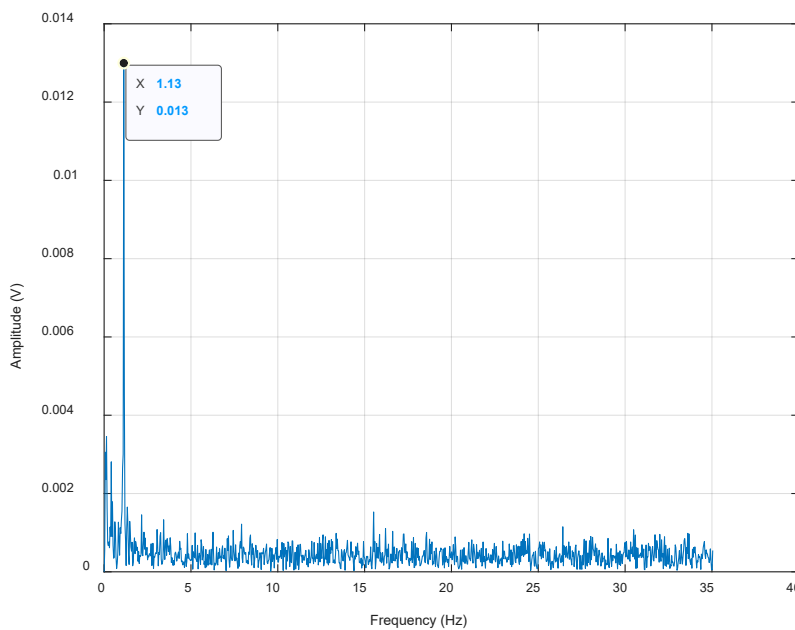


Figure 5.7 FFT of turbine thrust vibration at  $68 \text{ rpm}$  (© 1994-2022 The MathWorks, Inc.).

The same behaviour is found at all rotational speeds. The straight blade is chosen as it has the clearest readings, it will be evident by section 5.7 why winglets generate additional fluctuations. Figure 5.8 shows slightly more than 20 cycles equally spaced in a 15-s interval for T1 at 80.6 rpm. Normalised fluctuation here means that in addition to normalising the means, the fluctuation of the rotational speed has been eliminated to leave a clear view of the thrust variation around the rotor speed.

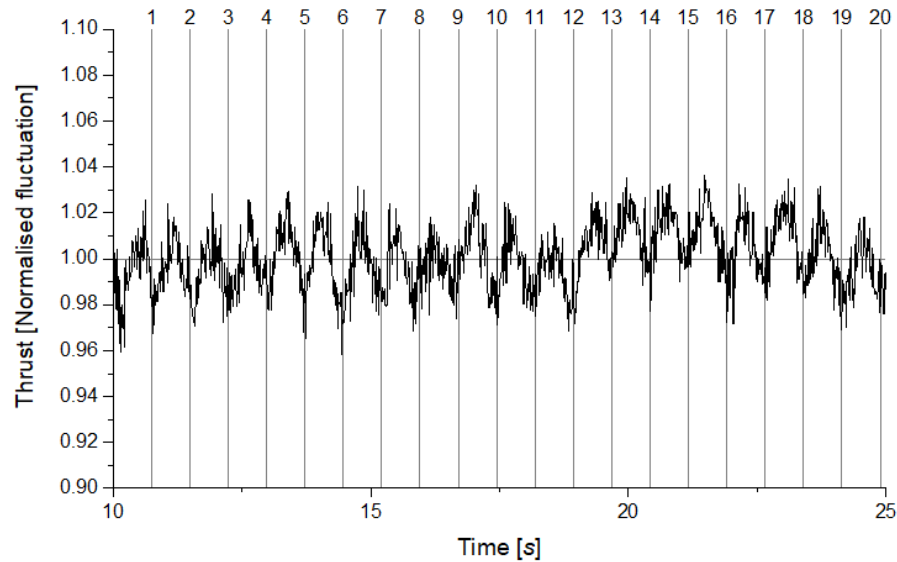


Figure 5.8 Normalised thrust fluctuation for T1 at 80.6 rpm.

The spectral analysis yields a frequency of 1.336 Hz (Figure 5.9), correspondent to the rotational frequency of  $\frac{80.6}{60} = 1.34$  Hz.

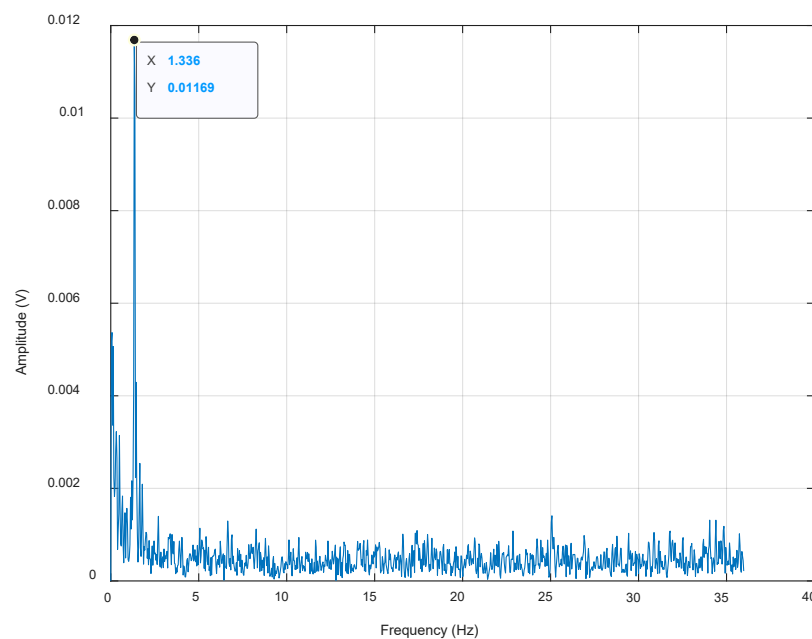


Figure 5.9 FFT of turbine thrust vibration at 80.6 rpm (© 1994-2022 The MathWorks, Inc.).

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Readings at faster revolutions per minute have some fluctuations in rotational speed, which makes that spacing between each cycle of vibration have a different length. Figure 5.10 shows 23 cycles in a period of 15 s (Top) for a rotational speed of nearly 92 rpm and 25 fluctuations in the same period for an average speed of 100 rpm (Bottom).

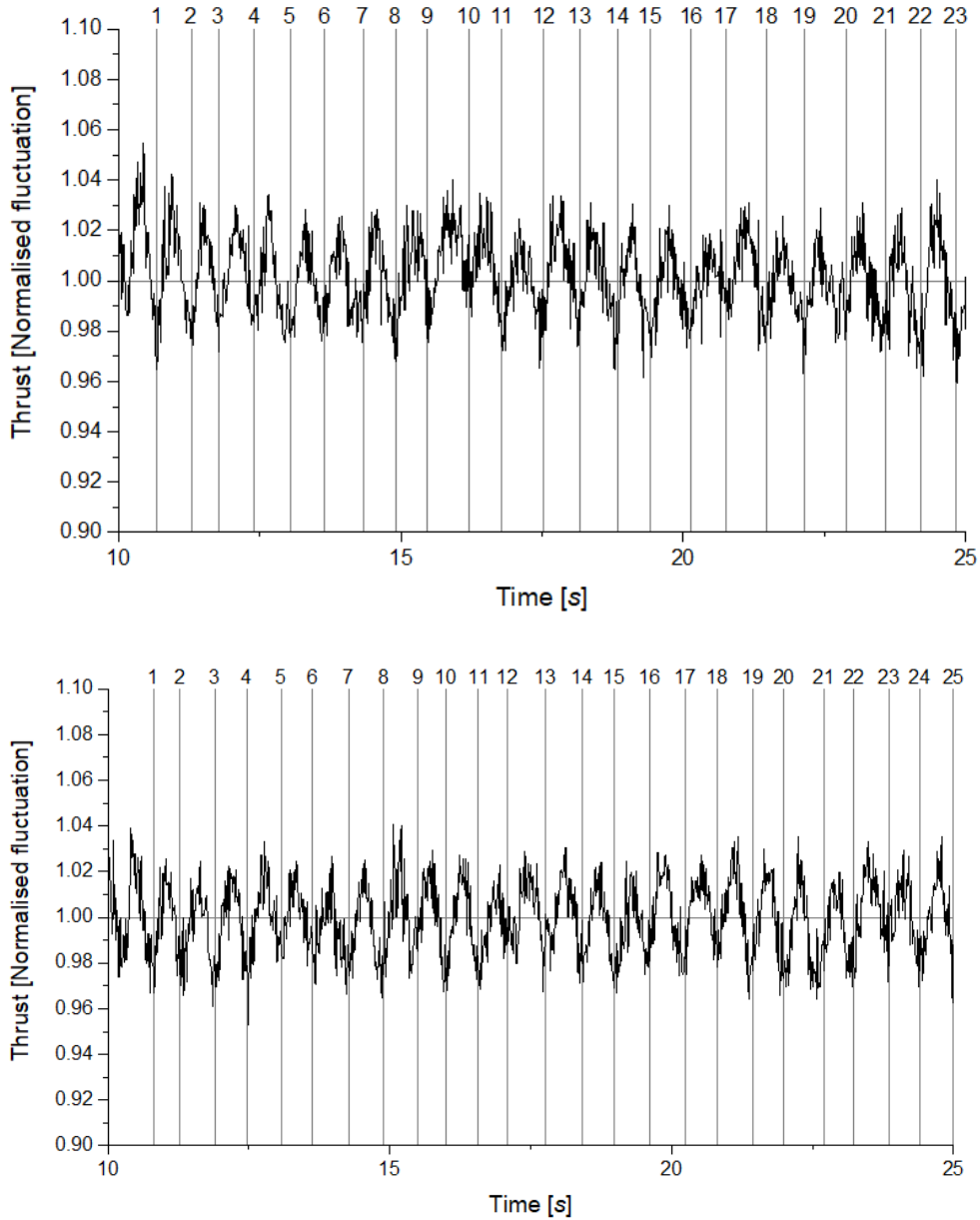


Figure 5.10 Normalised thrust fluctuations for T1 at speeds of 91.5 (Top) and 101 rpm (Bottom).

Figure 5.11 and Figure 5.12 show corresponding vibration frequency to rotational speeds of

$$\frac{91.5 \text{ rpm}}{60 \text{ sec}} = 1.52 \text{ Hz} \text{ and } \frac{101 \text{ rpm}}{60 \text{ sec}} = 1.68 \text{ Hz} \text{ respectively.}$$

The blade position reading is taken around every 5 or 6 degrees ( $360^\circ/\sim 67 \text{ Hz}$ ). All torque and thrust readings are averaged to the closest full rotational speed, and in the case of the latter, that small difference is considered for the calculation.



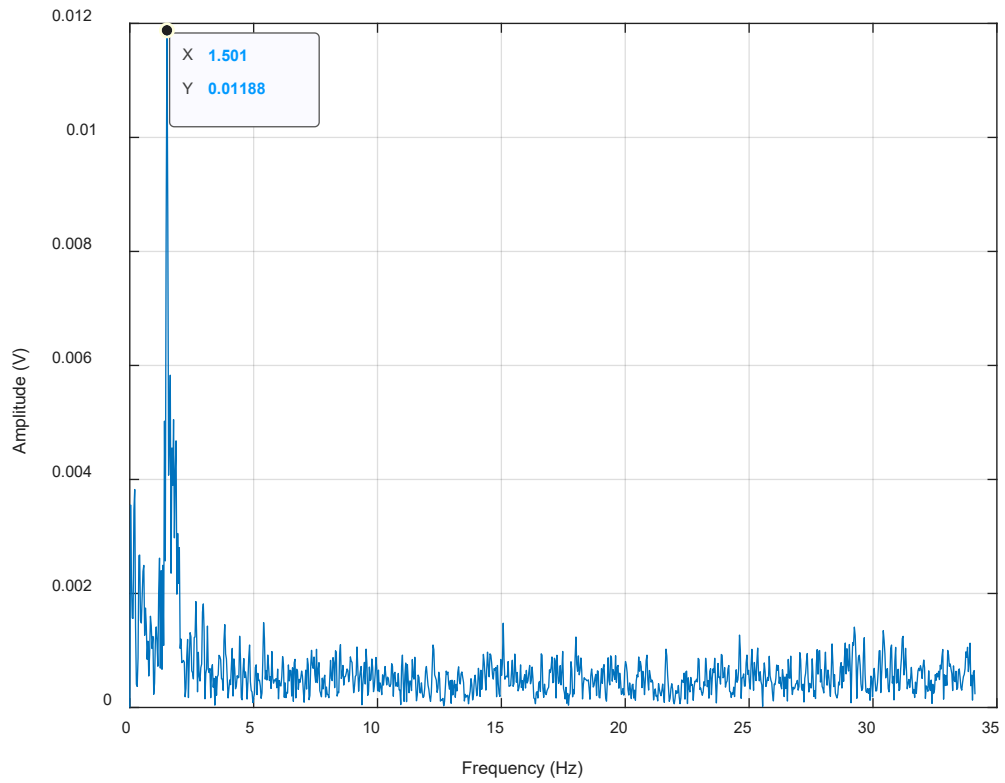


Figure 5.11 FFT of turbine thrust vibration at 91.5 *rpm* (© 1994-2022 The MathWorks, Inc.).

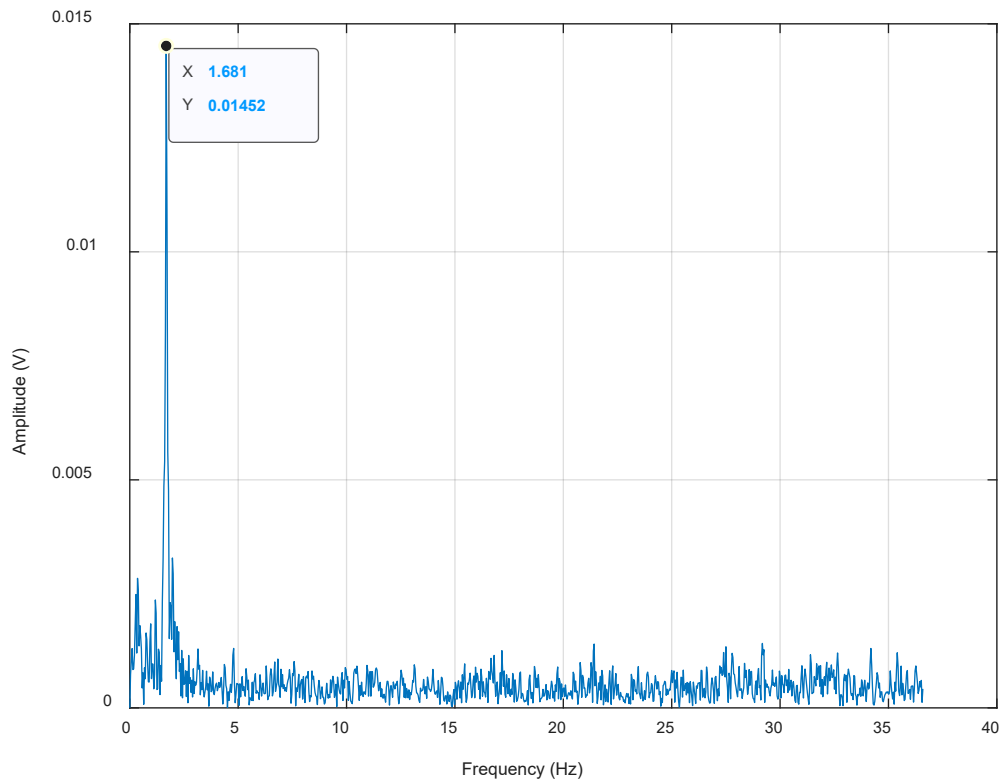


Figure 5.12 FFT of turbine thrust vibration at 101 *rpm* (© 1994-2022 The MathWorks, Inc.).

### 5.1.2 Torque vibration

The torque does not seem to follow the rotational speed as consistently as the thrust does, nor does there seem to be a vibrating frequency in the signal (Figure 5.13).

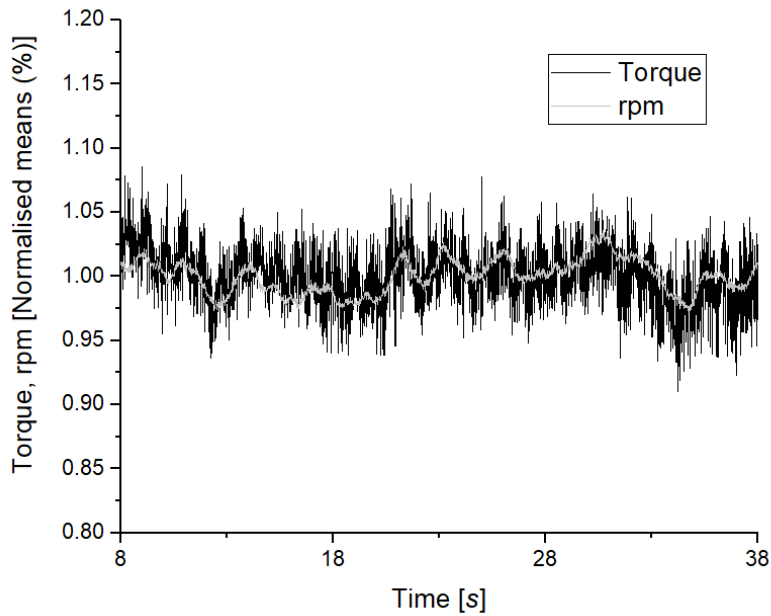


Figure 5.13 Normalised rotational speed superimposed to the normalised torque (8 to 38 s).

Applying the same procedure of eliminating rotational speed fluctuations and plotting the voltage variations, still there is no evident trend and it seems that the fluctuations are product of noise (Figure 5.14).

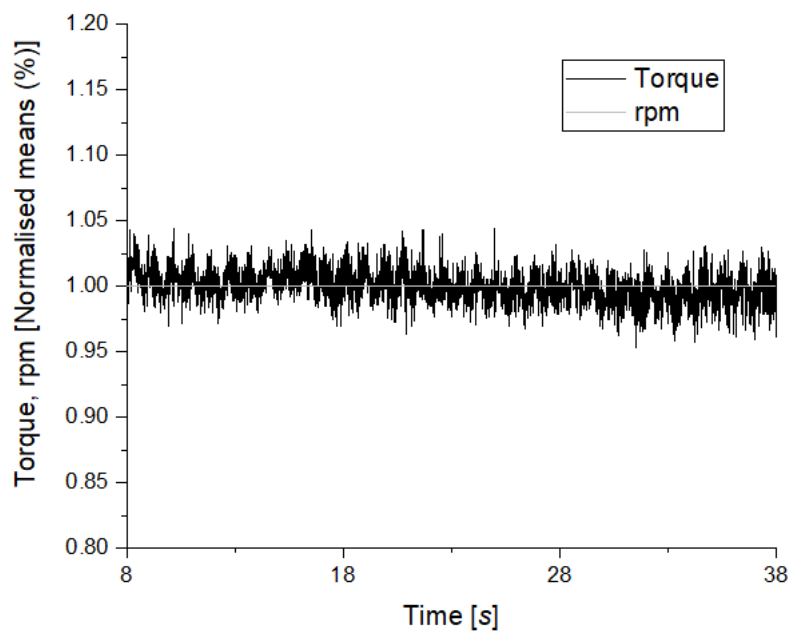


Figure 5.14 Variation of torque with respect to constant rpm, both normalised.

The average of the signal is  $1+5.19\text{E-}16$  V with a standard deviation of  $14.2$  mV (Figure 5.15).

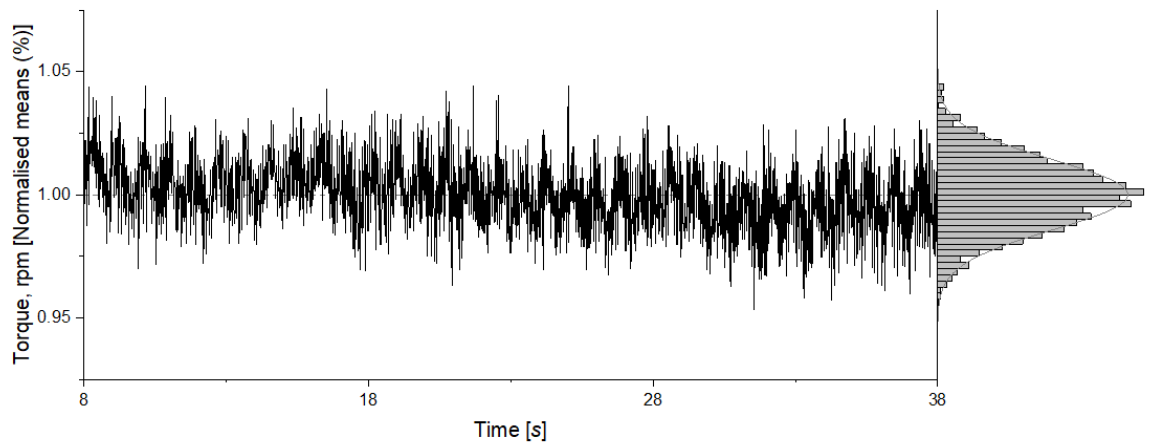


Figure 5.15 Distribution of torque oscillations around constant rpm.

The spectral analysis of the signal does show the synchronous frequency of  $1.13$  Hz, corresponding to the frequency of the rotational speed  $\left(\frac{68 \text{ rpm}}{60 \text{ sec}}\right)$ . More interestingly, it shows 3 additional frequencies, a lower one and two higher ones (Figure 5.16).

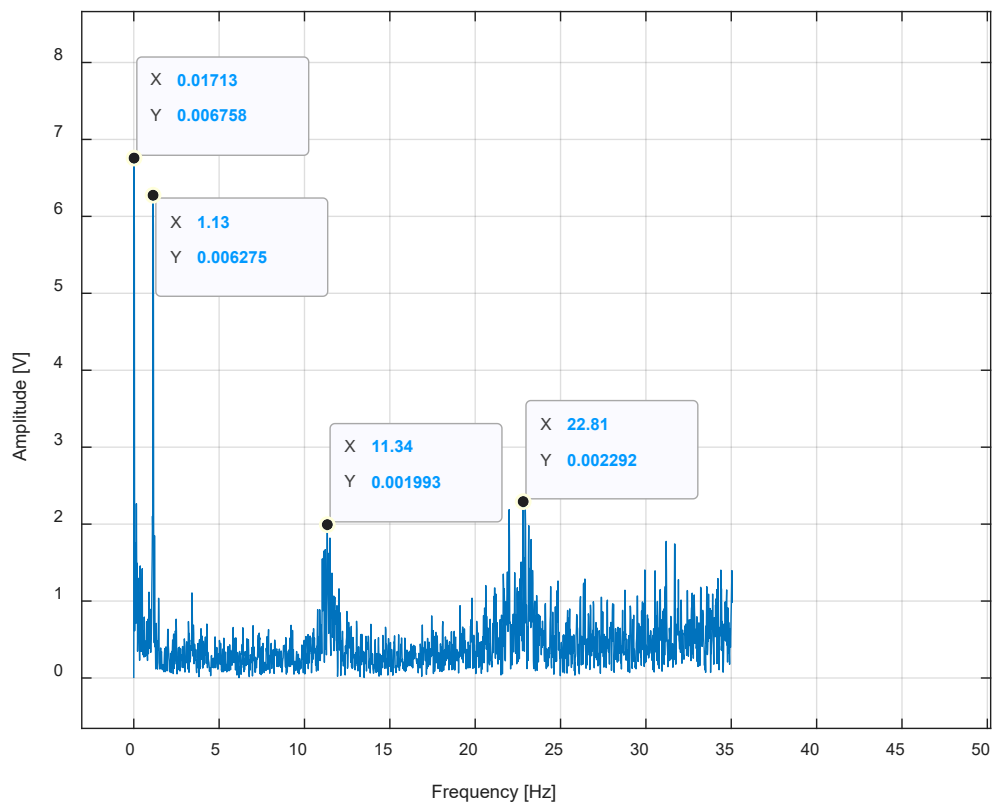


Figure 5.16 FFT of turbine torque vibration at 68 rpm (© 1994-2022 The MathWorks, Inc.).

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The first frequency from left to right is a lower frequency than the turbine vibration, which suggests that it comes from a bigger system. Its period is around  $58\text{ s} \left( \frac{1}{0.01713} \right)$ , so only half a wavelength would be seen in the 40 tow run (Figure 5.17).

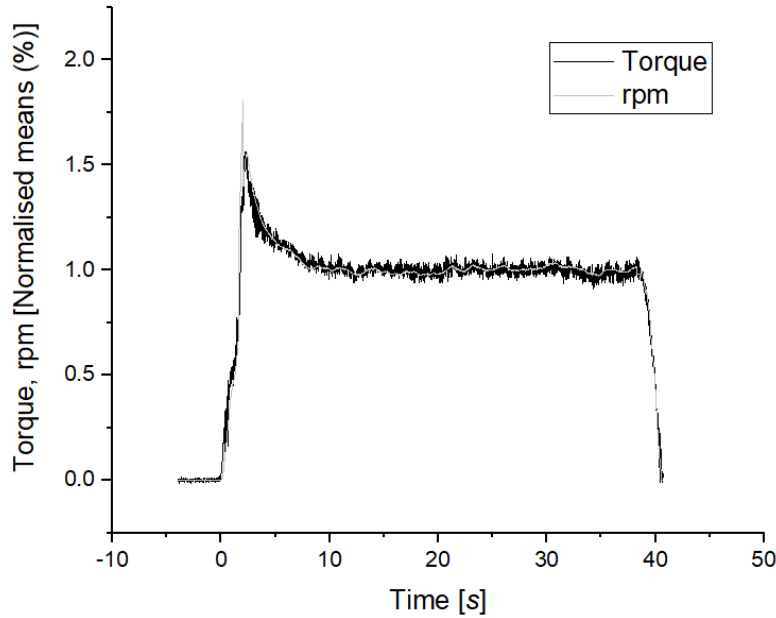


Figure 5.17 Superimposed torque and rpm measurements with normalised means from 8 to 38 s.

In a closer look at the run, it can be seen that before the 5 s mark, the torque signal seems to be slightly under the rpm one. From 5 to 25 s above, and again after the 25 s mark, the signal is below (Figure 5.18). This behaviour could be attributed to the carriage, because after acceleration, the load is connected, the turbine slows down, and the rpms stabilise, but the low frequency could have a damping behaviour rather than appearing as a cyclic load.

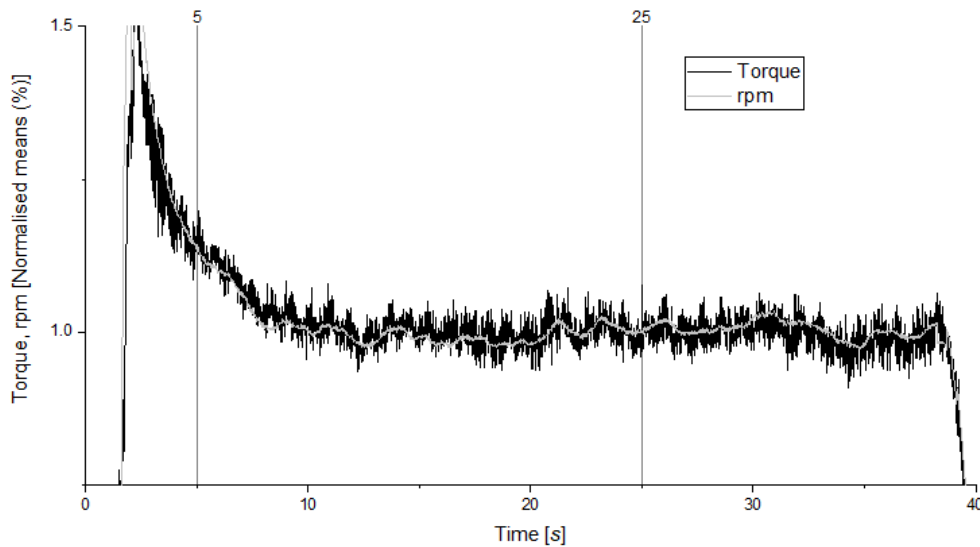


Figure 5.18 Close up of the normalised rotational speed superimposed to the normalised torque.

The higher frequencies to the right of the base frequency are in multiples of 10, and most likely a by-product of the synchronous frequency, because integer multiples from the original frequency also appear in unbalanced systems (Adams, 2010, p. 330). All are also present at 80.6 *rpm* (Figure 5.19), 91.5 *rpm* (Figure 5.20), and 101 *rpm* (Figure 5.21).

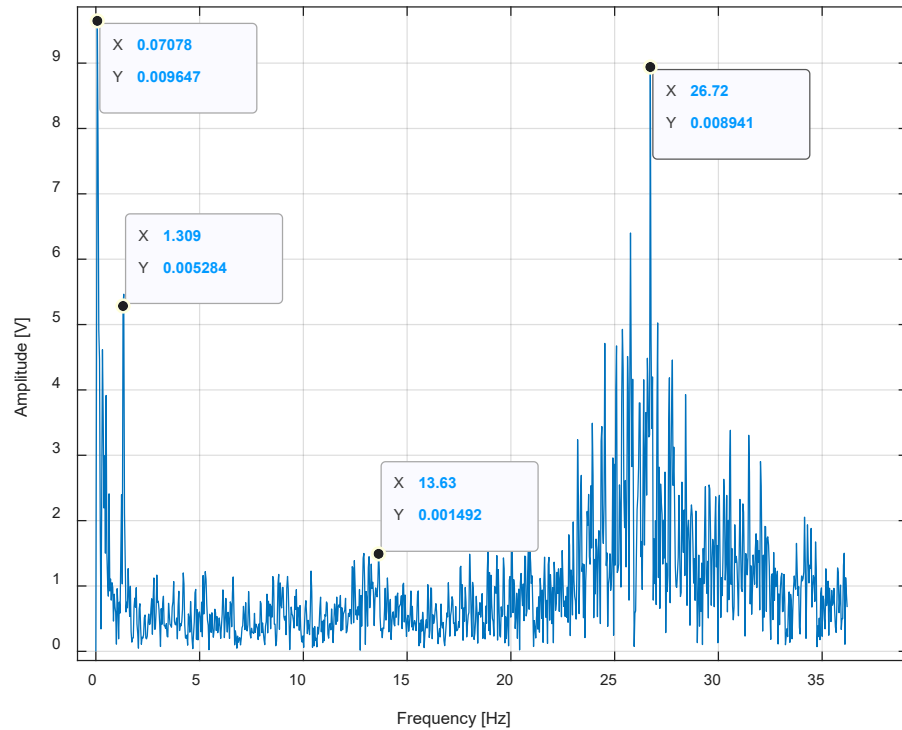


Figure 5.19 FFT of turbine torque vibration at 80.6 *rpm* (© 1994–2022 The MathWorks, Inc.).

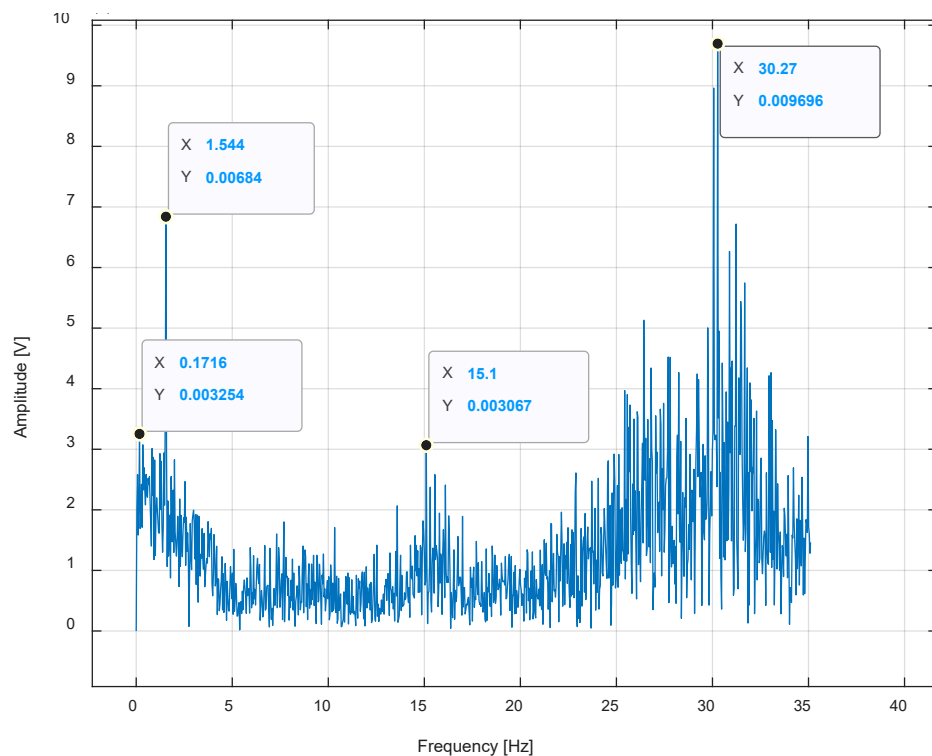


Figure 5.20 FFT of turbine torque vibration at 91.5 *rpm* (© 1994–2022 The MathWorks, Inc.).

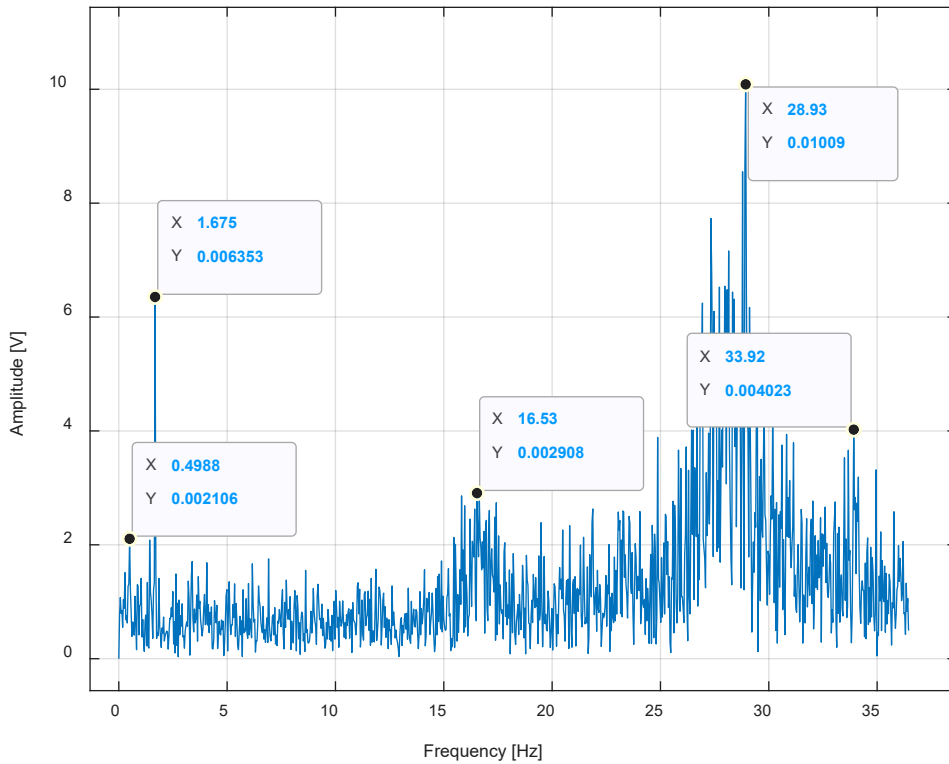


Figure 5.21 FFT of turbine torque vibration at 101 rpm (© 1994-2022 The MathWorks, Inc.).

### 5.2 Baseline $C_p$ and $C_T$ curves

The 1-m diameter turbine is a 1:20 scaled version of the 2-MW SIMEC Atlantis Energy AR2000. All other measurements are compared across groups of winglets, and eventually against the performance of the unmodified tidal turbine, with tips instead of winglets (T1). Figure 5.22 shows the thrust and power coefficient curves against tip speed ratio, at a towing speed of 0.76 m/s. The  $C_p$  reaches its peak above 0.45 at around a TSR of 5.0, according with the calculations for the maximum power transfer theorem (Section 4.5), with an average value of 0.42 for the range presented. Values for the  $C_T$  go from 0.74 to 0.80, averaging 0.78.

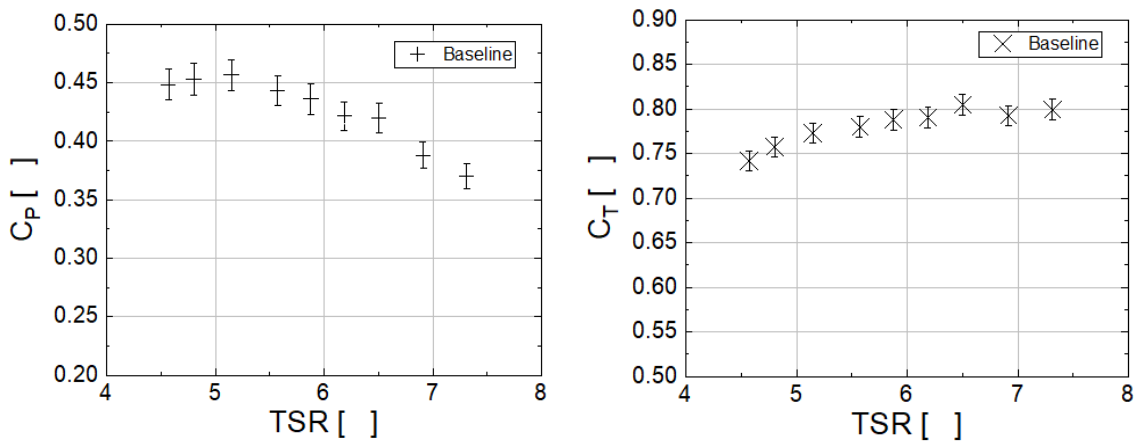


Figure 5.22  $C_p$  and  $C_T$  curves for the unmodified blades (T1).

It can be seen in Figure 5.23 that the turbine performed better than predicted by the simulation. On average, the computer simulation underpredicted in 4% percent the power coefficient, and as much as 7% around the peak value, between tip speed ratios of 4.5 to 5.5. The thrust coefficient looks very similar. In fact, it was just under predicted by 1% on average.

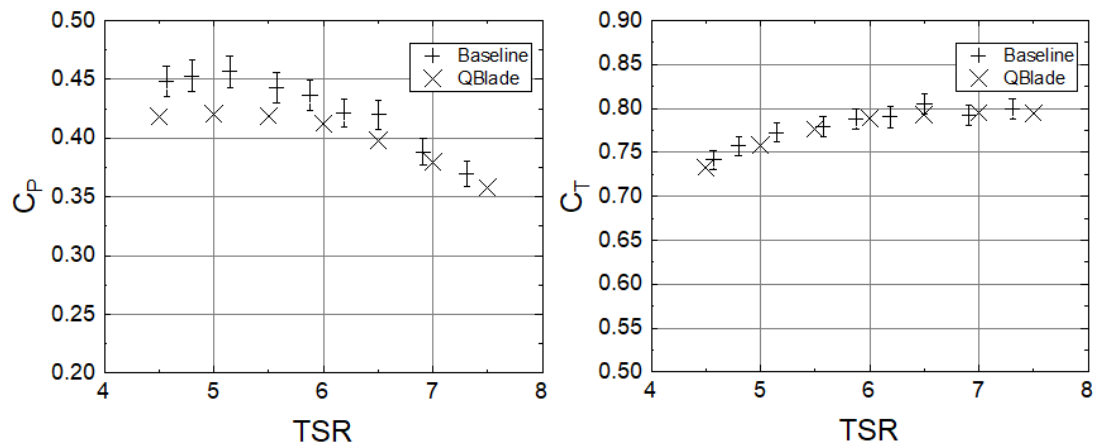


Figure 5.23  $C_p$  and  $C_t$  curves vs TSR comparing the baseline versus the QBlade simulation

The 20 different winglets were designed changing the variables presented in subsection 2.6, which made possible to make the following main comparisons:

1. Height. Winglets W2, W3 and W4 vary in height keeping other variables the same.
2. Radius. W3, W5 and W6 have different curvature radius.
3. Cant angle. W5 and W7 are facing to opposite sides of the turbine.

Other comparisons were intended to be made, and will be examined later, while the variety of designs made possible to compare other details that emerged from unexpected results.

Winglets were designed at the end of 2017, and 3D printed in 2018. Most studies between 2006 and 2017 (Summarised in Table 2-2, page 40) suggested that winglets for wind and tidal turbines up to 10% height improve power output. Half of around 10 main authors studied in that same period do not include a curvature radius in their studies, *i.e.* a curvature radius of 0. The others use values of up to 50% curvature radius and only one suggests a value of around 100%, with a median of 25%. In terms of cant angle, most authors favour a cant angle of  $90^\circ$  (towards the suction side), one favours winglets facing both sides and just one predicts numerically that winglets facing the pressure side increase the overall power output.

For such reasons, the main winglet around which other winglet parameters are varied is W5 with a height of 5%, a curvature radius of 25% (relative to winglet height), and a cant angle of  $90^\circ$ , meaning that it is orientated in the same direction of the flow towards the suction side.

### 5.3 Height

The first three winglets were designed to compare the height effect in the power and thrust performance of the turbine. The chosen heights were 2.5%, 5% and 10%, all within the range of what previous literature suggested. They were distributed using a 'binary search' approach where each value is double the previous, instead of an even distribution, to study if their effect was equally increased. The desired curvature radius for them was 25%, but W2 is so small that the radius would have been thinner than the airfoil width, so a fixed value of 50% was chosen. Figure 5.24 shows a side view of the three winglets. Cant angle is set at 90° (suction side), sweep, toe and twist are all at 0°. Blade length was always kept at 500 mm.

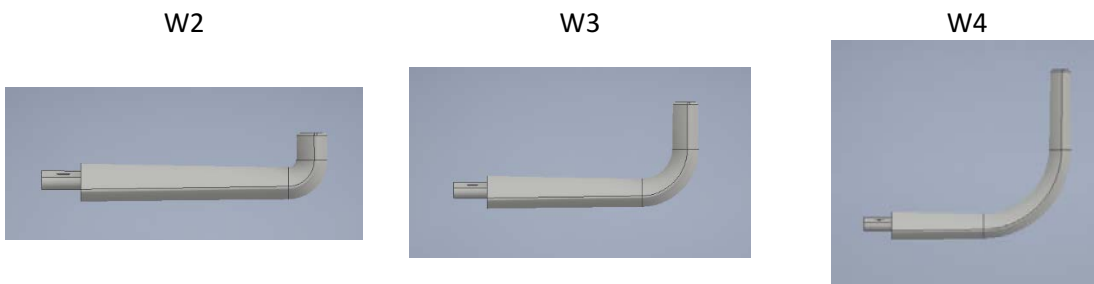


Figure 5.24 Side view of winglets with different height and same relative radius (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

Winglet 2 has the shortest height and it is expected to have the least impact on performance of the three. Figure 5.25 (Left) the  $C_p$  curve with an average value of 0.40 for W2 and 0.35 for W3, so it would be expected that W4 had the worst performance of the three, but it is not the case as it raises back to 0.38. W4 starts performing similarly to W3 from a TSR of 4.5 to slightly more than 5.0, between W2 and W3 after that and before a TSR of 6.0, then for two consecutive readings has the best performance of all between a TSR of 6.0 and 7.0, to end with a similar trend as W2 from a TSR of 7.0.

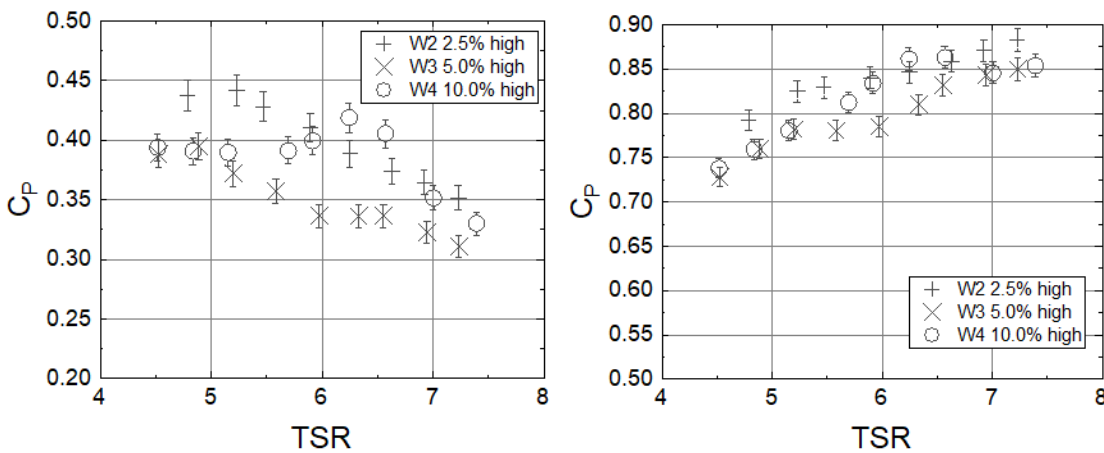


Figure 5.25  $C_p$  and  $C_t$  curves vs TSR comparing height between W2, W3, and W4.



Thrust coefficient behaves differently, it increases together with the rotational speed, and it would be expected that taller winglets increased the value in proportion. It can be seen in Figure 5.25 (Right) that W2 induced the highest thrust coefficient with an average of 0.84, despite being the smallest winglet. Thrust from W3 is 0.80 on average, but a similar effect happened with W4 where there is no further reduction, rather it seems to go back up to 0.82, in the same way it happened with the power coefficient, with a peak between TSRs of 6.0 and 7.0.

As it was previously stated, the usual way of measuring the curvature radius is relative to the winglet height, which in practice makes two variables change at once. The varied designs of the winglets made possible to compare this trait alone. W5 has the same height as W3 and the same absolute curvature radius as W2 as can be seen in Figure 5.26.

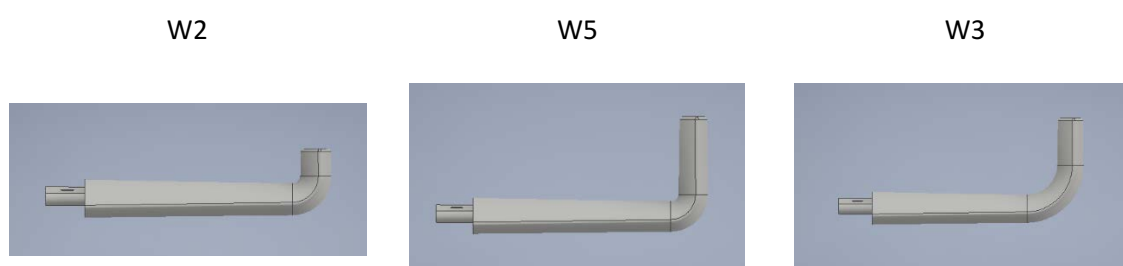


Figure 5.26 Winglets W2 and W5 with the same curvature radius and W3 and W5 same height (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

In Figure 5.27, performance of W3 and W5 is compared. Power and thrust coefficients are 0.35 and 0.79 for W3 respectively, and 0.36 and 0.80 for W5, which suggests that a smaller curvature radius makes the winglet perform better. Another point can be highlighted, comparing Figure 5.27 and Figure 5.25, it can be noted that winglets with more than 5% height and curvature radius of 50%, tend to reduce the turbine rotational speed for a set inflow velocity, reduce the power coefficient, and at the same time reduce the thrust, which suggests that the dominant force induced by them is not the drag resulting from skin friction. That is because each first reading was taken with the turbine in short-circuit, where power was dissipated internally by the generator.

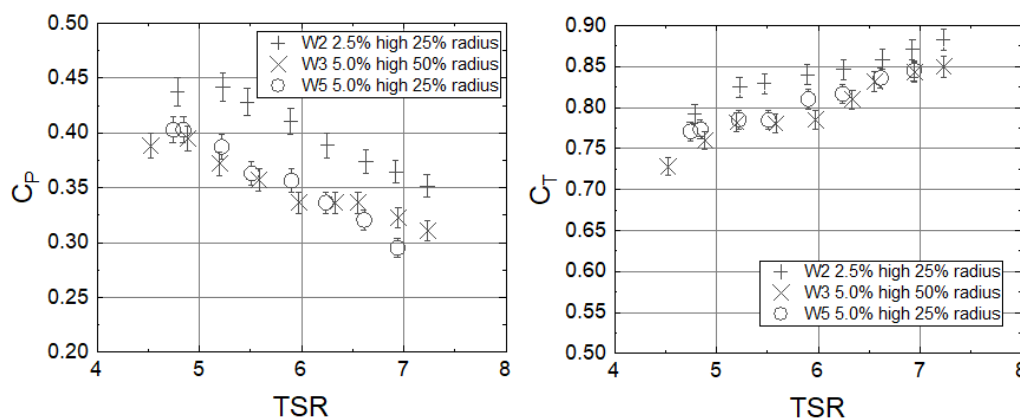


Figure 5.27 Power and thrust coefficients versus TSR to compare the effect of absolute radii.

Both, winglet 3 and winglet 4, reduce the first rotational speed compared to other winglets. The first point for each winglet is when the load is zero *Ohms* (short circuit). The baseline starts at a *tsr* of 4.6, other winglets start at 4.7 onwards, but the first rotational speed for winglets 3 and 4 is a *tsr* of 4.5. Such winglets have reduced power and less drag force. It will later be seen that such behaviour is not product of extra drag from skin friction, but from vortices formed behind the winglets causing lower performance due to flow detachment.

### 5.4 Curvature radius

Following the previous results, the second most important design variable was determined to be the curvature radius. W3, W5, and W6 compare this trait with values of 25%, 50% and 100% relative to a fixed winglet height of 5%, cant angle of 90°, and toe and sweep angles of 0°. Due to the constraint of W2 of curvature radius mentioned in the previous section, the ascending order goes from W5 with 25%, W3 50%, and W6 100% as shown in Figure 5.28.

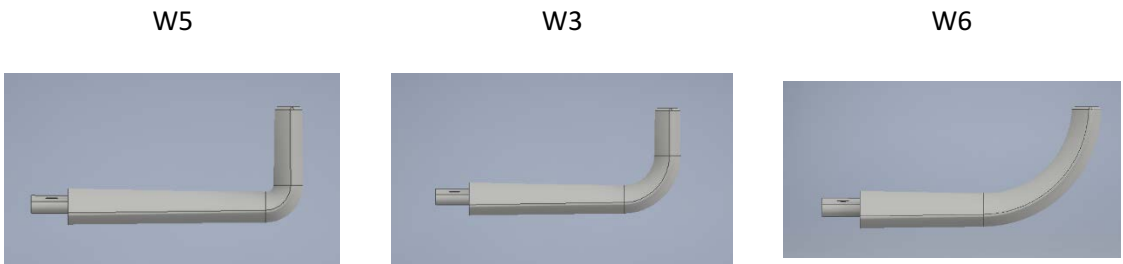


Figure 5.28 Side-view of W3, W5, and W6 comparing curvature radius (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

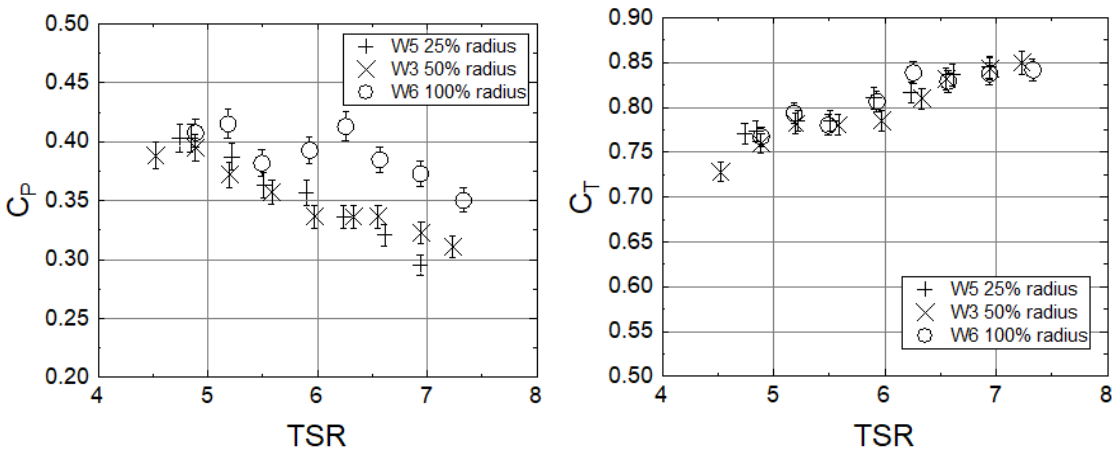


Figure 5.29  $C_p$  and  $C_T$  curves versus TSR for winglets with fixed height and different radius.

Figure 5.29 shows same W3 and W5 seen previously in Figure 5.27 where they have a similar performance in power coefficient. It was also stated previously that a 50% curvature radius slowed down the turbine. Following that logic, it would have been expected that a 100% curvature radius would slow down further the turbine, but it can be observed that it was not the

case. In fact, W6 made the turbine perform at a higher power coefficient with an average of 0.39 for the range of TSRs presented, against 0.35 for W3 and 0.36 for W5. The average thrust coefficient for W3 was 0.79, 0.80 for W5 and 0.81 for W6. It is possible that the aforementioned vortical structures 'break' after getting to a certain size, just like a bubble would burst, particularly considering that the curvature radius in winglet 6 reaches the tip, so the flow from the tip could enter any suction area. These vortices are commented and presented visually in Section 5.7.3.

## 5.5 Cant angle

The results on previous two design parameters analysed were not conclusive as there did not seem to be a clear trend when varying them. The third most important design variable considered was the cant angle. Recalling, only one (Prof. Chattot) of the eleven main authors studied, in the period from 2006 to 2017, predicted numerically that winglets facing the suction side performed better than the ones faced to the pressure side, and most other CFD studies favoured the latter. This winglet was initially designed only to verify what was previously simulated. Figure 5.30 shows winglets W5 and W7 designed to face opposite directions, with a curvature radius of 25%, with sweep, toe and twist angles of 0°.

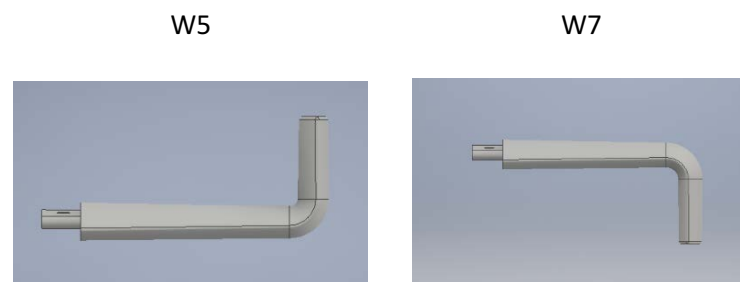


Figure 5.30 Winglets with opposite cant angles. W5 with 90° cant angle (Left) and W7 -90° (Right) (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

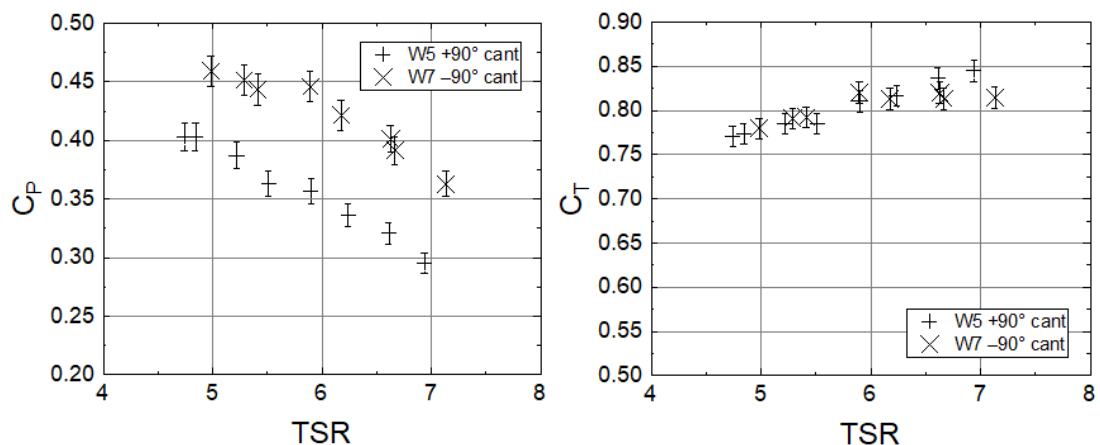


Figure 5.31 Power and thrust coefficient curves versus TSR for W5 and W7 comparing cant angles.

Surprisingly, and contrary to what was expected, winglet W7 has a peak power coefficient of 0.46 at around a TSR of 5.0, with an average value of 0.42, versus an average value of 0.36 for W5. That represents a 17% difference. More unexpectedly, both thrust coefficients have the same average value of 0.80. What would have been expected is a trade-off between power and thrust coefficients. If the power coefficient wants to be increased, usually the thrust coefficient would go up at the same time. For the case of winglets facing the suction side, it has been the case of reduction in power. In that sense, it is interesting to see how two winglets facing opposite directions can have such a different power coefficient, and yet maintain a similar thrust.

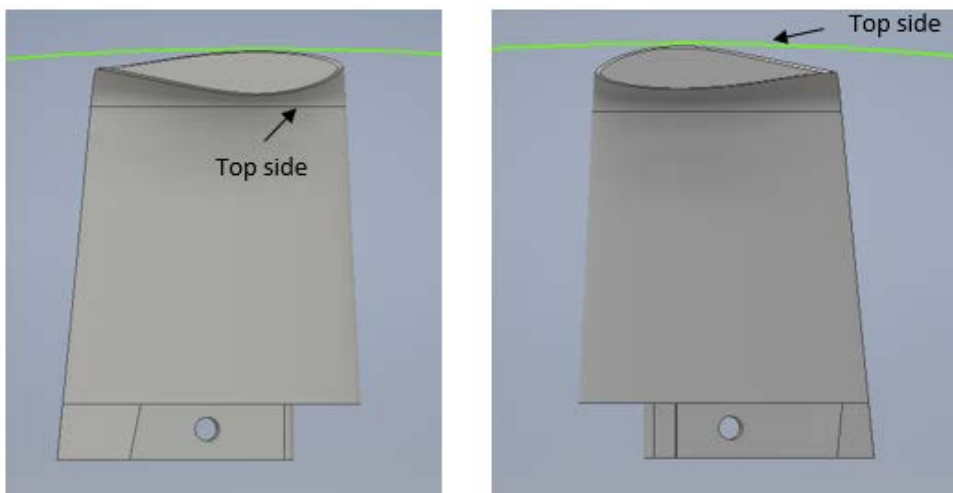


Figure 5.32 Airfoil orientation for opposite cant angles. W5 (Left), W7 (Right) (Autodesk screen shots reprinted courtesy of Autodesk, Inc.).

The first assumption to try to explain the observed behaviour, is that when winglets are bent towards the suction side, the airfoil ends up upside down as it can be seen in Figure 5.32 (Left). The green line represents the curvature radius of the rotation of the blade. Both winglets are facing different direction, but the direction of rotation is towards the leading edge. When the airfoil is upside down, like in the case of W5 and winglets faced towards the suction side, they seem to have a less hydrodynamic shape than those bent towards the pressure side like W7 Figure 5.32 (Right).

## 5.6 Mirrored winglet

As mentioned in Section 5.2, the varied designs of the winglets made possible more comparisons that were not intended to be done when winglets were designed. Figure 5.33 shows the same different orientation explained previously, where W18 has a mirrored profile with respect to W7, and that was originally designed to compare the airfoil orientation with W5.

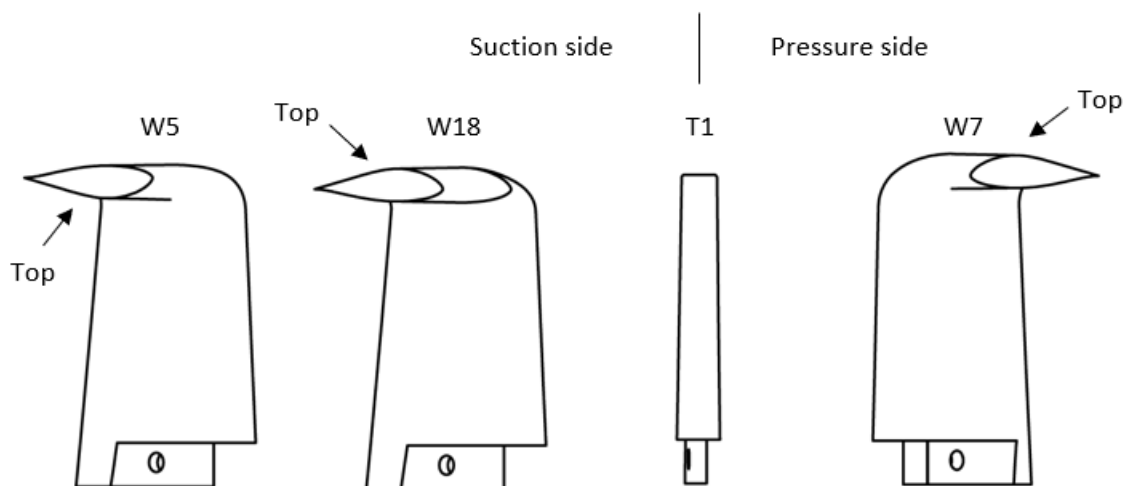


Figure 5.33 Airfoil orientation for W5, W7 and W18.

Figure 5.34 shows the power and thrust coefficients where it can be appreciated that indeed, W18 has a higher average power coefficient than W5, 0.370 versus 0.358 respectively. At the same time, the average thrust coefficient increases from 0.803 for W5 to 0.807 for W18.

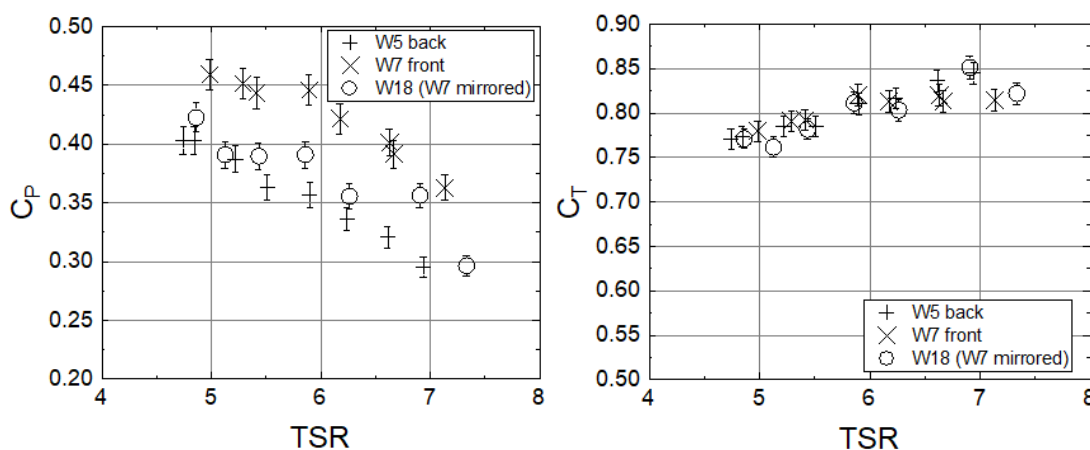


Figure 5.34  $C_p$  and  $C_T$  curves versus TSR for W5, W7 and W18 comparing airfoil orientation.

The increased thrust coefficient could be explained by the change of apparent shape facing the flow. In the case of winglets facing the suction side, they resemble a section of a convex shape facing the flow, and the ones facing the pressure side appear as a concave shape towards the flow. The drag coefficient for a convex hollow half sphere is 0.38, and for a concave one is 1.38 (Hoerner, 1965, p. Chapter 3). The small difference in the actual  $C_T$  is marginal, compared to the difference in the drag coefficients for hollow half spheres, but the drag in a turbine is mainly determined by the  $C_d$  of each section. This means that only the tips of the blade would present this change in drag, and the chord of the three blades represent under 4% of the swept perimeter. The average power coefficient for W18 was 0.37 and there is still a significant gap versus the 0.42 for W7. Such difference cannot be attributed to geometrical differences.

## 5.7 Oil-based paint flow visualization

Modern flow visualization techniques include lasers and high-resolution cameras, but other techniques have been used in the past to determine the surface-flow interaction, such as turfs and paint. Setting up specialised equipment in a towing tank carriage is not an easy task, and the availability of these devices is scarce. In order to understand what was happening with the unexpected results, it was chosen to use an oil-based paint flow visualization technique.

Shimizu et al. (2003a, pp. 188–190) and Gertz, Johnson and Swytink-Binnema (2012, pp. 404–405) include section regarding surface oil flow visualisation in their experimental studies on winglets for wing turbines. Harwood, Young and Ceccio used surface-flow visualisation for a hydrofoil (2016, pp. 18–40). The technique consists on applying oil based paint to the surface of an airfoil, expose it to the desired flow speed, and let the paint follow (thus show) the path of the flow over the surface as it can be seen in Figure 5.35.

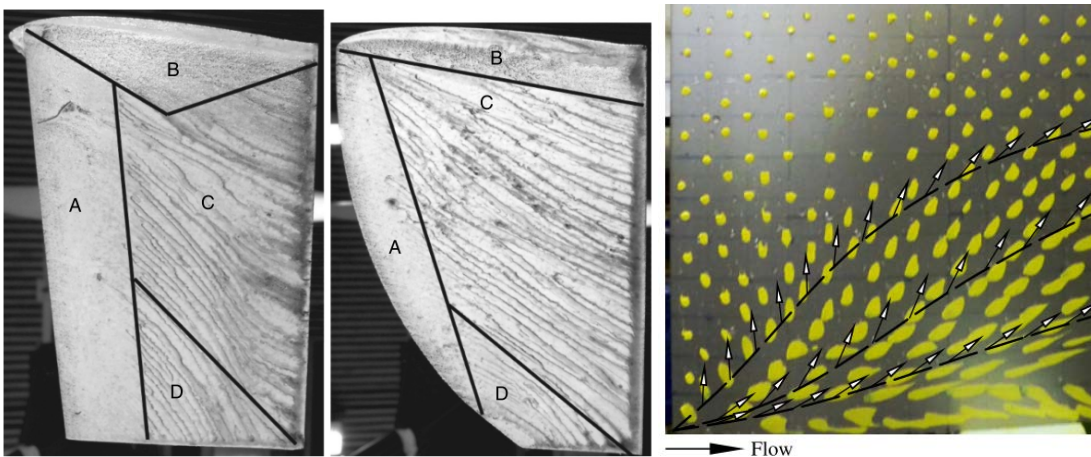


Figure 5.35 Surface oil flow visualisation on winglets [Left (Gertz, Johnson and Swytink-Binnema, 2012, p. 404)], and a hydrofoil [Right (Harwood, Young and Ceccio, 2016, p. 23)].

A 2:1 dilution ratio of oil-based paint combined with flaxseed oil was found by trial and error to have the right viscosity to leave the traits at the surface of the blade tips spinning at 75 rpm. The tip was painted in the same direction of rotation. The towing method was also modified to prevent the turbine over rotating above the desired speed. It involved starting with the turbine connected to a resistance of 0.07  $\Omega$  and a speed setting of 140, once the turbine started spinning, change the resistance to 0.1  $\Omega$ , and gradually changing the speed to 150, 160, 170, and 180 (0.76 m/s), then stopping. Figure 5.36 shows a 20 s average for 75 rpm.

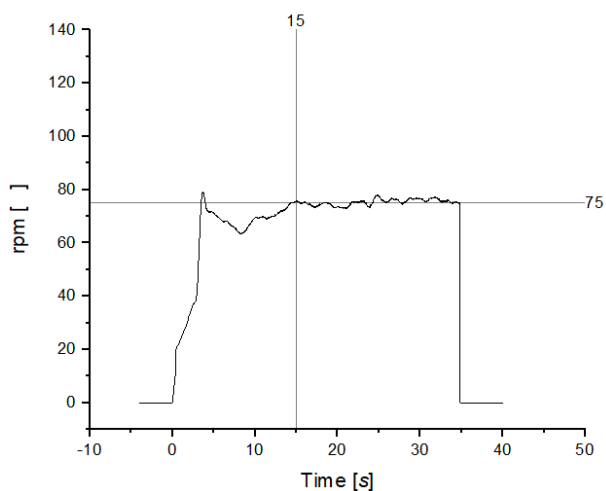


Figure 5.36 Example tow run for oil flow visualisation.

### 5.7.1 Winglet orientation

To make sense out of the patterns formed on the winglets, it is necessary to describe how flow detaches and recirculates over an airfoil as it can be seen in Figure 5.37. The flow goes from left to right, at low Reynolds numbers the boundary layer separates from the airfoil, recirculates and subsequently detaches from the back of the foil.

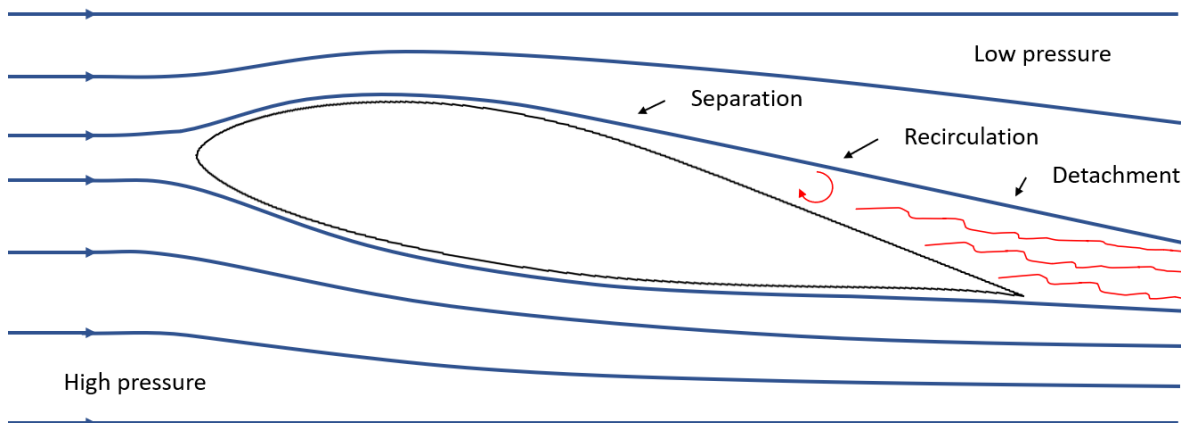


Figure 5.37 Flow recirculation after boundary layer detaches from the airfoil.

The previous effect can be observed in Figure 5.38, although the flow is coming from the right, so all sections are flipped. From right to left, the nose is first, flow separation can be observed, flow recirculation is appreciated, full detachment is shown. All winglets were painted from nose to back as shown by the picture on the left, because the idea was to find cross sectional disturbances in the flow. The picture on the right was painted from top to bottom and that help visualise more clearly how flow separates on an airfoil.

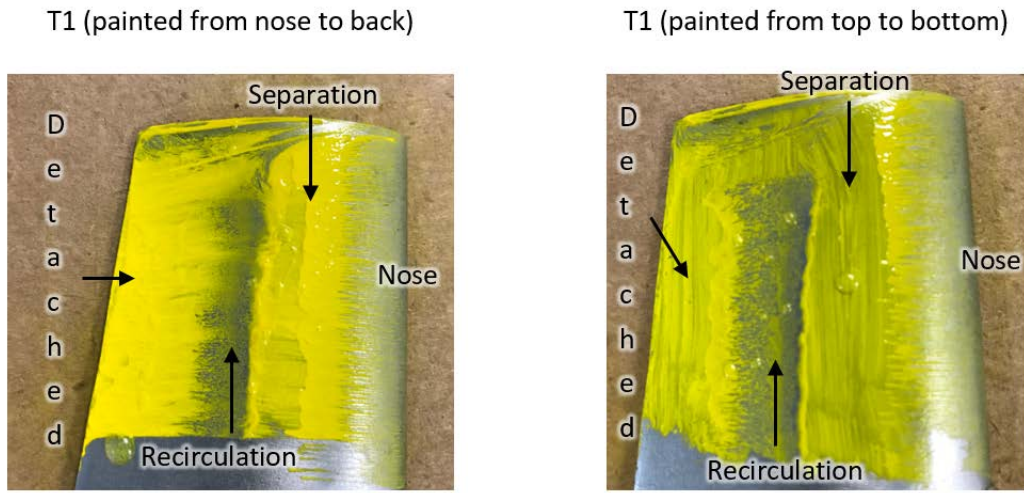


Figure 5.38 Tip vortex and flow recirculation in crossed painting.

The first comparison is made between W7 and W18 as shown in Figure 5.39. A vortex-shaped structure was found behind most winglets facing the suction side. Such vortex is created due to a low-pressure volume formed in that region. The recirculating flow from the blade meets the recirculating flow from the winglet to form a combined recirculating region that acts as a suction area. Analogically, this low-pressure volume is the same one found behind a moving object, which increases its drag force, like behind a lorry. In this scenario, the added drag force impacts the torque by reducing it, and the thrust by increasing it. Another interesting trait can be seen at the bottom of Figure 5.39 (Left). It is the vertical washed mark slightly to the left of the middle of the blade, which is the recirculating flow after it is detached from the airfoil (de Kat, 2019). W7 does not present such mark because it is facing the pressure side, thus the flow remains attached throughout the whole interaction with the blade. It does not show a vortex either, because it seems that the vortex is formed only behind the apparent flow.

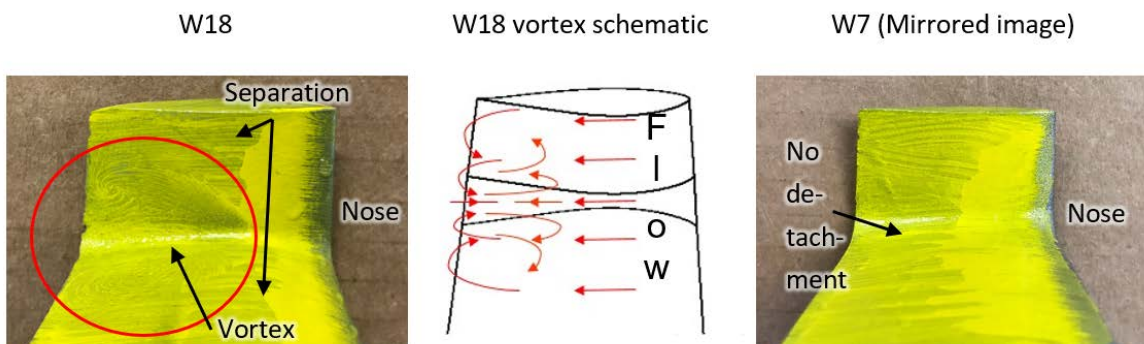


Figure 5.39 Vortex present at winglets facing suction side (Left), schematic (Centre), pressure side without vortex (Right).



### 5.7.2 Vortices in height comparison

In Section 5.3 W2 had the highest  $C_p$  value, followed by W4 and W3 was last. From Figure 5.40 it could be assumed that the small reduction in performance of W2 was caused by the vortex that extends towards the blade, but only covers the small height of the winglet. W4 does not seem to have a vortex, but the reduction in performance can be attributed to it being the highest. Finally, W3 had the worst performance, and the reason could be that the vortices occupied the largest region of them all.

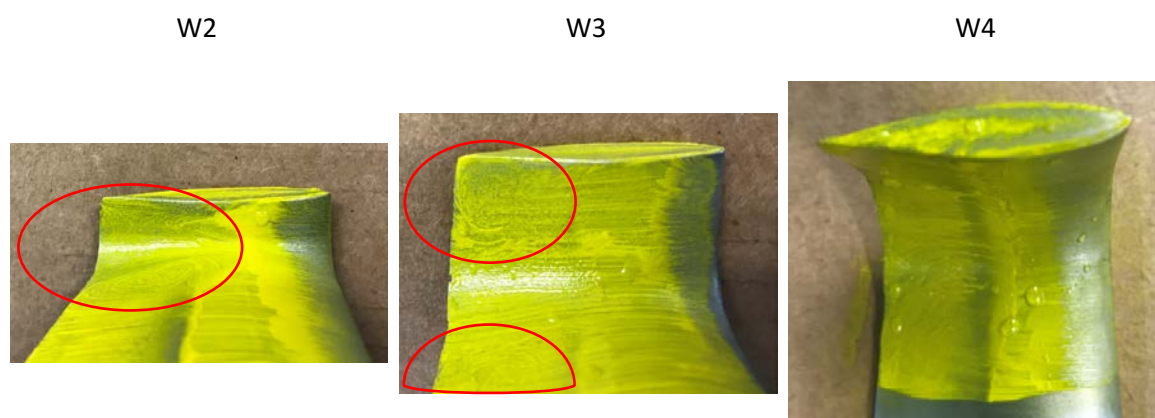


Figure 5.40 Vortices found in W2 and W3. W4 only has the one at the tip.

### 5.7.3 Vortices in the curvature radius

In Section 5.4 it was seen that W3 and W5 had a similar performance, and that W6 was expected to have a bigger decrease in performance than the previous two, but it was not the case. Figure 5.41 shows the vortices for curvature radius of 25%, 50% and 100%. W5, with 25% seems to have the clearest vortex of the three. In W3 it seems that the slightly bigger radius makes the vortex go further from the inner corner. W6 only seems to have a vortex at the very tip of it, which might explain why the  $C_p$  value went back up, instead of decreasing as it would have been expected.



Figure 5.41 Vortices behind different curvature radii for W3, W5 and W6.

In addition to the height, curvature radius, and cant angle, the following are other differences that were intended to be compared across winglets found in Appendix A (section indicated):

- 50% Airfoil size (W8, sec. A.1). Same with  $\pm 45^\circ$  sweep angle (W9 and W10, sec. A.2).
- 25% Airfoil size (W11), end point (12), edge end (14), and half edge end (W15). (Sec. A.3).
- W5 and W16 compare a  $45^\circ$  angle towards the suction side. (Section A.4).
- W17 is a wing extension. (Section A.5).
- W19 and W20 compare positive and negative toe angles. (Section A.6).
- W21 has an inverted profile and a negative toe angle. (Section A.7).

After realising that all winglets facing the suction side underperformed compared to the normal blade and having determined that a vortex was the cause for this underperformance, it was decided not to present all the results in the main body of the thesis. This is because some assumptions can be made, but they are not consistent due to the fact that the size of the vortex would have to be known at all times, and not only it might vary with each shape, but also with different rotational speeds. Each winglet and the simulation are compared versus the straight blade in Appendix A.8 with full  $C_p$  and  $C_T$  values, together with the percentage difference from the baseline.

### 5.8 Bending moment at the root

Combining the experimental results with the BEM simulations, it was possible to estimate the bending moment at the root. Since winglets are located at the tip of the blade, it can be considered that any change in the power and thrust coefficients are a consequence of the interaction between them and their surrounding at that point. The blades of the turbine are 500 *mm* from the centre of the shaft, but the actual span is 480 *mm*, and the weakest point affected by the bending moments is the thinnest most inner part of the blade, located 8 *mm* from the root (Figure 5.42). All estimations in this section have been calculated for that point. Installed wind turbines measure the moments at around 2-3% span from the root (Post, 2016, p. 4).

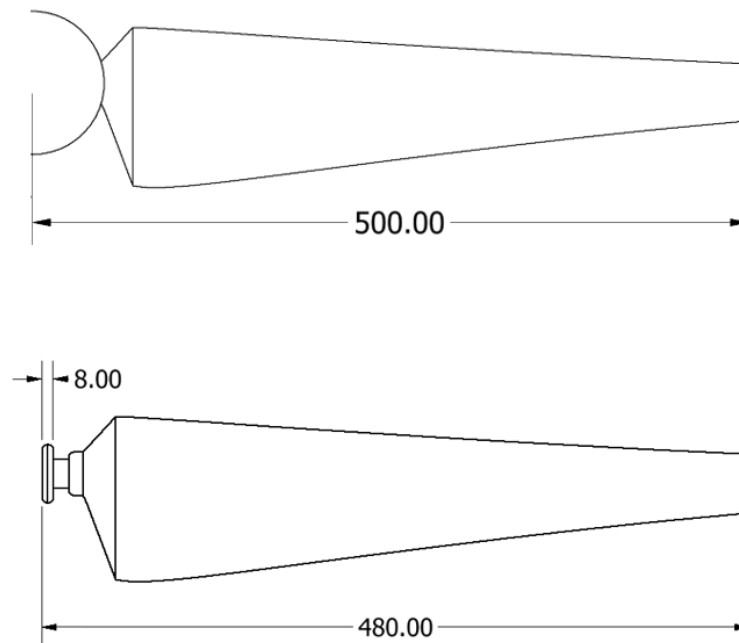


Figure 5.42 Blade from the centre of the axis (Top). Blade span (Bottom).

The Blade Element Momentum software gives the position of the element, the lift and drag coefficients, the local velocity and the inflow angle. With Equation 3.64 to Equation 3.68, the local thrust, torque, and their contribution to the overall moment was calculated. Appendix D contains an example of such calculations (deliberately blurred, as it contains information about the chord and drag and lift coefficients for each location and their local resultants).

The blade was divided into 40 sections. QBlade chooses a greater definition at the root and the top of the blade (to account for losses more accurately) and the position, chord, drag coefficient, lift coefficient, inflow angle, and local velocity were used to determine the force per unit of length applied at each one of those points ( $N/m$ ) as can be seen in Figure 5.43 (Left).

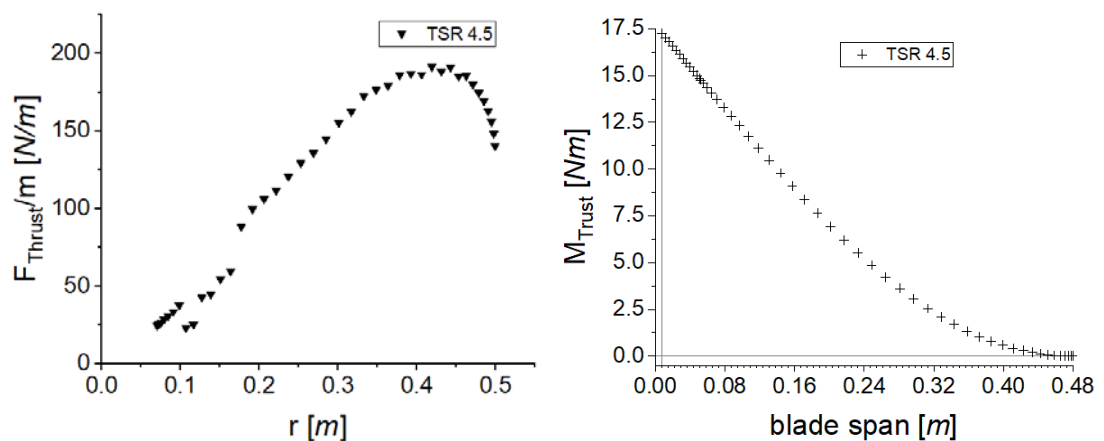


Figure 5.43 Force distribution per unit of length along the blade (Left). Moment at the blade (Right).

Chapter 5

For this particular example, QBlade estimated a total force applied of 165.08 N, compared to the 168.28 N measured. To the previous, and other values at the same towing speed, 0.47 N were subtracted to eliminate the drag force at the hub with 0.05 m radius [using a drag coefficient of 0.42 (Hoerner, 1965, p. Chapter 3)]. The corrected value of 167.81 N is only 1.65% higher than what the program estimated. After increasing all forces proportionally to this adjustment, the bending moment at the root was calculated [Figure 5.43 (Right)] and the centre of pressure (CoP) is found at 0.336 m from the root. This means that any additional thrust increases the bending moment at the root by another 48.8%. In other words, for every 1% of extra thrust generated by the winglet, the bending moment at the root will be increased in almost 1.5%.

Similarly, the torque is calculated, with an estimated value of 10.48 Nm for the same case, versus the measured value of 11 Nm, a difference of around 5%, with a CoP located 0.296 m from the root. The centre of pressure for the torque is located around 10% lower than the centre of pressure for the thrust force, indicating that any added drag at the tip has a higher negative impact in the torque than the added bending moment at the root. Figure 5.44 shows the moment coefficients for the x and y axes. The thrust generates a positive moment in the y axis. Conversely, as the turbine rotates counterclockwise, the torque generates a negative moment in the x axis according to the frame of reference.

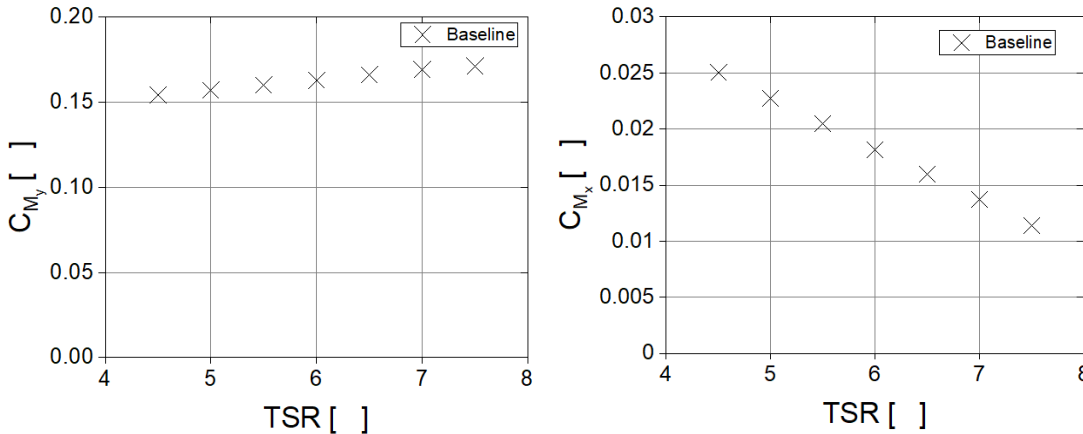


Figure 5.44 Moment coefficients on the x and y axes.

The bending moments were calculated for the thinnest innermost part of the root of the blade, located at 28 mm from the centre of the shaft. The values are presented in Figure 5.45, and it can be seen that as the turbine rotates faster, the thrust keeps dominating and switching the relative axis towards the y axis. The moment produced by the thrust force is on average 6 times larger for this tip speed configuration of 4.5. As the turbine rotates faster, the thrust force increases, the torque decreases, and the total bending moment moves towards the direction of the flow.

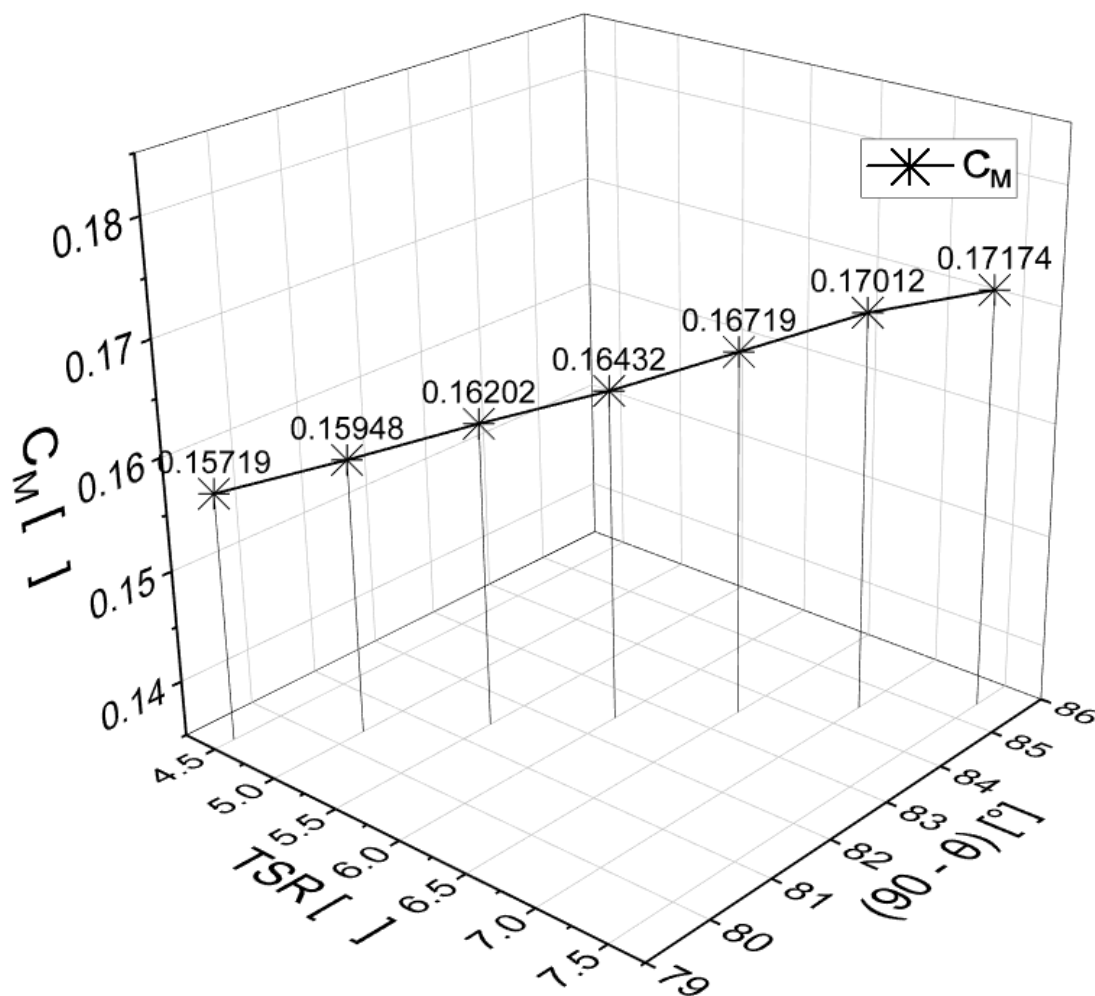


Figure 5.45 Combined coefficient of moment at different TSRs and its axis from the flow.

## 5.9 Reynolds effect

The turbine was scaled isometrically, speeds were Froude scaled. Ideally, the operational speed is such that the Re numbers are high enough to be compared to the full-scale device. In reality, this is impractical. In theory, because higher Reynolds numbers mean a more turbulent flow, when the turbine is operating at faster speeds, the flow should attach better to the surface. Two additional speeds were tested for the turbine with straight blades (T1). Figure 5.46 shows  $C_P$  and  $C_T$  curves for 3 different speeds. Re numbers for a TSR of 5.0 are  $1.5$ ,  $1.6$ , and  $1.7 \times 10^5$  at the tip of the blade for the low, middle, and high speed respectively. The average power coefficients for TSR from 5.0 to 7.0 are 0.44, 0.43 and 0.47, from low to high speed. It would have been expected that performance increased together with the speed, but it is not the case for the middle speed. The average thrust coefficients did show an increasing trend in average for TSR from 5.0 to 7.0 with values of 0.80, 0.82, and 0.85 for the low, middle, and high speed.

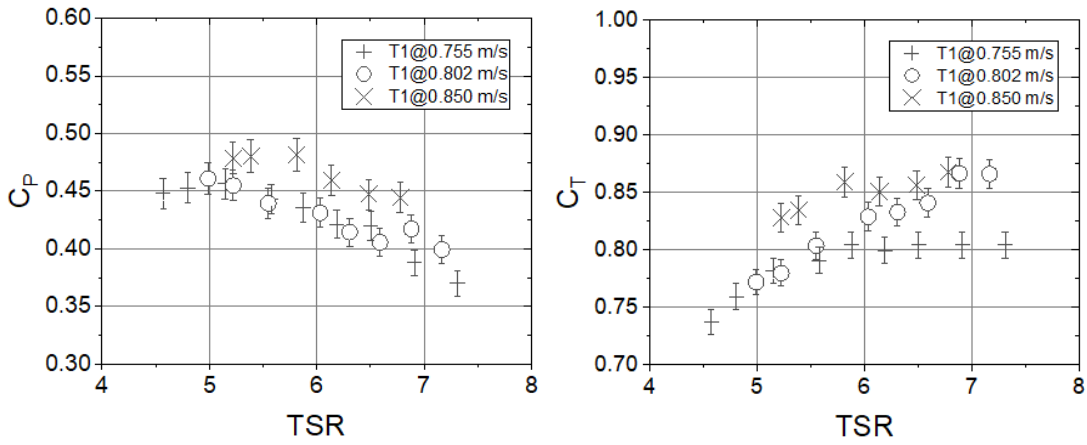


Figure 5.46 Power and thrust versus TSR at different towing speeds.

Figure 5.47 shows the same comparison for W7. In that case the variations were smaller. For averaged values from a TSR of 5.5 to 7.0 the  $C_p$  and  $C_T$  for 0.76, 0.80, and 0.85 m/s were 0.42, 0.43, 0.45, 0.82, 0.82, and 0.83. In this case, the power coefficient does seem to increase in relation with the speed, but even though the thrust coefficient has a close value for all speeds, the behaviour is not linear, as it can be seen in Figure 5.47 (Right). Figure 5.48 shows the comparison for different speeds between W7 and T1. The power coefficient of W7 increases for the middle speed but goes back down at the last speed. The thrust coefficient, following an opposite behaviour as what was seen with the straight blade, decreases, in comparison to the straight blade, as the speed increases. This last tendency could be related to a more energetic vortex at the tip of the blade that might be attenuated with the winglets facing to the front side.

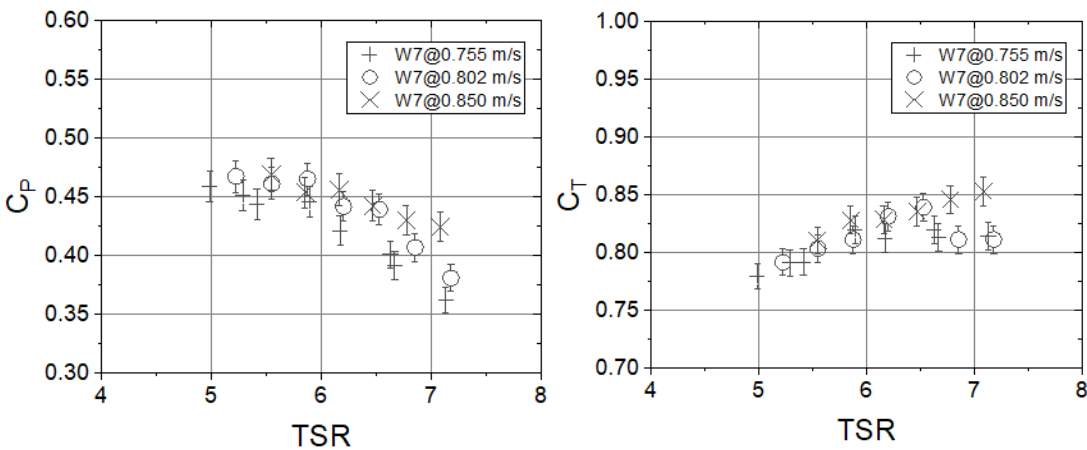


Figure 5.47 Power and thrust coefficients at different speeds for W7.

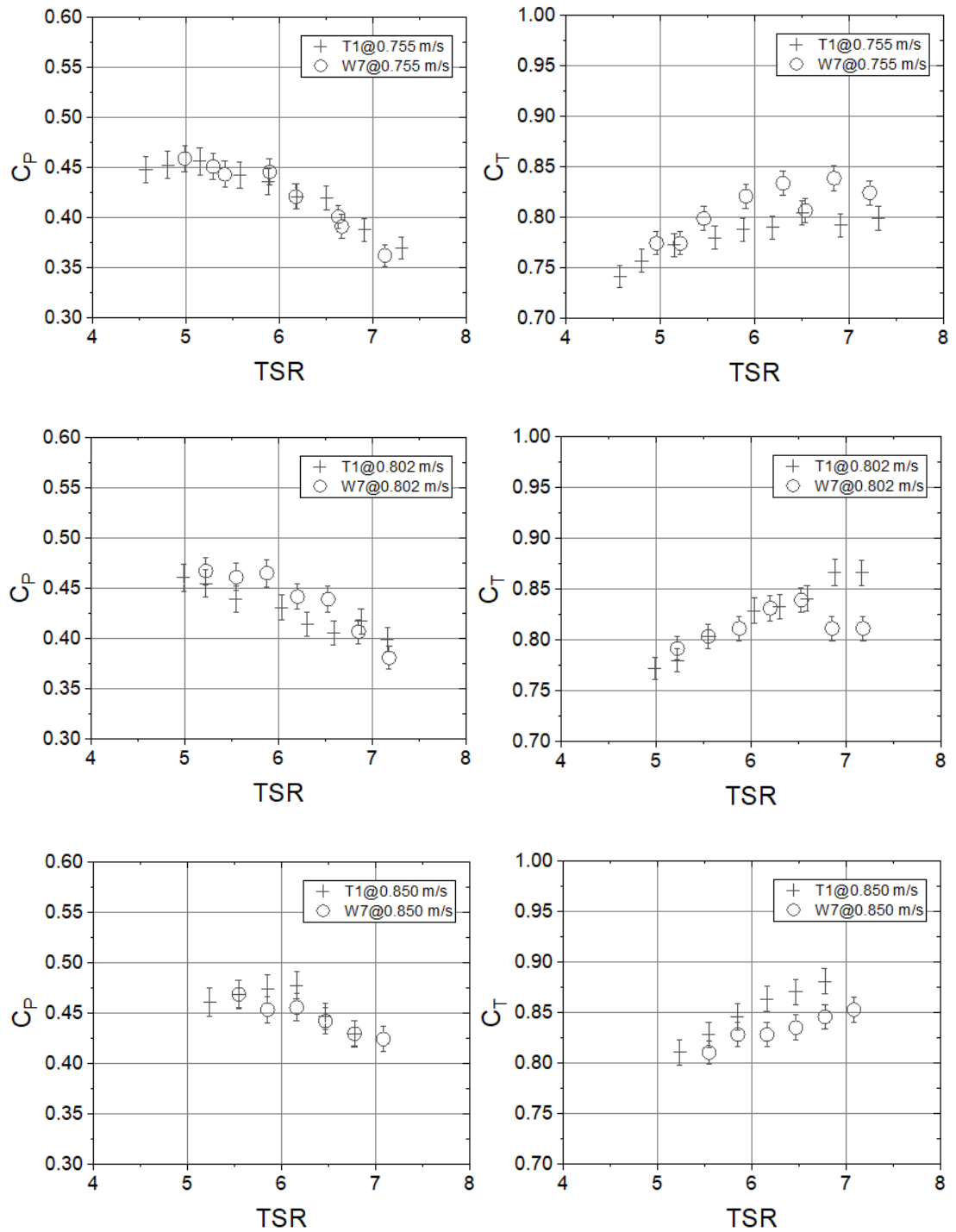


Figure 5.48 Comparison between T1 and W7 at the remaining speeds.

### 5.10 Overall performance of winglets on the turbine

Even though most of the studies summarised in Table 2-2 (page 40) predict that winglets positioned in any direction on a turbine increase the power output from a few percentages up to 10%, what was found through this study is that the only winglets that showed a slight improvement on the power output at some points, are the ones oriented towards the pressure side Figure 5.49.

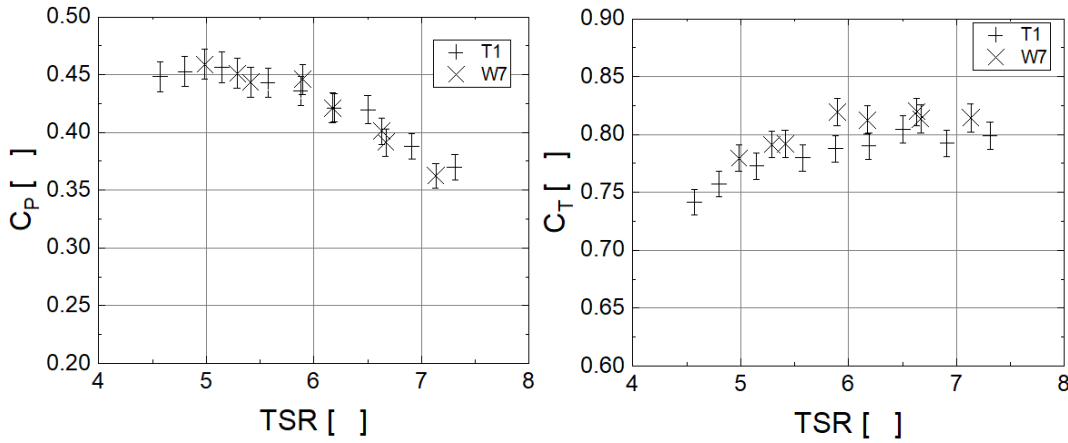


Figure 5.49 Power and thrust coefficients vs. tsr for winglet 7 (W7) towards the pressure side.

At tip speeds ratios of 5 and 6, winglet 7 has an increase in the power coefficient of 1.8% and 1.5%, respectively. Overall, the difference in power coefficient is on average -1.2% from the baseline, and the thrust coefficient increases by 3% on average.

The reason for the poor performance of the other winglets is due to the vortices formed behind them. An oil paint flow visualisation technique was useful to let the vortices leave their trace on the surface of the blade/winglet interface.



## Chapter 6 Conclusions

Renewable energy is one of the fastest growing sources of primary energy as there is a world effort to decarbonise the grid. In line with directives focused on reducing greenhouse gas emissions, the UK has an incentive mechanism called Contracts for Difference that has run biannually and started on 2015. To date, the past 3 rounds have allocated contracts to more mature technologies with a lower LCOE like offshore wind. It is necessary to use as many renewable sources of energy to increase reliability, and tidal energy is an attractive choice as it can be predicted years in advance.

Tidal turbines are at a stage before being commercially viable at full scale. Reducing the LCOE would make this technology more competitive in the electricity market. One way of doing that is to increase the power coefficient, which is still behind those ones seen on wind turbines, by improving blade hydrodynamics. At this stage of technology development this is a lower risk method to reduce the LCOE compared to reducing device mass or capital cost. Winglets have been used successfully in the aircraft industry to reduce fuel consumption. Simulations have suggested that adding winglets to tidal turbines can have a beneficial effect too. Those predictions have not been verified with experiments.

BEMT is a fast and efficient numerical method to analyse the performance of a scaled turbine under ideal conditions. A towing tank gives an undisturbed environment to study the effect of adding winglets to the power and thrust coefficient of a scaled tidal turbine. In order to achieve Reynolds similarity model horizontal turbines need to be in excess of approximately 1/8th scale which is not achievable using normal experimental facilities, because they are too large. For this research a 1-m diameter model was used for test and verification which is towards the upper limit in size for experimental models used in indoor facilities.

SIMEC Atlantis Energy provided the design specifications for the blades of their AR2000 model. The scaled turbine was first evaluated using QBlade then manufactured. Around 90% of the scaled blade was machined in aluminium at the University of Southampton, with 20 different 3D-printed winglet designs, in aluminium as well, to explore their effect on the power and thrust coefficients.

Experiments carried out during this project were conducted at the towing tank at Solent University. The 60-m long tank towed at 0.75 *m/s* provided around 20 to 30 seconds of useful data. Turbulence is close to zero in a towing tank, for such reason it was possible to get very clear data that helped identify key aspects present in the experiments. The first of them was the turbine vibration that could be isolated and disregarded as noise. Secondly it helped identify small

variations in power and thrust coefficients, in the range of 1-2%. Lastly, it was possible to use a flow visualisation technique that consisted of covering the surface of interest with oil-based paint at the appropriate viscosity.

Most CFD studies found before this project stated that winglets facing the suction side had a better performance than if placed facing the pressure side. These studies did not predict the appearance of the vortices identified in this project, for such reason their predictions overestimated the benefits of winglets in reducing tip losses and the drag at that region. On the other hand, it could be possible to identify winglet shapes facing the pressure side that accomplish such purposes.

Winglets facing the suction side of the turbine reduce the power coefficient and, in some cases, they increase the thrust coefficient as well. This phenomenon is caused by some vortices that are formed behind the blade/winglet interface. These vortices were not present on the winglet facing the pressure side.

### 6.1 Findings on Research Objectives

- Winglets are the most widely technique used in aviation to improve a wing performance. In the case of horizontal axis turbines, other techniques include the use of micro-tabs, slots, tubercles, non-straight blades, passively adaptive blades, and ramps on the seabed to improve power capture.
- A blade extension bent into a winglet can be defined by 8 key parameters: airfoil, height, curvature radius, cant angle, chord distribution, sweep, toe and twist angles.
- The isometric scaling of the AR2000 turbine blades produced realistic results within design parameters when simulated using BEM theory software.
  - Blade/winglet interface was designed to allow fast accessible winglets swap.
- Each winglet effect on the power and thrust coefficient was measured and compared across groups and versus the straight blade.
- It was found that the most significant variable decreasing the power coefficient is the cant angle, by 12%. It is also suggested that other variable comparisons are made orienting winglets towards the pressure side where there was not found presence of vortices.
- With the use of a straightforward surface visualisation technique, it was possible to identify some vortices forming behind the blade at the junction with the winglets.
- From the experimental results, it is recommended that winglets are placed facing the pressure side, prevent the formation of vortices, and explore the advantages that varying the toe angle could bring.

- Previous literature cited in this work were unable to accurately predict the performance of winglets. This work, through experimental verification, has identified complex flow structures in the tip region that subsequent numerical modelling work should utilise that are capable of simulating this.

Throughout experiments, it was measured that most winglets facing the suction side decrease the power coefficient due to vortical structures formed behind the blade where winglets are joined. With the use of an oil-based paint flow visualisation, it was possible to identify their interaction with the blade surface. Power coefficient improvements of around 1-2% were recorded together with an increase in the thrust coefficient of around 3-4%. Analytically, with the aid of BEM theory, it is predicted that the structural cost of the increase in the thrust coefficient due to tip modifications, entails an extra bending moment at the root of the blade of 40% higher than the increase in thrust, i.e. 4.2-5.6% for this particular case.

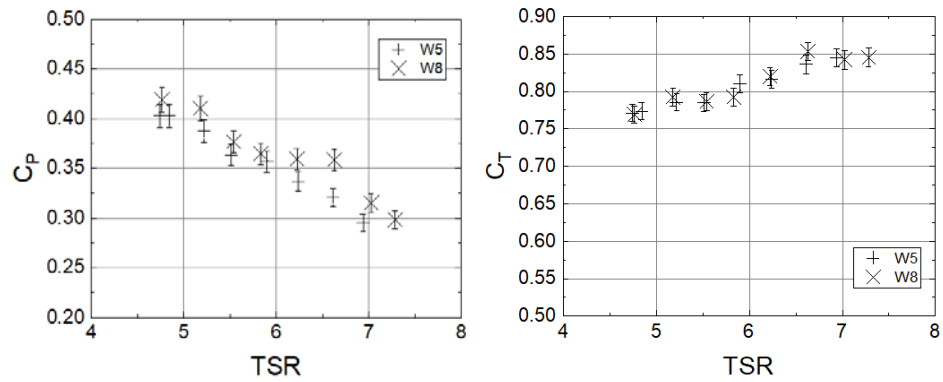
## **6.2 Future work**

The findings from this experimental work suggest that the effect of winglets facing the pressure side of tidal turbines should be explored. Alternatively, a geometric or flow alteration could be done to the suction-side facing winglets to avoid the appearance of the vortices that reduce their performance. CFD software capable to simulate these types of scenarios would be of great help for future studies. In the long run, feedback from the two techniques can be beneficial for both.

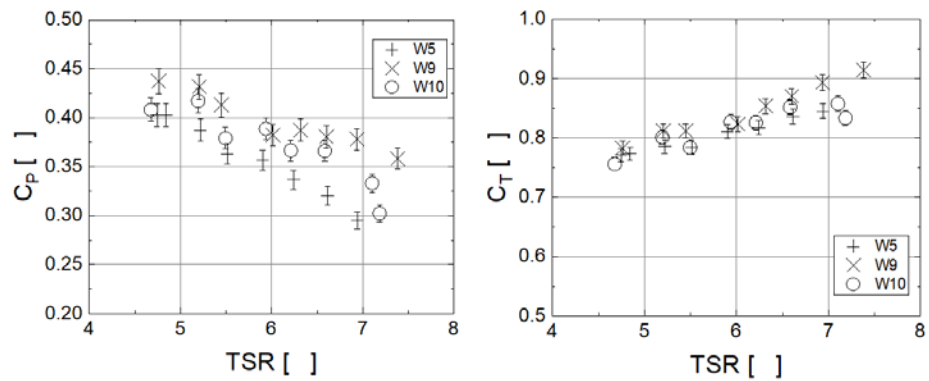


## Appendix A Other winglet results

### A.1 50% Airfoil



### A.2 50% Airfoil with positive and negative 45° sweep angle.

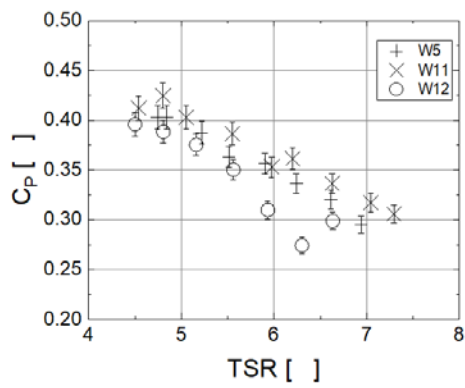


W9

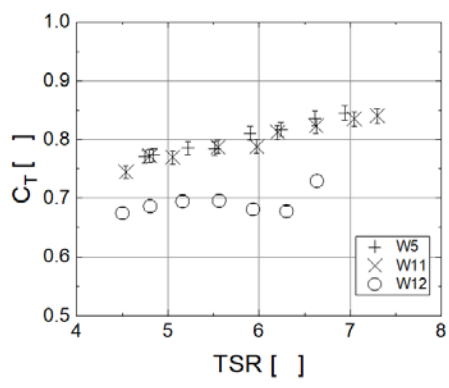
W10



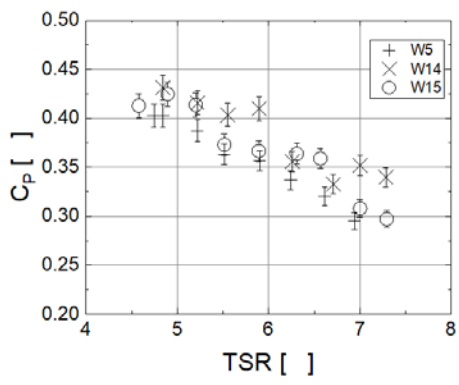
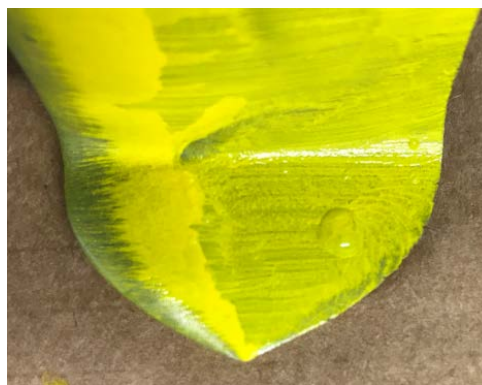
**A.3 25% Airfoil size, end point, edge end, and half edge end.**



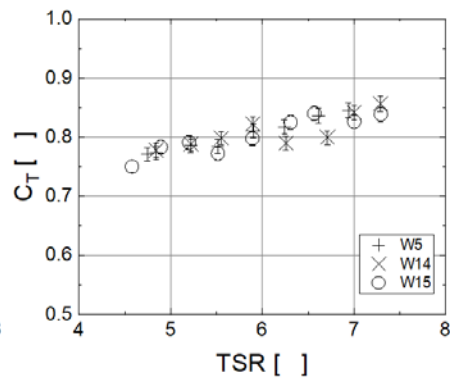
W11



W12



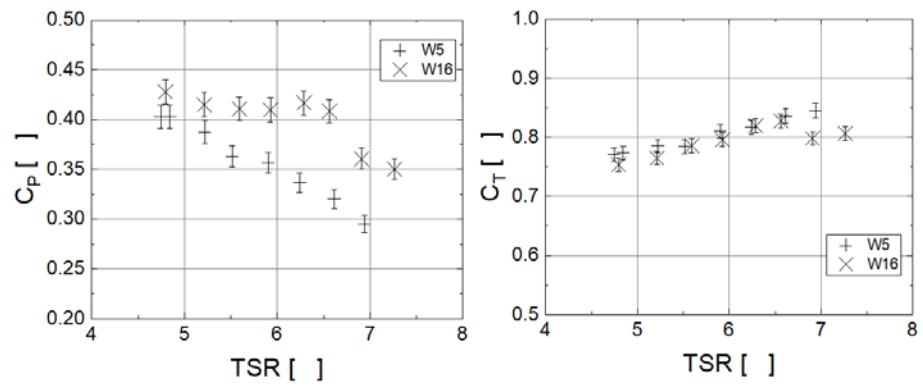
W14



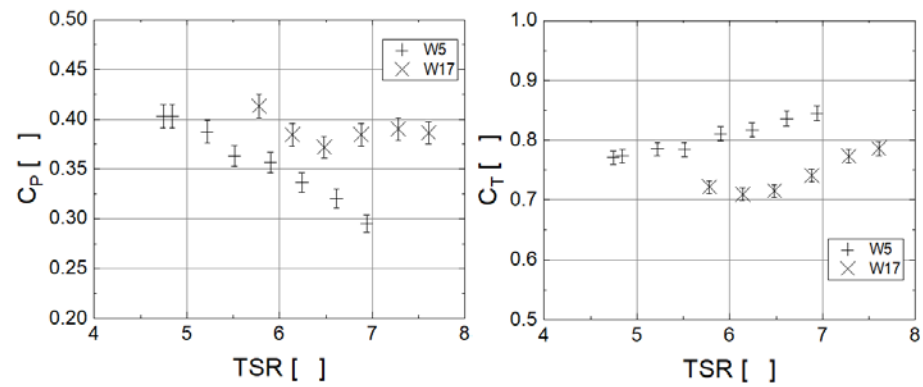
W15



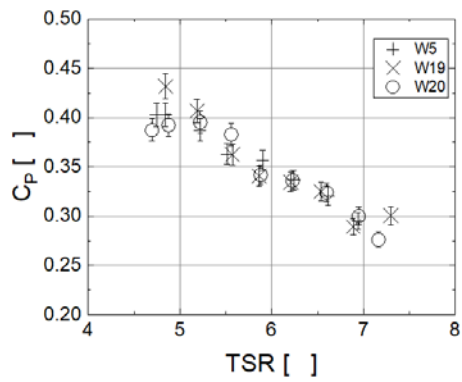
**A.4 45° angle towards the suction side.**



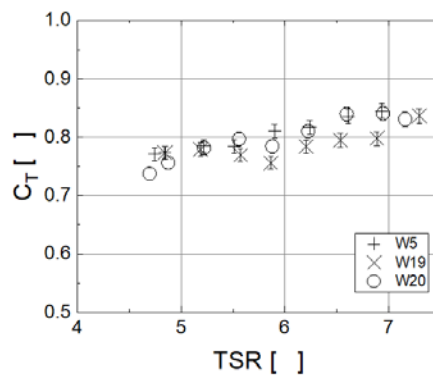
**A.5 Wing extension**



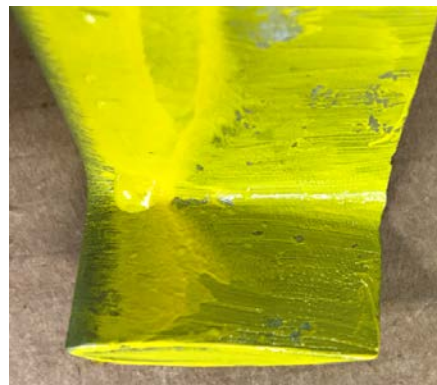
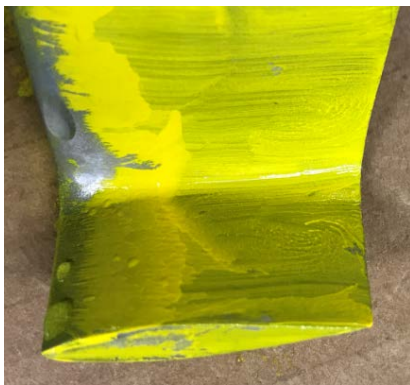
### A.6 Toe angle



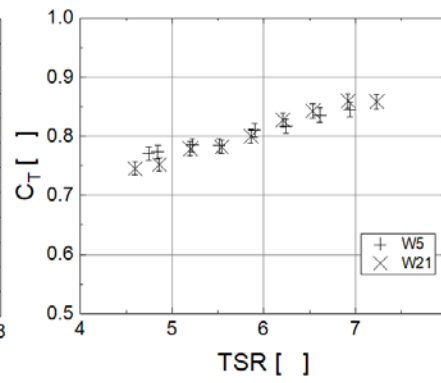
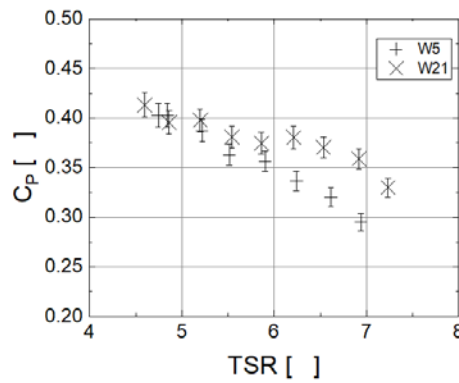
W19



W20

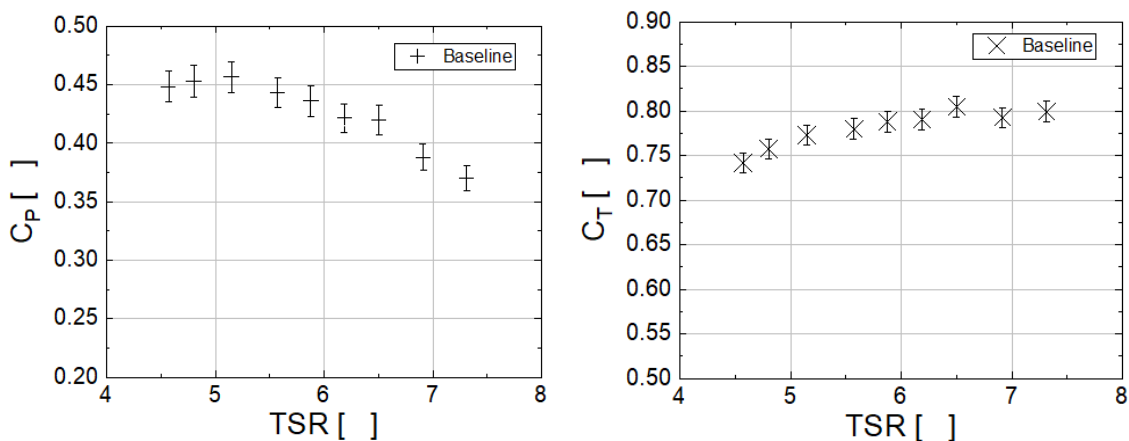


### A.7 Inverted profile and negative toe angle.

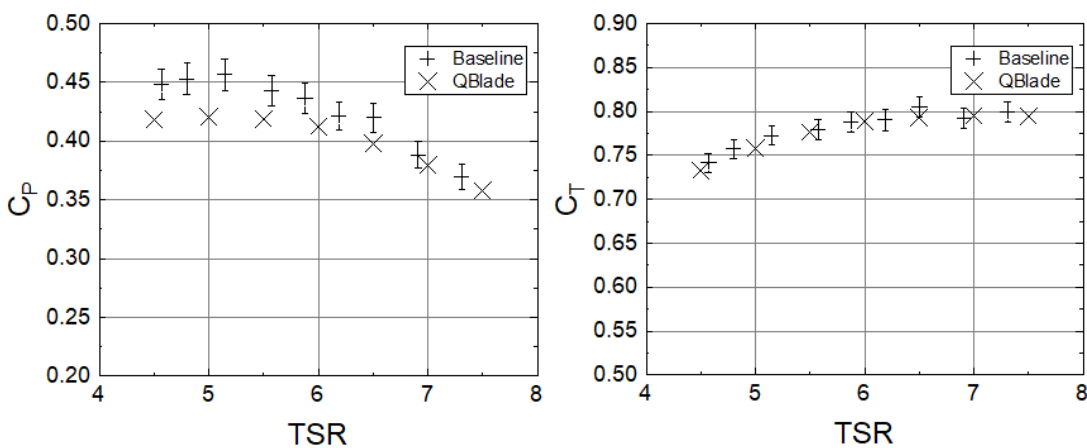




### A.8 Each winglet and simulation compared to the baseline (T1)

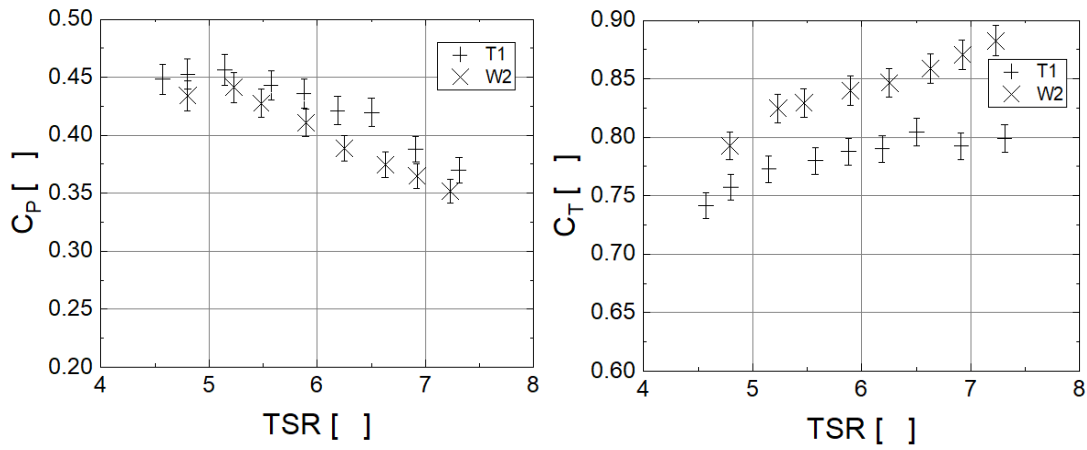


| RPM | Torque | Thrust | TSR | omega | Cp T1 | $\sigma$ (Cp T1) | Ct T1 | $\sigma$ (Ct T1) |
|-----|--------|--------|-----|-------|-------|------------------|-------|------------------|
| 66  | 11.0   | 166    | 4.6 | 6.9   | 0.45  | 0.01311          | 0.74  | 0.01087          |
| 69  | 10.6   | 169    | 4.8 | 7.2   | 0.45  | 0.01325          | 0.76  | 0.01110          |
| 74  | 9.9    | 173    | 5.1 | 7.7   | 0.46  | 0.01336          | 0.77  | 0.01133          |
| 80  | 8.9    | 175    | 5.5 | 8.4   | 0.44  | 0.01296          | 0.78  | 0.01143          |
| 84  | 8.3    | 176    | 5.9 | 8.8   | 0.44  | 0.01275          | 0.79  | 0.01155          |
| 89  | 7.6    | 177    | 6.2 | 9.3   | 0.42  | 0.01232          | 0.79  | 0.01158          |
| 93  | 7.2    | 180    | 6.4 | 9.7   | 0.42  | 0.01228          | 0.80  | 0.01180          |
| 100 | 6.3    | 177    | 6.9 | 10.5  | 0.39  | 0.01135          | 0.79  | 0.01161          |
| 105 | 5.7    | 179    | 7.3 | 11.0  | 0.37  | 0.01082          | 0.80  | 0.01171          |

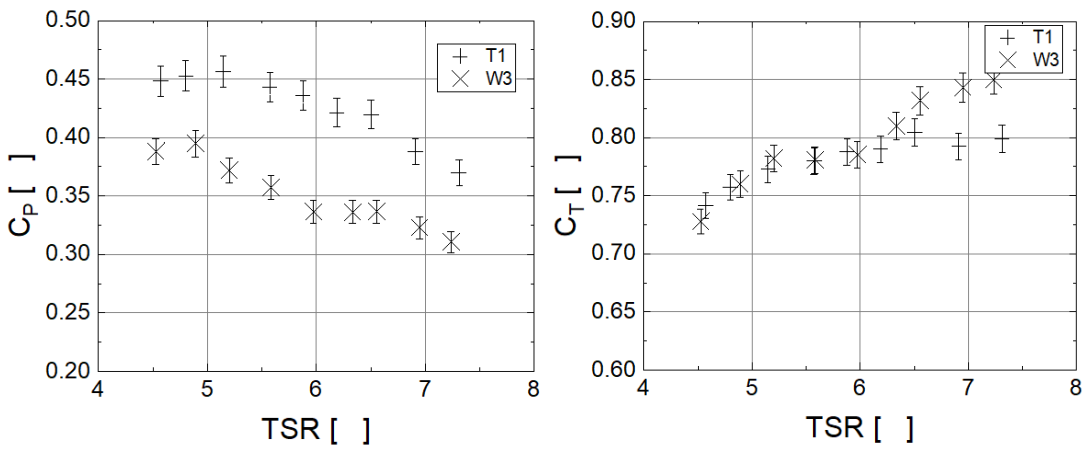


| TSR     | Cp QBlade | Ct Qblade | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-----------|-----------|-------|-------|-------------|-------------|
| 4.5     | 0.42      | 0.73      | 0.45  | 0.75  | -6%         | -3%         |
| 5       | 0.42      | 0.76      | 0.45  | 0.76  | -7%         | -1%         |
| 5.5     | 0.42      | 0.78      | 0.45  | 0.77  | -7%         | 0%          |
| 6       | 0.41      | 0.79      | 0.44  | 0.78  | -5%         | 1%          |
| 6.5     | 0.40      | 0.79      | 0.42  | 0.79  | -4%         | 0%          |
| 7       | 0.38      | 0.79      | 0.39  | 0.80  | -2%         | -1%         |
| 7.5     | 0.36      | 0.79      | 0.35  | 0.81  | 3%          | -2%         |
| Average |           |           |       |       | -4%         | -1%         |

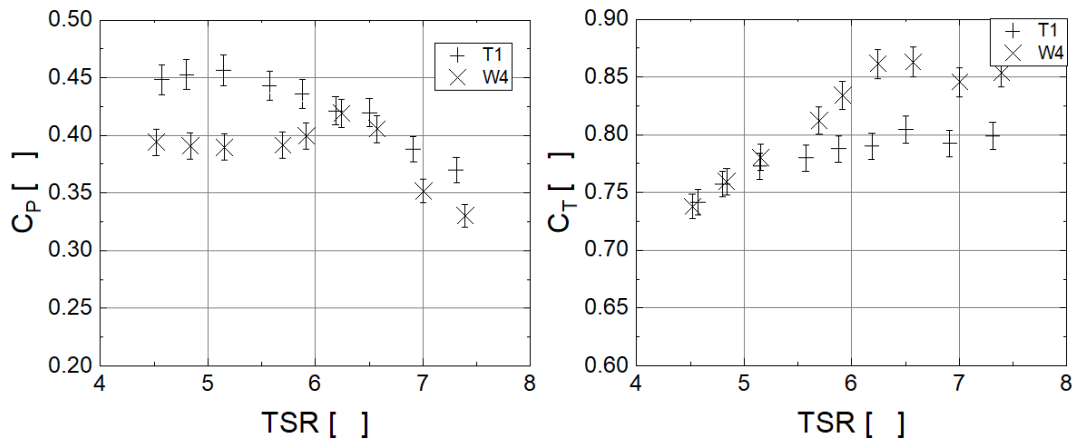
Appendix A



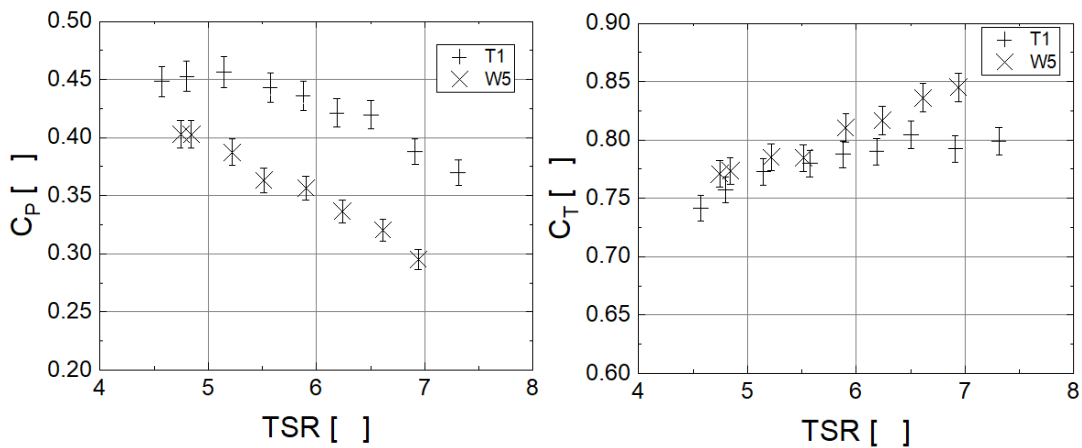
| TSR     | Cp W2 | $\sigma$ (Cp W2) | Ct W2 | $\sigma$ (Ct W2) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|
| 4.8     | 0.44  | 0.01269          | 0.79  | 0.01159          | 0.45  | 0.76  | -3%         | 4%          |
| 5.2     | 0.44  | 0.01291          | 0.82  | 0.01209          | 0.45  | 0.77  | -2%         | 7%          |
| 5.5     | 0.43  | 0.01251          | 0.83  | 0.01216          | 0.45  | 0.77  | -5%         | 7%          |
| 5.9     | 0.41  | 0.01202          | 0.84  | 0.01231          | 0.44  | 0.78  | -6%         | 8%          |
| 6.3     | 0.39  | 0.01137          | 0.85  | 0.01241          | 0.43  | 0.79  | -9%         | 7%          |
| 6.6     | 0.37  | 0.01096          | 0.86  | 0.01258          | 0.41  | 0.80  | -8%         | 8%          |
| 6.9     | 0.36  | 0.01067          | 0.87  | 0.01276          | 0.39  | 0.80  | -7%         | 9%          |
| 7.2     | 0.35  | 0.01029          | 0.88  | 0.01294          | 0.37  | 0.81  | -5%         | 9%          |
| Average |       |                  |       |                  |       |       | -6%         | 8%          |



| TSR     | Cp W3 | $\sigma$ (Cp W3) | Ct W3 | $\sigma$ (Ct W3) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|
| 4.5     | 0.39  | 0.01135          | 0.73  | 0.01067          | 0.45  | 0.75  | -13%        | -3%         |
| 4.9     | 0.39  | 0.01155          | 0.76  | 0.01114          | 0.45  | 0.76  | -12%        | 0%          |
| 5.2     | 0.37  | 0.01088          | 0.78  | 0.01146          | 0.45  | 0.77  | -18%        | 2%          |
| 5.6     | 0.36  | 0.01045          | 0.78  | 0.01144          | 0.45  | 0.77  | -20%        | 1%          |
| 6.0     | 0.34  | 0.00984          | 0.79  | 0.01151          | 0.44  | 0.78  | -23%        | 0%          |
| 6.3     | 0.34  | 0.00983          | 0.81  | 0.01187          | 0.42  | 0.79  | -21%        | 3%          |
| 6.6     | 0.34  | 0.00984          | 0.83  | 0.01219          | 0.41  | 0.79  | -18%        | 5%          |
| 6.9     | 0.32  | 0.00944          | 0.84  | 0.01236          | 0.39  | 0.80  | -17%        | 5%          |
| 7.2     | 0.31  | 0.00909          | 0.85  | 0.01245          | 0.37  | 0.81  | -16%        | 5%          |
| Average |       |                  |       |                  |       |       | -18%        | 2%          |

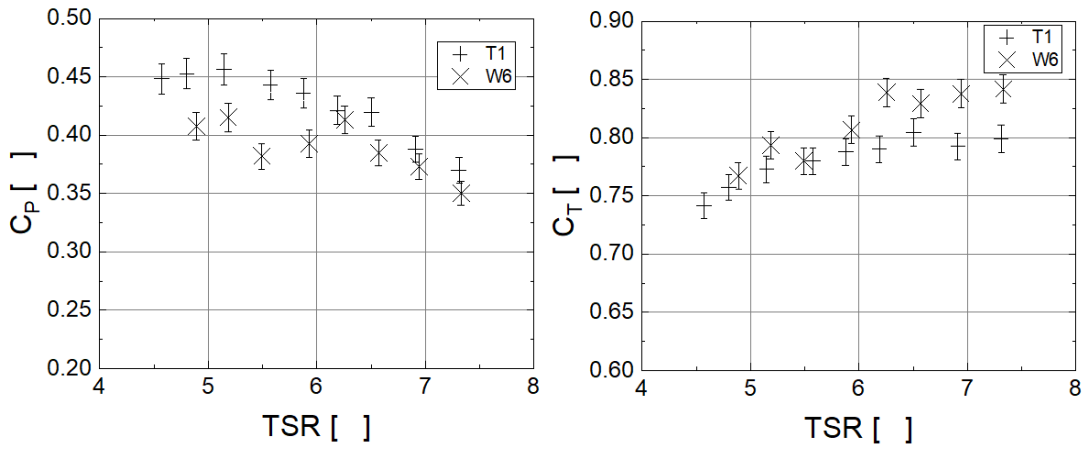


| TSR     | Cp W4 | $\sigma$ (Cp W4) | Ct W4 | $\sigma$ (Ct W4) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |    |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|----|
| 4.5     | 0.39  | 0.011522         | 0.74  | 0.01082          | 0.45  | 0.75  | -12%        | -2%         |    |
| 4.8     | 0.39  | 0.011432         | 0.76  | 0.01113          | 0.45  | 0.76  | -13%        | 0%          |    |
| 5.2     | 0.39  | 0.011397         | 0.78  | 0.01144          | 0.45  | 0.77  | -14%        | 2%          |    |
| 5.7     | 0.39  | 0.011446         | 0.81  | 0.01190          | 0.44  | 0.78  | -12%        | 5%          |    |
| 5.9     | 0.40  | 0.011685         | 0.83  | 0.01222          | 0.44  | 0.78  | -9%         | 7%          |    |
| 6.2     | 0.42  | 0.012251         | 0.86  | 0.01263          | 0.43  | 0.79  | -2%         | 9%          |    |
| 6.6     | 0.41  | 0.011864         | 0.86  | 0.01265          | 0.41  | 0.79  | -2%         | 9%          |    |
| 7.0     | 0.35  | 0.010285         | 0.85  | 0.01239          | 0.39  | 0.80  | -9%         | 5%          |    |
| 7.4     | 0.33  | 0.009659         | 0.85  | 0.01252          | 0.36  | 0.81  | -8%         | 5%          |    |
| Average |       |                  |       |                  |       |       |             | -9%         | 4% |

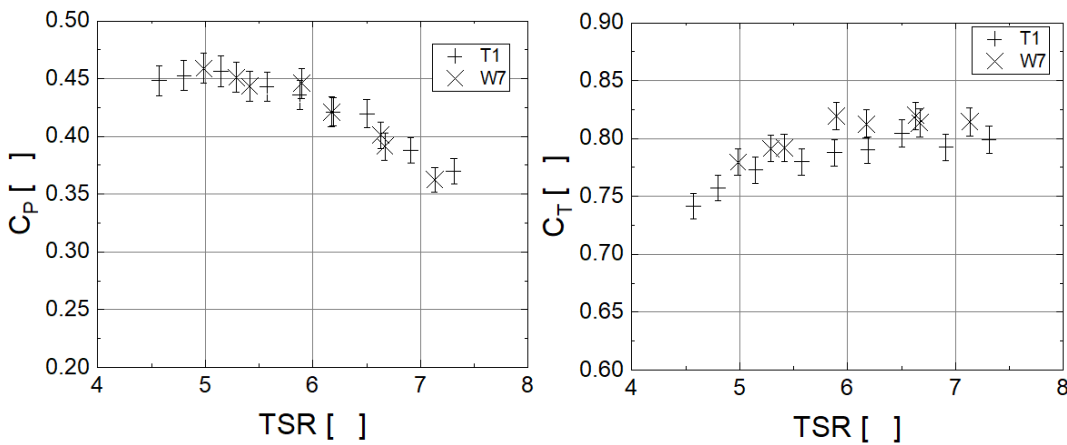


| TSR     | Cp W5 | $\sigma$ (Cp W5) | Ct W5 | $\sigma$ (Ct W5) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |    |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|----|
| 4.7     | 0.40  | 0.01178          | 0.77  | 0.01130          | 0.45  | 0.76  | -10%        | 2%          |    |
| 4.8     | 0.40  | 0.01178          | 0.77  | 0.01134          | 0.45  | 0.76  | -11%        | 2%          |    |
| 5.2     | 0.39  | 0.01133          | 0.79  | 0.01151          | 0.45  | 0.77  | -14%        | 2%          |    |
| 5.5     | 0.36  | 0.01062          | 0.78  | 0.01150          | 0.45  | 0.77  | -19%        | 1%          |    |
| 5.9     | 0.36  | 0.01043          | 0.81  | 0.01188          | 0.44  | 0.78  | -19%        | 4%          |    |
| 6.2     | 0.34  | 0.00984          | 0.82  | 0.01197          | 0.43  | 0.79  | -21%        | 4%          |    |
| 6.6     | 0.32  | 0.00938          | 0.84  | 0.01226          | 0.41  | 0.79  | -22%        | 5%          |    |
| 6.9     | 0.30  | 0.00864          | 0.84  | 0.01239          | 0.39  | 0.80  | -24%        | 5%          |    |
| Average |       |                  |       |                  |       |       |             | -18%        | 3% |

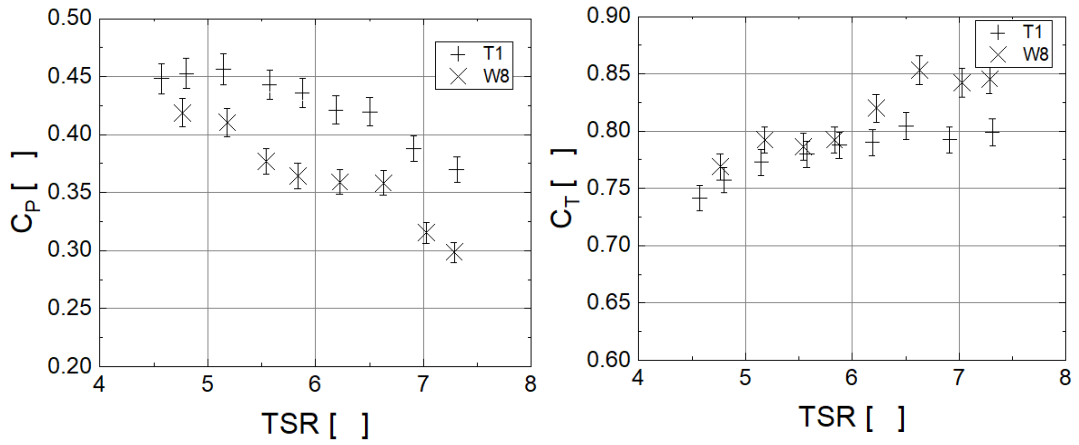
Appendix A



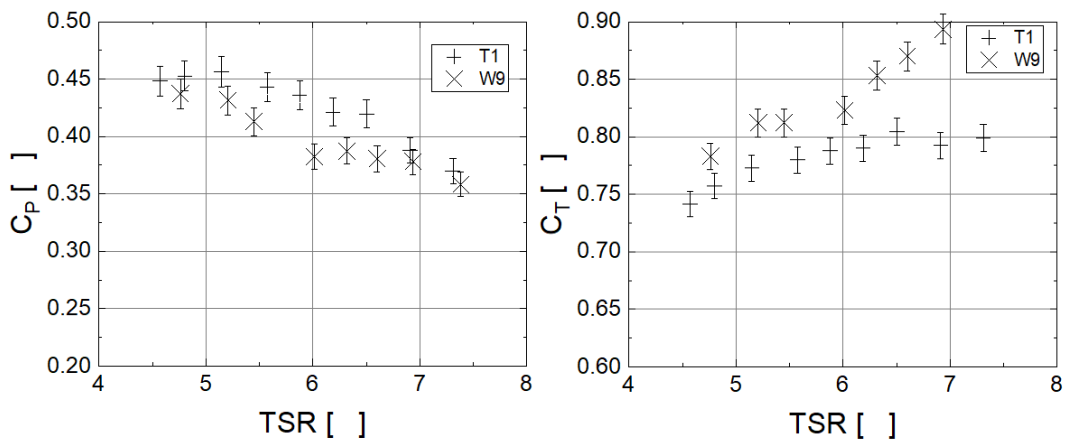
| TSR     | Cp W6 | $\sigma$ (Cp W6) | Ct W6 | $\sigma$ (Ct W6) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|
| 4.9     | 0.41  | 0.01192          | 0.77  | 0.01125          | 0.45  | 0.76  | -10%        | 1%          |
| 5.2     | 0.42  | 0.01214          | 0.79  | 0.01163          | 0.45  | 0.77  | -8%         | 3%          |
| 5.5     | 0.38  | 0.01117          | 0.78  | 0.01143          | 0.45  | 0.77  | -15%        | 1%          |
| 5.9     | 0.39  | 0.01149          | 0.81  | 0.01182          | 0.44  | 0.78  | -10%        | 3%          |
| 6.3     | 0.41  | 0.01208          | 0.84  | 0.01229          | 0.43  | 0.79  | -3%         | 6%          |
| 6.6     | 0.38  | 0.01125          | 0.83  | 0.01215          | 0.41  | 0.79  | -7%         | 4%          |
| 6.9     | 0.37  | 0.01091          | 0.84  | 0.01228          | 0.39  | 0.80  | -4%         | 5%          |
| 7.3     | 0.35  | 0.01024          | 0.84  | 0.01234          | 0.36  | 0.81  | -3%         | 4%          |
| Average |       |                  |       |                  |       |       | -8%         | 3%          |



| TSR     | Cp W7 | $\sigma$ (Cp W7) | Ct W7 | $\sigma$ (Ct W7) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|
| 5.0     | 0.46  | 0.01331          | 0.78  | 0.01136          | 0.45  | 0.76  | 1.8%        | 2%          |
| 5.3     | 0.45  | 0.01283          | 0.79  | 0.01135          | 0.45  | 0.77  | 0.2%        | 3%          |
| 5.4     | 0.44  | 0.01311          | 0.79  | 0.01171          | 0.45  | 0.77  | -1.3%       | 3%          |
| 5.9     | 0.45  | 0.01306          | 0.82  | 0.01204          | 0.44  | 0.78  | 1.5%        | 5%          |
| 6.2     | 0.42  | 0.01278          | 0.81  | 0.01222          | 0.43  | 0.79  | -2.0%       | 3%          |
| 6.6     | 0.40  | 0.01148          | 0.82  | 0.01183          | 0.41  | 0.80  | -1.8%       | 3%          |
| 6.7     | 0.39  | 0.01195          | 0.81  | 0.01230          | 0.41  | 0.80  | -3.8%       | 2%          |
| 7.1     | 0.36  | 0.01074          | 0.81  | 0.01209          | 0.38  | 0.81  | -3.8%       | 1%          |
| Average |       |                  |       |                  |       |       | -1.2%       | 3%          |

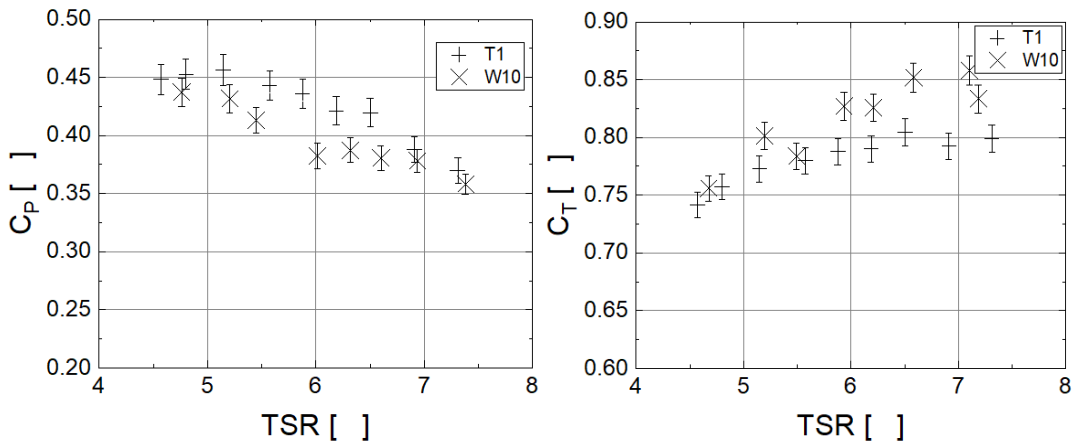


| TSR     | Cp W8 | $\sigma$ (Cp W8) | Ct W8 | $\sigma$ (Ct W8) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|
| 4.8     | 0.42  | 0.01225          | 0.77  | 0.01127          | 0.45  | 0.76  | -7%         | 1%          |
| 5.2     | 0.41  | 0.01200          | 0.79  | 0.01161          | 0.45  | 0.77  | -9%         | 3%          |
| 5.5     | 0.38  | 0.01102          | 0.79  | 0.01153          | 0.45  | 0.77  | -16%        | 2%          |
| 5.8     | 0.36  | 0.01066          | 0.79  | 0.01162          | 0.44  | 0.78  | -17%        | 2%          |
| 6.2     | 0.36  | 0.01051          | 0.82  | 0.01202          | 0.43  | 0.79  | -16%        | 4%          |
| 6.6     | 0.36  | 0.01048          | 0.85  | 0.01251          | 0.41  | 0.80  | -12%        | 7%          |
| 7.0     | 0.32  | 0.00922          | 0.84  | 0.01235          | 0.38  | 0.80  | -18%        | 5%          |
| 7.3     | 0.30  | 0.00873          | 0.85  | 0.01239          | 0.37  | 0.81  | -18%        | 5%          |
| Average |       |                  |       |                  |       |       | -14%        | 4%          |

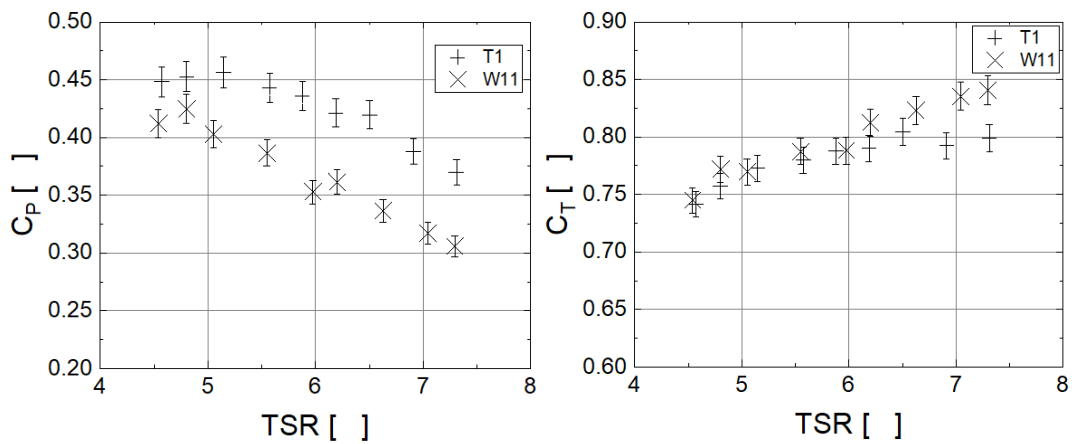


| TSR     | Cp W9 | $\sigma$ (Cp W9) | Ct W9 | $\sigma$ (Ct W9) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|-------|------------------|-------|------------------|-------|-------|-------------|-------------|
| 4.8     | 0.44  | 0.01279          | 0.78  | 0.01147          | 0.45  | 0.76  | -3%         | 3%          |
| 5.2     | 0.43  | 0.01262          | 0.81  | 0.01190          | 0.45  | 0.77  | -4%         | 6%          |
| 5.4     | 0.41  | 0.01207          | 0.81  | 0.01190          | 0.45  | 0.77  | -8%         | 5%          |
| 6.0     | 0.38  | 0.01119          | 0.82  | 0.01206          | 0.44  | 0.78  | -12%        | 5%          |
| 6.3     | 0.39  | 0.01133          | 0.85  | 0.01251          | 0.42  | 0.79  | -9%         | 8%          |
| 6.6     | 0.38  | 0.01113          | 0.87  | 0.01275          | 0.41  | 0.79  | -7%         | 9%          |
| 6.9     | 0.38  | 0.01106          | 0.89  | 0.01310          | 0.39  | 0.80  | -3%         | 12%         |
| 7.4     | 0.36  | 0.01048          | 0.91  | 0.01340          | 0.36  | 0.81  | 0%          | 13%         |
| Average |       |                  |       |                  |       |       | -6%         | 8%          |

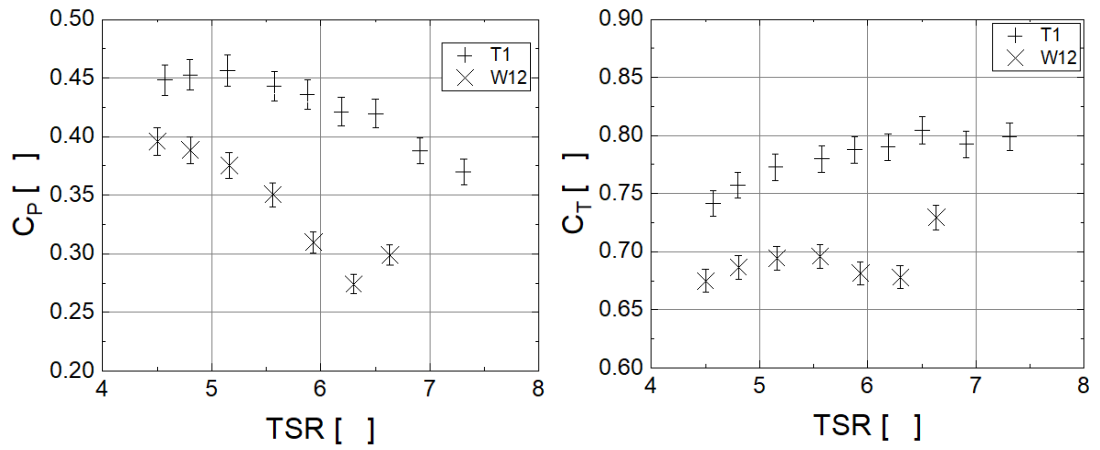
Appendix A



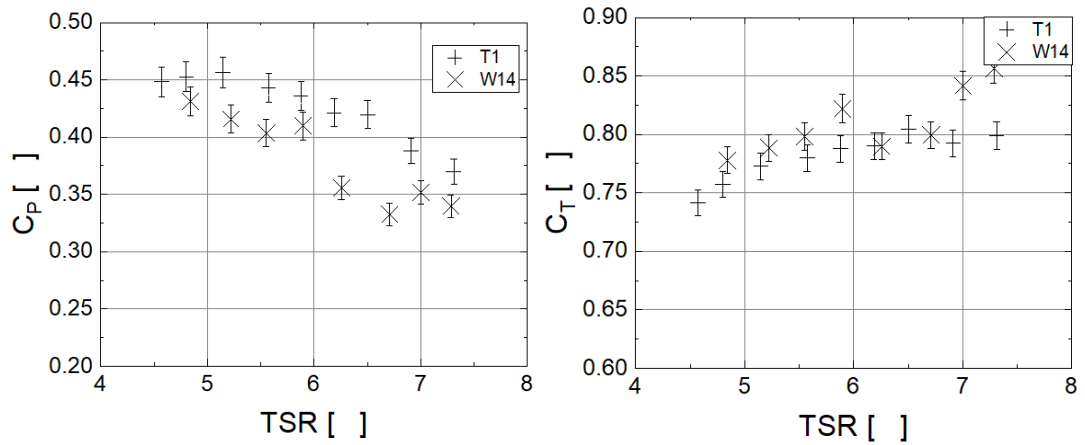
| TSR     | Cp W10 | $\sigma$ (Cp W10) | Ct W10 | $\sigma$ (Ct W10) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.7     | 0.41   | 0.01194           | 0.76   | 0.01108           | 0.45  | 0.76  | -9%         | 0%          |
| 5.2     | 0.42   | 0.01220           | 0.80   | 0.01174           | 0.45  | 0.77  | -7%         | 4%          |
| 5.5     | 0.38   | 0.01109           | 0.78   | 0.01149           | 0.45  | 0.77  | -15%        | 1%          |
| 5.9     | 0.39   | 0.01137           | 0.83   | 0.01212           | 0.44  | 0.78  | -11%        | 6%          |
| 6.2     | 0.37   | 0.01072           | 0.83   | 0.01210           | 0.43  | 0.79  | -14%        | 5%          |
| 6.6     | 0.37   | 0.01071           | 0.85   | 0.01249           | 0.41  | 0.79  | -11%        | 7%          |
| 7.1     | 0.33   | 0.00974           | 0.86   | 0.01257           | 0.38  | 0.80  | -12%        | 7%          |
| 7.2     | 0.30   | 0.00884           | 0.83   | 0.01222           | 0.37  | 0.81  | -19%        | 3%          |
| Average |        |                   |        |                   |       |       | -12%        | 4%          |



| TSR     | Cp W11 | $\sigma$ (Cp W11) | Ct W11 | $\sigma$ (Ct W11) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.5     | 0.41   | 0.01205           | 0.74   | 0.01092           | 0.45  | 0.75  | -8%         | -1%         |
| 4.8     | 0.42   | 0.01242           | 0.77   | 0.01131           | 0.45  | 0.76  | -6%         | 2%          |
| 5.1     | 0.40   | 0.01179           | 0.77   | 0.01128           | 0.45  | 0.76  | -11%        | 1%          |
| 5.5     | 0.39   | 0.01131           | 0.79   | 0.01154           | 0.45  | 0.77  | -14%        | 2%          |
| 6.0     | 0.35   | 0.01032           | 0.79   | 0.01155           | 0.44  | 0.78  | -19%        | 1%          |
| 6.2     | 0.36   | 0.01057           | 0.81   | 0.01191           | 0.43  | 0.79  | -16%        | 3%          |
| 6.6     | 0.34   | 0.00983           | 0.82   | 0.01206           | 0.41  | 0.80  | -18%        | 3%          |
| 7.0     | 0.32   | 0.00928           | 0.84   | 0.01224           | 0.38  | 0.80  | -17%        | 4%          |
| 7.3     | 0.31   | 0.00894           | 0.84   | 0.01232           | 0.36  | 0.81  | -16%        | 4%          |
| Average |        |                   |        |                   |       |       | -14%        | 2%          |

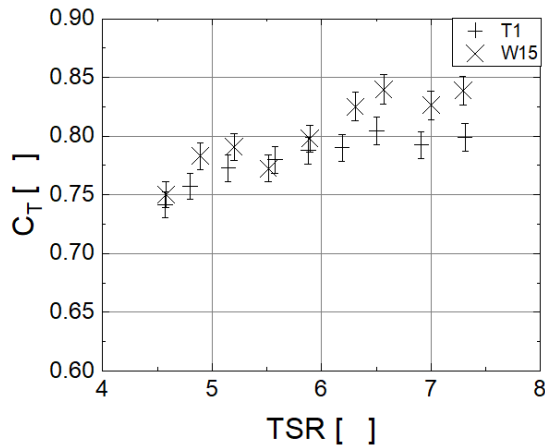
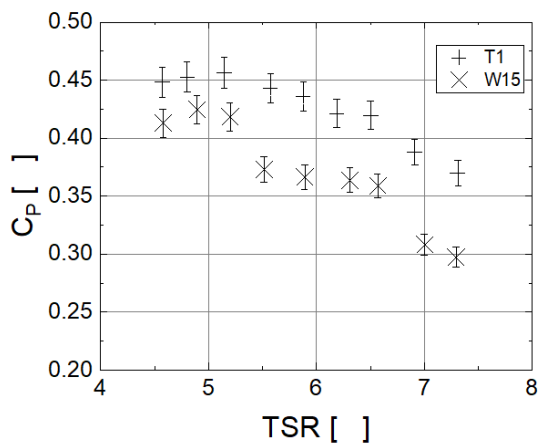


| TSR     | Cp W12 | $\sigma$ (Cp W12) | Ct W12 | $\sigma$ (Ct W12) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.5     | 0.40   | 0.01158           | 0.67   | 0.00989           | 0.45  | 0.75  | -11%        | -10%        |
| 4.8     | 0.39   | 0.01136           | 0.69   | 0.01006           | 0.45  | 0.76  | -14%        | -10%        |
| 5.2     | 0.38   | 0.01098           | 0.69   | 0.01018           | 0.45  | 0.77  | -17%        | -9%         |
| 5.6     | 0.35   | 0.01025           | 0.70   | 0.01020           | 0.45  | 0.77  | -22%        | -10%        |
| 5.9     | 0.31   | 0.00906           | 0.68   | 0.00999           | 0.44  | 0.78  | -29%        | -13%        |
| 6.3     | 0.27   | 0.00802           | 0.68   | 0.00994           | 0.42  | 0.79  | -35%        | -14%        |
| 6.6     | 0.30   | 0.00874           | 0.73   | 0.01069           | 0.41  | 0.80  | -27%        | -8%         |
| Average |        |                   |        |                   |       |       | -22%        | -11%        |

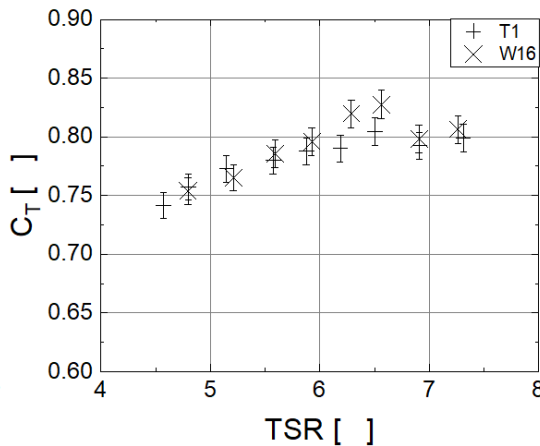
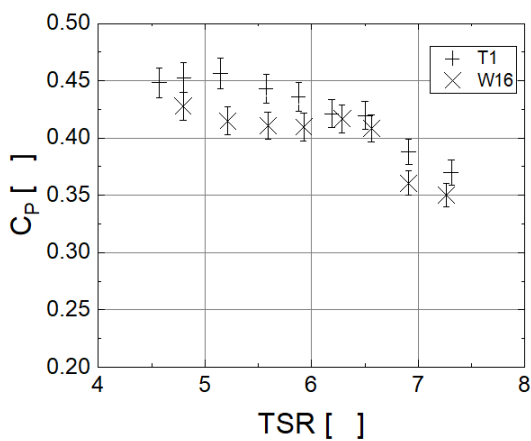


| TSR     | Cp W14 | $\sigma$ (Cp W14) | Ct W14 | $\sigma$ (Ct W14) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.8     | 0.43   | 0.01261           | 0.78   | 0.01140           | 0.45  | 0.76  | -4%         | 2%          |
| 5.2     | 0.42   | 0.01216           | 0.79   | 0.01156           | 0.45  | 0.77  | -8%         | 3%          |
| 5.6     | 0.40   | 0.01180           | 0.80   | 0.01170           | 0.45  | 0.77  | -10%        | 3%          |
| 5.9     | 0.41   | 0.01198           | 0.82   | 0.01205           | 0.44  | 0.78  | -7%         | 5%          |
| 6.3     | 0.36   | 0.01041           | 0.79   | 0.01158           | 0.43  | 0.79  | -17%        | 0%          |
| 6.7     | 0.33   | 0.00972           | 0.80   | 0.01172           | 0.40  | 0.80  | -18%        | 0%          |
| 7.0     | 0.35   | 0.01029           | 0.84   | 0.01234           | 0.39  | 0.80  | -9%         | 5%          |
| 7.3     | 0.34   | 0.00993           | 0.86   | 0.01255           | 0.37  | 0.81  | -7%         | 6%          |
| Average |        |                   |        |                   |       |       | -10%        | 3%          |

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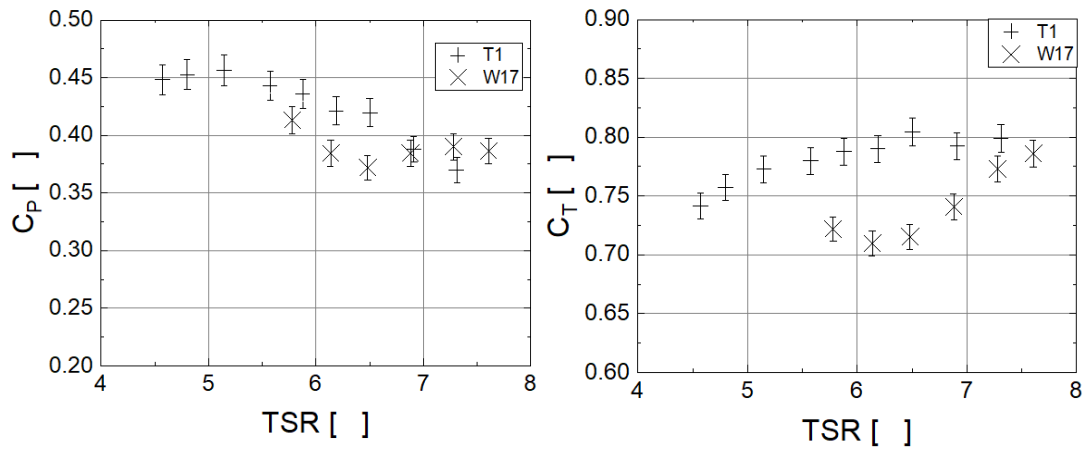


| TSR     | Cp W15 | $\sigma$ (Cp W15) | Ct W15 | $\sigma$ (Ct W15) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.6     | 0.41   | 0.01208           | 0.75   | 0.01100           | 0.45  | 0.76  | -8%         | -1%         |
| 4.9     | 0.42   | 0.01242           | 0.78   | 0.01148           | 0.45  | 0.76  | -6%         | 3%          |
| 5.2     | 0.42   | 0.01224           | 0.79   | 0.01159           | 0.45  | 0.77  | -7%         | 3%          |
| 5.5     | 0.37   | 0.01091           | 0.77   | 0.01132           | 0.45  | 0.77  | -17%        | 0%          |
| 5.9     | 0.37   | 0.01072           | 0.80   | 0.01169           | 0.44  | 0.78  | -17%        | 2%          |
| 6.3     | 0.36   | 0.01064           | 0.83   | 0.01210           | 0.42  | 0.79  | -14%        | 5%          |
| 6.6     | 0.36   | 0.01049           | 0.84   | 0.01231           | 0.41  | 0.79  | -13%        | 6%          |
| 7.0     | 0.31   | 0.00901           | 0.83   | 0.01211           | 0.39  | 0.80  | -20%        | 3%          |
| 7.3     | 0.30   | 0.00869           | 0.84   | 0.01229           | 0.36  | 0.81  | -19%        | 4%          |
| Average |        |                   |        |                   |       |       | -13%        | 3%          |

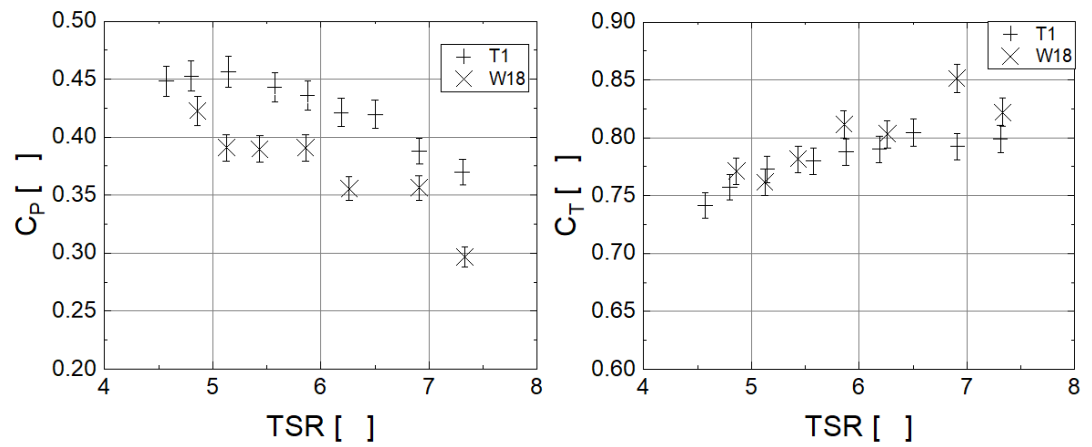


| TSR     | Cp W16 | $\sigma$ (Cp W16) | Ct W16 | $\sigma$ (Ct W16) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.8     | 0.43   | 0.01251           | 0.75   | 0.01105           | 0.45  | 0.76  | -5%         | -1%         |
| 5.2     | 0.41   | 0.01214           | 0.77   | 0.01122           | 0.45  | 0.77  | -8%         | 0%          |
| 5.6     | 0.41   | 0.01201           | 0.79   | 0.01151           | 0.45  | 0.77  | -8%         | 1%          |
| 5.9     | 0.41   | 0.01198           | 0.80   | 0.01167           | 0.44  | 0.78  | -7%         | 2%          |
| 6.3     | 0.42   | 0.01219           | 0.82   | 0.01201           | 0.43  | 0.79  | -2%         | 4%          |
| 6.6     | 0.41   | 0.01195           | 0.83   | 0.01213           | 0.41  | 0.79  | -1%         | 4%          |
| 6.9     | 0.36   | 0.01055           | 0.80   | 0.01170           | 0.39  | 0.80  | -8%         | 0%          |
| 7.3     | 0.35   | 0.01024           | 0.81   | 0.01182           | 0.37  | 0.81  | -5%         | 0%          |
| Average |        |                   |        |                   |       |       | -5%         | 1%          |



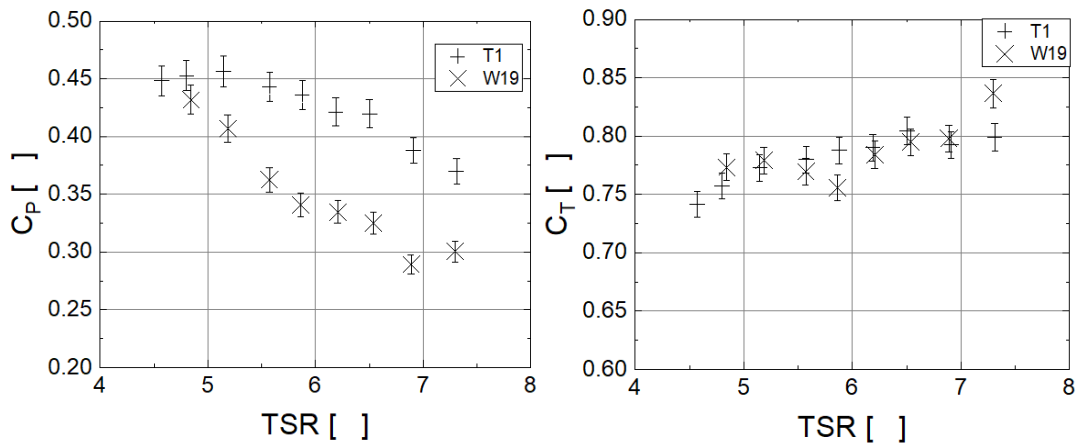


| TSR     | Cp W17 | $\sigma$ (Cp W17) | Ct W17 | $\sigma$ (Ct W17) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |     |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|-----|
| 5.8     | 0.41   | 0.01209           | 0.72   | 0.01058           | 0.44  | 0.78  | -7%         | -7%         |     |
| 6.1     | 0.38   | 0.01124           | 0.71   | 0.01040           | 0.43  | 0.79  | -11%        | -10%        |     |
| 6.5     | 0.37   | 0.01087           | 0.72   | 0.01048           | 0.42  | 0.79  | -11%        | -10%        |     |
| 6.9     | 0.38   | 0.01125           | 0.74   | 0.01086           | 0.39  | 0.80  | -2%         | -7%         |     |
| 7.3     | 0.39   | 0.01141           | 0.77   | 0.01133           | 0.37  | 0.81  | 7%          | -4%         |     |
| 7.6     | 0.39   | 0.01130           | 0.79   | 0.01152           | 0.34  | 0.81  |             |             |     |
| 8.0     | 0.33   | 0.00978           | 0.76   | 0.01116           | 0.30  | 0.82  |             |             |     |
| Average |        |                   |        |                   |       |       |             | -5%         | -8% |

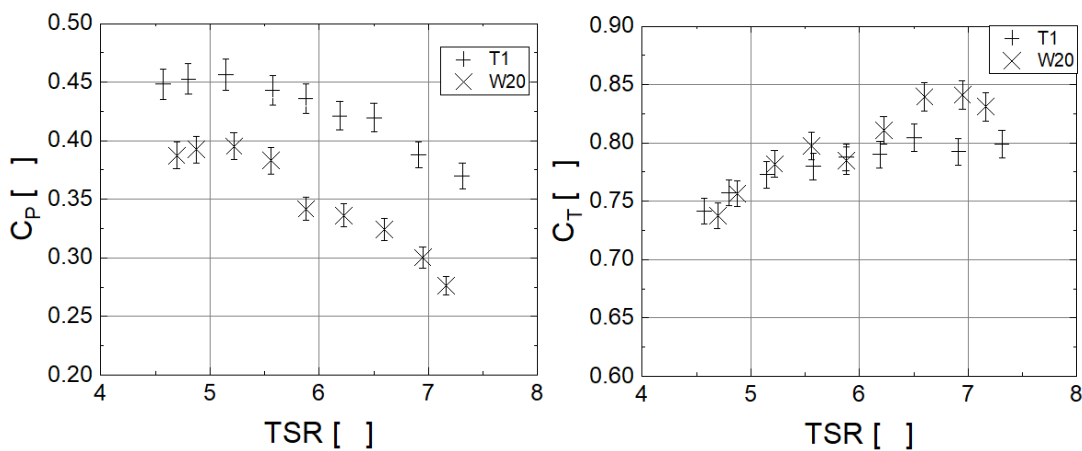


| TSR     | Cp W18 | $\sigma$ (Cp W18) | Ct W18 | $\sigma$ (Ct W18) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |    |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|----|
| 4.9     | 0.42   | 0.01236           | 0.77   | 0.01130           | 0.45  | 0.76  | -6%         | 1%          |    |
| 5.1     | 0.39   | 0.01144           | 0.76   | 0.01116           | 0.45  | 0.77  | -13%        | -1%         |    |
| 5.4     | 0.39   | 0.01139           | 0.78   | 0.01146           | 0.45  | 0.77  | -13%        | 1%          |    |
| 5.9     | 0.39   | 0.01144           | 0.81   | 0.01190           | 0.44  | 0.78  | -11%        | 4%          |    |
| 6.3     | 0.36   | 0.01040           | 0.80   | 0.01177           | 0.43  | 0.79  | -17%        | 2%          |    |
| 6.9     | 0.36   | 0.01042           | 0.85   | 0.01248           | 0.39  | 0.80  | -9%         | 6%          |    |
| 6.9     | 0.36   | 0.01042           | 0.85   | 0.01248           | 0.39  | 0.80  | -9%         | 6%          |    |
| 7.3     | 0.30   | 0.00868           | 0.82   | 0.01205           | 0.36  | 0.81  | -18%        | 2%          |    |
| Average |        |                   |        |                   |       |       |             | -12%        | 3% |

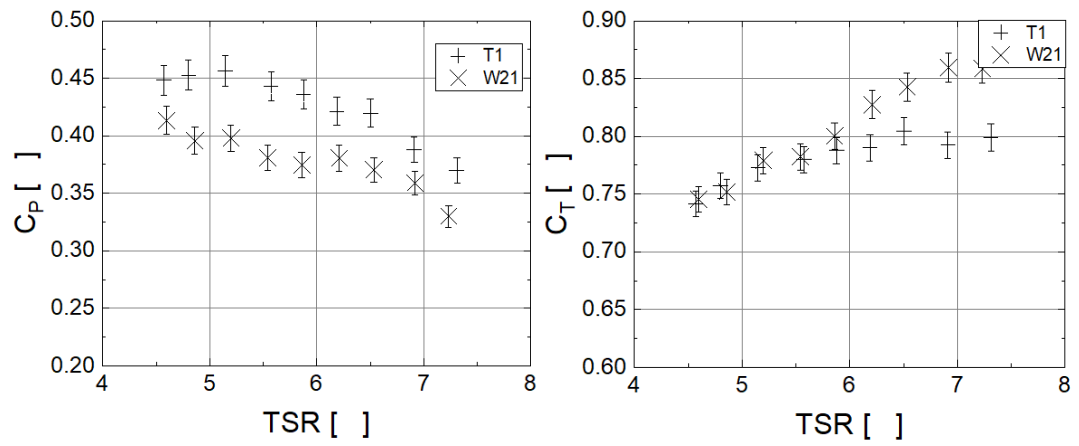
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| TSR     | Cp W19 | $\sigma$ (Cp W19) | Ct W19 | $\sigma$ (Ct W19) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.8     | 0.43   | 0.01263           | 0.77   | 0.01134           | 0.45  | 0.76  | -4%         | 2%          |
| 5.2     | 0.41   | 0.01190           | 0.78   | 0.01142           | 0.45  | 0.77  | -10%        | 2%          |
| 5.6     | 0.36   | 0.01061           | 0.77   | 0.01128           | 0.45  | 0.77  | -19%        | -1%         |
| 5.9     | 0.34   | 0.00996           | 0.76   | 0.01108           | 0.44  | 0.78  | -23%        | -3%         |
| 6.2     | 0.33   | 0.00979           | 0.78   | 0.01149           | 0.43  | 0.79  | -22%        | 0%          |
| 6.5     | 0.33   | 0.00951           | 0.79   | 0.01165           | 0.41  | 0.79  | -21%        | 0%          |
| 6.9     | 0.29   | 0.00846           | 0.80   | 0.01170           | 0.39  | 0.80  | -27%        | 0%          |
| 7.3     | 0.30   | 0.00878           | 0.84   | 0.01226           | 0.36  | 0.81  | -18%        | 3%          |
| Average |        |                   |        |                   |       |       | -18%        | 0%          |



| TSR     | Cp W20 | $\sigma$ (Cp W20) | Ct W20 | $\sigma$ (Ct W20) | Cp T1 | Ct T1 | diff Cp (%) | diff Ct (%) |
|---------|--------|-------------------|--------|-------------------|-------|-------|-------------|-------------|
| 4.7     | 0.39   | 0.01133           | 0.74   | 0.01081           | 0.45  | 0.76  | -14%        | -3%         |
| 4.9     | 0.39   | 0.01148           | 0.76   | 0.01109           | 0.45  | 0.76  | -13%        | -1%         |
| 5.2     | 0.40   | 0.01156           | 0.78   | 0.01146           | 0.45  | 0.77  | -12%        | 2%          |
| 5.6     | 0.38   | 0.01120           | 0.80   | 0.01168           | 0.45  | 0.77  | -14%        | 3%          |
| 5.9     | 0.34   | 0.01000           | 0.78   | 0.01150           | 0.44  | 0.78  | -22%        | 1%          |
| 6.2     | 0.34   | 0.00983           | 0.81   | 0.01188           | 0.43  | 0.79  | -21%        | 3%          |
| 6.6     | 0.32   | 0.00948           | 0.84   | 0.01231           | 0.41  | 0.79  | -21%        | 6%          |
| 6.9     | 0.30   | 0.00878           | 0.84   | 0.01233           | 0.39  | 0.80  | -23%        | 5%          |
| 7.2     | 0.28   | 0.00808           | 0.83   | 0.01218           | 0.37  | 0.81  | -26%        | 3%          |
| Average |        |                   |        |                   |       |       | -19%        | 2%          |



| TSR | Cp W21 | $\sigma$ (Cp W21) | Ct W21 | $\sigma$ (Ct W21) | Cp T1 | Ct T1   | diff Cp (%) | diff Ct (%) |
|-----|--------|-------------------|--------|-------------------|-------|---------|-------------|-------------|
| 4.6 | 0.41   | 0.01209           | 0.75   | 0.01093           | 0.45  | 0.76    | -8%         | -1%         |
| 4.9 | 0.40   | 0.01157           | 0.75   | 0.01102           | 0.45  | 0.76    | -12%        | -1%         |
| 5.2 | 0.40   | 0.01163           | 0.78   | 0.01142           | 0.45  | 0.77    | -12%        | 2%          |
| 5.5 | 0.38   | 0.01113           | 0.78   | 0.01147           | 0.45  | 0.77    | -15%        | 1%          |
| 5.9 | 0.37   | 0.01096           | 0.80   | 0.01173           | 0.44  | 0.78    | -15%        | 3%          |
| 6.2 | 0.38   | 0.01113           | 0.83   | 0.01213           | 0.43  | 0.79    | -11%        | 5%          |
| 6.5 | 0.37   | 0.01083           | 0.84   | 0.01235           | 0.41  | 0.79    | -11%        | 6%          |
| 6.9 | 0.36   | 0.01050           | 0.86   | 0.01260           | 0.39  | 0.80    | -8%         | 7%          |
| 7.2 | 0.33   | 0.00965           | 0.86   | 0.01259           | 0.37  | 0.81    | -11%        | 6%          |
|     |        |                   |        |                   |       | Average | -11%        | 3%          |



## Appendix B Logbook

There were 5 main periods of experiments with the tidal turbine. Table 6-1 contains a daily summary, and more details can be found from Table 6-2 to Table 6-5. The first period was from the 17<sup>th</sup> to the 21<sup>st</sup> of September 2018. The second one from the 20<sup>th</sup> to the 24<sup>th</sup> of March 2019 at the University of Edinburgh for a joint project with Cardiff University and the University of Strathclyde. The third period included the 15<sup>th</sup> and the 17<sup>th</sup> of April 2019, the first day was dedicated to the Group Design Project 38 of Master's students. The last periods comprised from the 10<sup>th</sup> to the 14<sup>th</sup> of May and from the 8<sup>th</sup> to the 12<sup>th</sup> of July 2019. During that time, nearly 500 test runs were performed, the mounting time was halved to around 2-3 hours and the characterisation of winglets in the towing tank passed from lasting 1 hour to just half an hour. The slip-ring of the turbine got loose after all the tests, and the turbine had to be rewired due to an unidentified loose connection. One generator was burnt after towing the turbine at more than 1.4 *m/s* to test the clutch at the towing tank.

Table 6-1 Logbook Summary

| Project                           | Date                      | Summary   |
|-----------------------------------|---------------------------|---|
| UoS<br>(1 <sup>st</sup><br>Round) | 13/09/2018                | Thrust and torque pre-calibration.  |
|                                   | 14/09/2018                | Drop off turbine at Solent University Towing Tank.                        |
|                                   | 17/09/2018                | First time turbine is mounted. Some pins needed to be cut to fit.         |
|                                   | 18/09/2018                | Deploy turbine, warm-up, repeatability test and $C_p$ and $C_t$ curves.   |
|                                   | 19/09/2018                | Retest T1 and test W2 - W4 and W6. W5 had a 3D printing defect.           |
|                                   | 20/09/2018                | Test W7 and W18 - half curves of W21.                                     |
|                                   | 21/09/2018                | Test 2nd half of W21, W15-W17, and W5. Retest T1, and W15.                |
|                                   | 24/09/2018                | Pick-up turbine at Solent University Towing Tank.                         |
|                                   | 03/10/2018                | Torque and thrust calibration.  |
| FloWave<br>(Joint<br>project)     | 15/03/2019                | Ship 8 boxes with 200-300 kg of equipment to Edinburgh.                   |
|                                   | 18/03/2019                | 7 of 8 boxes arrived. A toolbox went missing.                             |
|                                   | 20/03/2019                | Plug 10 <i>m</i> extension needed, mount turbine and take zeros when dry. |
|                                   | 21/03/2019                | Take new zeros, zeros when wet and Run @ 0.85 <i>m/s</i> and 85, 90 rpm.  |
|                                   | 22/03/2019                | Recheck wires. Run @ 0.85 <i>m/s</i> and 95, 100 rpm.                     |
|                                   | 25/03/2019                | Zeros for day 3. Run @ 0.85 <i>m/s</i> and 105, 110 rpm.                  |
|                                   | 26/03/2019                | The experiment was stopped due to a leak in one of the other turbines.    |
| 03/04/2019                        | Post-testing calibration. |   |
| Group<br>Design<br>Project<br>38  | 08/04/2019                | Pre-testing calibration.  |
|                                   | 11/04/2019                | Drop-off turbine at Solent University Towing Tank.                        |
|                                   | 12/04/2019                | Support GDP 38.   |
|                                   | 15/04/2019                | Mount turbine and run the turbine with the GDP38 set-up.                  |
| UoS<br>(2 <sup>nd</sup><br>round) | 16/04/2019                | It was determined that the turbine had a loose connection.                |
|                                   | 17/04/2019                | Easter Break.   |
|                                   | 22/04/2019                |   |
|                                   | 24/04/2019                | Pick-up turbine.  |

Appendix B

| Project                           | Date       | Summary   |
|-----------------------------------|------------|---|
| Turbine                           | 25/04/2019 | Source new cables and glands to rewire the turbine.                   |
|                                   | 29/04/2019 | Turbine re-wiring.  |
|                                   | 02/05/2019 |   |
|                                   | 07/05/2019 | Zero readings, thrust and torque calibration.                         |
| UoS<br>(3 <sup>rd</sup><br>round) | 09/05/2019 | Drop off turbine at Solent University Towing Tank.                    |
|                                   | 10/05/2019 | Turbine mounted, Dr. Myers blades tested. The slip ring is loose.     |
|                                   | 11/05/2019 | The slip ring is adjusted and other connections checked.              |
|                                   | 13/05/2019 | The turbine is working, the electronic load is used. Generator blows. |
|                                   | 14/05/2019 | Change blown generator and test W5, W9, W12 and W15.                  |
|                                   | 17/05/2019 | Pick up turbine.  |
| UoS<br>(4 <sup>th</sup><br>round) | 03/07/2019 | Torque and thrust calibration.  |
|                                   | 05/07/2019 | Drop off turbine at Solent University Towing Tank.                    |
|                                   | 08/07/2019 | Mount turbine and run at a 3rd speed to make analysis on Re effect.   |
|                                   | 09/07/2019 | Try Re test with W5. Complete T1 curves and run W2-4.                 |
|                                   | 10/07/2019 | Test W6-W19.  |
|                                   | 11/07/2019 | Test W20, W21. Oil flow visualisation for T1, and W2-W4.              |
|                                   | 12/07/2019 | Oil flow visualisation for W5-W21 and the blade. Re effect on W7.     |
|                                   | 15/07/2019 | Pick up turbine.  |

Table 6-2 First set of experiments.

| Place                | Date       | Time        | Activity            | Tip/<br>Winglet | Speed<br>[m/s] | rpm<br>[ ] |        |
|----------------------|------------|-------------|---------------------|-----------------|----------------|------------|--------|
| B21 UoS              | 13/09/2018 | 17:00-18:00 | Pre-calibration     | None            |                |            |        |
| Solent<br>University | 14/09/2018 | 16:00-17:00 | Drop off turbine    |                 |                |            |        |
|                      | 17/09/2018 | 09:00-17:00 | Mounting            |                 |                |            |        |
|                      | 18/09/2018 | 09:00-09:30 | Deploy turbine      |                 |                |            |        |
|                      |            | 09:30-11:00 | Setting-up          | Tips            |                |            |        |
|                      |            | 11:00-12:00 | Test runs           |                 | Several        |            |        |
|                      |            | 13:00-14:00 | Repeatability tests |                 |                |            |        |
|                      |            | 14:00-18:00 | Characterisation    |                 |                | 0.76       | 70-120 |
|                      | 19/09/2018 | 18:00-18:30 | Retrieve turbine    |                 |                |            |        |
|                      |            | 09:00-09:30 | Deploy turbine      | Tips            |                |            |        |
|                      |            | 09:30-10:30 | Run 2               |                 |                | 0.76       | 65-115 |
|                      |            | 10:30-12:00 | Change tips         | W2              |                |            |        |
|                      |            | 12:00-13:00 | Tests               |                 |                | 0.76       | 65-115 |
|                      |            | 13:00-13:30 | Change tips         | W3              |                |            |        |
|                      |            | 13:30-15:30 | Tests               |                 |                | 0.76       | 65-115 |
|                      |            | 15:30-16:00 | Change tips         | W4              |                |            |        |
|                      |            | 16:00-17:00 | Tests               |                 |                | 0.76       | 65-115 |
|                      |            | 17:00-17:30 | Change tips         | W6              |                |            |        |
|                      | 20/09/2018 | 17:30-18:30 | Tests               |                 |                | 0.76       | 55-100 |
|                      |            | 18:30-19:00 | Retrieve turbine    |                 |                |            |        |
|                      |            | 09:00-09:30 | Deploy turbine      | W7              |                |            |        |
| 09:30-11:00          |            | Tests       |                     |                 | 0.76           | 65-125     |        |
| 11:30-12:00          |            | Change tips | W18                 |                 |                |            |        |
| 12:00-13:00          |            | Tests       |                     |                 | 0.76           | 60-120     |        |

| Place | Date       | Time        | Activity         | Tip/<br>Winglet | Speed<br>[m/s] | rpm<br>[ ] |
|-------|------------|-------------|------------------|-----------------|----------------|------------|
|       |            | 14:00-14:30 | Change tips      | W19             |                |            |
|       |            | 14:30-15:30 | Tests            |                 | 0.76           | 60-110     |
|       |            | 15:30-16:00 | Change tips      | W20             |                |            |
|       |            | 16:00-17:00 | Tests            |                 | 0.76           | 60-115     |
|       |            | 17:00-17:30 | Change tips      | W21             |                |            |
|       |            | 17:30-18:00 | Tests            |                 | 0.76           | 60-100     |
|       |            | 18:00-18:30 | Retrieve turbine |                 |                |            |
|       | 21/09/2018 | 08:30-09:00 | Deploy turbine   | W21             |                |            |
|       |            | 09:00-09:30 | Tests            |                 | 0.76           | 105-120    |
|       |            | 10:00-10:30 | Change tips      | W15             |                |            |
|       |            | 10:30-11:30 | Tests            |                 | 0.76           | 60-120     |
|       |            | 11:30-12:00 | Change tips      | W16             |                |            |
|       |            | 12:00-12:30 | Tests            |                 | 0.76           | 60-125     |
|       |            | 12:30-13:00 | Change tips      | W17             |                |            |
|       |            | 13:00-14:00 | Tests            |                 | 0.76           | 65-125     |
|       |            | 14:00-14:30 | Change tips      | W5              |                |            |
|       |            | 14:30-15:00 | Tests            |                 | 0.76           | 60-110     |
|       |            | 15:00-15:30 | Run 2            |                 | 0.76           | 100-155    |
|       |            | 15:30-16:00 | Change tips      | T1              |                |            |
|       |            | 16:00-16:30 | Run 3            |                 | 0.76           | 100-165    |
|       |            | 16:30-17:00 | Change tips      | W15             |                |            |
|       |            | 17:00-17:30 | Run 2            |                 | 0.76           | 100-165    |
|       |            | 17:30-18:00 | Retrieve turbine |                 |                |            |
|       |            | 18:00-21:00 | Unmounting       |                 |                |            |
|       | 24/09/2018 | 16:00-17:00 | Pick up turbine  |                 |                |            |
| UoS   | 03/10/2020 | 16:00-17:00 | Post-calibration |                 |                |            |

## Appendix B

Table 6-3 Joint projects.

| Place      | Date       | Time        | Activity                          | Tip/<br>Winglets | Speed<br>[m/s] | rpm<br>[ ] |
|------------|------------|-------------|-----------------------------------|------------------|----------------|------------|
| Post room  | 15/03/2019 |             | Ship equipment                    |                  |                |            |
| FloWave,   | 18/03/2019 |             | 7/8 boxes arrived                 |                  |                |            |
| Edinburgh  | 20/03/2019 | 09:00-13:00 | Mounting                          |                  |                |            |
| (Joint     |            | 13:30-14:00 | Lower platform                    |                  |                |            |
| project)   |            | 14:00-14:30 | Zeros when dry                    |                  |                |            |
|            |            | 16:30-17:00 | Lift platform                     |                  |                |            |
|            | 21/03/2019 | 09:00-12:00 | Place turbine and lower platform  |                  |                |            |
|            |            | 12:30-13:00 | New zeros                         |                  |                |            |
|            |            | 13:00-14:00 | Running the tank                  |                  |                |            |
|            |            | 14:00-15:00 | Zeros when wet                    |                  |                |            |
|            |            | 15:00-16:00 | Runs 1-4                          | Tips             | 0.85           | 85-90      |
|            |            | 16:00-17:00 | Lift platform                     |                  |                |            |
|            | 22/03/2019 | 09:00-12:00 | Rewire turbine and lower platform |                  |                |            |
|            |            | 12:00-13:00 | Zeros2 when wet                   |                  |                |            |
|            |            | 13:00-15:00 | Runs 5-8                          |                  | 0.85           | 95-100     |
|            |            | 15:00-      | Lift platform                     |                  |                |            |
|            | 25/03/2019 | 09:00-09:30 | Zeros dry day 3                   |                  |                |            |
|            |            | 09:30-10:30 | Lower platform                    |                  |                |            |
|            |            | 10:30-13:00 | Runs 9-12                         |                  | 0.85           | 105-110    |
|            | 26/03/2019 | 09:00-12:00 | Preparation and lowering platform |                  |                |            |
|            |            | 12:00-12:30 | Zeros wet day 4                   |                  |                |            |
|            |            | 13:30-14:00 | Last run                          |                  | 0.85           | -          |
|            |            | 14:00-17:00 | Unmounting                        |                  |                |            |
|            | 28/03/2019 |             | Equipment collection              |                  |                |            |
| UoS        | 29/03/2019 |             | Equipment arrived                 |                  |                |            |
|            | 03/04/2019 | 16:00-17:00 | Post-testing calibration          |                  |                |            |
|            | 08/04/2019 | 14:00-16:00 | Pre-testing calibration           |                  |                |            |
| Solent     | 11/04/2019 | 16:00-17:00 | Drop off turbine                  |                  |                |            |
| University | 12/04/2019 | 09:00-17:00 | Support                           |                  |                |            |
| (Group     | 15/04/2019 | 09:00-10:30 | Mount turbine                     |                  |                |            |
| Design     |            | 10:30-11:00 | Warm up and zero readings         |                  |                |            |
| Project    |            | 11:00-12:00 | Runs 1-2 Setting 1                | Tips             | 0.7            | 60-70      |
| 38)        |            | 12:00-12:30 | Zeros midday                      |                  |                |            |
|            |            | 12:30-13:00 | Runs 3-6 Setting 1                |                  | 0.7            | 75-90      |
|            |            | 13:00-13:30 | Zeros after lunchtime             |                  |                |            |
|            |            | 13:30-14:30 | Setting 2                         |                  | 0.7            | 55-95      |
|            |            | 14:30-15:30 | Setting 3                         |                  | 0.7            | 55-95      |
|            |            | 16:00-16:30 | Setting 4                         |                  | 0.7            | 55-95      |
|            |            | 17:00-17:30 | Setting 5                         |                  | 0.7            | 55-95      |
|            |            | 17:30-18:00 | Lift platform                     |                  |                |            |
|            |            | 19:00-19:30 | Zeros after testing               |                  |                |            |



Table 6-4 Second and third round of experiments.

| Place                | Date       | Time        | Activity             | Tip/<br>Winglets    | Speed<br>[m/s]                               | rpm<br>[ ] |        |        |
|----------------------|------------|-------------|----------------------|---------------------|--|------------|--------|--------|
| Solent<br>University | 16/04/2019 | 08:00-8:30  | Lower turbine        |                     |  |            |        |        |
|                      |            | 08:30-09:00 | Zeros                |                     |  |            |        |        |
|                      |            | 09:00-10:00 | Baseline             | Tips                | 0.7  | 60-        |        |        |
|                      |            | 10:00-11:00 | Baseline 2           |                     | 0.76   | -120       |        |        |
|                      |            | 11:00-11:30 | Baseline 3           |                     | 0.76   |            |        |        |
|                      |            | 11:30-12:00 | Baseline 4th attempt |                     | 0.76   | Loose c.   |        |        |
|                      |            | 12:00-12:30 | Baseline 5           |                     | 0.76   | 80-120     |        |        |
|                      |            | 12:30-13:00 | Repeat baseline      |                     | 0.76   | 80-120     |        |        |
|                      |            | 13:00-13:30 | Re-repeat baseline   |                     | 0.76   | 80-120     |        |        |
|                      |            | 13:30-14:00 | Change tips          | W5                  |  |            |        |        |
|                      |            | 14:30-16:00 | Test                 |                     | 0.76   | 65-110     |        |        |
|                      |            | 16:00-16:30 | Change tips          | Tips                |  |            |        |        |
|                      |            | 14:30-17:00 | Test                 |                     | 0.76   | 70-120     |        |        |
|                      |            | 17:00-17:30 | Re-test              |                     | 0.76   | 70-130     |        |        |
|                      |            | 17:30-18:00 | Lift turbine         |                     |  |            |        |        |
|                      |            | 18:00-20:00 | Unmount turbine      |                     |  |            |        |        |
|                      |            | 17/04/2019  |                      |                     |  |            |        |        |
|                      |            | 22/04/2019  |                      | Easter Break        |  |            |        |        |
|                      |            | 24/04/2019  | 16:00-17:00          | Pick-up turbine     |  |            |        |        |
|                      |            | UoS         | 07/05/2019           | 16:00-16:15         | Zero readings, thrust and torque calibration |            |        |        |
| Solent<br>University | 09/05/2019 | 16:00-17:00 | Drop off turbine     |                     |  |            |        |        |
|                      |            | 10/05/2019  | 08:00-11:00          | Mount turbine       |  |            |        |        |
|                      |            | 11:00-11:30 | Warm up and zeros    |                     |  |            |        |        |
|                      |            | 11:30-12:00 | Baseline             | Tips                | 0.76   | 65-115     |        |        |
|                      |            | 12:00-12:30 | Change blades        | Dr. Myers           | 0.76   | 100-120    |        |        |
|                      |            | 12:30-13:00 | Lift turbine         |                     |  |            |        |        |
|                      |            | 11/05/2019  | 12:00-15:00          | Check connections   |  |            |        |        |
|                      |            | 13/05/2019  | 08:30-09:00          | Lower turbine       | Tips   |            |        |        |
|                      |            |             | 09:00-09:30          | Warm up and zeros   |  |            |        |        |
|                      |            |             | 09:30-10:00          | Baseline            |  | 0.76       | 65-120 |        |
|                      |            |             | 10:00-10:30          | Recheck connections |  |            |        |        |
|                      |            |             | 10:30-13:00          | Recheck baseline    |  | 0.76       | 65-120 |        |
|                      |            |             | 14:00-14:30          | Baseline speed 2    |  | 0.85       | 80-135 |        |
|                      |            |             | 15:00-16:00          | Baseline*           |  | 0.76       | 65-100 |        |
|                      |            |             | 16:00-17:00          | Repeatability       |  | 0.76       | 80     |        |
|                      |            |             | 17:00-17:30          | Lift turbine        |  |            |        |        |
|                      |            |             | 14/05/2019           | 09:00-11:30         | Change blown generator                       |            |        |        |
|                      |            |             |                      | 11:30-12:00         | Baseline speed 2                             |            | 0.85   | 85-110 |
|                      |            |             |                      | 12:00-12:30         | Change tips                                  | W5         |        |        |
|                      |            |             |                      | 12:30-13:30         | Test   |            | 0.76   | 65-110 |
|                      |            |             |                      | 13:30-14:00         | Change tips                                  | W15        |        |        |
|                      |            |             |                      | 15:00-15:30         | Recheck connections                          |            |        |        |
|                      |            | 15:30-16:00 |                      | Test                |  | 0.76       | 65-105 |        |
|                      |            | 16:00-16:30 |                      | Change tips         | W12  |            |        |        |
|                      |            | 16:30-17:00 |                      | Test                |  | 0.76       | 65-100 |        |
|                      |            | 17:00-17:30 |                      | Change tips         | W9   |            |        |        |

## Appendix B

| Place | Date       | Time        | Activity        | Tip/<br>Winglets | Speed<br>[m/s] | rpm<br>[ ] |
|-------|------------|-------------|-----------------|------------------|----------------|------------|
|       |            | 17:30-18:00 | Test            |                  | 0.76           | 65-100     |
|       |            | 18:00-18:30 | Lift turbine    |                  |                |            |
|       |            | 18:30-21:00 | Unmount         |                  |                |            |
|       | 17/05/2019 | 16:00-17:00 | Pick up turbine |                  |                |            |

Table 6-5 Last set of experiments.

| Place      | Date        | Time         | Activity                                    | Tip/<br>Winglets | Speed<br>[m/s] | rpm<br>[ ] |        |
|------------|-------------|--------------|---|------------------|----------------|------------|--------|
| UoS        | 03/07/2019  | 15:00-16:00  | Torque and thrust calibration               |                  |                |            |        |
| Solent     | 05/07/2019  | 14:00-17:00  | Drop off turbine                            |                  |                |            |        |
| University | 08/07/2019  | 09:00-12:30  | Mount turbine                               | Tips             |                |            |        |
|            |             | 13:30-15:00  | Warm-up and zeros with LD300                |                  | 0.76           |            |        |
|            |             | 15:00-16:30  | Baseline speed 3                            |                  | 0.80           | 75-110     |        |
|            |             | 16:30-17:00  | Lift turbine                                |                  |                |            |        |
|            | 09/07/2019  | 10:00-10:30  | Lower turbine                               | W5               |                |            |        |
|            |             | 10:30-11:00  | Test  |                  | 0.76           | 65-100     |        |
|            |             | 11:30-12:00  |   |                  | 0.80           | 75-110     |        |
|            |             | 12:00-12:30  |   |                  | 0.85           | 80-105     |        |
|            |             | 12:30-13:00  | Change tips                                 | T1               |                |            |        |
|            |             | 13:00-13:30  | Retake 2 points                             |                  | 0.80           | 80, 85     |        |
|            |             | 13:30-14:00  | Change tips                                 | W2               |                |            |        |
|            |             | 14:30-15:00  | Test  |                  | 0.76           | 70-105     |        |
|            |             | 15:00-16:00  | Change tips                                 | W3, W4           |                |            |        |
|            |             |              |   | Test             |                | 0.76       | 65-105 |
|            | 10/07/2019  | 17:00-17:30  | Lift turbine                                |                  |                |            |        |
|            |             | 09:00-12:00  | Lower turbine                               | W6-W8            |                |            |        |
|            |             |              | Test  |                  | 0.76           | 70-105     |        |
|            |             | 12:00-14:00  | Change tips                                 | W10, W11         |                |            |        |
|            |             |              | Test  |                  | 0.76           | 65-105     |        |
|            |             | 14:00-16:30  | Change tips                                 | W14, W16         |                |            |        |
|            |             |              | Test  |                  | 0.76           | 70-105     |        |
|            |             | 16:30-17:00  | Change tips                                 | W17              |                |            |        |
|            |             | 17:00-17:30  | Test  |                  | 0.76           | 75-105     |        |
|            |             | 17:30-19:30  | Change tips                                 | W18, W19         |                |            |        |
|            |             |              | Test  |                  | 0.76           | 70-105     |        |
|            | 19:30-20:00 | Lift turbine |   |                  |                |            |        |
|            | 11/07/2019  | 10:00-10:30  | Lower turbine                               | W20              |                |            |        |
|            |             | 10:30-11:00  | Test  |                  | 0.76           | 70-105     |        |
|            |             | 11:00-14:30  | Change tips                                 | W21, T1          |                |            |        |
|            |             |              | Test  |                  | 0.76           | 65-105     |        |
|            |             | 14:30-17:00  | Prepare turbine for oil flow visualisation. |                  |                |            |        |
|            |             | 17:00-17:30  | Test  |                  | 0.76           | 75         |        |
|            |             | 17:00-18:30  | Change tips                                 | W2-W4            |                |            |        |
|            |             |              | Paint test                                  |                  | 0.76           | 75         |        |
|            | 18:30-19:00 | Lift turbine |   |                  |                |            |        |

| Place | Date       | Time        | Activity            | Tip/<br>Winglets | Speed<br>[m/s] | rpm<br>[ ] |
|-------|------------|-------------|---------------------|------------------|----------------|------------|
|       | 12/07/2019 | 09:00-09:30 | Lower turbine       | W5               |                |            |
|       |            | 09:30-10:00 | Paint test          |                  | 0.76           | 75         |
|       |            | 10:00-13:00 | Change tips         | W6-W14           |                |            |
|       |            |             | Paint test          |                  | 0.76           | 75         |
|       |            | 13:30-18:30 | Change tips         | W15-W21          |                |            |
|       |            |             | Paint test          |                  | 0.76           | 75         |
|       |            | 18:30-19:00 | Change tips         | W7               |                |            |
|       |            | 19:00-19:30 | Test                |                  | 0.80           | 80-110     |
|       |            | 19:30-20:00 | Test                |                  | 0.85           | 90-115     |
|       |            | 20:00-20:30 | Change tips         | T1               |                |            |
|       |            | 20:30-21:00 | Blade paint<br>test |                  | 0.76           | 75         |
|       |            | 21:00-24:00 | Unmount turbine     |                  |                |            |
|       | 15/07/2019 | 16:00-17:00 | Pick up turbine     |                  |                |            |



## Appendix C Example data of a run

The script in LabView indicated the DAQ box to sample at a frequency of 100 Hz. The row labelled 'Untitled' corresponds to the position of the blade in degrees, it is negative as the turbine rotates counter clockwise. 'Untitled 2' is the row where the signal of the torque is recorded as voltage, and 'Untitled 3' is the one of the thrust. From Table 6-6 it can be seen that one turn goes from -352.2 degrees to -356.4., that is 1.01167 revolutions. The time taken is  $06.802361-6.182678=0.619683$  s, 41 points are taken, so the effective sampling rate is around 67 Hz. In practice, it is inside the range of sampling rate which was from 66 to 67 Hz. The resolution is up to 15  $\mu$ V, because the voltage range was 1 V and it is a 16-bit analogue to digital converter ( $1\text{ V}/1^{16}\text{ bit}$ ) (National Instruments, 2009, p. Chapter 4-2). The last row titled 'Comment' is the timestamp of the sample in the format HH:MM:SS.

Table 6-6 Data for one revolution of W16 at 100 rpm.

| Untitled    | Untitled 1 | Untitled 2 | Untitled 3 | Untitled 4 | Comment         |
|-------------|------------|------------|------------|------------|-----------------|
| -352.200000 | -0.109008  | 0.374269   | -0.193113  | -0.165845  | 10:09:06.182678 |
| -3.225000   | -0.340955  | 0.363099   | -0.207897  | -0.289046  | 10:09:06.201599 |
| -9.675000   | -0.519350  | 0.392996   | -0.205269  | -0.510808  | 10:09:06.211570 |
| -22.950000  | -0.604441  | 0.324989   | -0.190156  | -0.419475  | 10:09:06.234199 |
| -32.100000  | -0.165188  | 0.378540   | -0.191142  | -0.031473  | 10:09:06.249116 |
| -37.725000  | -0.295617  | 0.397595   | -0.202312  | -0.301859  | 10:09:06.258088 |
| -49.425000  | -0.358039  | 0.352586   | -0.189499  | -0.316643  | 10:09:06.277949 |
| -59.700000  | -0.397463  | 0.342073   | -0.213154  | -0.326499  | 10:09:06.294968 |
| -69.975000  | -0.196727  | 0.338459   | -0.221039  | -0.145804  | 10:09:06.310785 |
| -77.775000  | 0.199488   | 0.420264   | -0.239108  | 0.045733   | 10:09:06.324923 |
| -90.375000  | 0.094356   | 0.369998   | -0.224981  | -0.135291  | 10:09:06.345831 |
| -96.300000  | -0.562060  | 0.387739   | -0.209869  | -0.601813  | 10:09:06.355764 |
| -103.575000 | -0.095538  | 0.318418   | -0.223010  | -0.010776  | 10:09:06.367729 |
| -113.250000 | -0.043629  | 0.391353   | -0.230895  | -0.126420  | 10:09:06.383718 |
| -121.800000 | -0.516393  | 0.357842   | -0.236152  | -0.524935  | 10:09:06.398103 |
| -127.875000 | -0.108351  | 0.324003   | -0.235494  | -0.033116  | 10:09:06.406684 |
| -135.825000 | -0.248636  | 0.379854   | -0.239765  | -0.335041  | 10:09:06.420601 |
| -144.600000 | -0.586372  | 0.354885   | -0.236809  | -0.499309  | 10:09:06.434736 |
| -155.100000 | -0.426046  | 0.368027   | -0.239765  | -0.294302  | 10:09:06.453135 |
| -161.325000 | -0.000591  | 0.392339   | -0.218739  | -0.016689  | 10:09:06.462625 |
| -171.600000 | -0.262434  | 0.309876   | -0.225638  | -0.331427  | 10:09:06.479575 |
| -180.525000 | -0.479926  | 0.426835   | -0.231881  | -0.414218  | 10:09:06.494606 |
| -188.475000 | 0.013865   | 0.337473   | -0.217753  | 0.010251   | 10:09:06.507542 |
| -197.175000 | -0.006176  | 0.398581   | -0.229252  | -0.123792  | 10:09:06.522461 |
| -205.425000 | -0.522307  | 0.384782   | -0.211840  | -0.571259  | 10:09:06.535426 |
| -214.575000 | -0.305801  | 0.328931   | -0.218411  | -0.130363  | 10:09:06.551383 |
| -221.850000 | 0.003680   | 0.442933   | -0.244365  | -0.078783  | 10:09:06.562801 |
| -235.425000 | 0.043104   | 0.353243   | -0.218411  | -0.118864  | 10:09:06.585741 |
| -241.875000 | -0.588343  | 0.406466   | -0.229581  | -0.595571  | 10:09:06.596709 |

## Appendix C

| Untitled    | Untitled 1 | Untitled 2 | Untitled 3 | Untitled 4 | Comment         |
|-------------|------------|------------|------------|------------|-----------------|
| -250.425000 | -0.335370  | 0.353900   | -0.223010  | -0.179315  | 10:09:06.609708 |
| -259.950000 | 0.043762   | 0.375583   | -0.217096  | -0.046257  | 10:09:06.626546 |
| -269.175000 | -0.226953  | 0.405152   | -0.216111  | -0.321242  | 10:09:06.640270 |
| -278.025000 | -0.622839  | 0.315790   | -0.182600  | -0.492082  | 10:09:06.656417 |
| -284.700000 | -0.016032  | 0.385768   | -0.211840  | 0.047375   | 10:09:06.667388 |
| -294.675000 | 0.000723   | 0.369341   | -0.203626  | -0.132663  | 10:09:06.683374 |
| -305.475000 | -0.292988  | 0.402195   | -0.176029  | -0.322557  | 10:09:06.701297 |
| -312.450000 | -0.441487  | 0.376569   | -0.205926  | -0.421118  | 10:09:06.713267 |
| -321.900000 | -0.125763  | 0.332216   | -0.198370  | -0.045929  | 10:09:06.728261 |
| -328.575000 | -0.337341  | 0.445890   | -0.174058  | -0.351468  | 10:09:06.739528 |
| -341.775000 | -0.183586  | 0.335502   | -0.199027  | -0.156317  | 10:09:06.761469 |
| -347.175000 | -0.244693  | 0.408765   | -0.184571  | -0.218411  | 10:09:06.771445 |
| -356.400000 | 0.063145   | 0.329917   | -0.185228  | 0.019450   | 10:09:06.786452 |
| -6.825000   | -0.041986  | 0.403180   | -0.195742  | -0.189828  | 10:09:06.802361 |

# Appendix D Bending moment calculation for a tsr of 4.5

| pos    | pos root  | c         | Cd        | Cl       | phi      | vloc     | F/(H*Cl,d)  | Fx(H)       | Fy(H)    | Fz(H)  | dH         | Fx        | Facorr    | Fzr       | MxH         | Fy(H)    | Fdy(H)   | Fz(H)    | Fy        | Mfy      | Mfoztor  | Myoztor     | Fyozr       | Mfyoaztor   |             |
|--------|-----------|-----------|-----------|----------|----------|----------|-------------|-------------|----------|--------|------------|-----------|-----------|-----------|-------------|----------|----------|----------|-----------|----------|----------|-------------|-------------|-------------|-------------|
| 0.0280 | 0.0000    |           |           |          |          |          |             |             |          |        |            |           |           |           | 17.2462978  |          |          |          |           |          |          |             |             |             | 3.322362577 |
|        | 0.0040    |           |           |          |          |          |             |             |          |        |            |           |           |           | 17.01864519 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0080    |           |           |          |          |          |             |             |          |        |            |           |           |           | 16.79299259 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0120    |           |           |          |          |          |             |             |          |        |            |           |           |           | 16.56823998 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0160    |           |           |          |          |          |             |             |          |        |            |           |           |           | 16.34348738 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0200    |           |           |          |          |          |             |             |          |        |            |           |           |           | 16.11873477 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0240    |           |           |          |          |          |             |             |          |        |            |           |           |           | 15.89398217 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0280    |           |           |          |          |          |             |             |          |        |            |           |           |           | 15.67472956 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0320    |           |           |          |          |          |             |             |          |        |            |           |           |           | 15.45107696 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0360    |           |           |          |          |          |             |             |          |        |            |           |           |           | 15.22742435 |          |          |          |           |          |          |             |             |             |             |
|        | 0.0400    |           |           |          |          |          |             |             |          |        |            |           |           |           | 15.00377175 |          |          |          |           |          |          |             |             |             |             |
| 0.0426 | 0.1244261 | 0.180006  | 0.565371  | 47.552   | 0.882593 | 48.46211 | 18.88893091 | 6.436962    | 24.92589 | 0.0009 | 0.02246358 | 0.022807  | 0.000972  | 0.000972  | 14.85883046 | 20.21393 | 5.887051 | 14.32628 | 0.012895  | 0.00091  | 0.000549 | 0.000577    | 0.013542783 | 2.79584982  |             |
| 0.0454 | 0.1239629 | 0.180038  | 0.572982  | 46.9043  | 0.888446 | 48.9242  | 19.15246172 | 6.453313    | 25.66577 | 0.0024 | 0.0613169  | 0.06233   | 0.002368  | 0.002368  | 14.75703765 | 20.46985 | 6.037965 | 14.43185 | 0.034559  | 0.002502 | 0.001534 | 0.001612    | 0.036295992 | 2.773625337 |             |
| 0.0482 | 0.1231958 | 0.181206  | 0.581999  | 45.7722  | 0.897694 | 49.63784 | 20.11941056 | 6.445335    | 26.56475 | 0.0036 | 0.0948743  | 0.096455  | 0.004575  | 0.004575  | 14.59073191 | 20.66917 | 6.277902 | 14.39527 | 0.051466  | 0.003388 | 0.002439 | 0.002562    | 0.054051021 | 2.739631702 |             |
| 0.0510 | 0.1221321 | 0.182778  | 0.600909  | 44.3119  | 0.913655 | 50.9736  | 22.09995114 | 6.508375    | 28.68823 | 0.0047 | 0.1394838  | 0.137722  | 0.0071    | 0.0071    | 14.35972164 | 21.5754  | 6.666307 | 14.9089  | 0.076606  | 0.005617 | 0.003364 | 0.003623    | 0.074151959 | 2.685243886 |             |
| 0.0538 | 0.1207818 | 0.183727  | 0.623732  | 42.7865  | 0.935011 | 52.79647 | 24.19882914 | 6.543243    | 30.74207 | 0.0059 | 0.1890544  | 0.183437  | 0.010431  | 0.010431  | 14.06388105 | 22.33512 | 7.08921  | 15.24589 | 0.089483  | 0.007595 | 0.005269 | 0.005344    | 0.083987699 | 2.621148955 |             |
| 0.0566 | 0.1191575 | 0.182231  | 0.645072  | 40.8833  | 0.961519 | 55.27419 | 26.9531586  | 6.594909    | 33.54741 | 0.0070 | 0.2518657  | 0.237711  | 0.015046  | 0.015046  | 13.70696828 | 23.34218 | 7.614235 | 15.72794 | 0.109643  | 0.010009 | 0.006939 | 0.007288    | 0.115149483 | 2.54543388  |             |
| 0.0594 | 0.1172742 | 0.183408  | 0.683004  | 38.9687  | 0.988531 | 58.46496 | 31.04659093 | 6.743649    | 37.7921  | 0.0080 | 0.3035933  | 0.286811  | 0.021851  | 0.021851  | 13.29229628 | 25.11294 | 8.337021 | 16.77592 | 0.134772  | 0.013316 | 0.009542 | 0.010022    | 0.141541253 | 2.45349118  |             |
| 0.0622 | 0.1151492 | 0.13882   | 0.666072  | 41.1118  | 1.04679  | 63.08846 | 37.40035791 | 5.76278     | 43.16312 | 0.0091 | 0.2096436  | 0.2113309 | 0.016912  | 0.016912  | 12.82276746 | 25.18561 | 6.60233  | 15.82381 | 0.077677  | 0.008139 | 0.006164 | 0.006474    | 0.081578589 | 2.352288138 |             |
| 0.0650 | 0.0889    | 0.1128019 | 0.131481  | 0.377767 | 0.384959 | 1.09314  | 67.39658    | 18.8014133  | 5.70125  | 0.0109 | 0.2541595  | 0.258236  | 0.02292   | 0.02292   | 12.30079797 | 26.00393 | 6.89184  | 9.112095 | 0.09128   | 0.010671 | 0.008115 | 0.008523    | 0.095805291 | 2.240122208 |             |
| 0.0678 | 0.0994    | 0.1102527 | 0.164684  | 0.610183 | 0.470729 | 1.8038   | 71.09       | 36.25428101 | 6.615985 | 0.0100 | 0.4602629  | 0.447603  | 0.047312  | 0.047312  | 14.50747    | 9.778184 | 14.72928 | 0.190699 | 0.020506  | 0.015999 | 0.016803 | 0.169054197 | 2.11790605  |             |             |
| 0.0706 | 0.1108    | 0.1075244 | 0.151354  | 0.587934 | 0.32965  | 1.20101  | 77.54796    | 38.49700357 | 6.288497 | 0.0118 | 0.5274836  | 0.536202  | 0.059391  | 0.059391  | 11.1168543  | 24.42764 | 9.910404 | 14.51722 | 0.170984  | 0.023726 | 0.018939 | 0.019889    | 0.179572065 | 1.987345876 |             |
| 0.0734 | 0.1230    | 0.1046402 | 0.142703  | 0.668594 | 29.6575  | 1.26538  | 83.77421    | 48.67343054 | 5.915421 | 0.0126 | 0.6857179  | 0.697052  | 0.085703  | 0.085703  | 10.46603071 | 27.71501 | 10.38873 | 17.32627 | 0.217644  | 0.032853 | 0.026759 | 0.028103    | 0.228575924 | 1.849580171 |             |
| 0.0762 | 0.1209    | 0.1016243 | 0.130336  | 0.671417 | 27.797   | 1.33754  | 90.90305    | 53.99123239 | 5.525209 | 0.0133 | 0.7900808  | 0.803114  | 0.10915   | 0.10915   | 9.78425251  | 28.46273 | 10.48802 | 17.98191 | 0.23871   | 0.039121 | 0.032437 | 0.034067    | 0.25069996  | 1.706304301 |             |
| 0.0790 | 0.1489    | 0.0985013 | 0.0412918 | 0.969223 | 22.9569  | 1.40523  | 97.25383    | 86.79506258 | 1.566311 | 0.0139 | 1.2295043  | 1.249826  | 0.186849  | 0.186849  | 8.077619038 | 36.76529 | 3.697729 | 33.06756 | 0.460119  | 0.061671 | 0.068788 | 0.072243    | 0.483229636 | 1.558932289 |             |
| 0.0818 | 0.1637    | 0.095296  | 0.0300387 | 1.0015   | 20.9522  | 1.48671  | 105.3106    | 98.50054304 | 1.13126  | 0.0145 | 1.46227    | 1.466109  | 0.240024  | 0.240024  | 6.357985457 | 37.71656 | 2.954397 | 34.76216 | 0.503217  | 0.066474 | 0.082384 | 0.090532    | 0.528492962 | 1.411923446 |             |
| 0.0846 | 0.1785    | 0.0920329 | 0.0392736 | 0.976254 | 19.7937  | 1.57576  | 114.2598    | 104.9561757 | 1.13264  | 0.0150 | 1.5807171  | 1.612943  | 0.287833  | 0.287833  | 5.632717009 | 37.77351 | 3.14307  | 34.62644 | 0.51789   | 0.0692   | 0.092419 | 0.097061    | 0.54390326  | 1.267304579 |             |
| 0.0874 | 0.1936    | 0.0887905 | 0.0248859 | 0.946423 | 18.4341  | 1.66919  | 123.6186    | 110.7102032 | 0.993135 | 0.0154 | 1.7149813  | 1.743328  | 0.337957  | 0.337957  | 4.910735507 | 37.76237 | 2.911031 | 34.85074 | 0.535063  | 0.118545 | 0.103903 | 0.108807    | 0.5639388   | 1.236631941 |             |
| 0.0902 | 0.2092    | 0.0854303 | 0.0227119 | 0.944135 | 17.6281  | 1.76459  | 133.2054    | 119.6783641 | 0.914813 | 0.0157 | 1.8889715  | 1.920194  | 0.401624  | 0.401624  | 4.169942074 | 38.02882 | 2.878956 | 35.34987 | 0.552588  | 0.132676 | 0.115116 | 0.120444    | 0.578242753 | 0.991494864 |             |
| 0.0930 | 0.2250    | 0.0821365 | 0.0214814 | 0.935883 | 16.569   | 1.86289  | 142.5216    | 128.3915168 | 0.870221 | 0.0159 | 2.0536459  | 2.087759  | 0.469626  | 0.469626  | 3.50528443  | 38.19655 | 2.924877 | 35.72467 | 0.5660426 | 0.141763 | 0.125071 | 0.132404    | 0.588575697 | 0.862795214 |             |
| 0.0958 | 0.2409    | 0.0788709 | 0.0211842 | 0.922258 | 15.7724  | 1.96394  | 152.1164    | 135.0231603 | 0.876333 | 0.0160 | 2.1778817  | 2.213371  | 0.533274  | 0.533274  | 2.813709265 | 38.13746 | 3.1026   | 35.03486 | 0.561329  | 0.15096  | 0.135243 | 0.142036    | 0.589523285 | 0.742302129 |             |
| 0.0986 | 0.2570    | 0.0756712 | 0.0203528 | 0.912079 | 14.8509  | 2.06548  | 161.4146    | 143.8652728 | 0.841927 | 0.0161 | 2.3293106  | 2.36344   | 0.6207404 | 0.6207404 | 2.40007983  | 38.1476  | 3.1754   | 34.97246 | 0.561903  | 0.160142 | 0.144409 | 0.151662    | 0.590126133 | 0.630818256 |             |
| 0.1014 | 0.2731    | 0.0725382 | 0.0191451 | 0.913343 | 13.9456  | 2.1675   | 170.3942    | 154.3486745 | 0.786196 | 0.0169 | 2.4855708  | 2.526654  | 0.689946  | 0.689946  | 1.920239727 | 38.32783 | 3.166061 | 35.16176 | 0.563362  | 0.16961  | 0.153836 | 0.161562    | 0.591658639 | 0.52361954  |             |
| 0.1042 | 0.2890    | 0.0694947 | 0.0190732 | 0.927456 | 13.0311  | 2.27032  | 178.1       | 161.6501399 | 0.786032 | 0.0180 | 2.5807047  | 2.623236  | 0.758266  | 0.758266  | 1.647526968 | 38.22171 | 3.244347 | 34.89736 | 0.554432  | 0.175779 | 0.160255 | 0.168305    | 0.582380543 | 0.436439044 |             |
| 0.1070 | 0.3048    | 0.0665558 | 0.0182447 | 0.939817 | 12.5241  | 2.37163  | 187.1759    | 171.7252894 | 0.740537 | 0.0187 | 2.7015047  | 2.746157  | 0.837144  | 0.837144  | 1.254047834 | 38.14637 | 3.333709 | 34.81266 | 0.545306  | 0.185101 | 0.166232 | 0.174682    | 0.572695411 | 0.354943724 |             |
| 0.1098 | 0.3204    | 0.0637353 | 0.0178226 | 0.923608 | 11.5244  | 2.47299  | 194.8922    | 175.9947306 | 0.72902  | 0.0194 | 2.7132397  | 2.758086  | 0.884633  | 0.884633  | 1.084682627 | 37.77948 | 3.39612  | 34.38336 | 0.527888  | 0.18901  | 0.16912  | 0.177615    | 0.554462788 | 0.282832488 |             |
| 0.1126 | 0.3355    | 0.0610445 | 0.0174875 | 0.902697 | 11.7852  | 2.57251  | 201.9903    | 178.4924571 | 0.721449 | 0.0190 | 2.8604128  | 2.747416  | 0.914273  | 0.914273  | 0.91110287  | 37.3409  | 3.457446 | 33.78306 | 0.505276  | 0.183692 | 0.169544 | 0.17806     | 0.530655564 | 0.221218376 |             |
| 0.1154 | 0.3503    | 0.0584935 | 0.0171661 | 0.906991 | 11.252   | 2.66842  | 208.2506    | 185.2908286 | 0.713795 | 0.0195 | 2.6920239  | 2.736519  | 0.958562  | 0.958562  | 0.792980274 | 36.8554  | 3.587836 | 33.26757 | 0.481581  | 0.182175 | 0.168091 | 0.177164    | 0.505770438 | 0.169209654 |             |
| 0.1182 | 0.3645    | 0.0560908 | 0.017291  | 0.886197 | 10.9572  | 2.76199  | 213.9409    | 186.1425662 | 0.703157 | 0.0199 | 2.5998648  | 2.643837  | 0.963314  | 0.963314  | 0.690885237 | 36.03817 | 3.631954 | 32.40625 | 0.450917  | 0.179885 | 0.164359 | 0.172615    | 0.473565721 | 0.12622716  |             |
| 0.1210 | 0.3781    | 0.0538429 | 0.0170049 | 0.863188 | 10.6822  | 2.85173  | 218.9352    | 185.702331  | 0.690095 | 0.0193 | 2.4794645  | 2.515323  | 0.9510    |           |             |          |          |          |           |          |          |             |             |             |             |





## Glossary of Terms

|                  |  |
|------------------|--|
| accuracy         | Degree of exactness when using a measuring device.   |
| actuator disc    | Permeable disc allowing the flow to pass through, at the same time it is influenced by the forces on its surface.                                      |
| azimuth          | Angular measurement in a spherical coordinate system. It is the angle between the projected vector and a reference one, in a reference plane.          |
| baseline         | Base standard used for comparisons.  |
| blended          | Smoothly joined.   |
| cant             | Angle measured perpendicular to the incoming flow, positive towards the direction of it, and negative against it.                                      |
| carriage         | Movable part of the tank where the turbine is attached for the tests.  |
| cavitation       | Formation of vapour bubbles in a region of low pressure.   |
| chord            | Length of an airfoil section from the leading to the trailing edge.  |
| curvature radius | Absolute radius: measured with respect to the blade length.<br>Relative radius: with respect to winglet height.  |
| c-type           | Winglets bent two times along its height, resembling a letter C.   |
| dihedral         | Comprising two planes.   |
| downstream       | In the direction of the current.   |
| downwind         | In the direction of the flow.  |
| fins             | Non-straight shape resembling fish fins.   |
| height           | Winglet height: measured with respect to the blade length.   |
| micro-tabs       | Small devices located around 90% chord length from the leading edge, parallel to the airfoil surface, to change the camber distribution of an airfoil. |
| mie              | Related to Mie Univeristy in Japan.  |
| multi-tip        | More than one tip or winglet than can be facing upstream, downstream.  |
| nacelle          | Enclosed part of the turbine, where the main components are located.   |

## Glossary of Terms

|                  |  |
|------------------|--|
| passive-adaptive | Type of blades which geometry changes with different operating conditions due to the material and the way they are constructed.  |
| pitch            | Degree of tilt of the blade with respect to the plane of rotation.   |
| precision        | Exactness of a measuring device.   |
| raked            | A raked wingtip is a blade extension, either bent or not, that has a greater sweep than the rest of the blade.   |
| slot             | Slots are internal channels in the blade located in the lower part, intended to increase the pressure, thus increasing the lift.   |
| span             | Blade length.  |
| spanwise         | From the root to the tip of the blade.   |
| spar             | Internal components of a blade framework.  |
| spiroid          | Type of winglets in spiral shape.  |
| sweep            | Backward inclination of the blade or winglet, contrary to the direction of movement.   |
| tip              | Extreme outer edge of a blade.   |
| toe              | The toe angle is the angle of inclination of the base with respect to zero degrees.  |
| tsr              | Tip speed ratio, is the proportion of the tip speed versus the undisturbed   |
| tubercle         | Blades with tubercles are inspired by the flippers of a whale, that generate enough lift to help them steer in all directions. They are small rounded parts sticking behind the blade, out of the trailing edge. |
| upstream         | Against the current direction.   |
| upwind           | Against the flow direction.  |
| vortex           | A whirling mass of water. In this context formed behind the blade due to the blade/winglet interaction, which produces a resisting force opposite to the rotation of the blade.                                  |
| winglet fence    | A winglet that is very similar to an endplate, facing both sides and backward sweep on both sides.   |

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