### REVIEW



# Portal hypertension in nonalcoholic fatty liver disease: **Challenges and perspectives**

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#### Abstract

Nonalcoholic fatty liver disease (NAFLD) has become the most common chronic liver disease worldwide. NAFLD-related cirrhosis is often complicated by portal hypertension (PHT). Recent evidence showed that portal venous pressure (PVP) starts to rise in the early stages of NAFLD, even in absence of advanced fibrosis or cirrhosis. However, the precise pathological mechanisms of this process are still poorly understood. Lipid accumulation, hepatocellular ballooning, sinusoidal endothelial cell dysfunction, capillarization, microthrombosis, increased angiogenesis, and pericellular fibrosis may all be involved in the early development of increased PVP in NAFLD. Direct measurement of PHT is invasive and impractical in noncirrhotic NAFLD individuals and may also underestimate its severity. Thus, the development and validation of noninvasive and more accurate measurements, including new serum biomarkers, scoring models, and imaging techniques (such as ultrasonography, elastography, and magnetic resonance imaging), are urgently needed. Owing to the increasing morbidity, challenges in the prevention and management of PHT in NAFLD are unprecedented. This review article aims to briefly discuss these challenges and summarizes the mechanisms, diagnosis, and emerging therapies for PHT in people with NAFLD.

#### **KEYWORDS**

metabolic dysfunction-associated fatty liver disease, nonalcoholic fatty liver disease, novel noninvasive measurement, portal hypertension

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### **1** | INTRODUCTION

Nonalcoholic fatty liver disease (NAFLD) has emerged as one of the commonest causes of chronic liver disease, affecting up to 25%–30% of the global population.<sup>1–3</sup> The histological spectrum of NAFLD ranges from nonalcoholic fatty liver (NAFL) to nonalcoholic steatohepatitis (NASH), advanced fibrosis, and cirrhosis.<sup>4</sup> From 10% to 25% of patients with NASH progress to cirrhosis within 8-14 years, and the risks of developing portal hypertension (PHT), liver failure, and hepatocellular carcinoma are significantly increased.<sup>5</sup> Increasing evidence suggests that patients with NAFLD may develop increased portal pressure even in the early stages of NAFLD when advanced fibrosis or cirrhosis is absent.<sup>6-10</sup> Recent evidence also suggests that increased portal venous pressure (PVP) can promote fibrosis development in NAFLD.<sup>11,12</sup> These data suggest that increased PVP is not just a consequence of NAFLD, but may also contribute to the pathogenesis of NAFLD.<sup>8</sup> However, the underlying pathophysiological mechanisms for PHT remain incompletely understood.

Measurement of the hepatic venous pressure gradient (HVPG) is the most commonly used method for invasively detecting PHT, and HVPG is calculated as the difference between free hepatic venous pressure (FHVP) and wedged hepatic venous pressure (WHVP).<sup>5,13</sup> However, recent observations suggest that HVPG may underestimate the true PVP in patients with NAFLD.<sup>9,14</sup> Furthermore, liver decompensation may develop at lower HVPG in patients with NAFLD than in those with viral liver disease, underscoring differences in disease pathophysiology.<sup>15</sup> Therefore, this gold standard invasive method might not be accurate for assessing PHT in people with NAFLD. Thus, there are currently a large number of questions and challenges that arise in the detection of PHT in this patient population. These questions include (a) What is the underlying pathological mechanism? (b) How does the increase in portal pressure contribute to NAFLD progression? (c) How can subclinical PHT be detected? (d) How should patients with PHT be managed? (e) What treatment is appropriate and what candidate drugs are available?

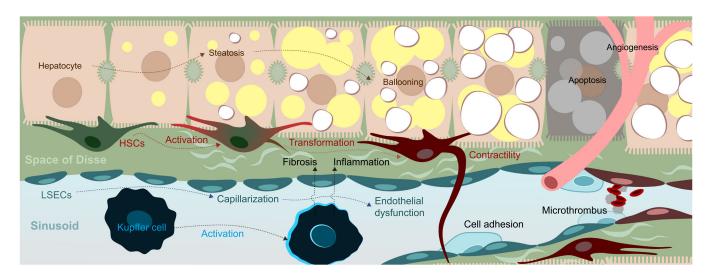
This review article briefly discusses and summarizes recently discovered mechanisms, novel measurements for assessment and therapies for PHT, and the review also provides our perspective as to how best to address these coming challenges.

### 2 | CHALLENGE IN PATHOPHYSIOLOGY: THE PATHOLOGICAL MECHANISMS ARE UNCLEAR

The main pathophysiological mechanisms of PHT in NAFLD-related cirrhosis appear to be similar to other etiologies of cirrhosis, such as viral hepatitis, autoimmune liver disease, and schistosomiasis.<sup>6</sup> The increase in portal pressure is mainly due to increased intrahepatic vascular resistance (IHVR).<sup>7</sup> However, in contrast to other etiologies, clinically significant portal hypertension (CSPH) may develop early in the natural history of NAFLD, even before the development of advanced fibrosis or cirrhosis. Changes in hepatic blood flow may also occur during the early stages of hepatic fibrosis in NAFLD patients because of impaired outflow in liver sinusoids.<sup>16</sup> Several mechanisms that may impair sinusoidal hemostasis and contribute to the rise of IHVR in NAFLD are schematically illustrated in Figure 1.

# 2.1 | Hepatocellular enlargement and sinusoidal narrowing

Hepatocellular enlargement, caused by lipid accumulation (steatosis) and ballooning, is thought to play a key



**FIGURE 1** Due to steatosis and hepatocellular ballooning, enlarged fatty hepatocytes reduce sinusoidal flow and promote endothelial dysfunction, contributing to further shear stress. In response to these structural and functional changes, LSECs become defenestrated and develop a basement membrane (capillarization) that causes hypoxia and hepatocellular injury. HSCs reside in the space of Disse and Kupffer cells in the sinusoids. Defenestrated LSECs stimulate the contractility and transformation of HSCs into myofibroblasts leading to increased liver fibrogenesis and angiogenesis. Hepatocellular injury and apoptosis activate Kupffer cells leading to advancing inflammation, fibrosis, and angiogenesis, which further narrows the sinusoid. Augmented inflammatory changes include the recruitment of additional cellular components such as polymorphonuclear leukocytes promoting adhesion and microthrombosis. HSCs, hepatic stellate cells; LSECs, liver sinusoidal endothelial cells.

role in the development of both increased IHVR and PHT in the early stages of NAFLD. This process of hepatocellular enlargement reduces the space of liver sinusoids, hindering circulation from sinusoids to liver cells because the diameter of swollen hepatocytes is enlarged about 1.5–2.0 times and causes sinusoidal narrowing, which may induce sinusoidal endothelial cell dysfunction.<sup>8,17</sup> Furthermore, steatotic hepatocytes are susceptible to lipotoxicity, which affects several cellular signaling pathways, and induces reactive oxygen species formation and endoplasmic reticulum stress.<sup>18</sup> Furthermore, the ballooned hepatocytes, as a characteristic feature of lipotoxicity-induced NASH, may activate the sonic hedgehog signaling pathway, which promotes liver fibrogenesis.<sup>19</sup>

# 2.2 | Sinusoidal endothelial cell dysfunction

A disrupted balance between vasodilator and vasoconstrictor products of sinusoidal vessels has been observed in the early stages of NAFLD.<sup>20-22</sup> Liver sinusoidal endothelial cells (LSECs) play a key role in both sensing and regulating hepatic blood flow, not only regulating portal and hepatic vascular resistance but also inhibiting the activation of Kupffer cells and hepatic stellate cells (HSCs).<sup>23</sup> Endothelial nitric oxide synthase (eNOS) is the key vasodilator that regulates sinusoidal blood flow and LSECs are the main source of nitric oxide (NO).<sup>23</sup> In an experimental study, Wistar Kyoto rats were fed with cafeteria diet (65% of fat) to develop liver steatosis and metabolic syndrome features.<sup>8</sup> Later, the cafeteria diet-fed rats developed higher in vivo hepatic vascular resistance and greater portal perfusion pressure. Because the decrease of endothelium-dependent vasodilation is due to a decrease in eNOS phosphorylation and NOS activity, the results of this experimental study suggest that liver steatosis may impair the function of LSECs and limit NO release, causing endothelial cell dysfunction in the early stages of NASH.<sup>8</sup> HSCs, located in the space of Disse, are inhibited by NO release from LSECs in healthy livers.<sup>24</sup> HSCs are activated by liver injury and cause contraction of the sinusoids and decrease sinusoidal blood flow. Kupffer cells may also regulate liver injury, inflammation, and fibrosis, as well as participate in the pathogenesis of NAFLD.<sup>25</sup> Kupffer cells are also activated by injured hepatocytes and activate-HSCs.<sup>24</sup> Activated Kupffer cells release multiple chemokines, eicosanoid derivatives, and reactive oxygen species, which may further aggravate liver inflammation.<sup>26</sup>

# 2.3 | Sinusoidal capillarization, fibrosis, and angiogenesis

Sinusoidal capillarization of LSEC, as an early manifestation of endothelial dysfunction in NAFLD, happens before the synergistic activation of Kupffer cells and HSCs.<sup>27</sup> The capillarization of LSEC that leads to sinusoidal dysfunction may promote liver steatosis by blocking the transfer of

chylomicron remnants from portal vessels to hepatocytes, potentially stimulating hepatic cholesterol, and triglyceride synthesis.<sup>28</sup> In response to liver injury, LSECs undergo gradual defenestration by forming a perisinusoidal basement membrane with deposits of extracellular matrix proteins, such as fibronectin and laminin, in the space of Disse. Capillarization impairs hepatic perfusion and induces chronic hypoxia, which may aggravate steatosis and promote the progression of NAFLD to NASH and cirrhosis, leading to activation of hypoxia-inducible factors and increased transcriptional gene regulation of angiogenesis and proliferation.<sup>29-31</sup> Increasing evidence suggests that sinusoidal capillarization and angiogenesis play a key role in the progression of NAFLD. The vascular endothelial growth factor is the key regulator in this process, which is activated by the hypoxia-inducible factor and may further promote fibrosis development.<sup>17</sup> Chronic hypoxia activates HSCs, collagen begins to accumulate in the space of Disse and with capillarization and hypoxia development, fibrous bridging occurs near the hepatic sinusoids, eventually leading to the formation of cirrhotic nodules.<sup>28</sup> Moreover, it is known that fibrosis in NAFLD usually begins to develop in the intercellular space around the central vein and in the perisinusoidal area of zone 3, where HSCs are the main driver of the process.<sup>4,32</sup> This fibrosis pattern is different from other forms of chronic liver disease and potentially causes PHT before patients with NAFLD develop overt cirrhosis.<sup>31</sup>

### 2.4 | Cell adhesion and microthrombosis

In NAFLD, enlarged hepatocytes (caused by steatosis and ballooning) initially reduce sinusoidal flow in zone 3, and this effect then spreads across the entire lobule, thereby resulting in increased shear stress in LSECs. Furthermore, LSECs respond by facilitating the adhesion of blood cells. When the sinusoids narrow enough to trap blood cells, such as leukocytes, the effect usually occurs first in the centrilobular region, constraining the sinusoidal space and exacerbating the negative impact of steatosis.<sup>33</sup>

It has been hypothesized that microthrombosis could also increase IHVR in NAFLD. In a cohort of obese/ overweight individuals, it was found that patients with biopsy-proven NASH had higher plasma plasminogen activator inhibitor-1 (PAI-1) concentrations than those with normal livers.<sup>34</sup> Levels of PAI-1 increased significantly with the histological severity of NAFLD, whereas other coagulation factors were unaltered. An increase in fibrinogen, factor VIII, and von Willebrand factor and a decrease in anti-thrombin III were correlated with metabolic features, including fasting C-peptide and waist circumference, but not with liver histology. This finding might, in part, explain why microthrombosis and PHT are associated with NAFLD.<sup>34</sup>

## 2.5 | Microbiota and gut-liver axis

Gut microbiota and bacterial translocation may also play an important role in the development of NAFLDrelated cirrhosis and its complications, such as PHT, spontaneous bacterial peritonitis, and hepatic encephalopathy.<sup>35-37</sup> Gut microbiota products, such as secondary bile acids, may affect intestinal permeability and PHT, mainly through the farnesoid-X receptor (FXR) and the G protein-coupled bile salt receptor-1, which mediate antisteatotic, anti-inflammatory, and antifibrotic effects. In contrast, cirrhosis and PHT affect gut microbiota and increase translocation.<sup>37</sup> Therefore, pharmacological regulation of the gut-liver axis may be an effective strategy for the prevention and management of NAFLD-related PHT, although this needs to be tested in randomized clinical trials.

### 2.6 | Genetic mechanisms

Inherited risk factors predisposing to NAFLD development in individuals with metabolic risk factors may directly contribute to fibrogenesis and PHT independently of their effect on hepatic fat accumulation.<sup>38</sup> In particular, the common rs738,409 polymorphism encoding for the p.I148M variant of PNPLA3 accounts for a large fraction of the interindividual susceptibility to NAFLD in the population and is strongly enriched in patients with severe NAFLD and NASH.<sup>39,40</sup> Furthermore, the p.I148M *PNPLA3* variant has been identified as one of the main determinants of susceptibility to endothelial activation and inflammation.<sup>41</sup> Besides promoting intracellular fat accumulation in hepatocytes, PNPLA3 plays a key role in the trans-activation of HSCs,<sup>42</sup> and the PNPLA3 variant triggers a pro-fibrogenic and pro-inflammatory phenotype in HSCs.<sup>43,44</sup> In keeping, carriage of the PNPLA3 variant has been associated with the risk of decompensation in patients with PHT due to fatty liver disease.<sup>45</sup> These data suggest that a large fraction of patients with severe NAFLD may be predisposed to develop PHT due to genetic mechanisms.

## 3 | CHALLENGE IN THE DETECTION AND ASSESSMENT OF PHT

To assess the degree of PHT, PVP is traditionally measured by an invasive method like HVPG, which is costly and performed only in some specialized centers.<sup>13</sup> But there have been significant efforts to find novel diagnostic approaches for the assessment of PVP and replace traditional HVPG measurements, such as less invasive or noninvasive techniques, to indirectly estimate PVP (Figure 2). But none of these noninvasive techniques has entered clinical practice as a substitute for HVPG with the exception of endoscopic ultrasound-guided portal pressure gradient measurement (EUS-PPG).<sup>46,47</sup>

# 3.1 | Shortage and inaccuracy of conventional measurements

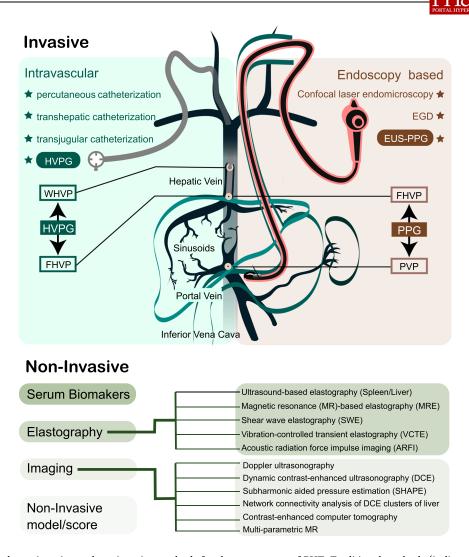
WHVP represents the hepatic sinusoid pressure, which indirectly reflects portal vein pressure in PHT.<sup>13</sup> Clinical signs of PHT may be present in about 25% of patients

when patients have advanced fibrosis or cirrhosis.<sup>6</sup> However, a recent study involving 40 patients with NAFLD-related cirrhosis treated with a trans-jugular intrahepatic portosystemic shunt in three European centers showed discrepancies in the measurements of WHVP and portal pressure occurred in up to 15% of patients, thus suggesting that PVP could be significantly underestimated by WHVP. These data suggest that WHVP in patients with NAFLD-related cirrhosis is not as accurate as in cirrhotic patients; this is due to other etiologies.<sup>14</sup>

HVPG is defined as the difference between WHVP and FHVP, and can better reflect PVP.<sup>13</sup> As early as 2005, the Baveno IV consensus proposed that monitoring HVPG can identify the beneficiaries of nonselective beta-blockers.<sup>48</sup> The new Baveno VII consensus pointed out that HVPG ≥10 mmHg is still the gold standard for the diagnosis of CSPH.<sup>13,49,50</sup> This consensus also indicated that in patients with NAFLD-related cirrhosis, although an HVPG >10 mmHg is strongly associated with the presence of clinical signs of PHT such as esophageal varices,<sup>51</sup> these signs can also be present in a small proportion of patients with HVPG values <10 mmHg.<sup>13</sup> In an observational study investigating the prevalence and the noninvasive predictors of PHT in 354 patients with NAFLD, the authors found that signs of CSPH were present in about 25% of these patients at the time of diagnosis of NAFLD, and most of these patients had advanced fibrosis or cirrhosis. However, these authors also found that PHT could occur in a small proportion of patients with mild or no fibrosis, and was associated with the extent of steatosis.<sup>6</sup> A discrepancy was found between HVPG and PPG in noncirrhotic NAFLD.<sup>14</sup> A retrospective analysis showed that about 15% of patients with CSPH, whose HVPG was >10 mmHg, did not have cirrhosis.<sup>7</sup> In two phase 2b, placebo-controlled trials of simtuzumab (combined) that involved a total of 475 individuals with NASH with bridging fibrosis or compensated cirrhosis (F3-F4 stage), the authors found that seven patients with subclinical PHT (median HVPG: 7.5 mmHg; range: 4.0-9.5 mmHg) without cirrhosis developed the symptoms of decompensation, and patients with higher HVPG at baseline had the greater increase in HVPG over time.<sup>52</sup> All these observations suggest that HVPG may underestimate the fibrosis stage and severity of NAFLD. Actually, the effects and manifestations of subclinical PHT, which was defined as HVPG ≥5 mmHg and HVPG < 10 mmHg, on the natural course of NAFLD, are not well understood, and the effects of subclinical PHT may have been largely overlooked.<sup>53</sup> That said, measurements of WHVP and HVPG are invasive and require technical expertise from the operator and equipment. Thus, these measurements are rarely used in clinical practice.

# **3.2** | The novel invasive and noninvasive measurements

As shown in Figure 2, the EUS-PPG is one of the novel techniques that can directly measure the portal and hepatic vein pressure.<sup>46</sup> Theoretically, EUS-PPG is more accurate than WHVP and HVPG in patients with



**FIGURE 2** Old and new invasive and noninvasive methods for the assessment of PHT. Traditional methods (indicated by the blue area) include HVPG measurement, which uses a balloon-tipped central vein catheter inserted into a hepatic vein tributary that detects WHVP and FHVP. With the development of EDG technology (indicated by the red area), EUS-PPG is an emerging method to provide a safe and direct measurement of PPG. Analysis of mucosal vascular pattern and flow by confocal endomicroscopy is another novel method. Several noninvasive methods (indicated by the green area), for example, serum biomarkers, models or risk scores, tissue stiffness assessment of the liver, and spleen by elastography based on ultrasound or MR, are in development. EDG, endoscopy; EUS-PPG, endoscopic ultrasound-guided portal pressure gradient measurement; FHVP, free hepatic venous pressure; HVPG, hepatic venous pressure gradient; MR, magnetic resonance; PHT, portal hypertension; PPG, portal pressure gradient; PVP, wedged hepatic venous pressure.

presinusoidal PHT because WHVP and HVPG tend to underestimate PVP in these patients. This ultrasound technique is suitable for patients with advanced NAFLD and for other patients with indications for endoscopy (e.g., surveillance of esophageal and gastric varices, portal hypertensive gastropathy screening, EUS-guided liver biopsy, or duodenal mucosal analysis by confocal endomicroscopy). Hence, other traditional techniques for portal pressure measurement that included intra-splenic puncture, trans-hepatic portal catheterization, operative portal pressure measurements, and umbilical vein catheterization are now being gradually replaced. Thus, novel noninvasive measurements that accurately measure PVP in a safe and simple way are urgently needed.<sup>47</sup> Novel noninvasive measurements such as serum markers,54-59 the subharmonic-aided pressure estimation based, dynamic contrast-enhanced ultrasonography, liver and spleen stiffness elastography $^{60-65}$  based on recent doppler ultrasound and imaging technology, $^{66-70}$  contrastenhanced computer tomography,<sup>71,72</sup> and multiparametric

magnetic resonance imaging (MRI),<sup>73,74</sup> which require further investigation to test their utility in the investigation of PHT in NAFLD (Figure 2).

## 4 | CHALLENGE IN PREVENTION AND MANAGEMENT: THERE IS NO SPECIFIC THERAPY

To date, there are no specific pharmacotherapies for NAFLD-related PHT. In noncirrhotic NAFLD, prevention is usually the best therapeutic strategy, so lifestyle modifications are still the first-line recommended treatment.<sup>51,75</sup> In cirrhotic NAFLD-related PHT, current drugs used for management of PHT and its complications are substantially similar to those used for cirrhosis due to other etiologies, such as prevention of variceal bleeding by using nonselective beta-blockers or vaso-constrictor drugs, such as terlipressin, vasopressin, or octreotide.<sup>13,49</sup> However, these pharmacotherapies have

not been yet tested for management of PH in the early stages of NAFLD. Most drug candidates for PHT management in the early stages of NAFLD have only been tested in animal models.<sup>76</sup> However, there are some promising drugs for PHT in NAFLD as outlined below.

## 4.1 | NO modulation

It is known that NO plays an important role in the modulation of IHVR in liver sinusoids, thus NO modulation might be a promising therapeutic option in the early stage of NAFLD.<sup>8</sup> In a pilot human study, vardenafil, that is, an NO modulator that can prevent the breakdown of the NO mediator cyclic guanosine monophosphate, was found to reduce HVPG.<sup>77</sup> In an animal model in cirrhotic rats, portal pressure was decreased by the use of tetrahydrobiopterin and AVE9488, which increase NOS transcription or activity, respectively. NO modulation may inhibit HSC activation.<sup>78,79</sup> However, NO donors might cause hypotension due to the decrease in systemic vascular resistance.<sup>80</sup> Thus, clinical trials in patients are needed to prove its effect on PHT and to investigate side effects.

# 4.2 | Statins

Statins have been demonstrated to have some beneficial effects on steatosis, inflammation, and fibrosis in NAFLD.<sup>81</sup> Furthermore, statins may lower PVP in patients with cirrhosis and reduce the increased postprandial portal pressure.<sup>81,82</sup> Some studies have shown that simvastatin had a positive effect on decreasing PVP in NAFLD.<sup>68</sup> This effect in NAFLD might be partially due to the statins' function to modulate IHVR by enhancing eNOS expression, which may improve endothelial dysfunction, and reduce HSC contractility.<sup>68</sup> Moreover, statins have been also reported to reduce angiogenesis, which might also be beneficial for PHT.<sup>83</sup>

### 4.3 | Farnesoid-X nuclear receptor

FXR, as a modulator of IHVR in NAFLD, affects bile acid metabolism, lipid metabolism, inflammation, and fibrosis.<sup>84</sup> FXR agonists stimulate eNOS activity and inhibit the endothelin-1 (ET-1)-mediated contraction of HSCs.<sup>85</sup> Obeticholic acid is a promising steroidal FXR agonist, which may reduce PHT in rats by upregulating eNOS and rho-kinase.<sup>86</sup> Another nonsteroidal FXR agonist PX20606 may reduce PHT by improving liver sinusoidal cell dysfunction, fibrosis, and vascular remodeling.87 In addition, obeticholic acid has been shown to reduce bacterial translocation and intestinal inflammation in cirrhotic rats with ascites.88 Therefore, FXR is now emerging as a promising therapeutic target for reducing PHT in NAFLD. However, the precise biological mechanisms of FXR-induced reduction of liver fibrosis need to be further explored.

# 4.4 | Peroxisome proliferator-activated receptor (PPAR) agonists

PPAR agonists play a key role in fatty acid and lipid metabolism, inflammation, and fibrogenesis. Promising agents such as pan-PPAR agonist (lanifibranor) showed some beneficial effects on liver fibrosis.<sup>89–91</sup> Moreover, the PPAR-α agonist fenofibrate could reduce PVP in cirrhotic rats by improving endothelial function mainly through increased NO bioavailability and reduced leukocyte recruitment.<sup>92</sup> However, further research is needed to examine the efficacy of PPAR agonists on PHT in humans.

### 5 | CONCLUSIONS AND FUTURE PERSPECTIVES

PHT is the underlying cause of many liver-related complications that drive poor clinical outcomes. With potential pathophysiological significance the increased PVP in NAFLD indicating that PHT may promote NAFLD/NASH fibrosis progression and vice versa, it is clinically important to find noninvasive and accurate methods that allow early detection and monitoring of PHT. The role of metabolic risk factors in the pathogenesis of PHT in the early stages of NAFLD is poorly studied and is worth further exploration. Likewise, we believe that the recently proposed change in the nomenclature from NAFLD to metabolicdysfunction associated fatty liver disease (MAFLD) may help to better understand the role of metabolic risk factors in PHT and facilitate new drug development to reduce PVP and fibrosis in patients with NAFLD, possibly through the improvement of multiple metabolic pathways.

### **AUTHOR CONTRIBUTIONS**

Conception and design: Lei Miao, Ming-Hua Zheng, and Xiaolong Qi. Collection and assembly of articles: Lei Miao. Manuscript writing, intellectual input, critical evaluation, proofreading, and final approval of the manuscript. All authors.

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#### **CONFLICTS OF INTEREST**

Xiaolong Qi is the Editor-in-Chief and Ming-Hua Zheng is the Editorial Board Member of *Portal Hypertension & Cirrhosis*. They are, therefore, excluded from the peer-review process and all editorial decisions related to the publication of this manuscript. The remaining authors declare that there are no conflict of interest.

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