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Cite as: J. Chem. Phys. **157**, 104112 (2022); <https://doi.org/10.1063/5.0107221>

Submitted: 03 July 2022 • Accepted: 12 August 2022 • Published Online: 09 September 2022

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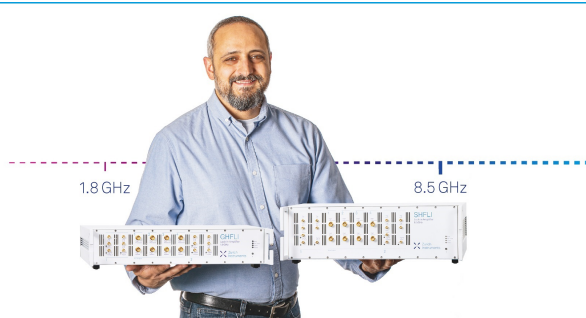
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# Cross-correlation effects in the solution NMR spectra of near-equivalent spin-1/2 pairs

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Submitted: 3 July 2022 • Accepted: 12 August 2022 •

Published Online: 9 September 2022



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## ABSTRACT

The nuclear magnetic resonance (NMR) spectra of spin-1/2 pairs contain four peaks, with two inner peaks much stronger than the outer peaks in the near-equivalence regime. We have observed that the strong inner peaks have significantly different linewidths when measurements were performed on a  $^{13}\text{C}_2$ -labelled triyne derivative. This linewidth difference may be attributed to strong cross-correlation effects. We develop the theory of cross-correlated relaxation in the case of near-equivalent homonuclear spin-1/2 pairs, in the case of a molecule exhibiting strongly anisotropic rotational diffusion. Good agreement is found with the experimental NMR lineshapes.

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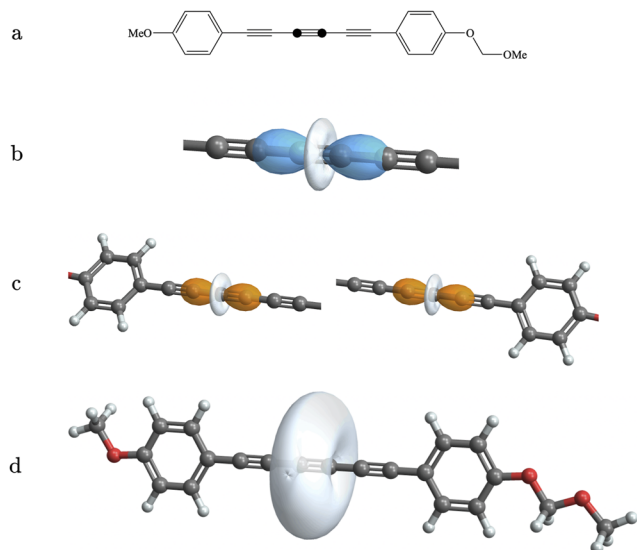
## I. INTRODUCTION

If a nuclear spin system is perturbed from a thermal equilibrium state, it slowly returns to equilibrium through nuclear spin relaxation. Such relaxation processes are driven by fluctuations in the interactions between the nuclear spins and the thermal molecular environment. In general, many types of fluctuating interactions are involved, and these interactions may be correlated with each other. For example, in solution nuclear magnetic resonance (NMR), the fluctuations of nuclear spin interactions are caused by random molecular tumbling, and since the rotation of a molecule modulates all intramolecular interactions at the same time, the fluctuations of these interactions are correlated. Such cross-correlation effects are well-documented in solution NMR.<sup>1–8</sup> Cross-correlation gives rise to differential line broadening and line narrowing and differences in the longitudinal relaxation behavior of individual multiplet components.<sup>1–4,6,8</sup> Cross-correlation effects have been used to estimate the relative orientations of nuclear spin interaction tensors, allowing the estimation of molecular torsional angles.<sup>9–11</sup> A particularly important set of cross-correlation effects is associated with the so-called TROSY techniques (transverse relaxation-optimized spectroscopy), which have important applications, especially in biomolecular NMR.<sup>12,13</sup>

Cross-correlation often takes place between the fluctuations of internuclear dipole–dipole (DD) couplings and chemical shift anisotropy (CSA) interactions. Such DD-CSA cross-correlation effects are well-known for heteronuclear spin pairs and underpin important techniques, such as TROSY.<sup>4,12,13</sup> In this paper we demonstrate strong DD-CSA cross-correlation effects in the solution NMR of a system containing *homonuclear* pairs of  $^{13}\text{C}$  nuclei, at the limit of *near-magnetic equivalence*, implying that the chemical shift difference between the coupled nuclear sites is much smaller than the internuclear J-coupling.

The system of interest is the  $^{13}\text{C}_2$ -labelled triyne derivative referred to here as **I**, which has the following systematic name: 1-methoxy-4-((4-methoxymethoxy)phenyl)hexa-1,3,5-triyn-1-yl)benzene. Its molecular structure is shown in Fig. 1(a). Each molecule of **I** has a rod-like shape, with two  $^{13}\text{C}$  labels at the central pair of carbon atoms, in the center of the triyne moiety. The two end groups are different, endowing the two  $^{13}\text{C}$  nuclei with slightly different chemical shifts ( $\Delta\delta = 0.16$  ppm). Since the  $^{13}\text{C}$ – $^{13}\text{C}$  J-coupling is large ( $J_{\text{CC}} = 214.15$  Hz), the  $^{13}\text{C}$  pair is in the near-equivalent regime at all accessible magnetic fields.<sup>14</sup>

The  $^{13}\text{C}$  NMR spectrum of a 0.3M solution of **I** in  $\text{CDCl}_3$  is shown in Fig. 2. This corresponds to the expected AB four-peak structure, although the two outer peaks are too weak to be observed.



**FIG. 1.** (a) The molecular structure of **I**, with  $^{13}\text{C}$  labeled sites depicted by black circles. (b) The rank-2 part of the  $^{13}\text{C}$ - $^{13}\text{C}$  dipole-dipole coupling tensor, represented by an ovoid.<sup>21,22</sup> (c) The calculated  $^{13}\text{C}$  CSA tensors of the  $^{13}\text{C}$  labels, represented by ovoids. (d) The inertia tensor of the molecule, represented as an ovoid, superimposed on the molecular structure. The gray atoms are C, the red atoms O, and white H. The graphics were generated in *SpinDynamica*.<sup>23</sup>

The two strong central peaks are only partially resolved, and form a strongly asymmetric line shape, as shown by the inset in Fig. 2. As discussed below, the asymmetry of the central peak pair is due to strong DD-CSA cross-correlation effects.

An analysis of cross-correlated relaxation in **I** must take into account its rod-like shape, which causes strongly anisotropic rotational diffusion in solution. The theory of nuclear spin relaxation has been developed in the context of model-free treatments of biomolecules with anisotropic internal motions.<sup>15–20</sup> However, most existing treatments of cross-correlated relaxation in small molecules assume approximately isotropic rotational diffusion, which is clearly not applicable here. In the following sections, we develop the theory of cross-correlated relaxation in systems with anisotropic rotational diffusion. We provide analytical formulae for the NMR spectrum of a near-equivalent homonuclear spin pair undergoing cross-correlated relaxation in the presence of anisotropic rotational diffusion. The observed spectral asymmetry is reproduced with good agreement between theory, experiment, and numerical simulations.

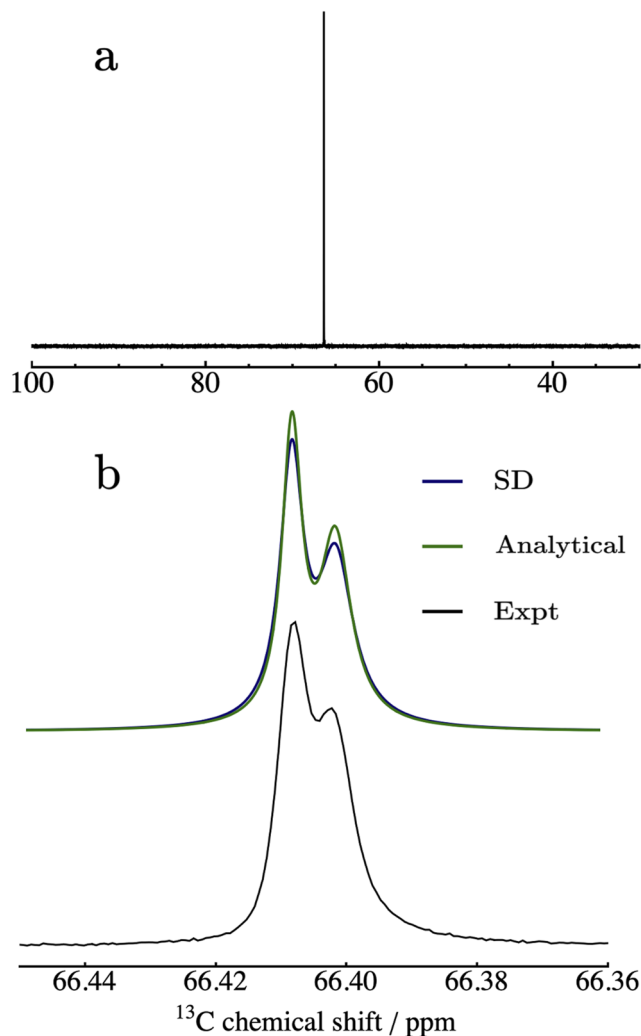
## II. EXPERIMENTAL

### A. Sample

The synthesis of **I** is described in the [supplementary material](#). 19 mg of **I** was made up to a 200  $\mu\text{l}$  0.3 M solution in  $\text{CDCl}_3$ . Five freeze-thaw degassing cycles were performed on the solution.

### B. NMR

The experiments were performed on a 400 MHz (9.4 T) Bruker Avance Neo spectrometer. The pulse sequence was a simple  $90^\circ$



**FIG. 2.**  $^{13}\text{C}$  spectra of a 0.3M solution of **I** in  $\text{CDCl}_3$ , at a magnetic field of 9.4 T. (a) Overview of the  $^{13}\text{C}$  spectrum. (b) Black line: Expanded view of the central doublet, showing the strongly asymmetric linewidths of the doublet components. Dark blue line: Numerical *SpinDynamica* simulation,<sup>23</sup> using the theory given in the text and parameters in Table I. Green line: Superposition of two Lorentzians with amplitudes, frequencies, and linewidths specified by Table V and Eq. (53).

pulse-acquire. The  $^{13}\text{C}$  nutation frequency was 6.68 kHz and 1 scan was performed. The NMR signal was sampled with 131 k data points with a spectral width of 81.46 ppm.

### C. Computational chemistry

Geometry optimization and simulation of the magnetic shielding tensors of **I** were performed at the B3LYP/aug-cc-PVTZ<sup>24–26</sup> level of theory in the Gaussian 09 suite of programs.<sup>27</sup> After geometry optimization, the dipole-dipole coupling tensor between the two  $^{13}\text{C}$  nuclei was calculated from the internuclear distance. The parameters obtained from the computations are presented in Table I.

**TABLE I.** Spin system parameters for **I** in solution.

Parameter	Value	Note
$J_{jk}$	214.15 Hz	Experimental <sup>a</sup>
$\Delta\delta_{\text{iso}}$	0.16 ppm	Experimental <sup>b</sup>
$b_{jk}/(2\pi)$	-4152.84 Hz	Estimated <sup>c</sup>
$\{\alpha_{PD}^{jk}, \beta_{PD}^{jk}, \gamma_{PD}^{jk}\}$	$\{0, -2.5, 0\}^\circ$	Frames obtained by diagonalizing calculated tensors
$\delta_j^{\text{CSA}}$	-145.7 ppm	Calculated
$\eta_j$	0.020	Calculated
$\{\alpha_{PD}^j, \beta_{PD}^j, \gamma_{PD}^j\}$	$\{0, -2.6, 0\}^\circ$	Frames obtained by diagonalizing calculated tensors
$\delta_k^{\text{CSA}}$	-145.4 ppm	Calculated
$\eta_k$	0.023	Calculated
$\{\alpha_{PD}^k, \beta_{PD}^k, \gamma_{PD}^k\}$	$\{0, -2.6, 0\}^\circ$	Frames obtained by diagonalizing calculated tensors
$\tau_\perp$	136.5 ps	Estimated from the parameters in this table and experimental $T_1$

<sup>a</sup>Obtained from a 90° pulse-acquire spectrum on a 700 MHz spectrometer (see the [supplementary material](#)).

<sup>b</sup>Estimated from the  $^{13}\text{C}$  spectrum of natural abundance material.

<sup>c</sup>Estimated from the internuclear distance,  $r_{jk} = 122$  pm.

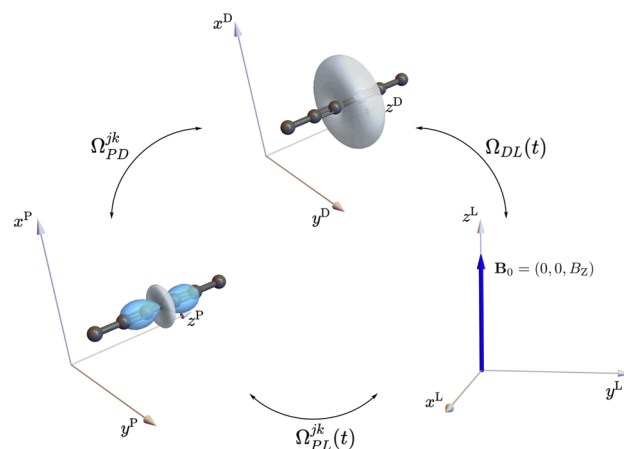
### III. THEORY

#### A. Anisotropic rotational diffusion

To analyze the relaxation behavior of this system, **I** is approximated as a rigid molecule undergoing anisotropic rotational diffusion in solution and is presented in the vein of Huntress.<sup>28,29</sup> We treat the molecule as a rod-shaped symmetric top, corresponding to a strongly anisotropic inertia tensor depicted by the ovaloid<sup>21,22</sup> shown in Fig. 1(d), with a rotational diffusion tensor coincident with the inertia tensor.

The ovaloid representation of the inertia tensor, shown in Fig. 1(d), has the form of a dimpled disk, or a doughnut with an incomplete hole. This shape may be interpreted as follows: Take a vector starting from the molecular center of mass and pointing in any direction. The vector intersects the ovaloid surface at some point. The distance from the center of mass to the intersection point is proportional to the moment of inertia for a rotation around that vector. Rotations around an axis, which is perpendicular to the long axis of the molecule, are associated with a large moment of inertia, so that the surface is relatively far from the center of mass. A rotation around the long axis, on the other hand, has a small moment of inertia, so that the surface is close to the origin in that direction. Hence, the ovaloid has the appearance of a dimpled disk, with dimples along the long axis of the molecule.

The principal axis system of the rotational diffusion tensor is denoted  $D$  and is depicted in Fig. 3. A laboratory reference frame  $L$  may be defined such that its  $z$ -axis is aligned with the external magnetic field (see Fig. 3). The relative orientation of the  $D$  and  $L$  frames may be described by using the Euler angle triplet  $\Omega_{DL} = \{\alpha_{DL}, \beta_{DL}, \gamma_{DL}\}$ . In this article, the  $z$ - $y$ - $z$  convention for Euler angles is used throughout.<sup>30</sup> Due to the molecular tumbling, these Euler angles are in general stochastic functions of time,



**FIG. 3.** Relevant frame transformations illustrated using the DD-tensor as an example. On the left, the coordinate system is the molecule-fixed  $P$ -frame of the DD interaction, with the  $z$ -axis parallel to the internuclear vector. The set of angles  $\Omega_{PD}^{jk}$  orientate the  $P$ - and  $D$ -frames. The molecule-fixed  $D$ -frame is given by the principal axis frame of the inertia tensor with its  $z$ -axis parallel to the molecular long axis. The laboratory frame  $L$  is defined such that its  $z$ -axis is parallel to the applied magnetic field. The angles  $\Omega_{DL}(t)$  orient the  $D$ - and  $L$ -frames with respect to each other. These angles are time-dependent since the  $L$ -frame is space-fixed and stochastic molecular tumbling continuously alters the orientation of the  $D$ - and  $L$ -frames with respect to one another. The angles parameterizing the transformation between the  $P$ - and  $L$ -frames will be time-dependent for the same reason.

$\Omega_{DL} = \Omega_{DL}(t)$ , since the  $D$ -frame is molecule-fixed while the  $L$ -frame is space-fixed.

The stochastic time-dependence of the Euler angles  $\Omega_{DL}$  may be expressed in terms of the time-correlation functions of the rank- $l$  Wigner D-matrices,

$$\begin{aligned}
 G_{mm'mn'}^{ll'}(t_0, \tau) &= D_{mn}^{(l)}(\Omega_{DL}(t_0)) D_{m'n'}^{(l')*}(\Omega_{DL}(t_0 + \tau)) \\
 &= \iint d\Omega_{DL}(t_0) d\Omega_{DL}(t_0 + \tau) \\
 &\quad \times D_{mn}^{(l)}(\Omega_{DL}(t_0)) D_{m'n'}^{(l')*}(\Omega_{DL}(t_0 + \tau)) \\
 &\quad \times P(\Omega_{DL}(t_0)) P(\Omega_{DL}(t_0 + \tau) | \Omega_{DL}(t_0)), \quad (1)
 \end{aligned}$$

where  $P(\Omega_{DL}(t_0)) = (8\pi^2)^{-1}$  is the probability density that the molecule hosting the spin system will be at orientation  $\Omega_{DL}(t_0)$  at time  $t = t_0$ , and  $P(\Omega_{DL}(t_0 + \tau) | \Omega_{DL}(t_0))$  is the conditional probability that the molecule will be at orientation  $\Omega_{DL}(t_0 + \tau)$  at time  $t = t_0 + \tau$ , given that it was at orientation  $\Omega_{DL}(t_0)$  at time  $t = t_0$ . If the stochastic process is assumed to be stationary, these probabilities are independent of  $t_0$ , allowing the arbitrary choice of time origin  $t_0 = 0$ . The expression for the conditional probability is given by Favro,<sup>31</sup> and is

$$P(\Omega_{DL}(\tau) | \Omega_{DL}(0)) = \sum_v \psi_v^*(0) \psi_v(\tau) e^{-E_v \tau}, \quad (2)$$

where  $\psi_v(t)$  are eigenfunctions of the operator  $H_{\text{rot diff}} = \mathbf{L} \cdot \mathbf{D} \cdot \mathbf{L}$ , with corresponding eigenvalues  $E_v$ , where  $\mathbf{L}$  and  $\mathbf{D}$  are the angular

momentum vector and the diffusion tensor, respectively. For a symmetric top, we may write

$$H_{\text{rot diff}} = D_{\perp} (L_x^2 + L_y^2) + D_{\parallel} L_z^2, \quad (3)$$

where  $D_{\perp}$  and  $D_{\parallel}$  are rotational diffusion constants associated with axes perpendicular and parallel, respectively, with the molecular long axis. Note that Eq. (3) is written in the  $D$ -frame.

Equation (3) is of the same form as the rigid-rotor Hamiltonian for a symmetric top. As such, the eigenfunctions and eigenvalues in Eq. (2) are those of a quantum mechanical rigid-rotor,<sup>32,33</sup>

$$\psi_v(t) \rightarrow \phi_{K,M}^J(t) \equiv (-1)^{M-K} \sqrt{\frac{2J+1}{8\pi^2}} D_{-M-K}^{(J)}(\Omega(t)), \quad (4)$$

$$E_v \rightarrow E_K^J \equiv D_{\perp} J(J+1) + K^2 (D_{\parallel} - D_{\perp}). \quad (5)$$

For our specific system, we will see that  $J \equiv l = 2$  and  $K = 0$ . The only non-vanishing term in the correlation function is then  $E_0^{(2)} = 6D_{\perp}$ , and related to the rotational correlation time  $\tau_{\perp} \equiv (6D_{\perp})^{-1}$ . Rotational motion around the molecular long axis does not modulate the interactions responsible for relaxation. This is a consequence of the coincidence of the  $P$ - and  $D$ -frames. The secularized time-correlation function becomes

$$G_{mm'n'}^J(\tau) = G_{mm'n'}^{Jl'}(0, \tau) = \delta_{ll'} \delta_{mm'} \frac{(-1)^{n+n'}}{2l+1} e^{-\tau/\tau_{\perp}}. \quad (6)$$

## B. Coherent Hamiltonian

The coherent spin Hamiltonian describes those spin interactions, which are the same for all members of the spin ensemble at a given point in time. For a homonuclear spin-1/2 pair in solution, it may be written in the rotating frame of the Zeeman interaction as

$$H_{\text{coh}} = \frac{1}{2} \omega_{\Sigma} (I_{1z} + I_{2z}) + \frac{1}{2} \omega_{\Delta} (I_{1z} - I_{2z}) + \omega_J \mathbf{I}_1 \cdot \mathbf{I}_2, \quad (7)$$

with

$$\begin{aligned} \omega_{\Sigma} &= \omega_1 + \omega_2, \\ \omega_{\Delta} &= \omega_1 - \omega_2, \\ \omega_J &= 2\pi J_{12}, \end{aligned} \quad (8)$$

where  $J_{12}$  is the isotropic part of the spin-spin coupling tensor, and  $\omega_j$  is the precession frequency of spin  $I_j$ ,

$$\omega_j = \omega_0 (1 + \delta_j^{\text{iso}}) - \omega_{\text{rf}}. \quad (9)$$

Here,  $\omega_0$  is the Larmor frequency of the isotope,  $\delta_j^{\text{iso}}$  is the isotropic chemical shift for the  $j$ th spin, and  $\omega_{\text{rf}}$  is the radio frequency carrier frequency.

The Hamiltonian may be diagonalized by using the perturbed singlet-triplet basis,  $\mathbb{B}'_{\text{ST}}$ , defined as

$$\mathbb{B}'_{\text{ST}} = \{|S'_0\rangle, |T'_{+1}\rangle, |T'_0\rangle, |T'_{-1}\rangle\}, \quad (10)$$

with elements

$$\begin{aligned} |S'_0\rangle &= \cos \frac{\theta}{2} |S_0\rangle - \sin \frac{\theta}{2} |T_0\rangle, \\ |T'_{+1}\rangle &= |T_{+1}\rangle, \\ |T'_0\rangle &= \sin \frac{\theta}{2} |S_0\rangle + \cos \frac{\theta}{2} |T_0\rangle, \\ |T'_{-1}\rangle &= |T_{-1}\rangle, \end{aligned} \quad (11)$$

where the singlet and triplet states are given by

$$\begin{aligned} |S_0\rangle &= \frac{1}{\sqrt{2}} (|\alpha\beta\rangle - |\beta\alpha\rangle), \\ |T_{+1}\rangle &= |\alpha\alpha\rangle, \\ |T_0\rangle &= \frac{1}{\sqrt{2}} (|\alpha\beta\rangle + |\beta\alpha\rangle), \\ |T_{-1}\rangle &= |\beta\beta\rangle, \end{aligned} \quad (12)$$

and  $\theta$  is the *singlet-triplet mixing angle*, defined by

$$\tan \theta = \omega_{\Delta} / \omega_J. \quad (13)$$

The eigenvalues of  $H_{\text{coh}}$  are

$$\begin{aligned} \omega_{S'_0} &= -\frac{1}{4} (\omega_J + 2\omega_e), \\ \omega_{T'_{+1}} &= +\frac{1}{4} (\omega_J + 2\omega_{\Sigma}), \\ \omega_{T'_0} &= -\frac{1}{4} (\omega_J - 2\omega_e), \\ \omega_{T'_{-1}} &= +\frac{1}{4} (\omega_J - 2\omega_{\Sigma}), \end{aligned} \quad (14)$$

where

$$\omega_e^2 = \omega_{\Delta}^2 + \omega_J^2. \quad (15)$$

These eigenvalues are used in Sec. III F to analyze the signal, allowing the assignment of coherence-peak correspondence.

## C. Fluctuating Hamiltonian

The fluctuating Hamiltonian is a sum of contributions from the anisotropic spin interactions. These interactions differ between ensemble members at a given point in time due to the random molecular tumbling. The current analysis is restricted to the intra-pair dipole-dipole (DD) and chemical shift anisotropy (CSA) interactions,

$$H_{\text{fluc}} = H_{\text{DD}} + H_{\text{CSA}}, \quad (16)$$

as well as the cross-correlation between the two mechanisms.

The spin Hamiltonian for interaction  $\Lambda$  may be written in terms of irreducible spherical tensor operators as<sup>34</sup>

$$H_{\Lambda}(t) = c_{\Lambda} \sum_{l=0}^{+2} \sum_{m=-l}^{+l} A_{lm}^{\Lambda*}(t) X_{lm}^{\Lambda}, \quad (17)$$

where  $c_{\Lambda}$  is an interaction-dependent constant,  $A_{lm}^{\Lambda}(t)$  are time-dependent components of a spatial spherical tensor, and  $X_{lm}^{\Lambda}$  are components of a spin or spin-field spherical tensor.

Spatial spherical tensors may be transformed between arbitrary reference frames  $F$  and  $G$  by using the Wigner matrices and the Euler angles relating the two frames,

$$\left[A_{lm}^{\Lambda}\right]^G = \sum_{m'=-l}^{+l} \left[A_{lm'}^{\Lambda}\right]^F D_{m'm}^{(l)}(\Omega_{FG}). \quad (18)$$

This process may be repeated for a chain of any number of reference frames. Figure 3 depicts the transformations from the principal axis frame of a spin interaction to the laboratory frame. The laboratory-frame spatial components acquire a stochastic time-dependence through the motional modulation of the Euler angles  $\Omega_{DL}(t)$ , representing the rotational diffusion of the molecules in solution.

### 1. Direct dipole-dipole coupling

In the case of the dipole-dipole interaction between spins  $I_j$  and  $I_k$  ( $\Lambda = jk$ ), the tensor components  $X_{2m}^{jk}$  are equal to the rank-2 spherical tensor spin operators,

$$X_{2m}^{jk} = T_{2m}^{jk}, \quad (19)$$

as given in the laboratory frame in Table II. Assuming a rigid molecular geometry, the interaction constant for the dipole-dipole coupling is given by

$$c_{jk} = b_{jk} = -\left(\frac{\mu_0}{4\pi}\right) \hbar \gamma_j \gamma_k r_{jk}^{-3}, \quad (20)$$

where  $r_{jk}$  is the internuclear distance. In the current case, the  $^{13}\text{C}$ - $^{13}\text{C}$  internuclear distance of  $r_{jk} = 122$  pm corresponds to a direct dipole-dipole coupling of  $b_{jk}/(2\pi) = -4152.84$  Hz.

The principal axis system  $P^{jk}$  of the dipole-dipole coupling tensor is aligned such that its z-axis is along the  $^{13}\text{C}$ - $^{13}\text{C}$  internuclear vector (see Fig. 3). In general, the relative orientation of the dipole-dipole principal axis system, and the molecular diffusion tensor is defined by an Euler angle triplet  $\Omega_{PD}^{jk} = \{\alpha_{PD}^{jk}, \beta_{PD}^{jk}, \gamma_{PD}^{jk}\}$ , as shown in Fig. 3. In the current case, the rod-like geometry of the molecule causes near-coincidence of the principal axis systems of the  $^{13}\text{C}$ - $^{13}\text{C}$  dipole-dipole coupling and that of the inertia tensor, so that the angle  $\beta_{PD}^{jk}$  is very small.

**TABLE II.** Irreducible spherical spin and spin-field tensor components for  $l = 2$  in the L-frame.<sup>37</sup>

Interaction, $\Lambda$	$m$	$[X_{2m}^{\Lambda}]^L$
DD, spins $I_j$ and $I_k$	0	$\frac{1}{2\sqrt{6}}(4I_{jz}I_{kz} - I_j^+ I_k^- - I_j^- I_k^+)$
	$\pm 1$	$\mp \frac{1}{2}(I_j^{\pm} I_{kz} + I_{jz} I_k^{\pm})$
	$\pm 2$	$\frac{1}{2}(I_j^{\pm} I_k^{\pm})$
CSA, spin $I_j$	0	$\sqrt{\frac{2}{3}}B_0 I_{jz}$
	$\pm 1$	$\mp \frac{1}{2}B_0 I_j^{\pm}$
	$\pm 2$	0

The rank-2 spherical tensor representing the spatial part of the dipole-dipole interaction has the following components in its principal axis frame:

$$\left[A_{2m}^{jk}\right]^P = \sqrt{6} \delta_{m0}, \quad (21)$$

where  $\delta_{ab}$  is the Kronecker-delta.

### 2. Chemical-shift anisotropy

In the case of the chemical shift anisotropy of spin  $I_j$  ( $\Lambda = j$ ), spin-field tensors  $X_{lm}^j$  of ranks  $l = 1$  and  $l = 2$  are formed by coupling the rank-1 spherical tensor spin operators  $T_{1m}^j$  with the rank-1 spherical components of the external magnetic field,<sup>8</sup>

$$X_{lm}^j = \sum_{m', m''} C_{mm'm''}^{j11} T_{1m'}^j B_{1m''}, \quad (22)$$

where  $C_{mm'm''}^{j11}$  are Clebsch-Gordon coefficients.<sup>35</sup> Explicit expressions for the case  $l = 2$  are given in the laboratory frame in Table II.

The magnetic shielding tensors are given in the supplementary material. From these, the *Haeberlen convention*<sup>36</sup> is used to define the anisotropy and biaxiality parameters, respectively, as

$$\delta^{\text{CSA}} = \delta_{zz}^P - \delta^{\text{iso}} \quad (23)$$

and

$$\eta = \frac{\delta_{xx}^P - \delta_{yy}^P}{\delta^{\text{CSA}}}, \quad (24)$$

with tensor components defined by

$$|\delta_{zz}^P - \delta^{\text{iso}}| \geq |\delta_{xx}^P - \delta^{\text{iso}}| \geq |\delta_{yy}^P - \delta^{\text{iso}}|. \quad (25)$$

The values of these parameters are given in Table I.

### D. Relaxation superoperator

The semi-classical relaxation superoperator takes the form

$$\hat{\Gamma}(t) = - \int_{-\infty}^0 d\tau \overline{\hat{H}_{\text{fluc}}(0) \hat{H}_{\text{fluc}}(\tau)}, \quad (26)$$

where  $\hat{H}_{\text{fluc}}(t)$  is the fluctuating Hamiltonian commutation superoperator in the interaction representation of the Zeeman Hamiltonian, defined by the transformation

$$\hat{H}_{\text{fluc}}(t) = \exp(i\hat{H}_Z t) \hat{H}_{\text{fluc}}(t) \exp(-i\hat{H}_Z t), \quad (27)$$

and the overbar denotes an ensemble average.

To describe the relaxation effects giving rise to the asymmetric line shapes in Fig. 2, the interaction constants and irreducible spherical spin and spatial tensor components in Table III are used. By Eq. (16), the relaxation superoperator may be written as a sum over auto- and cross-correlated mechanisms as

$$\begin{aligned} \hat{\Gamma} &= \sum_{\Lambda, \Lambda'} \hat{\Gamma}^{\Lambda\Lambda'} \\ &= \hat{\Gamma}^{\text{DD}} + \hat{\Gamma}^{\text{CSA}} + \hat{\Gamma}^{\text{DD} \times \text{CSA}}. \end{aligned} \quad (28)$$



**TABLE III.** Irreducible spherical spatial tensor components for  $l = 2$ ,  $p = 0$  in their principal axis frame.<sup>37</sup>

Interaction, $\Lambda$	$c^\Lambda$	$[A_{20}^\Lambda]^P$
DD, spins $I_j$ and $I_k$	$b_{jk}$	$\sqrt{6}$
CSA, spin $I_j$	$-\gamma_j$	$\sqrt{\frac{3}{2}}\delta_j^{\text{CSA}}$

Using Eq. (17), the relaxation superoperator for rank- $l$  interactions  $\Lambda$  and  $\Lambda'$  becomes

$$\hat{\Gamma}_l^{\Lambda\Lambda'} = -c^\Lambda c^{\Lambda'} \sum_m J_{lm}^{\Lambda\Lambda'}(\omega_0) [\hat{X}_{lm}^\Lambda]^L [\hat{X}_{lm}^{\Lambda'}]^\dagger, \quad (29)$$

with spectral density functions given in our case by

$$\begin{aligned} J_{lm}^{\Lambda\Lambda'}(\omega_0) &= [A_{ln}^{\Lambda*}]^D [A_{ln'}^{\Lambda'}]^D \int_{-\infty}^0 d\tau G_{mm'nn'}^{ll'} e^{-im'\omega_0|\tau|} \\ &= \sum_{nm'} \frac{(-1)^{n+n'}}{2l+1} [A_{ln}^{\Lambda*}]^D [A_{ln'}^{\Lambda'}]^D \frac{\tau_\perp}{1+m^2\omega_0^2\tau_\perp^2}, \end{aligned} \quad (30)$$

where  $[A_{ln}^\Lambda]^D$  are  $n$ th-components of  $l$ th-rank irreducible spherical tensors in the diffusion frame, and  $\tau_\perp$  is the rotation correlation time about an axis perpendicular to the molecular long axis. The components  $[A_{ln}^\Lambda]^D$  are known in the  $P$ -frame and may be expressed in the  $D$ -frame using the transformation in Eq. (18).

### E. Liouvillian

The evolution of the spin ensemble is described by the Liouville–von Neumann equation, which may be expressed as

$$\frac{d}{dt} |\rho(t)\rangle = \hat{\mathcal{L}}(t) |\rho(t)\rangle, \quad (31)$$

where  $|\rho(t)\rangle$  is the ensemble-averaged density operator, and  $\hat{\mathcal{L}}$  is the Liouvillian itself given by

$$\hat{\mathcal{L}}(t) = -i\hat{H}_{\text{coh}}(t) + \hat{\Gamma}(t), \quad (32)$$

where  $\hat{H}_{\text{coh}}(t)$  is the coherent Hamiltonian commutation superoperator defined by

$$\hat{H}_{\text{coh}}(t) |Q\rangle = [H_{\text{coh}}(t), Q]. \quad (33)$$

If the Hilbert space of the spin system has dimension  $N_H$ , then the corresponding operator (Liouville) space has dimension  $N_L = N_H^2$ . It follows that the Liouvillian has a set of  $N_L$  eigenvalues and eigenoperators,

$$\hat{\mathcal{L}} |Q_q\rangle = \Lambda_q |Q_q\rangle, \quad q \in \{0, 1, \dots, N_L - 1\}, \quad (34)$$

with

$$\Lambda_q = -\lambda_q + i\omega_q, \quad (35)$$

where  $\lambda_q$  and  $\omega_q$  are both real. In the case where  $\omega_q \neq 0$ , the eigenoperators correspond to quantum coherences (QC), which decay with a rate constant  $\lambda_q$  and oscillate at a frequency  $\omega_q$ . Eigenoperators with real eigenvalues ( $\omega = 0$ ) represent a particular configuration of spin state populations with decay rate constant  $\lambda_q$ .

### F. NMR spectrum

#### 1. Signal

The signal may be written in terms of the eigenvalues of Eq. (35) as<sup>38</sup>

$$s(t) = \sum_q a_q e^{\Lambda_q t}, \quad (36)$$

with  $a_q$  being the peak amplitude given by

$$a_q = (Q_{\text{obs}} | Q_q) (Q_q | \hat{U}_{\text{exc}} | \rho_{\text{eq}}), \quad (37)$$

where  $\hat{U}_{\text{exc}}$  is the total propagator for the excitation sequence and  $|\rho_{\text{eq}}\rangle$  is the thermal equilibrium density operator. In quadrature detection,  $|Q_{\text{obs}}\rangle \approx -\frac{1}{2}ie^{i\phi_{\text{rec}}} |I^- \rangle$  with  $\phi_{\text{rec}}$  being the receiver phase. Since the experiment here is a 90° pulse-acquire, we make the approximation

$$\hat{U}_{\text{exc}} |\rho_{\text{eq}}\rangle = \hat{R}_x(\pi/2) I_z = -I_y, \quad (38)$$

ignoring constant numerical factors.

Non-vanishing peak amplitudes are associated with  $(-1)$ -quantum eigenoperators  $|Q_q\rangle$ , as defined by the eigenequation

$$\hat{I}_z |Q_q\rangle = -|Q_q\rangle, \quad (39)$$

where  $\hat{I}_z$  is the commutation superoperator of the angular momentum operator  $I_z$ .

In the absence of relaxation, these observable operators are the  $(-1)$ -quantum eigenoperators of the commutation superoperator  $\hat{H}_{\text{coh}}$  and are given by elements of the basis,

$$\mathbb{B}_Q = \{ |S'_0\rangle \langle T'_{+1}|, |T'_{-1}\rangle \langle S'_0|, |T'_0\rangle \langle T'_{+1}|, |T'_{-1}\rangle \langle T'_0| \}, \quad (40)$$

which is a subset of the 16-element basis of all outer products of elements in  $\mathbb{B}_{\text{ST}}$ .

In the absence of relaxation, the Liouvillian eigenvalues are purely imaginary and are given by  $\Lambda_q = +i\omega_q$ , where  $\omega_q$  are the peak frequencies. These are given, in general, by

$$\omega_q = -(\omega_r - \omega_s), \quad (41)$$

with  $r, s \in \{S'_0, T'_{+1}, T'_0, T'_{-1}\}$ , as given in Table IV.

The two eigenoperators corresponding to  $(-1)$ -quantum coherences between the perturbed triplet states are particularly important in the current context since these coherences give rise to the two components of the spectral doublet shown in Fig. 2, as can

**TABLE IV.** Coherence eigenoperators of  $\hat{H}_{\text{coh}}$  along with the associated eigenfrequencies and peak amplitudes.

$ Q_q\rangle$	$\omega_q$	$a_q$
$ S'_0\rangle \langle T'_{+1} $	$\frac{1}{2}(\omega_\Sigma + \omega_J + \omega_e)$	$\frac{1}{2}\sin^2\frac{\theta}{2}$
$ T'_{-1}\rangle \langle S'_0 $	$\frac{1}{2}(\omega_\Sigma - \omega_J - \omega_e)$	$\frac{1}{2}\sin^2\frac{\theta}{2}$
$ T'_0\rangle \langle T'_{+1} $	$\frac{1}{2}(\omega_\Sigma + \omega_J - \omega_e)$	$\frac{1}{2}\cos^2\frac{\theta}{2}$
$ T'_{-1}\rangle \langle T'_0 $	$\frac{1}{2}(\omega_\Sigma - \omega_J + \omega_e)$	$\frac{1}{2}\cos^2\frac{\theta}{2}$

be seen from their amplitudes in Table IV. These two eigenoperators are denoted as follows:

$$\begin{aligned} Q_+ &= |T'_0\rangle \langle T'_{+1}|, \\ Q_- &= |T'_{-1}\rangle \langle T'_0|. \end{aligned} \quad (42)$$

The corresponding Liouvillian eigenvalues are as follows:

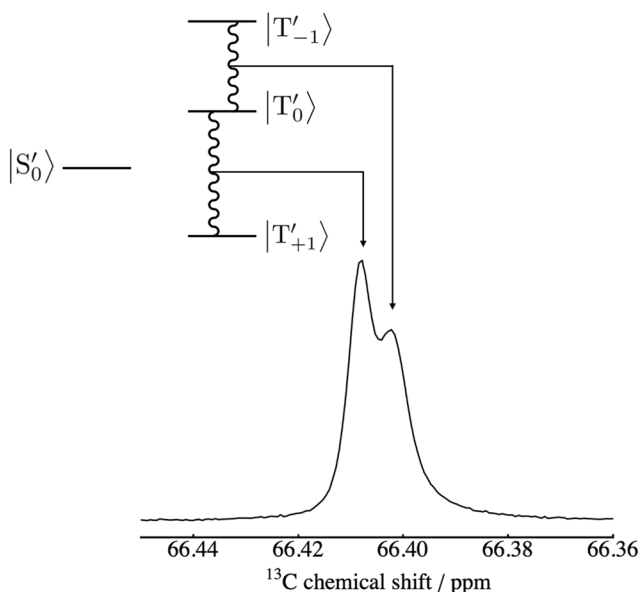
$$\Lambda_{\pm} = -\lambda_{\pm} + i\omega_{\pm}. \quad (43)$$

In general, the superoperators  $\hat{H}_{\text{coh}}$  and  $\hat{\Gamma}$  do not commute. The presence of the relaxation superoperator  $\hat{\Gamma}$  may therefore modify both the eigenvalues and the eigenoperators of the Liouvillian  $\hat{\mathcal{L}}$ . Indeed, the modification of the peak frequencies by relaxation effects has been documented in the literature in a different context.<sup>39</sup> In the current case, the eigenvalues of the  $(-1)$ -quantum eigenoperators are only slightly modified by the relaxation superoperator. This is because the off-diagonal elements of the  $(-1)$ -quantum Liouvillian block are much smaller than the corresponding eigenvalue differences, as discussed in the [supplementary material](#). Hence, in the following discussion, we assume that the  $(-1)$ -quantum eigenoperators of the full Liouvillian, including relaxation, are given to a good approximation by the operators in Eq. (40).

The correspondence between the two triplet–triplet coherences and the NMR spectrum is depicted in Fig. 4.

## 2. Frequencies

The coherence frequencies are given by the imaginary parts of the Liouvillian eigenvalues. As shown in the [supplementary material](#),



**FIG. 4.** The correspondence between the single-quantum triplet–triplet coherences (wiggly lines) and the NMR spectrum. The coherence represented by the operator  $Q_+$  is associated with the narrow peak, while the coherence represented by  $Q_-$  is associated with the broad peak.

the off-diagonal parts of the  $(-1)$ -quantum Liouvillian block may be ignored. With this approximation, the coherence frequencies are as specified in Table IV. The frequencies of the two triplet–triplet coherences are given by

$$\omega_{\pm} = \frac{1}{2}(\omega_{\Sigma} \pm \omega_I \mp \omega_e). \quad (44)$$

The splitting between the two inner peaks is given by

$$\Delta\omega = \omega_- - \omega_+ = \omega_e - \omega_I \simeq \frac{\omega_{\Delta}^2}{2\omega_I}, \quad (45)$$

where the approximation applies to the near-equivalence regime.

## 3. Linewidths

Since the off-diagonal elements of  $\hat{\Gamma}$  are small in the basis  $\mathbb{B}_Q$ , relative to the corresponding differences in the diagonal elements, the real parts of the Liouvillian eigenvalues are given by

$$\text{Re}(\Lambda_q) \simeq \frac{\langle Q_q | \hat{\Gamma} | Q_q \rangle}{\langle Q_q | Q_q \rangle}, \quad (46)$$

where the Liouville bracket is defined by<sup>40</sup>

$$\langle Q_q | Q_{q'} \rangle = \text{Tr}\{Q_q^\dagger Q_{q'}\}. \quad (47)$$

The real positive quantities  $\lambda_q = -\text{Re}(\Lambda_q)$  may be interpreted as the coherence decay rate constants for the eigenoperators  $|Q_q\rangle$ . After Fourier transformation of the NMR spectrum, the peak associated with the eigenoperator  $|Q_q\rangle$  has amplitude  $a_q$ , center frequency  $\omega_q$ , and has a Lorentzian shape with half-width-at-half-height equal to  $\lambda_q$ , in units of  $\text{rad s}^{-1}$ . Its full-width-at-half-height is given by  $\lambda_q/\pi$  in units of Hz.

The relaxation superoperator  $\hat{\Gamma}$  may be written as a sum of auto-correlation terms for the DD and CSA interactions and a DD  $\times$  CSA cross-correlation term [Eq. (28)]. The coherence decay rate constants  $\lambda_q$  may therefore be written as a superposition of terms

$$\lambda_q = \lambda_q^{\text{DD}} + \lambda_q^{\text{CSA}} + \lambda_q^{\text{DD} \times \text{CSA}}. \quad (48)$$

The computed CSA biaxiality parameters  $\eta$  are very small for both  $^{13}\text{C}$  sites of system I (see Table I). Making the approximation that  $\eta_j \simeq \eta_k \simeq 0$ , all components of the spatial tensor associated with the CSA interaction vanish except for  $[A_{20}^{\text{CSA}}]^P = \sqrt{3/2} \delta^{\text{CSA}}$ , and the transformation in Eq. (18) reduces to

$$\begin{aligned} [A_{2n}^{\text{CSA}}]^D &= [A_{20}^{\text{CSA}}]^P D_{0n}^{(2)}(\Omega_{PD}^j) \\ &= [A_{20}^{\text{CSA}}]^P, \end{aligned} \quad (49)$$

where the last line is obtained by noting that the  $P$ - and  $D$ -frames are coincident, and all Euler angles may be set to zero.

For the two triplet–triplet coherences, each term in Eq. (48) is given by

$$\lambda_{\pm}^{\text{DD}} = \frac{3}{20} b_{jk}^2 \tau_{\perp} \left( 3 + \frac{3}{1 + \omega_0^2 \tau_{\perp}^2} + \frac{2}{1 + 4\omega_0^2 \tau_{\perp}^2} \right), \quad (50)$$



$$\lambda_{\pm}^{\text{CSA}} = \frac{1}{20} \omega_0^2 \tau_{\perp} \left\{ \left( [\delta_j^{\text{CSA}}]^2 + [\delta_k^{\text{CSA}}]^2 \right) \frac{5 + 2\omega_0^2 \tau_{\perp}^2}{1 + \omega_0^2 \tau_{\perp}^2} + \delta_j^{\text{CSA}} \delta_k^{\text{CSA}} \frac{3}{1 + \omega_0^2 \tau_{\perp}^2} \right\}, \quad (51)$$

and

$$\lambda_{\pm}^{\text{DD} \times \text{CSA}} = \pm \frac{3}{20} \omega_0 b_{jk} \tau_{\perp} (\delta_j^{\text{CSA}} + \delta_k^{\text{CSA}}) \frac{3 + 2\omega_0^2 \tau_{\perp}^2}{1 + \omega_0^2 \tau_{\perp}^2}. \quad (52)$$

Equations (50)–(52) depend on the correlation time  $\tau_{\perp}$  for molecular rotation around an axis perpendicular to the long axis of the molecule. Rotational diffusion around the molecular long axis does not modulate the spin interactions, under the approximation of a rigid symmetric top undergoing rotational diffusion, and does not lead to spin relaxation.

In the current case, the chemical shift anisotropies of the two spins are very similar, allowing the simplification  $\delta^{\text{CSA}} \approx \delta_j^{\text{CSA}} \approx \delta_k^{\text{CSA}}$ .

The limiting regimes of the correlation time  $\tau_{\perp}$  are as follows:

1. In the *extreme narrowing limit*,  $|\omega_0 \tau_{\perp}| \ll 1$ , Eq. (48) may be written as

$$\lambda_{\pm} \approx \frac{3}{10} (4b_{jk} \pm 3\omega_0 \delta^{\text{CSA}}) b_{jk} \tau_{\perp} + \lambda^{\text{CSA}}, \quad (53)$$

where the CSA-induced decay rate constant  $\lambda^{\text{CSA}}$  is given by

$$\lambda^{\text{CSA}} \approx \frac{13}{20} \omega_0^2 [\delta^{\text{CSA}}]^2 \tau_{\perp}. \quad (54)$$

The field-dependence of the two rate constants  $\lambda_{\pm}$  is illustrated in Fig. 5(a). The decay rate constant  $\lambda_{+}$  is minimized at a magnetic field such that  $|4b_{jk}| = |3\omega_0 \delta^{\text{CSA}}|$ , in which case the first term in Eq. (53) cancels out. At this field, the dipole–dipole contribution to the decay rate constant vanishes, and  $\lambda_{+}$  becomes equal to the limiting CSA relaxation rate constant  $\lambda^{\text{CSA}}$  [Eq. (54)]. The decay rate constant  $\lambda_{+}$ , on the other hand, increases monotonically with increasing magnetic field.

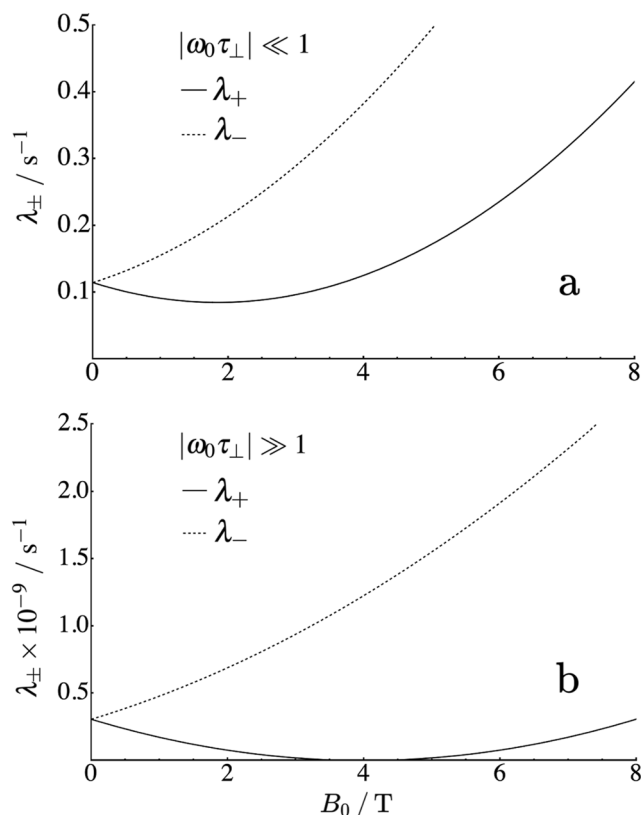
2. In the *long correlation time limit*,  $|\omega_0 \tau_{\perp}| \gg 1$ , Eq. (48) may be written as

$$\lambda_{\pm} \approx \frac{1}{20} (3b_{jk} \pm 2\omega_0 \delta^{\text{CSA}})^2 \tau_{\perp}. \quad (55)$$

The field-dependence of the two rate constants  $\lambda_{\pm}$  is illustrated in Fig. 5(b). In this regime, the linewidth parameter  $\lambda_{+}$  goes to zero at a magnetic field such that  $|3b_{jk}| = |2\omega_0 \delta^{\text{CSA}}|$ . The strong narrowing of one of the two doublet components resembles the TROSY effects exploited in biomolecular NMR.<sup>12,13</sup>

## IV. RESULTS

Using Eq. (37), the peak amplitudes associated with the  $(-1)$ -quantum singlet-triplet coherences are given by  $\propto \sin^2(\theta/2)$ , while those associated with the  $(-1)$ -quantum triplet-triplet coherences are given by  $\propto \cos^2(\theta/2)$ . In the current case, the singlet-triplet



**FIG. 5.** Plots of the linewidth parameters  $\lambda_{\pm}$  against external static field for the parameters in Table I. (a) The extreme-narrowing limit, based on Eq. (53), showing the minimum  $\lambda_{+} = 8.47 \times 10^{-2} \text{ s}^{-1}$  at  $B_0 = 1.84$  T. (b) The long- $\tau_{\perp}$  limit, with a minimum  $\lambda_{+} = 0$  at  $B_0 = 4.0$  T. The DD and CSA mechanisms cancel in the long- $\tau_{\perp}$  limit at this magnetic field. The cancellation is incomplete at the extreme-narrowing limit.

mixing angle is small ( $\theta = -0.0750 = -4.30^\circ$ ), and the amplitudes are

$$\begin{aligned} a_{S'_0 \rightarrow T'_{+1}} &= a_{T'_{-1} \rightarrow S'_0} \approx 0.686 \times 10^{-3}, \\ a_{T'_{+1} \rightarrow T'_{+1}} &= a_{T'_{-1} \rightarrow T'_{-1}} \approx 0.499, \end{aligned} \quad (56)$$

with the sum of all amplitudes equal to 1. The spectrum is therefore dominated by the strong peaks from the two triplet-triplet coherences.

From Eqs. (15) and (44), the frequency  $\omega_{+}$  is less than  $\omega_{-}$ . This indicates that the left peak of the doublet is associated with the  $Q_{+}$  coherence, while the right-hand peak is associated with the  $Q_{-}$  coherence, after taking into account the sign of the Larmor frequency.<sup>41</sup> This assignment is shown in Fig. 4. The split between the peaks is given by  $\Delta\omega/(2\pi) = 0.60$  Hz.

From Eqs. (50)–(52), since  $b_{jk}$ ,  $\omega_0$ ,  $\delta_j^{\text{CSA}}$  and  $\delta_k^{\text{CSA}}$  are all negative, we see that the cross-correlation contributions reduce the value of  $\lambda_{+}$  while increasing the value of  $\lambda_{-}$ . For the experimental parameters, the coherence decay rate constants are given by

**TABLE V.** Parameters used to plot the analytical spectral function in Fig. 2.

Parameter	Value	Note
$\lambda_+$	$0.583 \text{ s}^{-1}$	Equation (53)
$\lambda_-$	$1.19 \text{ s}^{-1}$	Equation (53)
$a_{\pm}$	0.499	Equation (37)
$\omega_{\pm}$	$\mp 1.90 \text{ rad s}^{-1}$	Equation (44)

$\lambda_+ \approx 0.583 \text{ s}^{-1}$  and  $\lambda_- \approx 1.190 \text{ s}^{-1}$ . These correspond to full peak-widths at half-height of 0.186 and 0.379 Hz for the left-hand and right-hand doublet components, respectively.

The green curve in Fig. 2 is a plot of the analytical spectral function

$$S(\omega) = a_+ \frac{\lambda_+}{\lambda_+^2 + (\omega - \omega_+)^2} + a_- \frac{\lambda_-}{\lambda_-^2 + (\omega - \omega_-)^2}, \quad (57)$$

using the parameters in Table V. There is good agreement with the experimental  $^{13}\text{C}$  NMR spectrum (black).

The blue curve in Fig. 2 shows the result of a numerical calculation using *SpinDynamica*<sup>23</sup> in which the full Liouvillian is diagonalized. There is good qualitative agreement between the numerical simulations, the analytical theory, and the experimental result. The minor differences between the *SpinDynamica* simulation and the analytical theory may be attributed to the neglect of the off-diagonal Liouvillian elements in the analytical theory [see discussion after Eq. (43)].

## V. CONCLUSIONS

The results and theory reported here show that cross-correlated relaxation can have a strong effect on the NMR spectra of homonuclear spin-1/2 pairs in the near-equivalence regime. This has strong relevance to NMR experiments on long-lived states, which are often performed on spin systems of this kind.<sup>42–45</sup>

In the following paper, we explore the influence of cross-correlated relaxation on the *longitudinal* relaxation of spin systems of this kind, including the relaxation of long-lived states.

## SUPPLEMENTARY MATERIAL

See the [supplementary material](#) for the 700 MHz spectrum, the derivation of the relaxation superoperator in more detail, and the synthesis details of I.

## ACKNOWLEDGMENTS

We thank Laurynas Dagys for insightful discussions on both experiment and theory. This research was supported by the European Research Council (Grant No. 786707-FunMagResBeacons) and EPSRC-UK (Grant Nos. EP/P030491/1 and EP/P009980/1). We also acknowledge the IRIDIS High-Performance Computing Facility and associated support services at the University of Southampton.

## AUTHOR DECLARATIONS

### Conflict of Interest

The authors have no conflicts to disclose.

### Author Contributions

**James W. Whiphram:** Conceptualization (equal); Data curation (lead); Formal analysis (lead); Methodology (lead); Visualization (lead); Software (lead); Writing – original draft (lead); Writing – review & editing (lead). **Gamal A. I. Moustafa:** Resources (lead); Writing – review & editing (supporting). **Mohamed Sabba:** Conceptualization (equal); Formal analysis (equal); Methodology (supporting); Writing – review & editing (supporting). **Weidong Gong:** Resources (supporting). **Christian Bengs:** Conceptualization (equal); Formal analysis (supporting); Methodology (supporting); Software (supporting); Writing – review & editing (supporting). **Malcolm H. Levitt:** Conceptualization (equal); Funding acquisition (lead); Visualization (supporting); Software (supporting); Writing – review & editing (supporting).

## DATA AVAILABILITY

A Mathematica notebook accompanying this study is available at <https://doi.org/10.5258/SOTON/D2322>.

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