

1 **Lactoferrin modulates the biofilm formation and *bap* gene expression of**
2 **methicillin-resistant *Staphylococcus epidermidis***

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36 **ABSTRACT**

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38 Lactoferrin is an innate glycoprotein with broad antibacterial and antibiofilm
39 properties. Lactoferrin's autonomous antibiofilm activity against Gram-positive bacteria is
40 postulated to involve the cell wall and biofilm components. Thus, the prevention of biomass
41 formation and eradication of preformed biofilms by lactoferrin was investigated using a
42 methicillin-resistant *Staphylococcus epidermidis* (MRSE) strain. Additionally, the ability of
43 lactoferrin to modulate the expression of the biofilm-associated protein gene (*bap*) was
44 studied. The *bap* gene regulates the production of biofilm-associated proteins responsible for
45 bacterial adhesion and aggregation. In the *in vitro* biofilm assays, lactoferrin prevented
46 biofilm formation and eradicated established biofilms for up to 24 and 72 hours, respectively.
47 Extensive eradication of MRSE biofilm biomass was accompanied by the significant
48 upregulation of *bap* gene expression. These data suggest the interaction of lactoferrin with the
49 biofilm components and cell wall of MRSE, including the biofilm-associated protein.

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54 **Keywords:** Lactoferrin, methicillin-resistant *Staphylococcus epidermidis*, biofilm prevention,
55 biofilm eradication, biofilm-associated protein gene

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61 **Introduction**

62 Lactoferrin is an iron-binding glycoprotein constitutively present in bodily secretions
63 and cells, including neutrophils, macrophages, and glandular epithelial cells. Endogenous
64 lactoferrin has immunomodulatory and antimicrobial functions both in physiological and
65 pathological conditions (Moreno-Expósito *et al.*, 2018). *In vitro* investigation of exogenous
66 lactoferrin had revealed antibacterial and antibiofilm activities against Gram-positive and
67 Gram-negative pathogens. These effects were demonstrated against the planktonic (free-
68 living) and biofilm (aggregated) forms of the bacteria.

69 Lactoferrin demonstrated antibacterial activity against the planktonic Gram-negative
70 bacterium, *Salmonella typhimurium* through interactions with the lipopolysaccharide (LPS)
71 and porin of the cell surface (Naidu *et al.*, 1993). Lactoferrin also had damaging effects on
72 the outer membrane of *Helicobacter pylori* (Wang *et al.*, 2001).

73 Lactoferrin increased the twitch-dependent motility of *Pseudomonas aeruginosa*
74 through the sequestration of iron, which subsequently led to the reduction of biofilm
75 formation (Singh, 2004). Further antibiofilm effects were demonstrated through the
76 degradation of a protein that mediated adherence in *Haemophilus influenzae* (Qiu *et al.*,
77 1998), and the disconnection of *Porphyromonas gingivalis* and *Prevotella intermedia* from
78 plastic well plates, probably through the interference of bacterial adherence (Wakabayashi *et*
79 *al.*, 2009). In addition, lactoferrin reduced 24-hour-old biofilms of *Escherichia coli* through
80 disruption of the bacterial membrane and type III secretion system (Sheffield *et al.*, 2012).

81 The antibacterial activity of lactoferrin against Gram-positive bacteria is most often
82 associated with the protein's iron-binding ability and cidal region. Lactoferrin was shown to
83 inhibit the growth and killed *Staphylococcus aureus* while destructed its biofilms (Håversen
84 *et al.*, 2010; Meyle *et al.*, 2010; Lizzi *et al.*, 2016). Lactoferrin also prevented the biofilm

85 formation of *Streptococcus mutans* through iron sequestration and inhibition of adhesion
86 (Francesca *et al.*, 2004).

87 Leitch and Willcox (1999) established that lactoferrin improved the inhibitory and
88 killing actions of vancomycin, against a clinical isolate of *Staphylococcus epidermidis*. The
89 cationic lactoferrin was hypothesized to interact with the anionic components of the bacterial
90 cell wall, which consequently assisted the killing actions of vancomycin and lysozyme
91 (Leitch and Willcox, 1999). A decade later, Venkatesh *et al.* (2009) reported the reduction of
92 *S. epidermidis* biofilm biomass (μm^3) and mean thickness (μm) after treatment with
93 lactoferrin alone and in combination with vancomycin or nafcillin (Venkatesh *et al.*, 2009).

94 More than a decade after this 2009 report, there is still a lack of evidence explaining the
95 antibiofilm effects of lactoferrin against Gram-positive bacteria in the absence of iron,
96 antibiotics, antiseptics, and lysozyme. Similar data is not available for multidrug-resistant
97 bacterial strains of clinical significance such as the methicillin-resistant *S. epidermidis*
98 (MRSE). Hence, the abilities of lactoferrin to independently prevent MRSE biofilms and
99 eradicate established biofilms were evaluated. In order to elucidate the potential of lactoferrin
100 to modulate vital biofilm-related genes, expression of the biofilm-associated protein gene
101 (*bap*) was evaluated in MRSE biofilms treated with lactoferrin.

102

103 **Methods and Materials**

104 **Prevention of biofilm formation**

105 Suspensions of methicillin-resistant *S. epidermidis* (MRSE, ATCC 49461,
106 Microbiologics, USA) were prepared at 4×10^6 colony forming units (cfu)/mL, in tryptone
107 soya broth. The bacteria in suspension were incubated with lactoferrin (bovine, Tatua Co-
108 operative Dairy, New Zealand), for 2 to 24 hours at 37°C and under static conditions. The
109 final doses of lactoferrin in the 96-multiwell plates were 512 to 4096 $\mu\text{g}/\text{mL}$. These doses of

110 lactoferrin corresponded to one-eighth (512 $\mu\text{g/mL}$) and up to the most effective
111 concentration (4096 $\mu\text{g/mL}$), which eradicated preformed MRSE biofilms (Suppl., Figure
112 S1). In this prevention assay, phosphate buffered saline (PBS) and vancomycin (1 and 2
113 $\mu\text{g/mL}$, Shanghai PI Chemicals, China) were the negative and positive control treatments,
114 respectively. Doses of the vancomycin antibiotic were $1\times$ and $2\times$ the effective minimal
115 inhibitory concentration determined against the MRSE.

116 At selected time points within the 24 hours, formed biofilms were assessed for biomass
117 using crystal violet staining and cellular metabolic activity using resazurin staining. The
118 crystal violet staining method was adopted from a published work (Stepanović *et al.*, 2001)
119 and further optimised for *S. epidermidis*. In this crystal violet assay, biofilms were washed
120 three times with PBS, fixed with methanol (99%), and stained with 0.1% aqueous crystal
121 violet (Acros Organics, Belgium) solution for 15 minutes. Excess crystal violet was removed
122 using PBS and dye absorbed by the biofilm biomasses was solubilised using 30% acetic acid-
123 aqueous solution. The absorbance of the acid-dye solution was measured at 570 nm using a
124 microplate reader (Spectramax M3, Molecular Devices, USA).

125 The resazurin staining method was adopted from the literature (Sandberg *et al.*, 2009;
126 Van den Driessche *et al.*, 2014) and further optimised. In the resazurin assay, treated biofilms
127 were washed with PBS and stained with 0.01% resazurin (Acros Organics, Belgium) aqueous
128 solution for 3 hours at 37°C , in the dark. The fluorescence intensity of the resazurin dye
129 solution was then measured at 560/590 nm. All experiments were performed under static
130 conditions and the assay was repeated twice (N=9).

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132 **Prolonged 72-hour treatment of preformed biofilms**

133 MRSE biofilms were grown to uniformity in 96-multiwell plates for 20 hours using
134 4×10^6 cfu/mL bacterial suspension in tryptone soya broth. Preformed biofilms were gently

135 washed with PBS and treated with 4096 and 8192 $\mu\text{g}/\text{mL}$ lactoferrin for 24 hours. PBS and
136 vancomycin were the control treatments. The treated biofilms were washed and re-treated for
137 the same duration and doses twice again. At the end of 72 hours and three repeated
138 treatments, biomasses dispersed from the treated biofilms into the broth were collected. The
139 collected biomasses were transferred into new multiwell plates and sedimented using
140 centrifugation at 1,500 rpm for 10 minutes at 4°C. The dispersed biomasses and remaining
141 adherent biofilms in the wells post-treatment were stained with crystal violet and resazurin.
142 This assay was repeated twice (N=9).

143

144 **Expression of the *bap* gene**

145 MRSE biofilms were grown to uniformity for 20 hours in 12-multiwell plates using
146 4×10^6 cfu/mL bacterial inocula. Biofilms were gently washed with PBS and treated with
147 lactoferrin (4096 $\mu\text{g}/\text{mL}$). Treatment was conducted through incubation at 37°C for 20 hours,
148 which is the earliest time point of significant biomass reduction by lactoferrin in preliminary
149 experiments (Suppl., Figure S2). Biofilms were also treated with the combinatorial treatment
150 of vancomycin (1 $\mu\text{g}/\text{mL}$) and lactoferrin (4096 $\mu\text{g}/\text{mL}$) and the positive control, vancomycin
151 alone. The treated biomasses were collected using RNase-free water and scrapping. The
152 samples were then exposed to cold acetone: ethanol solution (1:1, v/v) for 30 minutes with
153 intermittent agitation (Beltrame *et al.*, 2015). These solvents aid in the removal of proteins
154 and polysaccharides of the extracellular matrix present in the biofilm samples that may
155 interfere with the cell lysis step (França *et al.*, 2011). The now exposed bacterial cells were
156 lysed using tris-ethylenediaminetetraacetic acid (EDTA) buffer and lysozyme (50 mg/mL) for
157 90 minutes with regular and vigorous mixing.

158 RNA was extracted with a column-based RNA extraction kit (Analytik Jena, Germany)
159 using steps outlined by the manufacturer. RNA samples (300 ng) were reverse transcribed

160 using a complementary DNA (cDNA) synthesis kit (Bioline, UK) in thermocycling
161 conditions recommended by the manufacturer, with optimisations. The cDNA samples were
162 amplified and quantified in real-time using a SYBR[®] green-based polymerase chain reaction
163 (PCR) kit (Bioline, UK) and subjected to a thermocycler (C1000 Touch Thermal Cycler,
164 CFX96 Real-time system, Bio-rad, USA). 16S ribosomal RNA reference gene (*16S rRNA*)
165 primers (5'–3'), forward: GGGCTACACACGTGCTACAA; reverse:
166 GTACAAGACCCGGGAACGTA (França *et al.*, 2011), and the biofilm-associated protein
167 gene (*bap*) primers (5'–3'), forward: GAGCCAGATAAACAACAAGAAG; reverse:
168 CATGCTCAGCAATAATTGGATC (Ceotto-Vigoder *et al.*, 2016) were used. The gene
169 expression assay was repeated twice (N=9).

170

171 **Statistical analysis**

172 The two-tailed student's t-test was used to determine the statistical differences between
173 the control and test treatment groups of all replicates (N=9). A *p* value of less than 0.05
174 ($p < 0.05$) was recognised as a significant change.

175

176 **Results**

177 Lactoferrin at the doses of 512, 1024, 2048, and 4096 µg/mL, prevented the formation
178 of MRSE biofilms for up to 24 hours, resulting in very minimal biomasses in the wells
179 (**Figure 1**). MRSE exposed to lactoferrin at 512 and 4096 µg/mL, the lowest and highest
180 doses, respectively, had lesser biomass ($p < 0.05$) and metabolic activity ($p < 0.05$) than bacteria
181 exposed to vancomycin.

182 In a preliminary study, lactoferrin eradicated pre-formed biofilm biomass most
183 effectively at 4096 µg/mL (Suppl., Figure S1), hence this concentration was selected for
184 subsequent studies. Preformed biofilms treated with 4096 µg/mL of lactoferrin at 24 hours

185 intervals for up to 72 hours had significantly lower amounts of adherent biofilms (**Figure**
186 **2A**), while the amounts of dispersed biomasses were significantly higher compared to PBS
187 and vancomycin. Comparing the treatment of lactoferrin at 24 and 72 hours, there was a
188 significant increase in the amount of biomass of dispersed cells. However, these dispersed
189 cells exhibited a significant reduction in metabolic activity (**Figure 2B**). The reduced
190 metabolic activities of the dispersed cells showed that lactoferrin is bacteriostatic against the
191 reference strain of MRSE. This finding is consistent with other reported studies (González-
192 Chávez *et al.*, 2009; Moreno-Expósito *et al.*, 2018). Additionally, MRSE biofilms treated
193 with lactoferrin dislodged easily from the wells and were less dense in texture, compared to
194 the PBS and vancomycin controls (Suppl., Figure S3).

195 In the evaluation of *bap* gene expressions in MRSE collected from treated biofilms,
196 lactoferrin caused an upregulation of the gene by 22-fold (**Figure 3**). The increased
197 expression of *bap* was significant ($p < 0.05$) compared to the biofilms treated with vancomycin
198 alone and the combinatorial treatment of lactoferrin and vancomycin, which recorded
199 upregulations of 1.6-fold and 1-fold, respectively.

200

201 **Discussion**

202 MRSE is a multidrug-resistant Gram-positive bacteria of significant clinical
203 importance, causing infections through biofilm formation on invasive medical devices (Le *et*
204 *al.*, 2018). As the prevention of biofilm formation is established as an optimal approach to
205 managing biofilm-related infections (Khatoon *et al.*, 2018), more studies are needed to
206 elucidate the preventive abilities of potentially effective compounds. Although previously
207 proven to be an antibiofilm agent, the potential of lactoferrin to prevent MRSE biofilm
208 formation has not been reported.

209 In the current investigation, lactoferrin at 512 $\mu\text{g/mL}$, the lowest dose tested, prevented
210 biofilm formation for up to 24 hours. This preventive ability might be attributed to
211 lactoferrin's bacteriostatic activity (Suppl., Figure S4). This leads to the impediment of
212 bacterial growth, reducing the number of bacteria that can adhere and establish biofilms.
213 Additionally, lactoferrin was previously postulated to negatively impact the bacterial cell wall
214 (Qiu J *et al.*, 1998; Leitch and Willcox, 1999). Thus, the affected cell wall may be
215 compromised of its components responsible for bacterial adhesion and aggregation. These
216 two processes are vital to establishing biofilms. The reduced number of surviving MRSE due
217 to growth inhibition and the probable impediment of adhesion regulating components may
218 have collectively hindered the biofilm formation of MRSE by lactoferrin, under the current *in*
219 *vitro* settings.

220 Results from the biofilm eradication assay further suggested the interaction of
221 lactoferrin with components of the bacterial cell wall. As the biofilm forms and continue to
222 develop and mature, alterations in nutrient availability, oxygen fluctuation, and an increase in
223 toxic products trigger the bacterial cells trapped within the biofilm to disperse. These
224 dispersed bacteria can survive and attach to other niches and re-form new biofilms and
225 occupy new niches (Kaplan, 2010; Wang *et al.*, 2011; McDougald *et al.*, 2012). In this study,
226 lactoferrin demonstrated the ability to eradicate established MRSE biofilms and increased the
227 dispersal of biomasses after multiple treatments. The increased dispersal reduced the biofilm
228 biomass and increased the susceptibility of the remaining cells in the biofilms (Wille and
229 Coenye, 2020). As these dispersed cells are generally more susceptible to antimicrobial
230 treatment than biofilm-residing cells (Verderosa *et al.*, 2019), biofilm dispersal could be a
231 crucial approach to managing pathogenic biofilms.

232 These observations also suggest the targeting of teichoic acids which are not only found
233 on the bacterial cell wall but also in the biofilm matrix of *S. epidermidis* (Jabbouri and

234 Sadovskaya, 2010; Swoboda *et al.*, 2010). This interaction had been previously associated
235 with charge, whereby the negatively charged teichoic acids are targeted by the positively
236 charged lactoferrin (Vorland *et al.*, 1998; González-Chávez *et al.*, 2009). The lactoferrin-
237 teichoic acid interaction may have affected the teichoic acid's functions in biofilm formation,
238 resulting in weakened biofilms.

239 Selected bacterial genes are also implicated in biofilm formation, thus the gene
240 responsible for a cell wall protein, the biofilm associated protein (Bap), was further
241 investigated. The *bap* gene was selected as Bap is present on the bacteria cell surface and
242 may be affected by lactoferrin's interaction with the cell wall. In the *Staphylococcus* species,
243 Bap is responsible for primary attachment to surfaces and cell-cell adhesion (Tormo *et al.*,
244 2005). Furthermore, disruption of the *bap* gene was previously shown to result in the loss of
245 biofilm-forming capacity, while biofilm-negative (non-biofilm forming) *S. epidermidis*
246 strains do not express the Bap (Tormo *et al.*, 2005; Trotonda *et al.*, 2005).

247 Although treatment with lactoferrin resulted in minimal MRSE biomass in the wells, a
248 significant upregulation of the *bap* gene was noted. It is thus probable that *bap* was
249 upregulated to produce more Bap, which were affected by lactoferrin's interaction with
250 MRSE's cell wall. To test this hypothesis, the effects of lactoferrin on the Bap structure and
251 functions in the biofilm formation processes require further investigation. In addition, more
252 data is needed on the specific effects of lactoferrin on teichoic acids in the biofilms of Gram-
253 positive pathogens.

254

255 **Conclusions**

256 Lactoferrin prevented the biofilm formation of a methicillin-resistant *S. epidermidis*
257 strain for up to 24 hours, probably through the inhibition of bacterial growth, adhesion, and
258 aggregation. Lactoferrin also eradicated established biofilms for up to 72 hours through the

259 disruption and dispersion of biomass, which suggested interaction with components of the
260 biofilm extracellular matrix responsible for structural integrity. Although a significant
261 eradication of MRSE biofilm biomass was achieved by lactoferrin, upregulation of the *bap*
262 gene was demonstrated. Upregulation of this gene further suggests a possible strategy of
263 lactoferrin in targeting bacterial cell wall components that are key for biofilm formation.
264 Additional experiments are needed to further explain the antibiofilm mechanisms of
265 lactoferrin, specifically those targeting genes and proteins regulating biofilm formation in
266 pathogens.

267

268 **List of Abbreviations**

269 LPS: lipopolysaccharide, MRSE: methicillin-resistant *Staphylococcus epidermidis*, *bap*:
270 biofilm-associated protein gene, ATCC: American Type Culture Collection, cfu: colony
271 forming units, PBS: phosphate buffered saline, EDTA: ethylenediaminetetraacetic acid,
272 RNA: ribonucleic acid, cDNA: complementary deoxyribonucleic acid, qPCR: real-time
273 polymerase chain reaction, Bap: biofilm-associated protein.

274

275 **Declarations**

276 **Ethics approval and consent to participate/for publication**

277 Not applicable.

278

279 **Availability of data and materials**

280 The datasets used and/or analysed during the current study are available from the
281 corresponding author, while relevant datasets are included in this published article and its
282 supplementary files.

283

284 **Competing interests**

285 No conflict of interest is declared.

286

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290

291 **Authors' contributions**

292 RK conducted the experiments, analysed the data, and drafted the manuscript. CPY
293 analysed the data and drafted the manuscript, SCC and CBY drafted and finalised the
294 manuscript. CBY, CPY, and SCC were supervisors to RK.

295

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412 **List of figure legends**

413 **Figure 1.** A. Biomass and B. metabolic activity of MRSE biofilms after 24 hours of exposure
414 to lactoferrin (LF) and vancomycin (VN). Standard deviation bars are included.

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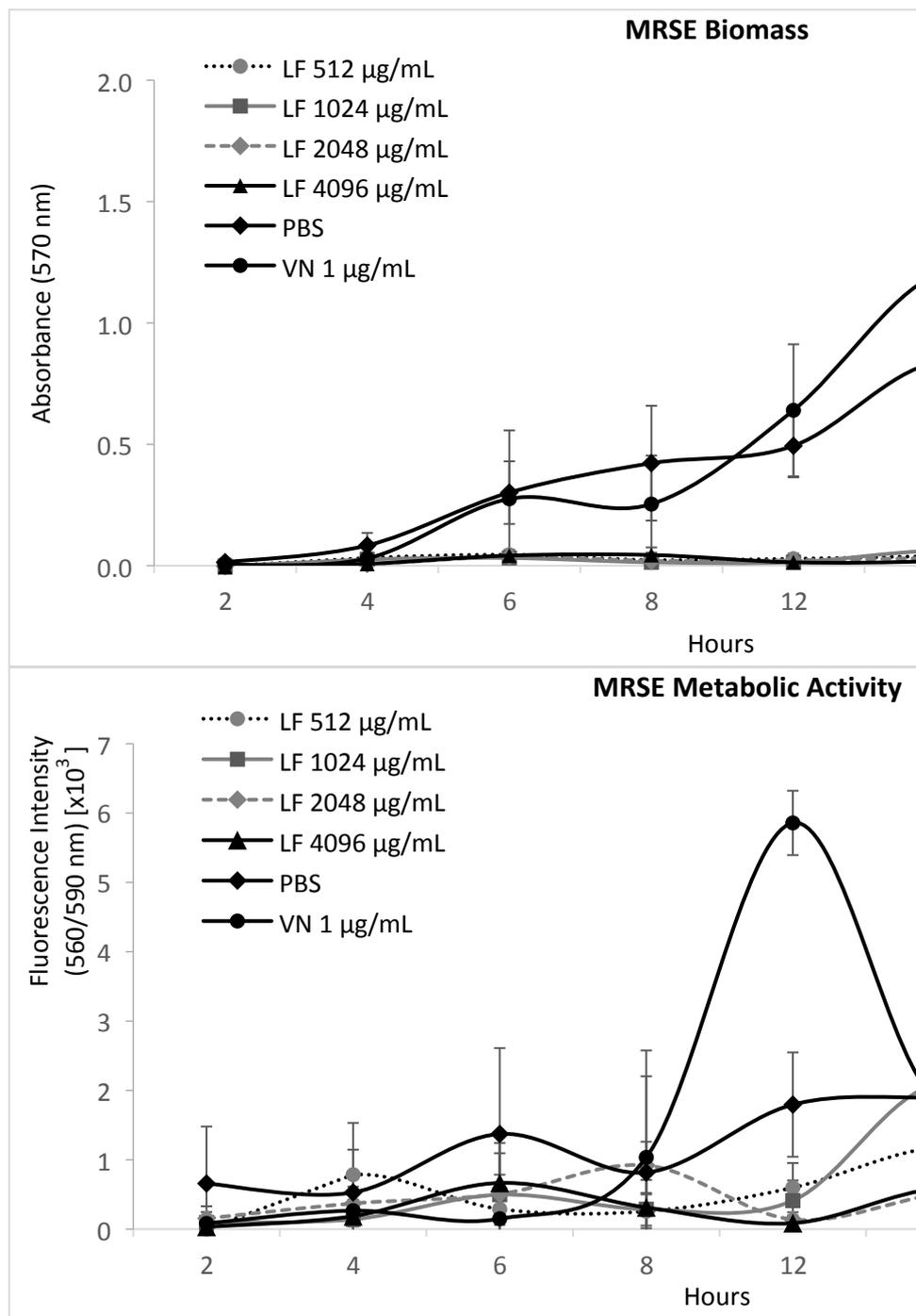
416 **Figure 2.** A. Biomass and B. metabolic activity of adherent MRSE biofilms and dispersed
417 biofilms after repeated treatment with lactoferrin (LF) and vancomycin (VN) for 72 hours,
418 compared to a single 24-hours treatment. Standard deviation bars are included.

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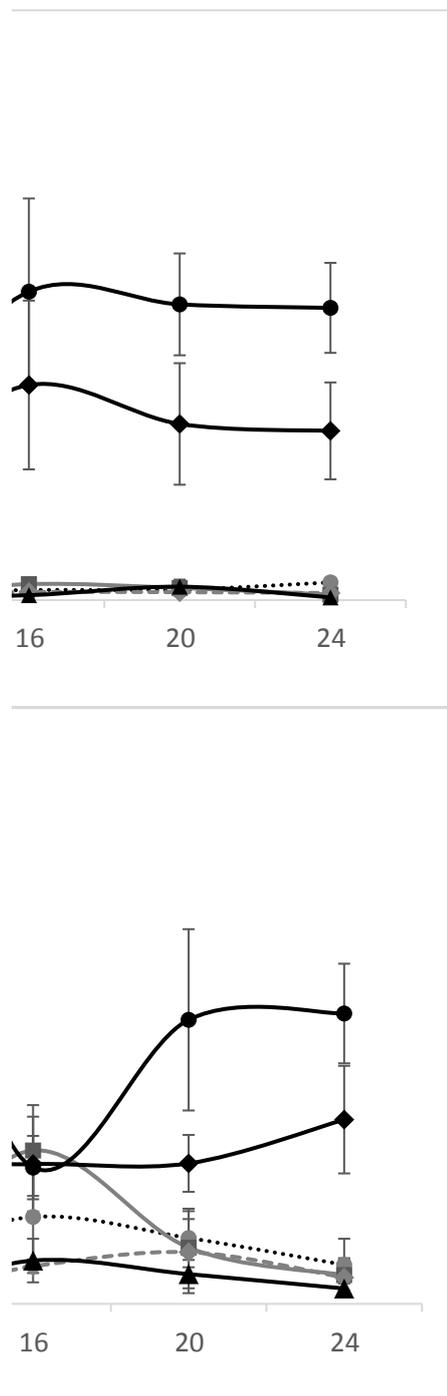
420 **Figure 3.** *bap* gene expression in MRSE biofilm cells after 20 hours treatment with
421 lactoferrin (LF), vancomycin (VN) and a combination of VN+LF treated biofilms. Standard
422 deviation bars are included.

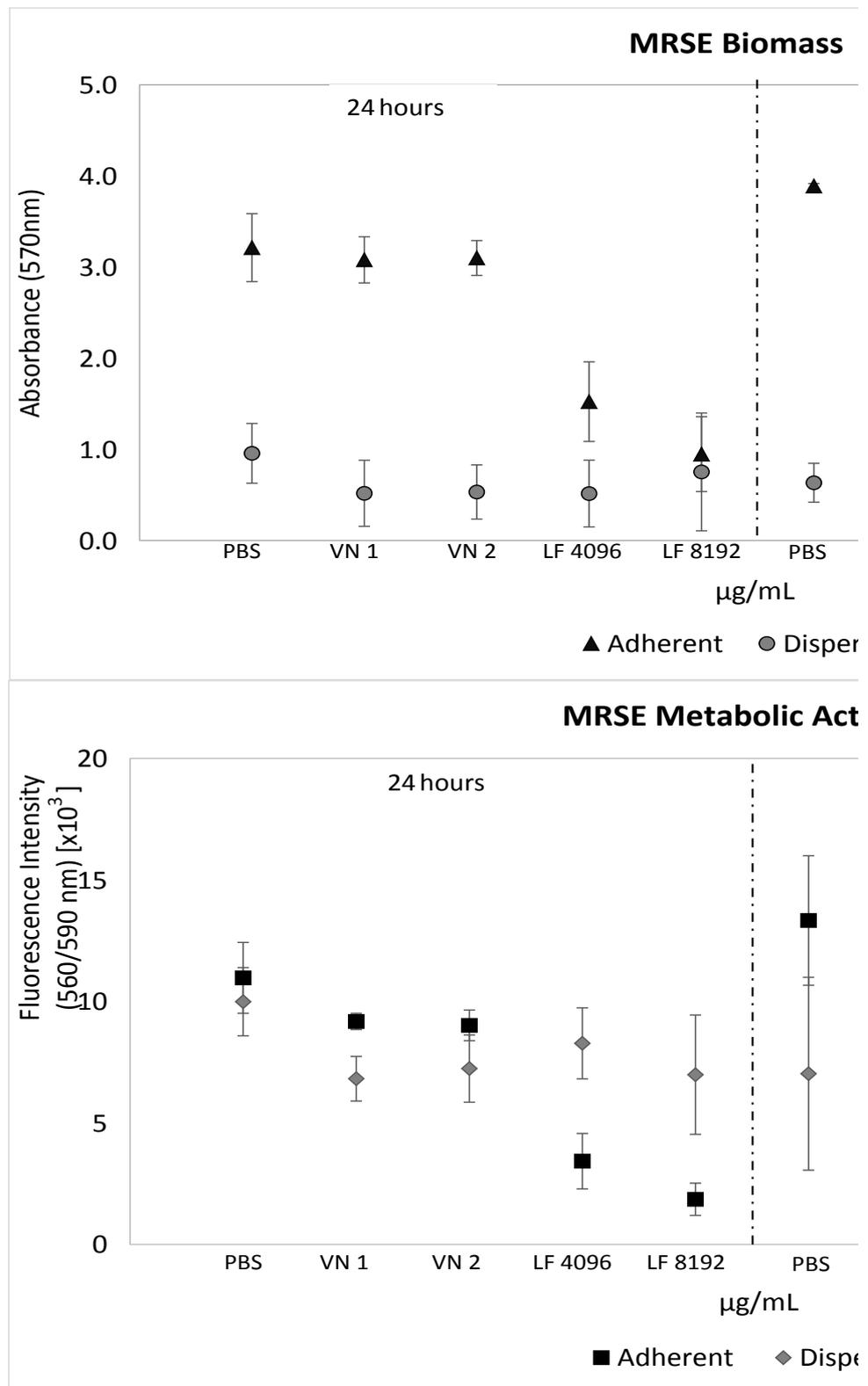
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CJM-2022-0315_Lactoferrin modulates the biofilm formation and *bap* gene expression of methicillin-res:
Figure 1. Biomass and metabolic activity of prevented biofilm formation

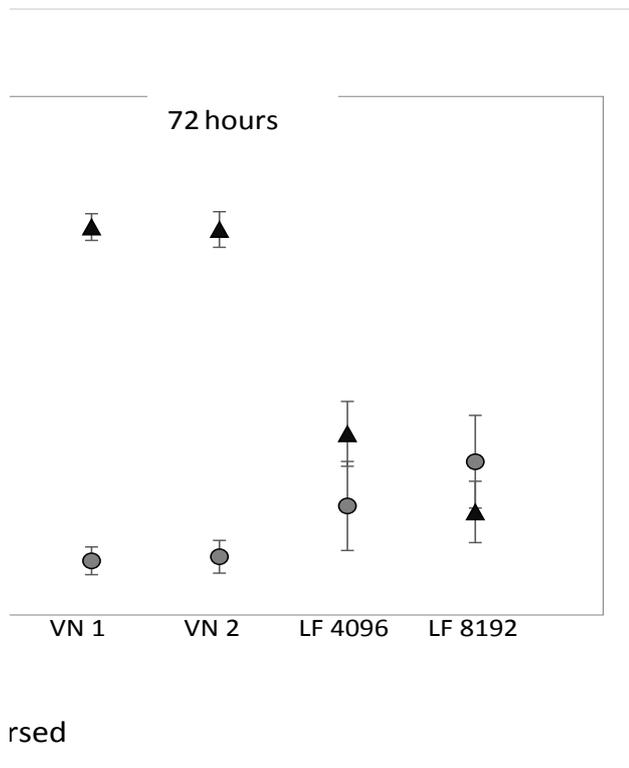


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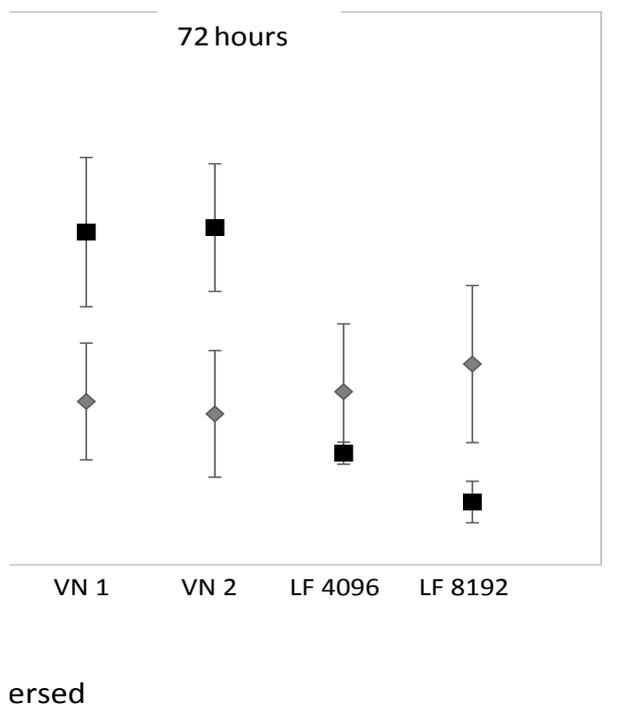


CJM-2022-0315_Lactoferrin modulates the biofilm formation and *bap* gene expression of methicillin-res**Figure 2. Biomass and metabolic activity after repeated treatment**

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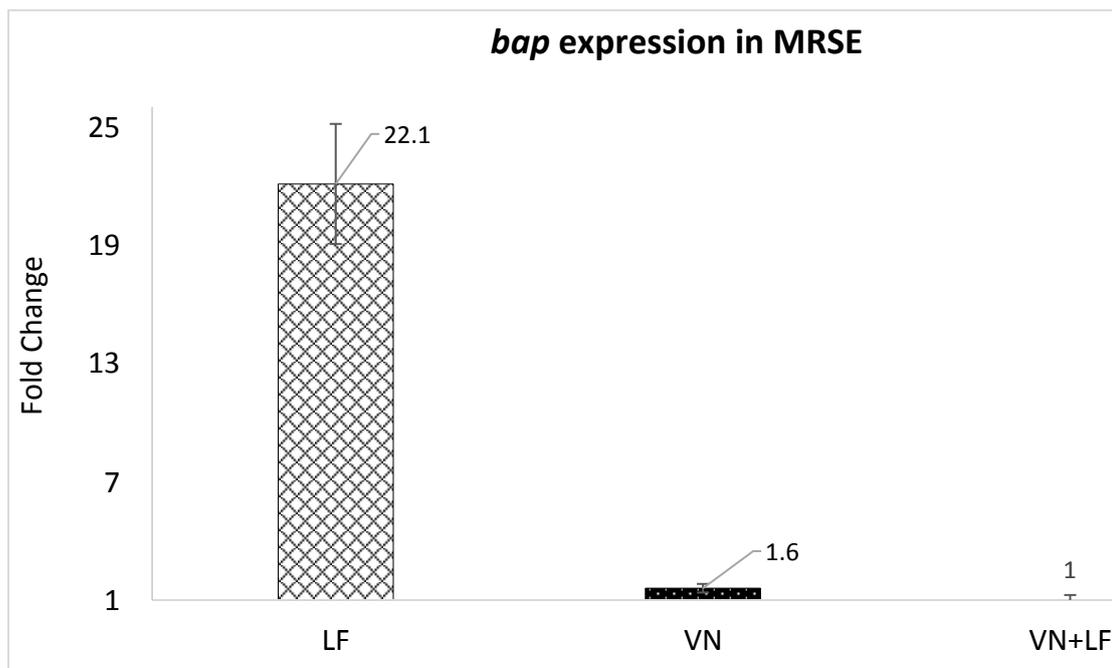


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Figure 3. *bap* gene expression



sistant *Staphylococcus epidermidis*

