

1 Diversity and assembly of active bacteria and their potential function  
2 along soil aggregates in a paddy field

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4 Authors

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23 **Abstract:**

24 Numerous studies have found that soil microbiomes differ at the aggregate level  
25 indicating they provide spatially heterogeneous habitats for microbial communities to  
26 develop. However, an understanding of the assembly processes and the functional  
27 profile of microbes at the aggregate level remain largely rudimentary, particularly for  
28 those active members in soil aggregates. In this study, we investigated the diversity, co-  
29 occurrence network, assembly process and predictive functional profile of active  
30 bacteria in aggregates of different sizes using H<sub>2</sub><sup>18</sup>O-based DNA stable isotope probing  
31 (SIP) and 16S rRNA gene sequencing. Most of the bacterial reads were active with 91%  
32 of total reads incorporating labelled water during the incubation. The active microbial  
33 community belonged mostly of Proteobacteria and Actinobacteria, with a relative  
34 abundance of 55.32% and 28.12%, respectively. Assembly processes of the active  
35 bacteria were more stochastic than total bacteria, while the assembly processes of total  
36 bacteria were more influenced by deterministic processes. Furthermore, many  
37 functional profiles such as environmental information processing increased in active  
38 bacteria (19.39%) compared to total bacteria (11.22%). After incubation, the diversity  
39 and relative abundance of active bacteria of certain phyla increased, such as  
40 Proteobacteria (50.70% to 59.95%), Gemmatimonadetes (2.63% to 4.11%), and  
41 Bacteroidetes (1.50% to 2.84%). In small macroaggregates (SMA: 0.25-2mm), the  
42 active bacterial community and its assembly processes differed from that of other soil  
43 aggregates (MA: microaggregates, <0.25mm; LMA: large macroaggregates, 2-4mm).  
44 For functional profiles, the relative abundance of important functions, such as amino

45 acid metabolism, signal transduction and cell motility, increased with incubation days  
46 and/or in SMA compared to other aggregates. This study provides robust evidence that  
47 the community of active bacteria and its assembly processes in soil aggregates differed  
48 from total bacteria, and suggests the importance of dominant active bacteria (such as  
49 Proteobacteria) for the predicted functional profiles in the soil ecosystem.

50 **Key Words:** active bacteria, aggregates, bacterial composition, assembly processes,  
51 functional profiles, stable isotope probing

52

### 53 **1. Introduction**

54 Microorganisms are fundamental components of soil ecosystems and contribute  
55 significantly to ecosystem processes (Bahram et al., 2018). Although thousands of taxa  
56 exist in soil ecosystems, a large proportion of this diversity is composed of dormant or  
57 inactive individuals (Del Giorgio and Gasol, 2008; Jones and Lennon, 2010; Luna et  
58 al., 2002; Roesch et al., 2007). In order to identify the active microbiome in soil, stable  
59 isotope probing (SIP) using  $^{13}\text{CH}_4$ ,  $^{13}\text{CO}_2$ ,  $^{15}\text{NO}_2$  and  $\text{H}_2^{18}\text{O}$  has been successfully used  
60 (Aanderud and Lennon, 2011, Dumont and Hernández, 2019). Compared to  $^{13}\text{C}$ - and/or  
61  $^{15}\text{N}$ -,  $\text{H}_2^{18}\text{O}$ -based SIP has three advantages in linking microbial community with their  
62 function. Firstly, the addition of a single  $^{18}\text{O}$  atom increases the degree of physical  
63 separation between labelled and unlabelled fractions during isopycnic centrifugation  
64 (Aanderud and Lennon, 2011). Secondly, the pervasive requirement of water for  
65 cellular maintenance and biosynthesis enables  $\text{H}_2^{18}\text{O}$ -SIP to identify all actively  
66 growing microorganisms (Schwartz, 2007). Finally,  $\text{H}_2^{18}\text{O}$  can identify active microbes

67 in soils without additional material, creating an environment more similar to in situ  
68 conditions. Schwartz et al. (2014) found significant differences in the community  
69 composition of the heavy and light fractions by using H<sub>2</sub><sup>18</sup>O- SIP, and significant non-  
70 random phylogenetic distribution was found in <sup>18</sup>O-labelling bacteria under anoxic  
71 conditions (Coskun et al., 2019). Recently, studies have further revealed that most taxa  
72 in soils are metabolically active when incubated with H<sub>2</sub><sup>18</sup>O (Papp et al., 2018a, 2018b).

73 Rice paddy ecosystems constitute the largest wetlands on Earth, and host diverse  
74 microbial communities responsible for many important ecosystem functions and  
75 services (Leff et al., 2004; Bardgett and Van Der Putten, 2014). The relative  
76 contributions of deterministic and stochastic processes in microbial communities can  
77 be calculated by null and neutral models (Stegen et al., 2012, 2015; Vellend et al., 2014;  
78 Zhou and Ning, 2017) and the driving factors of assembly processes for microbial  
79 communities in paddy soil have been discussed (Hou et al., 2020; Liu et al., 2020a)  
80 revealing that both deterministic and stochastic processes contribute to the assembly of  
81 communities (Chase, 2010; Huber et al., 2020; Ofiteru et al., 2010). Environmental  
82 factors are found to mediate niche-based deterministic processes (Tripathi et al., 2018)  
83 and determinism has been found to be associated with agriculture and correspond with  
84 an increase in soil nutrients in paddy soil, especially for abundant bacterial  
85 subcommunities (Hou et al., 2020; Liu et al., 2020a). Some researchers suggest that pH  
86 and organic matter content are the main regulators of bacterial community composition  
87 in paddy soils (Fierer, 2017; Kuramae et al., 2012).

88 The assembly processes of paddy soil microbial communities are found to be more

89 deterministic compared to other soils (Li et al., 2021). This is counter intuitive as the  
90 basis for neutral theory is stochastic processes, such as ecological drift and dispersal  
91 (Hubbell, 2005). Indeed, under frequent flooding that facilitates dispersal, microbial  
92 communities in paddy soil are dominated by stochastic processes (Liu et al., 2020a; Liu  
93 et al., 2021). Muller et al. (2016) found that the ability to enter and exit from dormancy  
94 is a significant determinant of community assembly for bacteria. The importance of  
95 dispersal for community assembly depends on the metabolic activity of dispersers in  
96 the ecosystem (Wisnoski et al., 2020) and these researchers suggest that the assembly  
97 processes of active microbes should be dominated by stochastic processes. Liu et al.  
98 (2022a) also showed that the assembly processes of active methane-oxidizing bacteria  
99 are governed by stochastic processes. Therefore, that the assembly of active and  
100 inactive populations of microorganisms in soils differ and the relative proportion of  
101 these microbial pools can influence the overall community assembly characteristics.

102       The living environment of soil microorganisms is controlled by soil aggregates of  
103 different sizes and shapes, which possess different characteristics (Lavelle et al., 2006).  
104 Soil characteristics are important factors affecting microbial diversity (Pacchioni et al.,  
105 2014). Nutrient availability and physicochemical conditions change with aggregate size,  
106 further affecting bacterial communities (Briar et al., 2011; Jiang et al., 2017; Trivedi et  
107 al., 2017; Vos et al., 2013). Some studies have shown that the higher contents of organic  
108 carbon and nutrients in microaggregates (< 0.25 mm) are associated with higher  
109 microbial activity and biomass (Jiang et al, 2013; Yan et al., 2018; Zhang et al., 2013a),  
110 while others have found that as aggregates became larger, the biomass and activity of

111 bacteria increases along with soil organic matter (Guo et al., 2008; Helgason et al., 2010;  
112 Li et al., 2015; Lin et al., 2019; Zhang et al., 2015). Recently, it has been reported that  
113 the 1- to 2- mm aggregate fractions have the most active communities and contain the  
114 most nutrients in farmland (Liu et al., 2014; Yang et al., 2017) and the higher organic  
115 carbon associated with aggregates of 0.25-2mm positively influences bacterial diversity  
116 (Jiang et al., 2011; Kandeler et al., 1999; Yang et al., 2019a). Besides, more stochastic  
117 processes of bacterial communities in larger aggregates have been found with less  
118 selective pressure (Dong et al., 2021). In contrast, a recent study showed that the  
119 assembly processes of bacteria are dominated by environmental selection in soil  
120 aggregates, and environmental filtering is enhanced with increasing aggregate size  
121 (Liao et al., 2022). The understanding of assembly processes at different aggregates is  
122 still under debate. For functional profiles, many predicted functions, such as amino acid  
123 metabolism, carbohydrate and other categories have been detected in paddy soils and  
124 reveal patterns of these genes will facilitate understanding and prediction of relative  
125 functional processes (Bai et al., 2013; Barq et al., 2021; Zhang et al., 2013b). Since soil  
126 microorganisms are deeply engaged in biogeochemical processes of nutrients and soil  
127 fertility, the functions of different communities are critical (Bai et al., 2017; Philippot  
128 et al., 2013; Ofek-Lalzar et al., 2014). Although Han et al. (2021) also found soil carbon  
129 and nitrogen level of soil aggregates and microbial functional diversity determined  
130 multifunctionality in soil, the functional profiles among aggregates are still unknown.

131 In this study, we explore the active bacterial community, assembly processes, and  
132 functional profiles at the soil aggregate level by using H<sub>2</sub><sup>18</sup>O SIP 16S ribosomal RNA

133 (rRNA) gene sequencing, which improves our understanding of the effect of aggregates  
134 on active bacteria and their functions. Paddy soils are developed by long-term flooding,  
135 taking advantage of the inherent feature of flooded conditions for an H<sub>2</sub><sup>18</sup>O SIP  
136 approach. Based on previous studies, we hypothesize that (1) the assembly processes  
137 of active bacteria are more stochastic compared to total bacteria; and (2) the diversity  
138 of active bacteria is different among soil aggregates.

139

## 140 **2. Materials and methods**

### 141 **2.1. Soil sampling and physicochemical properties**

142 Soil samples were taken from a paddy field at Changxing, Zhejiang province  
143 (31°00' N, 119°55' E). The paddy field belongs to Hydragric Anthrosol, one of the most  
144 representative soils in China. The climate of this region is subtropical, with an annual  
145 precipitation of 1309 mm and an annual temperature of 15.6 °C. The dominant plant  
146 species is rice with paddy yields twice a year. Soil samples from 0 to 20 cm depth were  
147 taken on June 2018 at five random locations within three plots (6×6 m) using a soil core  
148 sampling. The five soil cores within each plot were mixed to form a single composite  
149 sample, and stored at 4 °C until use. Some soils were air-dried and their  
150 physicochemical properties were analyzed. The physicochemical properties of soil  
151 were estimated according to methods described previously and were provided in Table  
152 S1 (Supplemental materials, Table. S1) (Li et al., 2019; Liu et al., 2019a).

### 153 **2.2. H<sub>2</sub><sup>18</sup>O-labelled incubation and aggregate fractionation**

154 H<sub>2</sub><sup>18</sup>O labelled microcosms were setup as described previously (Schwartz, 2007;

155 Papp et al., 2018a) with minor modifications. Briefly, H<sub>2</sub><sup>18</sup>O (99 atom%, Sigma Aldrich,  
156 St. Louis, MO) labelled water (<sup>18</sup>O) and natural-abundance water (unlabelled control,  
157 <sup>16</sup>O) were constructed for microcosm incubation. Soils were incubated with H<sub>2</sub><sup>18</sup>O  
158 (H<sub>2</sub><sup>16</sup>O as control) was as control at 25 °C in the dark with 100% maximum water-  
159 holding capacity for 4 days in triplicate. Microcosms were set up in 120-ml serum  
160 bottles containing wet soil (~ 6 g dry soil), and then sealed with rubber stoppers and  
161 aluminum caps.

162       Sampling took place in triplicate microcosms at day 2 and day 4, while day 0 was  
163 used as a control. Soils were prepared under sterile conditions for soil aggregate  
164 separation using the previously described "optimal moisture" method to standardize soil  
165 water content and minimize disturbance to microbial communities (Bach et al., 2018).  
166 Soils were dried for eight hours to reach a stable moisture content (~10%), and the  
167 following aggregate fractions were separated by shaking through two sieves (2000 µm  
168 and 250 µm): large macroaggregates (> 2000 µm, LMA), small macroaggregates (250-  
169 2000 µm, SMA) and microaggregates (< 250 µm, MA), avoiding submersion in water  
170 (Jiang et al., 2014). Soils were mixed up and down 60 times every 2 min before passing  
171 them through the 2000-µm sieve. The soils passed through the 2000-µm sieve were  
172 transferred to the next smaller-sized sieve (250 µm) for further screening, resulting in  
173 three aggregate fractions. The aggregate fractions were stored at -80 °C for DNA  
174 extraction (Fig. S1).

### 175 **2.3. Nucleic acid extraction and SIP fractionation**

176       FastDNA SPIN kit for soil (MP Biomedicals; Solon, OH, USA) was used to extract



177 DNA from 0.5g of soil. A Nanodrop® ND-2000 UV-vis spectrophotometer (NanoDrop  
178 Technologies, Wilmington, DE, USA) was used to estimate the concentrations and  
179 quality of DNA.

180 Bulk DNA was extracted from soil aggregates under H<sub>2</sub><sup>18</sup>O and H<sub>2</sub><sup>16</sup>O treatments.  
181 DNA was centrifuged by density gradients and modified on a small scale as described  
182 by Liu et al. (2019b). DNA was mixed with gradient buffer CsCl solution in Beckman  
183 ultra-centrifuge tubes. After centrifugation at 177,000 g(av) for 44 h at 20 °C in a  
184 Vti65.2 vertical rotor (Beckman Coulter, Palo Alto, CA, USA), the DNA was divided  
185 into 14 equal fractions (Zhang et al., 2019a). The isolated DNA was purified and  
186 dissolved in TE buffer.

#### 187 **2.4. Quantitative PCR and sequencing processes**

188 To measure the growth and efficiency of <sup>18</sup>O incorporation into the bacterial  
189 community genomic DNA, quantitative PCR (qPCR) was performed on a  
190 LightCycler® 480II (Roche, Germany) for each buoyant density of DNA gradient  
191 fraction targeting 16S rRNA genes using the primer pair 515F and 806R (Walters et al.,  
192 2016). The amplification efficiencies of all genes ranged from 89 to 105%, and R values  
193 ranged from 0.992 to 0.999.

194 Bacterial 16S rRNA genes were amplified in bulk DNA and in DNA gradient  
195 fractions for each buoyant density from soil aggregates with H<sub>2</sub><sup>18</sup>O treatments using  
196 primer pair 515F and 806R with 12bp barcodes (Walters et al., 2016). Sequence  
197 libraries were generated using NEBNext® Ultra™ DNA Library Prep Kit for  
198 Illumina® (New England Biolabs, MA, USA) according to the manufacturer's

199 recommendations. The libraries were sequenced on an Illumina HiSeq 2500 Platform  
200 (Illumina, San Diego, CA, USA) by Guangdong Magigene Biotechnology Co. Ltd.  
201 (Guangzhou, China).

202 Raw fastq files were quality-filtered using Trimmomatic and merged using FLASH  
203 as described previously (Liu et al., 2020b). Operational taxonomic units (OTUs) were  
204 clustered using UPARSE (version 10 <http://drive5.com/uparse/>) with a similarity cutoff  
205 of 97%. In total we obtained 15,819,045 high-quality 16S rRNA sequences (92 samples  
206 with average 171,946 reads per sample), which were clustered into 26803 OTUs. To  
207 avoid potential bias caused by sequencing depth, all sequence data were rarefied to  
208 121317 and 91425 sequences per samples in active and total bacteria for the diversity  
209 analysis, respectively. The most frequently occurring sequences were extracted as  
210 representative sequences for each OTU and the Silva (<https://www.arb-silva.de/>)  
211 database was used to filter taxonomic annotations. The sequencing reads of the 16S  
212 rRNA genes were stored in the Genome Sequence Archive (GSA, China) database with  
213 the accession number CRA005780.

## 214 **2.5. Statistical analysis**

215 All data analysis was performed in the R environment (v3.6.3; [http://www.r-](http://www.r-project.org)  
216 [project.org](http://www.r-project.org)) (Hamilton and Ferry, 2018).

217 To identify OTUs associated with  $^{18}\text{O}$  assimilation, the R package DESeq2 was  
218 used. The abundance of differential genes with negative binomial distributions in high-  
219 density gradient (heavy) fractions based on  $\text{H}_2^{18}\text{O}$  labelled treatments relative to  
220 corresponding gradient fractions of non-labelled control [ $^{16}\text{O}$ ] was carried out (Love et

221 al., 2014; Kong et al., 2019). Log<sub>2</sub>-fold changes above zero with padj value (FDR-  
222 adjusted P-value) of less than 10% were selected as <sup>18</sup>O labelled OTUs. Scatter plots  
223 were performed to visualize differentially labelled OTUs in heavy DNA fractions using  
224 the plotMA function.

225 Diversity indexes such as Shannon, Simpson and Richness were estimated using  
226 the vegan package (Dixon, 2003). The differences of beta diversity were calculated by  
227 Principal coordinate analysis (PCoA) with the Bray-Curtis distance of bacterial  
228 community profiles using vegan and ggplot2 packages (Lozupone et al., 2011), and  
229 two-way permutational multivariate analysis of variance (PERMANOVA) was used to  
230 quantitatively measure the effects of the incubation time and aggregate treatment.

231 OTUs were also used to evaluate the main species phylum among aggregates and  
232 time of incubation, and to calculate the relative abundance of the top ten abundant  
233 phylum by using amplicon and reshape2 packages. To ascertain the changes of  
234 interactions between bacterial groups as the incubation days and soil aggregates  
235 changed, co-occurrence networks among bacteria were described using the igraph  
236 package and the Gephi 0.9.2 platform (Bastian et al., 2009; Chen et al., 2020). Setting  
237 the filtering threshold of spearman correlation coefficients >0.6 and p-values <0.05, the  
238 significance of edges between nodes were determined. Prior to network analysis, excess  
239 OTUs were removed when they were present in less than ~90% of samples or when  
240 their relative abundance was less than 0.01% (Liu et al., 2022b). The network was  
241 further used to calculate topology property parameters. According to the nodes and  
242 links in the network, the main phylum in the network were determined.

243 To assess the assembly processes of bacteria in days with different aggregates and  
244 to assess responses to environmental factors, we used the normalized stochastic ratio  
245 (NST), which evaluates the underlying mechanisms of bacterial community assembly  
246 (Ning et al., 2019). NST was assessed based on cao, mGower, gower and binomial  
247 distance metrics in same null model algorithms [with 50% as the boundary, more  
248 stochastic (>50%) or more deterministic (<50%)], which is suggested to estimate the  
249 stochastic effects in community assembly (Ning et al., 2019). Statistically significant  
250 differences in alpha diversity indices, NST indices among incubation days and soil  
251 aggregates were determined by two-way analysis of variance (ANOVA), accompanied  
252 with least significant difference (LSD) test for multiple comparisons. Moreover, if the  
253 observed variances were heterogeneous, the group variance was calculated by a  
254 nonparametric Kruskal-Wallis test.

255 To compare different functional profiles in different incubation days and soil  
256 aggregates, we used Tax4Fun, which predicts functional profiles of the bacterial  
257 community from 16S rRNA gene sequences (Wemheuer et al, 2020; Ahauer et al, 2015).  
258 Data were then compared with KEGG (Kyoto Encyclopedia of Genes and Genomes)  
259 functional database at level 2, and the biological metabolic pathways could be  
260 significantly identified. After calculating the relative abundance of each functional  
261 profile, we used analysis of variance (ANOVA) as well as by LSD test for multiple  
262 comparisons among soil aggregates and days for functional profiles, printing those that  
263 were significantly different among incubation days and soil aggregates ( $p < 0.05$ ). By  
264 using Z scores, we printed functional profiles in the Tidyverse and reshape2 packages.

265 Correlation analysis among main species phylum in network and functional profiles of  
266 <sup>18</sup>O labelled soil were performed by using Z scores based on Pearson correlations.

267

### 268 **3. Results**

#### 269 **3.1. Labelling of active bacteria with H<sub>2</sub><sup>18</sup>O**

270 On days 2 and 4, DNA obtained from H<sub>2</sub><sup>16</sup>O and H<sub>2</sub><sup>18</sup>O microcosms was separated  
271 by isopycnic ultra-centrifugation to isolate <sup>18</sup>O-labelled DNA (heavy fractions) from  
272 unlabelled DNA (light fractions). Compared to that of H<sub>2</sub><sup>16</sup>O control microcosms, 16S  
273 rRNA buoyant density in the H<sub>2</sub><sup>18</sup>O treatment showed a relative shift to higher buoyant  
274 densities, with detection of <sup>18</sup>O-DNA at buoyant density of 1.723-1.744 g ml<sup>-1</sup> (the 6th  
275 – 8th fractions), irrespective of the incubation time (Fig. 1). Thus, the 6th, 7th and 8th  
276 fractions were individually selected as representatives of heavy DNA fractions (<sup>18</sup>O-  
277 DNA) for 16S rRNA gene sequencing.

#### 278 **3.2. Diversity and taxonomic composition of active bacteria in soil aggregates**

279 For alpha diversity, Shannon and Simpson indexes of total bacteria showed  
280 significant differences across aggregate fractions ( $p < 0.001$  in both tests) or incubation  
281 time ( $p = 0.029$ ,  $p = 0.003$ ), while not for integrated effects of aggregates and incubation  
282 time ( $p = 0.473$ ,  $p = 0.144$ ) (Fig. 2a, c). Shannon and Simpson diversity of active  
283 bacteria also differed among aggregate fractions ( $p = 0.016$ ,  $p = 0.008$ ) (Fig. 2b, d). For  
284 example, Shannon and Simpson indexes of SMA were shown to be separated from other  
285 aggregates in active bacteria, especially for day 2. Compared to total bacteria, aggregate  
286 fractions and incubation days showed clear synergies on Shannon and Simpson indexes

287 of active bacteria ( $p = 0.038$ ,  $p = 0.014$ ). Richness index of total bacteria also differed  
288 by incubation days ( $p = 0.001$ ) (Fig. S2). The Simpson index of active bacteria showed  
289 significant differences ( $p = 0.001$ ) between incubation time while no significant  
290 difference ( $p = 0.139$ ) was observed for Shannon indices (Fig. 2).

291 In terms of beta diversity, 49.56% of the variation in total bacterial community and  
292 80.62% of variation in the active bacteria were explained by the first two axes of the  
293 PCoA (Fig. 2e, f). In the total bacterial community, two-way PERMANOVA indicated  
294 that incubation time explained 44.15% of variation in bacterial community while  
295 aggregates only explained ~9.04% (Fig. 2e). We note that aggregates explained 19.56%  
296 variation of active bacterial community, which is similar to the extent of incubation  
297 time (26.42%) (Fig. 2f).

298 Taxonomic composition of total and labelled bacteria was calculated on the basis  
299 of OTUs. The community of total bacteria was dominated by Proteobacteria (38.41%),  
300 Chloroflexi (14.99%), and Acidobacteria (9.45%), while the labelled bacterial  
301 community was dominated by Proteobacteria (54.80%) and Actinobacteria (28.57%)  
302 (Fig. 3, Fig. S3). The relative abundance of Proteobacteria (54.80%) and Actinobacteria  
303 (28.57%) increased in active bacterial communities compared to those in the total  
304 communities (38.41% and 5.81%, respectively) (Fig. 3, Fig. S3). Additionally, all the  
305 active bacterial OTUs were also detected in the total bacterial community. The ratio of  
306 the number of active bacteria to total bacterial OTUs was 18.71%. The relative  
307 abundance of reads of active bacterial OTUs in the total community was 91%. The  
308 variation of bacterial composition was larger along with the soil aggregates and

309 incubation time in active bacterial community than that of total community (Fig. 3, Fig.  
310 S3). The relative abundance of Proteobacteria and Actinobacteria in active bacteria  
311 increased 1.2 fold (49.70% at day 2 to 60.03% at day 4) and decreased by one-third  
312 (33.05% at day 2 to 22.21% at day 4) with incubation time, while those in total bacteria  
313 decreased slightly for both Proteobacteria (39.32% at day 2 to 37.84% at day 4) and  
314 Actinobacteria (5.83% at day 2 to 5.79% at day 4). The relative abundances of active  
315 Proteobacteria and Actinobacteria in SMA (77.4%) were lower than that of MA  
316 (86.32%) and LMA (86.61%) in active bacteria, while those were higher in SMA  
317 (48.02%) compared to that in MA (42.72%) and LMA (42.41%) in total bacteria (Fig.  
318 3b, S3b).

### 319 **3.3. The network and assembly processes of active bacteria in soil aggregates**

320 The network of bacteria at the phylum level between total and active bacterial  
321 communities were different (Fig. 4). More OTUs were clustered in the networks of  
322 active bacteria than the total bacterial communities at the phylum level (Fig. 4). The  
323 total number of nodes, the number of links, the average degree and the modularity of  
324 the active bacterial community were higher than the total bacterial community (Table  
325 S2). In the active bacterial community, there were more negative correlations compared  
326 to that of the total bacterial community (Table. S2). Together, networks of the labelled  
327 community showed more links and higher network complexity than that in the total  
328 community.

329 The NST explained the changes in ecological community assembly processes  
330 based on incubation time and aggregate scales (Fig. 5). In the total bacterial community,

331 the value was less than 50%, suggesting that deterministic processes dominated  
332 bacterial community assembly. Incubation days significantly influenced ecological  
333 community assembly processes in the total bacterial community ( $p < 0.05$ ) (Fig. 5a, c;  
334 Fig. S4c). However, aggregates showed more significant effects on ecological  
335 community assembly processes of the active bacterial community ( $p < 0.05$ ) than  
336 incubation time (Fig. 5b, d; Fig. S3d). For instance, NST of SMA was different from  
337 NST of MA and LMA in the active bacterial community. Especially at day 2, the  
338 ecological community assembly processes of SMA in the active bacterial community  
339 was dominated by stochastic processes. Synergies between days and aggregates  
340 influenced the ecological community assembly processes in active bacterial community  
341 ( $p < 0.05$ ) (Fig. 5b, d).

#### 342 **3.4. Predictive functional profiles of active bacteria**

343 The prediction map showed the results with significant differences among  
344 aggregates ( $p < 0.05$ ). Predicted KEGG pathways at level 1 for both total (73.22%) and  
345 active bacteria (61.87%) among aggregates were dominated by metabolism. However,  
346 compared to total bacteria, functional profiles in active bacteria were significantly  
347 different among aggregates (Fig. 6).

348 Among active bacteria, the functional profiles of the labelled soil bacterial  
349 community on day 4 changed significantly compared to day 2 ( $p < 0.05$ ) (Fig. S5).  
350 Functional profiles related to metabolism decreased (62.22% to 61.52%), except for  
351 amino acid metabolism. However, the relative abundance of other important functional  
352 profiles increased, such as cell motility and signal transduction (Fig. S5). For aggregates,



353 the function of SMA differed from MA and LMA, in which amino acid metabolism,  
354 cell motility, cell growth and death, and bacterial infectious disease were the key  
355 functions (Fig. 6b). Correlations between major taxa and functional genes also differed  
356 in SMA and other aggregates (Fig. S6).

357

## 358 **4. Discussion**

### 359 **4.1. Active and total bacteria identified using <sup>18</sup>O-SIP**

360 In this study, compared to total bacterial community, the composition, network  
361 interactions, assembly processes and functional profiles in the active bacterial  
362 community were different (Fig. 3, Fig. 4, Fig. 5, Fig. 6). We found that the relative  
363 abundances of active bacterial reads occupied 91% of the total community, though the  
364 number of OTUs in active communities occupied only 18.71% of the total communities.  
365 This was consistent with previous studies in so much that the most abundant 4% of  
366 OTUs represented a high relative abundance (54%) of reads, and most bacteria in soils  
367 are found to be metabolically active when incubated with H<sub>2</sub><sup>18</sup>O (Lundberg et al., 2012;  
368 Papp et al., 2018a, 2018b). This indicates that most bacterial taxa in soil are able to  
369 respond rapidly and are active when conditions are favourable (Mueller et al., 2016).  
370 The results of this study are similar to that of a previous study in which <sup>18</sup>O-labelled  
371 bacteria community showed different patterns and more significant random  
372 phylogenetic distribution compared to total bacteria (Coskun et al., 2019). Certain phyla  
373 represented a greater proportion of active bacteria, in particular Proteobacteria (38.58%  
374 in total bacteria to 55.32% in active bacteria) and Actinobacteria (5.81% in total bacteria

375 to 28.12% in active bacteria) (Fig. 3, Fig. S3). The dominance of Proteobacteria and  
376 Actinobacteria among paddy soil active bacteria has been reported previously (Wu et  
377 al., 2011; Itoh et al., 2013). Wisnoski et al. (2020) speculated that potential habitat  
378 generalists, including Proteobacteria and Actinobacteria, with wide niche breadths can  
379 adapt to flooded conditions. The habitat preference of soil bacterial families is closely  
380 related to their respiratory characteristics, and these results are also attributed to  
381 respiratory characteristics of different bacteria (Shen et al., 2021). Many Proteobacteria  
382 were likely enriched under flooding due to their capacity for anaerobic respiration (Dai  
383 et al., 2021; Wang et al., 2012).

384 Network analysis showed that there were more nodes, OTU links, average degree,  
385 and modularity in the active bacterial community compared to the total bacterial  
386 community (Fig. 4, Table S2), indicating more intensive interactions among active  
387 bacteria. Positive links dominated in all networks, indicating that microbial synergy  
388 plays an important role (Zhou et al, 2020). However, the proportion of negative  
389 correlations of active bacteria was nearly twice (28.02%) that of the total bacterial  
390 community (14.83%). As the negative links among nodes could be attributed to  
391 competition, amensalism and opposing niche preferences among microbes, these  
392 results suggest stronger competitive interaction or opposite niche preference among the  
393 active Proteobacteria and Actinobacteria (Dai et al., 2021; Faust and Raes, 2012; Wang  
394 et al., 2021). Additionally, assembly processes of the total bacterial community in paddy  
395 fields were dominated by deterministic processes (Fig. 5). Deterministic processes of  
396 abundant taxa have also been found in paddy soils (Hou et al., 2020). It is suggested

397 that soil pH and organic matter are deterministic factors that drive assembly processes  
398 of bacterial communities (Dini Andreote et al., 2015; Tripathi et al., 2018). Higher soil  
399 pH (>6.7) also leads to deterministic assembly of abundant populations (Jiao and Lu,  
400 2020). In this study, deterministic processes of total bacteria might be attributed to the  
401 neutral pH ( $6.9 \pm 0.08$ ) and low soil organic matter ( $13.5 \pm 0.01 \text{ g kg}^{-1}$ ) of bulk soil.  
402 Interestingly, stochasticity was more important in governing soil active microbes than  
403 the total bacteria (Fig. 5). Flooding conditions promoted by hydrologic mixing  
404 presumably enhanced the ability of active microorganisms to migrate across  
405 geographical areas, which might explain why the stochastic processes in active bacteria  
406 were more important than in total bacteria (Liu et al., 2020a; Liu et al., 2022a). Besides,  
407 we found the stochastic processes increased with decreasing bacterial richness from  
408 total bacteria to labelled bacteria (Fig. S2). This result may be attributed to stochastic  
409 assembly processes inducing synergy among microorganisms (Jiao et al., 2020), which  
410 might lead to greater competition with species richness reduction (Grime, 1973;  
411 Rajaniemi, 2002).

412 For functional profiles, predicted KEGG pathways at level 1 for both total (73.22%)  
413 and active bacteria (61.87%) were dominated by metabolism, and the relative  
414 abundance of other functions, such as environmental information processing, in active  
415 bacteria (19.39%) increased compared to that of total bacteria (11.22%) (Fig. 6). Similar  
416 results have also confirmed that metabolic genes are dominant in anoxic environments  
417 (Lesniewski et al., 2012), and other studies have revealed that members of the phylum  
418 Proteobacteria are key drivers of the important metabolic activities in soil ecosystems

419 (Salam and Obayori, 2019). Previous studies have shown that the active community is  
420 more closely related to functional profiles than the total community (e.g., Bastida et al.,  
421 2016). In summary, higher proportion of Proteobacteria and Actinobacteria, more  
422 negative correlations, more stochasticity in assembly processes and more different  
423 functional profiles were observed in <sup>18</sup>O-labelled bacteria compared to total bacteria.

#### 424 **4.2. Succession of active microbes and functional profiles along with incubation** 425 **time**

426 Previous studies have shown succession of bacterial communities in paddy fields,  
427 for instance, Ding et al. (2017) showed succession of diversity and functional profiles  
428 of active bacteria with incubation time. Furthermore, Yang et al. (2019b) found that  
429 bacterial diversity was higher in flooded areas than in control areas, whereas other  
430 studies found that soil bacterial diversity was lower in water-saturated soil (Zhou et al.,  
431 2002; Kozdrój and van Elsas, 2000). In our study, the diversity increased from day 2 to  
432 day 4 in active bacteria (Fig. 2). It is found that some bacteria that can survive periods  
433 of hypoxia could revive from inactive states and thrive under flooded conditions  
434 (Berney et al., 2014; Furtak et al., 2020; Fredrickson et al., 2008). Furthermore, flooded  
435 environments promote active bacterial colonization in soil, and bacteria actively use  
436 alternative electron acceptors for respiration to manage hypoxia (Eggleston et al., 2015;  
437 Engelhardt et al., 2018; Yan et al., 2015). Similar to species diversity, the composition  
438 of active bacteria also differed with incubation time (Fig. 3b, Fig. S3b). It is known that  
439 flooded conditions can increase abundance of some populations, such as Proteobacteria,  
440 and Bacteroidetes (Afzal et al., 2019; de León-Lorenzana et al., 2017; Zhang et al.,

441 2019b). In our study, the relative abundance of Actinobacteria decreased from day 2  
442 (33.9%) to day 4 (22.34%), while Proteobacteria increased from day 2 (50.7%) to day  
443 4 (59.95%) (Fig. 3). The variation of Actinobacteria and Proteobacteria in active  
444 bacteria might be due to different reproductive strategies. Actinobacteria are ubiquitous  
445 and usually predominant in arid habitats. Their drought tolerance may stem from their  
446 unique life-cycle characteristics (Lebre et al., 2017), including mycelial growth (Jones  
447 and Elliot, 2017) and arthrospore formation (Kämpfer et al., 2014). In contrast,  
448 Proteobacteria are more adapted to flooded conditions and more competitive under such  
449 circumstances, and a similar trend has been observed in wet soil compared to dry soil  
450 (Na et al., 2019). Additionally, the relative abundance of Gemmatimonadetes increased  
451 from 2.63% in day 2 to 4.11% in day 4 (Fig. 3b, Fig. S3b). Growth of  
452 Gemmatimonadetes could be attributed to their ability to survive low-oxygen  
453 conditions (Debruyne et al., 2011).

454 As for the predicted genes of active microbes, after 4 days of incubation, the  
455 functional profiles changed significantly compared to that in day 2 (Fig. S5). Functional  
456 profiles related to metabolism still dominated even though it decreased from 62.22% at  
457 day 2 to 61.52% at day 4. For metabolism, amino acid metabolism increased, while  
458 others, such as carbohydrate metabolism decreased (Fig. S5). Salam (2019) found that  
459 amino acid metabolism is mainly predicted by Proteobacteria. More amino acid  
460 metabolism functional genes were found with incubation, which is paralleled by the  
461 increase in relative abundance of Proteobacteria (Fig. S3b; Fig.S5). For carbohydrate  
462 metabolism, these results can be attributed to the submergence condition, which

463 decreases carbohydrate metabolism of bacteria in soil (Moreno-Espindola et al., 2018;  
464 Ding et al., 2019). Lower metabolism function was predicted at day 4 compared to day  
465 2, which could be due to the decrease of Actinobacteria, as Actinobacteria are reported  
466 to contribute to the production of secondary metabolites (Yan et al., 2021) (Fig. S3b).  
467 Furthermore, some studies showed that flooding increases nutrient availability in soil  
468 (Oorschot et al., 2000; Shekiffu and Semoka, 2007). Qiu et al. (2020) found that the  
469 addition of organic matter activates connections and closes relationships among  
470 microorganisms as incubation progresses. We assume that flooded soils have similar  
471 influences on the active bacterial community, making more nutrients available, hence  
472 the predicted abundance of genes in many KEGG pathways increased, including  
473 environmental information processing, cellular processes, organismal systems and  
474 human diseases. For example, the predicted abundance of genes involved in cell  
475 motility and signal transduction for the active bacteria showed a significant increase at  
476 day 4 compared to day 2 (Fig. S5). The relative abundance of active Bacteroidetes  
477 nearly doubled from 1.49% in day 2 to 2.84% at day 4 (Fig. 3b, Fig. S3b). Bacteroidetes  
478 are highly effective at secreting carbohydrate-active enzymes and immobilizing them  
479 to cell surfaces, and may increase cell motility across solid surfaces (Larsbrink and  
480 Mckee, 2020). Signal transduction of soil microbiomes is promoted by environmental  
481 stresses (Sun et al., 2020), which is consistent with incubations at day 4 showing a  
482 higher relative abundance of signal transduction compared to day 2. In brief, as  
483 diversity of active bacteria increased, composition became more complex from day 2  
484 to day 4, and the relative abundance of many functional profiles also increased. A large

485 proportion of functional gene species can be altered due to changes in biodiversity and  
486 composition (Jung et al, 2016).

### 487 **4.3. Diversity, assembly processes and functional profiles of active bacteria across** 488 **aggregates**

489 Some researchers have found that the bacterial communities among different  
490 aggregates are indeed different (Bailey et al., 2013; Trivedi et al.,2017). Especially for  
491 active bacteria, it was shown that there were more differences between aggregates  
492 compared to total bacteria (Fig. 2; 4). Bacteria diversity tends to increase with  
493 increasing aggregate size (Lupwayi et al., 2001), while bacterial biomass and diversity  
494 are higher in small aggregates with more stable structure (Hernández and López-  
495 Hernández, 2002; Ling et al., 2014). In our study, the diversity of active bacteria in  
496 SMA was higher than MA and LMA, and nearly all active bacteria were more enriched  
497 in SMA compared to MA and LMA (Fig. 2, Fig. 3). A possible interpretation of this  
498 finding is that SMA provides more nutrients compared to MA and LMA (Wang et al.,  
499 2014; Ling et al., 2014). It is found that soil organic matter and total nitrogen increase  
500 as aggregates become larger (from MA to SMA) (Lin et al., 2019; Zheng et al., 2021).  
501 LMA is poor in nutrients, while SMA shows the opposite (Tang et al., 2022; Zhang et  
502 al., 2021). In addition, the diversity of active bacteria is also correlated with soil  
503 porosity (Yang et al., 2019a). The higher diversity in SMA compared to MA might also  
504 be attributed to the higher soil porosity in SMA. While nutrient contents of aggregates  
505 lead to differences in bacteria diversity, it also has crucial effects on assembly processes.  
506 Liao et al. (2022) found that bacterial assembly processes in macro- and micro-

507 aggregates are mainly affected by total carbon and soil organic carbon. Better nutrient  
508 availability in SMA compared to MA and LMA may make stochastic processes  
509 dominate the assembly (Fig. 4) (Lin et al., 2019; Tang et al., 2022; Zheng et al., 2021).  
510 In our study, we found that active bacteria in SMA are dominated by stochasticity, while  
511 active bacteria in other aggregates showed more deterministic processes (Fig. 4). Our  
512 study is consistent with previous reports showing that environments with restrictive  
513 nutrients are dominated by deterministic processes, while the stochastic processes are  
514 more prevalent in environments with greater nutrient availability (Chase, 2010; Wang  
515 et al., 2015). In addition to nutrients, biological interactions may also contribute to a  
516 strong environmental filtering in MA and LMA (Vos et al., 2013; Jiang et al., 2017).  
517 Compared to SMA, the networks of MA and LMA had a higher complexity and a larger  
518 proportion of links (Fig.3, Table. S2). The more intense microbial interactions in MA  
519 and LMA indicated stronger environmental filtering with more deterministic processes  
520 compared to SMA (Fan et al., 2018; Liao et al., 2020). It is also worth noting that  
521 nutrients and structure of aggregates were not measured in this study as the amount of  
522 soil obtained was insufficient after aggregate fractionation. Moreover, additional  
523 measurements of other soil physicochemical properties and nutrient levels should be  
524 included in future studies to determine biological feedback mechanisms under different  
525 physicochemical properties and nutrient levels.

526         Differentiated communities in aggregates among active bacteria suggest different  
527 community functions. The functional prediction map showed that function associated  
528 with SMA differed from MA and LMA. Amino acid metabolism, cell motility, cell



529 growth and death, and bacterial infectious disease were key functions found in <sup>18</sup>O-  
530 labelled SMA (Fig. 6b). Functional profiles of amino acid metabolism in SMA showed  
531 a relatively high abundance compared to those in MA and LMA, in which  
532 Proteobacteria dominated (Salam and Obayori, 2019). This study also showed a  
533 significant positive correlation of Proteobacteria in SMA to amino acid metabolism  
534 than that in MA and LMA (Fig. S6). As the most abundant phylum (Fig. 3; Fig. S3),  
535 Proteobacteria likely determined cell motility genes by means of flagella movement in  
536 active bacteria (Anderson et al., 2010; Beeby, 2015). Compared to that in MA (58.99%)  
537 and LMA (59.61%), the relative abundance of Proteobacteria in SMA (61.25%) was  
538 higher at day 4 (Fig. 3, Fig. S3). Hence the relative abundance of cell motility genes is  
539 higher in SMA compared to MA and LMA. For cell growth and death, SMA provides  
540 more nutrients compared to MA and LMA (Lin et al., 2019; Tang et al., 2022; Zhang et  
541 al., 2021). This is consistent with higher relative abundance of cell growth and death  
542 genes in SMA compared to MA and LMA. Proteobacteria also contribute to bacterial  
543 infectious diseases, for example, *Salmonella* and *Vibrio* of Proteobacteria include  
544 pathogenic species. Besides, symbiotic relationships between Gammaproteobacteria  
545 and invertebrates like nematodes have been found (Williams et al., 2010), suggesting  
546 their interaction with potential parasites, thus explaining the relatively high abundance  
547 of bacterial infectious disease functions in SMA compared with MA and/or LMA.  
548 Together, the diversity and composition of active bacteria in SMA is more complex,  
549 assembly processes in SMA are more deterministic, and higher relative abundance of  
550 key functional profiles are predicted compared with MA and LMA.

551

## 552 **5. Conclusion**

553 We applied H<sub>2</sub><sup>18</sup>O based DNA-SIP to identify active bacterial community in paddy  
554 soil aggregates and showed that higher microbial diversity, different composition, more  
555 complex networks and more stochastic processes were associated with active bacteria  
556 compared to the total bacterial community. The active bacterial community and their  
557 predicted functional profiles changed significantly with the incubation time and soil  
558 aggregate sizes. Compared to other soil aggregates where deterministic processes  
559 dominated, the assembly processes of active bacteria in SMA were dominated by  
560 stochastic forces probably due to the richer nutrient status. In summary, this research  
561 improves our understanding of active bacterial communities and their assembly  
562 processes among soil aggregates in paddy fields.

563

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1031 **Figure Legends**

1032 **Fig. 1.** The relative abundance of 16S rRNA genes in aggregates (MA, SMA, LMA)  
1033 retrieved from the 100% maximum water-holding capacity of H<sub>2</sub><sup>18</sup>O and 100%  
1034 maximum water-holding capacity of H<sub>2</sub><sup>16</sup>O treatments in the 2-day and 4-day  
1035 DNA-SIP microcosms.

1036 **Fig. 2.** Diversity of Shannon (a, b), Simpson (c, d) index of total and <sup>18</sup>O labelled  
1037 bacteria in the different aggregates and incubation days treatments. Different  
1038 letters and asterisks indicate significant differences ( $P < 0.05$ ) based on two-way  
1039 analysis of variance (ANOVA) as well as by LSD test for multiple comparisons.  
1040 Composition of principal coordinate analysis (PCoA) calculated based on Bray-  
1041 Curtis distances. Each point of total bacteria (e) and labelled bacteria (f)  
1042 corresponds to a different sample shaped by days and coloured by aggregates. The  
1043 percentage of variation indicated in each axis corresponds to the fraction of the  
1044 total variance explained by the projection. Two-way permutational multivariate  
1045 analysis of variance (PERMANOVA) was employed to quantitatively assess the  
1046 effects of the day and aggregate treatment. Single, double and three asterisks  
1047 represent significance at  $P < 0.05$ ,  $P < 0.01$ , and  $P < 0.001$  respectively.

1048 **Fig. 3.** Relative abundance of the soil bacterial community composition in both (a) total  
1049 bacteria and (b) active bacteria among days and aggregates.

1050 **Fig. 4.** Network analysis revealing the associations among 16S rRNA OTUs in (a) Total  
1051 MA, (b) Total SMA, (c) Total LMA, (d) Labelled MA, (e) Labelled SMA, (f)  
1052 Labelled LMA. Coloured nodes represent corresponding OTUs assigned to major



1053 phylum. The size of nodes represents the number of links between the OTUs and  
1054 others. Red and blue lines represent the positive and negative links between OTUs.

1055 **Fig. 5.** Boxplot of (a)  $NST_{cao}$  and (c)  $NST_{mGower}$  values of total bacteria in nine  
1056 treatments and boxplot of (b)  $NST_{cao}$  and (d)  $NST_{mGower}$  values of labelled bacteria  
1057 in six treatments. Different letters and asterisks indicate significant differences ( $P$   
1058  $< 0.05$ ) based on two-way ANOVA test as well as by LSD test for multiple  
1059 comparisons.

1060 **Fig. 6.** The functional profiles are divided into day 2 and day 4 with significant  
1061 differences showed by letters among aggregates (LSD test). The result of  
1062 functional profiles that Z scores is showed in the heatmap. The relative abundance  
1063 of function profiles and the significant differences are printed in the histogram. (a)  
1064 The main functional differences in total bacteria are metabolism, cellular  
1065 processes, organismal systems, human diseases and genetic information  
1066 processing, (b) while in labelled bacteria are metabolism, environmental  
1067 information processing, cellular processes, organismal systems and human  
1068 diseases.

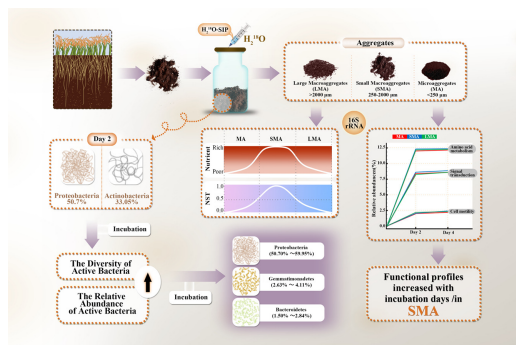


Fig.1

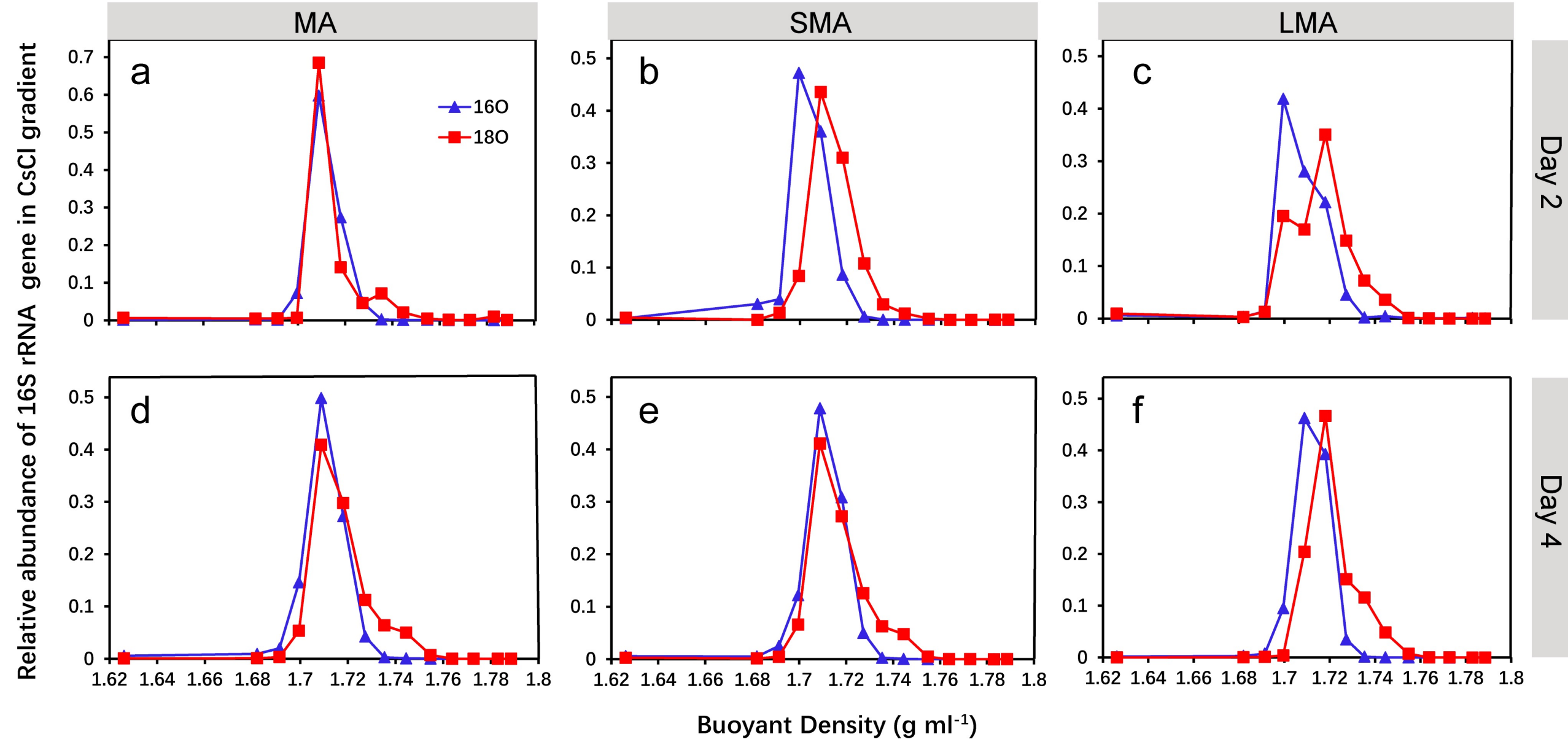


Fig.2

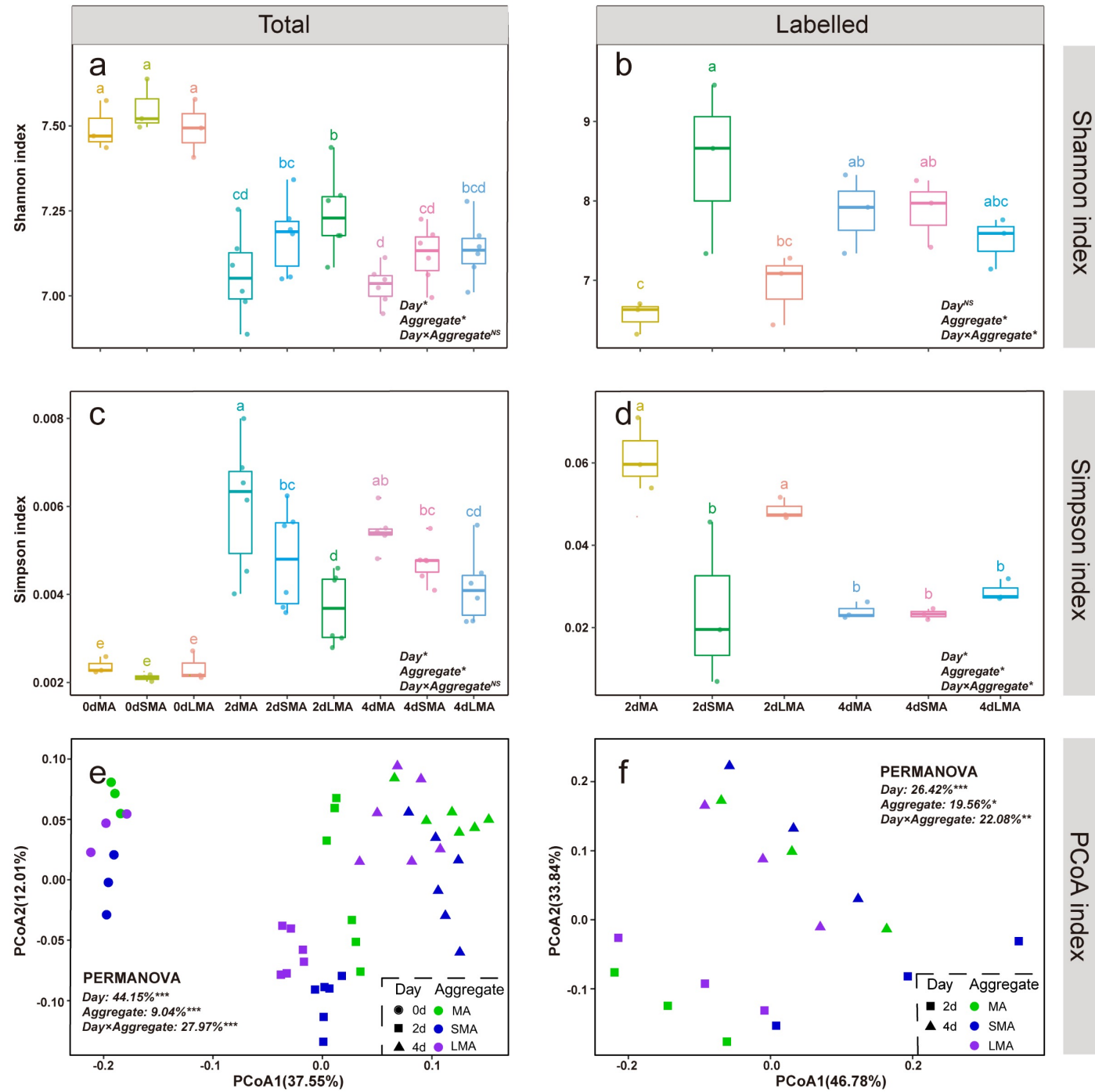
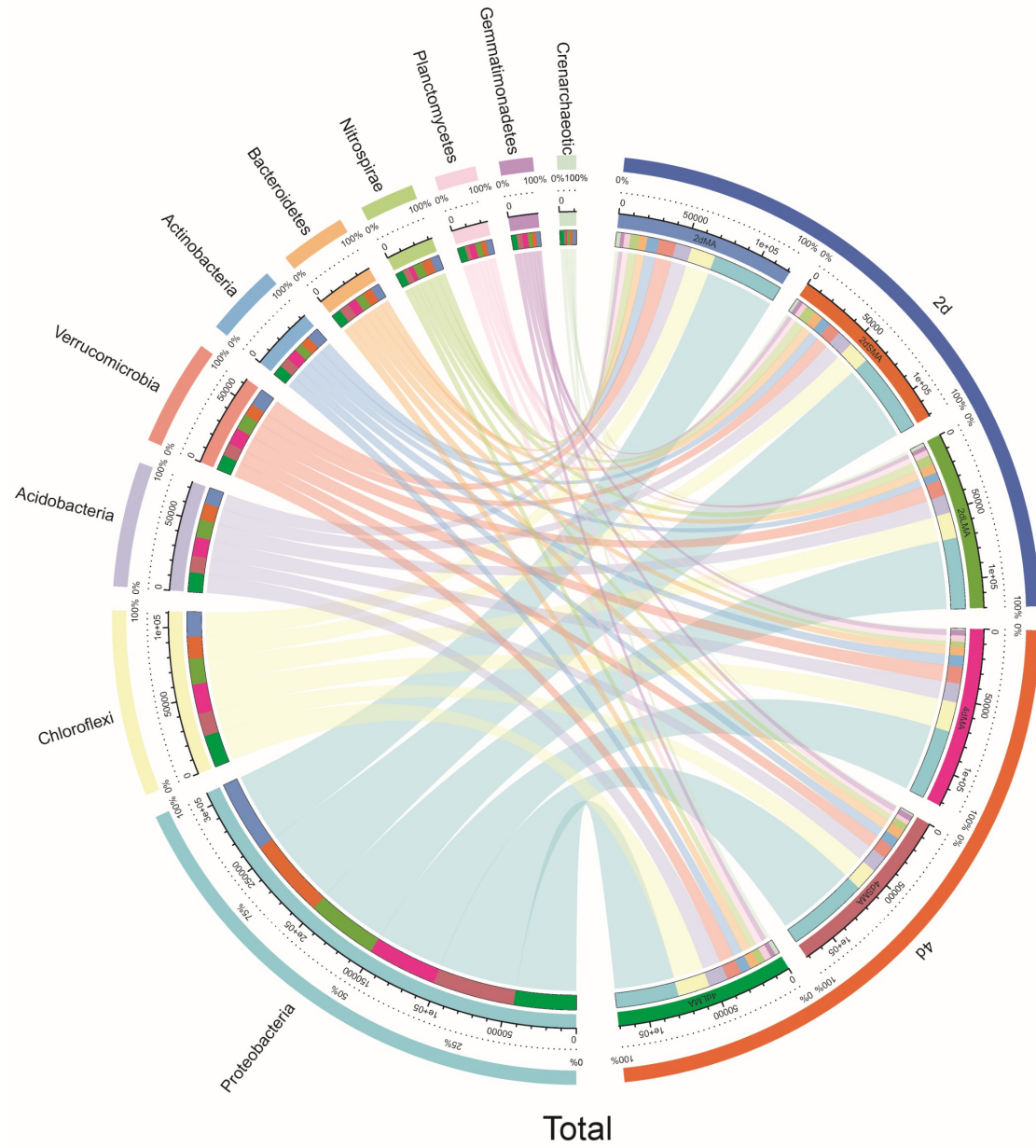


Fig.3

a



b

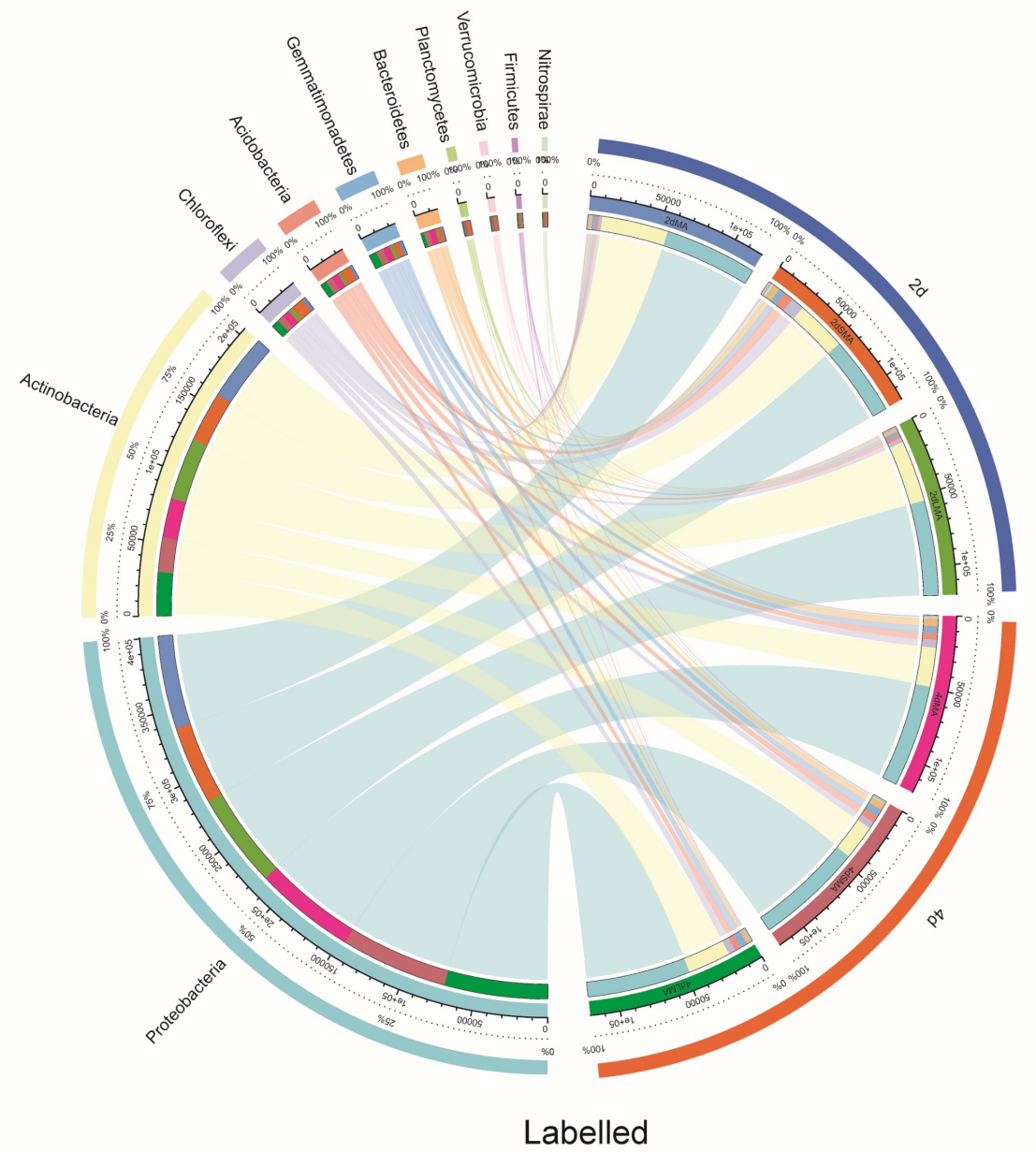
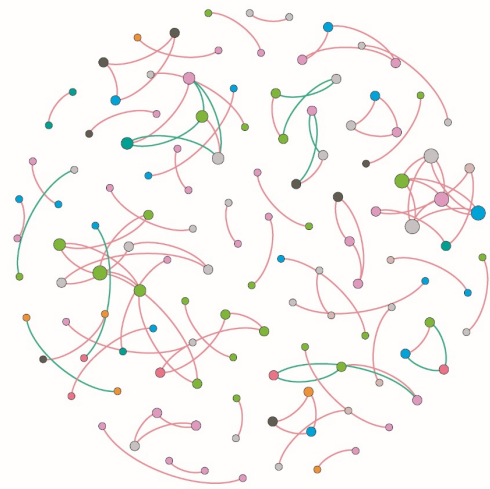


Fig.4

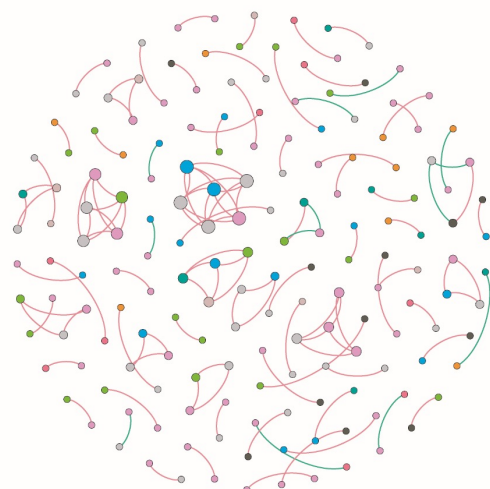
a



● Proteobacteria ● Chloroflexi ● Planctomycetes

Total MA

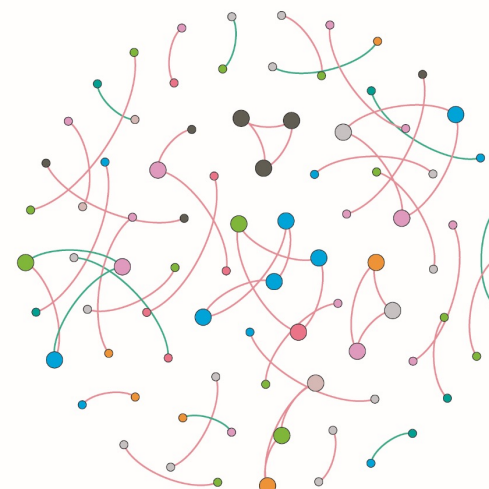
b



● Proteobacteria ● Planctomycetes ● Chloroflexi

Total SMA

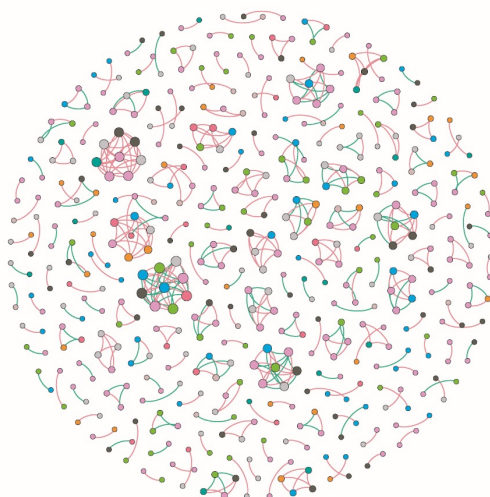
c



● Proteobacteria ● Planctomycetes ● Chloroflexi

Total LMA

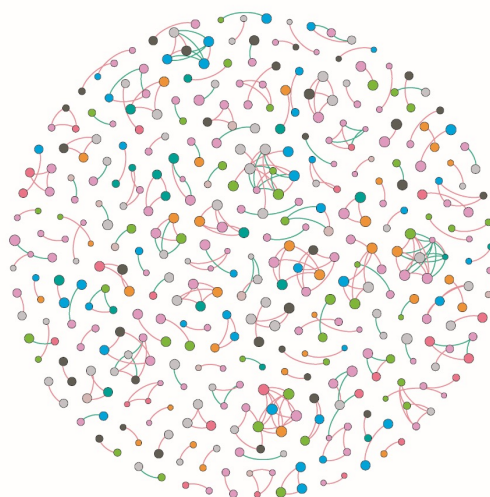
d



● Proteobacteria ● Actinobacteria ● Acidobacteria

Labelled MA

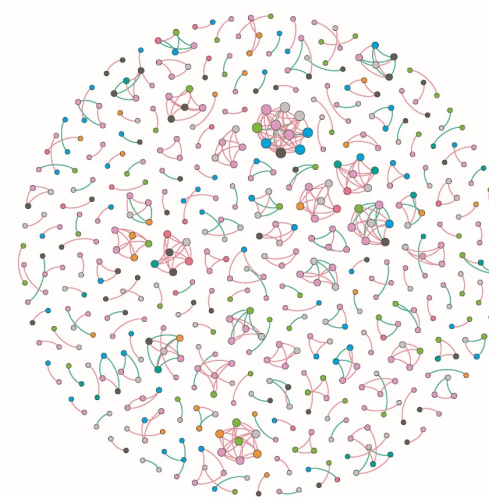
e



● Proteobacteria ● Actinobacteria ● Chloroflexi

Labelled SMA

f



● Proteobacteria ● Actinobacteria ● Chloroflexi

Labelled LMA

Fig.5

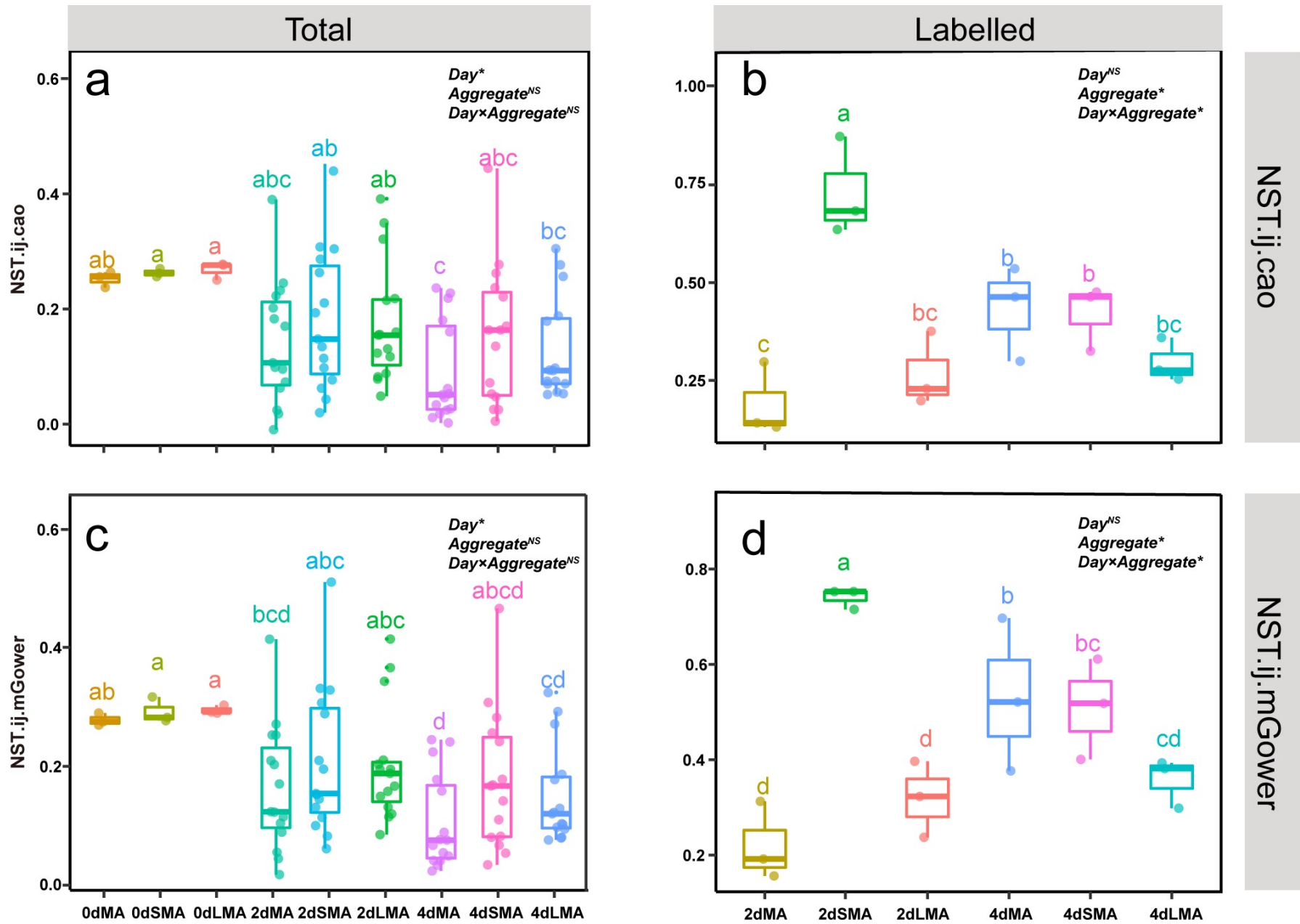
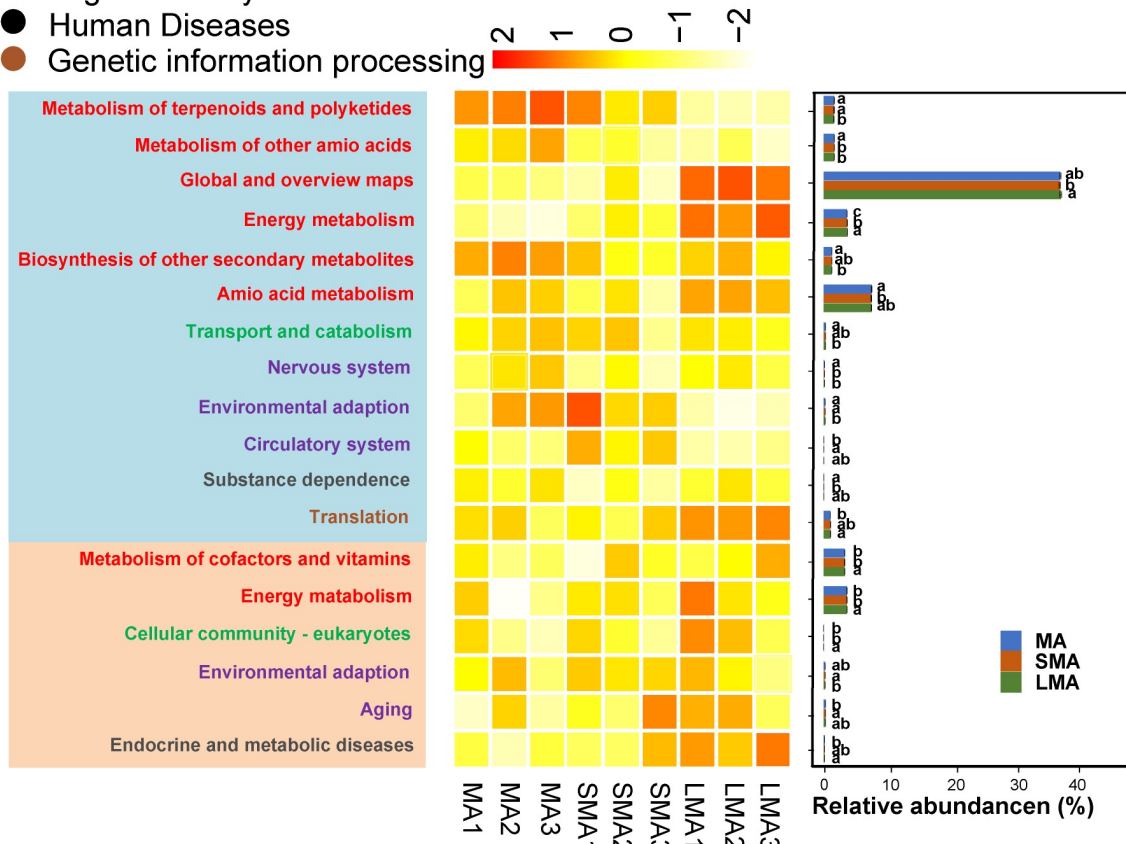


Fig.6

# a Total

- Day 2
- Day 4
- Metabolism
- Cellular Processes
- Organismal Systems
- Human Diseases
- Genetic information processing



# b Labelled

- Day 2
- Day 4
- Metabolism
- Environmental Information Processing
- Cellular Processes
- Organismal Systems
- Human Diseases

