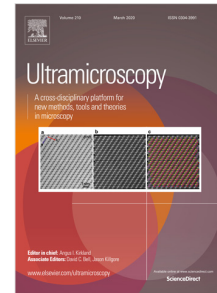


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Characterisation of engineered defects in extreme ultraviolet mirror substrates using lab-scale extreme ultraviolet reflection ptychography

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Abstract: Ptychography is a lensless imaging technique that is aberration-free and capable of imaging both the amplitude and the phase of radiation reflected or transmitted from an object using iterative algorithms. Working with extreme ultraviolet (EUV) light, ptychography can provide better resolution than conventional optical microscopy and deeper penetration than scanning electron microscope. As a compact lab-scale EUV light sources, high harmonic generation meets the high coherence requirement of ptychography and gives more flexibilities in both budget and experimental time compared to synchrotrons. The ability to measure phase makes reflection-mode ptychography a good choice for characterising both the surface topography and the internal structural changes in EUV multilayer mirrors. This paper describes the use of reflection-mode ptychography with a lab-scale high harmonic generation based EUV light source to perform quantitative measurement of the amplitude and phase reflection from EUV multilayer mirrors with engineered substrate defects. Using EUV light at 29.6 nm from a tabletop high harmonic generation light source, a lateral resolution down to ~ 88 nm and a phase resolution of 0.08 radians (equivalent to topographic height variation of 0.27 nm) are achieved. The effect of surface distortion and roughness on EUV reflectivity is compared to topographic properties of the mirror defects measured using both atomic force microscopy and scanning transmission electron microscopy. Modelling of reflection properties from multilayer mirrors is used to predict the potential of a combination of on-resonance, actinic ptychographic imaging at 13.5 nm and atomic force microscopy for characterising the changes in multilayered structures.

1. Introduction

Ptychography, sometimes also referred to as scanning coherent diffraction imaging (CDI), measures multiple scatter patterns from a coherently-illuminated sample and then uses algorithmic reconstruction to reconstruct an image of the sample containing quantitative phase and amplitude information, and also the complex illumination function of the probe light used to illuminate the sample. Ptychographic imaging is free from lens aberrations, and its resolution is limited by detector numerical aperture (NA), rather than by the size of the illuminating spot. Unlike typical microscope imaging system, the real-space image is not measured directly, but reconstructed from the diffraction patterns by iterative algorithms after applying constraints in both real and reciprocal spaces. Many different varieties of applications have been demonstrated successfully,

46 at visible [1], EUV [2], soft X-ray [3], hard X-ray wavelengths [4], and using electrons [5, 6].
47 Ptychography is mostly used in a transmission-mode geometry, which limits the thickness
48 of sample up to a few micrometers depending on the working wavelength. Reflection-mode
49 ptychography is an emerging technique for characterising planar samples [7–9] and thin films [10].
50 Using the retrieved complex reflectivity of the sample surface, the surface 3D structures can also
51 be reconstructed [10–12].

52 The capability of imaging surface features and the ability to measure phase make reflection-
53 mode ptychography a good choice for characterising EUV multilayer mirrors. Multilayer mirrors
54 are an important type of reflection optics widely used in the EUV regime. In EUV lithography
55 (EUVL), they are used both as reflection optics and as the base structures of reflective masks.
56 Defect detection and removal in these multilayered structures is one of the critical challenges for
57 controlling illumination quality and mask printability. In addition, knowledge of the phase changes
58 upon reflection from the EUV masks is critical in predicting the aerial image on wafers because
59 the phase plays an important role in the 3D mask effects, like shadowing, telecentricity errors
60 and best-focus shifts [13, 14]. Some inspection methodologies have already been demonstrated
61 successfully, but rarely have the capability to provide phase information. For example, *actinic*
62 inspection methodologies which use light at the same wavelength as the designed working
63 wavelength, like bright field [15, 16] and dark field [17, 18] EUV microscopy, have the ability to
64 scan large surfaces for the presence of small printable defects. Non-optical inspection techniques,
65 such as scanning electron microscopy (SEM) [19] and atomic force microscopy (AFM) [20], can be
66 used for topographic measurements with varying spatial resolutions. However, they are typically
67 not able to provide information about phase errors in the reflected wavefront. EUV ptychographic
68 imaging provides better resolution than visible light microscopy and deeper penetration than
69 SEM and AFM. Reflection-mode ptychography, which provides complex reflectivity information,
70 has potential applications in verifying the design of phase shift masks and helps simulating
71 their aerial image [21, 22]. Most of the research so far has been demonstrated on synchrotron
72 light sources [23–26] because of the source's strengths in photon flux, coherence and stability.
73 However, the large investment, huge facility size and limited experimental time impede further
74 applications. High-harmonic generation (HHG) is a promising alternative EUV light source,
75 given its small footprint, high coherence and acceptable photon flux. Many demonstrations
76 of EUV ptychography using HHG-based light sources have been reported in the fields of
77 tomography [27], characterisation of material components [10, 11, 28], wavefront sensing [29]
78 and coherent imaging [30–33]. Relevant to the topic of this paper, HHG-based ptychographic
79 inspections of defects in EUV masks have been demonstrated on patterned masks [24, 34].

80 In this paper, we describe the use of reflection-mode ptychography with a HHG-based light
81 source for imaging of the complex EUV reflectivity of a silicon/molybdenum based multilayer
82 mirror structure grown on a substrate containing prefabricated defects in the shape of etched
83 pits. The EUV reflectivity at a wavelength of 29.6 nm is compared to the physical properties of
84 the mirror measured using AFM and scanning transmission electron microscopy (STEM). AFM
85 can help decouple the phase contributions from structural changes and topographic changes.
86 Due to the shallow penetration depth at 29.6 nm, away from the mirror's designed wavelength,
87 the phase shifts introduced by the changes in multilayered structure are small. The topography
88 derived from phase is shown to agree well with AFM measurements. Shadowing of walls on
89 both sides of the pit defects due to the 45° incident angle is accurately reproduced in the images.
90 Given the recorded phase and amplitude noise levels in the complex reflectivity, modelling of
91 reflection properties from multilayer mirrors is used to predict the capability of a combination of
92 ptychography and AFM to study internal changes in the multilayered structure at both 29.6 nm
93 and 13.5 nm.

94 2. Experimental setup

95 The HHG system uses 45 fs ultrafast laser pulses at 800 nm, with energies of ~ 1.4 mJ at a
 96 repetition rate of 1 kHz. These pulses are focused onto a gas cell filled with 80 mbar argon gas.
 97 The beam has a diameter of FWHM ≈ 70 μm at the focus, giving a peak intensity $\sim 10^{15}$ W/cm².
 98 The residual infrared light is blocked by a 200 nm thick aluminium filter while the EUV light at the
 99 27th harmonic of the laser (29.6 nm/42 eV) is attenuated by $\sim 40\%$. Harmonics are further filtered
 100 by a single spherical narrow-band multilayer mirror. After filtering, the spectrum illuminating
 101 the sample consists of three harmonics centred around 29 nm while the central 27th harmonic
 102 contains more than 80% of the total power. The resulting flux is $\sim 10^8$ photons/s [30]. The EUV
 103 beam is focused down to FWHM ≈ 15 μm on the aperture panel which is held 50 μm \sim 150 μm
 104 above the sample surface. As illustrated in fig. 1 (a), the reflected light is collected by a XUV
 105 CCD camera (Andor DX434) whose surface is perpendicular to the specular reflection.

106 2.1. Sample design and fabrication

107 The sample is a Si/Mo multilayer mirror structure with pre-designed defects in the form of pits
 108 etched into the Si substrate before the multilayer is deposited, to simulate phase defects. The
 109 defect patterns have the shape of an adapted 1951 USAF resolution test chart. The pattern
 110 consists of bars in groups of three with different sizes; their lengths range from 2.0 μm to 28.4 μm
 111 and their depths are measured to be ~ 367 nm by white light interferometer. Multiple copies of
 112 the patterns are etched into the silicon substrate by e-beam lithography before deposition of 144
 113 periods of Si/Mo (4.14 nm /2.76 nm) in a multilayered structure. The fabrication was done by
 114 optiX fab GmbH. This sample is designed to have peak reflectivity at $\lambda = 13.5$ nm at an angle of
 115 incidence of 6° , the same working condition as masks used in high-volume manufacturing.

116 2.2. Ptychography geometry

117 In a reflection geometry, radiation is incident on a flat sample, which defines the X-Y plane, at
 118 an angle to the surface normal, the Z axis (all quoted angles are relative to the surface normal).
 119 As a lab-based EUV light source, the available coherent EUV photon flux from a HHG light
 120 source is rather limited. It is optimal to work at an incident angle with a reflectivity as high as
 121 possible to maximise both signal/noise and NA-limited resolution. Fig. 1(b) shows the variation
 122 of Abbé resolution (red) and sample reflectivity (blue) as a function of angle of incidence for
 123 29.6 nm light. The lateral resolution r in the direction parallel to the incident plane will be worse
 124 than in the orthogonal direction because its collected spatial frequency range is smaller, which
 125 is caused by the tilted imaging geometry [35]. At 29.6 nm, an incident angle of 45° allows a
 126 high NA (~ 0.39 perpendicular to the plane of incidence) and a reflectivity of $\sim 4.5\%$, which is a
 127 good compromise for resolution and signal/noise. The theoretical resolution limit in this case is
 128 $r_{\perp} \approx 37$ nm and $r_{\parallel} \approx 52$ nm.

129 2.3. Design of aperture panel

130 Ptychography is most effective when the shape of the illuminating beam on the sample contains
 131 sufficient amount of structures [36, 37], rather than the low spatial frequencies found in, for
 132 example, a Gaussian-shaped beam. The illumination probe is formed by focusing the beam
 133 through a structured aperture placed close enough to the sample, which will avoid coherent flux
 134 losses caused by other probe forming methods such as Fresnel zone plate. In transmission this is
 135 easily achieved; in reflection, this adds considerable complexity to the geometry, as the reflected
 136 beam needs to pass near the aperture used for illumination, as illustrated in fig. 1 (c). The
 137 aperture panel is designed to have two windows, for the incident and reflected beams respectively.
 138 The windows are fabricated by ultrafast laser drilling through a 3 μm thick copper foil. The
 139 aperture is designed to be installed at a distance of ~ 100 μm in front of the sample. A similar

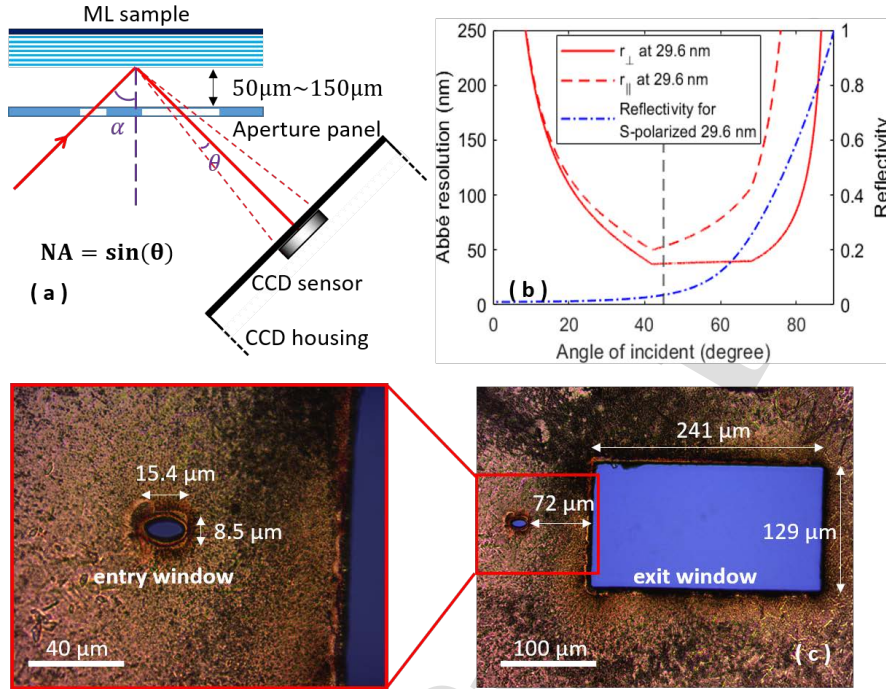


Fig. 1. (a) is a schematic showing the setup geometry. The sizes of items are not to scale. (b) plots the theoretical Abbé resolution and reflectivity from the multilayered (ML) sample at different angles of incidence α , showing the best resolution happening near 45° . (c) shows microscopic images of double windows on the aperture panel before they are deposited with soot.

140 double window design was also used in [38] but only for low NA imaging when the incident
 141 angle is close to normal.

142 2.4. Parasitic reflection mitigation

143 Since the two windows are very close together, any reflected light from around the entry window
 144 will form background noise, reducing signal-to-noise ratio greatly, especially if the focused beam
 145 is not well aligned. A thin layer of soot, whose particles have diameters of 30 nm~50 nm [39],
 146 was deposited on the aperture panel to reduce the parasitic reflection. Comparing the reflection
 147 intensity from a Au coated Si substrate before and after soot deposition, a reflectivity decrease of
 148 at least 3 orders of magnitude was measured. Fig. 2 shows the reconstructed probe when the
 149 aperture panel was used in a conventional transmission-mode setup; (a) is the probe at object plane
 150 while (b) is at the aperture plane after propagating back using the angular spectrum method (ASM).
 151 The clusters of soot particles around the aperture edges produce an appropriately-structured probe
 152 beam which helps the convergence and stability of the ptychographic iterative optimisation [37].

153 3. Data collection and processing

154 The translations of aperture and sample are separately controlled by two 3-axis piezoelectric
 155 stages. The probe at the sample plane is slightly bigger than the entry window, which is about
 156 $15 \mu\text{m} \times 9 \mu\text{m}$. A scan step of $4 \mu\text{m}$ renders a linear overlap between neighbouring scans more
 157 than 60%, which meets the requirement of 60% overlap recommended in [40]. Our measurement

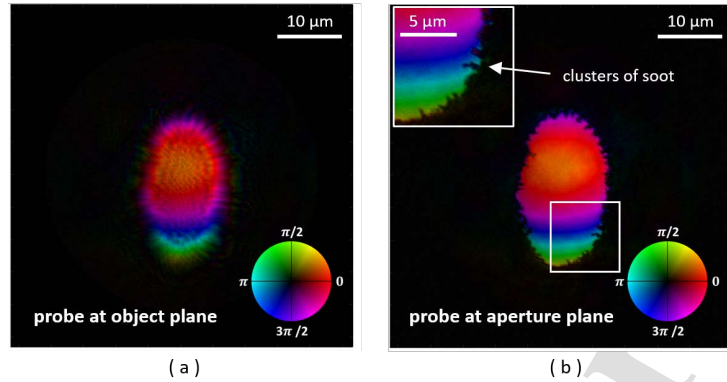


Fig. 2. (a) and (b) show the reconstructed probe electric field at object plane and aperture plane respectively, plotted with hue, saturation and value (HSV) colour wheel, with phase and modulus being represented by hue and value respectively. The probe at the aperture plane is generated by propagating the reconstructed probe at the sample back by the aperture-sample spacing using ASM. Clusters of soot particles can be seen around the aperture edges.

158 consists of 117 scans in the X-Y plane following a Fermat spiral scan path [41] and covers an
 159 area of $40\ \mu\text{m} \times 40\ \mu\text{m}$. The CCD is positioned $\sim 27\ \text{mm}$ downstream of the sample. Without
 160 any data cropping, the $1024\ \text{pixels} \times 1024\ \text{pixels}$ ($13\ \mu\text{m} \times 13\ \mu\text{m}$ per pixel) sensor provides a
 161 collection NA of 0.24. The theoretical upper value at this angle, $\text{NA} = 0.39$, was not used in order
 162 to avoid any accidental contact between the CCD and the sample holder. The dynamic range of
 163 the diffraction signal is increased by the use of multiple CCD exposures with different exposures
 164 times, which are combined to make a single frame. The maximum exposure is 40 s while the
 165 minimum is selected dynamically to avoid possible signal saturation. The total acquisition time
 166 is about 3 hours, giving about 90 s for each scan position. The time overhead of data reading
 167 is more than 50% because of the use of a slow CCD readout rate (31kHz) to minimise readout
 168 noise.

169 Ptychographic reconstruction was performed with the PtychoShelves software suite [42]. All
 170 diffraction patterns are first corrected with the tilted plane correction (TPC) method proposed
 171 in [7] before fed into the iterative reconstruction. The ptychographic reconstruction is done with
 172 an initial 300 iterations of a difference map (DM) algorithm [43], followed by 2000 iterations of a
 173 least-squares maximum-likelihood (LSQ-ML) algorithm [44]. Partial coherence is accounted for
 174 by reconstructing 4 incoherent states for the probe using the state mixtures method by Thibault
 175 & Menzel [45]. Additionally, with the orthogonal probe relaxation (OPRP) method [46], 4
 176 orthogonal modes of probe were used for the dominant probe state to consider the EUV output
 177 intensity and probe wavefront fluctuations.

178 4. Results

179 In order to observe the cross section of multilayered structures, a $\sim 15\ \mu\text{m} \times 5\ \mu\text{m}$ lamella,
 180 crossing the three-bar features almost perpendicularly, was cut by focused ion beam (FIB). Fig. 3
 181 shows the side view of the lamella measured by STEM. The substrate pits are clearly visible, and
 182 the distortion of the multilayers grown over the pitted substrate can be seen. All these STEM
 183 images are collected in high-angle annular dark field (HAADF) mode, where high-Z elements
 184 like Mo generate stronger signals than low-Z elements like Si and C (protective coating for FIB
 185 cutting). Thus the alternate dark and bright lines seen, for example, in (b) represent the layered

186 Si and Mo structures respectively.

187 The topography of the Si substrate has a significant effect on the periodicity of the deposited
 188 Si/Mo layers. For example, for an area with a small bump on the substrate (blue rectangle in fig.
 189 3) or an area with a sloping side wall (red rectangle), the regularity of layered structures above
 190 will be disturbed, even being folded. But these defects can be concealed if sufficient number of
 191 layers are deposited. Surface inspection tools, like AFM and SEM, will not be able to detect the
 192 defects in this case. On the contrary, actinic inspection like reflection-mode EUV ptychography
 193 is still capable of detecting hidden defects because of the penetration depth of EUV light into
 194 the multilayered structures. Calculations using the IMD package [47] within XOP [48] show
 195 that EUV light at resonance can penetrate ~ 50 nm into the multilayered structures, and close to
 196 resonance the penetration depth can be up to 200 nm.

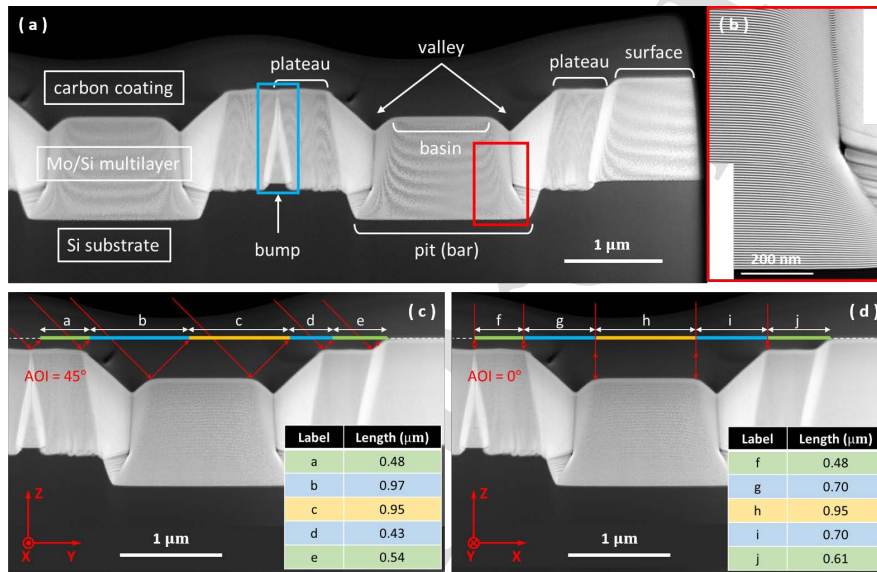


Fig. 3. (a) is the STEM-HAADF image of the cross section of the multilayered structures above the pit features in the substrate. Different regions are named for reference based on their topographic resemblance. The areas within the blue and red rectangles have a bump and a slope feature on the substrate respectively. (b) shows the zoomed-in image for the structures near the slope. The layered structures are squeezed during deposition to be folded. (c) and (d) illustrate the situations when the incident plane is perpendicular to or parallel to the long axis of the bar-shaped substrate patterns respectively. The widths of each region with different reflectivities are measured and listed in the images.

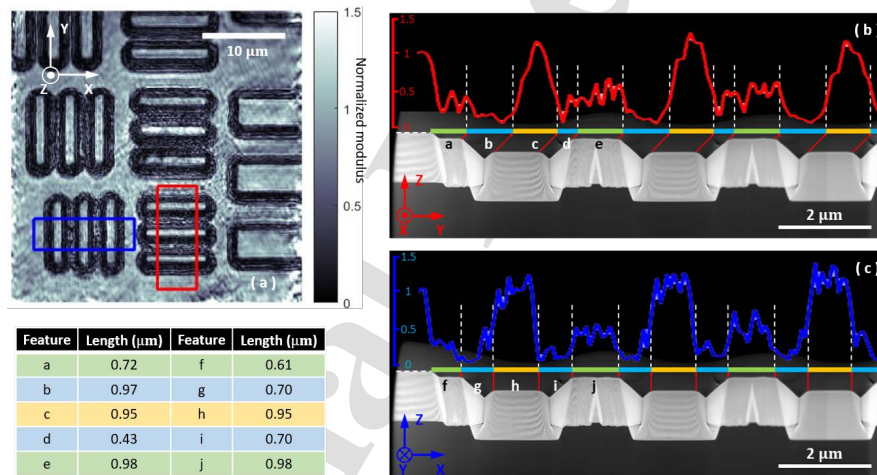
197 4.1. Reflectivity analysis

198 Ptychography measures the full complex reflectivity of the sample, unlike incoherent microscopy
 199 techniques. The square modulus of the complex reflected electric field is proportional to the
 200 reflectivity of the sample, even though the absolute value can not be retrieved unless the probe
 201 intensity is known and stable, as shown in [11]. The variation of the reflectivity over the whole
 202 scan area is influenced by many factors, including surface roughness, structural regularity and
 203 angle of incidence. Based on the structure's profile shown in fig. 3, only two areas are capable of
 204 providing high reflectivity: the bottom of the basin and the top surface, which are both smooth
 205 and regular structures. The plateau regions have low reflectivity because the reactive ion etching
 206 during the pattern formation after e-beam lithography introduces undesired roughness on the

207 substrate.

208 Fig. 4 (a) shows the reflected electric field amplitude when the plane of incidence of the EUV
 209 light is the Y-Z plane. The EUV is incident at an angle of 45° to the surface normal. The variation
 210 in reflected amplitude arises from changes in angle of incidence and from surface roughness,
 211 with both factors contributing to the low-reflectivity areas around each feature. Fig. 4 (b) and (c)
 212 plot the modulus variation across the three-bar features in either the Y-Z (b) or X-Z (c) plane. In
 213 order to increase the signal-to-noise ratio in analysis, modulus values are averaged along the bar
 214 orientation inside a selected rectangular area (shown in (a)). Since we only focus on relative
 215 values, all modulus values are normalised by the average value at the surface. The plateaus have
 216 an averaged modulus value of ~ 0.44 , showing that their reflectivity is decreased to only about
 217 $1/5$ of the normal value because of the substrate roughness in this area. The widths of each region
 218 can be verified by reference to the STEM cross-sections in fig. 3 (c) and (d), which illustrate two
 219 situations when the incident plane is perpendicular to and along the bar features. Yellow, blue
 220 and green lines represent basin, valley and plateau regions respectively.

221 Fig. 4 (b) shows that the basin region reflections are offset when the plane of incidence is
 222 perpendicular to the long axis of the bars. The left valley region is $0.52 \mu\text{m}$ wider than the right.
 223 The offset arises from the depth of the basins, which at 45° causes the reflection to be shifted
 224 compared to a reflection from the surface, and indicates the fidelity of the reconstruction process,
 including the correction of the diffraction patterns for tilt.



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Fig. 4. (a) Amplitude of the sample reflectivity, normalised to the averaged value on the top surface. The EUV light is incident in the Y-Z plane. (b), (c) Average amplitude reflectivity within the regions marked with red and blue rectangles. The STEM-HAADF images of the sample are shown below each graph for reference. In (b) the plane of incidence lies across the long axis of the bars, so that the high-reflectivity basin regions appear shifted laterally because of their depth. In (c), the plane of incidence is along the long axis of the bars, so that no lateral shifts of the basin regions are seen.

225

226 4.2. Phase analysis

227 For multilayered structures, the phase of the reflected light is more sensitive to the periodicity
 228 change and topography than the amplitude, and ptychography provides a direct measurement of
 229 the phase of the reflected light without the use of interferometry.

230 Fig. 5 (a) illustrates the complex electric field reflected from elements 1 and 2 in group 7 of the
 231 USAF test pattern, where the field modulus and phase are represented using a HSV colour wheel.

232 Fig. 5 (d) shows one of the corrected diffraction patterns used to reconstruct (a). The plane of
 233 incident on the object is the Y-Z plane. The phase shifts due to the changes in depths and in the
 234 mirror layered structures are seen clearly as colour variations between the surface and the basin
 235 regions inside each element. The border area around each element is noisy, because in these
 236 regions the reflectivity is very low and the phase is changing rapidly along the sloping sides of
 237 the pits. An averaged phase of the regions highlighted by white rectangles is shown in fig. 5 (b)
 238 and (c). Phase steps are seen between the surface level shown at the edges of the figures (blue),
 239 and the regions corresponding to the basin (red). The measured phase shifts between surface
 240 and bottom of the basin are 2.02 radians and 1.83 radians for two cases respectively. In addition,
 241 distinct phase curvature is observed inside the basin regions, where the observed 'humps' in
 242 phase have an magnitude of 1.00 radians and 0.89 radians respectively.

243 Two separate effects may contribute to the phase of light reflected from a multilayer mirror
 244 with structures like the ones used here: path differences due to surface topography, and changes
 245 in the multilayered structure itself due to subsurface defects. These two intertwined effects are
 246 difficult to decouple by using only one technique.

247 In the first case, the steps in the surface will create path differences for the reflected light,
 248 which will translate into phase differences in the measured image. The phase difference $\Delta\phi$
 249 between the reflected wavefronts from basin and surface regions will follow the equation:

$$\Delta\phi = (2d \cos \alpha - m\lambda) \cdot 2\pi/\lambda \quad (1)$$

250 where d is the depth of basin relative to the surface, α is the incident angle relative to normal and
 251 m is the number of full cycles when the phase is wrapped. The m value can be determined by
 252 finding the closest phase-derived-depth to an AFM or white light interferometry measurement.
 253 An alternative method is to do two or more measurements with either different wavelengths or
 254 different angles of incidence.

255 The second contribution to the measured phase of the reflected light arises from the underlying
 256 multilayer structure. The phase of light reflected by a multilayer mirror changes strongly
 257 depending on the resonance between input wavelength and the multilayer period, undergoing a π
 258 phase shift from one side of the resonance to the other. Thus for a given wavelength, any changes
 259 in the multilayered structure, such as variation in the layer period, can cause large changes in the
 260 phase of reflected light, particularly around the wavelength of peak reflectivity. The STEM image
 261 in fig. 3 shows that, changes in multilayer period do occur in the samples used in this experiment
 262 in areas where there are sub-surface defects. To give an idea of the scale of the possible phase
 263 changes of the reflected light arising from multilayer changes, at ~ 13.5 nm and $\alpha = 6^\circ$, the peak
 264 reflection wavelength of the mirror in this experiment, the phase shift of the reflected light for
 265 a 1% change in multilayer period is calculated to be 0.47 radians. The same multilayer period
 266 change at 29.6 nm and $\alpha = 45^\circ$ results in only 0.006 radians phase shift, because the wavelength
 267 is a long way from the multilayer resonance.

268 Comparing the two different variation mechanisms, it is clear that at the wavelength used in
 269 this experiment the dominant phase shift will be caused by changes in depth of the surfaces from
 270 which the EUV is reflected. Inside the basin regions, a phase 'hump' can be interpreted as a
 271 topographic dent. As shown in fig. 5 (e)(f), the phase-derived-profile calculated by equation
 272 1 agrees well with the AFM measurements, which proves that the measured phase shift arises
 273 principally from the topographic change, rather than any changes in the multilayered structures.

274 The lateral resolution in the final reconstruction is quantified by the knife-edge method
 275 exploiting the fact that the boundaries of basin regions are known to have sharp edges. Two
 276 regions in fig. 5 (a), marked by blue rectangles, are chosen to calculate the resolution in two
 277 directions. The modulus profile is averaged along the bar feature direction and then fitted by
 278 the complementary error function (ERFC). If 10%-90% is set as the criterion, horizontal and
 279 vertical resolutions are 88 nm and 154 nm respectively. The difference between two directions

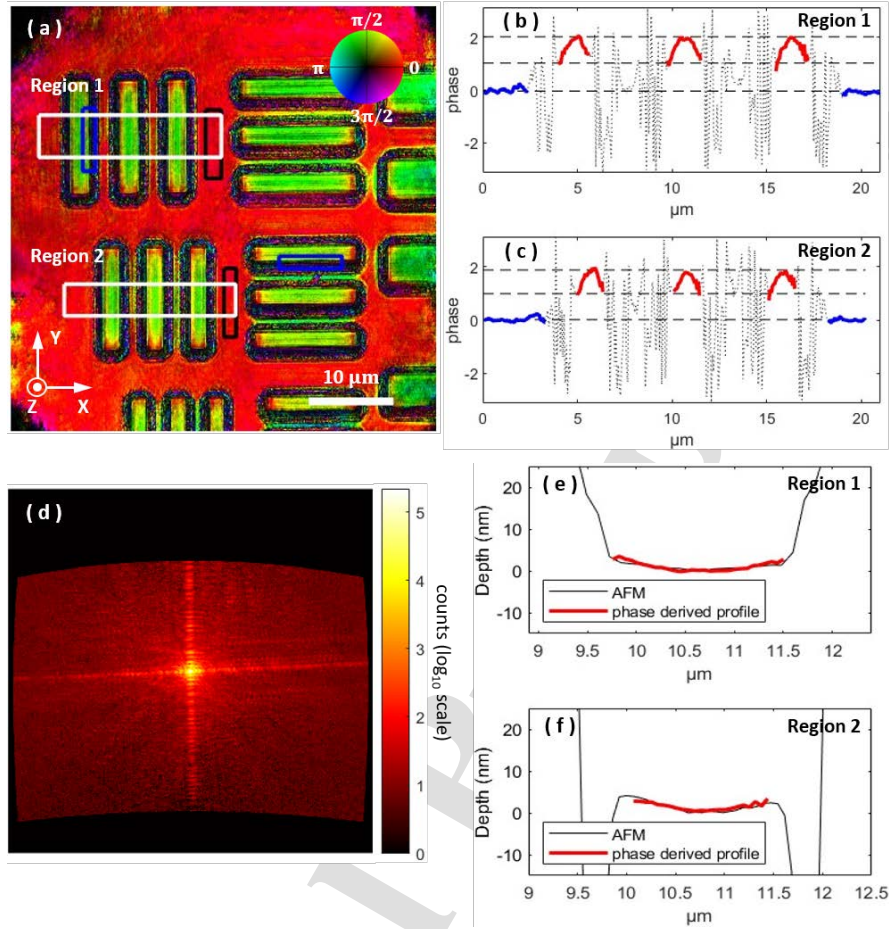


Fig. 5. (a) Complex reflectivity of multilayer sample, where colour indicates phase and intensity indicates amplitude (see inset colour wheel). Changes in phase due to the depth profile are clearly seen, as is bending of the basin regions around the edges where deposition has been distorted. Two blue rectangles mark the regions for calculating the resolution with knife-edge method. Two black rectangles mark the regions for calculating the standard deviation of the reconstructed phase (b), (c) Phase of the reflected signal, averaged over the white boxes indicated as Region 1 and Region 2 in (a). The surface and basin regions are indicated by blue and red lines respectively, and the sidewall regions indicated by black dotted lines. (d) plots one of the diffraction patterns after TPC correction, which will cause the loss of high frequency signals. (e), (f) AFM measurements are plotted with black lines, while phase-derived-profile of basin regions are plotted with red lines in the same scale. The topographic bending of basin regions agrees well between two measurements.

280 are mainly attributed to the loss of high-frequency signals caused by tilted geometry.

281 4.3. Phase noise and multilayered structure defects

282 In order to assess the capability of the technique to measure variations in the multilayered
 283 structure, both in this geometry and in actinic near-normal incidence geometry, a statistical
 284 measure of the noise in the reconstructed images is required.

285 Over areas of the sample which are expected to be free of defects or topographic changes
286 (black rectangles in fig. 5 (a)), the standard deviation of the phase measured is 0.08 radians, and
287 the standard deviation of the amplitude reflection is 9.3%. In the experimental geometry used
288 here, with $\lambda = 29.6$ nm and an incident angle of 45° , the measured phase noise is equivalent to a
289 height variation at the sample of 0.27 nm, which is equivalent to the change in phase generated
290 by a 20% variation in multilayer period. The relative insensitivity of the phase to mirror period
291 changes at $\lambda = 29.6$ nm arises from the fact that the wavelength is off-resonance with the mirror's
292 design wavelength.

293 4.4. Application to 13.5 nm imaging

294 On-resonance inspection of defects in multilayered structures is of great interest because of a
295 deeper penetration, a higher reflectivity and a higher sensitivity of reflected phase to structural
296 changes. As for mirrors and masks used in EUVL, an actinic ptychographic inspection at
297 13.5 nm will reveal the complex wavefront when installed in the industrial environments. EUV
298 ptychography with a 13.5 nm HHG-based light source has already shown applications in imaging
299 or inspecting patterned EUV masks [24, 34, 49], characterising element components [28] and
300 evaluating EUV pellicle properties [50]. However, HHG will generally have smaller photon
301 flux at higher energy because of its lower conversion efficiency. While imaging at 13.5 nm is
302 not performed in this study because of experimental constraints, the capability of HHG-based
303 ptychography to measure phase defects can be predicted based on the measured noise performance
304 of the present experiments.

305 Assuming the same RMS phase noise (0.08 radians) as measured in these experiments, if
306 imaging using an incident wavelength of 13.5 nm and an incident angle of 6° , the designed
307 working condition, the observable change in the multilayer period would be 0.17%. This high
308 sensitivity stems from the narrow resonance of the mirror reflectivity. In contrast, the change in
309 amplitude reflectivity for the same multilayer period change is much smaller, only 0.7%, because
310 the amplitude change at the peak of the resonance is much smaller than the phase change.

311 In order to separate phase changes due to surface topography from those due to mirror
312 multilayer period, it is necessary to compare the measurements of phase with surface profile
313 measurements from AFM. As is shown here, correlation of the two measurements on a single
314 sample is straightforward. Thus on-resonance, 13.5 nm ptychographic imaging with the same
315 noise floor as observed at 29.6 nm would be an extremely sensitive probe of the kind of sub-surface
316 layer thickness variation seen in the STEM images of defect samples.

317 5. Conclusion

318 Reflection-mode ptychography using a tabletop EUV light source based on HHG has been used,
319 together with STEM and AFM, to characterise the changes in reflectivity of an EUV mirror with
320 engineered substrate defects. Ptychography allows measurement of both phase and amplitude of
321 the reflected light, with a lateral resolution down to 88 nm. The use of an incident angle of 45°
322 allows a relatively large numerical aperture ($NA \approx 0.24$) for the detector, improving resolution,
323 but highlights the shadowing effect produced by the steps in the surface profile.

324 Both the amplitude and the phase images of the mirror sample agree well with AFM and STEM
325 measurements. Reduction of reflectivity amplitude due to surface roughness is observed in areas
326 identified as rough on the STEM images, and changes in the phase of the reflected light agree
327 well with height measurements from AFM, with a baseline noise level equivalent to 0.27 nm
328 RMS height variation. The origin of the insensitivity of phase variation to mirror multilayered
329 structure variation is shown via modelling of the mirror reflectivity.

330 Modelling of mirror reflectivity further indicates that similar experiments performed at 13.5 nm,
331 in resonance with the mirror reflection peak, would be able to identify variations in the mirror
332 period of less than 0.2% as a function of position across the sample surface. The combination

333 of topography measurement by AFM or white light interferometry with EUV ptychography,
 334 potentially at multiple wavelengths, would be a powerful combination for measurement of both
 335 surface height variation and sub-surface mirror structure changes for full characterisation of
 336 laterally-structured EUV multilayers, such as EUV phase shift masks.

337 6. Backmatter

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344 **Data Availability.** Data underlying the results presented in this paper are available in PURE, the University
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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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