

Response and Resistance to Cladribine in Patients with Advanced Systemic Mastocytosis: A Registry-Based Analysis

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1 **ABSTRACT**

2 We sought to evaluate the efficacy of the purine analogue cladribine in 79 patients with
3 advanced systemic mastocytosis (AdvSM) using data from the 'German Registry on
4 Disorders of Eosinophils and Mast Cells (GREM)'. The overall response rate according
5 to modified Valent criteria (46 evaluable patients) for first- (1L) and second-line (2L)
6 cladribine treatment was 41% (12/29) and 35% (6/17, $P=0.690$), respectively, and the
7 median overall survival (OS, all patients evaluable) was 1.9 years ($n=48$) and 1.2 years
8 ($n=31$; $P=0.311$). Univariate and multivariable analyses of baseline and on-treatment
9 parameters identified diagnosis of mast cell leukemia (hazard ratio [HR] 3.5, 95%
10 confidence interval [CI, 1.3-9.1], $P=0.012$), eosinophilia $\geq 1.5 \times 10^9/L$ (HR 2.9 [CI 1.4-
11 6.2], $P=0.006$) and <3 cycles of cladribine (HR 0.4 [CI 0.2-0.8], $P=0.008$) as
12 independent adverse prognostic parameters for OS. There was no impact of other
13 laboratory (anemia, thrombocytopenia, serum tryptase) or genetic markers (mutations
14 in *SRSF2*, *ASXL1* or *RUNX1*) on OS. In consequence, none of the recently established
15 prognostic scoring systems (MARS, IPSM, MAPS or GPSM) was predictive for OS.
16 Modified Valent criteria were superior to a single factor-based response assessment
17 (HR 2.9 [CI 1.3-6.6], $P=0.026$). In conclusion, cladribine is effective in 1L and 2L
18 treatment of AdvSM. Mast cell leukemia, eosinophilia, application of <3 cycles and a
19 lack of response are adverse prognostic markers.

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23 **INTRODUCTION**

24 Systemic mastocytosis (SM) is a rare myeloid neoplasm characterized by multifocal
25 accumulation of neoplastic mast cells (MC) in the bone marrow (BM), visceral organs
26 and skin.¹⁻⁴ Advanced systemic mastocytosis (AdvSM) comprises aggressive SM
27 (ASM), SM with an associated hematologic neoplasm (AHN), and MC leukemia (MCL).
28 SM phenotype driver is an acquired somatic point mutation in *KIT* at codon D816V (*KIT*
29 D816V) found in >90% of AdvSM patients.^{5,6} In addition, 60-80% of patients harbor
30 additional somatic mutations, e.g. in *SRSF2*, *ASXL1*, *RUNX1* (S/A/R gene panel),
31 *NRAS*, or *DNMT3A*, which are important parameters for combined clinico-genetic
32 prognostic risk scoring systems (e.g., Mutation-Adjusted Risk Score, MARS; Mayo
33 Alliance Prognostic System, MAPS; Global Prognostic Score for SM, GPSM).⁷⁻¹²

34
35 The development of novel targeted drugs, e.g., the multikinase inhibitor midostaurin¹³⁻
36 ¹⁵ and the *KIT*D816V inhibitor avapritinib^{16,17}, has extended the therapeutic options for
37 patients with AdvSM, which were previously based on the off-label use of the purine
38 analogue cladribine¹⁸⁻²². However, recent data on response rates and variably on
39 leukemia-free (LFS), event-free- (EFS) and overall survival (OS) meanwhile favor the
40 use of midostaurin and avapritinib.²³⁻²⁶ Notwithstanding, cladribine will remain a
41 relevant treatment option beyond first-line treatment due to intolerance, resistance and
42 progression on KIT inhibitors.^{23,27,28} No predictive markers have yet been established
43 for response, resistance and survival in cladribine-treated AdvSM patients¹⁸⁻²², a gap
44 which we aimed to fill by analysis of a comprehensive cohort of 79 cladribine-treated
45 patients enrolled within the 'German Registry on Disorders of Eosinophils and Mast
46 Cells' (GREM).

47

48

49 **PATIENTS AND METHODS**

50 **Study population**

51 All cladribine-treated patients (n=79) from the GREM which were diagnosed between
52 2003 and 2021 were selected for this project, which is an updated and more detailed
53 analysis of a comparative study between midostaurin and cladribine.²³ The diagnosis
54 of SM was established according to the World Health Organization classification.^{1,29-31}
55 All BM biopsies were evaluated by reference pathologists (H.-P.H., K.S.) of the
56 European Competence Network on Mastocytosis (ECNM).³² The study design
57 adhered to the tenets of the Declaration of Helsinki and was approved by the
58 institutional review board of the Medical Faculty of Mannheim, Heidelberg University,
59 Germany. Written informed consent was provided by all patients.

60

61 **Treatment**

62 The number of patients allowed separation of first- (1L) and second-line (2L) treatment.
63 Prior treatment included midostaurin while subsequent treatment approaches included
64 (individually or sequentially) midostaurin, avapritinib, acute myeloid leukemia-like
65 intensive chemotherapy and, rarely, allogeneic stem cell transplantation. Treatment
66 options with a potentially low disease-modifying impact (e.g. interferon-alpha) or solely
67 directed towards AHN (e.g. hydroxyurea, azacytidine) were not considered as 1L- or
68 2L-treatment.

69

70 **Gene mutation analyses**

71 Quantitative assessment of the *KIT* D816V expressed allele burden (EAB) was
72 performed by allele-specific quantitative real-time reverse-transcriptase polymerase
73 chain reaction (RT-qPCR) analysis on RNA/complementary DNA as previously
74 described.³³ NGS analyses on DNA were performed through library preparation by the

75 Access Array Technology (Fluidigm, San Francisco, CA) and sequencing on the MiSeq
76 Instrument (Illumina, San Diego, CA). Gene mutations were annotated using the
77 reference sequence of the Ensembl Transcript ID (Ensembl release 85: July 2016).

78

79 **Prognostic scoring systems**

80 The predictive value and clinical utility of several recently established prognostic
81 scoring systems (MARS, International Prognostic Scoring System for AdvSM [IPSM-
82 AdvSM], MAPS, and GPSM) was conducted according to published criteria.^{7,11,12,34}
83 Similarities and differences between the scores are given elsewhere.^{11,30}

84

85 **Response assessment**

86 Response assessment according to modified Valent criteria²¹ included regular
87 monitoring of C-findings, serum tryptase and a BM biopsy within 2 months after the
88 last applied course of cladribine. The reasons for not using the more recently
89 established International Working Group-Myeloproliferative Neoplasms Research
90 Treatment-ECNM (IWG-MRT-ECNM) criteria included: (i) the retrospective nature of
91 our analysis did not allow to adequately address the complex IWG-MRT-ECNM
92 criteria, (ii) the modified Valent response criteria were commonly used for response
93 assessment of cladribine in prior studies. Molecular response was defined as *KIT*
94 D816V expressed allele burden reduction $\geq 25\%$ within 2 months after the last
95 course.^{7,23,33,35}

96

97

98 **Statistical analyses**

99 All statistical analyses considering clinical, laboratory and molecular parameters were
100 obtained at the time of diagnosis/first referral to our center (initial parameters),

101 treatment initiation with cladribine (baseline parameters) and at multiple time points
102 during treatment (including time point for response assessment). The Mann-Whitney
103 *U*-test was used to compare continuous variables and medians of distributions.
104 Fisher's exact test was used for categorical variables. We retrospectively analyzed the
105 OS (time of diagnosis/treatment initiation to the date of death/last visit) by using the
106 Kaplan-Meier method with log-rank test for group comparisons/visualizations. Disease
107 progression was defined as a shift to a more aggressive AdvSM subtype (secondary
108 MCL or secondary acute myeloid leukemia [AML]). Duration of treatment was defined
109 as the duration from initiation of cladribine to discontinuation for any reason. For the
110 estimation of hazard ratios (HRs) and multivariable analysis, the Cox proportional
111 hazard regression model was used. All variables that showed prognostic significance
112 in univariate analyses were included in multivariable analyses. The first multivariable
113 analysis was performed in an unmodified cohort of patients irrespective of prior or
114 following treatment approaches (midostaurin, avapritinib, intensive chemotherapy and
115 allogeneic stem cell transplantation); the second multivariable analysis was performed
116 in a modified cohort in which patients with prior or following treatment approaches were
117 either excluded or censored at the time of initiation of the next treatment line. *P* values
118 of <0.05 (two-sided) were considered as significant. Data management and statistical
119 analyses were performed with SPSS (SPSS version 20.0; IBM Corporation, Armonk,
120 NY) and GraphPad Prism software (version 8, GraphPad, La Jolla, CA, USA).

121

122

123 **RESULTS**

124 **Therapeutic modalities**

125 Cladribine was used at a dose of 0.14 mg/kg/day subcutaneously or intravenously on
126 days 1-5 of a 28-day course. For both 1L- (n=48, 61%) and 2L-treatment (n=31, 39%),

127 a median number of 3 cycles (range 1-6 and 1-8, respectively) was applied over a
128 median of 3.3 (range 0.1-16.0) and 3.0 months (range 0.1-28.5), respectively
129 ($P=0.612$; **Table 1**). Three or more cycles were applied in 32/79 (41%) patients (1L,
130 $n=21$, 44%; 2L, $n=11$, 35%). The main reasons for dose reduction, e.g. application only
131 on days 1-3 or extension of intervals, was prolonged myelosuppression (15/79, 19%).

132

133 **Comparison of baseline characteristics**

134 Compared to 1L-treatment, patients on 2L-treatment presented with a higher frequency
135 of anemia (61% vs. 35%, $P=0.039$), a higher percentage of BM MC infiltration (58%
136 vs. 40%, $P=0.023$) and a higher median serum tryptase level (448 vs. 199 $\mu\text{g/L}$,
137 $P=0.018$). No significant differences were observed regarding median time from
138 diagnosis (2.2 vs. 2.6 years, $P=0.821$) and median time from start of treatment (0.8 vs.
139 1.5 years, $P=0.186$; **Table 1, Appendix Table 2**).

140

141 **Evaluation of on-treatment and outcome parameters**

142 According to modified Valent criteria, the overall response rate (ORR) on cladribine in
143 46/79 (58%) evaluable patients was 18/46 (39%) with a complete remission (CR) in
144 0/46, a major remission (MR) in 10/46 (22%), and a partial remission (PR) in 8/46
145 (17%) patients. Comparisons between the patient cohorts with and without available
146 response assessment revealed balanced subgroups (**Appendix Table 1**). There was
147 no difference between 1L- (12/29, 41%) and 2L-treatment (6/17, 35%; $P=0.690$). Any
148 response (MR + PR) vs. no response was associated with improved median OS (3.4
149 vs. 1.5 years, $P=0.021$; **Figure 2A**) and was independent of 1L- (3.5 vs. 1.5 years,
150 $P=0.060$) or 2L- (3.2 vs. 1.2 years, $P=0.023$) treatment (**Figures 2B-C**). The use of ≥ 3
151 cycles was associated with an improved ORR (14/25, 56% vs. 4/21, 19% responder;

152 $P=0.011$) and median OS (2.8 vs. 1.2 years, $P=0.038$). The median OS (1.9 vs. 1.2
153 years, $P=0.311$) was not different between 1L- and 2L-treatment (**Figure 1A, Table 1**).
154 The median percentage change from baseline to response assessment of serum
155 tryptase, BM MC infiltration and *KIT* D816V EAB was -29% (range -97% to 75%), 11%
156 (range -94% to 233%) and -1% (range -100% to 1669%; **Figure 3**), respectively. The
157 median percentage change was significantly higher in responders vs. non-responders
158 according to modified Valent criteria (serum tryptase -46% vs. -28%, BM MC infiltration
159 -50% vs. 0% and *KIT* D816V EAB -41% vs. 0%; $P<0.05$).

160

161 **Risk stratification according to recently established prognostic scoring systems**

162 MARS⁷ and the IPSM-AdvSM³⁴ were recently validated for up-front midostaurin risk-
163 stratification.²³ Both risk scores were assessed for stratification at time of diagnosis (all
164 patients) and at time of initiation of 1L- or 2L-treatment. At diagnosis, median OS
165 according to MARS (n=69 evaluable) was 1.5, 2.1, and 1.9 years in low- (n=16, 23%),
166 intermediate- (n=11, 16%) and high-risk patients (n=42, 61%, $P=0.270$), respectively.
167 Median OS according to IPSM-AdvSM (n=71 evaluable) was 1.3, 2.5, and 1.2 years in
168 AdvSM-1/2 (n=16, 23%), AdvSM-3 (n=36, 50%), and AdvSM-4 patients (n=19, 27%,
169 $P=0.053$; **Figure 1B-C**), respectively. Data were not different when applied at start of
170 1L- ($P=0.592$, $P=0.769$) or 2L-treatment ($P=0.125$, $P=0.054$). Of note, neither MAPS
171 ($P=0.358$) nor GPSM ($P=0.127$) were able to predict OS on cladribine (**Appendix**
172 **Figure 1**).

173

174 **Univariate and multivariable analyses**

175 Univariate and multivariable analyses of baseline parameters from all 79 patients
176 identified diagnosis of MCL (hazard ratio [HR] 3.5, 95% confidence interval [CI, 1.3-
177 9.1], $P=0.012$), eosinophilia $\geq 1.5 \times 10^9/L$ (HR 2.9 [CI 1.4-6.2], $P=0.006$) and application

178 of <3 cycles cladribine (HR 0.4 [CI 0.2-0.8], $P=0.008$) as independent adverse
179 prognostic parameters for OS (**Figure 4-5, Appendix Figure 2, Appendix Table 3**).
180 Outcome on cladribine was independent of the presence of one or more additional
181 somatic mutations in the S/A/R gene panel (HR 0.6 [CI 0.2-2.0], $P=0.412$). In univariate
182 analysis, modified Valent criteria were superior (HR 2.9 [CI 1.3-6.6], $P=0.026$; **Figure**
183 **6; Appendix Table 4**) to a single factor-based response assessment, e.g. BM MC
184 infiltration, serum tryptase or *KIT* D816V EAB.

185

186

187 **DISCUSSION**

188 In historical cohorts of up to a maximum of 32 AdvSM patients,^{18,19,21} the ORR on
189 cladribine according to (modified) Valent criteria^{21,36} ranged between 50% and 100%.²⁰
190 Further interpretation on the impact of treatment with cladribine on progression-free
191 (PFS), relapse-free (RFS), event-free (EFS), leukemia-free (LFS) and overall survival
192 is limited because (i) most reports did not clearly differentiate between ISM and
193 AdvSM, (ii) no report separated between 1L- and 2L-treatment and (iii) the definitions
194 of PFS/RFS/EFS/LFS were not consistent between studies. In a registry-based cross-
195 assessment, we recently reported an ORR (modified Valent criteria) of 35% in
196 midostaurin-treated and 40% in cladribine-treated patients.²³ Notwithstanding, the OS
197 on cladribine was significantly inferior to midostaurin in both 1L- and 2L-treatment
198 cohorts. In the current report, we sought to provide a more detailed analysis on
199 response rates on cladribine in 1L- and 2L-treatment, biomarkers indicating response
200 and resistance, and the association between ORR and OS.

201

202 Multivariable analysis identified hypereosinophilia ($>1.5 \times 10^9/l$), as marker of an AHN,
203 diagnosis of MCL, and application <3 cycles as adverse prognostic markers. This

204 confirms a recent report from the Mayo Clinic registry on 22 cladribine-treated AdvSM
205 patients indicating a diagnosis of an AHN (in addition to older age and absence of *KIT*
206 D816V) as adverse prognostic markers for survival and is also in line with a previous
207 publication on the poor prognostic impact of eosinophilia in SM.^{18,37} Recent data also
208 revealed that midostaurin was superior to cladribine in controlling AHN-associated
209 myeloproliferation.²³ The application of ≥ 3 cycles was further associated with a higher
210 ORR.

211
212 In a minority of patients (<10%), cladribine was used for bridging the interval to the
213 start of the midostaurin trial in 2009 and at later time points, it was used in a few
214 patients for more rapid MC debulking with subsequent pre-planned switch to
215 midostaurin. Although myelosuppression became apparent in approximately 20% of
216 patients, infectious complications were not noted as reasons for treatment
217 discontinuation. In contrast to midostaurin, OS on cladribine was not influenced by
218 cytopenias prior to treatment or additional somatic mutations in the *S/A/R* gene panel.
219 Consequently, none of the prognostic scoring systems (MARS, IPSM, MAPS, GPSM)
220 was predictive for OS. The reasons for this observation are unknown but may be
221 explained at least in part by the fact that the scores more effectively identify low-risk
222 patients on targeted treatment with midostaurin^{23,27} or avapritinb²⁶ than on
223 conventional chemotherapy with cladribine.

224
225 In contrast to the recent report from the Mayo Clinic, possibly due to the higher number
226 of patients in our study, any response according to modified Valent criteria in 1L- but
227 also 2L-treatment was associated with improved OS, thus confirming the usefulness
228 of response assessment for guiding further treatment strategies. The data were
229 underscored by the predictive superiority of modified Valent criteria versus a single

230 factor-based response assessment. Although 2L patients presented with a higher
231 disease burden, response and survival were not statistically different from 1L patients.

232

233 Recently reported propensity score weighted analyses on LFS/EFS and OS revealed
234 superiority of midostaurin over cladribine and of avapritinib over best available
235 treatment including midostaurin and cladribine.²³⁻²⁵ However, we conclude that (i)
236 cladribine remains a relevant option within the AdvSM treatment algorithm; its
237 application in 1L-, 2L- or 3L-line locally depends on the approval status of midostaurin
238 and avapritinib; (ii) the presence of an AHN (leukocytosis, eosinophilia), application of
239 <3 cycles and lack of response according to modified Valent criteria are adverse
240 prognostic markers, and (iii) commonly used prognostic models for AdvSM are of
241 limited value because of high mortality in low- and intermediate-risk patients.

242

243 The genetic and clinical complexity of AdvSM requires further prospective clinical trials
244 to study the effects of KIT inhibitors in combination with simultaneous or intermittent
245 use of other anti-neoplastic drugs, e.g. cladribine or hypomethylating agents. Such an
246 approach may counteract the potential outgrowth of *KIT* D816V negative or
247 multimutated subclones.³⁸ For patients with progression into secondary MCL or
248 secondary AML, AML-like chemotherapy with or without subsequent allogeneic stem
249 cell transplantation remains the most reasonable and potentially curative treatment
250 options.

251

252

253 **COMPLIANCE WITH ETHICAL STANDARDS**

254 **Disclosure of potential conflicts of interest**

255 Disclosures of conflict of interest: H.-P.H. served as a consultant for Novartis and
256 Blueprint. P.V. received a research grant from Blueprint and Celgene, served as a
257 consultant in a midostaurin trial with Novartis, and received consultancy honoraria from
258 Blueprint, Deciphera, Novartis, Celgene and Pfizer. A.R. was a member of the Study
259 Steering Committee (SSC) for the global trial of midostaurin in advanced systemic
260 mastocytosis (AdvSM) (Novartis), the Response Adjudication Committee (RAC) for
261 studies of avapritinib in AdvSM (Blueprint Medicines), and the SSC for the phase II trial
262 of ripretinib in AdvSM (Deciphera Pharmaceuticals); has received funding for the
263 conduct of these trials; and has received honoraria and reimbursement of travel
264 expenses from Novartis, Blueprint Medicines and Deciphera Pharmaceuticals. J.S.
265 has served as a member in the advisory board of Blueprint for studies of avapritinib in
266 indolent SM and received honoraria from Novartis.

267

268 **Research involving human participants**

269 The study design adhered to the tenets of the Declaration of Helsinki and was
270 approved by the institutional review board of the Medical Faculty of Mannheim,
271 Heidelberg University, Germany.

272

273 **Informed consent**

274 Written informed consent was provided by all patients.

275

276

277 **DATA AVAILABILITY STATEMENT**

278 The data sets used and/or analyzed during the current study are available from the
279 corresponding author (A.R.) on reasonable request.

280

281

282 **AUTHORSHIP CONTRIBUTIONS**

283 Conception and design: JL, AR, JS

284 Financial support: JS, AR

285 Administrative support: WKH, AR, JS

286 Provision of study materials or patients: JL, NN, GM, SK, AF, WKH, AR, JS

287 Collection and assembly of data: JL

288 Data analysis and interpretation: JL

289 Manuscript writing: All authors

290 Final approval of manuscript: All authors

291 Accountable for all aspects of the work: All authors

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396

397

398 **FIGURE LEGENDS**

399 **Figure 1.** Kaplan-Meier estimates of overall survival according to (A) the first- and
400 second-line use of cladribine, (B) the Mutation-Adjusted Risk Score (MARS) and (C)
401 the International Prognostic Scoring System for Advanced Systemic Mastocytosis
402 (IPSM-AdvSM).

403

404 **Figure 2.** Best percentage change of (A) serum tryptase, (B) bone marrow masto cell
405 infiltration and (C) *KIT* D816V expressed allele burden. The dashed line displays the
406 median change. The triangle indicates percentage change >60%.

407

408 **Figure 3.** (A) Kaplan-Meier estimates of overall survival in cladribine treated patients
409 stratified according to the modified Valent response categories. Respective analyses
410 were performed for cladribine in first- (B) and second-line (C) use.

411

412 **Figure 4.** Kaplan-Meier estimates of overall survival in cladribine treated patients with
413 \geq / $<$ 3 cycles.

414

415 **Figure 5.** Univariate and multivariable analysis of baseline parameters (entire cohort).
416 Abbreviations: Eos, eosinophils; CMML chronic myelomonocytic leukemia; Hb,
417 hemoglobin; HES/CEL, hypereosinophilic syndrome/chronic eosinophilic leukemia;
418 MC, mast cell; MCL, mast cell leukemia; MDS/MPNu,
419 myelodysplastic/myeloproliferative neoplasms unclassifiable; Plt, platelets; S/A/R,
420 *SRSF2/ASXL1/RUNX1*; Wbc, white blood cells.

421

422 **Figure 6.** Univariate analysis of on-treatment parameters. *Cheson criteria for
423 transfusion were considered if necessary. #or normalization. Abbreviations: AP,
424 alkaline phosphatase; BM, Bone marrow; CI, confidence interval; Eos, eosinophilia;
425 Hb, hemoglobin; MC, mast cell; Mono, monocytosis; N, normalization; HR, Hazard
426 ratio; MC, mast cell; Plt, platelets; R, response.

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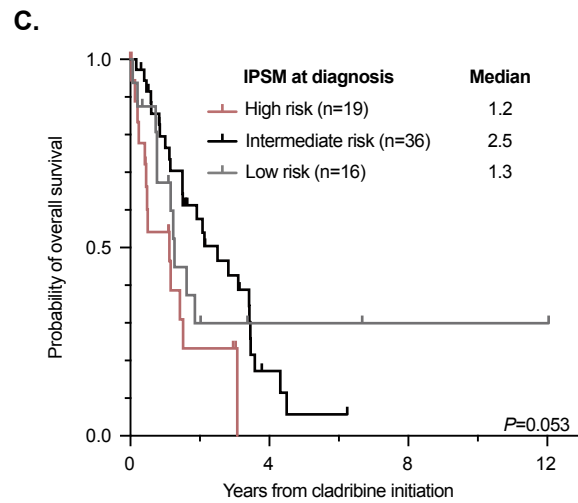
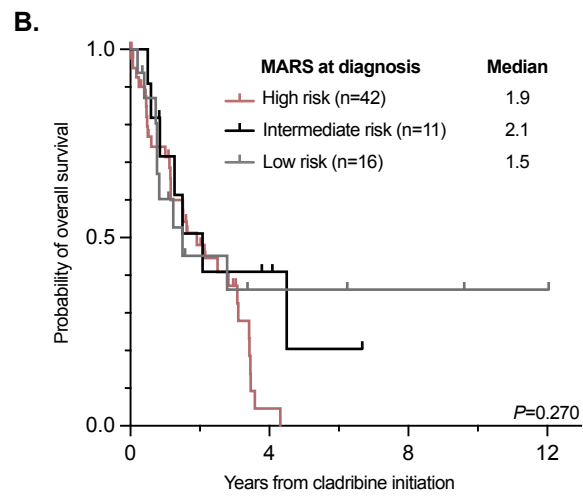
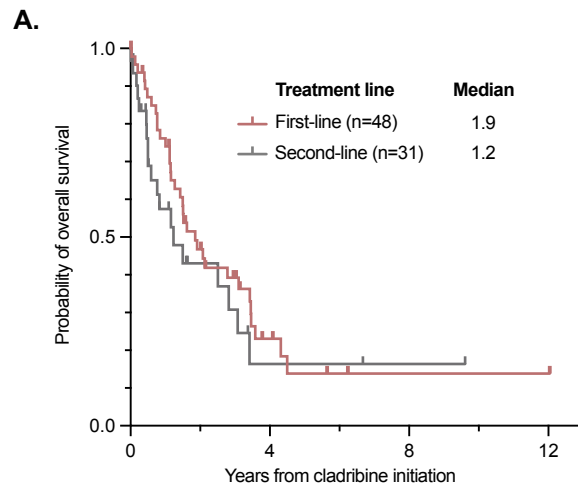
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435 **Table 1: Demographic and disease characteristics of 79 cladribine treated stratified according**
436 **first- and second-line treatment**

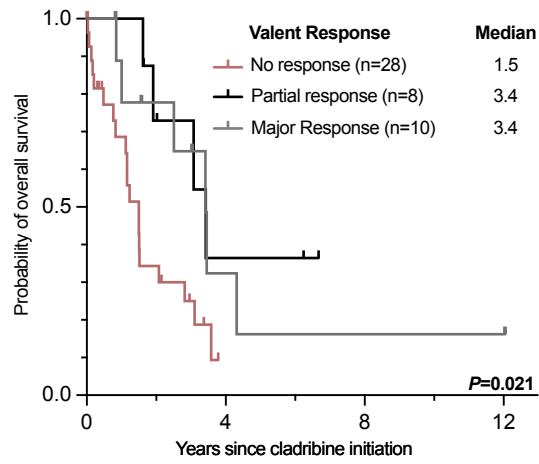
	All	First-line	Second-line	P
Number of patients at baseline, <i>n</i> (%)	79	48 (61)	31 (39)	
Age in years at treatment initiation; median (range)	68 (27-87)	69 (27-81)	66 (48-87)	0.770
Male, <i>n</i> (%)	53 (79)	32 (48)	21 (68)	0.921
Diagnosis				
ASM, <i>n</i> (%)	9 (11)	7 (15)	2 (7)	0.267
SM-AHN, <i>n</i> (%)	56 (71)	35 (73)	21 (68)	0.621
MCL±AHN, <i>n</i> (%)	14 (18)	6 (13)	8 (26)	0.130
C-findings				
Hemoglobin, g/dL; median (range)	10 (7-15)	11 (7-13)	9 (7-15)	0.124
Platelets, x10 ⁹ /L; median (range)	99 (12-630)	105 (12-630)	87 (25-388)	0.254
ANC, x10 ⁹ /L; median (range)	5 (0-65)	6 (1-65)	4 (0-62)	0.648
Alkaline phosphatase, U/L; median (range)	270 (45-1736)	242 (45-1736)	300 (63-919)	0.580
Albumin level, g/L; median (range)	34 (15-48)	34 (21-44)	34 (15-48)	0.709
Other relevant parameters				
Leukocytes, x10 ⁹ /L; median (range)	9.8 (1.3-14.2)	10.4 (1.3-10.4)	9.0 (2.6-14.2)	0.799
Monocytes, x10 ⁹ /L; median (range)	0.9 (0.0-18.5)	1.1 (0.0-17.9)	0.9 (0-18.5)	0.862
Eosinophils, x10 ⁹ /L; median (range)	0.5 (0.0-68.3)	0.5 (0.0-1.4)	0.3 (0.0-68.3)	0.254
MC-infiltration in BM biopsy, %; median (range)	45 (3-100)	40 (5-100)	58 (3-90)	0.023
Serum tryptase level, µg/L; median (range)	215 (23-1200)	199 (23-1150)	448 (54-1200)	0.018
Splenomegaly, <i>n</i> (%)	64 (94)	41 (91)	23 (100)	0.141
<i>KIT</i> D816V EAB in PB, %, median (range)	35 (0-80)	35 (0-61)	37 (0-80)	0.409
MARS score at diagnosis, <i>n</i> (%)				
Low-risk, <i>n</i> (%)	69 (87)	40 (83)	29 (94)	
Intermediate-risk, <i>n</i> (%)	16 (23)	10 (25)	6 (21)	0.675
High-risk, <i>n</i> (%)	11 (16)	6 (15)	5 (17)	0.802
	42 (61)	24 (60)	18 (62)	0.862
Treatment and outcome				
Follow-up, years since diagnosis; median (range)	2.5 (0.1-17.0)	2.6 (0.1-17.0)	2.2 (0.1-16.4)	0.821
Follow-up, years since 1 st cycle; median (range)	1.2 (0.0-12.0)	1.5 (0.0-12.0)	0.8 (0.0-9.6)	0.186
Years to treatment since diagnosis; median (range)	0.7 (0.0-11.0)	0.5 (0.0-10.1)	1.0 (0.1-8.8)	0.083
Years of treatment duration; median (range)	0.3 (0.0-2.4)	0.3 (0.0-1.3)	0.3 (0.0-2.4)	0.612
Number of cladribine cycles, median (range)	3 (1-8)	3 (1-6)	3 (1-8)	0.743
Cycles per months, median (range)	1.0 (0.4-4.8)	1.0 (0.4-4.0)	1.0 (0.7-4.8)	0.848
Deaths, <i>n</i> (%)	53 (67)	34 (71)	19 (61)	0.378
Median OS, years (95% CI)	1.5 (1.0-2.0)	1.9 (1.1-2.6)	1.2 (0.3-2.1)	0.311

ANC, absolute neutrophil count; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; EAB, expressed allele burden; MARS, mutation-adjusted risk score; MC, mast cell; MCL±AHN, mast cell leukemia with/without an associated hematologic neoplasm; NR, monocytosis non-response; OS, overall survival; PB, peripheral blood; R, monocytosis response; SM-AHN, systemic mastocytosis with an associated hematological neoplasm.

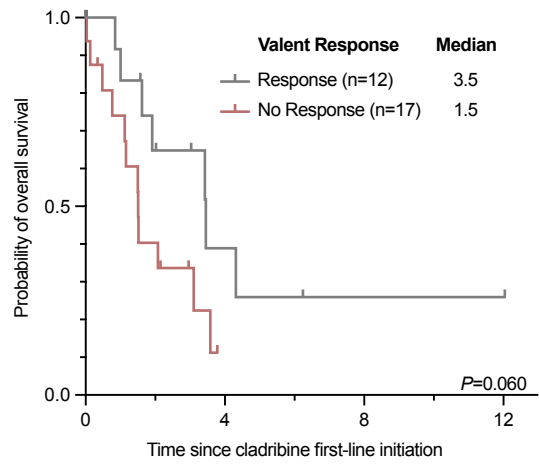
An expanded version of this table is given as Appendix Table 2.



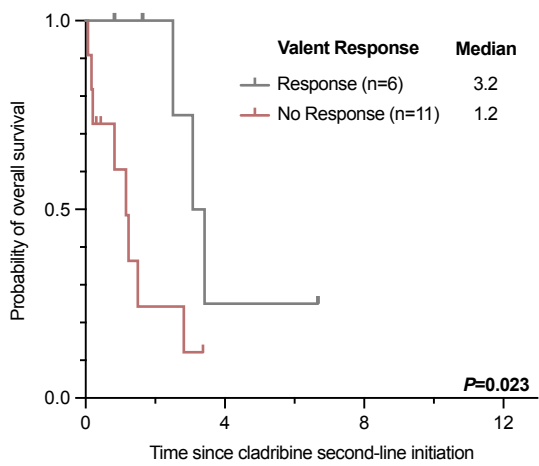
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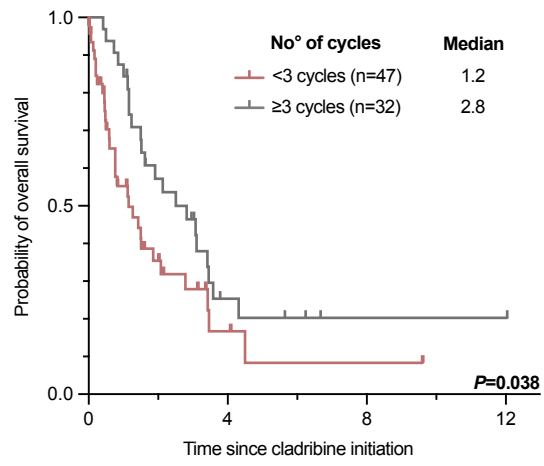


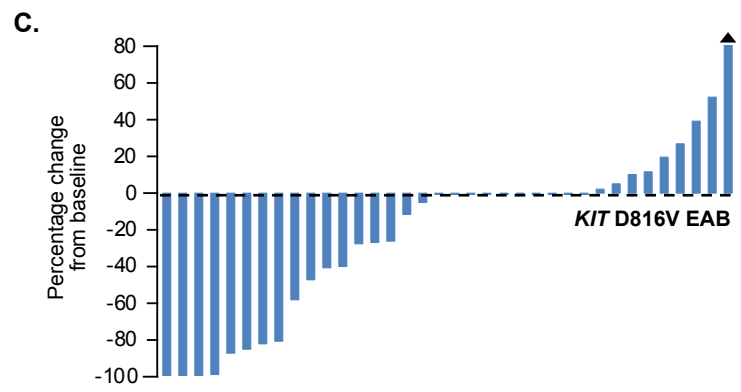
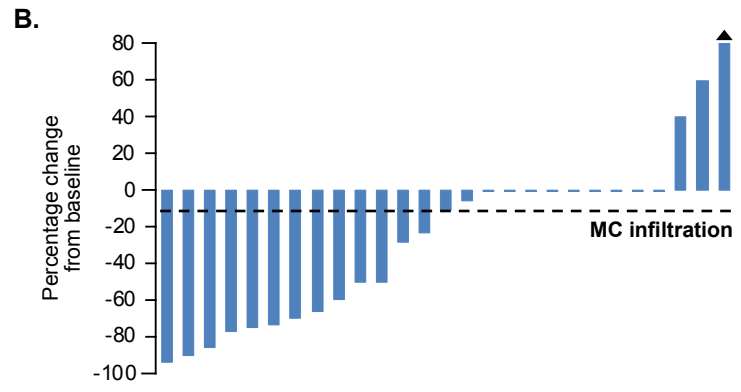
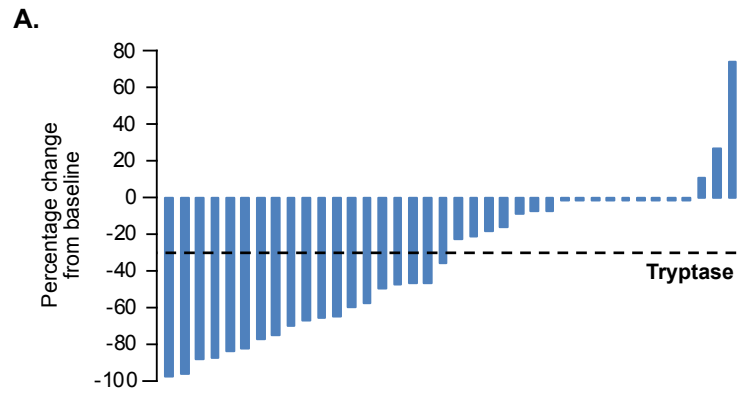
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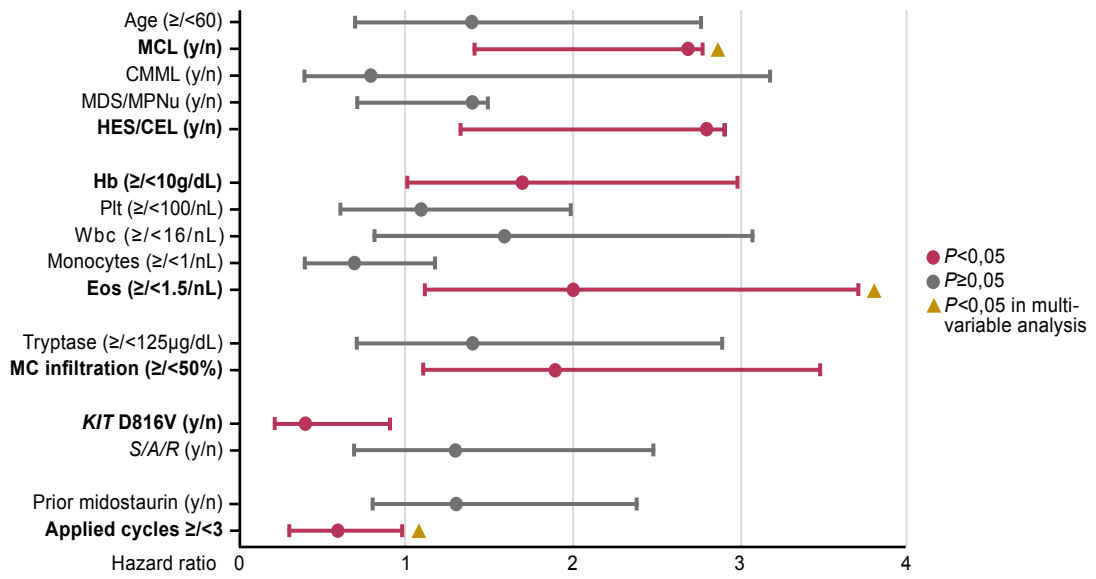


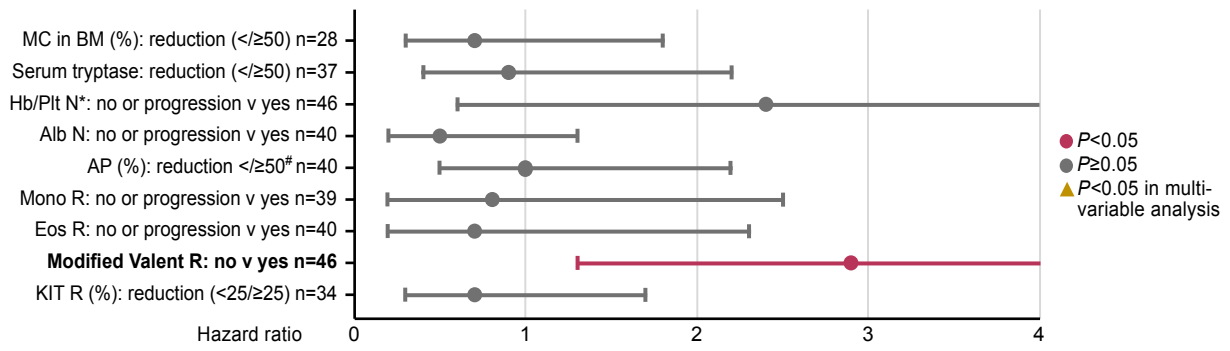
C.











APPENDIX

FIGURE LEGENDS

Figure 1. Kaplan-Meier estimates of overall survival according to (A) the Mayo Alliance Prognostic System (MAPS) and (B) the Global Prognostic Score for Systemic Mastocytosis (GPSM).

Figure 2. Univariate and multivariable analysis of baseline parameters from a modified cohort after exclusion of patients with prior treatment (n=31) and censoring patients at start of subsequent treatment, as potentially confounding treatment-associated parameters, revealed leukocytosis $\geq 16 \times 10^9/L$ (HR 5.0, 95% confidence interval [CI 1.2-21.0], $P=0.026$) and eosinophilia $\geq 1.5 \times 10^9/L$ (HR 3.0 [CI 1.0-8.8], $P=0.048$) as adverse prognostic markers for OS. Abbreviations: Eos, eosinophils; CMML chronic myelomonocytic leukemia; Hb, hemoglobin; HES/CEL, hypereosinophilic syndrome/chronic eosinophilic leukemia; MC, mast cell; MCL, mast cell leukemia; MDS/MPNu, myelodysplastic/myeloproliferative neoplasms unclassifiable; Plt, platelets; S/A/R, *SRSF2/ASXL1/RUNX1*; Wbc, white blood cells.

TABLES

Table 1: Demographic and disease characteristics cladribine treated patients stratified according to availability for response assessment

	Response assessment available	Response assessment <u>not</u> available	<i>P</i>
Number of patients at baseline, <i>n</i> (%)	46 (58)	33 (42)	
Age in years at treatment initiation; median (range)	69 (27-87)	67 (45-84)	0.207
Male, <i>n</i> (%)	33 (72)	20 (61)	0.299
Diagnosis			
ASM, <i>n</i> (%)	3 (7)	6 (18)	0.108
SM-AHN, <i>n</i> (%)	35 (76)	21 (64)	0.230
MCL±AHN, <i>n</i> (%)	8 (17)	6 (18)	0.928
C-findings			
Hemoglobin, g/dL; median (range)	11 (7-15)	10 (7-13)	0.496
<10g/dL, <i>n</i> (%)	18 (40)	12 (50)	0.425
Platelets, x10 ⁹ /L; median (range)	99 (12-312)	97 (25-630)	0.263
<100x10 ⁹ /L, <i>n</i> (%)	23 (51)	13 (54)	0.809
ANC, x10 ⁹ /L; median (range)	5 (0-65)	6 (2-62)	0.453
<1x10 ⁹ /L, <i>n</i> (%)	2 (4)	0 (0)	0.315
Alkaline phosphatase, U/L; median (range)	300 (67-1464)	180 (45-1736)	0.485
>150U/L, <i>n</i> (%)	40 (89)	16 (67)	0.025
Albumin level, g/L; median (range)	33 (15-44)	36 (25-48)	0.047
<34g/L, <i>n</i> (%)	25 (56)	5 (29)	0.066
Weight loss (>10 % over last 6 months), <i>n</i> (%)	33 (83)	12 (80)	0.831
Other relevant findings			
Leukocytes, x10 ⁹ /L; median (range)	9.1 (2.6-104.4)	10.8 (1.3-142.2)	0.222
Monocytes, x10 ⁹ /L; median (range)	1.0 (0.0-5.2)	0.9 (0.0-18.5)	0.133
>1x10 ⁹ /L, <i>n</i> (%)	21 (48)	10 (44)	0.741
Eosinophils, x10 ⁹ /L; median (range)	0.4 (0.0-9.3)	0.8 (0.0-68.3)	0.152
>1.5x10 ⁹ /L, <i>n</i> (%)	12 (27)	9 (39)	0.293
MC-infiltration in BM biopsy, %; median (range)	50 (5-100)	45 (3-90)	0.474
Serum tryptase level, µg/L; median (range)	221 (24-1200)	200 (23-1150)	0.406
Serum tryptase level, >100µg/L, <i>n</i> (%)	40 (89)	15 (71)	0.076
Serum tryptase level, >200µg/L, <i>n</i> (%)	24 (53)	12 (57)	0.772
Serum tryptase level, >400µg/L, <i>n</i> (%)	17 (38)	5 (24)	0.262
Splenomegaly, <i>n</i> (%)	42 (94)	22 (96)	0.700
Hepatomegaly, <i>n</i> (%)	29 (69)	14 (67)	0.848
Lymphadenopathy, <i>n</i> (%)	35 (80)	14 (67)	0.260
<i>KIT</i> D816V EAB in PB, %, median (range)	35 (0-80)	37 (0-55)	0.811
MARS score at diagnosis, <i>n</i> (%)			
Low-risk, <i>n</i> (%)	7 (16)	8 (33)	0.089
Intermediate-risk, <i>n</i> (%)	11 (24)	6 (25)	0.959
High-risk, <i>n</i> (%)	27 (60)	10 (42)	0.146
Treatment and outcome			
Follow-up, years since diagnosis; median (range)	2.6 (0.1-17.0)	2.3 (0.2-16.5)	0.500
Follow-up, years since 1 st cycle; median (range)	1.6(0.0-12.0)	1.1 (0.0-9.6)	0.306
Years to treatment since diagnosis; median (range)	0.7 (0.0-11.0)	0.8 (0.1-6.8)	0.948
Years of treatment duration; median (range)	0.3 (0.0-2.4)	0.3 (0.0-0.8)	0.154
Number of cladribine cycles, median (range)	4 (1-8)	2 (1-5)	<0.001

Cycles per months, median (range)	0.99 (0.4-4.8)	1.1 (0.7-3.3)	0.326
Deaths, <i>n</i> (%)	30 (65)	23 (70)	0.676
Median OS, years (95% CI)	2.1 (0.7-3.5)	1.2 (0.4-1.9)	0.249

ANC, absolute neutrophil count; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; EAB, expressed allele burden; MARS, mutation-adjusted risk score; MC, mast cell; MCL±AHN, mast cell leukemia with/without an associated hematologic neoplasm; NR, monocytosis non-response; OS, overall survival; PB, peripheral blood; R, monocytosis response; SM-AHN, systemic mastocytosis with an associated hematological neoplasm.

Table 2: Demographic and disease characteristics of 79 cladribine treated stratified according first- and second-line.

	All	First-line	Second-line	<i>P</i>
Number of patients at baseline, <i>n</i> (%)	79	48 (61)	31 (39)	
Age in years at treatment initiation; median (range)	68 (27-87)	69 (27-81)	66 (48-87)	0.770
Male, <i>n</i> (%)	53 (79)	32 (48)	21 (68)	0.921
Diagnosis				
ASM, <i>n</i> (%)	9 (11)	7 (15)	2 (7)	0.267
SM-AHN, <i>n</i> (%)	56 (71)	35 (73)	21 (68)	0.621
MCL±AHN, <i>n</i> (%)	14 (18)	6 (13)	8 (26)	0.130
C-findings				
Hemoglobin, g/dL; median (range)	10 (7-15)	11 (7-13)	9 (7-15)	0.124
<10g/dL, <i>n</i> (%)	30 (44)	16 (35)	14 (61)	0.039
Platelets, x10 ⁹ /L; median (range)	99 (12-630)	105 (12-630)	87 (25-388)	0.254
<100x10 ⁹ /L, <i>n</i> (%)	36 (52)	23 (50)	13 (57)	0.609
ANC, x10 ⁹ /L; median (range)	5 (0-65)	6 (1-65)	4 (0-62)	0.648
<1x10 ⁹ /L, <i>n</i> (%)	2 (3)	1 (2)	1 (4)	0.636
Alkaline phosphatase, U/L; median (range)	270 (45-1736)	242 (45-1736)	300 (63-919)	0.580
>150U/L, <i>n</i> (%)	56 (81)	37 (80)	19 (83)	0.828
Albumin level, g/L; median (range)	34 (15-48)	34 (21-44)	34 (15-48)	0.709
<34g/L, <i>n</i> (%)	30 (48)	19 (49)	11 (48)	0.946
Weight loss (>10 % over last 6 months), <i>n</i> (%)	45 (82)	28 (80)	17 (85)	0.644
Other relevant findings				
Leukocytes, x10 ⁹ /L; median (range)	9.8 (1.3-14.2)	10.4 (1.3-10.4)	9.0 (2.6-14.2)	0.799
Monocytes, x10 ⁹ /L; median (range)	0.9 (0.0-18.5)	1.1 (0.0-17.9)	0.9 (0-18.5)	0.862
>1x10 ⁹ /L, <i>n</i> (%)	31 (46)	23 (52)	8 (35)	0.173
Eosinophils, x10 ⁹ /L; median (range)	0.5 (0.0-68.3)	0.5 (0.0-1.4)	0.3 (0.0-68.3)	0.254
>1.5x10 ⁹ /L, <i>n</i> (%)	21 (31)	14 (31)	7 (30)	0.955
MC-infiltration in BM biopsy, %; median (range)	45 (3-100)	40 (5-100)	58 (3-90)	0.023
Serum tryptase level, µg/L; median (range)	215 (23-1200)	199 (23-1150)	448 (54-1200)	0.018
Serum tryptase level, >100µg/L, <i>n</i> (%)	55 (83)	36 (82)	19 (86)	0.640
Splenomegaly, <i>n</i> (%)	64 (94)	41 (91)	23 (100)	0.141
Hepatomegaly, <i>n</i> (%)	43 (68)	27 (68)	16 (70)	0.865
Lymphadenopathy, <i>n</i> (%)	49 (75)	33 (77)	19 (73)	0.722
<i>KIT</i> D816V EAB in PB, %, median (range)	35 (0-80)	35 (0-61)	37 (0-80)	0.409
MARS score at diagnosis, <i>n</i> (%)				
Low-risk, <i>n</i> (%)	69 (87)	40 (83)	29 (94)	
Intermediate-risk, <i>n</i> (%)	16 (23)	10 (25)	6 (21)	0.675
High-risk, <i>n</i> (%)	11 (16)	6 (15)	5 (17)	0.802
	42 (61)	24 (60)	18 (62)	0.862
Treatment and outcome				
Follow-up, years since diagnosis; median (range)	2.5 (0.1-17.0)	2.6 (0.1-17.0)	2.2 (0.1-16.4)	0.821

Follow-up, years since 1 st cycle; median (range)	1.2 (0.0-12.0)	1.5 (0.0-12.0)	0.8 (0.0-9.6)	0.186
Years to treatment since diagnosis; median (range)	0.7 (0.0-11.0)	0.5 (0.0-10.1)	1.0 (0.1-8.8)	0.083
Years of treatment duration; median (range)	0.3 (0.0-2.4)	0.3 (0.0-1.3)	0.3 (0.0-2.4)	0.612
Number of cladribine cycles, median (range)	3 (1-8)	3 (1-6)	3 (1-8)	0.743
Cycles per months, median (range)	1.0 (0.4-4.8)	1.0 (0.4-4.0)	1.0 (0.7-4.8)	0.848
Deaths, <i>n</i> (%)	53 (67)	34 (71)	19 (61)	0.378
Median OS, years (95% CI)	1.5 (1.0-2.0)	1.9 (1.1-2.6)	1.2 (0.3-2.1)	0.311

ANC, absolute neutrophil count; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; EAB, expressed allele burden; MARS, mutation-adjusted risk score; MC, mast cell; MCL±AHN, mast cell leukemia with/without an associated hematologic neoplasm; NR, monocytosis non-response; OS, overall survival; PB, peripheral blood; R, monocytosis response; SM-AHN, systemic mastocytosis with an associated hematological neoplasm.

Table 3: Demographic and disease characteristics of 79 cladribine treated stratified according to applied cycles

	All	≥3 cycles	<3 cycles	<i>P</i>
Number of patients at baseline, <i>n</i> (%)	79	32 (41)	47 (59)	
Age in years at treatment initiation; median (range)	68 (27-87)	69 (45-81)	68 (27-87)	0.979
Male, <i>n</i> (%)	53 (79)	22 (69)	31 (66)	0.795
Diagnosis				
ASM, <i>n</i> (%)	9 (11)	3 (9)	6 (13)	0.641
SM-AHN, <i>n</i> (%)	56 (71)	25 (78)	31 (66)	0.243
MCL±AHN, <i>n</i> (%)	14 (18)	4 (13)	10 (21)	0.316
C-findings				
Hemoglobin, g/dL; median (range)	10 (7-15)	11 (8-15)	10 (7-13)	0.273
<10g/dL, <i>n</i> (%)	30 (44)	12 (40)	18 (46)	0.609
Platelets, x10 ⁹ /L; median (range)	99 (12-630)	105 (26-312)	96 (12-630)	0.432
<100x10 ⁹ /L, <i>n</i> (%)	36 (52)	15 (50)	21 (54)	0.751
ANC, x10 ⁹ /L; median (range)	5 (0-65)	5 (1-65)	6 (0-62)	0.928
<1x10 ⁹ /L, <i>n</i> (%)	2 (3)	1 (3)	1 (3)	0.880
Alkaline phosphatase, U/L; median (range)	270 (45-1736)	328 (82-1464)	205 (45-1736)	0.098
>150U/L, <i>n</i> (%)	56 (81)	26 (87)	30 (77)	0.305
Albumin level, g/L; median (range)	34 (15-48)	35 (15-44)	33 (21-48)	0.666
<34g/L, <i>n</i> (%)	30 (48)	12 (41)	18 (55)	0.301
Weight loss (>10 % over last 6 months), <i>n</i> (%)	45 (82)	21 (78)	24 (86)	0.446
Other relevant findings				
Leukocytes, x10 ⁹ /L; median (range)	9.8 (1.3-14.2)	9.4 (2.6-10.4)	10.0 (1.3-4.2)	0.902
Monocytes, x10 ⁹ /L; median (range)	0.9 (0.0-18.5)	1.1 (0.0-7.1)	0.7 (0.0-18.5)	0.454
>1x10 ⁹ /L, <i>n</i> (%)	31 (46)	17 (57)	14 (38)	0.124
Eosinophils, x10 ⁹ /L; median (range)	0.5 (0.0-68.3)	0.5 (0.0-35.1)	0.4 (0.0-68.3)	0.725
>1.5x10 ⁹ /L, <i>n</i> (%)	21 (31)	6 (20)	11 (29)	0.398
MC-infiltration in BM biopsy, %; median (range)	45 (3-100)	50 (3-90)	40 (3-90)	0.276
Serum tryptase level, µg/L; median (range)	215 (23-1200)	271 (43-1200)	188 (23-1118)	0.486
Serum tryptase level, >100µg/L, <i>n</i> (%)	55 (83)	28 (93)	27 (75)	0.047
Serum tryptase level, >200µg/L, <i>n</i> (%)	36 (55)	20 (67)	16 (44)	0.071
Serum tryptase level, >400µg/L, <i>n</i> (%)	21 (34)	11 (37)	10 (32)	0.717
Splenomegaly, <i>n</i> (%)	64 (94)	28 (93)	36 (95)	0.807
Hepatomegaly, <i>n</i> (%)	43 (68)	20 (69)	19 (66)	0.780
Lymphadenopathy, <i>n</i> (%)	49 (75)	24 (83)	21 (68)	0.180
<i>KIT</i> D816V EAB in PB, %, median (range)	35 (0-80)	37 (0-80)	35 (0-72)	0.370

MARS score at diagnosis, <i>n</i> (%)	69 (87)	30 (43)	39 (57)	
Low-risk, <i>n</i> (%)	16 (23)	4 (13)	12 (31)	0.089
Intermediate-risk, <i>n</i> (%)	11 (16)	5 (17)	6 (15)	0.885
High-risk, <i>n</i> (%)	42 (61)	21 (70)	21 (54)	0.173

Treatment and outcome

Follow-up, years since diagnosis; median (range)	2.5 (0.1-17.0)	3.4 (0.5-17.0)	1.9 (0.1-16.5)	0.270
Follow-up, years since 1 st cycle; median (range)	1.2 (0.0-12.0)	2.0 (0.4-12.0)	0.8 (0.0-9.6)	0.007
Years to treatment since diagnosis; median (range)	0.7 (0.0-11.0)	0.7 (0.0-5.0)	0.8 (0.0-11.0)	0.221
Years of treatment duration; median (range)	0.3 (0.0-2.4)	0.4 (0.3-2.4)	0.2 (0.0-0.8)	<0.001
Number of cladribine cycles, median (range)	3 (1-8)	5 (4-8)	2 (1-3)	<0.001
Cycles per months, median (range)	1.0 (0.4-4.8)	1.0 (0.8-4.8)	1.0 (0.4-3.3)	0.242
Deaths, <i>n</i> (%)	53 (67)	22 (69)	31 (66)	0.795
Median OS, years (95% CI)	1.5 (1.0-2.0)	2.8 (1.4-4.2)	1.2 (0.3-2.0)	0.038

ANC, absolute neutrophil count; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; EAB, expressed allele burden; MARS, mutation-adjusted risk score; MC, mast cell; MCL±AHN, mast cell leukemia with/without an associated hematologic neoplasm; NR, monocytosis non-response; OS, overall survival; PB, peripheral blood; R, monocytosis response; SM-AHN, systemic mastocytosis with an associated hematological neoplasm.

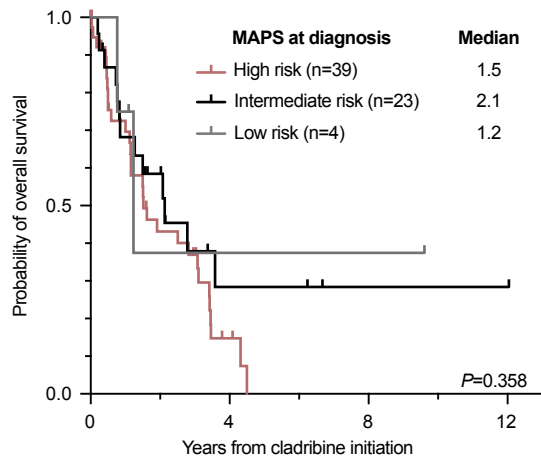
Table 4: Demographic and disease characteristics of 46 cladribine treated stratified according to response status

	Responder	Non-Responder	<i>P</i>
Number of patients at baseline, <i>n</i> (%)	18 (39)	28 (61)	
Age in years at treatment initiation; median (range)	68 (49-77)	69 (27-87)	0.404
Male, <i>n</i> (%)	12 (67)	21 (75)	0.540
Diagnosis			
ASM, <i>n</i> (%)	3 (17)	0 (0)	0.026
SM-AHN, <i>n</i> (%)	14 (78)	21 (75)	0.829
MCL±AHN, <i>n</i> (%)	1 (6)	7 (25)	0.090
C-findings			
Hemoglobin, g/dL; median (range)	11 (8-12)	10 (7-15)	0.747
<10g/dL, <i>n</i> (%)	7 (41)	11 (39)	0.900
Platelets, x10 ⁹ /L; median (range)	114 (37-312)	82 (12-297)	0.274
<100x10 ⁹ /L, <i>n</i> (%)	8 (47)	15 (54)	0.672
ANC, x10 ⁹ /L; median (range)	6 (1-28)	5 (0-65)	0.490
<1x10 ⁹ /L, <i>n</i> (%)	0 (0)	2 (7)	0.260
Alkaline phosphatase, U/L; median (range)	261 (67-1028)	346 (117-1464)	0.571
>150U/L, <i>n</i> (%)	14 (82)	26 (93)	0.277
Albumin level, g/L; median (range)	33 (22-44)	32 (15-42)	0.631
<34g/L, <i>n</i> (%)	10 (59)	15 (54)	0.731
Weight loss (>10 % over last 6 months), <i>n</i> (%)	14 (88)	19 (79)	0.497
Other relevant findings			
Leukocytes, x10 ⁹ /L; median (range)	9.6 (2.6-39.3)	9.0 (2.6-104.4)	0.332
Monocytes, x10 ⁹ /L; median (range)	1.0 (0.0-3.7)	0.9 (0.1-5.2)	0.208
>1x10 ⁹ /L, <i>n</i> (%)	9 (53)	12 (44)	0.583
Eosinophils, x10 ⁹ /L; median (range)	0.3 (0.0-2.1)	0.4 (0.0-9.2)	0.081
>1.5x10 ⁹ /L, <i>n</i> (%)	4 (24)	8 (29)	0.711
MC-infiltration in BM biopsy, %; median (range)	50 (10-100)	50 (5-90)	0.535
Serum tryptase level, µg/L; median (range)	220 (24-1200)	246 (54-1118)	0.858

Serum tryptase level, >100µg/L, <i>n</i> (%)	15 (88)	25 (89)	0.913
Serum tryptase level, >200µg/L, <i>n</i> (%)	9 (53)	15 (54)	0.967
Serum tryptase level, >400µg/L, <i>n</i> (%)	6 (35)	11 (39)	0.789
Splenomegaly, <i>n</i> (%)	16 (94)	26 (93)	0.870
Hepatomegaly, <i>n</i> (%)	11 (65)	18 (72)	0.616
Lymphadenopathy, <i>n</i> (%)	13 (77)	22 (82)	0.689
<i>KIT</i> D816V EAB in PB, %, median (range)	29 (0-56)	40 (2-80)	0.405
MARS score at diagnosis, <i>n</i> (%)			
Low-risk, <i>n</i> (%)	12 (67)	7 (26)	0.007
Intermediate-risk, <i>n</i> (%)	3 (17)	3 (11)	0.591
High-risk, <i>n</i> (%)	12 (67)	17 (63)	0.799
Treatment and outcome			
Follow-up, years since diagnosis; median (range)	4.0 (1.2-17.0)	2.0 (0.1-12.2)	0.100
Follow-up, years since 1 st cycle; median (range)	2.8 (0.8-12.0)	1.1 (0.0-3.8)	0.010
Years to treatment since diagnosis; median (range)	0.8 (0.0-5.0)	0.7 (0.0-11.0)	0.876
Years of treatment duration; median (range)	0.4 (0.1-2.4)	0.3 (0.0-0.6)	0.030
Number of cladribine cycles, median (range)	1.0 (0.5-4.8)	1.0 (0.4-2.5)	0.151
Cycles per months, median (range)	5.5 (1.0-6.0)	3.0 (1.0-8.0)	0.020
Deaths, <i>n</i> (%)	10 (56)	20 (71)	0.270
Median OS, years (95% CI)	3.4 (2.9-4.0)	1.5 (1.0-2.0)	0.006

ANC, absolute neutrophil count; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; EAB, expressed allele burden; MARS, mutation-adjusted risk score; MC, mast cell; MCL±AHN, mast cell leukemia with/without an associated hematologic neoplasm; NR, monocytosis non-response; OS, overall survival; PB, peripheral blood; R, monocytosis response; SM-AHN, systemic mastocytosis with an associated hematological neoplasm.

A.



B.

