

1 **The effects of disturbance on the microbial mediation of sediment stability**

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13

14 **Abstract**

15 In coastal areas, biofilms are often subject to disturbance by hydrodynamic forcing,
16 bioturbation and human activities. These factors affect the influences biofilms have on
17 the sediment. To reveal these effects, we studied laboratory-incubated and field-
18 collected biotic sediments reworked by disturbances, and examined their stabilities
19 and three-dimensional microstructures using laboratory annular flume tests and a wet-
20 staining X-ray Microcomputed tomography (μ -CT) method. We find that, when
21 subject to disturbance, biofilms do not always establish mat-like matrices that firmly
22 armour the seabed and bio-stabilize sediments, but instead, have a range of effects on
23 sediment stability, including both bio-stabilization and destabilization. Disturbance
24 considerably alters microbial influences on sediment stability, but is not the only
25 control. Given equal disturbance, whether or not sediments are bio-stabilized largely
26 depends on the state of bio-sediment formation. At a relatively well-developed state,
27 an organic-rich, adhesive polymer network tightly interconnects large amounts of
28 sediment particles into aggregates, forms complex internal structures, and enhances
29 sediment stability. By contrast, some bio-sediment formations only ever reach a less
30 well-developed state, where scattered organic patches bind relatively few particles
31 into aggregates and reduce sediment stability. Microbial growth likely has two
32 opposing effects on sediment stability, by enhancing either weight/friction or lift/drag
33 on aggregated particles. The former has the positive effect of enhancing sediment
34 stability, whereas the latter can result in greater flow resistance and so have the
35 opposite effect. A conceptual framework is put forward to characterize the different

36 states of bio-sediment formation and their distinct effects on sediment stability.

37 **Introduction**

38 The solid-liquid interfaces of aquatic sediment particles provide preferential
39 habitats for microbial cells to colonise and grow. These microbial cells do not live as
40 single dispersed cells (Probandt et al. 2018), but instead, through the secretion of
41 sticky organic matter (e.g. extracellular polymeric substances (EPS)), attach to the
42 surfaces of sediment particles, building up adhesive biofilm structures and
43 accumulating additional microbe cells, sediment grains and particles (Decho 2000;
44 Flemming and Wingender 2010; Sutherland 2001). Heterogeneous and porous three-
45 dimensional (3D) aggregated microstructures of large diversity, ranging from small
46 clusters, large flocs, to multi-layered biofilm mats of varying thickness are formed
47 (Flemming 2019); i.e., biofilm-sediment aggregates (BSAs) (Zhang et al. 2018).

48 The ubiquitous presence of biofilm and bio-sediment formation alters the
49 physical transport of sediment (Fang et al. 2020; Malarkey et al. 2015; Mariotti and
50 Fagherazzi 2012). Studies acknowledge that the mat matrices of biofilm can suppress
51 sediment resuspension, by both binding sediment particles into an adhesive organic
52 mat, which provides an adhesive force that armours the bed, and also by smoothing
53 bed roughness to reduce drag, thus enhancing sediment stability; i.e. 'biostabilization'
54 (Paterson et al. 1989; Friend et al. 2008; Parsons et al. 2016).

55 A tightly-bound, mat-like matrix, however, is not always established. Coastal
56 areas are dynamic, with disturbances including hydrodynamic forcing, such as

57 currents and waves, bioturbation caused by zoobenthos, and human activities such as
58 footprints, dredging and fishing trawls (Michaud et al. 2005; Foden et al. 2011;
59 Thompson et al. 2017). Such disturbances prevent the successful establishment of
60 biofilm mats, a process that takes several days (Chen et al. 2017; Gerbersdorf et al.
61 2008; Vignaga et al. 2013), rather they tend to form patchy, loosely-connected,
62 diffusive and fluffy biofilm matrices (Hope et al. 2020; Orvain et al. 2014). BSA that
63 is disturbed as it develops may be of more relevance in dynamic coastal seas (Mariotti
64 et al. 2014; Mariotti and Fagherazzi 2012).

65 Two contrasting effects of disturbance have been noted on biofilm development:
66 (1) biostabilization remains after cyclic resuspension and deposition (Friend et al.
67 2003a; Hope et al. 2020), in which disturbance did not degrade bio-stabilization and
68 strong bio-stabilization was rapidly restored after a few cycles of moderate
69 disturbance, even though distinct transport behaviours were observed relative to
70 biofilm mats (Chen et al. 2019). Such rapid restoration of biostabilization occurs
71 during spring-neap tidal cycles (Van De Koppel et al. 2001; Mariotti & Fagherazzi
72 2012). In contrast, (2) the destabilization of sediments by microbial development (i.e.,
73 bio-destabilization) has been reported when the coastal areas are dominated by intense
74 and frequent disturbances caused by factors such as storm, waves and bioturbation
75 (Amos et al. 2004; Le Hir et al. 2007; Orvain et al. 2003). In these cases, the
76 concentrations of microbial substances (e.g. chlorophyll *a* and EPS concentrations)
77 negatively correlate with bed stability (erosion thresholds) (Hope et al. 2020; Orvain
78 et al. 2014; Thompson, et al. 2017).

79 It is likely that intense and frequent disturbances do not allow the mat matrices of
80 biofilms and their strong bio-stabilization effects to establish/restore, but instead
81 generate an alternative state with a less well-developed bio-sediment formation that
82 reduces sediment stability (Van De Koppel et al. 2001; Mariotti and Fagherazzi 2012).
83 Such a state occurs during the initial stages of biofilm incubation. Within hours of
84 initial bio-sediment formation, the attachment of dispersed microbial aggregates aids
85 the motion of sand grains (Mariotti et al. 2014). At the early stage of microbial
86 development, a rapid increase in field biomass reduces the critical shear stresses for
87 sediment erosion (De Brouwer et al. 2005).

88 This work addresses the following question: *Do biofilms always enhance*
89 *sediment stability when subject to disturbance, or do they have a range of effects,*
90 *including bio-destabilization?*

91 We hypothesized that sediment disturbance has a range of effects on microbial
92 influences on sediment stability, including both enhancing and reducing sediment
93 stability (bio-stabilization and destabilization). Further we hypothesized that bio-
94 destabilization is a relatively less well-developed state of bio-sediment formation. To
95 test this latter hypothesis, the resuspension thresholds of biotic sediments developed
96 under physical disturbances were examined using laboratory flume tests. The 3D
97 microstructural features of these disturbed biotic sediments were directly captured by
98 μ -CT scans, to reveal the states of bio-sediment formation.

99 **Materials and Methods**

100 The creation and collection of biotic sediments

101 Biotic sediments were cultured in the laboratory using single algae species, and
102 collected from tidal flats colonized with natural microbial assemblages. By applying
103 different hydrodynamic disturbance regimes, two types of biotic sediment were
104 created during a 6-day incubation period: a fluffy sediment-water interface created
105 under daily resuspension disturbance referred to as ‘fluff’, and a mat-like sediment-
106 water interface created in calm waters with no disturbances referred to as ‘mat’.
107 Surface intertidal sediments were collected, transported back to the laboratory and
108 hand mixed to fully rework/disturb the sediments before the experiments (sediment
109 sampling and preparation are detailed below). Two types of field sediments with
110 different abiotic matrices were tested; one comprising of predominantly silty
111 sediment, whilst the other was a sandy sediment.

112 *Laboratory-created biotic sediments*

113 Biotic sediments were created in an annular flume, the Core Mini Flume (CMF)
114 (Thompson et al., 2013), over a 6-day incubation period. A flat sediment surface was
115 hand-moulded, with a sediment depth of 6 cm overlaid with 15 cm depth of artificial
116 sea water (Sigma sea salts, salinity 35 ppt). The sediment was comprised of fine-
117 grained sand sieved to the range of 125-250 μm with a $d_{50} = 195 \mu\text{m}$, acid washed in
118 advance to remove organic matter. Slurries of algae clay aggregates provided the
119 microbial source and were cultured using a single species of diatom, *Phaeodactylum*
120 *tricornutum* (cultured in the Research Aquarium Laboratory, National Oceanography
121 Centre, Southampton), and kaolinite clays (ACROS OrganicsTM), following the

122 protocols of Zhang et al. (2018). They were added into the flume and allowed to settle
123 overnight on the sand before the 6-day period of incubation started.

124 The mat was allowed to grow under quiescent flow conditions with no
125 hydrodynamic disturbance for 6 days. The fluff was created in an identical CMF with
126 the same experimental setting, except that daily cycles of resuspension (6 hours) and
127 deposition (18 hours) were applied at a constant shear stress of 1.0 Pa that exceeds the
128 resuspension thresholds of sand grains for 6 days. In both treatments the sediments
129 were kept illuminated for 24 h at 18 °C, and oxygenated through air stones 24 h per
130 day, to keep the microbe cells alive.

131 Two replicates were run for each treatment, with one for the resuspension
132 threshold test, and the other for μ -CT experiments (Figure S1). Triplicate
133 resuspension tests were conducted on the fluff, to examine the reliability of the
134 experimental results of resuspension thresholds.

135 *Field-collected biotic sediments*

136 To determine whether similar results occur for natural microbial assemblages,
137 field samples were collected from two sampling sites ~ 200 m apart in the Tay estuary,
138 Scotland (56°26'42" N, 2°52'11" W) at the end of October in 2019. The Tay estuary is
139 a macrotidal, 50-km long coastal embayment on the east coast of Scotland, UK. At
140 each site, the top ~10 mm of the sediments were sampled for microphytobenthos and
141 their organic products, which were placed in plastic boxes with ice bags under dark
142 conditions and transported back to laboratories immediately after sampling (~12 h).
143 Samples were kept in a fridge at 4 °C under dark conditions for one week. The

144 samples were then hand mixed and homogenized to fully disturb and rework the
145 sediments, which were remoulded into a plane bed in the CMFs and gently overlaid
146 with 15 cm depth of artificial seawater (salinity 35 ppt). The CMFs were allowed to
147 settle overnight before experimentation, and kept at 18 °C, illuminated and
148 oxygenated, to create consistent environmental conditions with the laboratory-created
149 sediments. According to Folk's classification (Folk, 1954), the samples from site 1
150 belong to sandy silt (sand fraction = 47%, $d_{50} = 60 \mu\text{m}$, and d_{50} of sands = $98 \mu\text{m}$)
151 and are referred to as silty sediments. Samples taken from site 2 belong to silty sand
152 (sand fraction = 63%, $d_{50} = 120 \mu\text{m}$, and d_{50} of sands = $239 \mu\text{m}$), and are referred to
153 as sandy sediments.

154 The silty and sandy field sediments were prepared in two identical CMFs, with
155 one used for resuspension threshold test, and the other for μ -CT experiments.

156 Resuspension experiments

157 Resuspension experiments were performed in the CMFs (Thompson et al., 2013)
158 (Figure S1(a)), which is a small worktop annular flume (Amos et al., 1992). It consists
159 of two 5 mm-thick acrylic tubes; an outer diameter of 200 mm and inner diameter of
160 110 mm, which leaves a 40 mm-wide working channel in which a sediment bed can
161 be formed. An Optical Backscatter Sensor (OBS) was placed at 4 cm above the bed at
162 the same height as a sampling port, for measuring suspended sediment concentration.
163 A Nortek Vectrino Acoustic Doppler Velocimeter (ADV) was used to measure flow
164 velocity at 6 cm above the sediments (Figure S1(a)). Steady currents were generated

165 by 4 equidistant motor-controlled paddles, the speed of which was computer
166 controlled (Thompson et al., 2004; 2013). Stepwise increased motor speeds were
167 programmed and time steps of 10-minute were used to suspend the biotic sediments.
168 (Amos et al., 2004; Amos et al., 1992; Thompson et al., 2003; 2011). OBS data was
169 calibrated against the measured concentration of suspended materials (g/L) sampled
170 from the same height as the OBS every 2-3 velocity steps (Thompson et al., 2013).
171 Suspension samples for OBS calibration were taken using a 50 ml plastic syringe and
172 filtered through 47 mm GF/F Whatman filter. The filters were then oven dried at 60
173 °C and weighed to calibrate the OBS data. The suspended concentrations during the
174 stepwise increased resuspension tests were obtained.

175 Bed shear stress was estimated using the turbulent kinetic energy method (TKE)
176 (Amos et al., 2004; Thompson et al., 2003), which measures the intensity of turbulent
177 motions within a shearing fluid and calculates the turbulent kinetic energy density, E ,
178 from the spectrum of a velocity time series: $E = \frac{1}{2} \rho_w (\overline{u_t^2} + \overline{v_t^2} + \overline{w_t^2})$ (where ρ_w is water
179 density, u_t , v_t , and w_t are flow velocity fluctuations in stream-wise, cross-stream and
180 vertical directions) (Soulsby, 1997; Thompson et al., 2004). The bed shear stress can
181 be calculated according to $\tau_b = 0.19E$ (Soulsby, 1997). The resuspension threshold was
182 determined by plotting the bed shear stresses against the suspended sediment
183 concentration (Amos et al., 2004; Sutherland et al., 1998).

184 μ -CT experiments

185 A wet staining method was used to scan the 3D structures of the BSA (Zhang et

186 al., 2018), and this varied according to the sample composition. For samples
187 comprised of fine-grained clay particles (e.g. the BSA suspended from the fluff
188 sediments at moderate flow intensities), samples were collected from the sampling
189 port during the resuspension process using a 20 ml syringe and stained using absolute
190 alcohol and Alcian Blue dye solution (Sigma; 0.4 wt%/wt at pH 2.5), following Zhang
191 et al. (2018). The μ -CT scans of the treated specimens were conducted using a Zeiss
192 160 kVp Versa 510 X-ray microscope, at the μ -VIS X-ray Imaging Centre, University
193 of Southampton (Figure S1(b)). A high resolution of $0.7 \times 0.7 \times 0.7 \mu\text{m}$ was
194 achieved.

195 A modified method was used for sand grains, which can be two orders of
196 magnitude larger than clay minerals, requiring a considerably larger field of view
197 provided by the modified 225 kVp Nikon HMX ST, housed at the same facility. In
198 this case, samples were collected using a 50 ml syringe corer and placed in a sealed
199 glass vessel that was topped up with absolute alcohol and Alcian Blue dye solution
200 (Sigma; 0.4 wt%/wt at pH 2.5). After an overnight treatment, the sediments of the top
201 ~ 3 cm in the syringe core was sectioned using a steel knife, which was carefully
202 washed and rinsed using distilled water and ethanol in succession. The sectioned layer
203 of the sediments was subsampled using borosilicate Nuclear Magnetic Resonance
204 (NMR) tubes (Norell™ Standard Series™; outer diameter 4.9 mm, inner diameter 4.2
205 mm, depth 20 mm), by inserting the tube into the sectioned sediment layer. After the
206 sub-sampling, wet staining liquid (absolute alcohol and Alcian Blue dye solution) was
207 gently added into the tube, to ensure the sampled BSA remained in a hydrated state.

208 The NMR tubes were then sealed using NMR caps and sealing parafilm, in order to
209 avoid potential evaporation and desiccation during the scanning process. Each scan at
210 HMX took approximately 1 hour and the resulting voxel resolution was 4.5×4.5
211 $\times 4.5 \mu\text{m}$. 3D microstructures including the number of adhered sand grain, organic
212 matter content, 3D volume and surface area, and volume equivalent diameter, as well
213 as the BSA density profiles were quantified by a pre-developed protocol using CT-
214 pro, Image J and Avizo 9.3.0 (Callow et al., 2018; Zhang et al., 2018).

215 **Results**

216 Microbial mediation of sediment resuspension thresholds

217 Resuspension tests were conducted on the laboratory-created fluff and mat, and
218 the field-collected silty and sandy sediments. The results were compared against their
219 theoretical abiotic thresholds, to examine microbial effects on sediment stability.

220 *Laboratory-created sediments*

221 The suspended concentrations vs. the shear stresses for clean sand, the mat and
222 fluff are shown in Figure 1. The threshold for suspending abiotic clean sand grains
223 into the water column obtained from the control tests was 0.84 Pa (Figure 1 (a)),
224 consistent with empirical threshold estimate of 0.85 Pa, using Roe (2007)'s empirical
225 relationship (Table S1, Eq. (S1) in the SI).

226 Both the mat and fluff present a two-stage resuspension process, initiated at
227 different thresholds (Figure 1 (b-c)). An examination of suspended materials during
228 the first stage showed no sand grains, with only organic-rich materials suspended

229 (stage 1), while considerable suspension of sand grains occurred in the second stage
230 (stage 2). μ -CT examination confirmed that the suspended materials from stage 1
231 primarily consisted of aggregates of organic matter and kaolinite clays (no sand
232 grains), hence reflecting the microbial influences on the stability of clay particles.
233 According to annular flume tests by Mehta and Partheniades (1982) (where the same
234 clay mineralogy, water salinity, measurement techniques and methods were
235 considered), the resuspension threshold of abiotic kaolinite clays after 24 h
236 consolidation is 0.21 Pa. This measurement is consistent with the theoretical threshold
237 estimate of 0.22 Pa obtained using Wu et al. (2018)'s formula (Table S1, Eq. (S2) in
238 the SI). Our experiments showed the biofilm-mediated clays were not suspended until
239 the applied shear stresses reached 0.43 Pa (stage 1 of the mat, Figure 1(b)) and 0.31
240 Pa (stage 1 of the fluff, Figure 1(c)). The shear stress threshold value for the
241 resuspension of clay particles from the mat is clearly greater than that of the fluff,
242 suggesting a rather larger effect of bio-stabilization for the clay particles in the mat
243 when biofilm development was not disturbed. The less significant biostabilization
244 effects for the clay particles in the fluff is likely caused by the periodic disturbances.
245 Nevertheless, the biofilm that was disturbed as it developed stabilized clay particles.

246 In stage 2, the entrainment of sand grains occurred at 0.94 Pa for the mat, which
247 is higher than that of clean sand (0.84-0.85Pa), implying a bio-stabilization effect. By
248 contrast, the suspension of sand grains from the fluff occurred at an applied shear
249 stress of 0.74 Pa, which is lower than that of clean sand. Hence the disturbed biofilm
250 development destabilized the sand grains.

251 Previous examination notes the standard errors of CMF measurement are in the
252 range 0.01-0.03 Pa (Thompson et al., 2013). Taking the upper bound of the error, the
253 resuspension threshold of clay BSAs from the fluff is 0.31 ± 0.03 Pa, higher than the
254 theoretical thresholds of 0.21-0.22 Pa, supporting clay BSAs as bio-stabilizers. The
255 resuspension threshold of fluff BSAs is 0.74 ± 0.03 Pa, lower than the abiotic
256 threshold of $0.84-0.85 \pm 0.03$ Pa, while mat BSAs have a higher resuspension
257 threshold of 0.94 ± 0.03 Pa, supporting fluff BSAs as bio-destabilizers and mat BSAs
258 as bio-stabilizers. In calm waters, mat matrices of biofilm can rapidly establish and
259 stabilize sediments. By contrast, when the biofilm growth was disturbed, such that no
260 mat matrices were able to become established, the response is more complex, with the
261 fine fraction (clay) exhibiting biostabilization and the coarse fraction (sand)
262 destabilization. Hence disturbance seems unlikely to be the only control and other
263 mechanisms must have an influence.

264 *Field-collected sediments*

265 In contrast to the laboratory sediments, no clear two-stage resuspension
266 processes were observed for the silty and sandy sediments collected in the field. This
267 is likely because the sediments were hand-mixed and homogenised before the test,
268 and some of the naturally occurring fluffy material were lost through collection.
269 During the short period of settlement, no distinguishable two-layered matrices formed
270 at the bed. Plots of the suspended concentrations against the shear stress during the
271 step-wise increased resuspension tests showed that the entrainment of silty and sandy
272 field sediments started at 0.44 Pa and 1.05 Pa, respectively (Figure 1 (d)).

273 Theoretical thresholds for the suspension of abiotic sand-mud mixtures were
274 calculated. No direct measurements were taken, due to the unknown
275 resuspension/deposition history of the sediments in the field and thus the challenges
276 of successfully replicating the packing of sediment particles in the field. Five
277 commonly cited empirical relationships that have been developed and tested for a
278 variety of sediment properties, measurement techniques, and analysis methods, were
279 used to obtain abiotic threshold estimates (Eq. (S3-S7) in Table S1 of the SI) (Ahmad
280 et al., 2011; Van Ledden, 2003; Van Rijn, 1993; 2007; Wu et al., 2018; Yao et al.,
281 2018). The theoretical threshold estimates of abiotic sand-mud mixtures are in the
282 range of 0.12-0.30 Pa for the silty mixtures, and 1.55-2.46 Pa for the sandy mixtures.

283 The consideration of the CMF measurement errors of 0.03 allows a clear
284 separation between bio-stabilization and destabilization. The biofilm-mediated field
285 silty sediment entered water at a shear stress of 0.44 ± 0.03 Pa, higher than their
286 abiotic threshold estimates of 0.12-0.30 Pa, indicating an enhanced sediment stability
287 by disturbed biofilms (Figure 1(d-e)). By contrast, the entrainment of the biofilm-
288 mediated sandy field sediment occurred at 1.05 ± 0.03 Pa, lower than their theoretical
289 abiotic threshold estimates of 1.55-2.46 Pa, indicating a reduced sediment stability by
290 disturbed biofilms (Figure 1(d-e)). The consistent results from our laboratory-created
291 and field-collected sediments suggest that, whilst the establishment of a biofilm mat,
292 such as that developed in calm waters, enhances sediment stability as previously
293 acknowledged, both bio-stabilization and destabilization can develop when subject to
294 disturbance (Figure 1(e)).

295 3D microstructural features of biofilm mediated sediments

296 μ -CT experiments were conducted to examine the 3D microstructural features of
297 biofilm mediated sediments. In total, five types of material were examined. This
298 includes the suspended materials during stage 1 from the fluff, but excluded the
299 suspended materials during stage 1 from the mat, as the large pieces of the suspended
300 material could not be extracted from the flume port. For the fluff and mat, after the
301 organic-rich and loosely-attached materials were removed during stage 1, the
302 materials remaining on the bed were examined. The materials at the surface of the
303 silty and sandy field sediments were also examined using μ -CT. Figure 2 (1a-5c)
304 illustrates 3D views of the microstructures of the five types of material, and their
305 microstructural properties are summarized in Table S3.

306 The materials suspended during stage 1 from the fluff are comprised of
307 aggregates formed by organic matter and clay particles, referred to as clay BSA
308 (Figure 2 (1a-c)). Extensive, relatively well-developed networks of organic matter
309 tightly adhere large amounts of clay particles, forming organic rich microstructures at
310 a relatively well-developed state (high organic fraction: 0.78 ± 0.09).

311 After the removal of clay BSA during stage 1, distinct BSA matrices remain in
312 the fluff (Figure 2 (2a-c)) and the mat (Figure 2 (3a-c)). In the fluff, organic matter
313 form discrete and scattered patches, attaching to relatively few sand grains in poorly-
314 structured aggregates, coined fluff BSA (Figure 2 (2a-c)). These aggregates appear to
315 be at much less well-developed states, of relatively low organic fraction (0.20 ± 0.07).
316 By contrast, BSAs in the mat developed in calm waters have copious amounts of

317 organic matter and developed an aggregate with multilayer structures, tightly adhered
318 to large numbers of sand grains, and coherently bind into mat matrices of biofilm,
319 referred to as mat BSA (Figure 2 (3a-c)). As a result, the mat BSAs contain a
320 significantly higher organic matter fraction (0.55 ± 0.04), 2-3 times higher than that of
321 the fluff BSA, which enables the adherence of an order of magnitude larger number of
322 sand grains into larger aggregates.

323 3D imaging illustrates distinct BSA microstructures at the silty and sandy field
324 sediments. Whilst both were reworked by disturbances, the organic matter from the
325 silty field sediments appears to be relatively well-developed into an adhesive organic
326 polymer network, where large amounts of fine-grained sediment particles were
327 adhered and embedded into tightly structured aggregates, coined field silty BSA
328 (Figure 2 (4a-c)). By contrast, in the reworked sandy field sediments, the state of bio-
329 sediment formation appears to be less well-developed. A few coarse sand grains are
330 attached by discrete biofilm patches and small amounts of fined-grained sediment
331 particles, coined field sandy BSA (Figure 2 (5a-c)). The field sandy BSAs contain a
332 significantly lower organic fraction (0.15 ± 0.05), 5-6 times lower than that of field
333 silty BSAs (0.80 ± 0.06).

334 When disturbed, the aggregates that enhance sediment stability (bio-stabilizers:
335 clay and field silty BSAs) and reduce sediment stability (bio-destabilizers: fluff and
336 field sandy BSAs) established significantly different and distinguishable 3D
337 microstructures in terms of their constituent make-up and geometry (Figure 2 (6a-c)).
338 The bio-stabilizers were at a relatively well-developed state of bio-sediment

339 formation, where the biofilm managed to build an extensive and cohesive organic
340 polymer network, resulting in a high organic to sediment ratio (organic fraction = 0.78
341 ± 0.08 , Figure 2 (6a)). Large amounts of sediment grains are tightly interconnected,
342 establishing highly complex internal structures with high porosities and irregularities
343 (porosity = 0.87 ± 0.07 , roundness = 0.13 ± 0.08 , Figure 2 (6b-c)). Sediments are bio-
344 stabilized. By contrast, the organic network in the bio-destabilizers shows a less well-
345 developed state, constituting a significantly lower organic fraction (organic fraction =
346 0.18 ± 0.07 , $p < 0.001$, Figure 2 (6a)), building less complex internal structures with
347 significantly lower porosities and surface irregularities (porosity = 0.67 ± 0.10 ,
348 roundness = 0.37 ± 0.10 , $p < 0.001$, Figure 2 (6b-c)). Sediment stability is reduced. As
349 such, BSA microstructures formed at different states of bio-sediment formation play a
350 key role in mediating sediment stability.

351 **Discussion**

352 Entrainment process of biofilm mediated sediments: the effects of disturbance

353 In calm waters, armouring matrices of biofilm mat develop (Figure 3 (1a)). At
354 the surface of the mat, some relatively young, randomly-developed branches of
355 organic matter may loosely connect with the mat and protrude into the flow (Droppo
356 et al. 2007; Flemming 2019). These therefore experience stronger bed shear stresses
357 than the planar areas of the mat, and were easily detached in stage 1 at a moderate
358 applied shear stress (Figure 3 (1b)). This has been observed by others (Chen et al.
359 2019), and is likely due to the non-uniform development of the biofilm (Jesus et al.

360 2005). However, the loss of these protrusions does not eliminate the overall mat
361 stability. The armouring matrix of the mat retains its integrity and so continues to
362 protect the sediments. If this were not the case, the underlying sand grains would enter
363 the water column at their abiotic threshold shear stress of 0.84 Pa, which did not
364 occur. The immobilised sand grains also prevent bed-load transport. Once the applied
365 flow shear stress exceeds the “weakest” adhesion between the mat BSA and the
366 underlying sediment bed, the local integrity of the mat matrix is lost (Chen et al. 2019;
367 Vignaga et al. 2013). The underlying material is exposed to the flow at a higher shear
368 stress than the clean sand entrainment threshold (Figure 3 (1c)), causing immediate
369 mass resuspension of the bed sediments in an “all-or-nothing” fashion (Le Hir et al.
370 2007; Mariotti and Fagherazzi 2012) (Figure 3 (1d)). Adhesion with the bed
371 predominantly controls and limits entrainment by the biofilm mat (Fang et al. 2014,
372 2017).

373 By contrast, a stable biofilm mat is unlikely to develop in the short period
374 between disturbances on the order of hours (Mariotti et al. 2014). Instead, discrete
375 aggregates are formed, developing loose connections with the seabed and presenting a
376 fluffy appearance at the sediment-water interface (i.e., the clay, fluff and sandy and
377 silty field BSAs, Figure 3 (2a)). The adhesion established between biofilm aggregates
378 and the seabed during the short periods between disturbances can be more than 5
379 times weaker than that for the mat (Fang et al. 2014)(Figure 3 (2b)), and can be
380 broken at lower flow intensities than are needed to directly lift them into water. The
381 detached aggregates are not immediately suspended, but are transported as bed-load,

382 sliding/rolling and saltating on top of sediments before suspension (Figure 3 (2c), and
383 Video SI for the detachment, bed-load transport and suspension of field silty BSAs as
384 an example). Once the balance between the flow lift/drag forcing and submerged BSA
385 weight/friction forcing is reached, BSAs are lifted into the water and sediment
386 entrainment occurs (Figure 3 (2d)). Hence sediment entrainment is not only controlled
387 by adhesive strength, but largely determined by the balance between flow lift/drag and
388 weight/friction forces. If this balance is reached at a shear stress higher than that of
389 abiotic sediments, biofilm stabilizes the sediment, such as in the clay and field silty
390 BSA samples. However, if the balance is reached at a lower applied shear stress that
391 cannot suspend those abiotic sediments, sediments are bio-destabilized, such as for the
392 fluff and field sandy BSA.

393 Application of Shields parameter to distinguish microbial influences

394 Multiple criteria have been established to characterize the abiotic thresholds of
395 sediment transport (Shields 1936; Bagnold 1966; Buffington, 1999) (abiotic Shields
396 diagram, Figure S2). For example, the Shields parameter, $\theta_{crit, s} = \frac{\tau_{crit}}{(\rho_s - \rho_w)gd_{50}}$, and
397 dimensionless particle diameter, $D_{*,s} = \left(\frac{\rho_s / \rho_w - 1}{\nu^2}g\right)^{1/3}d_{50}$ consider the size, d_{50} , and
398 effective density, ρ_s / ρ_w , of clean sediment particles (in which τ_{crit} is the critical
399 shear stress for sediment resuspension, ρ_s and ρ_w are the densities of inorganic
400 sediment particles and water, g is gravitational acceleration and ν is kinematic
401 viscosity of water). In this scenario, sediment matrices with the same particle size and
402 effective density have the same resuspension thresholds, and microbial effects cannot

403 be directly distinguished.

404 The microbial development has, to different extents, enlarged the size and
405 reduced the density of aggregated particles. Including these differences leads to a
406 more robust interpretation of biofilm mediation. It is possible to define a Shields
407 parameter for the solid matter within the sediment, $\theta_{crit,M} = \frac{\tau_{crit}}{(\rho_M - \rho_w)gd_M}$, and matter
408 dimensionless diameter, $D_{*,M} = \left(\frac{(\rho_M / \rho_w - 1)g}{\nu^2}\right)^{1/3} d_M$, where ρ_M / ρ_w and d_M are
409 effective density and sizes of the solid matter (organic matter and sediment particles)
410 within the aggregates. (A detailed deviation of ρ_M and d_M are provided in Text S1).
411 These are related through a power law relationship (Figure 4(a)):

$$\theta_{crit,M} = 0.80D_{*,M}^{-0.88}, R^2 = 0.91 \quad (1)$$

412 It is relevant to note that, among the total 78 BSAs tested in this study, only 3 of
413 them are from the mat developed in calm waters, which exhibit a different
414 resuspension mechanism from those in disturbed environments (Chen et al. 2019).
415 The analysis in this section focuses on the 75 disturbed BSAs. The empirically
416 determined suspension thresholds using Eq. (1) fall on or close to the 1:1 line against
417 the thresholds obtained from experiments, showing a reasonable level of agreement,
418 except for the field sandy BSA (Figure 4(b)).

419 The effects of pore water can be included using a Shields parameter for
420 aggregates, $\theta_{crit,A} = \frac{\tau_{crit}}{(\rho_A - \rho_w)gd_A}$, and the aggregate dimensionless diameter,
421 $D_{*,A} = \left[\frac{(\rho_A / \rho_w - 1)g}{\nu^2}\right]^{1/3} d_A$, where d_A and ρ_A are the densities and sizes of
422 aggregates (including both solid matter and pore water encapsulated within

423 aggregates). A power law relationship was found between $\theta_{crit,A}$ and $D_{*,A}$ in Figure 4

424 (c):

$$\theta_{crit,A} = 4.3D_{*,A}^{-1.3}, R^2 = 0.77 \quad (2)$$

425 The threshold estimates using Eq. (2) are plotted against the experimentally-
426 tested results, showing an overall good level of agreement, though the results appear
427 to be more scattered (Figure 4 (d)). For the field sandy BSA, the agreement is
428 improved compared to the Shields diagram for matter, whereas accounting for pore
429 water has only a small influence on the other BSA (The role of pore water is
430 discussed in Text S2).

431 The importance of aggregate matter in determining sediment stability is not
432 surprising, given that the properties of BSA matter reflect the states of bio-sediment
433 formation. At a relatively well-developed state, a rapid expansion of BSA size occurs,
434 because more mass is encapsulated and weight/friction forces are enhanced to resist
435 flow erosion. In this case, biofilm development and its aggregation with sediment
436 particles have a positive effect on sediment stability. The bed stability increases with
437 the standing stock of algae cells (chlorophyll *a* concentration) (Le Hir et al. 2007;
438 Sutherland et al. 1998; Thompson et al. 2011), and the amount of their EPS secretions
439 (e.g. colloidal carbohydrate contents) (Friend et al. 2003b; Underwood and Paterson
440 1993; Yallop et al. 2000), for both sandy and muddy sediments (Hope et al. 2020).

441 Conversely, BSA expansion needs copious amounts of organic matter to be
442 produced. The increased fraction of organic polymers reduces aggregate bulk density

443 as in the clay and field silty BSA. As bed stability positively correlates with bulk
444 densities of sediments (Amos et al. 1997; Thompson et al. 2013, 2017), a reduction in
445 bulk density caused by microbial development reduces sediment stability, and leads to
446 negative correlations between chlorophyll *a* and/or EPS contents and bed stability
447 (Hope et al. 2020; Orvain et al. 2014; Thompson, et al. 2017). The copious secretion
448 of organic substances glues more sediment particles into larger sizes, enlarging the
449 projected area, making the internal structure more complex and increasing BSA
450 surface roughness (Maggi and Tang 2015). The larger projected area and higher
451 surface roughness cause higher lift/drag forces, making the aggregates less stable to
452 erosion. Consequently, biofilm growth and its aggregation with sediment particles
453 play a negative role on sediment stability.

454 A conceptual framework for microbial mediation at different states

455 Whether the sediment stability is enhanced or reduced by bio-sediment formation
456 is more complex than previously thought, and needs to consider the net effects of
457 BSA development. We suggest three important states of bio-sediment formation, with
458 distinct microstructures and influences on sediment transport (Figure 5 (a-d)):

459 (I) When the time available for bio-sediment formation is short, scattered and
460 discrete patches of organic polymers and colloids attach, coat and bridge relatively
461 few sediment grains, forming poorly-structured 3D aggregates (e.g., fluff and field
462 sandy BSA, Figure 5 (a)). The increase in weight/friction forcing is moderate and
463 easily offset by the negative effects of flow lift/drag forces caused by increased BSA

464 structure complexity, surface roughness and projected area (Figure 5 (d)). The net
465 effect is negative and sediment stability is reduced (bio-destabilization). When subject
466 to erosion, the loose connections between the BSAs and seabed are quickly broken.
467 The BSAs behave akin to single particle grains, starting bed-load transport before
468 suspension (Figure 3(2a-d)).

469 (II) Continuous cell growth and EPS secretion build up well-structured 3D
470 organic-rich polymer network, tightly binding large amounts of sediment grains, such
471 as our clay and field silty BSA (Figure 5 (b)). However, due to frequent resuspension,
472 the BSAs do not tightly interconnect into a coherent mat armour. Similar to state (I),
473 the adhesion between BSAs and seabed breaks at moderate flow intensities, and BSAs
474 are transported as bed-load before suspension (Figure 3 (2a-d)). In this state, the well-
475 established organic network has a great capacity to encapsulate large amounts of
476 mass, increasing its weight/friction to resist flow erosion and increase bed stability
477 (Figure 5 (b)). The positive effects on sediment stability surpass the negative effects,
478 and sediment stability is enhanced.

479 (III) With little disturbances, discrete aggregates become tightly interconnected
480 into mat matrices, armouring the underlying sediments (Figure 5 (c)). The mat
481 matrices have a “smoothed” surface roughness and the negative effects on sediment
482 stability are reduced (Figure 5 (d)), resulting a significant effect of biostabilization by
483 a factor ranging from 1.25 to 20 (Amos et al. 1997; Paterson 1989; Yallop et al.
484 1994). In contrast to states (I) and (II), the “weakest” adhesion between the mat and

485 seabed determines the mass entrainment of sediments, which occurs with surface
486 biofilm failure in an “all-or-nothing” fashion (Decho 2000; Black et al. 2002).

487 We note that BSA dynamics are influenced by a range of factors, including
488 sediment matrices, microbial species and nutrients. Higher microbial growth and
489 production rates are commonly found in muddy sites, likely due to the high level of
490 nutrients entrapped in interstitial pores and absorbed on the surfaces of these fine
491 grains (Le Hir et al. 2007; Stal 2010). Our work only finds state II for clays and silts.
492 This result agrees with van de Koppel (2001) that silt and clay particles provide a
493 more favourable substrate for diatom growth and promote biostabilization to quickly
494 establish, and less likely to remain in state I compared to sands. Hence the apparent
495 ubiquity of state I for naturally occurring clay and silt substrates is unclear. Further
496 research into the nature and dynamics of microbial influences on sediments is
497 warranted.

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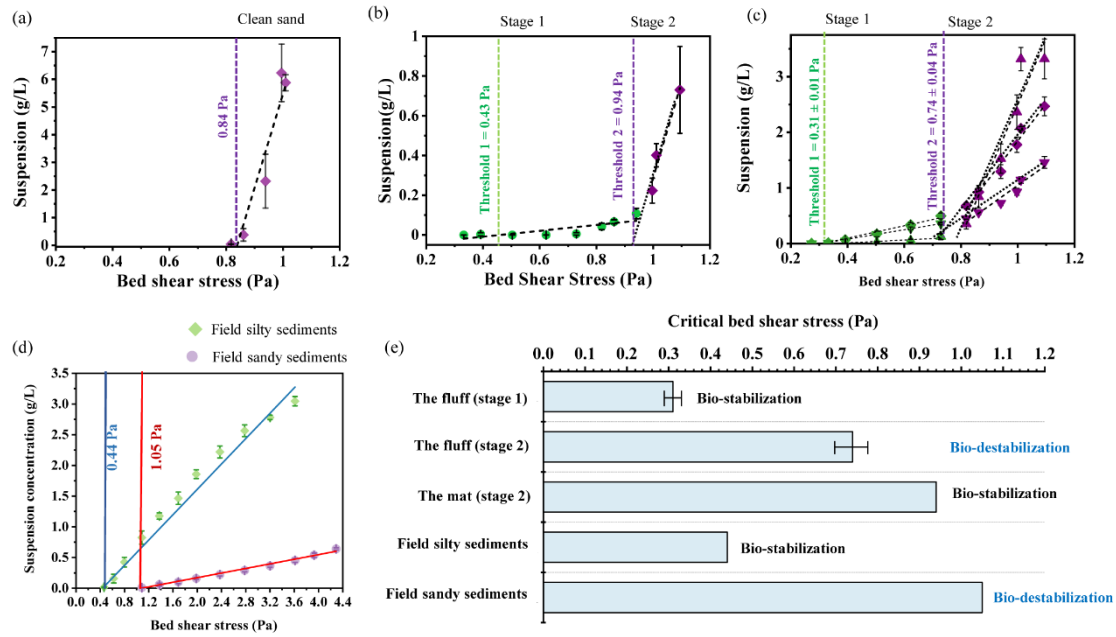
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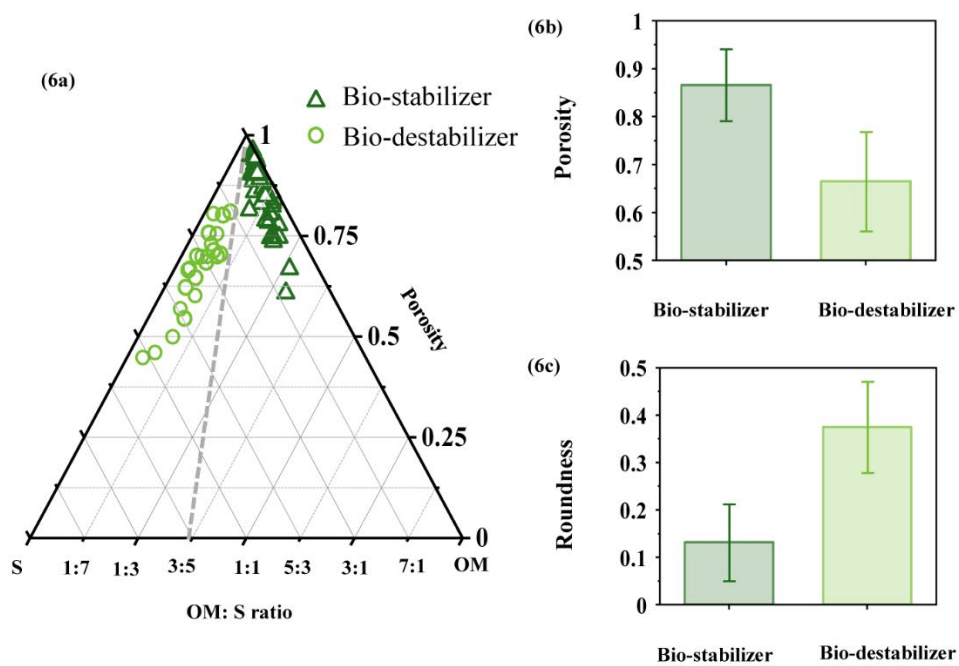
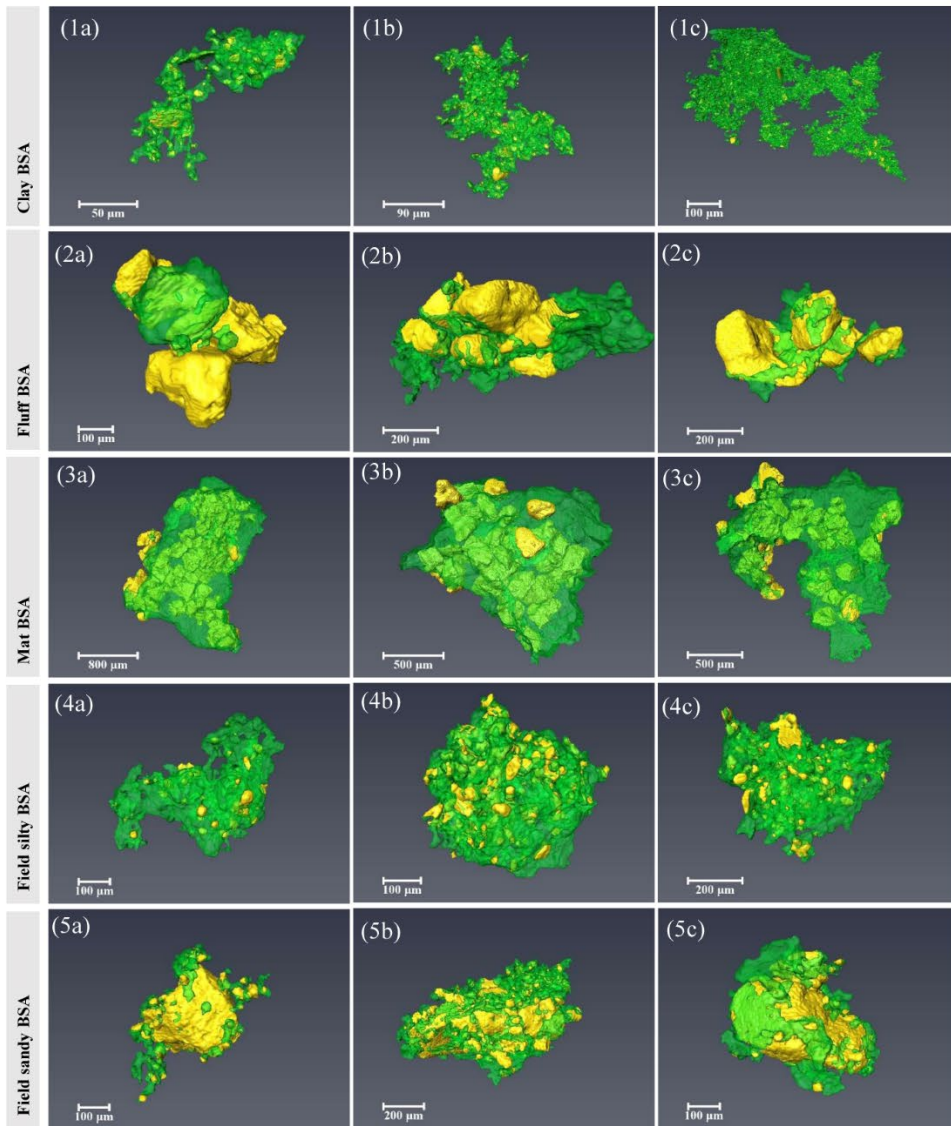
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710 **Figures**

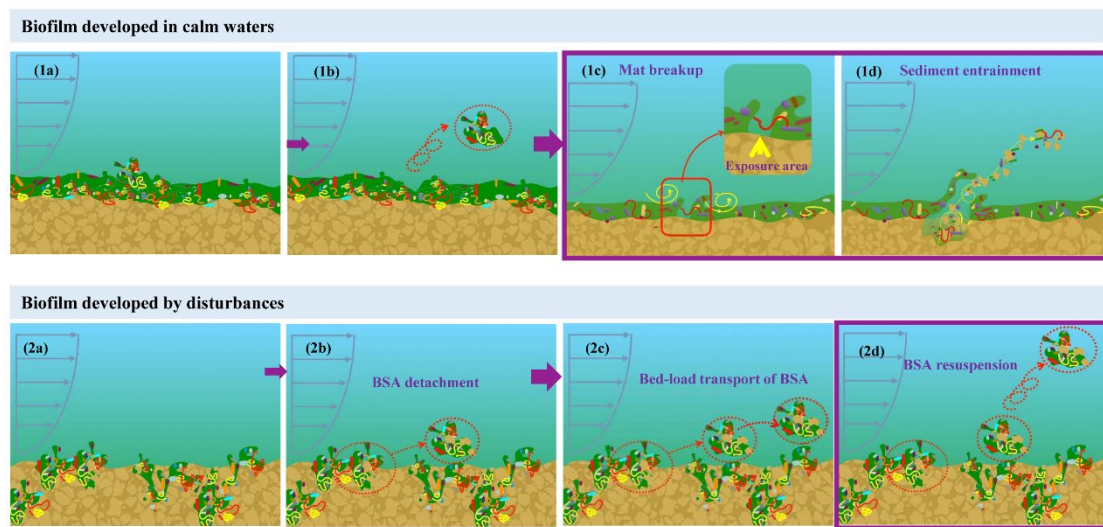


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712 **Figure 1.** Suspended sediment concentration (g/L) vs. bed shear stress (Pa) for five
 713 experimental conditions: abiotic control clean sand (a), mat BSA (b) and fluff BSA
 714 (c), silty and sandy field sediments (d), with a summary of the corresponding effects
 715 on sediment stability for each in (e). In (c), three replicates for the fluff resuspension
 716 experiments are plotted, and each replicate is presented as triangle, diamond, inverted
 717 triangle, respectively. Black dashed lines show regression lines of suspension
 718 concentration against applied shear stress (a-c). Results are presented as mean \pm SD.

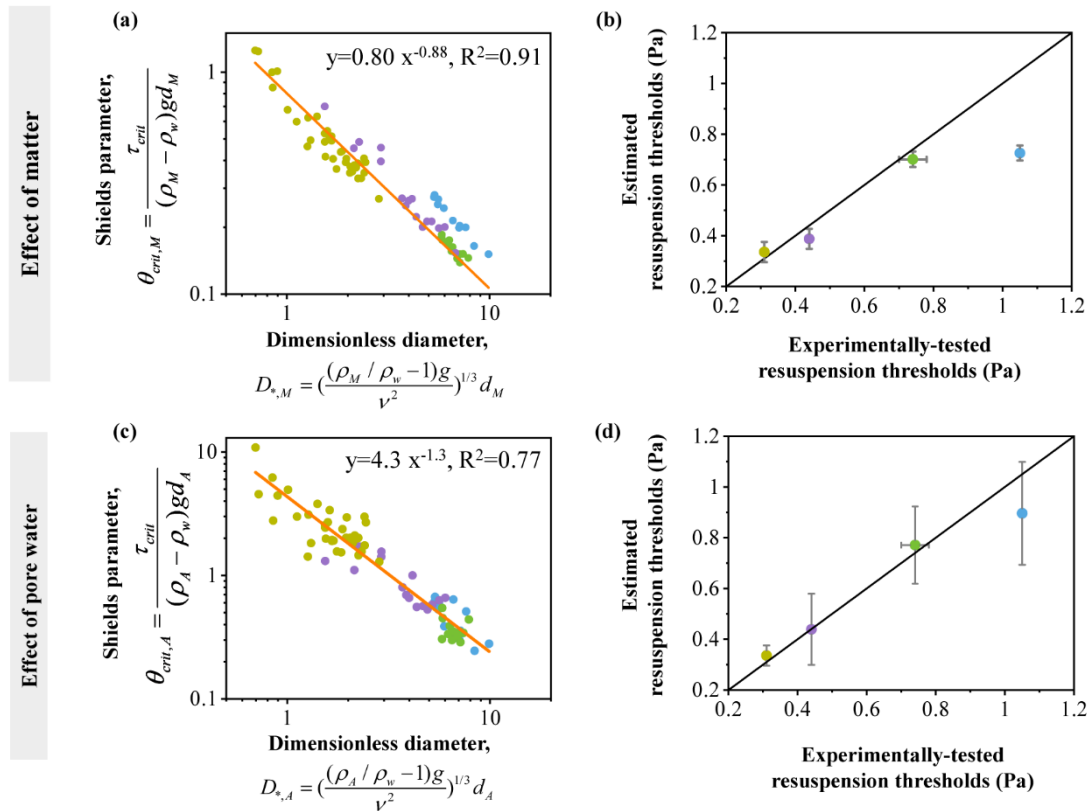


720 **Figure 2.** μ -CT scans of the 3D microstructures showing states of bio-sediment
 721 formation, including clay (1a-c) and the fluff BSAs (2a-c) from the fluff, mat BSAs
 722 (3a-c) from the mat, silty (4a-c) and sandy BSAs (5a-c) from the field-collected
 723 sediments. Ternary plots of BSA constituent make-up (6a), porosity (6b) and structure
 724 roundness (6c) of the bio-stabilizing and destabilizing BSAs. Results are presented as
 725 mean \pm SD.



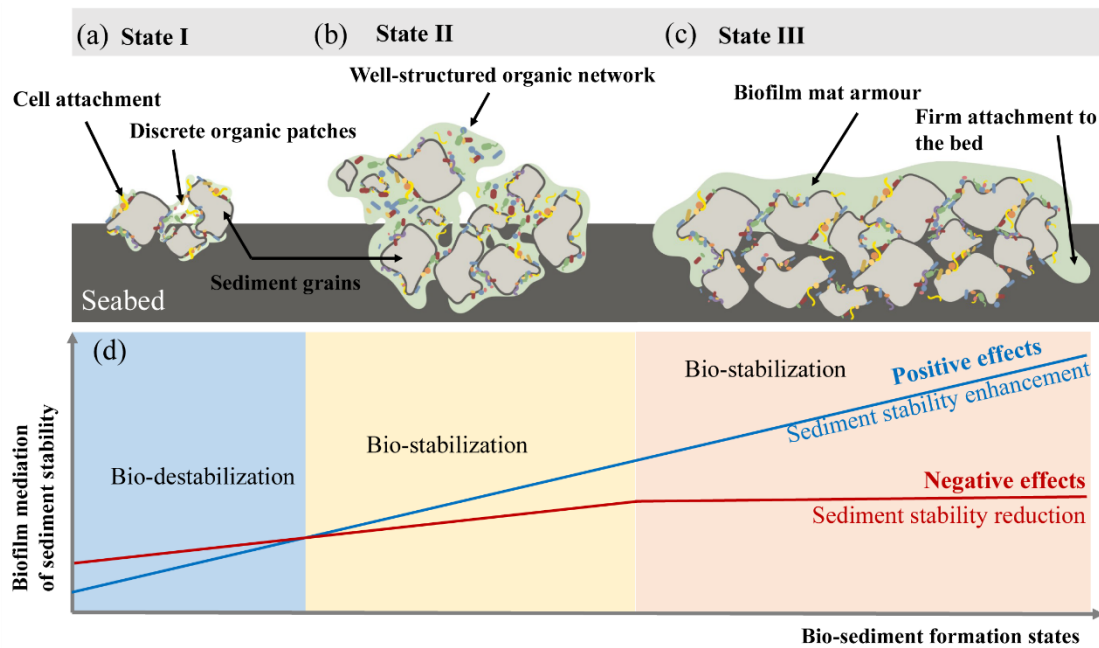
726
 727 **Figure 3.** Schematic illustrations of the entrainment processes of biofilm mediated
 728 sediments developed in calm waters (1a-d) and under disturbance (2a-d). In calm
 729 waters, mat matrices of biofilm armour the underlying sediments (1a). Sediment
 730 resuspension follows surface organic-rich matter removal at a relatively moderate
 731 flow intensity, where the integrity of mat is not destroyed (1b), and the subsequent
 732 break-up of local mat (1c) and mass entrainment of underlying sediments (1d). The
 733 latter two processes occurred almost simultaneously and are included in one purple
 734 box. In disturbed environments, no mat matrices of biofilms were established, and
 735 discrete BSAs form fluffy appearance of sediment-water interfaces (2a). Sediment

736 entrainment follows BSA detachment at a relatively moderate flow intensity (2b),
 737 bed-load transport of the detached BSA (2c) and BSA suspension (2d).



738
 739 **Figure 4.** Plots of critical Shields parameter, against matter dimensionless diameter,
 740 to determine the effects of organic and inorganic particles on the resuspension of
 741 biofilm mediated sediments (a). The estimated resuspension threshold using the power
 742 law relationship presented in (a) are plotted against experimentally-tested
 743 resuspension thresholds for both the laboratory-created and field-collected, disturbed
 744 BSAs in (b). Plots of Shields parameter for aggregates against aggregate
 745 dimensionless diameter determine the effects of pore water (c). The estimated
 746 resuspension threshold using the power law relationship presented in (c) are plotted
 747 against the experimentally-tested thresholds in (d). All the BSAs established under
 748 disturbed conditions, including clay, fluff, silty and sandy field BSAs, are represented

749 as yellow, green, purple and blue dots, respectively. Results are represented as mean \pm
750 SD.



751

752 **Figure 5.** A conceptual framework that characterizes three states of bio-sediment
753 formation. Distinct microstructures establish at each state (a-c). The biofilm growth
754 and bio-sediment formation have two opposing effects on sediment stability and the
755 net effects that determine bio-stabilization and destabilization vary at each state (d).

756