# Direct observation of a roaming intermediate and its dynamics

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Abstract

Chemical reactions are often characterised by their transition state, which defines the 16 critical geometry the molecule must pass through to move from reactants to products. 17 Roaming provides an alternative picture, where in a dissociation reaction the bond 18 breaking is frustrated, and a loosely bound intermediate is formed. Following bond breaking the two partners are seen to roam around each other at distances of several 20 Angstroms, forming a loosely bound, and structurally ill-defined, intermediate that can 21 subsequently lead to reactive or unreactive collisions. Here we present a direct and 22 time-resolved experimental measurement of roaming. By measuring the photoelectron spectrum of UV excited acetaldehyde with a femtosecond extreme ultraviolet pulse, we captured spectral signatures of all of the key reactive structures including that 25 of the roaming intermediate. This provided a direct experimental measurement of the roaming process and allowed us to identify the timescales by which the roaming intermediate is formed and removed, and the electronic potential surfaces upon which roaming proceeds.

#### o I. Introduction

The traditional view of a chemical reaction relies on transition state theory, where specific structures act as bottlenecks through which reactants must pass to form products. Over the course of the past 15 years a new type of photodissociation reaction mechanism, termed 'roaming', has offered an alternative to this view and is thought to compete with conventional transition state driven dynamics. This roaming pathway can occur when, en route to dissociation, a shallow plateau in the potential energy surface is encountered, leading to the formation of a quasi-bound complex of two fragments.

Roaming was first discovered in formaldehyde, in which an intermediate is formed by a hydrogen atom roaming around a HCO radical. Since this first observation, the roaming pathway has been experimentally shown in several systems and is thought to be nearly ubiquitous in photodissociation reactions. A,7,8 Direct experimental observation of the roaming intermediates is however challenging, meaning that roaming processes have largely been identified through the detailed analysis of the energy partitioning detected in the final reaction products and correlations in the usually bimodal internal energy distribution of the fragments has been used as evidence of roaming processes. A,5,9

The challenge to experiment is that the characteristics of roaming intermediates place 46 extreme constraints on the measurement processes used. By its very nature, the occurrence of roaming produces a molecular complex that has an impossible to define geometry as the 48 reaction intermediate. The roaming partners can take on a wide range of structures defined by a broad plateau on the potential energy surface. The typically rapid formation of the 50 intermediate and subsequent slow decay necessitates measurements on timescales covering 51 many orders of magnitude, potentially from femtoseconds to nanoseconds. These characteristics provide a demanding backdrop to experiment and theory alike. Accurate theoretical 53 descriptions of the roaming wavepacket are difficult to perform, while the identification of specific structural or spectral probes are challenging to experiments. 55

Experimental probes that are sensitive to all aspects of the roaming dynamics are needed, ideally capturing the formation and decay of the roaming intermediate, as well as the final products formed. To the best of our knowledge, the first experimental observation of a roaming intermediate was only recently reported. Utilising a time-resolved Coulomb explosion imaging measurement with coincident detection of multiple fragment ions, the authors were able to identify a signature of the first step in the roaming process in formaldehyde, as well as the formation of the intermediate complex on a timescale of a few hundred femtoseconds.<sup>6</sup> However, a full time-resolved measurement of roaming dynamics, and of the eventual fate of the intermediate, has so far not been reported.

Herein we report on the direct and time-resolved observation of the formation and 65 subsequent decay of the roaming intermediate in the photodissociation of acetaldehyde 66 (CH<sub>3</sub>CHO). Here UV absorption leads to the carbon-carbon bond breaking and the formation of CH<sub>3</sub> and HCO radicals. The radicals begin to separate and can either follow a pathway that 68 is well described by conventional transition state theory and rapidly leads to the separation of the two radical fragments, or a roaming pathway where the two fragments are seen to remain in relative close proximity (at C-C distances of 3-4 Å<sup>4</sup>) for an extended period of time. For 71 the molecules that follow the roaming pathway, the two radicals explore a wide range of configurations before reaching one that allows for reaction of the two fragments, forming CH<sub>4</sub> and CO, or dissociation leading to the relatively delayed formation of the radical products. The roaming channel in this photodissociation has been studied by various groups both 75 theoretically<sup>10–12</sup> and experimentally<sup>3,9,13–19</sup> and at a vast range of dissociation energies from  $\sim$ 220–330 nm.<sup>17</sup> The primary experimental observable in all these studies was the energy distribution of final radical (HCO, CH<sub>3</sub>) or molecular (CO, CH<sub>4</sub>) products, meaning a direct 78 observation of the roaming intermediate, and its formation pathway, has so far remained 79 difficult to measure. Existing time-resolved studies lack the necessary temporal resolution to 80 observe the crucial first electronic dynamics following photoexcitation. 14,15 Here we study 81 the ultrafast dynamics following excitation of acetaldehyde at 262 nm (4.7 eV), populating 82 the first electronically excited state  $(S_1)$  via a  $\pi^* \leftarrow n$  transition.<sup>20</sup> At this excitation energy 83 the dissociation mechanism is not well understood, with some studies invoking intersystem 84 crossing to lower lying triplet states as a potential relaxation pathway, 14,19 while others suggest that at these pump wavelengths the available energy is sufficient to overcome a 86 barrier on the S<sub>1</sub> surface, leading to internal conversion via a conical intersection with the singlet ground state  $S_0$ .  $^{10,11,16-18}$  Following internal conversion the system can either directly 88 dissociate, or it can lead to roaming by exploring a wide plateau on the potential surface, eventually leading to both radical and molecular dissociation channels. To directly observe 90 the formation and subsequent destruction of the roaming intermediate, we present here a photoelectron spectroscopy experiment which utilizes a femtosecond extreme ultraviolet

(XUV) probe pulse.<sup>21</sup> The sensitivity of photoelectron spectroscopy to changes in the bonding character, combined with the high energy of the probe, allowed us to monitor all aspects of a roaming reaction.<sup>22,23</sup> The measurements captured spectroscopic signals that are only consistent with the formation and removal of roaming intermediates, as well as the formation of the HCO radical product via direct and roaming reaction pathways. The experimental data is supported by *ab-initio* calculations of the potential energy surfaces and simulated photoelectron spectra, which allows us to identify the electronic state upon which roaming proceeds.

#### II. Results and Discussion

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Resulting photoelectron spectra are shown in Figure 1. In panel a we show characteristic 102 photoelectron spectra at selected pump-probe delays. With pump and probe pulses temporally 103 overlapped  $(t_0, \text{ red trace})$  we observe two broad peaks centred around binding energies of 104 5.5 eV and 8.5 eV. These correspond to ionisation of the initially excited state of the bound 105  $CH_3CHO$  molecule into the ground  $(D_0)$  and first electronically excited  $(D_1)$  state of the ion, 106 respectively. These features are very short lived, and by 500 fs (purple trace) we observe only 107 a very broad feature from around 6.0 eV to at least 9.0 eV binding energy, beyond this energy 108 any signals are obscured by the direct ionisation of ground state acetaldehyde, as further 109 discussed in the supplementary information. Going towards even longer delays (600 ps, green 110 trace) this broad feature has narrowed and shifted towards higher binding energies, and now 111 corresponds to final reaction products, in this case the formation of the HCO radical, as further discussed below. 113

In Figure 1b we show the full time-resolved photoelectron spectrum, note the mixed linear-logarithmic scale on the time delay (y) axis. The full spectrum highlights the very short lived nature of the initially excited state, and the formation of a broad peak within a few hundred femtoseconds. On longer timescales of hundreds of picoseconds this peak is seen to decrease in intensity on the low binding energy side.

To extract dynamic information we integrate the time-resolved spectrum over selected binding energy slices, Figure 2. The green trace in panel **a** shows the dynamics in the 5.2–5.8 eV binding energy region, corresponding to the initially excited state in acetaldehyde. A rapid exponential decay with a time constant of around 50 fs is observed, which we assign to

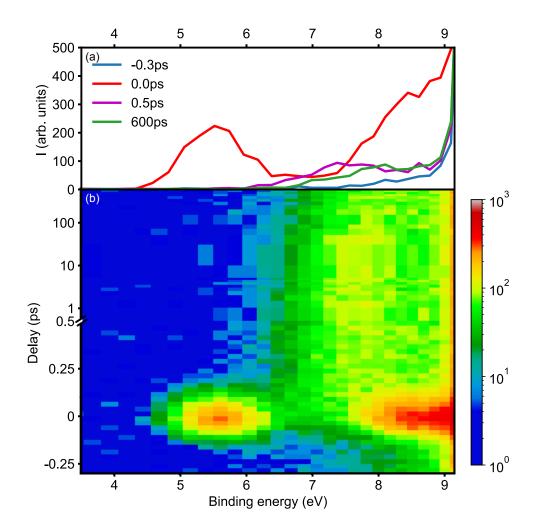


FIG. 1. Time-resolved photoelectron spectra of acetaldehyde following excitation at 262 nm and ionization at 56 nm (22.3 eV). a) Selected spectra at different pump-probe delays, before  $t_0$ (blue), at  $t_0(\text{red})$ , at 500 fs (purple) and at long delays of 600 ps (green). b) Surface plot showing the time evolution of the photoelectron spectra, note the mixed linear-logarithmic time delay (y) axis, which is linear up to 0.5 ps, and logarithmic thereafter.

the rapid depopulation of the initially excited  $S_1$  state. The blue traces in Figure 2a-b show the binding energy region of 6.7–7.3 eV. Following a fast rise, a slow decay on a timescale of a few hundred picoseconds is observed, until a plateau of around half the intensity is reached. This behaviour clearly indicates the existence of multiple overlapping contributions to the 126 photoelectron spectrum, belonging to a transient state decaying on the 100s of picosecond timescale, and a (much) longer lived contribution likely from a reaction product. In order to deconvolve these we perform a global 2D fit to the entire time-resolved photoelectron

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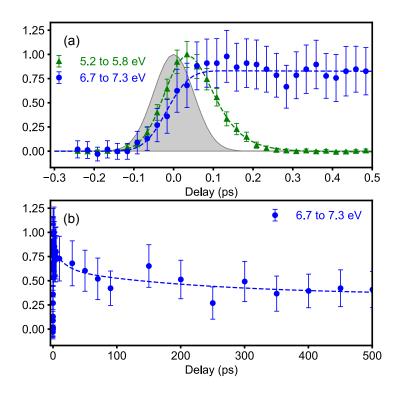


FIG. 2. Time evolution of the observed photoelectron signal in selected energy regions on short (a) and long (b) timescales. Grey shading in (a) corresponds to the instrument response function as discussed in the experimental details section of the SI.

spectrum to extract so-called decay-associated spectra (DAS), which represent the spectral contribution to each underlying dynamical process.<sup>24–26</sup> We require a total of 3 separate processes, each with its own associated lifetime, to accurately represent the data.

The resultant DAS are plotted in Figure 3, and have associated time constants of 50 fs, >2.5 ns and 190 ps in panels  $\mathbf{a}-\mathbf{c}$ , respectively. At early times the dynamics are dominated by the spectral contribution shown in the top panel of Figure 3. The broad peaks correlate well with the expected binding energies for ionisation from the Franck-Condon geometry of the initially excited  $S_1$  state. These peaks have an associated time constant of approximately 50 fs, meaning this is an extremely short lived configuration of the molecule.

In Figure 3b we show the spectral component that has an associated lifetime of >2.5 ns. This is much longer than the timescale of our experiment and hence can be considered an 'infinite lifetime', such that on the timescale measured the intensity remains unchanged and this corresponds to one of the products of the reaction. Based on the known reaction products and their ionisation energies, (CH<sub>3</sub> 9.84 eV , HCO 8.1 eV, CH<sub>4</sub> 12.61 eV, CO 14.01 eV)<sup>27</sup> we

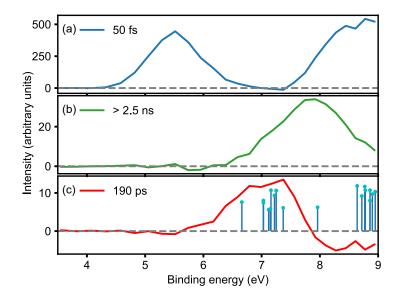


FIG. 3. Decay associated spectra extracted from the experimental data in Figure 1. Three time constants of 50 fs (a), >2.5 ns (b) and 190 ps (c) are required to accurately represent the data. In panel (c) calculated binding energies following ionisation of the roaming intermediate in various geometries on the  $S_0$  state are indicated as sticks, with the length corresponding to the relative cross-section.

assign this feature to the rapid formation of HCO, occurring within the first 100 fs after excitation. As the HCO fragment can only be formed on the electronic ground state, the appearance of this product also indicates that the internal conversion from  $S_1$  to  $S_0$  occurs on this ultrafast timescale.

The lower panel in Figure 3 shows a more complex spectrum, including regions of positive and negative amplitude, with an associated time constant of  $190\pm10$  ps. The uncertainty corresponds to a statistical  $2\sigma$  standard error extracted from the DAS fitting, further details are given in the supplementary information. In DAS, regions of positive amplitude relate to spectral features that decay on the associated timescale (as seen in Figure  $3\mathbf{a}$ - $\mathbf{b}$ ), whilst negative amplitudes relate to spectral features that increase in intensity on that timescale. The observation of both positive and negative amplitudes within the DAS is therefore a direct sign for the flow of population from one region of the spectrum, where positive amplitude is observed, to regions of the spectrum with a negative amplitude. Figure  $3\mathbf{c}$  therefore clearly shows the decay of a species with binding energies in the range 5.3–7.6 eV into a new species with higher binding energies on a timescale of 190 ps. At these higher binding energies

the signal strongly overlaps with the observed HCO peak (Figure 3b), indicating that we 159 are producing additional HCO fragments over this much longer time scale, in addition to those produced from the rapid initial dissociation. The observed feature in the 5.3–7.6 eV 161 range therefore corresponds to a reactive intermediate, formed within the first few hundred 162 femtosecond after excitation, and decaying on a 190 ps timescale to yield (as one product) 163 the HCO radical. We therefore assign this transient species to the roaming intermediate 164  $CH_3 \cdots HCO$ . The observed binding energy is indicative of an intermediate structure between 165 a bound CH<sub>3</sub>CHO and the radical product HCO, suggesting we are monitoring a range of 166 structures associated with the weakly bound roaming intermediate. The observed rapid 167 appearance of the intermediate within the first few hundred femtoseconds agrees well with 168 recent observations in formaldehyde.<sup>6</sup> 169

Previous measurements have suggested the involvement of intersystem crossing (ISC) to 170 the triplet states (18,28-30), which could also explain the observation of a photoelectron peak 171 at these intermediate energies. We find this unlikely in the present situation due to the 172 temporal characteristics of the spectral changes observed. For a molecule like acetaldehyde, 173 which contains no heavy atoms, such rapid (sub 100 fs) and efficient ISC into the triplet 174 manifold is extremely unlikely. The spin-orbit (SO) coupling matrix-element that facilitates 175 ISC between  $S_1$  and  $S_0$  is approximately 10 cm<sup>-1</sup>. This means direct transfer from  $S_1$  to 176  $T_1$  on the measured lifetime of the  $S_1$  state can be ruled out. Subsequent transfer from  $S_0$ 177 to  $T_1$  is theoretically possible and has a larger associated SO-coupling of approximately 70 178 cm<sup>-1</sup>. This process is energetically less favourable and would involve transfer onto a state 179 with higher potential energy. The fact that the photoelectron band is formed extremely 180 rapidly but subsequently remains unchanged indicates that there are no electronic structure 181 changes on the timescale of the measurement. We therefore suggest that at the 262 nm 182 pump wavelength used here, we excite into  $S_1$  state above the barrier to the  $S_1/S_0$  conical 183 intersection.  $^{10,11,16-18}$  Excitation above the barrier leads to rapid internal conversion to  $S_0$ 184 and the subsequent role of the triplet states is therefore negligibly small. We note that this 185 assignment agrees with that suggested in previous experimental and theoretical studies. 17,32 186 At longer wavelengths, where the barrier on  $S_1$  cannot be overcome, transitions to  $T_1$  will 187 become important and play a significant role in the reaction, as shown by a large number of 188 studies performed at wavelengths longer than 300 nm. 9,18,33

In order to support our assignments, and to understand where on the potential energy

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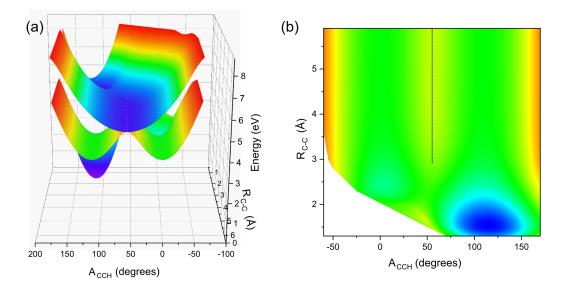


FIG. 4. Calculated potential energy surfaces of acetaldehyde. (a) Projection of the  $S_1$  and  $S_0$  surfaces along the C-C bond length and CCH bond angle coordinates. (b) Contour plot of the  $S_0$  ground state surface, the dashed line indicated a conical intersection seam with the  $S_1$  state.

surface roaming takes place, we calculated the coupled potential energy surfaces important to the dynamics, technical details are given in the supporting information. The acetaldehyde potential energy surface was explored at a range of C–C bond lengths and C–C–H bond angles. A projection of the initially excited S<sub>1</sub> and ground state S<sub>0</sub> surfaces are plotted in Figure 4a, with panel b showing a contour projection of the S<sub>1</sub> surface. The figure shows an extended crossing (points of degeneracy) between the two surfaces at a C–C–H angle of 50° with C–C bond lengths of greater than 2.5 Å(indicated by the dashed line in Figure 4b). Efficient population transfer is facilitated at these crossing points (conical intersection seam) allowing for rapid re-population of the electronic ground state. Surrounding this crossing associated with the conical intersection is a broad and flat plateau extending over a wide range of angles and bond lengths. We note that within the figure we can only provide a limited projection of the full potential energy surface (which would contain 15 dimensions) and this plateau extends over a much wider range of geometries than can be plotted within a single projection.

We have performed full quantum trajectory simulations covering the first 100 fs to confirm that ultrafast internal conversion is viable, see SI for details. The calculated electronic state populations show a rapid transfer from  $S_1$  to  $S_0$  occurring within 20 fs. This is in line with the 50 fs time constant observed experimentally for the decay of the initially excited state, and confirms our assignment of ultrafast internal conversion as the primary step in the dynamics.

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While we cannot perform trajectory simulation for the full time frame of the experiment, 211 the calculated ground and excited state potential energy surfaces provide us with a landscape 212 upon which we can obtain theoretical photoelectron spectra for a variety of geometries 213 associated with the initially excited Franck-Condon geometry, the dissociated fragments 214 and the roaming intermediate. The geometries chosen for calculation of the roaming signal 215 were based on the positions of the conventional and roaming transition state as reported 216 by Bowman.<sup>34</sup> Fixed and relaxed geometry potential energy scans were then performed 217 around these starting points and representative structures from these scans were taken 218 forward for calculation of their photoelectron spectrum. Full details on the calculations 219 can be found in the SI as we limit the presentation here to the calculated photoelectron 220 energies for ionisation of the roaming intermediate on the ground electronic state. Calculated 221 energies and relative cross-sections for various geometries on the broad plateau region are 222 shown in Figure 3c, overlaid with the experimental photoelectron spectrum assigned to the 223 roaming intermediate. The calculations show that a broad range of binding energies are 224 possible, correlating with the 'floppy' structure of the molecule and the large regions of the 225 potential energy surface explored. Importantly, several calculated photoelectron energies 226 agree remarkably well with the feature assigned to the roaming intermediate, in the range 227 of  $\sim 6-8$  eV binding energy, giving us confidence in the assignment of this feature to the 228 roaming intermediate. A further cluster of expected photoelectron peaks is towards higher 229 binding energies of > 8 eV. Experimentally, this roaming signal would overlap with the signal 230 associated with the HCO product formation. As such, the amplitude observed in the DAS in 231 this region between 8.5-9 eV would depend on the relative populations and cross-sections of 232 the two contributions, as the roaming component would provide a positive amplitude and 233 the HCO component a negative amplitude. 234

The combined experimental and theoretical results lead to the following picture of the dynamics. Absorption of a UV photon leads to population of the  $S_1$  excited state. Here, the C-C bond distance increases en route to a conical intersection, where there is efficient population transfer via a conical intersection to the  $S_0$  ground state,  $^{10,16-18}$  the extremely fast timescale rules out any intersystem crossing into the triplet state. Once in the ground state a subset of the initially excited molecules continues along the dissociation path leading

to the rapid (50 fs) formation of the CH<sub>3</sub> and HCO fragments. The remaining quasi-bound 241 population is associated with roaming dynamics of the CH<sub>3</sub> and HCO fragments, which explores a range of structures on the electronic ground state, which potentially include those 243 associated with the various ground state minima. This roaming intermediate decays with a time constant of 190 ps and we observe the concomitant formation of additional free HCO 245 fragments on this timescale. We note that other roaming channels, involving a roaming 246 hydrogen atom, could contribute to the signal and that the formation of other products, such 247 as CH<sub>4</sub>+CO formed via reaction with the roaming HCO, or reformation of a vibrationally 248 hot ground state molecule, could also contribute to the effective lifetime measured. Detection 249 of the CH<sub>4</sub>+CO fragments is in principle also possible in the experiment due to the high 250 probe-energy used, however, the ionisation potentials of these species mean they strongly 251 overlap with the background associated with ionisation of ground state CH<sub>3</sub>CHO. As we 252 wish to stay in the regime where single photon excitation is dominant, we are limited to a 253 relatively low excited state population and yield of products, this mean we cannot extract 254 reliable signal for these fragments. 255

Recent picosecond resolution action spectroscopy measurements of the products obtained 256 following UV excitation suggest a much more complex set of electronic state transitions. 14,19 257 The experiments were performed at a similar, albeit slight lower pump energy (267 nm 258 compared with 262 nm) which may explain the discrepancy. These action spectroscopy 259 measurements suggested that the  $S_1$  lifetime was on the order of 100's ps, with complex 260 excited state dynamics over multiple electronic states required to explain the observation. We note that the evidence for the mechanisms is indirect, while our direct measurements 262 capture the initial electronic state dynamics and ensure that processes exclusively associated with single photon excitation are observed. The increased time resolution also shows the S<sub>1</sub> 264 lifetime at our pump wavelength is less than 100 fs and leads to a relatively straightforward 265 explanation of the dynamics. 266

## 267 III. Conclusion

The sensitivity of our XUV photoelectron spectroscopy probe allowed us to monitor the key geometric and electronic structure changes associated with roaming dynamics. We directly observed the formation of the roaming intermediate on an ultrafast (<100 fs) timescale.

Detailed analysis of the resulting photoelectron spectrum and comparison to quantum chemical calculations allow us to show that roaming occurs on the electronic ground state, and that the eventual breakdown of the roaming intermediate occurs on a 190 picoseconds timescale. These experiments employing global probes with ultrafast time-resolution offer the possibility for detailed and direct insight into molecular roaming pathways, and to quantify the importance and prevalence of roaming processes in photochemistry.

## 277 IV. Supporting Information

Experimental details and description of data analysis procedures. Computational details and supporting calculations.

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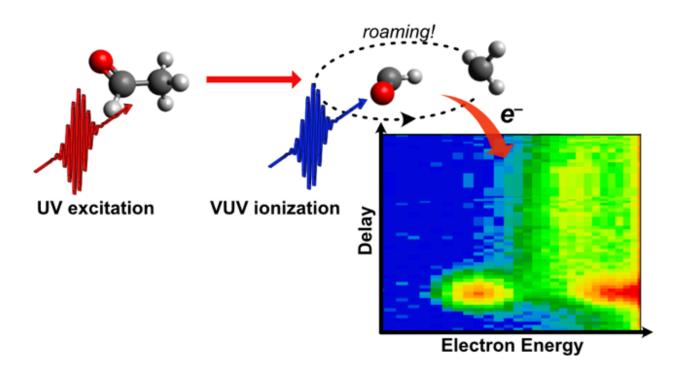


FIG. 5. TOC Graphic