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¹ Mid-infrared Raman Amplification in Silicon Core Fiber

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22 Abstract

Raman scattering provides a convenient mechanism to generate or amplify light at wavelengths where gain is not otherwise 23 available. When combined with recent advancements in high power fiber lasers that operate at wavelengths $\sim 2 \mu m$, great 24 opportunities exist for Raman systems that extend operation further into the mid-infrared (IR) regime for applications such as gas 25 sensing, spectroscopy, and biomedical analyses. Here, a thulium-doped fiber laser is used to demonstrate Raman emission and 26 amplification from a highly nonlinear silicon core fiber (SCF) platform at wavelengths beyond 2 µm. The SCF has been tapered to 27 obtain a micrometer sized core diameter (~1.6 µm) over a length of 6 cm, with losses as low as 0.2 dB/cm. A maximum on-off peak 28 gain of 30.4 dB was obtained with a modest average pump power of 12.4 mW, with simulations indicating that the gain could be 29 30 increased to up to ~50 dB by extending the SCF length. Simulations also show that by exploiting the large Raman gain and extended 31 mid-infrared transparency of the SCF, cascaded Raman processes could yield tunable systems with practical output powers across 32 the 2-5 µm range.

33

34 Introduction

35 Compact and tunable light sources that can operate across the 236 5 μm regime are of great interest for gas sensing [1],
37 environmental monitoring [2] and medical diagnostics [3]. To this

38 end, fibers that are doped with rare-earth ions, such as thulium and holmium, have emerged as contenders for efficient light 39 generation in this region [4]. As well as being robust and stable, 40 these doped-fiber systems offer key operational benefits such as 41 large tensile strengths, flexible power scaling and high-quality 42 beam profiles with minimal thermal distortion. However, despite 43 their great performance for wavelength emission near $2 \,\mu m$, 44 achieving high power operation beyond 2.2 µm is challenging 45 due to the need to switch from silicate to fluoride host glasses, 46 owing to their lower phonon energies and transmission at longer 47 wavelengths [5, 6]. An alternative solution to extending the 48 wavelength coverage of these sources is to make use of the high 49 power emission at shorter wavelengths and shift the output via 50 Raman scattering [7]. Importantly, compared to other nonlinear 51 wavelength conversion processes such as four-wave mixing 52

- 53 (FWM), Raman scattering is not restricted by phase-matching 54 considerations [8], so that the newly generated wavelengths are
- 55 only determined by the pump wavelength and the Stokes shift of

56 the material. Thus, Raman amplifiers can be tuned to operate over

57 a very broad wavelength range, with a gain bandwidth that can

salso be controlled by the bandwidth of the pump source [9].

Compared to glass-based fibers, crystalline silicon waveguides 59 are promising platforms for Raman processes due to their high 60 damage threshold, strong Raman emission and extended infrared 61 transmission (1-8 um). Significantly, Raman scattering in the 62 telecom band was one of the first nonlinear processes 63 demonstrated in a silicon waveguide [10], and was closely 64 followed by examples of amplification [9, 11-13] and lasing [7, 14-65 16]. However, despite this initial success, and Raman 66 amplification being demonstrated in bulk silicon at 3.4 µm [17], 67 currently Raman amplification or wavelength shifting in silicon 68 waveguides has been confined to wavelengths $<2 \mu m$, which is 69 70 attributed to the relatively short device lengths and limited power 71 handling of the on-chip components [7]. In contrast, silicon core 72 fibers (SCFs) have emerged as an alternative platform for Raman amplification that can offer extended propagation lengths, low 73 propagation losses and efficient coupling to fiber laser systems 74 [18]. The SCFs are produced by a conventional fiber drawing 75 method, which ensures high yields and low device costs [19]. 76 Moreover, as they are clad in silica, the SCFs are robust, stable, and 77 compatible with standard fiber post-processing methods such as 78

79 tapering [20] and splicing [21], which allows for further80 optimization of the waveguide properties as well as seamless81 interconnection with other glass fiber components, such as the82 pump laser.

In this paper, high levels of Raman amplification are 83 demonstrated in the mid-infrared wavelength region by making 84 use of the long waveguide lengths of the highly nonlinear SCF 85 platform. The SCF was tapered to achieve a low loss (~0.2 dB/cm) 86 nonlinear interaction region that consists of a constant tapered 87 waist with a diameter of \sim 1.6 μ m over a length of \sim 6 cm. A 88 thulium-doped fiber laser that delivers picosecond pulses with a 89 peak-power of several watts at a wavelength of \sim 1.99 µm was 90 used as a pump to generate a source of Raman shifted photons at 91 \sim 2.22 µm. The on-off gain for stimulated Raman amplification 92 was estimated to be 30.4 dB, according to the measured time-93 averaged gain of 3.7 dB. By exploiting the low linear and nonlinear 94 losses of the SCFs when pumped within the range $2.0-2.2 \,\mu m$, 95 simulations show the possibility to extend the reach of the Raman 96 shifting to wavelengths $>5 \,\mu m$ via a cascaded process. Thus, this 97 work provides a crucial step towards the development of 98 compact and tunable silicon-based Raman amplifiers for 99 100 applications across the $2-5 \,\mu m$ regime.

101 Results

102 Fiber Design and Characterization

The SCFs used in this work were fabricated via the molten core 103 drawing method [19], which produced fibers with uniform 104 core/cladding diameters of $12 \,\mu$ m/125 μ m and a polycrystalline 105 core phase. To improve the nonlinear performance, the as-drawn 106 fibers were subsequently tapered. As well as reducing the core 107 size, the tapering also improves the crystallinity, which reduces 108 109 the overall transmission losses [22]. Fig. 1(a) shows a schematic of the two-step tapering technique used to extend the tapered SCF 110 waist length. By reducing the outer diameter in the first step, a 111 112 lower filament power can be used in the second taper, which is important for producing micrometer-sized continuous cores 113 114 with long single crystal grains. Using this method, a SCF was fabricated with a waist length of 6 cm, which is the longest 115 tapered SCF produced to date. A schematic of the final tapered 116 117 SCF geometry is shown in Fig. 1(b), in which the tapered waist 118 region has a core diameter of 1.6 µm, positioned between two 119 taper transition regions. The taper transitions are included to improve the SCF coupling, and scale up to input/output core 120 diameters of ~4.6 μ m over lengths of ~2.5 mm, resulting in a 121 122 total SCF length of 6.5 cm. The target waist diameter for this work



Fig. 1(a) Schematic of the two-step tapering method for SCF optimization. (b) Schematic of tapered SCF as used for Raman scattering. (c) Experimental setup used for both spontaneous Raman scattering and stimulated Raman amplification measurements. OC: optical chopper, BC: beam combiner, PC: polarization controller, OL: optical lens, Detector: optical spectrum analyzer/lock-in amplifier.

123 was slightly larger than that used in previous experiments demonstrating Raman amplification in the telecom band [18], to 124 ensure low transmission loss for the longer wavelength pump 125 and Raman shifted signal. Before conducting the measurements, 126 the input and output facets were polished using a routine fiber 127 preparation method to ensure optimal coupling can be achieved. 128 The experimental setup is shown in Fig. 1(c). A gain switched 129 laser diode (Eblana Photonics) seeded thulium-doped fiber 130 master oscillator power amplifier (Tm: MOPA) system was used 131 as a pump laser source [23]. The pump has a \sim 125 ps full width 132 at half maximum (FWHM) pulse duration with a repetition rate of 133 10 MHz and delivered 70 mW of average power (maximum peak 134 power of ~56 W) at 1.99 μ m. The signal used for Raman 135 136 amplification is a continuous wave (CW) mid-infrared laser (Cr+2: ZnS/Se IPG Photonics) tunable over the range of 2.0-2.4 μ m, with 137 a minimum wavelength resolution of 0.3 nm. To combine the 138 pump and signal before injection into the tapered SCF, a 90:10 139 140 fiberized beam combiner (BC) was used. The combined lasers were launched into the fundamental mode of the SCF using a 40X 141 objective lens (OL1, NA: 0.65), and the output pump and Stokes 142 wave were collected with a 60X lens (OL2, NA: 0.85). As discussed 143 Supplementary Information Section I, the estimated 144 in transmission loss translates to a propagation loss of only ~ 0.2 145 dB/cm, which is comparable to the lowest losses obtained in the 146 SCF platform [24, 25]. To characterize the spontaneous Raman 147 scattering, the signal laser was turned off and the output light was 148 sent to an optical spectrum analyzer (OSA-Yokogawa AQ6375) 149 a mid-infrared patch cord (Thorlabs M42). For 150 via characterization of the Raman amplification, the CW signal was 151 tuned across the measured spontaneous Stokes wave bandwidth. 152 153 An optical chopper was used to modulate the DC signal before 154 coupling into the fiberized BC, and a lock-in amplifier (LIA) was 155 used to detect the power variation of the output signal. It is worth noting that for photon wavelengths with energies greater than 156 half the bandgap of silicon ($E_{gi} = 1.12 \text{ eV}$), two-photon 157 absorption (TPA) and TPA-induced free carrier absorption (FCA) 158 play important roles in nonlinear silicon processes. The TPA 159 coefficient (β_{TPA}) at the pump wavelength of 1.99 µm has been 160 previously characterized in the tapered SCFs to be ~ 0.3 cm/GW, 161 which is around half as strong as the value at $1.55 \,\mu m$ (~0.7 162 cm/GW) [26]. 163

164 Spontaneous Raman Scattering

165 To characterize the spontaneous Raman scattering, the spectral 166 output from the tapered SCF was monitored via the OSA as a 167 function of input pump power. Fig. 2(a) shows the appearance of 168 the spontaneous Stokes wave for average powers as low as ~ 2 169 mW. The Stokes peak is positioned at \sim 2.22 µm, corresponding to the expected Raman shift of 15.6 THz. Due to the narrow 170 linewidth of the pump source (<0.05 nm), the linewidth of the 171 Stokes wave (\sim 1.7 nm) is close to the intrinsic bandwidth (105 172 GHz) of the Raman emission for the silicon core. The SCF 173 parameters that correspond to the experiments are given in 174 Supplementary Information Section II. To further verify the 175 results, simulations have been conducted using the generalized 176 nonlinear Schrödinger equation (GNLSE), including the Raman 177 response function (see Supplementary Information Section II) 178 [26]. The simulation results are plotted together with the 179 measured data in Fig. 2(a), showing excellent agreement. To 180 181 compare the efficiency of the spontaneous Raman emission with 182 previous results obtained in the telecom band, the relationship



Fig. 2(a) Spontaneous Raman emission spectra at various timeaveraged pump powers, as given in the legends, for a pump wavelength of 1.99 µm. (b) Spontaneous Stokes power as a function of coupled-in average pump power.

183 between the integrated Stokes power versus pump power is considered [27]: 184

185

 $P_{s} = \kappa L_{eff} P_{p}.$ (1) Here κ is the spontaneous Raman coefficient in units of cm⁻¹ and L_{eff} is the effective length ($L_{eff} = (1 - e^{-\alpha L})/\alpha$) of the tapered 186 187 SCF. Fig. 2(b) plots the generated Stokes power as a function of 188 coupled-in average pump power, from which κ can be 189 determined as 8.7×10^{-9} cm⁻¹ from the linear fit. The 190 spontaneous Raman efficiency S in the SCF can then be estimated 191 to be ${\sim}1.05 \times 10^{-7} \text{cm}^{-1} \text{Sr}^{-1}$. Comparing this value with 192 previous results for 1.43 μ m pump sources, where $S = 3 \times$ 193 10^{-7} cm⁻¹Sr⁻¹ was obtained for the SCF [18] and $S = 4.1 \times$ 194 10^{-7} cm⁻¹ Sr⁻¹ in a typical planar waveguide [10], the lower S is 195 in agreement with the λ^{-4} dependence. 196

The spontaneous Raman efficiency, can then be used to calculate 197 the expected Raman gain coefficient (q_s , in units of cm/GW) at the 198 199 pump wavelength via the equation [27]:

200
$$g_s = \frac{8\pi c^2 \omega_p}{\hbar \omega_s^4 n^2 (\omega_s) (N+1) \Delta \omega} S.$$
(2)

201 Here ω_p and ω_s are the angular frequencies of the pump and Stokes signals, respectively, n is the refractive index, N is the Bose 202 occupation factor (0.1 at room temperature), h is Planck's 203 204 constant, and $\Delta \omega$ is the FWHM bandwidth of the Raman response in silicon. The value of g_s is found to be 18 cm/GW at the 205 pump wavelength of 1.99 μ m. Similar to S, g_s follows the 206 expected wavelength trend, which is to decrease with a λ^{-1} 207 dependency, so that the value here is lower than previous reports 208

209 for the telecom band [10] but higher than the value obtained in bulk silicon for a pump at 3.4 µm [17]. However, the slightly 210 211 lower q_s is expected to be compensated by the lower nonlinear absorption for the 1.99 μ m pump, so that higher pump peak 212 powers can be used [28]. 213

214 Stimulated Raman Amplification

215 With the estimated Raman gain coefficient, investigations subsequently turned to the observation of stimulated Raman 216 amplification. To demonstrate the capacity for efficient Raman 217 218 amplification in this mid-infrared wavelength region the same experimental setup was employed, but with the coupled in pump 219 power fixed at 12.4 mW (corresponding to a peak power of 10 W), 220 and an input signal power of 0.1 mW. The measured time-221 averaged on-off gain as the signal wavelength is tuned across the 222 Raman gain curve is shown in Fig. 3(a), together with simulation 223 results that use the parameters obtained via the spontaneous 224 measurement. A maximum time-averaged gain of 3.7 dB was 225 measured for the signal wavelength of 2.22 µm, with a measured 226 average signal power of ~ 10 nW out of this system. Owing to the 227 pulsed nature of the pump beam, the amplified signal will also 228 occur as a train of short pulses [29]. By converting the time-229 averaged on-off gain using the duty cycle factor (F = 1/230 (10 MHz \cdot 125 ps)), the peak pulse gain is calculated to be ~30.4 231 232 dB, corresponding to a peak signal output of 0.3 mW. Significantly, 233 this gain is substantially larger than the 12 dB that was reported 234 for amplification at $3.4 \,\mu\text{m}$ in bulk silicon, which is attributed to



Fig. 3(a) Stimulated Raman gain for a 1.99 µm pulse pump with 12.4 mW of coupled power for various signal wavelengths. (b) Simulation results of on-off gain as a function of coupled pump power and waist length of SCF.

235 the higher pump intensity used here (~900 MW/cm² vs. 217 MW/cm^2) and longer nonlinear interaction length (6.5 cm vs. 2.5 236 cm) available via the fiber platform [17]. Moreover, this gain is 237 also significantly higher than that previously obtained in the 238 telecom band for the SCFs platform (~1.1 dB for CW pumping 239 [18]), and only slightly lower than the best results for 240 241 conventional on-chip waveguides for a pump power more than five times larger (6.8 dB measured gain, corresponding 45.8 dB 242 on-off gain for a 6.6 ps pump pulse with a peak-coupled power of 243 244 55 W [30]).

245 To further probe the Raman amplification performance of the SCFs within the current system, additional simulations were 246 conducted to investigate the role of the pump power and the fiber 247 length. Fig. 3(b) plots the predicted time-averaged on-off gain, 248 249 assuming that the remaining SCF and pulse parameters are the same as the experimental procedure herein. The maximum 250 measured gain obtained in Fig. 3(a) is also labelled on the 251 colormap for ease of comparison. Interestingly, due to the non-252 negligible TPA parameter at the 1.99 µm pump wavelength, these 253 results show that there is little benefit in increasing the pump 254 power much beyond the existing value due to the substantial FCA 255 associated with the 125 ps pump pulse. In fact, to directly 256 compare with the earlier short-pulsed telecom system of Ref. 257 258 [30]), increasing the peak power in this system to 55 W would result in only a slightly lower time-averaged gain of 6 dB. 259 However, increasing the SCF length to 20 cm while retaining the 260 261 same pump power, does result in a substantial increase in the time-averaged on-off gain up to ~20 dB (corresponding to a peak on-off gain of 49 dB), which would result in average signal powers as high as 1 μ W (peak power of 0.8 mW). Thus, this analysis highlights the importance of optimizing the system to minimize the role of nonlinear absorption processes to obtain high gains, and thus high signal output powers, as will be discussed below.

268 High Power and Tunable Systems

To explore the potential to generate higher power and longer 269 270 wavelength sources using this SCF Raman system, additional 271 simulations were conducted to investigate the conditions for efficient cascaded Raman scattering. As described in 272 273 Supplementary Information Section III-a, the experimental pump wavelength is close to the zero-dispersion wavelength (ZDW). 274 275 Therefore, the first step was to study the optimum core diameter of the SCF waist to shift the ZDW and ensure that Raman 276 scattering was the dominant nonlinear conversion process. 277 Although Raman processes do not require phase-matching, when 278 operating close to the ZDW, competition from FWM can result in 279 suppression of the Raman gain. Significantly, increasing the core 280 waist diameter slightly to 1.7 µm, which positions the pump 281 further from the ZDW, can result in three orders of magnitude 282 enhancement in conversion to the first and second order Stokes 283 waves (see Supplementary Information Section III-b). The second 284 step involved investigating the role of nonlinear absorption, and 285 286 specifically the build-up of free carriers associated with the long 287 pulse duration, which was shown to be a limiting factor to



Fig. 4(a) Simulated spectral evolution of cascaded Raman scattering with $2 \mu m$ pulsed laser pump, (b) Output peak powers of Stokes waves as a function of fiber length for Fig.4(a). (c) Simulated spectral evolution with 2.2 μm pulsed laser pump, (d) Output Stokes peak powers as a function of fiber length for Fig.4(c).

- 288 increasing the gain in Fig. 3(b). By reducing the pulse duration to
- $\,$ 40 ps, it is possible to reduce the FCA contribution to increase the

290 generated Stokes power for a similar level of average input power

291 (see Supplementary Information Section IV).

- 292 Using these new values of the waist diameter and pulse duration,
- Fig. 4(a) shows the spontaneous Stokes power generated as a 293 294 function of wavelength and fiber length assuming a coupled-in average pump power of 8 mW (peak-power of 20 W). Although 295 the average power is slightly lower than the maximum value used 296 in the experiments, the peak power is twice as high owing to the 297 298 shorter pulse duration. Fig. 4(b) shows the output spontaneous 299 Stokes powers as a function of fiber length. As can be seen in Fig. 4(b), at a propagation distance of 2.5 cm, the first-order Stokes 300 301 wave has intensified to have a similar power level with the pump, 302 which enables it to act as a pump for the second-order Stokes wave at 2.5 µm. The second-order Stokes wave then grows to 303 have a maximum power at the propagation distance of 4.5 cm and 304 acts as a pump for the third-order Stokes wave. Moreover, as the 305 306 fiber length increases further, Raman Stokes waves out to the 5th 307 order can be generated ($\lambda \sim 4.1 \text{ um}$) with a high peak power level (>0.3 mW). The maximum output peak powers for the Stokes 308 wave from 1st order to 5th order are 7.10 mW, 6.90 mW, 0.35 mW, 309 310 1.27 mW and 0.34 mW, corresponding to output average powers of 3.18 μ W , 2.76 μ W , 0.14 μ W , 0.51 μ W and 0.14 μ W , 311 312 respectively.
- 313 To increase the powers and extend the wavelength coverage further, it is also worth exploring the benefits of switching to a 314 slightly longer pump wavelength, such as could be offered by a 315 holmium-doped fiber system operating at 2.2 µm [31]. 316 Interestingly, 2.2 µm represents a favorable wavelength for 317 pumping nonlinear processes in silicon as it is at the edge of the 318 TPA region, so that β_{TPA} is negligible. This wavelength also is 319 shorter than where higher-order three-photon absorption 320 processes become significant. Thus, one can expect the nonlinear 321 absorption to play a minimal role for such pump sources and the 322 original pump pulse duration of 125 ps can be used to increase 323 the energy in the generated Stokes waves. Fig. 4(c) plots the 324 spontaneous Stokes power as a function of wavelength for a 2.2 325 µm pump, assuming the same fiber length and coupled input 326 peak power (20 W) as in Fig. 4(a), clearly showing the strongly 327 cascaded conversion. The output peak powers of the generated 328 Stokes waves as a function of fiber length are then plotted Fig. 329 4(d). Due to the significant reduction in TPA and FCA for this 330 wavelength, a substantial increase in the conversion efficiency is 331 observed, with the 2nd order Stokes wave (now at a wavelength of 332 \sim 2.8 µm) appearing after only 2 cm of propagation. Moreover, as 333 the 2nd order Stokes power increases, the process can continue to 334 rapidly generate higher order Stokes waves (up to the 5th order at 335 $\lambda \sim 5.1 \,\mu\text{m}$) for fiber lengths of only $\sim 5 \,\text{cm}$. Moreover, the Raman 336 wavelength conversions essentially all happen within the first 10 337 cm. so that there is little no benefit to extending the SCF length for 338 2.2 um system beyond this. Thanks to the negligible nonlinear 339 absorption and increased pump pulse energy for the 2.2 µm 340 system, the obtainable maximum peak powers of all Raman 341 342 Stokes waves now exceed 8.67 mW, corresponding to an average 343 power of 10.80 μ W, which is two orders of magnitude higher 344 than 2 µm system. Further, these powers could be increased by 345 an order of magnitude with increasing input pump powers [32]. 346 Thus, these results show the potential to extend the wavelength

- 347 coverage of the SCF-based Raman system across the 2-5 μ m
- 348 wavelength region, and beyond [33].

349 Discussion

350 In summary, this work reports the generation of spontaneous Raman scattering and stimulated amplification extending beyond 351 2 µm using a highly nonlinear SCF. The fiber has been tapered to 352 achieve a micrometer-sized core with low propagation losses of 353 0.2 dB/cm at the $1.99 \,\mu\text{m}$ pump wavelength, over an extended 354 length of 6 cm. The combination of relatively high Raman gains 355 and low nonlinear absorption around the 2.0-2.2 µm wavelength 356 region, where many high-power pump lasers exist, allows for 357 efficient wavelength conversion and amplification, with ~30.4 dB 358 of on-off gain using ~10 W of peak pump power. Moreover, 359 simulations of the nonlinear propagation suggest that the 360 performance can be optimized by altering the SCF core diameter 361 for the specific pump wavelength to ensure that Raman scattering 362 is the dominant nonlinear process, as well as minimizing the 363 impact of FCA. Specifically, shown here is the possibility to extend 364 the generated signals to wavelengths of $\sim 4 \mu m$ for a 2 μm pump 365 source by accessing cascaded Raman processes, and even further 366 to \sim 5 µm when using a source of wavelength longer than 2.2 µm. 367 The ability to tune the signals across the 2-5 µm wavelength 368 region confirms the high nonlinear SCF platform is a suitable 369 370 candidate for all-fiber integrated Raman amplifiers or lasers in 371 mid-infrared regime.

372 Methods

373 Two-step tapering

The tapering process in this work was realized by using a 374 375 standard glass processing system (Vytran GPX3300). As the final core diameter of tapered SCF $(1.6 \,\mu m)$ is much smaller than that 376 in the as-drawn fibers $(12 \,\mu m)$, a two-step tapering method was 377 used for the production process. In the first step, a tapering ratio 378 of 125/50 was used to produce SCFs with a core/cladding 379 diameter of 4.8 μ m /50 μ m. In the second step, the tapering 380 381 process starts within the uniform waist region produced by first 382 step and the tapering ratio is set to 80/25. The drawing speeds are 1 mm/s for both steps but the filament power of second step 383 384 (\sim 55 W) can be set 10 W lower than the first step (\sim 65 W). 385 Compared to the single-step method, two-step tapering can make use of a smaller tapering ratio and lower thermal energy in the 386 final process, which are important for producing continuous 387 388 lengths of high-quality single crystal silicon cores. After tapering, 389 the processed SCF is mounted inside a thick polymer capillary, which has an inner diameter of 250 µm and outer diameter of 390 665 µm by using wax (crystal bond 509). Then a standard 391 polishing process is applied to polish the fiber facet for efficient 392 light coupling. 393

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T.W.H. and J.B. developed and fabricated the as-drawn SCFs. M.H.
and T.S.S. tapered the SCF under the guidance of D.W. and A.C.P.
M.H. and S.S. carried out the experiments and simulation data,

403 respectively. H.R. and L.S. contributed to the scientific discussions

404 of the results. Q.F. and L.X. built the picosecond laser system. M.H. 405 and A.C.P wrote the manuscript; all authors contributed to

406 revising the manuscript.

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409 Supplemental document.

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