

1 **Stratification of asthma by lipidomic profiling of induced**  
2 **sputum supernatant**

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97 **Abstract**

98 **Background:** Asthma is a chronic respiratory disease with significant heterogeneity  
99 in its clinical presentation and pathobiology. There is need for improved understanding  
100 of respiratory lipid metabolism in asthma patients and its relation to observable clinical  
101 features.

102 **Objective:** To perform a comprehensive, prospective, cross-sectional analysis of the  
103 lipid composition of induced sputum supernatant obtained from asthma patients with  
104 a range of disease severities, as well as healthy controls.

105 **Methods:** Induced sputum supernatant was collected from 211 asthmatic adults and  
106 41 healthy individuals enrolled in the U-BIOPRED study. Sputum lipidomes were  
107 characterised by semi-quantitative shotgun mass spectrometry, and clustered using  
108 topological data analysis to identify lipid phenotypes.

109 **Results:** Shotgun lipidomics of induced sputum supernatant revealed a spectrum of  
110 nine molecular phenotypes, highlighting not just significant differences between the  
111 sputum lipidomes of asthmatics and healthy controls, but within the asthmatic  
112 population as well. Matching clinical, pathobiological, proteomic and transcriptomic  
113 data informed on the underlying disease processes. Sputum lipid phenotypes with  
114 higher levels of non-endogenous, cell-derived lipids were associated with significantly  
115 worse asthma severity, worse lung function, and elevated granulocyte counts.

116 **Conclusion:** We propose a novel mechanism of increased lipid loading in the  
117 epithelial lining fluid of asthmatics, resulting from the secretion of extracellular vesicles  
118 by granulocytic inflammatory cells, which could reduce the ability of pulmonary  
119 surfactant to lower surface tension in asthmatic small airways, as well as compromise  
120 its role as an immune regulator.

121 **Clinical Implication:** Immunomodulation of extracellular vesicle secretion in the lungs  
122 may provide a novel therapeutic target for severe asthma.

123

124 **Capsule Summary:** We used lipid phenotyping of induced sputum to stratify a  
125 heterogeneous asthma cohort, and propose a novel mechanism of pulmonary  
126 surfactant dysregulation by extracellular vesicles secreted in asthmatic airways.

127

128 **Keywords:** Asthma, induced sputum, epithelial lining fluid, pulmonary surfactant,  
129 lipidomics, molecular phenotyping, extracellular vesicles, granulocytic inflammation

130

131 **Abbreviations:**

132 ACQ                      Asthma Control Questionnaire

133 ATII                     Alveolar type II

134 Chol                    Cholesterol

135 CE                      Cholesterol ester

136 Cer                     Ceramide

137 DG                     Diglyceride

138 DPPC                  Dipalmitoyl-phosphatidylcholine

139 ELF                    Epithelial lining fluid

140 EV                     Extracellular vesicle

141 HC                     Healthy control

142	HexCer	Hexosyl-ceramide
143	IgE	Immunoglobulin E
144	IPA	Ingenuity Pathway Analysis
145	JT-test	Jonckheere-Terpstra test
146	LC-MS/MS	Liquid chromatography tandem mass spectrometry
147	LPC	Lyso-phosphatidylcholine
148	MDS	Multi-dimensional scaling
149	MMA	Mild-to-moderate asthmatic
150	PC	Phosphatidylcholine
151	PE	Phosphatidylethanolamine
152	PG	Phosphatidylglycerol
153	PI	Phosphatidylinositol
154	PS	Phosphatidylserine
155	SM	Sphingomyelin
156	QC	Quality control
157	SAC/ex	Current or ex-smoking severe asthmatic
158	SAn	Non-smoking severe asthmatic
159	TDA	Topological data analysis
160	TG	Triglyceride



161	U-BIOPRED	Unbiased Biomarkers for the Prediction of Respiratory Disease
162		Outcomes

163 **Introduction**

164 Asthma is a chronic respiratory disease characterised by recurrent attacks of  
165 breathlessness and wheezing, variable airflow limitation and loss of lung function, with  
166 airways inflammation and remodelling as the underlying pathobiological processes.  
167 The most significant challenge in asthma treatment is its heterogeneity in clinical  
168 presentation and underlying pathobiology. A variety of asthma phenotypes have been  
169 described to date based on demographic, clinical or pathophysiological  
170 characteristics. Amongst these, blood and sputum eosinophilia have been of greatest  
171 value for understanding the risk of exacerbations and response to treatments with  
172 inhaled corticosteroids and biologics.<sup>1</sup> However, there is still a large unmet need for  
173 understanding the underlying disease mechanisms and for finding correlations with  
174 specific pathobiological processes or treatment responses in order to provide a clearer  
175 delineation of the various disease phenotypes and endotypes.<sup>2-4</sup> Improved  
176 stratification along mechanistic lines will open up new directions for targeted drug  
177 development and more personalised disease management strategies.

178 The U-BIOPRED (Unbiased Biomarkers for the Prediction of Respiratory Disease  
179 Outcomes) study<sup>5</sup> has employed an ‘unbiased’ multi-omics systems biology approach  
180 to stratify patients with asthma, elucidate biochemical pathways, and define new sets  
181 of diagnostic molecular biomarkers.<sup>6-11</sup> The aims of the current study were: to provide  
182 a comprehensive analysis of the lipid composition of induced sputum supernatant  
183 across the entire disease spectrum, from health to severe asthma; to stratify the  
184 heterogeneous U-BIOPRED cohort according to its sputum lipid molecular  
185 phenotypes; and to infer mechanisms of lipid biology that are either affected by, or  
186 contribute to, the observed phenotypes and their clinical features.

187 Sputum supernatant comprises a mixture of pulmonary surfactant and soluble material  
188 secreted by immune cells and the respiratory epithelium within the lungs, with small  
189 quantities of saliva. In healthy adult volunteers the sputum lipidome is dominated by a  
190 comparatively restricted number of molecular species, in particular di-saturated  
191 phosphatidylcholines, and small amounts of other glycerophospholipids,  
192 sphingolipids, glycerolipids and sterols.<sup>12-14</sup> Thus, its lipid composition matches its  
193 primary source: pulmonary surfactant secreted by ATII cells in the alveolar  
194 epithelium.<sup>15-17</sup> The tight and rapid regulation of lipids, from the cellular to systemic  
195 level, combined with their large molecular diversity and involvement in a wide range  
196 of intra and inter-cellular signalling pathways, makes them a rich source of molecular  
197 biomarkers of disease and a valuable component of systems-based disease  
198 phenotyping studies.<sup>18,19</sup> However, despite significant interest in the role of lipids in  
199 respiratory diseases, studies of the sputum lipidome remain scarce.<sup>12-14,20-22</sup> We have  
200 previously reported on the lipid composition of sputum supernatant in a cohort of 41  
201 healthy adults<sup>14</sup> and now extend our analysis to an additional 211 U-BIOPRED study  
202 participants from across the asthma severity spectrum. Semi-quantitative shotgun  
203 lipidomic measurements were clustered using topological data analysis (TDA) and  
204 complemented with matched clinical, immunoassay and transcriptomic data to inform  
205 on the underlying disease processes. This multi-dimensional approach to patient  
206 characterisation stratified healthy and asthmatic participants into nine different groups  
207 based on their sputum lipid phenotypes, suggesting distinct biological mechanisms  
208 that could provide novel targets for asthma therapeutics.

209

## 210 **Methods**

### 211 **U-BIOPRED study design and tranSMART data repository**

212 All samples were obtained from the U-BIOPRED cohort recruited in 14 European  
213 clinical centres.<sup>5</sup> Processed biological samples from clinical sites were fully blinded  
214 and stored in a central biobank (CIGMR Biobank, University of Manchester). All  
215 clinical, laboratory and 'omics data collected for U-BIOPRED are hosted on the  
216 tranSMART knowledge management platform, and these were only released to study  
217 group members upon completion of all 'omics analyses.

### 218 **Lipid analysis and data processing**

219 Shotgun lipidomics of induced sputum samples (n=252) followed the methodology  
220 previously published by us,<sup>14</sup> and a comprehensive description is given in this article's  
221 Online Repository at [www.jacionline.org](http://www.jacionline.org). Briefly, lipids were extracted using a modified  
222 Bligh-Dyer extraction protocol<sup>23</sup> and characterised by flow injection analysis on a  
223 Dionex 3000 ultra-high performance liquid chromatography system (Thermo Scientific  
224 Dionex, Sunnyvale, CA, USA), coupled to a MaXis 3G quadrupole time-of-flight mass  
225 spectrometer, equipped with an electrospray ionisation source (Bruker Daltonics,  
226 Billerica, MA, USA). Measurements were performed in full scan mode for both positive  
227 and negative ionisation at  $m/z$  300-1000. Fragmentation analyses for lipid identification  
228 were performed on pooled QC samples, using the same instrumental setup, but in LC-  
229 MS/MS mode with a Waters Acquity C8 column (Waters, Milford, MA, USA). Data-  
230 independent product ion scans were acquired over the entire LC run via broadband  
231 collision induced dissociation. Precursor and fragment ions were matched by their  
232 chromatographic retention time and using well-established fragmentation rules for  
233 lipids<sup>24</sup> for identity confirmation.

234 After removal of ions with <60% detection rate, data were corrected for potential batch  
235 effects using the *R* script "SVA ComBat".<sup>25</sup> All ion counts were normalised using  
236 synthetic internal standards and the original sample volume to obtain semi-quantitative

237 results. Because sputum is subject to variable dilution of analytes during sampling and  
238 processing,<sup>26,27</sup> data were also normalised to the amount of total lipid.

### 239 **Data analysis and statistics**

240 In order to identify lipid phenotypes, topological data analysis (TDA)<sup>9,28,29</sup> was used to  
241 group participants with comparable sputum lipid profiles. TDA was performed on the  
242 AyasdiAI machine intelligence platform (Symphony AyasdiAI, Palo Alto, CA, USA),  
243 using a normalised correlation metric combined with MDS lenses. Groups of  
244 participants with similar sputum lipid profiles (i.e., sputum lipid phenotypes) were  
245 defined within the TDA structure using density mode clustering.<sup>30,31</sup> Statistical  
246 significance of trends across the TDA structure was assessed in SPSS Statistics 24  
247 (IBM, Armonk, NY, USA): Jonckheere-Terpstra tests for ordered alternatives were  
248 performed for sputum lipids, as well as a range of demographic, clinical and  
249 pathobiological measurements, selected blood protein concentrations, and sputum  
250 cell pellet gene expression (see below). Trends were considered significant if  $p < 0.05$ ,  
251 and highly significant if  $p < 0.001$  (after Bonferroni correction).

### 252 **Blood protein data**

253 Concentrations of 32 protein markers of inflammation and tissue function were  
254 downloaded from the U-BIOPRED database hosted on the tranSMART knowledge  
255 management platform. Protein measurements were performed on plasma samples,  
256 using Mesoscale Discovery (MSD) electrochemiluminescence (11), or on serum  
257 samples, using either of the following immunoassay platforms: Luminex (16), Impact  
258 (2), Singulex (1), Elecsys (1) or Immulite (1).

### 259 **Pathway analysis of sputum cell pellet transcriptomics data**

260 Transcriptomic data from RNA extracts of 97 matching sputum cell pellets were  
261 downloaded from the U-BIOPRED dataset<sup>32</sup>, and subjected to Ingenuity Pathway  
262 Analysis (QIAGEN Bioinformatics, Redwood City, CA, USA) to identify potential  
263 upstream regulators of differential gene expression in each of the lipid phenotypes.  
264 IPA core analysis was performed on the top 4000 differentially expressed genes, using  
265 the ordered TDA groups as described above. The results were subjected to a  
266 comparison analysis to identify trends of IPA-predicted upstream regulator  
267 activation/inhibition across the sputum lipidomics TDA structure.

268

## 269 **Results**

### 270 **Study cohort**

271 Of the 610 adult individuals recruited in U-BIOPRED, 252 successfully provided  
272 sputum samples that passed QC based on cell viability, resuspension volume and a  
273 squamous epithelial cell cut-off of  $\leq 40\%$  of total sputum inflammatory cells.<sup>5</sup> The study  
274 group thus comprised 137 females and 115 males of predominately white origin,  
275 clinically categorised as either non-smoking severe asthmatics (SAn; n=117), current  
276 or ex-smoking severe asthmatics (SAc/ex; n=51), mild-to-moderate asthmatics (MMA;  
277 n=43), or healthy controls (HC; n=41) (Table 1).

### 278 **Topological data analysis of sputum lipid phenotypes**

279 A total of 291 lipid molecular ions were quantified in the sputum samples (for  
280 methodology, QC and selection procedures see this article's Online Repository at  
281 [www.jacionline.org](http://www.jacionline.org)). Of these, 92 lipid species were identified using LC-MS/MS of  
282 pooled QC samples. The remaining ions were classified as 'unknown lipids', but  
283 together these comprised only 5% of the total lipid signal.

284 Initial TDA of all samples produced a network comprising a tight, highly interconnected  
285 “core” group (~60% of participants), connected to a more diffuse “flare” (~40% of  
286 participants) via a small number of edges (Fig. 1A). This indicates that sputum lipid  
287 profiles were similar amongst members of the core group, but were markedly different  
288 in the flare group. To gain deeper insight, the “core” (C) and “flare” (F) sets were split  
289 and re-analysed in individual TDAs. This analysis yielded a ring-like network for the  
290 core set, consisting of four connected groups, which were labelled C1 to C4 (Fig. 1B).  
291 The flare set comprised a V-shaped string of five distinct groups, which were labelled  
292 F1 to F5 (Fig. 1B). Edges connecting the flare set to the core set in the original TDA  
293 network were restricted to C3, C4, F1 and F2 in the central part of the structure. The  
294 nine identified groups constitute a continuous spectrum of partly overlapping sputum  
295 lipid phenotypes, starting with the ‘basal’ phenotype of group C1, via ‘intermediate’  
296 groups C2-C4, then F1-F2, to the ‘terminal’ groups F3-F5 (Fig. 1B).

297 To examine which components of the sputum lipidome were driving this structure, a  
298 trend analysis for ranked data was performed (JT-test). C1 and F5 were selected as  
299 the first and last group of the series, respectively, with the remaining intermediate  
300 groups ranked according to their distance from either end of the TDA structure (where  
301 groups were equidistant the order was assigned arbitrarily, e.g., C2 and C3). The  
302 results showed highly significant trends from C1 to F5 for 85% of the measured lipids  
303 (Fig. 2 and Table E1 in this article’s Online Repository at [www.jacionline.org](http://www.jacionline.org)). Relative  
304 amounts of DPPC and other palmitic acid-containing PC species progressively  
305 decreased from C1 onwards, being lowest in group F5. There was a reciprocal  
306 increase in the relative quantities of other lipids, including long-chain polyunsaturated  
307 fatty acid-containing PCs, mixed alkyl-acyl PCs, other glycerophospholipids such as  
308 PE and PS, sphingolipids, sterols (Chol and its esters), and triacylglycerols (TG).

309 Importantly, trends in relative abundance were not always matched by the actual lipid  
310 concentration data. For example, actual concentrations of the surfactant-specific lipid  
311 DPPC were comparable across all TDA groups (JT-test, p-value: 0.823, z-score:  
312 0.223). Moreover, a number of differences between individual phenotypes did not  
313 conform to the general trend described. For example, relative to the other core groups,  
314 C2 was enriched in PC[16:0/18:0] and PC[16:0/18:1], whereas F3 was highly enriched  
315 in cholesterol and CE species, but not as enriched in PS[36:1] as the other flare groups  
316 (Table E1 in this article's Online Repository at [www.jacionline.org](http://www.jacionline.org)).

### 317 **Trends in matched clinical and pathobiological data**

318 Trend tests were also performed for a variety of metadata available from the U-  
319 BIOPRED tranSMART repository, including demographic and clinical measurements,  
320 blood proteins and sputum cell pellet gene expression. There was a highly significant  
321 trend in asthma severity (JT-test, p-value <0.001, z-score: 6.336), as judged by the  
322 proportion of participants from each of the four clinically characterised U-BIOPRED  
323 categories (Table 1). The proportion of healthy controls was highest in basal group C1  
324 (42%) and decreased progressively through the intermediate groups to 12% in C4,  
325 being only 6-7% in F1, F2 and F4. The two terminal flare groups (F3 and F5) contained  
326 only mild-to-moderate and severe asthmatics, but no healthy participants. Among the  
327 clinical and pathobiological variables, highly significant negative trends were observed  
328 for lung function measurements (spirometry and reversibility), whereas subject age,  
329 ACQ scores, serum IgE levels, blood inflammatory cells and blood platelets all  
330 increased significantly from C1 to F5 (Table E2 in this article's Online Repository at  
331 [www.jacionline.org](http://www.jacionline.org)). The sputum differential cell counts showed reciprocal increases  
332 in eosinophils and neutrophils and significant decreases in macrophages and  
333 lymphocytes. Although median sputum eosinophil levels reached 3% of total



334 inflammatory cell counts in four of the TDA groups, a threshold viewed as clinically  
335 relevant,<sup>33</sup> they were significantly higher in group F3 (26.8%) than in any other group.  
336 Similarly, neutrophil levels gradually increased from 40% in C1 to 60% in groups F1-  
337 F3, and peaked in groups F4 and F5 (medians 83% and 91%, respectively).

338 Of the 32 blood protein biomarkers of inflammation and tissue function available for  
339 this analysis, more than half increased significantly from C1 to F4/F5 (Table E3 in this  
340 article's Online Repository at [www.jacionline.org](http://www.jacionline.org)). Some of the between-group  
341 differences appeared to be independent of the overall trend. For example, high levels  
342 of serum Eotaxin-3 and IL-13 were associated with the high-eosinophil group F3,  
343 whereas levels of CCL17 and Galectin-3 in that group did not differ from the basal  
344 group C1. Finally, a number of upstream transcriptional regulators of inflammation,  
345 predicted by pathway analysis of the sputum cell pellet transcriptome, also showed  
346 consistent, significant trends across the TDA structure (Table E4 in this article's Online  
347 Repository at [www.jacionline.org](http://www.jacionline.org)). These included increasing expressions (from C1 to  
348 F4/F5) of *RAB1B*, *PLA2R1*, *SYVN1*, *CD24*, *HSP90B1* and *DNMT3B*, and decreasing  
349 expressions of *miR-10*, *miR-122*, *WT1*, *SMARCA4*, *NANOG*, *KDM5B*, *ETS1* and  
350 *SMAD3*. However, for most of the regulators any differences in expression relative to  
351 C1 appeared to be specific to discrete, smaller parts of the structure.

352

## 353 **Discussion**

354 In this comprehensive cross-sectional assessment of sputum lipid biomarkers, we  
355 show the existence of a continuous spectrum of molecular phenotypes from health to  
356 severe neutrophilic and eosinophilic asthma (Figs. 1 and 3). TDA of the sputum  
357 lipidome showed a progressive reduction in relative quantities of DPPC and other di-

358 saturated PC species from the basal group C1 to the flare groups F1-F5 (Fig. 2). This  
359 trend was matched by a progressive increase in both absolute and relative  
360 abundances of alkyl-acyl PCs, longer-chain/polyunsaturated fatty acid-containing  
361 PCs, various PE and PS species, sphingolipids, and neutral lipids (Chol, CE, DAG and  
362 TG species), in particular in the eosinophilic and neutrophilic severely asthmatic flare  
363 groups. In contrast, absolute concentrations of surface-active di-saturated PC species,  
364 the main lipid component of pulmonary surfactant,<sup>15,34,35</sup> did not vary significantly  
365 between TDA groups. Lipid metabolism is highly dynamic, responding to  
366 developmental, nutritional and environmental challenges by up- or down-regulating  
367 lipid synthetic and catabolic pathways that maintain homeostasis. Due to its unique  
368 role in reducing surface tension at the air–liquid interface in the alveoli, and thereby  
369 preventing collapse of these structures at end expiration, the lipid composition of  
370 pulmonary surfactant is tightly regulated by the ATII cells.<sup>35</sup> The constant levels of  
371 surface-active di-saturated PC species observed in this study strongly suggest that  
372 surfactant production and secretion are not significantly altered in asthma. Rather, the  
373 progressive increase in lipids that are not secreted by ATII cells as part of the  
374 pulmonary surfactant points to the presence of another source for this material,  
375 particularly in the TDA flare groups. Given the nature of this sample type, the number  
376 of potential sources is limited. Saliva was ruled out as a major source since the  
377 numbers of squamous epithelial cells derived from the upper airways, an indicator of  
378 salivary contamination,<sup>36,37</sup> were on average only 10% and did not vary significantly  
379 between TDA groups. Moreover, concentrations of neutral lipids, which predominate  
380 in saliva,<sup>38</sup> were low. Plasma infiltration in the upper airways has been shown to disrupt  
381 the respiratory lipidome, in particular during asthma attacks and allergen challenges,  
382 leading to significantly increased levels in the ELF of typical plasma lipids, such as

383 linoleic acid-containing PCs.<sup>39,40</sup> This pattern did not match any of the phenotypes  
384 described here, and lipid species such as PC[34:1] and PC[34:2] either followed  
385 similar trends to DPPC, or did not show strong trends across the TDA network at all.  
386 This indicates that plasma infiltration is not a major factor in driving the sputum lipid  
387 phenotypes observed in this study.

388 In contrast, highly significant associations were seen between the lipidomic trends  
389 and inflammatory cell numbers. The flare groups all contained high numbers of  
390 granulocytic inflammatory cells, predominately eosinophils in F3, neutrophils in F4 and  
391 F5, and a combination of both in F1 and F2 (Fig. 3). We speculate, therefore, that the  
392 ELF lipidome in these phenotypes is enriched by material derived from airway  
393 granulocytes. The sampling protocol required removal (by centrifugation) of whole  
394 cells, and samples rich in dead or damaged cells were excluded from analysis as part  
395 of the QC. Thus, we postulate that the lipid material could be derived either from small  
396 membrane fragments or secreted extracellular vesicles (EVs). The latter were recently  
397 identified in both bronchoalveolar lavage fluid and induced sputum samples from mild  
398 allergic asthma patients.<sup>41-43</sup>

399 Knowledge of the lipid pathobiology of neutrophils and eosinophils is unfortunately  
400 limited. Neutrophils are rich in PC, PE, PS, PI, SM and cholesterol, with high levels of  
401 mixed alkyl-acyl.<sup>44-47</sup> In eosinophils, research has mainly focused on activation-  
402 induced formation of intracellular lipid droplets and their metabolism of arachidonic  
403 acid as the precursor for pro-inflammatory lipid mediators,<sup>48-50</sup> but there has been no  
404 systematic profiling of the eosinophil lipidome. Both neutrophils and eosinophils  
405 release EVs in response to inflammatory stimuli, either as endosome-derived  
406 exosomes or outer membrane-derived micro-vesicles.<sup>51,52</sup> Such EVs were shown to  
407 be rich in sphingolipids, PS and neutral lipids, and may also reflect the lipid

408 compositions of their progenitor cells.<sup>53-55</sup> The TDA flare groups were all significantly  
409 enriched in lipids that fit this inflammatory cell profile. For example, levels of  
410 arachidonic acid-containing lipids such as PC[16:0/20:4] and PC[18:0/20:4], as well as  
411 cholesterol and its esters, were highest in these groups (especially in the eosinophil-  
412 rich group F3), as were levels of SM species and other sphingolipids (especially in F4  
413 and F5). Levels of mixed alkyl-acyl species such as PC[O-16:0/18:1], common in  
414 neutrophils, were only enriched in the two neutrophil-rich groups.

415 Eotaxin production is elevated in the airways of asthmatic patients, and this chemokine  
416 can both recruit eosinophils<sup>56</sup> and stimulate the formation of lipid droplets in these  
417 cells, which are enriched in arachidonic acid and can act as sites of eicosanoid  
418 formation.<sup>57,58</sup> Such lipid droplets may selectively contribute lipid material for EVs, in  
419 particular exosomes, the formation and secretion of which is also induced by eotaxin  
420 and other inflammatory stimuli.<sup>51</sup> A similar mechanism was recently proposed for  
421 neutrophil-derived exosomes, which were shown to contribute to airway smooth  
422 muscle remodelling.<sup>52</sup> In the current study, circulating levels of a range of chemokine  
423 and cytokine markers of inflammation and tissue function were significantly higher in  
424 the serum of participants in the TDA flare groups. In addition, the upstream  
425 transcriptional regulator with the strongest positive trend across the TDA structure was  
426 *RAB1B*. Members of the Rab GTPases protein family are key regulators of intracellular  
427 membrane trafficking and are present on the membranes of lipid droplets.<sup>59,60</sup>  
428 Combined with the sputum lipidomics results, this suggests that pro-inflammatory  
429 mediators have additional, and potentially damaging, biological effects beyond cell  
430 recruitment. We also note a significant upregulation of the protein-coding gene  
431 *PLA2R1* in the granulocytic flare groups (F3-F5). This receptor is produced to  
432 counteract the biological effects of secreted phospholipase A2 enzymes,<sup>61</sup> and

433 *PLA2R1* was previously shown to be overexpressed in the bronchial epithelium of  
434 children with atopic asthma.<sup>62</sup> We did not observe a direct effect of potentially  
435 increased phospholipase A2 activity on the sputum lipidome of groups F3-F5 (e.g., an  
436 increase in lysophospholipid levels<sup>63,64</sup>). Nevertheless, phospholipase activity is likely  
437 an important driver in the pathobiology of eosinophilic and neutrophilic asthma, and  
438 additional insight is required into their localisation, substrate specificity and kinetics.

439 The lipid phenotypic differences observed within the TDA core group were more subtle  
440 than in the flare groups. Group C1 contained a mixed population of healthy and mildly  
441 asthmatic participants with a sputum lipid profile that matches that of healthy adults.<sup>12-</sup>  
442 <sup>14</sup> The remaining core groups (C2-C4) contained smaller numbers of healthy  
443 participants, and mostly comprised a mixture of mild-to-moderate and non-  
444 inflammatory severe asthmatics. Phenotype C4 was paucigranulocytic, with mean  
445 eosinophil and neutrophil counts of 2% and 43% respectively. Its lipid composition was  
446 intermediate between the healthy and severe granulocytic flare phenotypes,  
447 containing relatively less DPPC, elevated levels of the other lipid classes mentioned  
448 above, and a specific enrichment in PI species. The lipid phenotype of group C3 was  
449 intermediate between C1 and C4, with notably low levels of PIs. The main  
450 pathobiological features distinguishing these two groups of asthmatics were their  
451 atopy status and serum IgE levels, both of which were high in C4 and low in C3,  
452 suggesting the presence of a distinct sputum lipid phenotype for ‘non-atopic’  
453 asthmatics.<sup>65</sup> Finally, group C2 had a lipid phenotype similar to that of the ‘healthy’  
454 group, but with relatively less DPPC and concomitantly increased levels of C18 fatty  
455 acid-containing PC species. This group was characterised by a higher body mass  
456 index and waist circumference, suggesting that the differences were related to body  
457 weight status, rather than a particular type of asthma. Several studies have

458 demonstrated dysregulation of lung lipid metabolism in obese animal models,<sup>66-68</sup> and  
459 we have previously reported on a distinct ELF lipid phenotype in overweight, but  
460 otherwise healthy, human adults.<sup>14</sup>

461 Finally, we examined associations between the lipidome and upstream regulators of  
462 inflammation identified by IPA of sputum cell pellets. In addition to upregulated *RAB1B*  
463 and *PLA2R1* in the TDA flare groups, significant associations were observed between  
464 asthma severity and expression of *SYVN1*, *CD24*, *HSP90B1* and *DNMT3B*  
465 (upregulation), and *miR-10*, *miR-122*, *WT1*, *SMARCA4*, *NANOG*, *KDM5B*, *ETS1* and  
466 *SMAD3* (downregulation). Many of these have previously been indicated in asthma  
467 and other inflammatory diseases. The upregulation of *CD24*, known to be expressed  
468 on granulocytes and lymphocytes, matched the high-neutrophil TDA groups F2, F4  
469 and F5.<sup>69</sup> In contrast, *ETS1* is a negative regulator of Th17 cells, proposed to drive  
470 specific phenotypes of asthma.<sup>70-73</sup> Overexpression of *DNMT3B* promotes  
471 macrophage polarization into a 'classically-activated' M1 phenotype and enhances  
472 macrophage inflammation,<sup>74</sup> and this gene was upregulated in all of the asthmatic  
473 groups apart from C2 and C4. Finally, Wilms Tumour 1 (*WT1*) is known to regulate the  
474 expression of Matrix metalloproteinase-9 (MMP-9), an enzyme responsible for  
475 extracellular matrix degradation and airway remodelling in asthma.<sup>75</sup> Our results  
476 suggest that this mechanism may be activated in the most severe neutrophilic  
477 asthmatics (F4, F5), with *WT1* downregulation leading to more MMP-9 mediated  
478 tissue.<sup>76</sup>

479 In summary, we have shown that lipidomic profiling of induced sputum stratifies  
480 asthma into a spectrum of distinct molecular phenotypes, and that the abundance and  
481 proportion of lipids that are non-endogenous to the pulmonary surfactant increases  
482 significantly with asthma severity. Based on matching trends in the clinical,

483 immunoassay and transcriptomic data, we propose a hypothesis for a novel  
484 mechanism of surfactant dysregulation in severe asthma, wherein granulocytes  
485 recruited into the airways are activated to produce both intracellular lipid droplets and  
486 EVs (exosomes and/or microvesicles) (Fig. 4). Upon release, these lipid and protein-  
487 rich EVs could disrupt the tightly regulated structure of the pulmonary surfactant  
488 component of the ELF,<sup>34</sup> in a similar way as has been proposed for granulocyte-  
489 derived proteins in asthma.<sup>77</sup> In turn, this would reduce the surfactant's ability to lower  
490 surface tension in the small airways, leading to collapsibility, and potentially also  
491 compromise its immunological function.<sup>34,42</sup>

492 We wish to highlight that our findings require external validation, and that the variable  
493 efficacy of widely used asthma medication such as inhaled corticosteroids may play  
494 an important role in refining the respiratory lipid phenotype model presented here.  
495 Further *in vivo* or *in vitro* studies are needed to verify the proposed mechanism,  
496 including detailed analyses of the lipidomes of granulocytes and their EVs.  
497 Nonetheless, immunomodulation of EV secretion by granulocytes in the lungs could  
498 provide a new and attractive therapeutic target for severe asthma. If the proposed  
499 mechanism is substantiated, then efforts should be directed at identifying drugs that  
500 could modulate the observed sputum lipid phenotypes, thereby further exploring the  
501 relevance of respiratory lipid metabolic changes in asthma and the potential for  
502 additional therapeutics.

503

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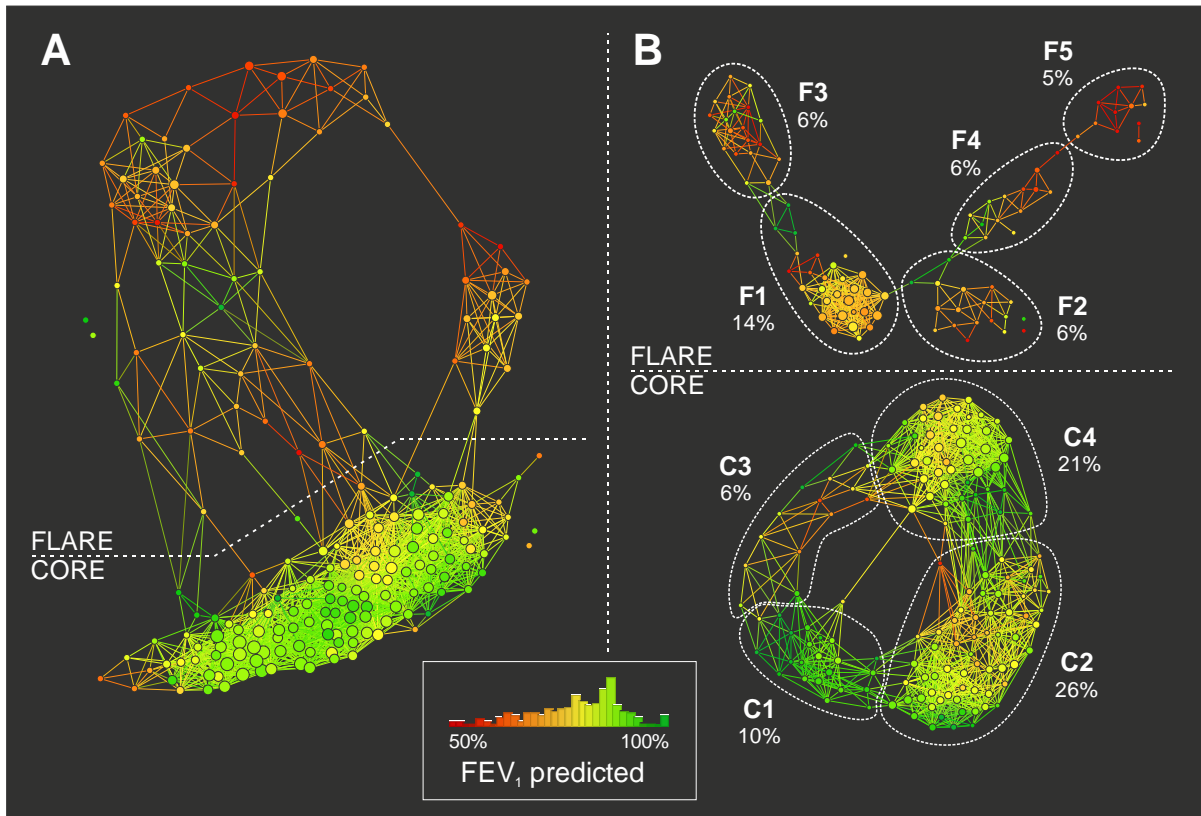
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		<b>Severe asthmatic Active or ex-smoker</b> n=51	<b>Severe asthmatic Non-smoker</b> n=111
Age	mean [range]	55 [29-74]	53 [21-74]
Sex (m/f)	ratio	19/32	43/74
Race (Caucasian/non-Caucasian)	ratio	49/2	109/8
Age at first diagnosis	mean [range]	35 [1-67]	24 [0-67]
BMI	mean [range]	30.1 [20.6-48.4]	29.1 [17.8-48.4]
Serum IgE (mL-1)	mean [range]	274 [5-2690]	398 [0-6900]
FEV1 (% predicted)	mean [range]	66.1 [24.3-113.0]	65.4 [18.4-113.0]
FVC (% predicted)	mean [range]	90.6 [54.6-129.1]	87.8 [40.2-129.1]
FEV1/FVC ratio	mean [range]	62.6 [35.2-90.0]	62.1 [31.0-90.0]
Exacerbations (past 12 months)	mean [range]	2.5 [0-10]	2.1 [0-10]
Smoking pack-years	mean [range]	24.2 [5-70]	0.4 [0-70]
Intubation (ever)	count [pct]	1 [2%]	13 [11%]
ICU admission (ever)	count [pct]	7 [14%]	28 [24%]
Positive atopy test	count [pct]	27 [53%]	73 [62%]
ACQ1-5 score	mean [range]	2.2 [0.2-4.4]	2.2 [0.5-4.4]
ACQ7 score	mean [range]	4.2 [0-7.0]	3.9 [0-7.0]
AQLQ score	mean [range]	4.4 [2.3-6.8]	4.6 [1.9-6.8]
Oral corticosteroid use (current)	count [pct]	25 [49%]	49 [42%]
Inhaled corticosteroid use (current)	count [pct]	50 [98%]	113 [97%]
Injectable corticosteroid use (current)	count [pct]	0 [0%]	8 [7%]
Long-acting $\beta$ -agonist use (current)	count [pct]	48 [94%]	112 [96%]
Short-acting $\beta$ -agonist use (current)	count [pct]	37 [73%]	91 [78%]
Corticosteroid dose (mg day <sup>-1</sup> )	mean [range]	13.7 [2.5-40.0]	12.4 [5.0-40.0]

738 Demographics of study participants according to the U-BIOPRED cohorts (see main  
739 text for definitions). Ex-smokers with a pack-year smoking history of  $\leq 5$  were  
740 considered to have a 'negative' smoking status, whereas ex-smokers with pack-year  
741  $\geq 5$  were only included in the study if also diagnosed with severe asthma.  
742 Abbreviations: BMI = body mass index; FEV<sub>1</sub> = forced expiratory volume in 1 second;

743 FVC = forced vital capacity; IgE = Immunoglobulin E; ICU = intensive care unit; ACQ  
744 = Asthma Control Questionnaire; AQLQ = Asthma Quality of Life Questionnaire; NA =  
745 not applicable/not assessed. Systemic dosage of corticosteroids for severe asthmatic  
746 participants is expressed in prednisolone-equivalent doses.



748

749 TDA structures of (A) the complete study cohort (n=252) and (B) the 'core' and 'flare'

750 subgroups side by side (n=164 and n=107 respectively), coloured by FEV<sub>1</sub> (forced

751 expiratory volume in 1 second) from 50% (red) to 100% (green; see histogram in inset).

752 TDA was performed on 291 sputum lipid ions, using a normalised correlation metric

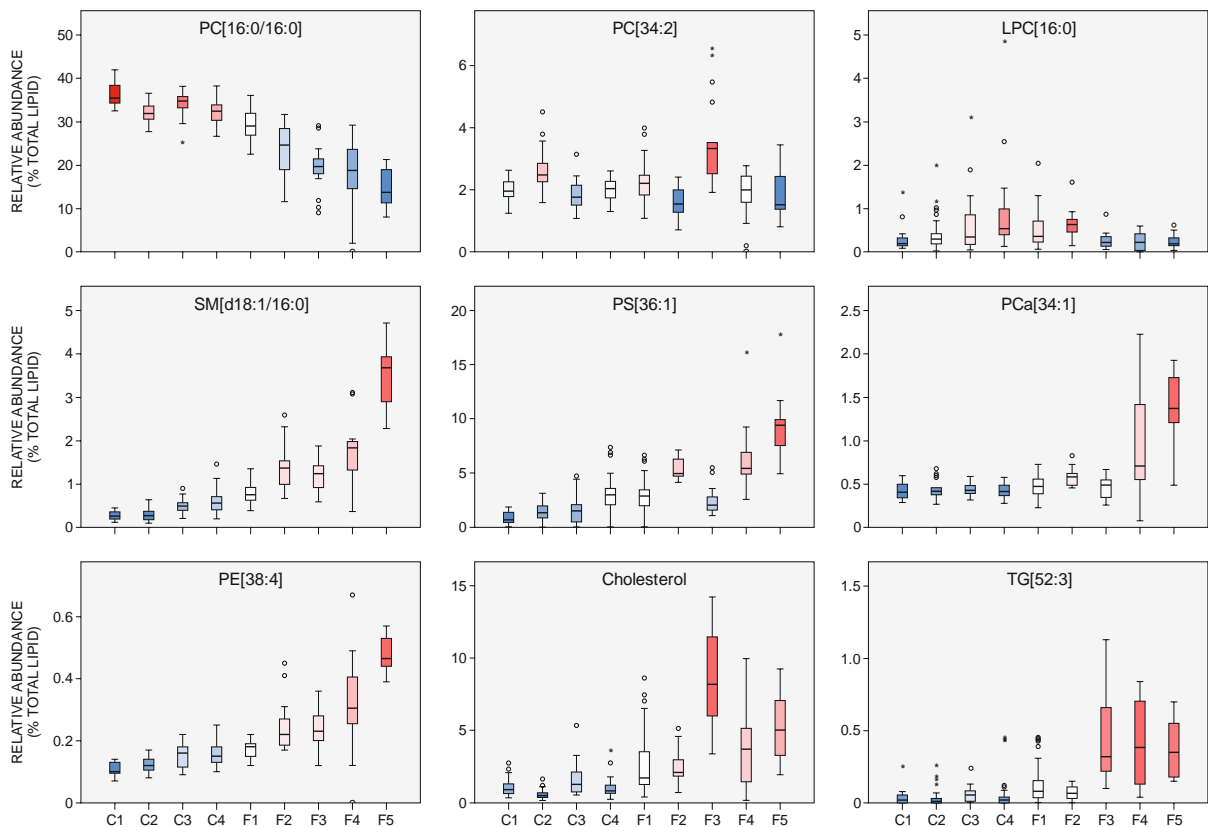
753 and two MDS lenses. The TDA groups, as delineated by density mode clustering,

754 along with the proportion of participants present in each group are shown in B. The

755 original figures were obtained with the Symphony AyasdiAI machine intelligence

756 platform ([www.ayasdi.com](http://www.ayasdi.com)).

757 **Figure 2**



758

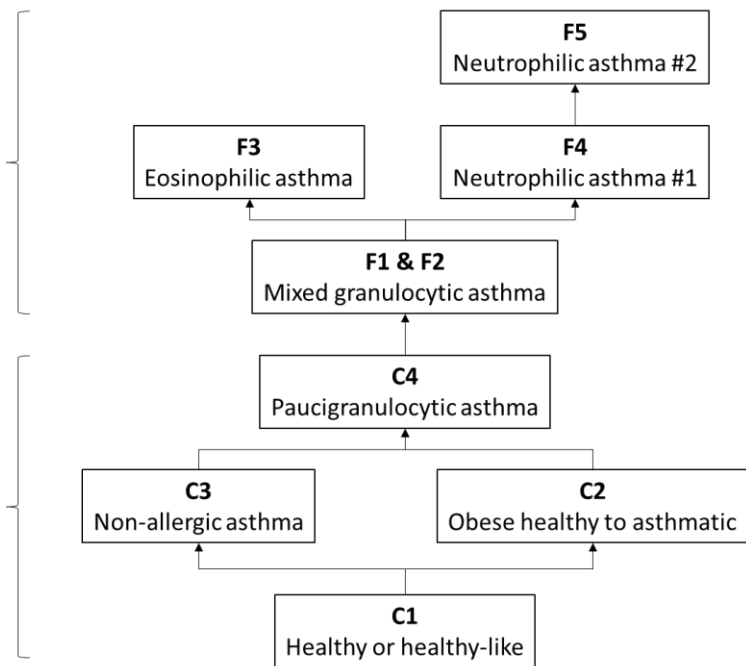
759 Box plots of representative lipid species demonstrating the trends across the TDA  
760 structure. Relative abundances are given as a percentage of the total lipid, and  
761 boxplots were coloured from blue (low) to red (high) to highlight trends. The original  
762 plots were created in SPSS Statistics 24 which defines outliers as 'near' (open circles:  
763 more than 1.5 times the interquartile range) and 'far' (stars: more than 3 times the  
764 interquartile range). The abbreviations of the lipid species, e.g. PC, are explained in  
765 the text.

**Inflammatory severe asthma**

TDA flare groups (40%)  
 Sputum eosinophils > 2%  
 Sputum neutrophils ≥ 60%  
 FEV1 (predicted) < 70%  
 ACQ7 score > 3  
 DPPC levels < 30%  
 Elevated concentrations of non-surfactant lipids

**Paucigranulocytic asthma & health**

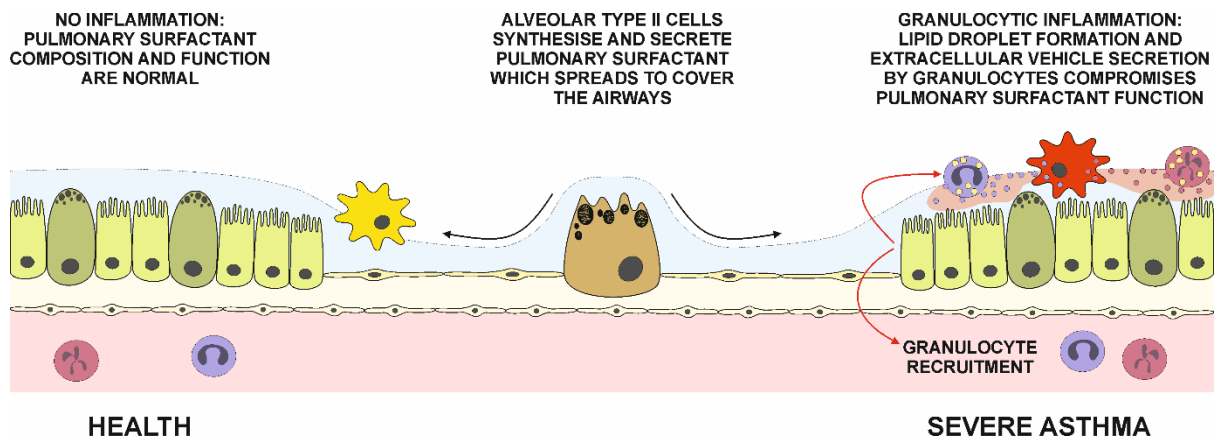
TDA core groups (60%)  
 Sputum eosinophils ≤ 2%  
 Sputum neutrophils < 50%  
 FEV1 (predicted) > 75%  
 ACQ7 score ≤ 3  
 DPPC levels > 30%  
 Non-surfactant lipids absent or at low concentrations



767

768 Summary of the sputum lipid phenotypes found in this study and their assignments  
 769 based on associations with the demographic, clinical and pathobiological data  
 770 (predominately sputum differential cell counts). As shown in Fig. 1 and discussed in  
 771 the main text, the nine phenotypes represent a spectrum of asthma severity from C1  
 772 (low) to F3 and F5 (high). Key characteristics of the main ‘core’ and ‘flare’ groups are  
 773 listed on the left and can be found in Tables E1-E4 in this article’s Online Repository  
 774 ([www.jacionline.org](http://www.jacionline.org)).

775 **Figure 4**



776

777 Conceptual representation of the potential role of granulocytic inflammation in  
778 producing EVs (exosomes and/or micro-vesicles) that may alter the lipid composition  
779 of the ELF (shown as light blue layer) in asthma. Pro-inflammatory chemokines and  
780 cytokines (red arrows) recruit eosinophils and neutrophils into the airways and  
781 stimulate intracellular lipid droplet formation and the secretion of EVs. The latter are  
782 rich in cellular lipids and proteins, which could impair the function of the pulmonary  
783 surfactant component of ELF, thereby reducing its ability to lower surface tension in  
784 the small airways and potentially compromising its role as an immunological barrier.



# 1 **Stratification of asthma by lipidomic profiling of induced** 2 **sputum supernatant**

3

## 4 **Online Repository**

5

## 6 **Methods**

### 7 **U-BIOPRED study design and tranSMART data repository**

8 All samples used in this study were obtained from the U-BIOPRED cohort recruited in 14  
9 clinical centres across Europe (Shaw *et al.* 2015). The study protocols were approved by local  
10 Ethics Review Boards and participants gave their written informed consent for in-depth  
11 characterisation using U-BIOPRED standardised protocols for clinical assessment and  
12 biological sample collection, as well as molecular analysis by a variety of 'omics platforms.  
13 Processed biological samples from all clinical sites were blinded and stored in a central  
14 biobank (CIGMR Biobank, University of Manchester) and after completion of recruitment  
15 analysed in the Mass Spectrometry Unit of the NIHR Southampton Biomedical Research  
16 Centre. The study IDs and clinical metadata of the participants providing the samples were  
17 un-blinded only after completing all the analyses, data processing and quality control.

18 All clinical, laboratory and 'omics data collected as part of the U-BIOPRED study is hosted on  
19 the tranSMART knowledge management platform and available to study group members. To  
20 gain insight in the pathobiology underlying the sputum supernatant lipid phenotypes described  
21 in this work, we acquired from this database a variety of demographic, clinical and laboratory  
22 data, as well as blood protein and sputum cell pellet gene expression data (tranSMART query  
23 date: 28 September 2017).

24

## 25 **Lipid analysis and data processing**

26 A detailed description of the experimental procedures and data analysis methods can be found  
27 in Brandsma *et al.* (2018). Briefly, lipids were extracted from 100  $\mu$ l of sputum using semi-  
28 automated Bligh-Dyer extraction protocol (Bligh and Dyer 1959) on a TECAN Freedom  
29 EVO100 robotic liquid handling platform (Tecan, Männedorf, Switzerland). Untargeted  
30 'shotgun' mass spectra were acquired by flow injection analysis on a Dionex 3000 ultra-high  
31 performance liquid chromatography system (Thermo Scientific Dionex, Sunnyvale, CA, USA),  
32 coupled to a MaXis 3G quadrupole time-of flight mass spectrometer equipped with an  
33 electrospray ionisation source (Bruker Daltonics, Billerica, MA, USA). Measurements were  
34 done in full scan mode over an  $m/z$  range of 350-1200 with separate injections for positive and  
35 negative ionisation. Blank injections were performed after every four samples (no significant  
36 carry-over was detected) and a pooled QC sample was run after every four samples to check  
37 for changes in instrument performance. Fragmentation analysis for lipid identification was  
38 performed on the pooled QC using the same instrumental setup, but in LC-MS/MS mode using  
39 a Waters Acquity C8 column (1.7 $\mu$ m, 2.1mm x 100mm; Waters, Milford, MA, USA) and a 50  
40 min gradient of methanol and water (both with 50 mM NH<sub>4</sub>HCO<sub>2</sub> and 0.2% formic acid). Data-  
41 independent product ion scans were acquired over the entire gradient using broadband  
42 collision induced dissociation. Precursor and fragment ions were matched retrospectively by  
43 their LC retention time and using the well-established fragmentation rules for lipids (Hsu &  
44 Turk 2003) to provide confirmation of identities. Lipid nomenclature followed the framework  
45 set out by Liebisch *et al.* (2013) where sufficient structural information was available. The  
46 following abbreviations for lipid classes were used in this study: phosphatidylcholine (PC);  
47 phosphatidylglycerol (PG); phosphatidylserine (PS); phosphatidylinositol (PI);  
48 phosphatidylethanolamine (PE); lyso-phosphatidylcholine (LPC); ceramide (Cer); hexosyl-  
49 ceramide (HexCer); sphingomyelin (SM); cholesterol (Chol); cholesterol ester (CE);  
50 diglyceride (DG); and triglyceride (TG).

51 All raw screening mass spectra were smoothed, lock mass calibrated and aligned using a  
52 hierarchical clustering-based algorithm (adapted from Yang 2016). After background  
53 subtraction and removal of ions with <60% detection rate, the data were corrected for potential  
54 batch effects due to instrument performance or differences in sample work-up date using the  
55 R script “SVA ComBat” (Johnson *et al.* 2007). All ion counts were normalised using internal  
56 standards and the original sample volume to obtain semi-quantitative results. However,  
57 biofluids and particularly induced sputum are subject to variable dilution of analytes during  
58 sampling and subsequent workup (Simpson *et al.* 2004; Kirwan *et al.* 2014), hence the data  
59 were also normalised to the amount of total lipid.

## 60 **Data analysis and statistics**

61 Topological data analysis (TDA) was used to group participants with comparable sputum lipid  
62 profiles in an unbiased manner (Hinks *et al.* 2016; Bigler *et al.* 2017; Siddiqui *et al.* 2018), and  
63 identify trends and lipid phenotypes within the study cohort. TDA was performed using the  
64 AyasdiAI machine intelligence platform (Symphony AyasdiAI, Palo Alto, CA, USA) on the  
65 sputum lipid data set, employing a normalised correlation metric combined with two  
66 multidimensional scaling (MDS) lenses. Groups of participants with similar sputum lipid  
67 profiles were defined within the TDA structure using density mode clustering (Wasserman  
68 2018, Schofield *et al.* 2020). By its very essence, TDA captures the continuous nature of data  
69 (Lum *et al.* 2013), and it therefore allows for a degree of overlap between connected groups  
70 of cases (*i.e.*, a study subject can be a member of more than one group at the same time). As  
71 this negatively affects the efficacy of statistical tests for between-group comparisons, the  
72 resolution settings of the TDA were adjusted to limit the degree of overlap between groups  
73 (less than 10% of participants were allowed to be shared), whilst at the same time maintaining  
74 the integrity of the TDA structure.

75 Note that the TDA group designations in this paper reflect the placement of each individual  
76 group within the semi-continuous TDA network. Groups C1 through to C4 constitute the ring-  
77 like structure of the “core group”, with C1 forming the outer edge of the overall TDA network

78 and C4 residing near its centre. F1 through to F5 constitute the V-shaped “flare”, a string of  
79 TDA groups connected to the “core” groups at F1 and F2, and with F3 and F4/F5 as its  
80 respective terminal branches. Thus, sputum lipid profiles are most different between patients  
81 in groups C1 and F3 or F4/F5, but each of the intermediate groups represents a step along  
82 the gradient between these extremes. Consequently, ranking the TDA groups along this  
83 gradient enables the use of ranks-based statistical tests, such as the Jonckheere-Terpstra test  
84 for ranked alternatives.

85 The statistical significance of trends across the TDA structure and differences between  
86 individual groups, were examined in SPSS Statistics 24 (IBM, Armonk, NY, USA). Analyses  
87 were performed for the sputum lipids, a variety of demographic, clinical and pathobiological  
88 measurements, as well as blood protein biomarker concentrations. Distribution analysis  
89 showed that, with few exceptions, the variables were not normally distributed within groups.  
90 Therefore, a non-parametric Jonckheere-Terpstra test for ordered alternatives was selected  
91 to identify trends in the ordinal and continuous variables, assuming an ascending hypothesis  
92 order. Trends were considered significant if  $p < 0.05$ , and highly significant if  $p < 0.001$  (after  
93 Bonferroni correction). The significance of individual between-group differences was assessed  
94 pairwise by either Mann-Whitney U test or Pearson's Chi-squared test (with Bonferroni  
95 correction). However, the small group sizes likely led to this analysis being underpowered and  
96 prone to returning false positives, and consequently the results are not reported here.

### 97 **Blood protein data**

98 Protein expression data from peripheral blood were acquired as a routine analysis for all U-  
99 BIOPRED participants. Levels of 11 proteins were measured in plasma using a Mesoscale  
100 Discovery (MSD) electrochemiluminescence assay, whereas a further 21 proteins were  
101 measured in serum using either of the following immunoassay platforms: 16 by Luminex, 2 by  
102 Impact, 1 by Singulex, 1 by Elecsys, and 1 by Immulite. For this study, curated protein levels  
103 were downloaded directly from the U-BIOPRED data repository.

## 104 **Pathway analysis of sputum cell pellet transcriptomics data**

105 Transcriptomic data from RNA extracts of 97 matching sputum cell pellets (Kuo *et al.* 2017)  
106 were acquired from the U-BIOPRED data repository. These were subjected to Ingenuity  
107 Pathway Analysis (IPA; QIAGEN Bioinformatics, Redwood City, CA, USA) in order to identify  
108 potential upstream regulators of differential gene expression in each of the lipid phenotypes.  
109 IPA core analysis was performed on the top 4000 differentially expressed genes, using the  
110 ordered TDA groups as described above. The results were subjected to a comparison analysis  
111 to identify trends of IPA-predicted upstream regulator activation/inhibition across the sputum  
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113

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157

Lipid	p-value	z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
			10%	26%	6%	21%	14%	6%	6%	6%	5%
SM[d18:1/24:0]	<0.001	16.639	0.04	0.05	0.09	0.10	0.14	0.25	0.24	0.29	0.54
SM[d18:1/24:1]	<0.001	16.341	0.09	0.08	0.17	0.16	0.28	0.44	0.51	0.66	1.15
PC[30:1]	<0.001	16.303	0.13	0.14	0.19	0.23	0.31	0.54	0.50	0.72	1.44
SM[d18:1/16:0]	<0.001	16.248	0.25	0.26	0.49	0.56	0.74	1.36	1.23	1.83	3.67
PE[40:4]	<0.001	14.941	0.05	0.05	0.08	0.07	0.09	0.12	0.16	0.21	0.36
SM[d18:1/18:0]	<0.001	14.519	0.00	0.00	0.06	0.10	0.11	0.28	0.18	0.22	0.33
PE[38:4]	<0.001	13.239	0.10	0.12	0.16	0.15	0.18	0.22	0.23	0.31	0.47
PC[36:0]	<0.001	13.211	0.18	0.17	0.28	0.41	0.40	0.83	0.33	0.83	1.49
CE[18:2]	<0.001	12.377	0.06	0.04	0.16	0.07	0.30	0.28	2.02	0.85	0.66
PCa[40:2]	<0.001	12.269	0.02	0.02	0.05	0.04	0.06	0.14	0.07	0.12	0.24
CE[18:1]	<0.001	12.218	0.04	0.02	0.10	0.04	0.16	0.28	0.68	0.35	0.36
Cholesterol	<0.001	11.943	0.91	0.47	1.30	0.83	1.70	2.09	8.17	3.70	5.01
PC[38:4]	<0.001	11.501	0.13	0.15	0.15	0.16	0.19	0.22	0.51	0.36	0.39
PCa[36:0]	<0.001	11.281	0.09	0.09	0.11	0.13	0.14	0.26	0.13	0.20	0.27
PS[36:1]	<0.001	11.144	0.69	1.34	1.52	3.00	2.88	4.95	2.04	5.42	9.40
PC[38:0]	<0.001	10.951	0.01	0.02	0.02	0.03	0.03	0.06	0.05	0.07	0.09
PCa[40:1]	<0.001	10.838	0.00	0.01	0.00	0.02	0.02	0.07	0.03	0.07	0.15
PE[32:1]	<0.001	10.825	0.00	0.00	0.00	0.01	0.05	0.11	0.33	0.12	0.14
PE[40:6]	<0.001	10.346	0.10	0.13	0.12	0.16	0.16	0.25	0.15	0.24	0.33
TG[52:3]	<0.001	10.339	0.02	0.01	0.06	0.02	0.08	0.07	0.32	0.39	0.35
TG[52:2]	<0.001	10.041	0.03	0.02	0.08	0.03	0.10	0.09	0.40	0.66	0.44
Cer[d18:0/16:0]	<0.001	9.951	0.01	0.00	0.02	0.02	0.02	0.11	0.03	0.03	0.07
Cer[d18:1/16:0]	<0.001	9.949	0.03	0.01	0.05	0.04	0.05	0.17	0.06	0.08	0.15
PCa[32:2]	<0.001	9.891	0.04	0.03	0.04	0.04	0.05	0.09	0.05	0.08	0.10
PE[40:5]	<0.001	9.828	0.09	0.11	0.09	0.13	0.14	0.14	0.19	0.22	0.35
PCa[36:1]	<0.001	9.726	0.10	0.10	0.12	0.11	0.14	0.18	0.16	0.23	0.55
HexCer[d18:1/16:0]	<0.001	9.622	0.02	0.02	0.03	0.03	0.03	0.06	0.04	0.06	0.07
TG[50:0]	<0.001	9.488	0.00	0.00	0.02	0.01	0.03	0.03	0.04	0.08	0.07
PCa[42:2]	<0.001	9.380	0.00	0.00	0.00	0.01	0.03	0.04	0.03	0.11	0.18
PC[38:5]	<0.001	9.379	0.13	0.18	0.15	0.16	0.19	0.21	0.38	0.29	0.29
TG[54:3]	<0.001	9.260	0.00	0.01	0.05	0.02	0.05	0.06	0.15	0.21	0.22
TG[54:5]	<0.001	9.152	0.02	0.01	0.03	0.01	0.04	0.04	0.09	0.12	0.15
TG[54:4]	<0.001	9.101	0.02	0.01	0.04	0.01	0.04	0.05	0.14	0.16	0.20
TG[52:4]	<0.001	9.060	0.00	0.00	0.01	0.01	0.04	0.02	0.14	0.16	0.13
TG[52:1]	<0.001	9.015	0.01	0.01	0.03	0.01	0.04	0.04	0.10	0.23	0.15
CE[16:1]	<0.001	8.949	0.00	0.00	0.00	0.02	0.05	0.08	0.08	0.06	0.09
SM[d18:1/14:0]	<0.001	8.552	0.00	0.01	0.00	0.02	0.02	0.00	0.07	0.08	0.11
TG[54:2]	<0.001	8.441	0.02	0.02	0.03	0.03	0.03	0.05	0.04	0.07	0.13
TG[50:1]	<0.001	8.310	0.01	0.01	0.04	0.01	0.05	0.06	0.13	0.35	0.20
PC[38:1]	<0.001	8.196	0.03	0.02	0.05	0.06	0.05	0.11	0.05	0.07	0.13
TG[50:2]	<0.001	7.917	0.00	0.00	0.03	0.00	0.04	0.02	0.16	0.22	0.17
PCa[32:1]	<0.001	7.891	0.16	0.17	0.17	0.19	0.20	0.28	0.17	0.29	0.41
PCa[34:1]	<0.001	7.244	0.40	0.41	0.42	0.41	0.47	0.58	0.48	0.70	1.37
PCa[36:2]	<0.001	7.020	0.07	0.08	0.04	0.06	0.10	0.11	0.14	0.17	0.72
PC[36:1]	<0.001	7.014	0.44	0.49	0.45	0.44	0.57	0.46	0.67	0.75	1.37
PE[36:2]	<0.001	6.994	0.12	0.13	0.09	0.12	0.15	0.22	0.17	0.22	0.60
PC[36:5]	<0.001	6.598	0.16	0.22	0.18	0.22	0.24	0.23	0.38	0.25	0.31
LPC[18:0]	<0.001	6.269	0.04	0.04	0.06	0.09	0.08	0.08	0.10	0.14	0.10
PC[38:2]	<0.001	5.492	0.02	0.04	0.04	0.11	0.08	0.16	0.00	0.04	0.19

Continued on next page



160 **Supplementary Table E1 (continued)**

Lipid	p-value	z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
			10%	26%	6%	21%	14%	6%	6%	6%	5%
Cer[d18:1/18:0]	<0.001	4.880	0.01	0.00	0.02	0.01	0.02	0.09	0.01	0.00	0.06
PC[40:6]	<0.001	4.875	0.04	0.03	0.06	0.00	0.05	0.00	0.14	0.09	0.12
PE[38:6]	<0.001	4.863	0.02	0.12	0.05	0.43	0.18	0.31	0.16	0.11	0.42
PC[36:2]	<0.001	4.814	0.74	0.99	0.72	0.75	1.04	0.71	1.72	1.44	1.63
PE[38:5]	<0.001	4.163	0.11	0.15	0.10	0.16	0.15	0.18	0.16	0.20	0.22
PC[44:11]	<0.001	3.505	0.02	0.04	0.03	0.06	0.04	0.06	0.03	0.05	0.06
PE[36:4]	0.001	3.327	0.04	0.05	0.04	0.06	0.05	0.06	0.04	0.06	0.08
Cer[d18:1/18:1]	0.001	3.243	0.01	0.01	0.03	0.02	0.03	0.06	0.01	0.03	0.03
LPC[18:2]	0.005	2.795	0.03	0.02	0.06	0.05	0.06	0.12	0.03	0.03	0.02
LPC[18:1]	0.008	2.656	0.06	0.06	0.08	0.10	0.09	0.18	0.05	0.12	0.07
PG[34:1]	0.014	2.451	2.51	3.02	2.45	2.89	2.83	3.44	2.82	3.77	4.85
PC[38:6]	0.037	2.085	0.10	0.12	0.07	0.09	0.12	0.07	0.33	0.16	0.12
PC[32:2]	0.057	1.907	0.12	0.16	0.13	0.13	0.15	0.25	0.14	0.21	0.41
PC[36:4]	0.157	1.415	0.54	0.81	0.57	0.67	0.67	0.53	1.21	0.81	0.78
LPC[18:3]	0.163	1.395	0.03	0.05	0.09	0.14	0.08	0.13	0.04	0.04	0.03
PCa[32:0]	0.173	1.364	0.89	0.81	0.86	0.84	0.73	0.70	0.60	0.79	1.11
PE[36:3]	0.174	1.361	0.11	0.13	0.11	0.13	0.11	0.12	0.11	0.14	0.19
PCa[34:0]	0.222	1.221	0.72	0.65	0.76	0.70	0.58	0.54	0.47	0.53	0.55
LPC[24:0]	0.328	0.979	0.01	0.01	0.02	0.01	0.02	0.04	0.00	0.01	0.01
LPC[16:0]	0.581	0.553	0.20	0.30	0.35	0.54	0.36	0.63	0.22	0.23	0.19
PE[32:0]	0.611	-0.509	0.06	0.05	0.07	0.06	0.05	0.00	0.00	0.07	0.10
PI[36:1]	0.214	-1.242	0.63	1.00	0.01	1.74	0.99	0.43	0.01	0.79	0.01
PE[36:5]	0.022	-2.299	0.07	0.07	0.08	0.07	0.07	0.08	0.05	0.06	0.06
PC[36:3]	0.017	-2.397	0.47	0.60	0.34	0.30	0.48	0.28	0.87	0.45	0.28
PC[34:2]	0.012	-2.524	1.96	2.48	1.76	2.04	2.21	1.54	3.33	2.01	1.52
LPC[16:1]	0.009	-2.631	0.02	0.01	0.04	0.01	0.01	0.05	0.00	0.00	0.00
PI[36:2]	0.001	-3.255	0.56	0.95	0.00	1.35	0.71	0.01	0.01	0.97	0.01
PC[34:4]	0.001	-3.404	0.24	0.35	0.28	0.33	0.30	0.31	0.20	0.26	0.29
PE[34:0]	0.001	-3.421	0.89	0.81	0.86	0.84	0.73	0.70	0.60	0.79	1.11
PG[36:1]	<0.001	-5.898	1.90	1.94	1.34	1.81	1.70	1.65	1.29	1.26	0.01
PC[34:1]	<0.001	-6.205	5.43	6.64	5.19	5.45	5.62	4.49	5.13	5.36	4.99
PG[36:2]	<0.001	-7.237	1.44	1.82	1.09	1.40	1.35	0.66	0.01	0.87	0.01
PC[34:3]	<0.001	-8.571	1.99	2.52	2.06	2.68	2.05	1.73	1.38	1.18	0.88
PC[34:0]	<0.001	-8.822	1.48	1.55	1.40	1.28	1.36	1.08	0.97	1.22	1.05
PC[32:1]	<0.001	-11.653	4.17	4.91	3.33	3.54	3.13	2.50	2.09	2.10	1.46
PC[30:0]	<0.001	-12.117	4.26	4.08	3.68	3.44	2.96	2.54	2.21	2.13	1.32
PC[32:0]	<0.001	-12.463	35.52	31.87	34.78	32.43	29.04	24.71	19.68	18.76	13.72

161

162 Heat map of lipidomics trends across the TDA structure, sorted by p-value and z-score of a  
163 Jonckheere-Terpstra test for ranked alternatives. Values in the table show the median relative  
164 abundance of a lipid for each TDA group. Note that unidentified ions were not included in this  
165 table, and see main text for lipid nomenclature and the abbreviations used.

166 **Supplementary Table E2**

Clinical and pathobiological data	p-value	z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
			10%	26%	6%	21%	14%	6%	6%	6%	5%
Asthma severity	<0.001	6.366	0.58	0.76	0.71	0.88	0.93	0.94	1.00	0.94	1.00
Inhaled corticosteroid dose (mg day <sup>-1</sup> )	<0.001	5.917	0.4	0.5	0.6	0.6	0.8	0.8	0.8	0.8	1.0
Sputum eosinophils (%)	<0.001	5.857	0.2%	0.7%	0.2%	2.0%	4.4%	1.4%	26.8%	3.5%	2.9%
ACQ7 score	<0.001	5.026	1.5	2.5	2.5	3.0	3.2	3.8	4.2	3.5	4.5
Sputum neutrophils (%)	<0.001	4.907	40.5%	45.7%	43.9%	43.2%	59.5%	60.8%	59.6%	83.0%	91.4%
Exacerbations (past 12 months)	<0.001	4.445	0.8	1.1	1.2	1.7	2.1	1.7	1.9	2.2	2.9
Blood leukocytes (μL <sup>-1</sup> )	<0.001	4.306	6000	6900	6050	6900	7800	9450	7900	9350	9100
Oral corticosteroid dose (mg day <sup>-1</sup> )	<0.001	4.247	2.6	2.1	1.9	4.1	5.4	6.7	6.0	5.9	3.4
Age	<0.001	3.994	45	48	48	49	55	54	58	57	56
Blood neutrophils (μL <sup>-1</sup> )	<0.001	3.849	3200	4000	3500	3900	4750	5700	4700	5300	5550
Exhaled nitric oxide (ppb)	0.003	2.970	23	20	27	27	32	24	54	23	19
Blood eosinophils (μL <sup>-1</sup> )	0.006	2.756	100	200	200	200	200	200	400	250	250
ICU admission (ever)	0.006	2.748	0.1	0.1	0.0	0.2	0.1	0.1	0.1	0.3	0.6
SNOT score	0.007	2.685	22	24	23	26	30	29	26	33	29
Serum IgE (mL <sup>-1</sup> )	0.160	1.405	86	89	68	109	93	91	120	91	129
Age at first diagnosis	0.194	1.299	24	17	24	22	21	16	43	35	14
HADS score	0.850	0.189	8.8	10.6	12.1	11.3	13.8	10.8	9.6	9.5	9.6
Smoking status (% current or ex-smokers)	0.752	-0.316	0%	11%	4%	14%	14%	7%	0%	6%	0%
Positive atopy test (%)	0.512	-0.656	37%	38%	26%	44%	43%	33%	53%	37%	36%
Sex (% male)	0.488	-0.734	0.4	0.3	0.7	0.6	0.5	0.6	0.6	0.4	0.2
BMI	0.111	-1.592	25	30	27	25	27	27	26	28	24
Sputum squamous epithelial cells (%)	0.170	-2.376	9.5%	5.3%	13.3%	6.7%	9.9%	6.9%	3.6%	7.8%	0.9%
FEV1/FVC ratio (predicted)	<0.001	-3.510	79.3	78.9	79.4	79.3	77.6	77.9	77.1	77.9	77.9
FVC (% predicted)	<0.001	-3.643	107	98	98	96	97	89	93	90	75
Sputum lymphocytes (%)	<0.001	-3.707	1.4%	1.1%	1.3%	1.2%	0.9%	0.8%	0.7%	0.6%	0.4%
sGAW (1/kPA x sec)	<0.001	-4.162	1.18	1.29	1.06	0.86	0.77	0.84	0.72	0.72	0.25
FEV1 (% predicted)	<0.001	-5.495	91	80	82	79	69	66	62	60	42
FEF25-75 (predicted)	<0.001	-5.699	3.71	3.56	3.64	3.76	3.34	3.35	3.29	3.36	3.29
Sputum macrophages (%)	<0.001	-8.764	57.6%	49.0%	54.8%	41.8%	25.7%	20.3%	12.1%	9.1%	4.8%

167

168 Heat map of trends in the demographic, clinical and pathobiological data across the sputum

169 lipidomics TDA structure, with p-values and z-scores of a Jonckheere-Terpstra test for ranked

170 alternatives. Values in the table show the median for each TDA group. See Shaw *et al.* (2015)

171 for a description of the variables and methods used in the U-BIOPRED study. Abbreviations:

172 BMI = Body Mass Index; IgE = Immunoglobulin E; ACQ = Asthma Control Questionnaire; ICU

173 = intensive care unit; SNOT = SinoNasal Outcomes Test; HADS = Hospital Anxiety and

174 Depression Scale; FEV1 = forced expiratory volume in 1 second; FVC = forced vital capacity;

175 FEF 25-75 = forced expiratory flow at 25-75% of the pulmonary volume; sGAW = specific

176 airway conductance. Asthma severity is expressed as the ratio of any asthmatic (MMA, SAC/ex

177 and SAn) versus healthy (HC) participants; systemic dosage of corticosteroids for severe

178 asthmatic participants is expressed in prednisolone-equivalent doses.

179 **Supplementary Table E3**

Protein biomarker	Sample	Assay	p-value	z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
					10%	26%	6%	21%	14%	6%	6%	6%	5%
IL-8	Plasma	MSD	<0.001	4.031	2.79	3.13	2.73	3.01	3.03	3.73	3.24	3.87	3.97
Serpin-E1	Serum	Luminex	<0.001	3.875	77469	85894	86691	90934	91605	101345	96137	111359	91426
MCP-4	Serum	Luminex	<0.001	3.740	98.3	127.2	111.9	128.2	131.7	145.1	146.0	129.9	159.6
Periostin	Serum	Elecsys	0.001	3.328	48.3	45.0	44.3	44.5	47.9	53.7	61.3	50.4	53.9
Eotaxin	Plasma	MSD	0.001	3.326	87.8	93.9	92.7	106.0	106.5	138.0	104.5	113.0	135.0
CCL18	Serum	Impact	0.001	3.185	134.9	161.3	164.1	191.4	192.1	186.0	221.3	179.8	276.6
Galectin-3	Serum	Luminex	0.003	3.011	5288	5486	5182	5298	5722	6256	5112	6232	6740
IL-6	Plasma	MSD	0.004	2.906	0.52	0.91	0.61	0.73	0.60	0.89	1.07	1.37	1.23
IL-17AA	Serum	Singulex	0.005	2.806	0.29	0.30	0.36	0.37	0.35	0.38	0.46	0.53	0.36
CCL17	Plasma	MSD	0.006	2.754	55.7	69.3	51.6	63.2	65.6	129.0	54.7	117.0	73.8
IL-13	Serum	Impact	0.006	2.726	0.49	0.49	0.54	0.62	0.60	0.47	1.32	0.53	0.48
MCP-1	Plasma	MSD	0.008	2.641	93.6	98.7	102.0	98.2	95.7	125.0	110.5	109.0	112.5
hs-CRP	Serum	Immulite	0.010	2.577	1.2	1.4	1.2	1.6	1.2	1.4	1.3	6.2	2.8
TNF-alpha	Plasma	MSD	0.016	2.400	1.56	1.86	1.68	1.89	1.71	1.83	1.96	1.85	2.12
C5a	Serum	Luminex	0.020	2.335	33.7	38.2	48.9	36.6	39.8	39.0	35.6	54.4	49.5
MMP-3	Serum	Luminex	0.025	2.245	13817	13569	13730	14477	17158	23242	20967	12788	15431
MIP-1b	Plasma	MSD	0.035	2.114	46.2	49.4	44.1	45.7	45.2	67.8	52.1	61.8	54.6
Eotaxin-3	Plasma	MSD	0.058	1.894	17.8	15.4	15.5	19.1	18.4	18.0	26.9	14.2	15.4
INF-gamma	Plasma	MSD	0.067	1.834	4.38	5.77	5.40	4.67	4.80	5.73	7.41	7.43	11.02
CD40L	Serum	Luminex	0.104	1.624	4717	4287	4750	4698	4910	5275	5546	4725	4253
IL-6R-alpha	Serum	Luminex	0.127	1.525	10398	10927	11221	11227	11696	12783	11108	10787	10884
IL-1-alpha	Serum	Luminex	0.231	1.199	34.1	33.6	32.5	35.9	34.1	36.6	37.5	31.9	32.3
Alpha-1-microglobulin	Serum	Luminex	0.257	1.134	6355	6551	7592	7056	6935	7610	6889	6971	7392
IL-18	Serum	Luminex	0.267	1.110	192.4	219.0	214.4	222.0	210.7	209.1	218.1	244.7	221.9
IP-10	Plasma	MSD	0.347	0.940	224	326	297	278	250	234	305	353	473
CD30	Serum	Luminex	0.377	0.883	31.7	38.0	38.1	38.5	35.7	37.1	36.3	40.7	40.5
LBP	Serum	Luminex	0.466	0.729	2056309	2166450	2160387	2019250	1999580	2623438	1722458	2770901	2508327
Lumican	Serum	Luminex	0.502	0.672	130969	133245	132268	133135	128644	141913	148609	125866	141143
RAGE	Serum	Luminex	0.809	0.242	1358	1298	1382	1275	1250	1265	1151	1372	1585
SHBG	Serum	Luminex	0.512	-0.655	4637586	2777497	1693569	2969730	2709649	1989644	2396730	2470851	3401339
CCL22	Plasma	MSD	0.502	-0.672	869	890	810	794	700	858	829	880	893
DPPIV	Serum	Luminex	0.216	-1.238	90742	101947	100085	101725	95053	92193	93394	86834	91931

180

181 Heat map of trends in blood protein biomarker levels across the lipidomics TDA structure,

182 sorted by p-value and z-score of a Jonckheere-Terpstra test for ranked alternatives. Values in

183 the table show the median concentration of a given protein for each TDA group.

## Supplementary Table E4

Gene	p-value	z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
			10%	26%	6%	21%	14%	6%	6%	6%	5%
RAB1B	0.011	2.535	0.00	0.00	0.00	0.00	0.00	2.00	1.71	2.98	2.35
PLA2R1	0.017	2.381	0.00	0.00	0.00	0.00	0.00	0.00	2.22	2.14	3.12
SYVN1	0.017	2.381	0.00	0.00	0.00	0.00	0.00	0.00	2.26	2.25	3.28
CD24	0.021	2.304	0.00	-0.65	0.00	0.00	0.00	1.89	0.00	2.84	2.31
HSP90B1	0.025	2.234	0.00	0.71	0.79	0.00	0.00	2.14	2.51	2.52	2.21
DNMT3B	0.037	2.085	0.00	-0.51	1.34	-0.49	1.74	2.33	1.90	1.46	2.14
DAP3	0.168	1.379	0.00	0.00	-2.00	-2.45	0.00	0.00	0.00	0.00	2.00
ERG	0.242	1.170	0.00	-1.09	0.94	-0.69	-0.54	0.00	0.00	-0.28	1.13
IL13	0.242	1.170	0.00	-1.63	-2.19	-3.62	-2.32	0.00	-0.92	0.00	2.76
CXCL8	0.259	1.128	0.00	1.42	0.00	0.00	0.00	0.00	0.00	2.36	1.91
MYC	0.259	1.128	0.00	-1.13	0.00	-1.28	0.00	0.00	0.67	0.00	0.00
NONO	0.357	0.922	0.00	0.00	0.00	0.38	1.63	1.41	0.00	0.00	0.82
EGLN	0.380	0.877	0.00	1.19	0.00	0.00	0.00	0.00	1.86	2.44	0.00
HDAC1	0.380	0.877	0.00	-1.00	0.00	-0.56	1.02	0.00	0.00	0.00	0.00
HEXIM1	0.380	0.877	0.00	0.00	0.00	0.20	0.00	2.00	0.00	0.45	0.00
TCF7L2	0.381	0.876	0.00	0.00	-1.13	-1.65	-2.08	-2.61	0.00	-1.80	0.00
EOMES	0.531	0.627	0.00	-2.45	0.00	0.00	0.28	-0.94	0.00	0.00	0.00
PRL	0.707	0.376	0.00	0.00	0.00	3.48	0.00	4.97	2.53	0.00	0.00
RXRA	0.707	0.376	0.00	-0.15	0.00	0.00	0.00	-1.67	0.00	-0.24	0.00
P38 MAPK	0.900	0.125	0.00	0.00	0.00	-3.11	-2.86	0.00	-2.18	0.00	0.00
MGEA5	0.915	0.106	0.00	1.81	2.79	2.59	2.27	2.34	0.00	2.89	0.00
GATA6	1.000	0.000	0.00	0.00	0.00	0.00	-0.92	-0.59	0.00	-0.08	1.14
HIF1A	1.000	0.000	0.00	-0.89	0.00	-2.00	-1.96	0.00	-2.05	0.00	0.00
PGR	1.000	0.000	0.00	0.00	0.80	1.77	1.11	0.00	0.00	0.00	0.41
USP7	0.908	-0.116	0.00	-2.00	0.00	0.00	-2.83	-2.24	0.00	-2.00	0.00
SMARCD3	0.707	-0.376	0.00	0.00	-1.34	-1.13	0.00	0.00	0.00	0.00	-1.98
TP73	0.531	-0.627	0.00	0.68	0.00	1.66	2.00	0.00	0.00	0.00	0.00
INHBA	0.489	-0.691	0.00	0.82	0.00	0.66	0.00	0.00	-1.82	0.73	0.00
RARA	0.489	-0.691	0.00	1.22	0.00	-0.78	0.08	-2.16	0.00	0.00	0.00
POU2F2	0.311	-1.014	0.00	0.00	0.90	0.32	0.00	0.00	0.00	0.00	0.00
GATA1	0.300	-1.037	0.00	0.00	0.00	0.00	-0.20	-2.21	0.00	0.00	-1.40
IFNA	0.273	-1.095	0.00	2.74	1.95	3.73	0.00	2.77	0.00	-1.89	0.00
IGFBP2	0.273	-1.095	0.00	0.00	0.00	0.03	0.18	-2.77	0.00	-0.98	-1.35
GLI1	0.249	-1.152	0.00	0.10	0.00	-0.04	0.00	-2.31	0.00	-0.92	0.00
IL1RN	0.249	-1.152	0.00	0.00	0.00	-2.52	0.00	-2.21	-2.63	-2.43	0.00
Cg	0.167	-1.383	0.00	-0.53	0.00	0.00	0.00	0.00	-3.24	-2.84	-1.87
ITGB1	0.167	-1.383	0.00	-0.15	1.93	0.00	0.00	0.00	-1.86	0.00	-2.11
JUN	0.107	-1.613	0.00	-1.59	0.00	0.00	0.00	0.00	-1.95	-2.14	-1.77
EPAS1	0.103	-1.629	0.00	0.00	0.00	0.00	0.00	-2.57	-1.93	0.00	-1.95
HGF	0.103	-1.629	0.00	2.00	0.52	2.00	0.00	0.00	0.00	0.00	0.00
CST5	0.080	-1.753	0.00	-0.39	0.00	0.00	-0.25	0.00	-1.94	-3.17	-1.46
miR-10	0.049	-1.972	0.00	1.05	0.24	0.00	0.06	-0.73	0.00	0.00	-1.86
miR-122	0.021	-2.304	0.00	0.00	-1.83	0.00	0.00	0.00	-2.48	-3.00	-3.69
WT1	0.021	-2.304	0.00	1.92	0.00	0.06	0.00	0.00	0.00	-0.38	-0.62
SMARCA4	0.016	-2.410	0.00	0.00	0.00	-1.20	0.00	-3.17	-1.76	-4.09	-2.95
NANOG	0.016	-2.411	0.00	-1.46	0.08	0.00	-1.29	-1.79	-3.21	-2.77	-2.87
KDM5B	0.011	-2.535	0.00	1.90	0.00	0.00	0.00	0.00	-2.39	-2.24	-3.09
ETS1	0.008	-2.668	0.00	0.49	0.00	0.00	0.00	0.00	-1.84	-2.07	-2.07
SMAD3	0.004	-2.848	0.00	0.00	0.00	0.00	-1.64	-2.19	-2.14	-2.37	-2.32

186 Upstream transcriptional regulators in matched sputum cell pellets from the U-BIOPRED  
187 cohort (n=97), as determined by Ingenuity Pathway Analysis for the sputum lipidomics TDA  
188 groups. All fold changes in gene expression are relative to the basal TDA group C1 ('healthy').  
189 The heat map is sorted by p-values and z-score of a Jonckheere-Terpstra test for ranked  
190 alternatives.