

1 **Stratification of asthma by lipidomic profiling of induced**  
2 **sputum supernatant**

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56

57 **Funding:** The U-BIOPRED consortium receives funding from the European Union and  
58 from the European Federation of Pharmaceutical Industries and Associations as an  
59 IMI JU funded project (no. 115010). Additional funding for the analytical equipment  
60 was obtained from a Wellcome Trust equipment grant (no. 093500/Z/10/Z).

61

62 **Disclosure of potential conflict of interest:** PJ Sterk was the recipient of the U-  
63 BIOPRED grant from the Innovative Medicines Initiative (IMI), which was jointly funded  
64 by the European Union (EU) and the European Federation of Pharmaceutical  
65 Industries and Associations (EFPIA). P Chanez has provided consultancy services,  
66 served on advisory boards and/or received lecture fees from Boehringer Ingelheim,

67 GSK, Centocor, ALK, AstraZeneca, Novartis, TEVA, Chiesi, Sanofi, Boston Scientific  
68 and SNCF. R. Djukanović has provided consultancy services for AstraZeneca, TEVA  
69 and Novartis and has given talks at symposia organised by TEVA and Novartis. He is  
70 a co-founder of the University of Southampton spinout company Synairgen, where he  
71 is a consultant and has shares.

72 The remaining authors declare that they have no relevant conflicts of interest.

73

74 **U-BIOPRED Ethics Board and Study Group:** The study was overseen and approved  
75 by the U-BIOPRED Ethics Board which was comprised of Pim de Boer (chair), Jan-  
76 Bas Prins, Martina Gahlemann, Luigi Visintin, Hazel Evans, Martine Puhl, Lina  
77 Buzermaniene, Val Hudson, Laura Bond, Guy Widdershoven and Ralf Sigmund  
78 (<http://www.europeanlung.org/en/projects-and-research/projects/u-biopred/home>). A  
79 comprehensive list of members of the U-BIOPRED Study Group has been provided.  
80 All members are acknowledged for their help and expertise, without which the study  
81 would not have been possible.

82

83 **Total word count: 3302**

84 **Abstract**

85 **Background:** Asthma is a chronic respiratory disease with significant heterogeneity  
86 in its clinical presentation and pathobiology. There is need for improved stratification  
87 of asthma patients and a clearer understanding of the biomolecular processes  
88 underlying asthma pathogenesis.

89 **Objective:** To perform a comprehensive, prospective, cross-sectional analysis of the  
90 lipid composition of induced sputum supernatant obtained from asthma patients with  
91 a range of disease severities, as well as healthy controls.

92 **Methods:** Induced sputum supernatant was collected from 211 asthmatic adults and  
93 41 healthy individuals enrolled in the U-BIOPRED study. Sputum lipidomes were  
94 characterised by semi-quantitative shotgun mass spectrometry, and clustered using  
95 Topological Data Analysis to identify lipid phenotypes. Matching clinical,  
96 pathobiological, proteomic and transcriptomic data informed on the underlying disease  
97 processes.

98 **Results:** Shotgun lipidomics of induced sputum supernatant revealed nine distinct  
99 molecular phenotypes. These phenotypes aligned broadly with the asthma severity  
100 spectrum, from healthy controls and mild-to-moderate, well-controlled asthmatics to  
101 those with severe eosinophilic or neutrophilic disease. The lipidomic signature  
102 characteristic of pulmonary surfactant did not differ significantly between phenotypes.  
103 However, the sputum lipid phenotypes showing an additional, cell-derived lipid signal  
104 were associated with significantly worse asthma severity and lung function, and  
105 elevated granulocyte counts.

106 **Conclusion:** We propose a novel mechanism of increased lipid loading in the  
107 epithelial lining fluid of severe asthmatics, resulting from the secretion of extracellular

108 vesicles by granulocytic inflammatory cells. Such a mechanism would not only reduce  
109 the ability of pulmonary surfactant to lower surface tension in asthmatic small airways,  
110 but also compromise its role as an immune regulator.

111

112 **Capsule Summary:** We used lipid phenotyping of induced sputum to stratify a  
113 heterogeneous asthma cohort, and propose a novel mechanism of pulmonary  
114 surfactant dysregulation by extracellular vesicles secreted in severely asthmatic  
115 airways.

116

117 **Key Messages:**

- 118 • The sputum lipidome comprises nine distinct molecular phenotypes along an  
119 asthma severity spectrum
- 120 • Sputum lipid phenotypes in severe asthma contain elevated levels of cell-derived,  
121 non-surfactant lipids
- 122 • Secretion of lipid-rich extracellular vesicles by granulocytic inflammatory cells is  
123 proposed as a novel mechanism of pulmonary surfactant dysregulation in severe  
124 asthma and a potential target for new therapeutics

125

126 **Key words:** Asthma, induced sputum, epithelial lining fluid, pulmonary surfactant,  
127 lipidomics, molecular phenotyping, extracellular vesicles, granulocytic inflammation

128 **Abbreviations:**

129	ACQ	Asthma Control Questionnaire
130	ATII	Alveolar type II
131	Chol	Cholesterol
132	CE	Cholesterol ester
133	Cer	Ceramide
134	DG	Diglyceride
135	DPPC	Dipalmitoyl-phosphatidylcholine
136	ELF	Epithelial lining fluid
137	EV	Extracellular vesicle
138	HC	Healthy control
139	HexCer	Hexosyl-ceramide
140	IgE	Immunoglobulin E
141	IPA	Ingenuity Pathway Analysis
142	JT-test	Jonckheere-Terpstra test
143	LC-MS/MS	Liquid chromatography tandem mass spectrometry
144	LPC	Lyso-phosphatidylcholine
145	MDS	Multi-dimensional scaling
146	MMA	Mild-to-moderate asthmatic
147	PC	Phosphatidylcholine

148	PE	Phosphatidylethanolamine
149	PG	Phosphatidylglycerol
150	PI	Phosphatidylinositol
151	PS	Phosphatidylserine
152	SM	Sphingomyelin
153	QC	Quality control
154	SAC/ex	Current or ex-smoking severe asthmatic
155	SAn	Non-smoking severe asthmatic
156	TDA	Topological data analysis
157	TG	Triglyceride
158	U-BIOPRED	Unbiased Biomarkers for the Prediction of Respiratory Disease
159		Outcomes



160 **Introduction**

161 Asthma is a chronic respiratory disease characterised by recurrent attacks of  
162 breathlessness and wheezing, variable airflow limitation and loss of lung function, with  
163 airways inflammation and remodelling as the underlying pathobiological processes.  
164 The most significant challenge in asthma treatment is its heterogeneity in clinical  
165 presentation and underlying pathobiology.<sup>1-2</sup> A variety of asthma phenotypes have  
166 been described to date based on demographic, clinical or pathophysiological  
167 characteristics. Amongst these, blood and sputum eosinophilia have been of greatest  
168 value for understanding the risk of exacerbations and response to treatments with  
169 inhaled corticosteroids and biologics.<sup>3</sup> However, there is still a large unmet need for  
170 understanding the underlying disease mechanisms and for finding correlations with  
171 specific pathobiological processes or treatment responses in order to provide a clearer  
172 delineation of the various disease phenotypes and endotypes.<sup>4-6</sup> Better stratification  
173 will open up new directions for targeted drug development and more personalised  
174 disease management strategies.<sup>2</sup>

175 The U-BIOPRED (Unbiased Biomarkers for the Prediction of Respiratory Disease  
176 Outcomes) study<sup>7,8</sup> has employed an 'unbiased' multi-omics systems biology  
177 approach to stratify patients, elucidate biochemical pathways, and define new sets of  
178 diagnostic molecular biomarkers.<sup>9-13</sup> The current study is in keeping with this broad  
179 objective and provides the first ever comprehensive analysis in asthma of the lipid  
180 composition of induced sputum supernatant, a validated means of sampling epithelial  
181 lining fluid. Its aim is to stratify the heterogeneous U-BIOPRED cohort of asthma  
182 patients and healthy controls according to their sputum lipid molecular phenotypes.

183 Sputum supernatant comprises pulmonary surfactant and soluble material secreted  
184 by immune cells and the respiratory epithelium within the lungs, mixed with small

185 quantities of saliva. In healthy adult volunteers the sputum lipidome is dominated by a  
186 comparatively restricted number of molecular species, in particular di-saturated  
187 phosphatidylcholines, and small amounts of other glycerophospholipids,  
188 sphingolipids, glycerolipids and sterols.<sup>14-16</sup> Thus, its lipid composition matches its  
189 primary source: pulmonary surfactant secreted by ATII cells in the alveolar  
190 epithelium.<sup>17-19</sup> The tight and rapid regulation of lipids, from the cellular to systemic  
191 level, combined with their large molecular diversity and involvement in a wide range  
192 of intra and inter-cellular signalling pathways, makes them a rich source of molecular  
193 biomarkers of disease and a valuable component of systems-based disease  
194 phenotyping studies.<sup>20,21</sup> However, despite significant interest in the role of airway  
195 lipids, studies of the sputum lipidome remain scarce.<sup>14-16,22-25</sup> We have previously  
196 reported the lipid composition of sputum supernatant in healthy adults,<sup>16</sup> and now  
197 extend our analysis to U-BIOPRED participants with asthma of varying severity. Semi-  
198 quantitative shotgun lipidomic measurements were clustered using Topological Data  
199 Analysis (TDA) and complemented with matching clinical, immunoassay and  
200 transcriptomic data to inform on the underlying disease processes. This multi-  
201 dimensional approach to patient characterisation stratified healthy and asthmatic  
202 participants into nine distinct sputum lipid phenotypes, highlighting biological  
203 mechanisms that may provide novel targets for asthma therapeutics.

204

## 205 **Methods**

### 206 **U-BIOPRED study design and tranSMART data repository**

207 All samples were obtained from the U-BIOPRED cohort, recruited in 14 European  
208 clinical centres.<sup>8</sup> Processed biological samples from clinical sites were blinded and

209 stored in a central biobank. All clinical, laboratory and 'omics data collected for U-  
210 BIOPRED were stored on the tranSMART knowledge management platform for use  
211 by its study members.

## 212 **Lipid analysis and data processing**

213 Shotgun lipidomics of induced sputum samples was conducted as previously  
214 described<sup>16</sup> (for details, see Supplementary Materials). Briefly, lipids were extracted  
215 using the Bligh-Dyer extraction protocol,<sup>26</sup> and characterised by flow injection analysis  
216 on a Dionex 3000 ultra-high performance liquid chromatography system (Thermo  
217 Scientific Dionex, Sunnyvale, CA, USA), coupled to a MaXis 3G quadrupole time-of-  
218 flight mass spectrometer equipped with an electrospray ionisation source (Bruker  
219 Daltonics, Billerica, MA, USA). Measurements were done in full scan mode for both  
220 positive and negative ionisation, with blank injections and pooled QC samples run for  
221 quality control. Fragmentation analysis for lipid identification was performed on the  
222 pooled QC using the same instrumental setup, but in LC-MS/MS mode using a Waters  
223 Acquity C8 column (Waters, Milford, MA, USA) with a gradient of methanol and water.  
224 Data-independent product ion scans were acquired over the entire gradient using  
225 broadband collision induced dissociation. Precursor and fragment ions were matched  
226 by chromatographic retention time and using the well-established fragmentation rules  
227 for lipids for identity confirmation.<sup>27</sup>

228 After removal of ions with <60% detection rate, data were corrected for analytical batch  
229 variation using the *R* script "SVA ComBat".<sup>28</sup> All ion counts were normalised using  
230 synthetic internal standards and the original sample volume to obtain semi-quantitative  
231 results. Because sputum is subject to variable dilution of analytes during sampling and  
232 processing, data were also normalised to the amount of total lipid.<sup>29,30</sup>

## 233 **Data analysis and statistics**

234 Participants with comparable sputum lipid profiles were grouped as previously  
235 described<sup>11,31,32</sup> in order to identify lipid phenotypes, using the AyasdiAI TDA  
236 Workbench (Symphony AyasdiAI, Palo Alto, CA, USA). Groups of participants with  
237 similar sputum lipid profiles were defined within the TDA structure using density mode  
238 clustering.<sup>33</sup> Statistical significance of trends and between-groups differences in  
239 lipidomic and supporting clinical and 'omics data were assessed in SPSS Statistics 24  
240 (IBM, Armonk, NY, USA), using either the non-parametric Jonckheere-Terpstra test  
241 for ordered alternatives or the Mann-Whitney U test. For the limited number of nominal  
242 variables, a Pearson's Chi-squared test was used. Trends or differences were  
243 considered significant if  $p < 0.05$ , and highly significant if  $p < 0.001$  (after Bonferroni  
244 correction).

## 245 **Blood protein data**

246 Plasma concentrations of 11 proteins were measured by electrochemiluminescence  
247 assay, and a further 21 proteins were measured in serum using a number of different  
248 immunoassays.

## 249 **Pathway analysis of sputum cell pellet transcriptomics data**

250 Transcriptomic data from RNA extracts of 97 matching sputum cell pellets<sup>34</sup> were  
251 subjected to Ingenuity Pathway Analysis (IPA; QIAGEN Bioinformatics, Redwood City,  
252 CA, USA) to identify upstream regulators of differential gene expression in each lipid  
253 phenotype. IPA core analysis was performed on the 4000 most differentially expressed  
254 genes, using the ordered TDA groups as described above. Results were subjected to  
255 comparison analysis to identify trends of IPA-predicted upstream regulator  
256 activation/inhibition across the sputum lipidomics TDA structure.

257

## 258 **Results**

### 259 **Study cohort**

260 A total of 252 participants provided sputum samples that passed QC based on cell  
261 viability, resuspension volume and a squamous epithelial cell cut-off of  $\leq 40\%$  of total  
262 sputum inflammatory cells. 137 females and 115 males of predominately white origin  
263 were categorised<sup>8</sup> as either non-smoking severe asthmatics (SAn; n=117), current or  
264 ex-smoking severe asthmatics (SAc/ex; n=51), mild-to-moderate asthmatics (MMA;  
265 n=43), or healthy controls (HC; n=41) (Table 1).

### 266 **Topological data analysis of sputum lipid phenotypes**

267 A total of 291 lipid molecular ions were quantified in the sputum samples<sup>16</sup> (see  
268 Supplementary Materials for methodology, QC and selection procedures). Of these,  
269 92 lipid species could be identified using LC-MS/MS analysis of pooled QC samples,  
270 comprising on average 95% of the total lipid signal.

271 Initial TDA of all samples produced a network comprising a tight, highly interconnected  
272 “core” group (~60% of participants), connected to a more diffuse “flare” (~40% of  
273 participants) via a small number of edges (Fig. 1A). This indicated that sputum lipid  
274 profiles were similar amongst members of the core group but were markedly different  
275 in the flare group. To gain deeper insight, the “core” and “flare” sets were re-analysed  
276 individually. This produced a ring-like structure for the core set, consisting of four  
277 connected groups (labelled C1 to C4; Fig. 1B), whereas the flare set comprised a V-  
278 shaped string of five distinct groups (labelled F1 to F5; Fig. 1B). Edges connecting the  
279 flare set to the core set were restricted to C3, C4, F1 and F2 in the central part of the  
280 structure. The nine identified groups constituted a continuous spectrum of partly

281 overlapping sputum lipid phenotypes, starting with the 'basal' phenotype of group C1,  
282 via 'intermediate' groups C2-C4, then F1-F2, to the 'terminal' groups F3-F5 (Fig. 1B).

283 To examine which components of the sputum lipidome were driving this structure, a  
284 trend analysis for ordered alternatives was performed (J-T test). C1 and F5 were  
285 selected as respectively the first and last group of the series, with the remaining  
286 intermediate groups ranked according to their distance from either end of the TDA  
287 structure (where groups were equidistant the order was assigned arbitrarily, e.g., C2  
288 and C3). This analysis yielded highly significant trends from C1 to F5 for most of the  
289 measured lipids (Fig. 2 and Supplementary Table S1). Relative amounts of DPPC and  
290 other palmitic acid-containing PC species progressively decreased from C1 onwards,  
291 being lowest in group F5. There was a reciprocal increase in the relative quantities of  
292 other lipids, including long-chain polyunsaturated fatty acid-containing PCs, mixed  
293 alkyl-acyl PCs, other glycerophospholipids such as PE and PS, sphingolipids, sterols  
294 and triacylglycerols.

295 Trends in relative abundance were not always matched by the actual lipid  
296 concentration data. Importantly, levels of the surfactant-specific lipid DPPC and other  
297 di-saturated PC-species were comparable across all TDA groups (JT-test for DPCC:  
298  $p=0.823$ ,  $z$ -score: 0.223). Moreover, some differences between phenotypes did not  
299 conform to the general trend described. For example, relative to the other core groups,  
300 C2 was specifically enriched in PC[16:0/18:0] and PC[16:0/18:1], whereas F3 was  
301 highly enriched in cholesterol and CE species, but not as enriched in PS[36:1] as the  
302 other flare groups (Supplementary Table S1).

### 303 **Trends in clinical and pathobiological metadata**

304 Trend analyses were also performed for a range of supporting data, including  
305 demographic and clinical measurements, serum protein levels and sputum cell pellet  
306 gene expression. There was a highly significant trend in asthma severity (JT-test,  
307  $p < 0.001$ , z-score: 6.336), as judged by the proportion of participants from each of the  
308 four clinically characterised U-BIOPRED categories. The proportion of healthy controls  
309 was highest in basal group C1 (42%) and decreased progressively through the  
310 intermediate groups to 12% in C4, to only 6-7% in F1, F2 and F4. The two terminal  
311 flare groups F3 and F5 contained only mild-to-moderate or severe asthmatics, but no  
312 healthy participants. Among the clinical variables overlaid as metadata onto the TDA  
313 structure (Supplementary Table S2), highly significant negative associations were  
314 observed for lung function measurements (spirometry and reversibility), whereas  
315 subject age, ACQ scores, serum IgE levels, blood inflammatory cells and blood  
316 platelets all increased significantly from C1 to F5. The sputum differential cell counts  
317 showed reciprocal increases in eosinophil and neutrophil counts and significant  
318 decreases in macrophage and lymphocyte counts. Although median sputum  
319 eosinophil counts reached 3% of total inflammatory cells in four of the TDA groups, a  
320 threshold viewed as clinically relevant,<sup>35</sup> they were significantly higher in F3 (26.8%)  
321 than in any other group. Neutrophil levels gradually increased from 40% in C1 to 60%  
322 in groups F1-F3 and peaked in F4 and F5 (medians 83% and 91%, respectively).

323 Of the 32 serum protein biomarkers of inflammation available for this analysis, more  
324 than half increased significantly from C1 to F4/F5 (Supplementary Table S3). Some  
325 between-group differences were independent of the overall trend: e.g., serum Eotaxin-  
326 3 and IL-13 were associated with the high-eosinophil group F3, whereas CCL17 and  
327 Galectin-3 in that group did not differ from the basal group C1. Finally, a number of  
328 upstream transcriptional regulators predicted by pathway analysis of the sputum cell

329 pellet transcriptome also showed consistent, significant trends across the TDA  
330 structure (Supplementary Table S4). These included increasing expressions (from C1  
331 to F4/F5) of RAB1B, PLA2R1, SYVN1, CD24, HSP90B1 and DNMT3B, and  
332 decreasing expressions of miR-10, miR-122, WT1, SMARCA4, NANOG, KDM5B,  
333 ETS1 and SMAD3. However, most of the upstream transcriptional regulators  
334 appeared specific to discrete, smaller parts of the structure.

335

## 336 **Discussion**

337 Comprehensive, cross-sectional assessment of sputum lipid biomarkers identified a  
338 continuous spectrum of molecular phenotypes from health and mild, well-controlled  
339 asthma to severe neutrophilic and eosinophilic asthma (Figs. 1 and 3). Our key finding  
340 is a progressive increase, from basal group C1 to flare groups F4 and F5, of non-  
341 surfactant lipids, including alkyl-acyl PCs, longer-chain/polyunsaturated fatty acid-  
342 containing PCs, various PE and PS species, sphingolipids, and neutral lipids (Chol,  
343 CE, DG and TG species) (Fig. 2). This increase was associated with significantly  
344 worse asthma severity and lung function, and sputum neutrophilia or eosinophilia in  
345 the flare groups. Given that absolute concentrations of di-saturated PC species, the  
346 main surface-active component of pulmonary surfactant, did not vary significantly  
347 between TDA groups, we conclude that surfactant production itself is not significantly  
348 altered in asthma.

349 Lipid metabolism is highly dynamic, responding to developmental, nutritional and  
350 environmental challenges by up- or down-regulating lipid synthetic and catabolic  
351 pathways that maintain homeostasis. Most lipids identified in this study are not  
352 secreted by ATII cells as part of pulmonary surfactant,<sup>36</sup> and are likely derived from



353 immune and epithelial cells. Sputum dilution by saliva was limited, with low levels of  
354 squamous epithelial cells derived from the upper airways<sup>37,38</sup> (average 10%), and low  
355 concentrations of neutral lipids, which predominate in saliva.<sup>39</sup> In contrast, highly  
356 significant associations were seen between the lipidomic trends and inflammatory cell  
357 numbers (Supplementary Table S2). The flare groups all contained high numbers of  
358 granulocytic inflammatory cells, predominately eosinophils in F3, neutrophils in F4 and  
359 F5, and a combination of both in F1 and F2 (Fig. 3). Whilst this suggests that the  
360 sputum lipidome in the flare groups was enriched by material from airway  
361 granulocytes, the sampling protocol required removal (by centrifugation) of whole  
362 cells, and samples rich in dead or damaged cells were excluded from analysis as part  
363 of the QC. Thus, the non-surfactant lipid material in these granulocytic phenotypes  
364 was most likely derived from either small membrane fragments or secreted  
365 extracellular vesicles (EVs).

366 Knowledge of the lipid pathobiology of neutrophils and eosinophils is limited.  
367 Neutrophils are rich in PC, PE, PS, and PI, with high levels of mixed alkyl-acyl bonds,  
368 as well as SM and Chol.<sup>40-42</sup> In eosinophils, there has been no systematic profiling of  
369 the lipidome, with research mainly focusing on activation-induced formation of  
370 intracellular lipid droplets and their metabolism of arachidonic acid as the precursor  
371 for pro-inflammatory lipid mediators.<sup>43-45</sup> Both neutrophils and eosinophils release Evs  
372 in response to inflammatory stimuli, either as endosome-derived exosomes or outer  
373 membrane-derived micro-vesicles.<sup>46,47</sup> Such Evs are rich in sphingolipids, PS and  
374 neutral lipids and may further reflect the lipid compositions of their progenitor cells.<sup>48-</sup>  
375 <sup>50</sup> The TDA flare groups were all significantly enriched in lipids that fit this inflammatory  
376 cell profile (Supplementary Table S1). For example, levels of arachidonic acid-  
377 containing lipids such as PC[16:0/20:4] and PC[18:0/20:4], as well as Chol and CE,

378 were highest in these groups (especially in the eosinophil-rich group F3), as were  
379 levels of SM species and other sphingolipids (especially in F4 and F5). Levels of mixed  
380 alkyl-acyl species such as PC[O-16:0/18:1], common in neutrophils, were only  
381 enriched in the two neutrophil-rich groups.

382 The chemokine eotaxin, which is overproduced in asthmatic airways,<sup>51</sup> recruits both  
383 eosinophils and stimulates the formation of lipid droplets in these cells. Such lipid  
384 droplets not only act as sites of lipid mediator synthesis,<sup>52,53</sup> but may selectively  
385 contribute lipid material for exosome formation, mediated by eotaxin and other  
386 inflammatory stimuli.<sup>46</sup> A similar mechanism was recently proposed for neutrophil-  
387 derived exosomes, which were shown to contribute to airway smooth muscle  
388 remodelling.<sup>47</sup> In the current study, circulating levels of several chemokine and  
389 cytokine markers of inflammation were significantly higher in the serum of participants  
390 in the TDA flare groups (Supplementary Table S3). The upstream transcriptional  
391 regulator with the strongest positive trend across the TDA structure was RAB1B  
392 (Supplementary Table S4). Members of the Rab GTPases protein family are key  
393 regulators of intracellular membrane trafficking,<sup>54</sup> and are present on the membranes  
394 of lipid droplets.<sup>55</sup> Combined with the sputum lipidomics results, this suggests that pro-  
395 inflammatory mediators have additional, and potentially damaging biological effects  
396 beyond cell recruitment. We also note a significant upregulation of the protein-coding  
397 gene *PLA2R1* in the granulocytic flare groups (F3-F5). This receptor is produced to  
398 counteract the biological effects of secreted phospholipase A2 enzymes,<sup>56</sup> and was  
399 shown to be overexpressed in the bronchial epithelium of children with atopic  
400 asthma.<sup>57</sup> We did not observe a direct effect of potentially increased phospholipase  
401 A2 activity on the sputum lipidome of groups F3-F5 (e.g., a measurable increase in  
402 lysophospholipid levels)<sup>58,59</sup>. Nevertheless, phospholipase activity is likely an

403 important driver in the pathobiology of eosinophilic and neutrophilic asthma, and  
404 additional insight is required into their localisation, substrate specificity and kinetics.

405 The lipid phenotype differences observed in the TDA core groups were more subtle  
406 than those in the flare groups (Figs. 1 and 3; Supplementary Table S1). Group C1  
407 contained a mixed population of healthy and mild, well-controlled asthmatic  
408 participants with a sputum lipid profile that could be defined as 'normal'. The other core  
409 groups (C2-C4) contained smaller numbers of healthy participants, and mostly  
410 comprised a mixture of mild-to-moderate and non-inflammatory severe asthmatics. C4  
411 represented a paucigranulocytic phenotype, with mean eosinophil and neutrophil  
412 counts of 2% and 43% respectively. Its lipid composition was intermediate between  
413 the healthy and severe granulocytic phenotypes, containing relatively less DPPC,  
414 elevated levels of the other lipid classes mentioned above, and specific enrichment in  
415 PI species. The lipid composition of group C3 was intermediate between C1 and C4,  
416 with notably low levels of PIs. The main pathobiological features distinguishing these  
417 groups of asthmatics were atopy and serum IgE levels, both of which were high in C4  
418 and low in C3 (Supplementary Table S2), suggesting the presence of a distinct lipid  
419 phenotype for 'non-atopic' asthmatics.<sup>60</sup> Finally, group C2 had a lipid phenotype  
420 similar to that of 'healthy' group C1, but with relatively less DPPC and concomitantly  
421 increased levels of C18 fatty acid-containing PC species (Supplementary Table S1).  
422 This phenotype was characterised by a significantly higher body mass index and waist  
423 circumference, suggesting that the differences were related to body weight status,  
424 rather than a particular type of asthma. Several studies have demonstrated  
425 dysregulation of lung lipid metabolism in obese animal models,<sup>61-63</sup> and we have  
426 previously reported a distinct sputum lipid phenotype in overweight, but otherwise  
427 healthy adults.<sup>16</sup>

428 Finally, we examined associations between the lipidome and upstream regulators of  
429 inflammation identified by IPA of sputum cell pellet RNA expression. In addition to  
430 upregulated *RAB1B* and *PLA2R1* in the TDA flare groups, significant associations  
431 were observed between asthma severity and expression of *SYVN1*, *CD24*, *HSP90B1*  
432 and *DNMT3B* (upregulation), and *miR-10*, *miR-122*, *WT1*, *SMARCA4*, *NANOG*,  
433 *KDM5B*, *ETS1* and *SMAD3* (downregulation) (Supplementary Table S4). Many of  
434 these have been implicated previously in asthma and other inflammatory diseases.  
435 The upregulation of *CD24*, expressed on granulocytes and lymphocytes, matched the  
436 high-neutrophil TDA groups F2, F4 and F5.<sup>64</sup> In contrast, *ETS1* is a negative regulator  
437 of Th17 cells, proposed to drive specific phenotypes of asthma.<sup>65-68</sup> Overexpression  
438 of *DNMT3B* promotes macrophage polarization into a 'classically-activated' M1  
439 phenotype and enhances macrophage inflammation,<sup>69</sup> and this gene was upregulated  
440 in each of the asthmatic groups apart from C2 and C4. Wilms Tumour 1 (*WT1*) is  
441 known to regulate the expression of Matrix metalloproteinase-9 (*MMP-9*), an enzyme  
442 involved in extracellular matrix degradation and airway remodelling in asthma.<sup>70</sup> Our  
443 results show that this mechanism may be activated in the most severe neutrophilic  
444 asthmatics (F4, F5), with *WT1* downregulation leading to increased *MMP-9* mediated  
445 tissue remodelling.<sup>71</sup>

446

## 447 **Summary and outlook**

448 We have shown that lipidomic profiling of sputum supernatant can stratify respiratory  
449 asthma into molecular phenotypes and that the abundance of lipids that are non-  
450 endogenous to the pulmonary surfactant increases significantly with asthma severity.  
451 Pulmonary surfactant has a highly specific structure-function relationship, which  
452 depends crucially on its lipid and protein composition. Therefore, the observed mixing

453 of endogenous and cell-derived lipids in the TDA flare groups has implications for  
454 functional impairment of surfactant in asthmatic airways.<sup>72</sup> We propose a novel  
455 mechanism of surfactant dysregulation in severe asthmatics (Fig. 4), wherein  
456 granulocytes recruited into the airways are activated to produce both intracellular lipid  
457 droplets and EVs (exosomes and/or microvesicles). Upon release, these lipid and  
458 protein-rich EVs disrupt the tightly regulated structure of the pulmonary surfactant  
459 component of the epithelial lining fluid. This reduces the surfactant's ability to lower  
460 surface tension in the small airways, leading to collapsibility, and may additionally  
461 compromise its immunological function. Although our findings require external  
462 validation and verification of the proposed mechanism, immunomodulation of  
463 extracellular vesicle secretion by granulocytes in the lungs could provide a new and  
464 attractive therapeutic target for severe asthma.

465

#### 466 **Acknowledgements**

467 The authors thank John Langley, Julie Herniman and Jon Paul Townsend for their  
468 analytical support, and Dominic Burg, Ben Nicholas, Kamran Tariq and Jeanne-Marie  
469 Perotin-Collard for additional discussion.

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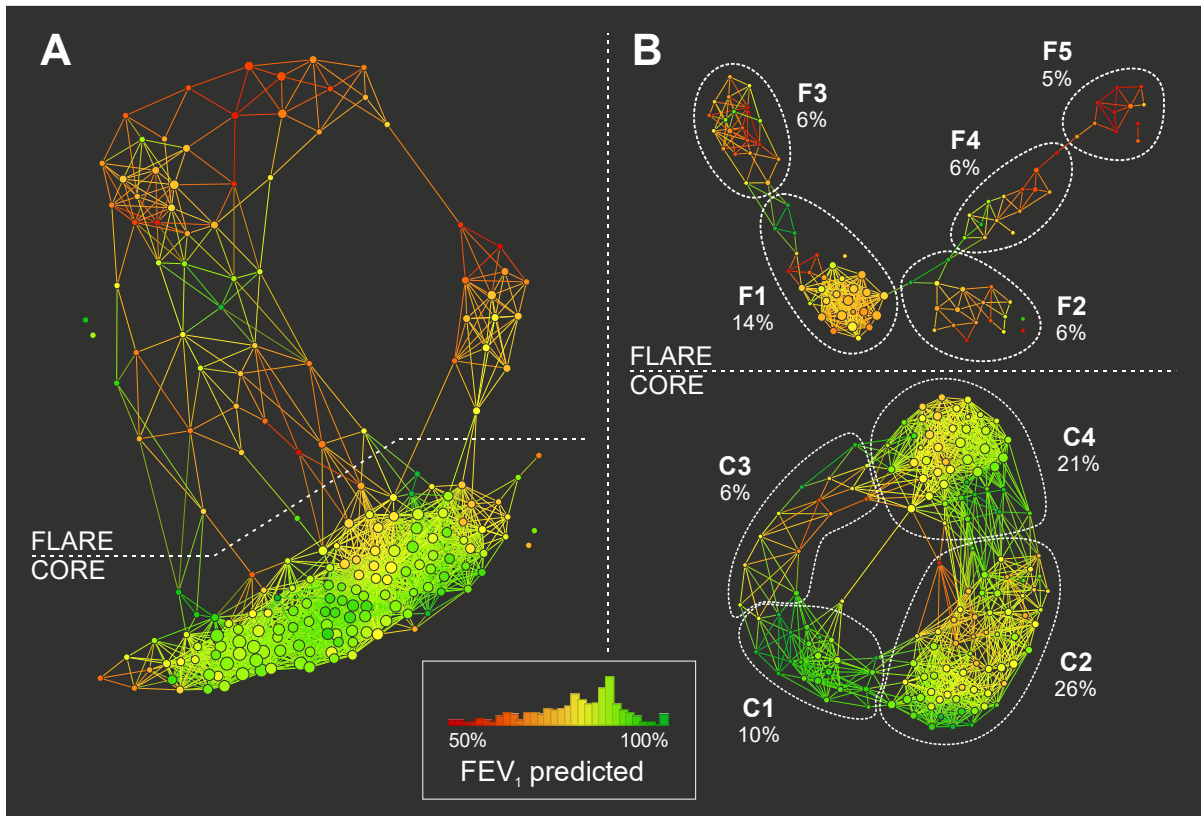
682 **Table 1**

	Asthma severity	Severe		Mild-to-moderate	Healthy
	Smoking status	Negative	Positive	Negative	Negative
	U-BIOPRED cohort	SAn n=117	SAC/ex n=51	MMA n=43	HC n=41
Age (yr)	mean [range]	53 [21-78]	55 [29-74]	42 [18-72]	37 [18-65]
Gender (m/f)	ratio	43/74	19/32	24/19	29/12
Race (Caucasian/other)	ratio	109/8	49/2	40/3	37/4
Age at first diagnosis (yr)	mean [range]	24 [0-68]	35 [1-67]	20 [1-63]	NA
BMI (kg m <sup>-2</sup> )	mean [range]	29.1 [17.8-51.1]	30.1 [20.6-48.4]	25.5 [17.9-36.6]	25.5 [18.9-32.0]
Serum IgE (IU mL <sup>-1</sup> )	mean [range]	274 [5-2690]	398 [0-6811]	328 [7-3520]	89 [0-574]
FEV <sub>1</sub> (% predicted)	mean [range]	65.4 [18.4-120.6]	66.1 [24.3-113.0]	90.4 [40.9-132.3]	102.1 [66.9-123.6]
FVC (% predicted)	mean [range]	87.8 [40.2-131.3]	90.6 [54.6-129.1]	107.4 [68.6-151.6]	108.5 [72.2-136.4]
FEV <sub>1</sub> /FVC ratio (%)	mean [range]	62.1 [31.0-92.2]	62.6 [35.2-90.0]	75.0 [52.4-93.9]	NA
Exacerbations (previous year)	mean [range]	2.1 [0-8]	2.5 [0-10]	0.4 [0-4]	NA
Pack-years (yr)	mean [range]	0.4 [0-5]	24.2 [5-70]	0.7 [0-5]	0.3 [0-5]
Intubation (ever)	count [pct]	13 [11%]	1 [2%]	0 [0%]	NA
ICU admission (ever)	count [pct]	28 [24%]	7 [14%]	0 [0%]	NA
Positive atopy test	count [pct]	73 [62%]	27 [53%]	36 [84%]	14 [34%]
ACQ1-5 score	mean [range]	2.2 [0.0-5.0]	2.2 [0.2-4.4]	0.8 [0.0-2.4]	NA
ACQ7 score	mean [range]	3.9 [0.0-7.0]	4.2 [0.0-7.0]	1.8 [0.0-7.0]	NA
AQLQ score	mean [range]	4.6 [1.9-6.8]	4.4 [2.3-6.8]	5.9 [3.2-6.9]	NA
Oral corticosteroid (current use)	count [pct]	49 [42%]	25 [49%]	0 [0%]	NA
Inhaled corticosteroid (current use)	count [pct]	113 [97%]	50 [98%]	43 [100%]	NA
Injectable corticosteroid (current use)	count [pct]	8 [7%]	0 [0%]	0 [0%]	NA
Long-acting $\beta$ -agonist (current use)	count [pct]	112 [96%]	48 [94%]	1 [2%]	NA
Short-acting $\beta$ -agonist (current use)	count [pct]	91 [78%]	37 [73%]	32 [74%]	NA
Corticosteroid dose (mg day <sup>-1</sup> ) <sup>†</sup>	mean [range]	12.4 [5.0-37.5]	13.7 [2.5-40.0]	NA	NA

683

684 **Table 1:** Demographics of study participants according to the U-BIOPRED cohorts.<sup>8</sup>

685 Ex-smokers with a pack-year smoking history of  $\leq 5$  were considered to have a  
686 'negative' smoking status, whereas ex-smokers with pack-year  $\geq 5$  were included in  
687 the SAC/ex cohort if diagnosed with severe asthma, but excluded from the study  
688 otherwise. Abbreviations: BMI = body mass index; FEV<sub>1</sub> = forced expiratory volume in  
689 1 second; FVC = forced vital capacity; IgE = Immunoglobulin E; ICU = intensive care  
690 unit; ACQ = Asthma Control Questionnaire; AQLQ = Asthma Quality of Life  
691 Questionnaire; NA = not applicable/not assessed. † Systemic dosage of  
692 corticosteroids for severe asthmatic participants is expressed in prednisolone-  
693 equivalent doses.

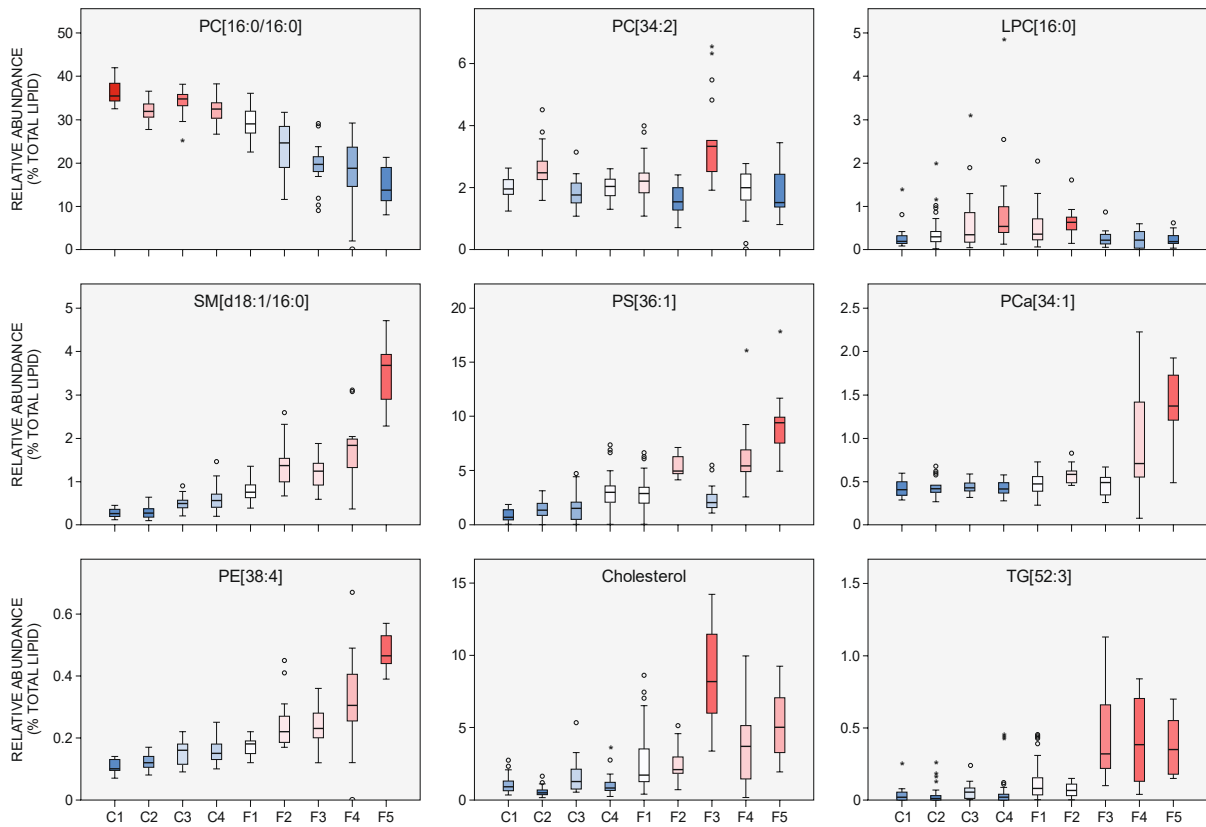


695

696 **Figure 1:** TDA structures of (A) the complete study cohort (n=252) and (B) the 'core'  
 697 and 'flare' subgroups side by side (n=164 and n=107 respectively), coloured by FEV<sub>1</sub>  
 698 (forced expiratory volume in 1 second). TDA was performed on 291 sputum lipid ions,  
 699 using a normalised correlation metric and two MDS lenses. The TDA groups, as  
 700 delineated by density mode clustering, along with the proportion of participants present  
 701 in each group are shown in B. The original figures were obtained with the Symphony  
 702 AyasdiAI machine intelligence platform.



703 **Figure 2**



704

705 **Figure 2:** Box plots of representative lipid species demonstrating the trends across  
 706 the TDA structure. Relative abundances are given as a percentage of the total lipid.  
 707 The original boxplots were created in SPSS Statistics 24 which defines outliers as  
 708 'near' (open circles: more than 1.5 times the interquartile range) and 'far' (stars: more  
 709 than 3 times the interquartile range).

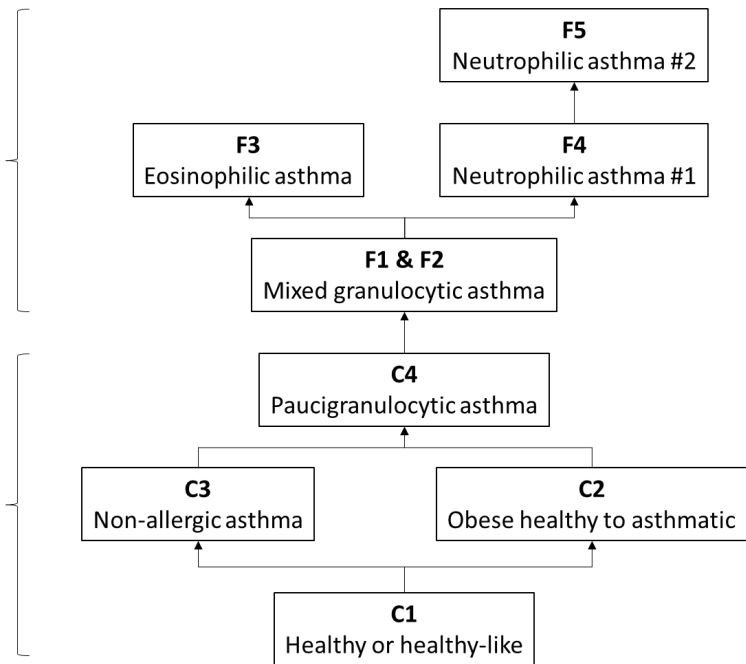
710 **Figure 3**

**Inflammatory severe asthma**

TDA flare groups (40%)  
 Sputum eosinophils > 2%  
 Sputum neutrophils ≥ 60%  
 FEV1 (predicted) < 70%  
 ACQ7 score > 3  
 DPPC levels < 30%  
 Elevated concentrations of non-surfactant lipids

**Paucigranulocytic asthma & health**

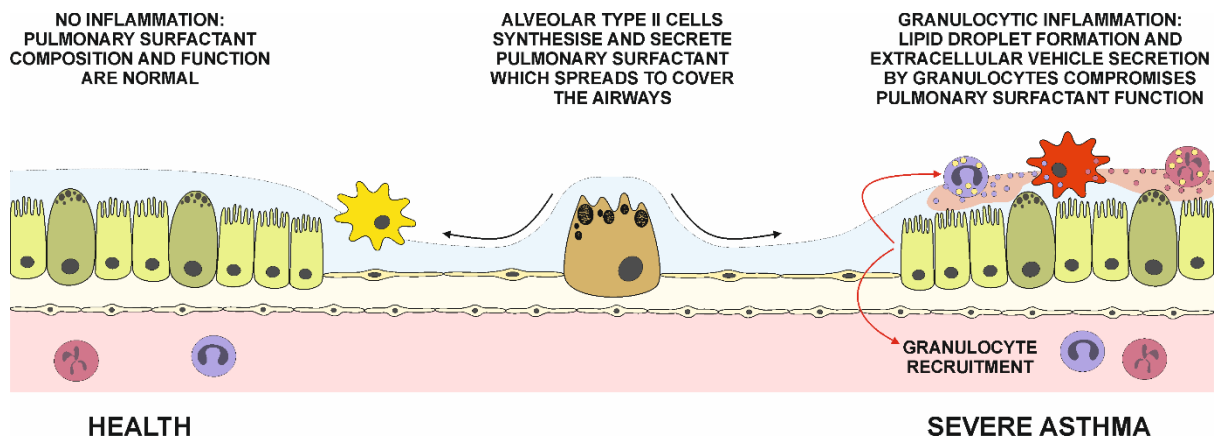
TDA core groups (60%)  
 Sputum eosinophils ≤ 2%  
 Sputum neutrophils < 50%  
 FEV1 (predicted) > 75%  
 ACQ7 score ≤ 3  
 DPPC levels > 30%  
 Non-surfactant lipids absent or at low concentrations



711

712 **Figure 3:** Summary of the sputum lipid phenotypes found in this study and their  
 713 assignments based on associations with the demographic, clinical and pathobiological  
 714 data (predominately sputum differential cell counts). As shown in Fig. 1 and discussed  
 715 in the main text, the nine phenotypes represent a spectrum of asthma severity from  
 716 C1 (healthy) to F3 and F5 (severe eosinophilic or neutrophilic). Key characteristics of  
 717 the main ‘core’ and ‘flare’ groups are listed on the left.

718 **Figure 4**



719

720 **Figure 4:** Conceptual representation of the role of granulocytic inflammation in  
721 producing EVs (exosomes and/or micro-vesicles) that may alter the lipid composition  
722 of the epithelial lining fluid (ELF; shown in light blue) in asthma. Pro-inflammatory  
723 chemokines and cytokines (red arrows) recruit eosinophils and neutrophils into the  
724 airways and stimulate intracellular lipid droplet formation, as well as the secretion of  
725 EVs. The latter are rich in cellular lipids and proteins which impair the function of the  
726 pulmonary surfactant component of ELF, thereby reducing its ability to lower surface  
727 tension in the small airways and potentially compromising its role as an immunological  
728 barrier.

## 729 **Online supplement**

### 730 **Methods**

#### 731 **U-BIOPRED study design and tranSMART data repository**

732 All samples used in this study were obtained from the U-BIOPRED cohort recruited in  
733 14 clinical centres across Europe (Shaw et al. 2015). The study protocols were  
734 approved by local Ethics Review Boards and participants gave their written informed  
735 consent for in-depth characterisation using U-BIOPRED standardised protocols for  
736 clinical assessment and biological sample collection, as well as molecular analysis by  
737 a variety of 'omics platforms. Processed biological samples from all clinical sites were  
738 blinded and stored in a central biobank (CIGMR Biobank, University of Manchester)  
739 and after completion of recruitment analysed in the Mass Spectrometry Unit of the  
740 NIHR Southampton Biomedical Research Centre. The study IDs and clinical metadata  
741 of the participants providing the samples were un-blinded only after completing all the  
742 analyses, data processing and quality control.

743 All clinical, laboratory and 'omics data collected as part of the U-BIOPRED study is  
744 hosted on the tranSMART knowledge management platform and available to study  
745 group members. To gain insight in the pathobiology underlying the sputum  
746 supernatant lipid phenotypes described in this work, we acquired from this database  
747 a variety of demographic, clinical and laboratory data, as well as blood protein and  
748 sputum cell pellet gene expression data (tranSMART query date: 28 September 2017).

#### 749 **Lipid analysis and data processing**

750 A detailed description of the experimental procedures and data analysis methods can  
751 be found in Brandsma et al. (2018). Briefly, lipids were extracted from 100 µl of sputum  
752 using semi-automated Bligh-Dyer extraction protocol (Bligh and Dyer 1959) on a

753 TECAN Freedom EVO100 robotic liquid handling platform (Tecan, Männedorf,  
754 Switzerland). Untargeted 'shotgun' mass spectra were acquired by flow injection  
755 analysis on a Dionex 3000 ultra-high performance liquid chromatography system  
756 (Thermo Scientific Dionex, Sunnyvale, CA, USA), coupled to a MaXis 3G quadrupole  
757 time-of flight mass spectrometer equipped with an electrospray ionisation source  
758 (Bruker Daltonics, Billerica, MA, USA). Measurements were done in full scan mode  
759 over an *m/z* range of 350-1200 with separate injections for positive and negative  
760 ionisation. Blank injections were performed after every four samples (no significant  
761 carry-over was detected) and a pooled QC sample was run after every four samples  
762 to check for changes in instrument performance. Fragmentation analysis for lipid  
763 identification was performed on the pooled QC using the same instrumental setup, but  
764 in LC-MS/MS mode using a Waters Acquity C8 column (1.7 $\mu$ m, 2.1mm x 100mm;  
765 Waters, Milford, MA, USA) and a 50 min gradient of methanol and water (both with 50  
766 mM NH<sub>4</sub>HCO<sub>2</sub> and 0.2% formic acid). Data-independent product ion scans were  
767 acquired over the entire gradient using broadband collision induced dissociation.  
768 Precursor and fragment ions were matched retrospectively by their LC retention time  
769 and using the well-established fragmentation rules for lipids (Hsu and Turk 2003) to  
770 provide confirmation of identities. Lipid nomenclature followed the framework set out  
771 by Liebisch et al. (2013) where sufficient structural information was available. The  
772 following abbreviations for lipid classes were used in this study: phosphatidylcholine  
773 (PC); phosphatidylglycerol (PG); phosphatidylserine (PS); phosphatidylinositol (PI);  
774 phosphatidylethanolamine (PE); lyso-phosphatidylcholine (LPC); ceramide (Cer);  
775 hexosyl-ceramide (HexCer); sphingomyelin (SM); cholesterol (Chol); cholesterol ester  
776 (CE); diglyceride (DG); and triglyceride (TG).

777 All raw screening mass spectra were smoothed, lock mass calibrated and aligned  
778 using a hierarchical clustering-based algorithm (adapted from Yang 2016). After  
779 background subtraction and removal of ions with <60% detection rate, the data were  
780 corrected for potential batch effects due to instrument performance or differences in  
781 sample work-up date using the *R* script “SVA ComBat” (Johnson et al. 2007). All ion  
782 counts were normalised using internal standards and the original sample volume to  
783 obtain semi-quantitative results. However, biofluids and particularly induced sputum  
784 are subject to variable dilution of analytes during sampling and subsequent workup  
785 (Simpson et al. 2004; Kirwan et al. 2014), hence the data were also normalised to the  
786 amount of total lipid.

#### 787 **Data analysis and statistics**

788 Topological data analysis (TDA) was used to group participants with comparable  
789 sputum lipid profiles in an unbiased manner (Hinks et al. 2016; Bigler et al. 2017;  
790 Siddiqui et al. 2018), and identify trends and lipid phenotypes within the study cohort.  
791 TDA was performed using the AyasdiAI machine intelligence platform (Symphony  
792 AyasdiAI, Palo Alto, CA, USA) on the sputum lipid data set, employing a normalised  
793 correlation metric combined with two multidimensional scaling (MDS) lenses. Groups  
794 of participants with similar sputum lipid profiles were defined within the TDA structure  
795 using density mode clustering (Strazzeri 2018). By its very essence, TDA captures the  
796 continuous nature of data (Chazal and Michel 2021), and it therefore allows for a  
797 degree of overlap between connected groups of cases (i.e., a study subject can be a  
798 member of more than one group at the same time). As this negatively affects the  
799 efficacy of statistical tests for between-group comparisons, the resolution settings of  
800 the TDA were adjusted to limit the degree of overlap between groups (less than 10%

801 of participants were allowed to be shared), whilst at the same time maintaining the  
802 integrity of the TDA structure.

803 The statistical significance of trends across the TDA structure and differences between  
804 individual groups, were examined in SPSS Statistics 24 (IBM, Armonk, NY, USA).  
805 Analyses were performed for the sputum lipids, a variety of demographic, clinical and  
806 pathobiological measurements, as well as blood protein biomarker concentrations.  
807 Distribution analysis showed that, with few exceptions, the variables were not normally  
808 distributed within groups. Therefore, a non-parametric Jonckheere-Terpstra test (JT-  
809 test) for ordered alternatives was selected to identify trends in the ordinal and  
810 continuous variables, assuming an ascending hypothesis order. The significance of  
811 individual between-group differences was assessed pairwise by Mann-Whitney U  
812 tests. For the limited number of nominal variables, a Pearson's Chi-squared test was  
813 used instead. Trends or differences were considered significant if  $p < 0.05$ , and highly  
814 significant if  $p < 0.001$  (after Bonferroni correction).

### 815 **Blood protein data**

816 Protein expression data from peripheral blood were acquired as a routine analysis for  
817 all U-BIOPRED participants, and obtained for this study from the U-BIOPRED data  
818 repository. Levels of 11 proteins were measured in plasma using a Mesoscale  
819 Discovery (MSD) electrochemiluminescence assay, whereas a further 21 proteins  
820 were measured in serum using either of the following immunoassay platforms:  
821 Luminex (16), Impact (2), Singulex (1), Elecsys (1) or Immulite (1).

### 822 **Pathway analysis of sputum cell pellet transcriptomics data**

823 Transcriptomic data from RNA extracts of 97 matching sputum cell pellets (Kuo et al.  
824 2017) were acquired from the U-BIOPRED data repository. These were subjected to

825 Ingenuity Pathway Analysis (IPA; QIAGEN Bioinformatics, Redwood City, CA, USA)  
826 in order to identify potential upstream regulators of differential gene expression in each  
827 of the lipid phenotypes. IPA core analysis was performed on the top 4000 differentially  
828 expressed genes, using the ordered TDA groups as described above. The results  
829 were subjected to a comparison analysis to identify trends of IPA-predicted upstream  
830 regulator activation/inhibition across the sputum lipidomics TDA structure.

831

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876 **Supplementary Table S1**

Lipid†	P-value	Z-score	C1				F1				
			10%	26%	6%	21%	14%	6%	6%	6%	5%
SM[d18:1/24:0]	0.000	16.639	0.04	0.05	0.09	0.10	0.14	0.25	0.24	0.29	0.54
SM[d18:1/24:1]	0.000	16.341	0.09	0.08	0.17	0.16	0.28	0.44	0.51	0.66	1.15
PC[30:1]	0.000	16.303	0.13	0.14	0.19	0.23	0.31	0.54	0.50	0.72	1.44
SM[d18:1/16:0]	0.000	16.248	0.25	0.26	0.49	0.56	0.74	1.36	1.23	1.83	3.67
PE[40:4]	0.000	14.941	0.05	0.05	0.08	0.07	0.09	0.12	0.16	0.21	0.36
SM[d18:1/18:0]	0.000	14.519	0.00	0.00	0.06	0.10	0.11	0.28	0.18	0.22	0.33
PE[38:4]	0.000	13.239	0.10	0.12	0.16	0.15	0.18	0.22	0.23	0.31	0.47
PC[36:0]	0.000	13.211	0.18	0.17	0.28	0.41	0.40	0.83	0.33	0.83	1.49
PE[40:7]	0.000	13.211	0.18	0.17	0.28	0.41	0.40	0.83	0.33	0.83	1.49
CE[18:2]	0.000	12.377	0.06	0.04	0.16	0.07	0.30	0.28	2.02	0.85	0.66
PCa[40:2]	0.000	12.269	0.02	0.02	0.05	0.04	0.06	0.14	0.07	0.12	0.24
CE[18:1]	0.000	12.218	0.04	0.02	0.10	0.04	0.16	0.28	0.68	0.35	0.36
Cholesterol	0.000	11.943	0.91	0.47	1.30	0.83	1.70	2.09	8.17	3.70	5.01
PC[38:4]	0.000	11.501	0.13	0.15	0.15	0.16	0.19	0.22	0.51	0.36	0.39
PCa[36:0]	0.000	11.281	0.09	0.09	0.11	0.13	0.14	0.26	0.13	0.20	0.27
PS[36:1]	0.000	11.144	0.69	1.34	1.52	3.00	2.88	4.95	2.04	5.42	9.40
PC[38:0]	0.000	10.951	0.01	0.02	0.02	0.03	0.03	0.06	0.05	0.07	0.09
PCa[40:1]	0.000	10.838	0.00	0.01	0.00	0.02	0.02	0.07	0.03	0.07	0.15
PE[32:1]	0.000	10.825	0.00	0.00	0.00	0.01	0.05	0.11	0.33	0.12	0.14
PE[40:6]	0.000	10.346	0.10	0.13	0.12	0.16	0.16	0.25	0.15	0.24	0.33
TG[52:3]	0.000	10.339	0.02	0.01	0.06	0.02	0.08	0.07	0.32	0.39	0.35
TG[52:2]	0.000	10.041	0.03	0.02	0.08	0.03	0.10	0.09	0.40	0.66	0.44
Cer[d18:0/16:0]	0.000	9.951	0.01	0.00	0.02	0.02	0.02	0.11	0.03	0.03	0.07
Cer[d18:1/16:0]	0.000	9.949	0.03	0.01	0.05	0.04	0.05	0.17	0.06	0.08	0.15
PCa[32:2]	0.000	9.891	0.04	0.03	0.04	0.04	0.05	0.09	0.05	0.08	0.10
PE[34:2]	0.000	9.891	0.04	0.03	0.04	0.04	0.05	0.09	0.05	0.08	0.10
PE[40:5]	0.000	9.828	0.09	0.11	0.09	0.13	0.14	0.14	0.19	0.22	0.35
PCa[36:1]	0.000	9.726	0.10	0.10	0.12	0.11	0.14	0.18	0.16	0.23	0.55
HexCer[d18:1/16:0]	0.000	9.622	0.02	0.02	0.03	0.03	0.03	0.06	0.04	0.06	0.07
TG[50:0]	0.000	9.488	0.00	0.00	0.02	0.01	0.03	0.03	0.04	0.08	0.07
PCa[42:2]	0.000	9.380	0.00	0.00	0.00	0.01	0.03	0.04	0.03	0.11	0.18
PC[38:5]	0.000	9.379	0.13	0.18	0.15	0.16	0.19	0.21	0.38	0.29	0.29
TG[54:3]	0.000	9.260	0.00	0.01	0.05	0.02	0.05	0.06	0.15	0.21	0.22
TG[54:5]	0.000	9.152	0.02	0.01	0.03	0.01	0.04	0.04	0.09	0.12	0.15
TG[54:4]	0.000	9.101	0.02	0.01	0.04	0.01	0.04	0.05	0.14	0.16	0.20
TG[52:4]	0.000	9.060	0.00	0.00	0.01	0.01	0.04	0.02	0.14	0.16	0.13
TG[52:1]	0.000	9.015	0.01	0.01	0.03	0.01	0.04	0.04	0.10	0.23	0.15
CE[16:1]	0.000	8.949	0.00	0.00	0.00	0.02	0.05	0.08	0.08	0.06	0.09
SM[d18:1/14:0]	0.000	8.552	0.00	0.01	0.00	0.02	0.02	0.00	0.07	0.08	0.11
TG[54:2]	0.000	8.441	0.02	0.02	0.03	0.03	0.03	0.05	0.04	0.07	0.13
TG[50:1]	0.000	8.310	0.01	0.01	0.04	0.01	0.05	0.06	0.13	0.35	0.20
PC[38:1]	0.000	8.196	0.03	0.02	0.05	0.06	0.05	0.11	0.05	0.07	0.13
TG[50:2]	0.000	7.917	0.00	0.00	0.03	0.00	0.04	0.02	0.16	0.22	0.17
PCa[32:1]	0.000	7.891	0.16	0.17	0.17	0.19	0.20	0.28	0.17	0.29	0.41
PE[34:1]	0.000	7.891	0.16	0.17	0.17	0.19	0.20	0.28	0.17	0.29	0.41
PCa[34:1]	0.000	7.244	0.40	0.41	0.42	0.41	0.47	0.58	0.48	0.70	1.37
PE[36:1]	0.000	7.244	0.40	0.41	0.42	0.41	0.47	0.58	0.48	0.70	1.37
PCa[36:2]	0.000	7.022	0.07	0.08	0.04	0.06	0.10	0.11	0.14	0.17	0.72
PC[36:1]	0.000	7.014	0.44	0.49	0.45	0.44	0.57	0.46	0.67	0.75	1.37
PCa[34:2]	0.000	6.994	0.12	0.13	0.09	0.12	0.15	0.22	0.17	0.22	0.60
PE[36:2]	0.000	6.994	0.12	0.13	0.09	0.12	0.15	0.22	0.17	0.22	0.60
PC[36:5]	0.000	6.598	0.16	0.22	0.18	0.22	0.24	0.23	0.38	0.25	0.31
LPC[18:0]	0.000	6.269	0.04	0.04	0.06	0.09	0.08	0.08	0.10	0.14	0.10
PC[38:2]	0.000	5.492	0.02	0.04	0.04	0.11	0.08	0.16	0.00	0.04	0.19
Cer[d18:1/18:0]	0.000	4.880	0.01	0.00	0.02	0.01	0.02	0.09	0.01	0.00	0.06
PC[40:6]	0.000	4.875	0.04	0.03	0.06	0.00	0.05	0.00	0.14	0.09	0.12
PE[38:6]	0.000	4.863	0.02	0.12	0.05	0.43	0.18	0.31	0.16	0.11	0.42
PC[36:2]	0.000	4.814	0.74	0.99	0.72	0.75	1.04	0.71	1.72	1.44	1.63
PE[38:5]	0.000	4.163	0.11	0.15	0.10	0.16	0.15	0.18	0.16	0.20	0.22
PC[44:11]	0.000	3.505	0.02	0.04	0.03	0.06	0.04	0.06	0.03	0.05	0.06
PE[36:4]	0.001	3.327	0.04	0.05	0.04	0.06	0.05	0.06	0.04	0.06	0.08
Cer[d18:1/18:1]	0.001	3.243	0.01	0.01	0.03	0.02	0.03	0.06	0.01	0.03	0.03
LPC[18:2]	0.005	2.795	0.03	0.02	0.06	0.05	0.06	0.12	0.03	0.03	0.02
LPC[18:1]	0.008	2.656	0.06	0.06	0.08	0.10	0.09	0.18	0.05	0.12	0.07
PG[34:1]	0.014	2.451	2.51	3.02	2.45	2.89	2.83	3.44	2.82	3.77	4.85
PC[38:6]	0.037	2.085	0.10	0.12	0.07	0.09	0.12	0.07	0.33	0.16	0.12
PC[32:2]	0.057	1.907	0.12	0.16	0.13	0.13	0.15	0.25	0.14	0.21	0.41
PC[36:4]	0.157	1.415	0.54	0.81	0.57	0.67	0.67	0.53	1.21	0.81	0.78
LPC[18:3]	0.163	1.395	0.03	0.05	0.09	0.14	0.08	0.13	0.04	0.04	0.03
PCa[32:0]	0.173	1.364	0.89	0.81	0.86	0.84	0.73	0.70	0.60	0.79	1.11
PE[36:3]	0.174	1.361	0.11	0.13	0.11	0.13	0.11	0.12	0.11	0.14	0.19
PCa[34:0]	0.222	1.221	0.72	0.65	0.76	0.70	0.58	0.54	0.47	0.53	0.55
LPC[24:0]	0.328	0.979	0.01	0.01	0.02	0.01	0.02	0.04	0.00	0.01	0.01
LPC[16:0]	0.581	0.553	0.20	0.30	0.35	0.54	0.36	0.63	0.22	0.23	0.19
PCa[30:0]	0.611	-0.509	0.06	0.05	0.07	0.06	0.05	0.00	0.00	0.07	0.10
PE[32:0]	0.611	-0.509	0.06	0.05	0.07	0.06	0.05	0.00	0.00	0.07	0.10
PI[36:1]	0.214	-1.242	0.63	1.00	0.01	1.74	0.99	0.43	0.01	0.79	0.01
PE[36:5]	0.022	-2.299	0.07	0.07	0.08	0.07	0.07	0.08	0.05	0.06	0.06
PC[36:3]	0.017	-2.397	0.47	0.60	0.34	0.30	0.48	0.28	0.87	0.45	0.28
PC[34:2]	0.012	-2.524	1.96	2.48	1.76	2.04	2.21	1.54	3.33	2.01	1.52
LPC[16:1]	0.009	-2.631	0.02	0.01	0.04	0.01	0.01	0.05	0.00	0.00	0.00
PI[36:2]	0.001	-3.255	0.56	0.95	0.01	1.35	0.71	0.01	0.01	0.97	0.01
PC[34:4]	0.001	-3.404	0.24	0.35	0.28	0.33	0.30	0.31	0.20	0.26	0.29
PE[34:0]	0.001	-3.421	0.89	0.81	0.86	0.84	0.73	0.70	0.60	0.79	1.11
PG[36:1]	0.000	-5.898	1.90	1.94	1.34	1.81	1.70	1.65	1.29	1.26	0.01
PC[34:1]	0.000	-6.205	5.43	6.64	5.19	5.45	5.62	4.49	5.13	5.36	4.99
PG[36:2]	0.000	-7.237	1.44	1.82	1.09	1.40	1.35	0.66	0.01	0.87	0.01
PC[34:3]	0.000	-8.571	1.99	2.52	2.06	2.68	2.05	1.73	1.38	1.18	0.88
PC[34:0]	0.000	-8.822	1.48	1.55	1.40	1.28	1.36	1.08	0.97	1.22	1.05
PC[32:1]	0.000	-11.653	4.17	4.91	3.33	3.54	3.13	2.50	2.09	2.10	1.46
PC[30:0]	0.000	-12.117	4.26	4.08	3.68	3.44	2.96	2.54	2.21	2.13	1.32
PC[32:0]	0.000	-12.463	35.52	31.87	34.78	32.43	29.04	24.71	19.68	18.76	13.72

878 Heat map of lipidomics trends across the TDA structure, sorted by z-score of a JT-test  
879 for ranked alternatives. Values in the table show the median relative abundance of a  
880 lipid for each TDA group. Note that unidentified ions were not included in this table. †  
881 See main text for lipid nomenclature and the abbreviations used.

882 **Supplementary Table S2**

Clinical and pathobiological data	P-value	Z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
			10%	26%	6%	21%	14%	6%	6%	6%	5%
Asthma severity*	0.000	6.366	0.58	0.76	0.71	0.88	0.93	0.94	1.00	0.94	1.00
Age (yr)	0.000	3.994	45	48	48	49	55	54	58	57	56
Gender ratio (m/f)	0.488	-0.734	0.7	0.6	1.3	1.2	0.9	1.1	1.1	0.8	0.4
BMI (kg m-2)	0.111	-1.592	25	30	27	25	27	27	26	28	24
Smoking status (% current/ex)	0.752	-0.316	0	11	4	14	14	7	0	6	0
Age of onset or first diagnosis (yr)	0.194	1.299	24	17	24	22	21	16	43	35	14
Positive atopy test (%)	0.512	-0.656	37	38	26	44	43	33	53	37	36
Serum IgE (IU mL-1)	0.160	1.405	86	89	68	109	93	91	120	91	129
ACQ7 score	0.000	5.026	1.5	2.5	2.5	3.0	3.2	3.8	4.2	3.5	4.5
ICU admissions (previous year)	0.006	2.748	0.1	0.1	0.0	0.2	0.1	0.1	0.1	0.3	0.6
Exacerbations (previous year)	0.000	4.445	0.8	1.1	1.2	1.7	2.1	1.7	1.9	2.2	2.9
Oral corticosteroids dose (mg day-1)†	0.000	4.247	2.6	2.1	1.9	4.1	5.4	6.7	6.0	5.9	3.4
Inhaled corticosteroids dose (mg day-1)†	0.000	5.917	0.37	0.45	0.58	0.62	0.80	0.81	0.82	0.81	1.00
SNOT score	0.007	2.685	21.8	23.6	22.7	25.8	29.9	28.9	26.2	33.4	29.3
HADS score	0.850	0.189	8.8	10.6	12.1	11.3	13.8	10.8	9.6	9.5	9.6
Exhaled nitric oxide (ppb)	0.003	2.970	22.5	20.0	26.5	27.0	32.0	23.8	54.0	23.0	19.0
FEV1 (% predicted)	0.000	-5.495	90.8	79.9	82.4	79.0	69.3	65.5	61.9	59.6	42.2
FVC (% predicted)	0.000	-3.643	107.4	98.4	98.3	95.8	96.9	88.8	92.6	89.7	74.7
FEV1/FVC ratio (predicted)	0.000	-3.510	79.3	78.9	79.4	79.3	77.6	77.9	77.1	77.9	77.9
FEF 25-75 (predicted)	0.000	-5.699	3.71	3.56	3.64	3.76	3.34	3.35	3.29	3.36	3.29
sGaw (1/kPa x sec)	0.000	-4.162	1.18	1.29	1.06	0.86	0.77	0.84	0.72	0.72	0.25
Sputum eosinophils (%)	0.000	5.857	0.20	0.71	0.20	2.00	4.39	1.37	26.79	3.49	2.90
Sputum neutrophils (%)	0.000	4.907	40.47	45.65	43.90	43.20	59.54	60.78	59.58	83.01	91.40
Sputum macrophages (%)	0.000	-8.764	57.64	48.95	54.76	41.84	25.74	20.30	12.14	9.08	4.84
Sputum lymphocytes (%)	0.000	-3.707	1.38	1.14	1.27	1.24	0.94	0.76	0.68	0.59	0.39
Sputum squamous epithelial cells (%)	0.017	-2.376	9.50	5.25	13.25	6.70	9.90	6.90	3.55	7.80	0.90
Blood neutrophils (μL-1)	0.000	3.849	3200	4000	3500	3900	4750	5700	4700	5300	5550
Blood eosinophils (μL-1)	0.006	2.756	100	200	200	200	200	200	400	250	250
Blood leukocytes (μL-1)	0.000	4.306	6000	6900	6050	6900	7800	9450	7900	9350	9100

883

884 Heat map of trends in the demographic, clinical and pathobiological data across the  
885 sputum lipidomics TDA structure, with z-scores of a JT-test for ranked alternatives.  
886 Values in the table show the median for each TDA group. See Shaw et al. (2015) for  
887 a description of the variables and methods used in the U-BIOPRED study.  
888 Abbreviations: BMI = Body Mass Index; IgE = Immunoglobulin E; ACQ = Asthma  
889 Control Questionnaire; ICU = intensive care unit; SNOT = SinoNasal Outcomes Test;  
890 HADS = Hospital Anxiety and Depression Scale; FEV<sub>1</sub> = forced expiratory volume in  
891 1 second; FVC = forced vital capacity; FEF 25-75 = forced expiratory flow at 25-75%  
892 of the pulmonary volume; sGAW = specific airway conductance. \* Asthma severity is  
893 expressed as the ratio of asthmatic (MMA, SAc/ex and SAn) versus healthy (HC)  
894 participants; † Systemic dosage of corticosteroids for severe asthmatic participants is  
895 expressed in prednisolone-equivalent doses.

896 **Supplementary Table S3**

Protein biomarker	Assay	P-value	Z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
				10%	26%	6%	21%	14%	6%	6%	6%	5%
IL-8	f	0.000	4.031	2.79	3.13	2.73	3.01	3.03	3.73	3.24	3.87	3.97
Serpin-E1	a	0.000	3.875	77469	85894	86691	90934	91605	101345	96137	111359	91426
MCP-4	a	0.000	3.740	98.3	127.2	111.9	128.2	131.7	145.1	146.0	129.9	159.6
Periostin	d	0.001	3.328	48.3	45.0	44.3	44.5	47.9	53.7	61.3	50.4	53.9
Eotaxin	f	0.001	3.326	87.8	93.9	92.7	106.0	106.5	138.0	104.5	113.0	135.0
CCL18	b	0.001	3.185	134.9	161.3	164.1	191.4	192.1	186.0	221.3	179.8	276.6
Galectin-3	a	0.003	3.011	5288	5486	5182	5298	5722	6256	5112	6232	6740
IL-6	f	0.004	2.906	0.52	0.91	0.61	0.73	0.60	0.89	1.07	1.37	1.23
IL-17AA	c	0.005	2.806	0.29	0.30	0.36	0.37	0.35	0.38	0.46	0.53	0.36
CCL17	f	0.006	2.754	55.7	69.3	51.6	63.2	65.6	129.0	54.7	117.0	73.8
IL-13	b	0.006	2.726	0.49	0.49	0.54	0.62	0.60	0.47	1.32	0.53	0.48
MCP-1	f	0.008	2.641	93.6	98.7	102.0	98.2	95.7	125.0	110.5	109.0	112.5
hs-CRP	e	0.010	2.577	1.20	1.40	1.20	1.60	1.15	1.40	1.30	6.20	2.80
TNF-alpha	f	0.016	2.400	1.56	1.86	1.68	1.89	1.71	1.83	1.96	1.85	2.12
C5a	a	0.020	2.335	33.7	38.2	48.9	36.6	39.8	39.0	35.6	54.4	49.5
MMP-3	a	0.025	2.245	13817	13569	13730	14477	17158	23242	20967	12788	15431
MIP-1b	f	0.035	2.114	46.2	49.4	44.1	45.7	45.2	67.8	52.1	61.8	54.6
Eotaxin-3	f	0.058	1.894	17.8	15.4	15.5	19.1	18.4	18.0	26.9	14.2	15.4
IFN-gamma	f	0.067	1.834	4.38	5.77	5.40	4.67	4.80	5.73	7.41	7.43	11.02
CD40L	a	0.104	1.624	4717	4287	4750	4698	4910	5275	5546	4725	4253
IL-6R-alpha	a	0.127	1.525	10398	10927	11221	11227	11696	12783	11108	10787	10884
IL-1-alpha	a	0.231	1.199	34.1	33.6	32.5	35.9	34.1	36.6	37.5	31.9	32.3
Alpha-1-microglobulin	a	0.257	1.134	6355	6551	7592	7056	6935	7610	6889	6971	7392
IL-18	a	0.267	1.110	192.4	219.0	214.4	222.0	210.7	209.1	218.1	244.7	221.9
IP-10	f	0.347	0.940	223.5	326.0	297.0	278.0	250.0	234.0	304.5	353.0	473.0
CD30	a	0.377	0.883	31.7	38.0	38.1	38.5	35.7	37.1	36.3	40.7	40.5
LBP	a	0.466	0.729	2056309	2166450	2160387	2019250	1999580	2623438	1722485	2770901	2508327
Lumican	a	0.502	0.672	130969	133245	132268	133135	128644	141913	148609	125866	141143
RAGE	a	0.809	0.242	1358	1298	1382	1275	1250	1265	1151	1372	1585
SHBG	a	0.512	-0.655	4637586	2777497	1693569	2969730	2709649	1989644	2396730	2470851	3401339
CCL22	f	0.502	-0.672	868.5	889.5	810.0	794.0	699.5	858.0	828.5	880.0	893.0
DPPIV	a	0.216	-1.238	90742	101947	100085	101725	95053	92193	93394	86834	91931

897

898 Heat map of trends in blood protein biomarker levels across the lipidomics TDA  
 899 structure, sorted by z-score of a JT-test for ranked alternatives. Protein concentrations  
 900 were measured in serum by either [a] Luminex, [b] Impact, [c] Singulex, [d] Elecsys or  
 901 [e] Immulite immunoassays, and in plasma by [f] MSD assay. Values in the table show  
 902 the median concentration of a given protein for each TDA group.

## Supplementary Table S4

Upstream regulator	P-value	Z-score	C1	C2	C3	C4	F1	F2	F3	F4	F5
			10%	26%	6%	21%	14%	6%	6%	6%	5%
RAB1B	0.011	2.535	0.00	0.00	0.00	0.00	0.00	2.00	1.71	2.98	2.35
PLA2R1	0.017	2.381	0.00	0.00	0.00	0.00	0.00	0.00	2.22	2.14	3.12
SYVN1	0.017	2.381	0.00	0.00	0.00	0.00	0.00	0.00	2.26	2.25	3.28
CD24	0.021	2.304	0.00	-0.65	0.00	0.00	0.00	1.89	0.00	2.84	2.31
HSP90B1	0.025	2.234	0.00	0.71	0.79	0.00	0.00	2.14	2.51	2.52	2.21
DNMT3B	0.037	2.085	0.00	-0.51	1.34	-0.49	1.74	2.33	1.90	1.46	2.14
DAP3	0.168	1.379	0.00	0.00	-2.00	-2.45	0.00	0.00	0.00	0.00	2.00
ERG	0.242	1.170	0.00	-1.09	0.94	-0.69	-0.54	0.00	0.00	-0.28	1.13
IL13	0.242	1.170	0.00	-1.63	-2.19	-3.62	-2.32	0.00	-0.92	0.00	2.76
CXCL8	0.259	1.128	0.00	1.42	0.00	0.00	0.00	0.00	0.00	2.36	1.91
MYC	0.259	1.128	0.00	-1.13	0.00	-1.28	0.00	0.00	0.67	0.00	0.00
NONO	0.357	0.922	0.00	0.00	0.00	0.38	1.63	1.41	0.00	0.00	0.82
EGLN	0.380	0.877	0.00	1.19	0.00	0.00	0.00	0.00	1.86	2.44	0.00
HDAC1	0.380	0.877	0.00	-1.00	0.00	-0.56	1.02	0.00	0.00	0.00	0.00
HEXIM1	0.380	0.877	0.00	0.00	0.00	0.20	0.00	2.00	0.00	0.45	0.00
TCF7L2	0.381	0.876	0.00	0.00	-1.13	-1.65	-2.08	-2.61	0.00	-1.80	0.00
EOMES	0.531	0.627	0.00	-2.45	0.00	0.00	0.28	-0.94	0.00	0.00	0.00
PRL	0.707	0.376	0.00	0.00	0.00	3.48	0.00	4.97	2.53	0.00	0.00
RXRA	0.707	0.376	0.00	-0.15	0.00	0.00	0.00	-1.67	0.00	-0.24	0.00
P38 MAPK	0.900	0.125	0.00	0.00	0.00	-3.11	-2.86	0.00	-2.18	0.00	0.00
MGEA5	0.915	0.106	0.00	1.81	2.79	2.59	2.27	2.34	0.00	2.89	0.00
GATA6	1.000	0.000	0.00	0.00	0.00	0.00	-0.92	-0.59	0.00	-0.08	1.14
HIF1A	1.000	0.000	0.00	-0.89	0.00	-2.00	-1.96	0.00	-2.05	0.00	0.00
PGR	1.000	0.000	0.00	0.00	0.80	1.77	1.11	0.00	0.00	0.00	0.41
USP7	0.908	-0.116	0.00	-2.00	0.00	0.00	-2.83	-2.24	0.00	-2.00	0.00
SMARCD3	0.707	-0.376	0.00	0.00	-1.34	-1.13	0.00	0.00	0.00	0.00	-1.98
T	0.707	-0.376	0.00	0.00	-1.34	-1.13	0.00	0.00	0.00	0.00	-1.98
TP73	0.531	-0.627	0.00	0.68	0.00	1.66	2.00	0.00	0.00	0.00	0.00
INHBA	0.489	-0.691	0.00	0.82	0.00	0.66	0.00	0.00	-1.82	0.73	0.00
RARA	0.489	-0.691	0.00	1.22	0.00	-0.78	0.08	-2.16	0.00	0.00	0.00
POU2F2	0.311	-1.014	0.00	0.00	0.90	0.32	0.00	0.00	0.00	0.00	0.00
GATA1	0.300	-1.037	0.00	0.00	0.00	0.00	-1.98	-2.21	0.00	0.00	-1.40
IFNA	0.273	-1.095	0.00	2.74	1.95	3.73	0.00	2.77	0.00	-1.89	0.00
IGFBP2	0.273	-1.095	0.00	0.00	0.00	0.03	0.18	-2.77	0.00	-0.98	-1.35
GLI1	0.249	-1.152	0.00	0.10	0.00	-0.04	0.00	-2.31	0.00	-0.92	0.00
IL1RN	0.249	-1.152	0.00	0.00	0.00	-2.52	0.00	-2.21	-2.63	-2.43	0.00
Cg	0.167	-1.383	0.00	-0.53	0.00	0.00	0.00	0.00	-3.24	-2.84	-1.87
ITGB1	0.167	-1.383	0.00	-0.15	1.93	0.00	0.00	0.00	-1.86	0.00	-2.11
JUN	0.107	-1.613	0.00	-1.59	0.00	0.00	0.00	0.00	-1.95	-2.14	-1.77
EPAS1	0.103	-1.629	0.00	0.00	0.00	0.00	0.00	-2.57	-1.93	0.00	-1.95
HGF	0.103	-1.629	0.00	2.00	0.52	2.00	0.00	0.00	0.00	0.00	0.00
CST5	0.080	-1.753	0.00	-0.39	0.00	0.00	-0.25	0.00	-1.94	-3.17	-1.46
miR-10	0.049	-1.972	0.00	1.05	0.24	0.00	0.06	-0.73	0.00	0.00	-1.86
miR-122	0.021	-2.304	0.00	0.00	-1.83	0.00	0.00	0.00	-2.48	-3.00	-3.69
WT1	0.021	-2.304	0.00	1.92	0.00	0.06	0.00	0.00	0.00	-0.38	-0.62
SMARCA4	0.016	-2.410	0.00	0.00	0.00	-1.20	0.00	-3.17	-1.76	-4.09	-2.95
NANOG	0.016	-2.411	0.00	-1.46	0.08	0.00	-1.29	-1.79	-3.21	-2.77	-2.87
KDM5B	0.011	-2.535	0.00	1.90	0.00	0.00	0.00	0.00	-2.39	-2.24	-3.09
ETS1	0.008	-2.668	0.00	0.49	0.00	0.00	0.00	0.00	-1.84	-2.07	-2.07
SMAD3	0.004	-2.848	0.00	0.00	0.00	0.00	-1.64	-2.19	-2.14	-2.37	-2.32

904

905 Upstream transcriptional regulators in matched sputum cell pellets from the U-  
906 BIOPRED cohort (n=97), as determined by Ingenuity Pathway Analysis for the sputum  
907 lipidomics TDA groups. All fold changes in gene expression are relative to the basal  
908 TDA group C1 ('healthy'). The heat map is sorted by z-score of a JT-test for ranked  
909 alternatives.