

# Characterisation and modelling of nonlinear resonance behaviour on very-high-frequency silicon nanoelectromechanical resonators

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## ABSTRACT

This paper reports a novel method to build a model for nonlinear resonance behaviour of very-high-frequency (VHF) silicon nanoelectromechanical (NEM) resonators, measured via  $1-\omega$  mixing resonance measurements. Systematic fitting results for the experimental data of a 1.5- $\mu\text{m}$ -long beams have been achieved with explicit explanation of the amount of intrinsic mechanical nonlinearity and nonlinear voltage-tuning effect. Asymmetric line shape and onset of hysteresis on nonlinear resonance behaviour have been well demonstrated with less fitting errors. The development of a modelling method of nanoscale resonator devices which includes nonlinear response is beneficial for seamless technology transfer from individual devices to integrated systems in the future.

## 1. Introduction

Nonlinear responses of micro- and nano-electromechanical (MEM/NEM) resonators have been well documented since the first experimental observation of nonlinear MEM resonance, reported by Andres et al. [1] in 1987, and then in recent years are attracting much more attention in NEM resonators as nonlinear resonance regime can be easily reached thanks to their small mass and size [2]. While nonlinear behaviours are generally considered undesirable characteristics of common micro- and nanoelectromechanical systems (MEMS/NEMS) when operated in the linear regime, there have been some cases reported where nonlinear characteristics are actively utilised to improve the performance of devices, or even required to achieve desirable functionality. Sansa et al. [3] proved a concept of a nonlinear detection scheme which amplified the resonance response and enhanced the dynamic range for sensing applications. To implement a neural network by using coupled MEM oscillator arrays, nonlinear behaviour of MEM resonators with hysteric characteristics is suggested to play a pivotal role in fading memory functionality [4]. An alternative approach of developing

neural computing hardware based on nonlinear MEM oscillators has also been proposed [5,6].

This trend strongly suggests that detailed analysis of nonlinear dynamic behaviour of MEM/NEM resonators is important. In particular, towards highly-integrated MEM/NEM resonator arrays for neuromorphic computing or active system-level integration of MEMS/NEMS sensor arrays for Internet-of-Things (IoT) applications, it is very important to develop analytical or mathematical models to describe the operation of MEM/NEM resonators, including their nonlinear behaviour with a certain level of accuracy.

Silicon NEMS devices possess great technological advantage in terms of integration capability as their fabrication processes are designed well compatible with advanced Si-based integrated circuits (IC) technologies [7]. Since the first demonstration of Si NEMS resonators in ultra-high-sensitivity mass sensors [8,9], ultra-low-leakage current switches [10,11] and in-plane resonators on a silicon-on-insulator (SOI) platform, which is compatible with complementary metal-oxide-semiconductor (CMOS) technology [7,12], are among those devices developed to extend Si NEMS. We have fabricated doubly-clamped silicon NEM

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resonators on an SOI platform with CMOS-compatible processes and reported in-plane resonance characteristics [13] and out-of-plane resonance with their fundamental-mode resonance frequencies of up to 330 MHz, which is over the higher end of the very-high-frequency (VHF) band (30–300 MHz) [14]. Recently, the nonlinear resonance behaviour of doubly-clamped Si NEM resonators has been measured via a frequency modulation (FM) detection method and analysed by comparing with the nonlinear Duffing oscillator equation to develop systematic and accurate models of nonlinear NEM resonators [15]. Further details of the modelling procedure for the FM detection data will be published elsewhere.

1- $\omega$  mixing measurement is an alternative method to detect the resonance behaviour of NEM resonators by using the NEM resonator as a mixer of radio frequency (RF) signals, where the data analysis is found to be much simpler than that in the FM method. In this paper, we develop a novel model for the solutions of NEM resonators nonlinear dynamics characterisation and successfully implement it to the analysis of nonlinear resonance for a 1.5- $\mu\text{m}$ -long silicon NEM beam with 1- $\omega$  mixing measurement. The correspondence between the dimensional or material parameters of physical silicon NEMS resonators fabricated on SOI-CMOS platform and the physical parameters appearing in the Duffing equation will be discussed systematically. Our discussion will include an analysis of characteristic hysteric behaviours and of the conversion coefficient between the detected current and the displacement of the beam.

## 2. Mathematical model for nonlinear NEM resonators

Fig. 1(a) shows a schematic diagram of a NEM resonator which we focus on this study. A doubly-clamped silicon suspended beam is placed in proximity to three electrodes: a back electrode from the substrate and two side electrodes which are laterally aligned with the beam. Only the back electrode is used in this study to actuate out-of-plane motion of the beam, to be modelled in the following. Due to the ultra-small size of the suspended beam, the external driving force should be large enough to achieve the required signal-to-noise ratio between the resonant and off-resonant states, resulting in the regime with the largest amplitude of

vibrating motion, where nonlinearity has to be taken into account. In general, nonlinearity stems from various sources such as the mechanical properties of materials, geometrical asymmetry, or types of external driving force, as has been investigated previously in [5,6,13–15].

Our modelling starts from the one-degree-of-freedom universal equation of motion,

$$m_b \ddot{x}(t) + C \dot{x}(t) + Kx(t) = F_{elec} \quad (1)$$

where  $m_b$  is the effective mass,  $C$  is the damping coefficient,  $K$  is the measure of stiffness, and  $F_{elec}$  is the external electrostatic driving force. The effective mass  $m_b$  of a doubly-clamped silicon nanobeam with a surrounding  $\text{SiO}_2$  layer is obtained from the similar analysis reported in [8] in detail. The damping coefficient  $C$  is substituted by  $\omega_0 m_b / Q$ , where  $\omega_0$  is the mechanical resonance frequency and  $Q$  is the quality factor. At the nanoscale, energy dissipation from the resonator can come from different damping sources which are primarily contributed by fluidic or gas, anchor, and thermoelastic damping. The effect by fluidic dissipation can be computed via boundary integral equation (BIE) proposed by [16] and further simplified and validated by [17,18] where anchor and thermoelastic damping model for MEMS have been reported by [19]. In particular, all of the above reported damping models are closely linked with the deformation and proportional to the package air pressure. Details about the dissipation model and temperature dependence can be found in [13,14], which used identical NEM resonators to those described in this paper. The quality factor is considered to be constant for same sample with a fixed temperature (300K) and vacuum pressure ( $3 \times 10^{-2}$  mbar) in this study.

By following the approach presented in [5,13], the restoring force  $Kx(t)$  is written into a perturbation series as,

$$Kx(t) = k_0 [x(t) + k_1 x(t)^2 + k_2 x(t)^3 + \dots + k_n x(t)^{n+1} + \dots] \quad (2)$$

where  $k_0$  is the linear stiffness coefficient and  $k_n$  is defined as a stiffness coefficient of an  $x^n + 1$  term. The even-order restoring energy ( $n = 2, 4, 6 \dots$ ) is cancelled out due to the symmetry of the structure, while the displacement  $x(t)$  remains less comparable to the initial gap  $g_0$ , so the higher order terms of  $n > 4$  are negligible. The remaining  $x(t)^3$  term

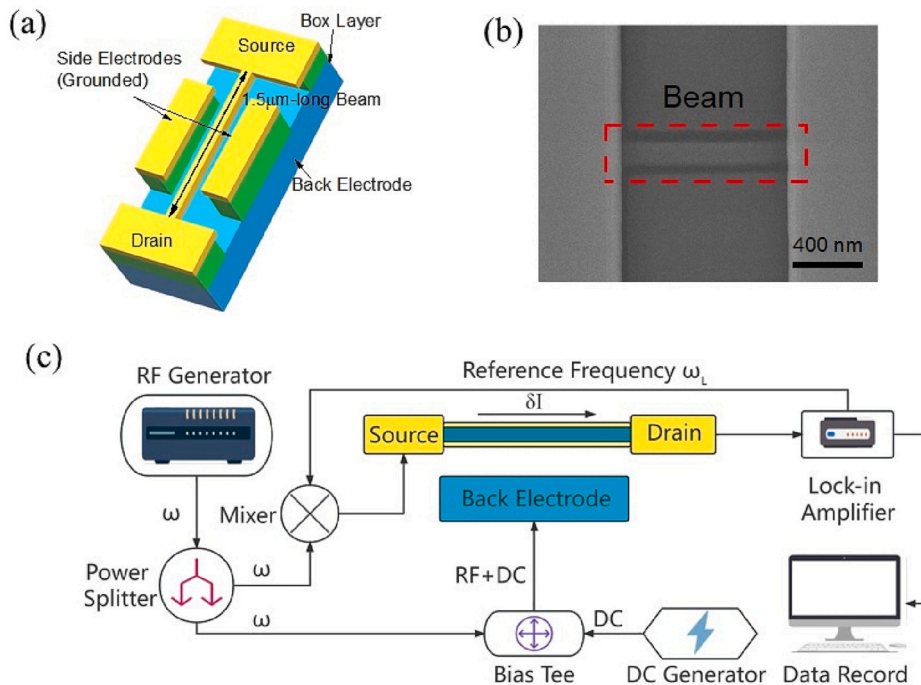


Fig. 1. (a) A schematic of a NEM resonator. The designed SOI thickness is 45 nm. (b) An SEM image of a 1.5- $\mu\text{m}$ -long beam. (c) A 1- $\omega$ -mixing measurement diagram.  $V_{DC}$ ,  $V_{AC}$ , and  $V_L$  are the actuation voltage, RF, and modulation signal amplitudes, respectively.  $\omega_L$  is the modulation frequency.

represents the nonlinearity derived from the mechanical stiffness term and the coefficient  $k_2$  is equivalent to the mechanical nonlinear stiffness  $\beta_m$ .

The external force is induced via capacitive coupling between the suspended beam and the backside electrode where DC and AC voltages are applied (See the  $1-\omega$  measurement diagram in Fig. 1 (b)). The electrostatic force  $F_{elec}$  is with the change of capacitance due to the motion of the beam, therefore can be expressed as a function of DC bias voltage  $V_{DC}$ , driving AC voltage  $V_{AC}$ , and with the gap  $g = g_0 - x(t)$ ,

$$F_{elec} = \frac{\epsilon_0 S V_{DC} (V_{DC} + V_{AC})}{[g_0 - x(t)]^2} = \frac{\epsilon_0 S V_{DC} (V_{DC} + V_{AC})}{g_0^2} \left[ \frac{1}{g_0} + \dots + \frac{n}{g_0^n} x(t)^n \right] \quad (3)$$

where  $\epsilon_0$  is the vacuum permittivity,  $S$  is the cross-sectional area of the capacitance,  $g_0$  is the initial gap distance, and the equation is expanded about  $x = 0$ . For the same reason discussed for Eq. 1,  $F_{elec}$  can be simplified as,

$$F_{elec} = F_{elec}^0 + \frac{2\epsilon_0 S V_{DC} (V_{DC} + V_{AC})}{g_0^3} x(t) + \frac{4\epsilon_0 S V_{DC} (V_{DC} + V_{AC})}{g_0^5} x(t)^3 \quad (4)$$

where  $F_{elec}^0$  is the initial term of  $F_{elec}$  in time domain. The coefficients of  $x(t)$  and  $x(t)^3$  are defined as  $k_e$  and  $\beta_e$ , which are the equivalent electrical linear and nonlinear stiffnesses, respectively. Eq. (1) can be re-written as,

$$x(t)'' + \frac{\omega_0}{Q} x(t)' + \omega_0^2 x(t) - k_e x(t) + \omega_0^2 \beta_m x(t)^3 - \beta_e x(t)^3 = \frac{F_{elec}^0}{m_b} \quad (5)$$

$$k_e = \frac{2\epsilon_0 S V_{DC} (V_{DC} + V_{AC})}{m_b g_0^3}, \beta_e = \frac{4\epsilon_0 S V_{DC} (V_{DC} + V_{AC})}{m_b g_0^5} \quad (6)$$

Note that the coefficient of  $x(t)$ ,  $\omega_0^2 - k_e$ , is related to the shift of resonance peak position under biasing, whereas the coefficient of  $x(t)^3$ ,  $\omega_0^2 \beta_m - \beta_e$  represents the degree of nonlinearity which can be tuned by external voltage.

There are various approaches to solving the nonlinear ordinary differential equation (ODE) as Eq. (5). Besides commonly used computational method like harmonic balance and 4th Runge-Kutta, Frangi's group [18,20–24] have made a consistent effort at using a continuation approach to study nonlinear characteristics for various MEMS designs. The definition of arclength control enables the continuation approach to be a versatile method to simulate the dynamics of MEMS with high nonlinearity, allowing the intermedia solution to be visible in simulation. Homotopy analysis method (HAM) is a similar ODE solving approach that uses a pre-defined controller to trace the final solutions from initial guesses with assistance from Newton-Raphson iteration [25,26]. This paper employs a numerical method called the Petrov-Galerkin (P-G) method to obtain an approximated solution of Eq. (5), which is known to be ideal for solving differential equations for a symmetric system that contains odd-order terms only and to convert the system function to another domain [27]. Hence, this feature makes the P-G method intrinsically suitable to solve the problem in this paper, as Eq. (5) is a time-domain equation and the target fitting model is for frequency-domain analysis.

Here we use a test function  $x(t) = A(\omega)\cos(\omega t) + B(\omega)\sin(\omega t)$ , where  $A(\omega)$  and  $B(\omega)$  are frequency-dependent coefficients of two orthogonal components for  $x(t)$ . The P-G method focuses on minimising weighted residuals, in this case, the residual work. For a steady-state symmetric oscillation, the total residual work in each periodic oscillation cycle is balanced to be zero [15,26,28]. From Eq. (5), the instantaneous residual force  $R(t)$  is defined as,

$$R(t) = \left[ x(t)'' + \frac{\omega_0}{Q} x(t)' + \omega_0^2 x(t) - k_e x(t) + \omega_0^2 \beta_m x(t)^3 - \beta_e x(t)^3 \right] - \frac{F_{elec}^0}{m_b} \quad (7)$$

Therefore, the residual work is a product of the residual force and the displacement, which is expressed as

$$R(t)x(t) = R(t)A(\omega)\cos(\omega t) + R(t)B\sin(\omega t). \quad (8)$$

By integrating Eq. (8) through a period of  $2\pi/\omega$ , we obtain

$$G_1(A, B, \omega) = \int_0^{\frac{2\pi}{\omega}} R(t)A(\omega)\cos(\omega t)dt = 0 \quad (9)$$

$$G_2(A, B, \omega) = \int_0^{\frac{2\pi}{\omega}} R(t)B(\omega)\sin(\omega t)dt = 0$$

where  $G_1(A, B, \omega)$  and  $G_2(A, B, \omega)$  are two orthogonal functions of the residual work per cycle, which are equal to zero.

A set of two equations as functions of  $A, B$ , and  $\omega$  in Eq. (9) can be solved numerically by using Newton-Raphson iteration [29]. The iteration equation is written as

$$[A_{N+1}(\omega) B_{N+1}(\omega)] = [A_N(\omega) B_N(\omega)] - \frac{G}{J}, \quad (10)$$

where  $G, J$  are the matrix ( $G_1, G_2$ ) and the Jacobian matrix of  $G$ , respectively.

$$J = \begin{pmatrix} \frac{\partial G_1}{\partial A}(A, B, \omega) & \frac{\partial G_1}{\partial B}(A, B, \omega) \\ \frac{\partial G_2}{\partial A}(A, B, \omega) & \frac{\partial G_2}{\partial B}(A, B, \omega) \end{pmatrix} \quad (11)$$

After a number of iterations  $N = 500$ , steady-state approximate solutions for  $A$  and  $B$  as a function of  $\omega$  are obtained and the absolute value of the displacement,  $|x(\omega)|$  is expressed as  $|x(\omega)| = \sqrt{A(\omega)^2 + B(\omega)^2}$ .

### 3. Experimental methods and analysis

NEMS resonator samples used in this study were fabricated by SOI-CMOS compatible process with top-down hybrid electron-beam/deep-ultraviolet (EB/DUV) lithography. Fabrication detail can be found in [14]. The beam consists of n-type heavily-doped silicon with doping concentration of  $4 \times 10^{19} \text{ cm}^{-3}$  and surrounding thermal  $\text{SiO}_2$  layer. The designed dimensions of the silicon nanobeams are 1.5  $\mu\text{m}$  in length, 45 nm in thickness, and 105 or 135 nm in width. An SEM image of a 1.5- $\mu\text{m}$ -long NEM resonator sample is shown in Fig. 1 (b), showing a successful definition of nanoscale Si suspended beam structure.

Fig. 1 (c) shows a schematic diagram of a  $1-\omega$  mixing measurement system to detect the resonance of NEM resonators. An RF signal with the frequency  $\omega$ , generated by Agilent N5181A MXG signal generator, is split into two by the power splitter. One is fed to a bias tee where the RF signal is combined with DC bias, generated by an Agilent B1500A semiconductor device analyser, and then applied to the back electrode of a NEM resonator. Another is connected to a mixer, where a reference signal  $V_{\omega_L}$  with the frequency of  $\omega_L$ , generated by a Stanford Research Systems SR830 DSP lock-in amplifier, is mixed and then routed to the input electrode for the suspended nanobeam. The output electrode of the beam is connected to the lock-in amplifier to detect the current modulation of the beam for the frequency  $\omega_L$ . The conductance change results from the change of the induced charge  $\delta Q$  in the beam, given by  $\delta Q = \delta(C_g V_g) = C_g \delta V_g + V_g \delta C_g$ , where  $C_g$  is the capacitance between gate and beam, and where  $V_g$  is the constant part of the signal  $V_{DC}$  applied at the back electrode,  $\delta V_g$  is the varying signal applied on the back electrode,

which is  $V_{AC}$  [30]. The change of charge  $\delta Q$  is a sum of the standard transistor gating effect  $C_g V_{AC}$ , which is modulated by the changing of the back electrode signal and the change of capacitance due to the motion of the beam [30]. The current modulated due to the conductance change is detected by using the NEM beam as a mixer. The change of the current is the product of the beam signal  $\delta V_{Beam}$  and the conductance  $\delta G$ , which can be expressed as follows [30],

$$\delta I = \delta G \delta V_{Beam} = \frac{1}{2\sqrt{2}} \frac{\delta G}{\delta V_g} \left( V_{AC} + V_{DC} \frac{\delta C_g}{C_g} \right) \delta V_{Beam} \quad (12)$$

in terms of the transconductance  $\delta G/\delta V_g$  and the gate-beam capacitance modulation  $\delta C_g/C_g$ .  $V_{Beam}$  is the signal applied to the beam. At the resonance, the capacitance modulation is enhanced due to the increase of the beam displacement, resulting in the appearance of a peak of the current modulation signal at the resonance with respect to the frequency sweep. Eq. (12) is used to link between the current modulation data which were obtained experimentally and the theoretically-deduced displacement value in the following comparative study. The displacement simulated by using the model displayed in the last section contributes the change of capacitance  $\delta C_g$ , leading to the final calculation of  $\delta I$ . Given the frequency control  $\omega$ , the current-frequency response  $\delta I$  can be obtained accordingly, and then used for the fitting of experimental data to extract key parameters  $\omega_0, Q, \beta_m$  by giving available fitting parameters such as dimensional parameters  $L, W$ , thickness,  $g_0$ , and experimental conditions  $V_{DC}, V_{AC}$ .

#### 4. Results and discussion

Prior to measurement of resonance behaviour and subsequent comparison with the theoretical model, we estimated the transconductance  $\delta G/\delta V_g$  by using the background baseline noise current  $I_{bg}$  of a NEM resonator at off-resonance [31], detected by the lock-in amplifier without applying  $V_{DC}$ .  $I_{bg}$  is derived from Eq. (12) with  $V_{DC} = 0$  as

$$|I_{bg}| = \frac{1}{2\sqrt{2}} \frac{\delta G}{\delta V_g} |V_{Beam}| \cdot |V_{AC}| \quad (13)$$

$I_{bg}$  of a NEM resonator with  $L = 1.5 \mu\text{m}$  and  $W = 105 \text{ nm}$  has been measured with increasing applied RF power and plotted in Fig. 2. Because the value of  $V_{Beam}$  is a product of AC and reference signals, the  $I_{bg}$  shall be proportional to  $V_{AC}^2$ . Taking  $V_{AC}^2$  as a horizontal axis, the clear linear relationship between  $I_{bg}$  and  $V_{AC}^2$  indicates the transconductance  $\delta G/\delta V_g$  is constant in this power range. With  $v_{\omega_L} = 50 \text{ mV}$ , the transconductance  $\delta G/\delta V_g$  is estimated to be  $54.5 \text{ nS/V}$ , which will be used in

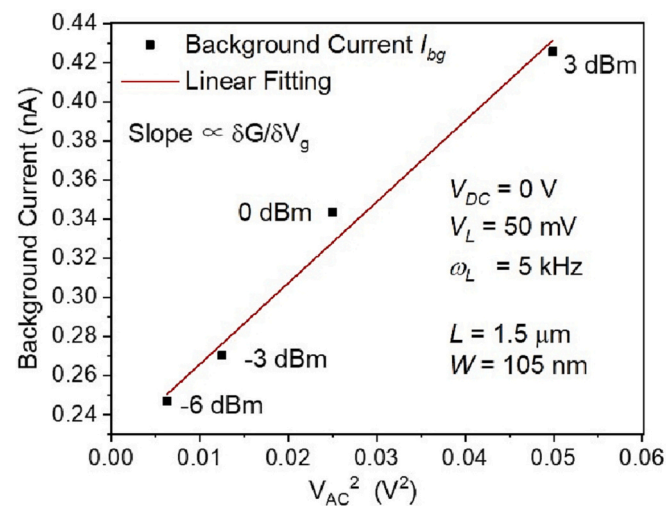


Fig. 2. Background signal versus  $V_{AC}$ .  $\delta G/\delta V_g$  is extracted from the linear fitting.

subsequent data analysis. Note that we assume  $\delta G/\delta V_g$  at  $V_{DC} = 0$  is not changed with applying  $V_{DC}$  in our heavily doped conductive silicon beam.

Fig. 3 (a) presents how the resonance lineshape is developed with changing  $V_{DC}$  for the modulation current of the NEM resonator with  $L = 1.5 \mu\text{m}$  and  $W = 105 \text{ nm}$ . Marks plotted in Fig. 3 (a) are experimental data. At  $V_{DC} = 3 \text{ V}$ , the resonance appears at around  $124.1 \text{ MHz}$  and then the resonance frequency is shifted leftwards with increase of  $V_{DC}$ . Note that the current amplitude at the resonance has increased with increasing  $V_{DC}$ , from  $830 \text{ pA}$  to  $2.47 \text{ nA}$  when  $V_{DC}$  is increased from  $3 \text{ V}$  to  $6 \text{ V}$ . Fig. 3(b) summarises the  $V_{DC}$  dependence of the resonance frequency and amplitude of the current modulation. The red solid lines in Fig. 3 (a) are curves fitted with the numerical solutions of the Duffing equation (Eq. (5)). The well-fitted results suggest our approximated solutions can explain well how the resonance is changed with respect to the actuation voltage  $V_{DC}$ . The downshift of the frequency with the application of  $V_{DC}$  corresponds to the effects of equivalent electrical linear stiffness  $k_e$ . The total amount of stiffness is characterised as a coefficient of the  $x(t)$  term in Eq. (5), which is  $\omega_0^2 - k_e$ . With increasing  $V_{DC}$ ,  $k_e$  increases according to Eq. (6) so that the total amount of linear stiffness is decreased, resulting in the leftward shift of the resonance. This is an electrical softening effect. The increase of the current amplitude with increasing  $V_{DC}$  is also consistent with Eq. (12), where the  $V_{DC}$  increase contributes directly, as well as the increase of increase of electrostatic force, leading to further displacement of the beam and then modulation of the capacitance.

A set of graphs in Fig. 4 display the result of resonance characteristics of the  $1.5\text{-}\mu\text{m}$ -long and  $105\text{-nm}$ -wide NEM resonator beam under varied RF power  $P$ , which has the unit of dBm. For each fixed  $V_{DC}$  of  $3, 4, 5,$  and  $6 \text{ V}$  (Fig. 4 (a) – (d)), the RF power is changed from  $2 \text{ dBm}$  to  $10 \text{ dBm}$  with a step of  $2 \text{ dBm}$ , and each lineshape of resonance curve for the current amplitude is plotted accordingly with respect to the frequency. Again, marks are based on experimental data, whereas the red lines are based on the numerical solution of the Duffing equation (Eq. (5)). For all four groups of RF power dependence in Fig. 4 (a) – (d) under different  $V_{DC}$ , the current amplitude increases with increasing the RF power. Looking into the details, in Fig. 4 (a), the resonance frequency moves slightly higher with the increase of power, which corresponds to a linear hardening effect. This effect is still observed at  $V_{DC} = 4 \text{ V}$  in Fig. 4 (b). On the other hand at  $V_{DC} = 5 \text{ V}$  in Fig. 4 (c), the resonance curve with higher RF power starts showing asymmetry and the top of the peak tends to bend towards lower frequencies. This is commonly known as Duffing nonlinear softening effect, which is observed more explicitly in Fig. 4 (d) at  $V_{DC} = 6 \text{ V}$ . Strength of nonlinearity is directly proportional to the displacement according to Bartsch et al. [32], which is consistent with our observation that nonlinear behaviour becomes more prominent with increasing  $V_{DC}$  and RF power. Note that the aforementioned P-G numerical method does not consider the case where the beam is under a driving frequency  $\omega = 0$ . In this case, the beam is no longer driven by RF and the deflection is solely due to the static force with respect to the existing DC. We have employed the Euler-Bernoulli model to investigate the static deflection, having  $EI(d^4y/dx^4) = F_{elec}^0(\omega = 0)$ , where  $E, I, y(x)$  are Young's modulus of silicon, the moment of inertia for rectangular cross-section of the beam, and the static deflection solutions of the beam, respectively. The equation has been solved numerically to obtain the deflection solutions with respect to  $V_{DC}$ . Results show the static deflection is quadratically proportional to  $V_{DC}$  and the maximum static deflection is  $37.7 \text{ pm}$  when  $V_{DC}$  is  $2 \text{ V}$ , which is far less than the nanometre scale deflection at resonance.

It is noticeable that our model fitting results are consistent with the experimental data throughout the RF power variation in this study. The nonlinear equivalent electrical stiffness  $\beta_e$ , defined Eq. (6), can explain how nonlinearity can be tuned by changing  $V_{DC}$  and  $V_{AC}$ . Another key parameter representing the nonlinearity is the mechanical nonlinear stiffness  $\beta_m$ , which denotes the intrinsic amount of nonlinearity from the

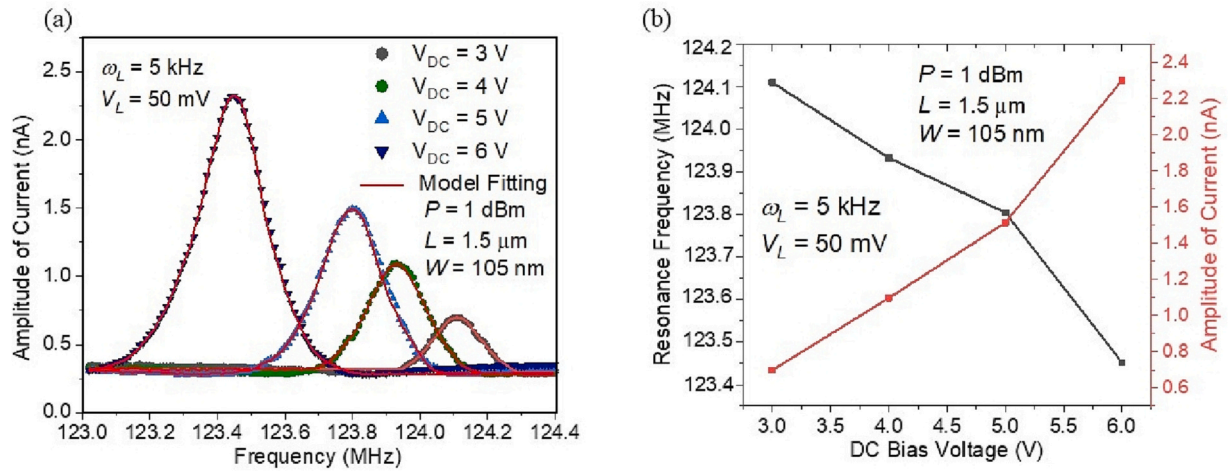


Fig. 3. (a) DC bias dependence of the resonance line shape. (b) A summary of how the resonance frequency and peak current change with respect to the DC bias.

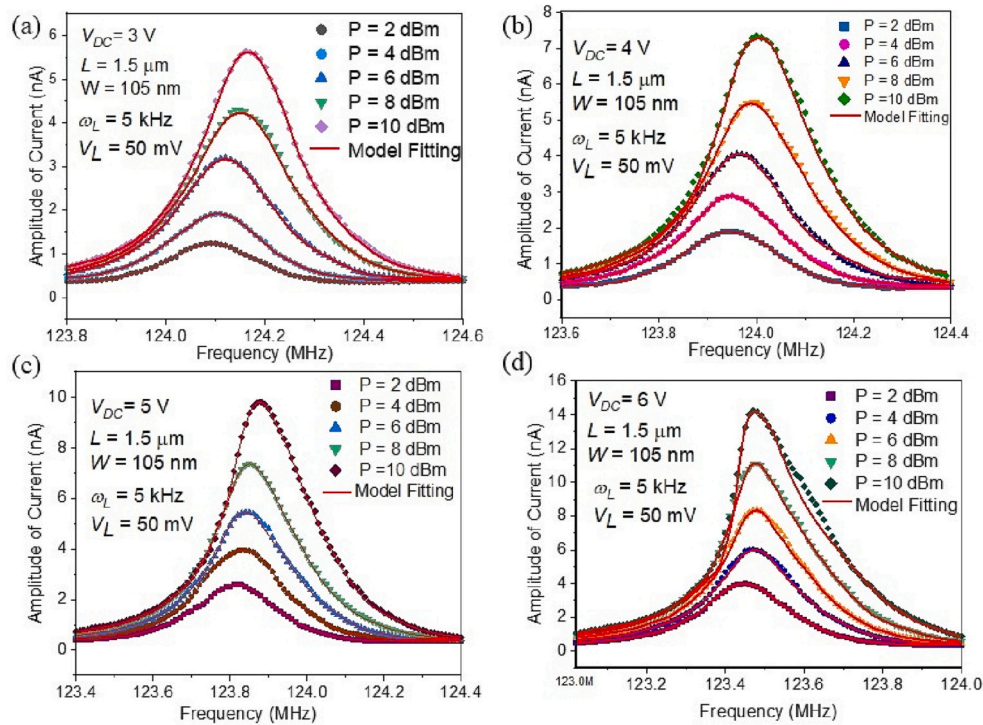


Fig. 4. Power dependence of the resonance line shape at varying DC bias at (a) 3 V, (b) 4 V, (c) 5 V, and (d) 6 V.

mechanical structure of the device. Without changing the dimensions, design and material of the device,  $\beta_m$  is considered to remain constant theoretically. Upon this hypothesis, we have successfully obtained  $\beta_m$  as a fitting parameter in current fitting of the whole four groups of power dependence data with respect to the fitting variables of  $V_{DC}$  and  $V_{AC}$ .

For the purpose of validation of the method, we use another NEM resonator sample with a slightly wider beam ( $L = 1.5$   $\mu$ m,  $W = 135$  nm)

Table 1

A summary of extracted parameters in fitting for 1.5- $\mu$ m-long beams with different designed widths.

Width(nm)	105	135
$\omega_0$ (MHz)	125.38	127.62
$Q$	~560	~528
$\beta_m$ ( $m^{-2}$ )	$-5.58 \times 10^{-5}$	$-7.72 \times 10^{-5}$
$\delta G/\delta V$ (nS/V)	~54.5	~63.3

and apply the identical approach to extract the fitting parameters in our analysis, the resonance frequency  $\omega_0$ , quality factor  $Q$ , and the mechanical nonlinear stiffness  $\beta_m$ . Table 1 summarises the parameters extracted from the fitting results for the two samples with different widths. The transconductance of the sample where  $W = 135$  nm is also estimated from the baseline noise. The wider beam shows a higher resonance frequency and a slightly lower quality factor, corresponding to the increase of stiffness, and has a higher transconductance which is consistent with the increase of conductive cross-sectional area. As for the mechanical nonlinearity stiffness  $\beta_m$ , a relatively large negative value for a wider beam could be linked with the increase of overall stiffness of the beam as well. Overall, throughout the comparison of the fitting results between two different NEM resonator samples, we have confirmed very good consistency between the experimental results and numerical solutions.

Hysteric behaviour is known to appear in nonlinear resonance when

a larger external force is applied. The hysteresis stems from the fundamental bifurcation of a nonlinear system where multiple stable states can exist simultaneously. According to [1] the onset of hysteresis can be defined as a point where the shift of resonance peak due to nonlinearity is just about to exceed the bandwidth. For a Duffing oscillator system with an  $x^3$  term, two stable states plus one intermediate state are considered to occur at the vicinity of bifurcation regime [33]. This often leads to a separation of resonance curves between the data taken with the frequency swept upward and downward. The mechanism of the P-G method focuses on the equilibrium point when integrating the residual energy, and therefore, only stable branches of the hysteresis can be simulated in our model. For the study of unstable branches, [18] reported their simulation by using continuation approach to analyse MEMS nonlinear hysteric dynamics. In our case, the model is for the preparation of further applications that utilise the bi-stability of MEMS/NEMS for neuromorphic computing. In addition, only stable branches can be obtained from experiment, hence, this paper will only focus on the fitting with respect to the stable solutions of hysteresis, where the intermediate unstable solution will be considered in the future. Fig. 5 (a) – (c) shows how hysteric behaviour is developed with the increasing of the power at the fixed  $V_{DC}$  of 8 V. Blue and red open circles represent experimental data from a forward frequency sweep and a backward frequency sweep, respectively. By extracting the maximum and minimum solutions of the Duffing equation in Eq. (5), the experimental data with hysteresis are successfully fitted as the red lines in Fig. 5 (a) – (c). Fig. 4 (d) shows how the resonance frequencies are shifted in the hysteric regime with respect to the RF power. The separation of two resonance frequencies becomes larger with increasing the RF power, leading to the frequency difference of 0.5 MHz at  $P = 12$  dBm.

The Relative Root Mean Square Error (RRMSE) is introduced to evaluate this method by quantifying the difference between experimental and numerical data as a fitting error. RRMSE is defined as,

$$RRMSE = \frac{\sqrt{\frac{1}{n} \sum_{i=1}^n (\bar{T}_{exp}^i - \bar{T}_{model}^i)^2}}{\sum_{i=1}^n \bar{T}_{exp}^i} \times 100\% \quad (14)$$

where  $\bar{T}_{exp}^i$  and  $\bar{T}_{model}^i$  are the  $i$ -th point of experimental data and model simulation data, respectively. Compared with a common error parameter such as the root mean square error (RMSE), RRMSE is more versatile to apply for comparison between different discrete set of data. By applying this error evaluation method for the  $1-\omega$  mixing measurement data taken in the study, RRMSE of 4.72% are evaluated. The fitting accuracy is considered to be excellent when RRMSE is  $<10\%$  based on the RRMSE criteria given by [34].

Our method provides with a new solution that can explain the experimental data from existing silicon-based doubly-clamped silicon NEM resonators with the resonance frequency in the VHF range of up to 120 MHz. Structural and material parameters are provided experimentally, whereas the Duffing equation is solved numerically under the specific condition where the external force is applied electrically to the oscillator via capacitive coupling. As a result,  $V_{DC}$  dependence, RF power dependence, and hysteric behaviour of nonlinear resonance of NEM resonators have been consistently well explained. For advancing the development of silicon-based integrated systems for various applications such as neuromorphic information processing or IoT devices, simple and accurate modelling of individual devices becomes more important. We believe this attempt is a key first step of model development for NEM resonator/oscillator devices by including their behaviour in nonlinear regime.

### 5. Conclusion

We have built a mathematical model for nonlinear resonance behaviour of very-high-frequency (VHF) silicon nanoelectromechanical (NEM) resonators. Electrostatic force, to be applied in  $1-\omega$  mixing

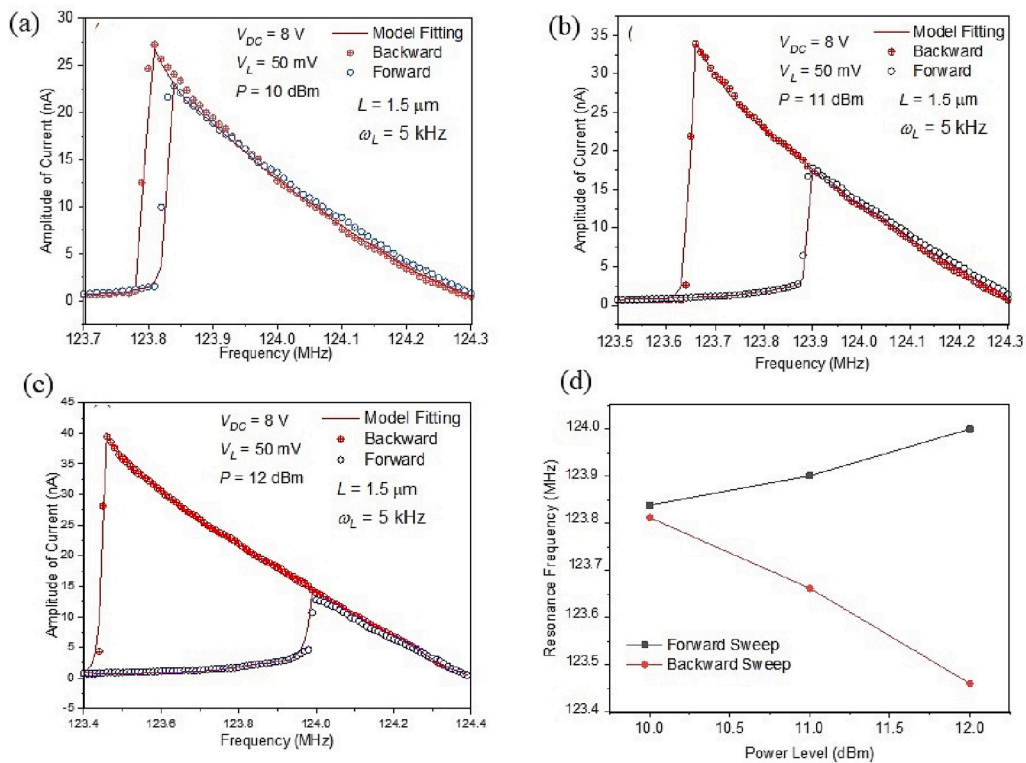


Fig. 5. Hysteresis behaviour observed experimentally is plotted with the power level at (a) 10 dBm, (b) 11 dBm, (c) 12 dBm, respectively. (d) A summary shows the difference of resonance frequency in power dependence with respect to forward frequency sweep and backward frequency sweep.

resonance measurements has been incorporated into the Duffing oscillator equation, which is solved numerically via the Petrov-Galerkin and Newton-Raphson methods to fit a set of experimental data with variation of the actuation DC voltage and RF power. Systematic fitting results for the resonance data of 1.5- $\mu\text{m}$ -long beams, with reasonable fitting parameters, have successfully traced the characteristic asymmetric line shape and the onset of hysteresis at around the frequency of 125 MHz for the first time. The numerical results are compared with a set of experimental data by adjusting the resonance frequency  $\omega_0$ , quality factor  $Q$ , mechanical nonlinear coefficient  $\beta_m$ . With the value of  $\beta_m$  obtained from the fitting, the overall amount of nonlinearity can be analysed quantitatively by giving the expression of equivalent electrical nonlinearity  $\beta_e$ . Two stable solutions of the Duffing equation in the hysteric regime enable the model to be fitted with bi-stability, and thus be used to investigate the bifurcation phenomenon. Fitting errors are evaluated via the calculated RRMSE, showing a good alignment between our model and 1- $\omega$  mixing measurement result. This work can be a key step towards the development of an accurate and simple device model for NEM resonators.

### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

Data will be made available on request.

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