

**Delivering co-stimulatory tumor necrosis factor receptor agonism for cancer
immunotherapy: Past, current and future perspectives**

1 **Osman Dadas^{1*}, Ayse Ertay² and Mark S Cragg^{1,3*}**

2 ¹Antibody and Vaccine group, School of Cancer Sciences, Faculty of Medicine, University of
3 Southampton, Southampton, United Kingdom

4 ²School of Cancer Sciences, Faculty of Medicine, University of Southampton, Southampton, United
5 Kingdom

6 ³Institute for Life Sciences, University of Southampton, Southampton, United Kingdom

7 ***Correspondence**

8 Corresponding Authors

9 msc@soton.ac.uk or O.Dadas@soton.ac.uk

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11 **Abstract**

12 The tumor necrosis factor superfamily (TNFSF) and their receptors (TNFRSF) are important regulators
13 of the immune system, mediating proliferation, survival, differentiation, and function of immune cells.
14 As a result, their targeting for immunotherapy is attractive, although to date, under-exploited. In this
15 review we discuss the importance of co-stimulatory members of the TNFRSF in optimal immune
16 response generation, the rationale behind targeting these receptors for immunotherapy, the success
17 of targeting them in pre-clinical studies and the challenges in translating this success into the clinic.
18 The efficacy and limitations of the currently available agents are discussed alongside the development
19 of next generation immunostimulatory agents designed to overcome current issues, and capitalize on
20 this receptor class to deliver potent, durable and safe drugs for patients.

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27 **Introduction**

28 Members of the tumor necrosis factor superfamily (TNFSF) and their receptors (TNFRSF) are important
29 regulators of the immune system. Interaction between these ligands and receptors can mediate
30 proliferation, survival, differentiation, and function of immune cells (1, 2). There are 19 TNFSF ligands
31 and 29 TNFRSF receptors, representing a large and diverse family.

32 The TNFSF ligands are type II proteins which are characterised by the presence of a C-terminal TNF
33 homology domain (THD) responsible for ligand trimerization and receptor binding (3). In comparison,
34 the TNFRSF receptors have between one to six cysteine rich domains (CRD) in their extracellular region
35 (Figure 1) that are involved in ligand binding and receptor auto-association (4).

36 TNFRs can be sub-divided into three groups according to functional and structural differences; death
37 domain (DD) containing receptors, decoy receptors and TNF receptor associated factor (TRAF) binding
38 receptors (Figure 1). The DD is an 80 amino acid domain present in the cytoplasmic tail of the DD
39 containing receptors. Although the DD containing receptors mainly initiate cell death signalling, they
40 can also mediate other outcomes, such as NF- κ B signalling (1, 4). The decoy receptors lack signal
41 initiation capacity and consist of glycosylphosphatidylinositol (GPI) tethered receptors, soluble
42 receptors and receptors possessing a non-functioning DD (4). Finally, TRAF binding receptors possess
43 TRAF-interacting motifs (TIF) in their cytoplasmic tail that is responsible for recruiting TRAFs to
44 mediate downstream signalling upon receptor activation.

45 Following expression on the cell surface, several members of the TNFRSF can self-associate into dimers
46 or multimers prior to ligand binding. Although some members can be found as covalently linked
47 dimers (e.g. CD27 (5)), self-association for others is mainly driven by the pre-ligand assembly domain
48 (PLAD), largely covering the N-terminal CRD1 (6, 7), and GITR is an exception as dimerization of this
49 TNFR is driven by interactions within CRD3 (8). Formation of receptor dimers or trimers for several
50 members of the TNFRSF before ligand binding has been shown to be crucial for their interaction with
51 ligand. Deletion of the PLAD domain in TNFR1 and TNFR2, significantly reduced TNF α binding to both
52 receptors (6, 9). Although the ligand binding domain is located in CRD2/3, the reduced binding
53 suggested that ligand-independent multimerization, driven by the PLAD domain, is important for
54 ligand binding.

55 The TNFSF ligands can be found in soluble or membrane bound forms. Although one group of TNFRSF
56 members (category I) can be activated by soluble ligand trimers, others (category II) require
57 interaction with the membrane bound ligand to be fully activated (4). For example, soluble TNF α binds
58 with higher affinity to TNFR1 than TNFR2 and primarily activates TNFR1 signalling, whereas TNFR2 is

59 mainly activated by membrane bound ligand (10, 11). Although CD40 and GITR are both activated by
60 trimeric ligands, activation is further enhanced with higher valency ligands or cross-linking of the
61 trimeric molecules, presumably through induction of higher-order clustering (8, 12, 13). In contrast,
62 CD27 and 4-1BB show minimal activation and require higher-order clustering (12). As described above
63 activation of TNFRSF members can lead to multiple cellular outputs including proliferation, survival
64 and differentiation, several of which may be therapeutically beneficial.

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84 **Rationale behind targeting TNFRSF**

85 In addition to T-cell receptor (TCR) interaction with peptide-MHC (major histocompatibility complex),
86 T cells require co-stimulatory signalling to be fully activated and generate an optimal response (14).
87 Co-stimulatory TNFRSF members expressed on T cells include CD27, OX40, 4-1BB, TNFR2 and GITR.
88 Co-stimulatory receptors on antigen presenting cells (APCs) are also important, with molecules such
89 as CD40 playing a critical role in licensing and activation of dendritic cells (DCs) and B lymphocytes
90 during an immune response (15), to elicit appropriate humoral and cellular adaptive immunity. DCs
91 can be excluded from the tumor microenvironment and multiple immunosuppressive mechanisms
92 can suppress their maturation and full activation, preventing effective T-cell responses (16-18). The
93 DCs up-regulate multiple TNFSF ligands after maturation which are required for the optimal co-
94 stimulation of T cells. Thus, targeting the TNFRSF members to provide co-stimulation is an attractive
95 approach to elicit effective T-cell responses.

96 The majority of the T-cell co-stimulatory receptors are only upregulated and appreciably expressed
97 after TCR activation, e.g. 4-1BB expression on adoptively transferred T cells is detected 12 to 24 hrs
98 after stimulation (19) whereas others, most notably CD27, are constitutively expressed on T cells (2).
99 Once expressed, the various TNFR are available for engagement by their ligands, which themselves
100 also possess specific kinetics of expression (20). Although the downstream signalling pathways of the
101 co-stimulatory TNFRSF members are not identical, signals are mainly initiated after TRAF recruitment
102 to their cytoplasmic tails which leads to NF- κ B and JNK pathway activation (21).

103 Stimulation of these co-stimulatory receptors contributes to enhanced effector function but also
104 survival of the T cells. For instance, CD27 stimulation through engagement of its ligand CD70 leads to
105 expression of cytokines such as IFN- γ , Interleukin-12 (IL-12), IL-5, IL-4 and IL-2 (2, 22), alongside the
106 complementary cytokine receptors including IL-12R and IL-2R. Similar to CD27, stimulation of OX40
107 leads to up-regulation of cytokines and cytokine receptors such as IL-12R and IL-2R on T cells,
108 supporting their activation (23, 24). GITR stimulation also promotes the expression of IFN- γ , IL-2 and
109 IL-2R (25) and is required for optimal CD8⁺ effector T-cell generation as absence of GITR on CD8⁺ T
110 cells significantly reduces their expansion following an influenza infection (26). CD27 engagement can
111 alter cellular metabolism to support the rapid expansion of T cells after activation. Here, the
112 expression of the serine threonine kinase Pim-1 is upregulated to facilitate increased aerobic glycolysis
113 and protein translation during proliferation (27-29).

114 TNFR signalling also supports survival of activated T cells. CD27 increases expression of the anti-
115 apoptotic protein Bcl-XL in T cells, reduces the level of FasL on CD4⁺ T cells and reduces CD8⁺ T-cell
116 sensitivity to FasL-stimulated apoptosis (28, 30). Similarly, anti-apoptotic proteins such as Bcl-XL and

117 Bcl-2 are upregulated following OX40 stimulation (31), Bcl-XL and Bfl-1 are upregulated by 4-1BB (32)
118 and Bcl-XL is upregulated after GITR engagement (26).

119 CD27 signalling induces CD8⁺ T-cell differentiation into cytotoxic T lymphocytes (CTL) and CD4⁺ T-cell
120 differentiation into Th1 cells (27). Increased cytotoxic capacity of CTLs is supported by mechanisms
121 such as up-regulation of IL-2, important for their survival, and IFN- γ , which is further up-regulated by
122 IL-2 signalling. Increased cytotoxic capacity and effector functions of CD8⁺ T cells has also been shown
123 after 4-1BB stimulation (33). Similar activities are evident on APCs, where CD40 signalling is critical for
124 their ability to induce effective CD8⁺ T-cell responses. Stimulation of CD40 on DCs is important for their
125 maturation and ability to present antigens to T cells. Activation of CD40 also leads to production of
126 pro-inflammatory cytokines such as IL-12, IL-6 and IL-1 β (34). Moreover, CD40 stimulates expression
127 of co-stimulatory ligands such as CD80 and CD86, that interact with the receptors on T cells (e.g. CD28)
128 for further activation.

129 In addition to the effects during naïve T-cell priming, co-stimulatory receptors of the TNFRSF
130 contribute to the generation of the memory T-cell pool. CD27 signalling during the initial activation
131 phase of CD8⁺ T cells is required for the development of memory CD8⁺ T-cell subsets and efficient
132 expansion during the secondary response. Stimulation of CD27 during the initial response leads to IL-
133 7R α expression on effector CD8⁺ T cells, which in turn increases the frequency of memory precursor
134 cells (35, 36). Similarly, 4-1BB and OX40 signalling are required for the generation of robust memory
135 T-cell pools (37, 38). Stimulation of antigen specific CD8⁺ T cells with a 4-1BB agonist during priming
136 leads to the generation of a strong memory CD8⁺ T-cell pool, resulting in a high secondary response
137 (39). OX40 signalling is also important for T-cell memory. Although the primary expansion of CD8⁺ T
138 cells was not impaired in OX40L^{-/-} mice following influenza infection, there were defects in the
139 secondary response of the virus specific CD8⁺ T cells (40). GITR has also been shown to be important
140 for the secondary expansion of memory CD8⁺ T cells as in vitro generated WT or GITR^{-/-} memory cells
141 showed significantly different expansion capacity in an influenza infection recall response (26).

142 as Additionally, the crucial role of co-stimulatory members of the TNFRSF in generating immune
143 surveillance is evidenced by the development of various pathologies in individuals with TNFR
144 deficiencies/mutations. For example, deficiency of CD27 or CD70 can lead to development of Epstein-
145 Barr virus (EBV)-related immunodeficiency and lymphoproliferative disorders including B-cell
146 malignancies (41, 42). Characterisation of the immune response of an individual with CD27 deficiency
147 who had hypogammaglobulinemia and persistent symptomatic EBV viremia revealed impaired IL-2
148 production in their CD8⁺ T cells which are the primary immune cells responsible for clearing EBV
149 infections. IL-2 is critical for CD8⁺ T-cell function and impaired IL-2 production contributes to defective

150 immune responses (41, 43, 44). 4-1BB deficiency can also lead to EBV driven complications and
151 individuals can have persistent EBV viremia and EBV-related lymphoproliferation. CD8⁺ T cells from 4-
152 1BB deficient individuals showed reduced proliferative and cytotoxic capacity (45). Deficiency in
153 functional OX40 can lead to Kaposi sarcoma development in individuals with human herpes virus 8
154 infection (46). Similarly, CD40 or CD40 ligand deficiency can lead to immunodeficiency due to impaired
155 APC function, which subsequently leads to impaired T-cell responses (47, 48), alongside an absence of
156 germinal center-mediated somatic hypermutation and class switching in the humoral response known
157 as hyper-IgM syndrome (49, 50). Dysregulation of the TNFRSF co-stimulatory receptor signalling and
158 associated diseases identified to date are illustrated in table 1. Further, the importance of co-
159 stimulatory TNFRSF members in functional immune response generation is also supported in multiple
160 constitutive and conditional TNFRSF knock out (-/-) models. For example, 4-1BBL deficiency in mice
161 leads to impaired CD8⁺ T-cell responses against viral infections and predisposes the mice to B-cell
162 lymphoma development (51-53). Similarly, CD27^{-/-} mice have defects in the generation and
163 accumulation of effector T cells at the site of infection following influenza infection, with the memory
164 T-cell pool impaired (54, 55).

165 As the importance of co-stimulatory TNFRSF members in the development of a functional immune
166 response has become clear, many of these receptors have subsequently been targeted to modulate
167 the immune response in the context of immunotherapy. In this review we have restricted ourselves
168 to discussing findings mainly in the field of cancer immunotherapy. Moreover, as various definitions
169 of agonism exist, here we have defined agonism as activating the target receptor either via FcγR
170 dependent or independent mechanisms.

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172 **Therapeutic targeting of the TNFRSF**

173 Agonistic targeting of the co-stimulatory members of the TNFRSF has shown to be effective in pre-
174 clinical tumor models. Targeting 4-1BB in tumor models representing liver cancer, floor of mouth
175 squamous cell cancer, colorectal cancer and lymphoma, using monoclonal antibodies (mAb) or
176 recombinant 4-1BBL has generated robust anti-tumor responses (56-59). Buchan et al demonstrated
177 that two different mechanisms can contribute to a robust anti-tumor response induced by anti-4-1BB
178 antibodies in certain models and contexts; 1) stimulating the effector T cells and 2) depleting Treg
179 cells. Additionally, depleting Tregs first and then agonising the effector T cells induced better
180 responses than only depleting the Tregs or agonising the effector T cells (58). Similar to 4-1BB,
181 targeting OX40 or GITR has been shown to stimulate robust anti-tumor responses in several pre-
182 clinical tumor models, through a similar mechanism of action i.e. agonising effector T cells or depleting

183 Tregs (60-62). Treatment of solid tumors in a pre-clinical study with an agonistic anti-GITR mAb,
184 increased the infiltration and activity of effector CD4⁺ and CD8⁺ T cells (63). In another study with the
185 same agonistic mAb targeting a different solid tumor model however, the effect was mainly through
186 depletion of intra-tumoral Tregs and slight increase in the infiltration of CD8s which resulted in a
187 significantly improved CD8⁺ to Treg ratio (64). Additionally, the CD8⁺ T cells exhibited a more activated
188 phenotype. These results indicate that anti-GITR mAbs can also act through different mechanisms and
189 the dominant mechanism of action can vary depending on the tumor model. Moreover, it has been
190 shown for OX40 and GITR targeting that the differential level of expression on effector T cells vs Tregs
191 can lead to preferential depletion of Tregs as a consequence of higher levels of receptor expressed on
192 them, enhancing immunotherapy (65-67).

193 Targeting CD27 has also been shown to induce significant anti-tumor responses in several pre-clinical
194 models. Agonistic anti-CD27 antibody was efficacious in murine lymphoma models such as BCL₁ and
195 A31 (68). In a study where DCs in CD27^{-/-} mice were manipulated to exhibit constitutive expression
196 of CD70, an ovalbumin (OVA) expressing melanoma model (B16-OVA) was rejected following OVA
197 specific (OT-1) CD8⁺ T cell transfer and OVA challenge whereas adoptive transfer of CD27^{-/-} OT-1 CD8⁺
198 T cells did not elicit protective anti-tumor immunity (69) indicating the contribution of CD27/CD70
199 pathway to anti-tumor response in this model. In theory, targeting CD27 can induce anti-tumor
200 responses by either agonising the effector cells or depleting the Tregs dependent on the level of
201 expression on individual cell populations (70) similar to targeting other members of the TNFRSF.
202 Additionally the method of CD27 targeting (modality, engagement of Fc gamma receptors (FcγR) etc.)
203 is also a key issue determining the mode of action as described in more detail below. Despite providing
204 a strong anti-tumor response, the most agonistic anti-CD27 mAb also induced activation induced cell
205 death in the effector CD8⁺ T cells (70) indicating that the strength of the stimulation needs to be
206 appropriately tuned to induce a strong primary immune response and not impair other effects such
207 as memory generation.

208 Another therapeutically exciting TNFR, TNFR2, is expressed on multiple immune cells, including Tregs
209 at high levels and has been shown to be crucial for their survival. Therefore, targeting TNFR2 to deplete
210 Tregs was considered as a potential mechanism to boost effector T-cell responses in anti-tumor
211 immunity. Although several studies demonstrated the possibility of such an approach (71, 72), it has
212 recently been shown that targeting TNFR2 can also work through agonistic mechanisms in pre-clinical
213 models. Tam and colleagues demonstrated that an agonistic anti-TNFR2 mAb could stimulate the
214 expansion of tumor specific CD8⁺ T cells with improved effector function. The agonistic mAb was
215 efficacious in multiple pre-clinical solid tumor models and agonising the effector CD8⁺ T cells was

216 shown to be the main mechanism of action as demonstrated by increased frequency and functionality
217 of antigen specific CD8⁺ T cells without the depletion of Tregs (73).

218

219 **Antibody targeting of the TNFRSF**

220 The main method for targeting the TNFRSF to date has been by using mAb. As the TNFRSF members
221 require trimerization and higher-order clustering for optimal activation, one way that canonical
222 bivalent mAbs can achieve this is by concurrently engaging with FcγR (Figure 2A). Depending on their
223 isotype and subclass, mAbs interact with different FcγRs (74, 75). In mouse models, the mIgG1 isotype
224 interacts with the inhibitory FcγRIIB with higher affinity and mediates further TNFR clustering to
225 induce strong agonistic responses. However, depending on the tumor model, anatomical location of
226 the tumor and microenvironmental factors, the availability of FcγRIIB can be limiting, impacting the
227 response. In support of this observation, it has been shown in pre-clinical studies that the agonistic
228 activity of anti-CD40 and anti-4-1BB mIgG1 antibodies relies on the availability of FcγRIIB (58, 76). It
229 was further demonstrated that a two-fold reduction in FcγRIIB expression completely eliminated the
230 agonistic activity of certain agonist anti-TNFR mAbs in vivo (77). In vitro studies support that for CD40
231 at least, if expressed at sufficient level, all FcγR can mediate increased agonism in line with their
232 relative affinities for the given mAb isotype (76, 78). Cross-linking of the receptors is the most likely
233 explanation for mAb induced agonism with chemical cross-linking of a mIgG2a mAb able to elicit
234 potent agonism in vivo, whereas the native mIgG2a does not (79). Importantly, several studies have
235 shown that downstream signalling from FcγRIIB is not required for its cross-linking activity (76, 77),
236 most recently demonstrated for OX40 mAb in a mouse expressing FcγRIIB with a mutant, non-
237 signalling, ITIM (80). Therefore, why FcγRIIB has this key cross-linking role in mice is not fully clear but
238 perhaps relates to expression in the right place at the right time and the fact that multiple mouse
239 models upregulate FcγRIIB in the tumor microenvironment, potentially due to hypoxia (81). Other
240 variables such as the genetic background of the mouse strain may also contribute. For example,
241 various polymorphisms in FcγRIIB have been shown to lead to reduced expression on macrophages
242 and B cells which can increase the prevalence of autoimmune conditions (82). However, the extent of
243 TNFR mAb agonism has not been compared in these different strains. Additionally, it has been
244 reported in individuals with the autoimmune disorder systemic lupus erythematosus that the level of
245 FcγRIIB expression on B cells is reduced (83) further highlighting that the level of FcγRIIB expression
246 between individuals can vary, which could impact the agonistic activity of mAb in humans.

247 FcγRIIB engagement however is not the only way to elicit higher-order TNFR cross-linking. In addition
248 to FcγR cross-linking mediated agonistic activity of TNFR mAbs, it has been shown that the human IgG2
249 isotype can evoke greater clustering of TNFR leading to powerful receptor activation (84). Critically,
250 this agonism is independent of the presence of FcγR and can be achieved in mice lacking all FcγR (85),
251 although other studies indicate that hIgG2 induced agonism may be further augmented by FcγR
252 binding (86, 87). The hIgG2 antibody is known to undergo disulfide switching in its hinge region,
253 producing several different isoforms, including hIgG2A, hIgG2B and hIgG2A/B (88) with the hIgG2B
254 isoform being highly agonistic and the hIgG2A isoform agonistically inert (84). Recent analysis has
255 confirmed that the disulfide bonding pattern in the hinge region of the more agonistic isoforms gives
256 the antibodies a less flexible conformation leading to increased agonism whereas the isoforms with
257 higher flexibility were found to be less agonistic (89). Although initially shown first for anti-CD40 mAb,
258 this capability of the hIgG2(B) isotype has subsequently been confirmed for OX40 and 4-1BB and also
259 CD28 (a member of the immunoglobulin receptor superfamily) (78, 84).

260 Detailed characterisation of several anti-TNFR mAb has also revealed that the level of agonistic activity
261 can depend on which domain of the receptor the antibody binds to. Antibodies binding to CRD1, the
262 membrane distal domain, of the CD40 extracellular region induced higher agonistic activity than
263 antibodies binding to the membrane proximal domains (90). Similar to CD40, mAb binding to
264 membrane distal domain of CD27, CRD1, were more agonistic (91). However, mAb binding to the
265 membrane proximal CRD4 of OX40 were found to be more potent agonists than mAb binding to other
266 CRDs (92). It should also be noted that even within a single domain, activity of antibodies may be
267 markedly different with some far more highly agonistic dependent upon their fine epitope and also in
268 rare cases can be independent of their isotype. For example the anti-CD40 mAb, CP870,893 binds
269 CRD1 (90) and is highly agonistic in any isotype, whereas 341G2, which also binds CRD1, is entirely
270 inert as a hIgG1 and hIgG4 but maximally active and super-agonistic as a hIgG2 (85). Similar
271 observations can also be made with other TNFRs (73). Of interest, most agonistic anti-CD40 mAbs,
272 bind in CRD1 and so do not block ligand binding. In contrast, mAbs binding within CRD2-3 block ligand
273 binding and are less agonistic (90). This observation may support a model whereby optimal agonists
274 bind outside the ligand binding region. However, the above mentioned 341G2 mAb blocks ligand
275 binding but is highly agonistic, indicating this model is incorrect. This observation is supported with
276 other TNFR family members as an agonistic TNFR2 mAb was found to completely block ligand binding
277 but still induce strong agonism (73). These observations suggest that binding to the same epitope as
278 the natural ligand is not a key determinant of mAb-mediated receptor agonism but rather that certain
279 domains and epitopes might be more generally preferable for driving agonism (such as CRD1).

280 However, as detailed above this is likely to differ for individual receptors, according to their structure
281 and biology.

282 **Tolerability and response of agonistic TNFRSF targeting in clinical trials**

283 Several agents targeting the co-stimulatory members of the TNFRSF have been tested in clinical trials.
284 Results have demonstrated that targeting certain receptors is well tolerated whereas targeting others
285 is limited due to toxicity. A list of the agents targeting these receptors can be found in table 2, with
286 specific examples outlined in further detail below.

287

288 **Targeting CD27**

289 As discussed above, CD27 is required for generating functional immune responses and targeting this
290 receptor in pre-clinical studies has generated promising results supporting clinical evaluation.
291 Varlilumab is a human IgG1 anti-CD27 antibody. It was well tolerated up to the maximum tested dose
292 of 10 mg/kg with no major adverse events as a monotherapy (93, 94). Most of the toxicity related
293 events were grade 1 or 2 with fatigue, rash, nausea, and diarrhoea the most common. Only 1 out of
294 56 patients had a transient grade 3 adverse event which was asymptomatic hyponatremia at 1 mg/kg.
295 As a monotherapy, Varlilumab showed biological and clinical efficacy against tumors including
296 hematologic malignancies, melanoma and renal cell carcinoma (93, 94). It stimulated chemokine
297 secretion, increased the number of activated T cells and induced Treg depletion. Overall, 8 out of 56
298 patients had stable disease (SD) and 1 patient had a partial response (PR) (94). More recently,
299 Varlilumab has been combined with anti-PD1 checkpoint blockade and no additional toxicities were
300 observed compared to anti-CD27 monotherapy. Although the initial results suggested that the
301 combination treatment was safe and induced SD in 17% of colorectal cancers (CRC), SD in 39% of
302 ovarian cancer (OVAC) patients, PR in 5% of CRC and PR in 10% of OVAC patients (95), more recent
303 results revealed that the objective response rate (ORR) observed in the study was less impressive: 0%
304 for renal cell carcinoma, 5% for CRC, 12.5% for head and neck squamous cell carcinoma and 12.5% for
305 OVAC (96). Following promising results of a pre-clinical study demonstrating that anti-CD27 and anti-
306 CD20 mAb in combination induced robust anti-tumor efficacy in pre-clinical B-cell lymphoma models
307 (97), another clinical study was designed where Varlilumab was combined with the anti-CD20 antibody
308 Rituximab to test efficacy in relapsed or refractory B-cell lymphoma. Combination treatment was in
309 general safe but induced a grade 3 or higher adverse event in 33% of patients. The treatment was
310 efficacious in tumors with T-cell activated status inducing SD in 3 out of 26 patients and PR in 4 out of
311 26 patients (98). Another CD27 targeting agonistic mAb in development is MK-5890, which is a

312 humanised IgG1 antibody that is being tested in the clinic as a single agent or in combination with
313 Programmed cell death protein 1 (PD-1) blocking agents in advanced solid tumors. The pre-clinical
314 characterisation of the mAb demonstrated that it could induce anti-tumor responses as a
315 monotherapy or in combination with PD-1 blockade (99). Early results suggest an acceptable safety
316 profile, although 24% of patients in the monotherapy group developed grade 3 or 4 adverse events
317 related to treatment. Combination treatment did not increase the level of adverse events observed
318 with single agent. Early signs of efficacy with MK-5890 monotherapy or combination, stimulating anti-
319 tumor responses in patients, were observed (100). Although the mAb could induce transient up-
320 regulation of chemokine levels in patients, it also induced decreases in the level of circulating T cells
321 (99) suggesting that identifying the right dosing regimen will be important for the successful
322 application of this mAb. A recent study in a pre-clinical setting addressed the determinants of agonism
323 for anti-CD27 mAb (91). It demonstrated that agonism is dictated in part by the mAb-binding domain,
324 with the membrane distal, externally facing epitopes delivering the highest level of agonism.
325 Additionally, the agonistic activity of hIgG1 mAb was shown to be improved by Fc engineering through
326 either enhanced binding to FcγRIIB or hIgG2 isotype selection. The anti-CD27 mAb currently in clinic
327 (Table 2) are unmodified hIgG1 antibodies, likely sub-optimal for agonism, and so armed with this
328 encouraging pre-clinical data, the next generation of anti-CD27 mAb may provide greater clinical
329 efficacy.

330

331 **Targeting 4-1BB**

332 4-1BB activation contributes to an optimal immune response and pre-clinical targeting of 4-1BB in
333 mouse tumor models generated robust anti-tumor responses, supporting clinical evaluation. There
334 are two mAbs in the clinic targeting 4-1BB. Utomilumab is a human IgG2 antibody that has been shown
335 to have a favourable safety profile, being well tolerated up to 10 mg/kg. The majority of the adverse
336 events caused by the antibody were grade 1 or 2 including rash, dizziness, decreased appetite and
337 fatigue in less than 10% of the patients in the study. Only 1 patient developed a grade 3/4 fatigue
338 without increased transaminase levels. The overall ORR in solid tumors was 3.8% whereas the ORR in
339 fifteen Merkel cell carcinoma patients was 13.3% with one PR and one complete response (CR) (101).
340 Utomilumab has also been tested in combination with anti-CD20 treatment in patients with relapsed
341 or refractory follicular lymphoma and CD20⁺ non-Hodgkin lymphoma (NHL). Initial results suggested
342 that the combination did not affect tolerability with the majority of the treatment related adverse
343 events being grade 1 or 2. The combination treatment showed some clinical activity especially in the
344 NHL patients (102). Additionally, safety of Utomilumab in combination with anti-PD1 blockade was

345 tested in patients with advanced solid tumors and the combination was found to be tolerable with
346 mainly grade 1 or 2 toxicities and PR or CR in 6 out of 23 patients in the study (103). However, despite
347 tolerability, clinical responses have overall been underwhelming.

348 Urelumab is another 4-1BB targeting agonist antibody which is of human IgG4 isotype. A study testing
349 the safety and tolerability of Urelumab indicated that the maximum tolerated dose (MTD) of antibody
350 given every 3 weeks was 0.1 mg/kg and higher doses induced liver toxicity in a higher percentage of
351 patients and at higher severity above 1 mg/kg dose (104). In another study in which Urelumab was
352 combined with Rituximab, the MTD was again found to be 0.1 mg/kg but the combination did not
353 enhance the effect achieved by Rituximab alone (105). Several pre-clinical studies suggested that the
354 liver toxicity induced by agonist anti-4-1BB antibody could be due to infiltration and activation of
355 macrophages in the liver which leads to infiltration and abnormal activation of T cells, mainly CD8⁺ T
356 cells, leading to tissue damage (106, 107). Minimising FcγR interactions through deglycosylation has
357 been shown to reduce these toxicities (108).

358

359 **Targeting CD40**

360 CD40 signalling is important for APC (DC and B cell) activation and the development of strong T-cell
361 responses. It is one of the most targeted members of the TNFRSF in clinical trials. One of the initial
362 antibodies to be tested in multiple studies was CP870,893 which is a human IgG2 mAb. However, the
363 antibody had to be given at low doses due to the MTD being 0.2 mg/kg. The antibody achieved modest
364 clinical effects as a monotherapy in advanced solid tumor patients potentially due to the low doses
365 not saturating the receptor (15). CP870,893 has also been tested in combination with multiple agents
366 ranging from checkpoint blockade antibodies to chemotherapy. Although a significant improvement
367 in response was not achieved with checkpoint blockade combination, combining anti-CD40 mAb with
368 chemotherapy achieved significant responses in pancreatic ductal adenocarcinoma patients (109).
369 Another human IgG2 anti-CD40 mAb recently developed is CDX1140. Initial studies suggested that the
370 antibody is tolerated up to 1.5 mg/kg as a single agent or in combination with a recombinant dendritic
371 cell growth factor, with the majority of the adverse events being low grade and early suggestion of
372 clinical benefit in advanced solid and hematologic tumor patients (110). The 1.5 mg/kg dose is
373 expected to give better systemic targeting of the receptor and tissue penetration compared to the
374 MTD of CP870,893.

375 As described above, human IgG2 antibodies can elicit TNFR activation without requiring FcγR mediated
376 cross-linking. However, there is also interest in developing agents with enhanced ability to bind to

377 FcγRIIB to mediate optimal cross-linking of the antibody, leading to greater receptor clustering and
378 activation. APX005M is a humanised IgG1 anti-CD40 antibody possessing the S267E mutation in its Fc
379 domain which enhances the affinity for FcγRIIB binding by 30-fold (111). Combining APX005M with
380 anti-PD1 blockade to treat anti-PD-1/PD-L1 refractory melanoma patients showed that the
381 combination did not increase toxicity and the majority of adverse events were grade 1 or 2. Early
382 results from the study are promising and indicate that the combination evokes clinical benefit (112).

383

384 **Targeting OX40**

385 Pre-clinical studies demonstrated the anti-tumor potential of reagents targeting OX40 and agonistic
386 anti-OX40 antibodies have been shown to be well tolerated in patients. However, the response rates
387 as a monotherapy have been low. GSK3174998 was an agonist humanised IgG1 mAb tested against
388 advanced solid tumors but only induced 1 PR and 1 SD in 45 patients as a monotherapy and the
389 combination with the anti-PD-1 mAb Pembroluzimab did not significantly improve the efficacy
390 expected with Pembrolizumab alone (113). A humanised IgG2 mAb PF-04518600 was tested as a
391 monotherapy in advanced solid tumor patients but only 1 out of 25 patients had a PR while 15 out of
392 25 had SD (114). In a recent study in which PF-04518600 was combined with Utolimumab, early
393 indications were that the combination was found to be well tolerated and 7 out of 10 melanoma
394 patients and 7 out of 20 non-small cell lung cancer (NSCLC) patients experienced SD in addition to only
395 1 NSCLC patient experiencing a PR (115).

396 Another agonistic anti-OX40 mAb being tested in clinical trials is MEDI0562 which is a humanised IgG1
397 antibody. As a monotherapy in advanced solid tumors, MEDI0562 was found to be safe with the
398 majority of adverse events being grade 1 or 2. Despite the favourable safety profile, only 2 out of 55
399 patients experienced a PR and 24 out of 55 patients experienced SD (116). In another study where
400 MEDI0562 was combined with anti-PD-L1 or anti-CTLA-4 (cytotoxic T-lymphocyte associated protein
401 4) immune checkpoint blockade in advanced solid tumors, early results indicated that the
402 combinations induced grade 3 or 4 adverse events in a high frequency of patients and only 11.5% of
403 patients in the anti-PD-L1 combination group showed PRs. 34.6% of patients in the anti-PD-L1
404 combination group and 29% in the anti-CTLA-4 combination group experienced SD (117).

405

406

407 **Targeting GITR**

408 GITR activation leads to the development of strong T-cell responses and mouse tumor model studies
409 have demonstrated the anti-tumor potential of GITR targeting. Several agonistic antibodies targeting
410 GITR have been tested in clinical trials. MK-1248 is an agonist humanised IgG4 antibody against GITR.
411 In a study investigating the tolerability of MK-1248 as a single agent or in combination with anti-PD-1
412 blockade in advanced solid tumors, it was found that despite approximately 50% of patients in both
413 arms of the study developing grade 3 or higher adverse events, the clinical benefit was very limited.
414 No objective response was achieved with monotherapy and only 1 CR and 2 PRs were observed in the
415 combination arm. 15% of patients receiving single agent experienced SD whereas 41% of patients
416 receiving combination therapy experienced SD (118). Another agonistic anti-GITR agent is BMS-
417 986156, which is a human IgG1 antibody. BMS-986156 was well tolerated as a single agent in advanced
418 solid tumor patients with no grade 3 or higher adverse events and only 9.3% of patients in combination
419 with anti-PD-1 experiencing grade 3 or 4 adverse events. Despite the favourable safety profile, no
420 response was observed with BMS-986156 as a single agent and the highest ORR in the combination
421 group was only 11.1% (119). MK-4166 is another human IgG1 anti-GITR antibody that has been
422 recently tested in advanced solid tumor patients in combination with anti-PD-1 blockade. Although
423 the treatments were found to be well tolerated, single agent again did not induce any clinical benefit.
424 Comparing the checkpoint blockade treatment naïve versus pre-treated melanoma patients showed
425 that the treatment naïve patients were responsive to MK-4166 and anti-PD-1 combination. 5 out of
426 13 patients had a CR and 3 out of 13 patients had a PR suggesting that the combination treatment
427 might be efficacious in this particular group of patients (120).

428

429 **Targeting TNFR2**

430 TNFR2 targeting agonist mAbs can generate strong anti-tumor T-cell immunity but are mainly still in
431 pre-clinical development and only recently starting clinical assessment. MM-401 is an agonist anti-
432 human TNFR2 mAb in development. Using a mouse surrogate version of the antibody, it was found
433 that TNFR2 agonism could generate strong anti-tumor responses by activating CD8⁺ T cells and NK
434 cells with activity dependent on FcγR interactions, presumably mediated by cross-linking of the
435 receptor. In addition, the antibody synergised with checkpoint blockade (121). BI-1910 is another
436 agonist anti-TNFR2 mAb in development following promising results from a surrogate anti-mouse
437 TNFR2 antibody; this mAb induced strong anti-tumor responses in several pre-clinical tumor models
438 and was effectively combined with checkpoint blockade antibodies. The dominant mechanism of
439 action was expansion of CD8⁺ T cells and improved CD8⁺ to Treg ratio in the tumor site (122). BI-1808
440 is an alternative TNFR2 targeting mAb, classified as a deleting, ligand blocking molecule. However,

441 pre-clinical studies with a mouse surrogate indicated intra-tumoral Treg depletion and effector T-cell
442 expansion leading to an improved CD8:Treg ratio. Similar results were obtained with BI-1808 in pre-
443 clinical characterisation. BI-1808 was found to be well tolerated in non-human primates and is in
444 clinical assessment (122, 123). HFB200301 is also an anti-TNFR2 agonist antibody which is already in
445 a phase I clinical trial of advanced solid tumor patients (124). Using human TNFR2 knock-in mouse
446 models, it was suggested that HFB200301 could stimulate anti-tumor responses through expansion of
447 effector T cells and NK cells without depleting the Tregs. The agonistic ability of the antibody was
448 found to be independent of Fc γ R mediated cross-linking (125). Although much of the data is not yet
449 mature, with peer review lacking for most of the pre-clinical studies, the potential of TNFR2 targeting
450 antibodies in oncology are exciting and the initial results from clinical trials are eagerly awaited by the
451 immuno-oncology community.

452

453 **Recent approaches in targeting TNFRSF members to overcome current limitations**

454 Fc engineering

455 As described above, despite success in pre-clinical studies, clinical efficacy of targeting TNFRSF
456 members has been limited. One factor which may help to explain this is the lack of a human antibody
457 isotype equivalent of mIgG1 with preferential binding towards Fc γ RIIB to facilitate agonistic activity.
458 Therefore, in order to enhance Fc γ RIIB engagement, Fc engineering approaches have been developed
459 to improve the affinity of antibodies towards hFc γ RIIB. Although several mutations such as SE (S267E)
460 and SELF (S267E-L382F) have been identified to improve affinity to hFc γ RIIB, those mutations
461 improved affinity to hFc γ RIIIa as well, due to sequence and structural similarity between the two
462 receptors. Other mutations such as V9 (G237D-P238D-P271G-A330R) and V11 (G237D-P238D-H268D-
463 P271G-A330R) however, were found to specifically improve the affinity of antibodies towards hFc γ RIIB
464 by approximately 32 and 96 fold, respectively (86). Comparing WT and Fc engineered anti-human
465 CD40 antibodies in mice expressing hFc γ Rs, the variant with the V11 mutation was found to be
466 superior to others, indicating the possibility of this approach to be taken forward for further
467 development. Subsequent analysis demonstrated that systemic delivery of the agonistically enhanced
468 variant could pose a risk of inducing toxicity and optimal receptor occupancy might not be reached
469 with the MTD. Delivering the mAb via intra-tumoral injections was shown to ameliorate toxicity, yet
470 retain significant tumor control even at low doses (126) indicating that where this method of delivery
471 is practical (e.g. for localised/accessible lesions) it could provide a solution.

472 Another approach to overcome the requirement for mAb cross-linking could be via alternative, Fc γ R-
473 independent, Fc domain engineering which was recently demonstrated for anti-human OX40 mAbs.
474 Building on seminal studies showing that E345R, E345K and E430K single point mutations in the Fc
475 region could promote “on-target” multimerization (once the mAb binds to the receptor) of the mAbs
476 to facilitate optimal engagement of the hexa-headed C1q molecule (127, 128), Zhang et al showed
477 that E345R single mutation or K248E-T437R double mutations in the Fc region could induce “on-
478 target” multimerization of agonistic OX40 antibodies, leading to activation of the receptor in an Fc γ R-
479 independent way (129, 130). Although the Fc engineered antibodies were active in the absence of
480 Fc γ R cross-linking, their activity could be further improved by Fc γ RIIB mediated cross-linking,
481 suggesting that this approach could provide the possibility of targeting receptors in tissues without
482 Fc γ RIIB availability but when Fc γ R are available, the activity will be further boosted.

483 Receptor cross-linking independent of Fc γ R

484 Although improving Fc γ RIIB affinity of antibodies can augment agonism, as previously mentioned the
485 availability of Fc γ RIIB at the relevant anatomical site to provide the cross-linking can be a limiting
486 factor. Thus, alternative approaches have been developed to generate agonistic agents without the
487 requirement of Fc γ R mediated cross-linking. In addition to the hlgG2 isotype, soluble recombinant
488 TNFSF ligands have been explored as a means to replicate the natural multimeric ligand-receptor
489 interaction. The potency of soluble trimeric ligands could be improved by additional cross-linking and
490 this approach was demonstrated for several ligands including OX40L, CD40L and 4-1BBL (12, 131).
491 However, as the soluble trimeric ligands still require additional cross-linking, practicality of this
492 approach in vivo is likely to be challenging due to possible short serum persistence of the trimers and
493 also additional non-native sequences potentially making the products more immunogenic. To
494 overcome this limitation, multimeric forms of soluble trimeric TNFSF ligands such as Fc fusion proteins
495 have been developed. Multimeric ligands do not require the additional cross-linking required by the
496 trimeric forms and the Fc fusion facilitates better in vivo persistence via its interaction with the
497 neonatal Fc receptor (FcRn) (132). A CD27L-Fc fusion protein designed to mimic the natural CD27L
498 activity was found to be active in in vitro and in vivo assays boosting T-cell activation (133). In that
499 study, one CD27L extracellular domain (ECD) was fused to one Fc domain suggesting that the active
500 product consisted of multimeric trimers of the ligand and multimers of Fc domains. More recently, a
501 hexameric human CD27L fusion protein consisting of six CD27L ECDs and a silent human IgG1 Fc
502 domain (not interacting with Fc γ R) has been reported (134). In this construct, three ECDs of the ligand
503 are linked in a single chain format and fused to the IgG1 Fc domain with the idea of bringing two ligand
504 trimers together upon Fc domain dimerization (Figure 2B). The fusion protein induced activation and

505 proliferation of T cells in in vitro and in vivo experiments independently of FcγR engagement (134).
506 Additionally, the hexameric fusion protein demonstrated anti-tumor efficacy in pre-clinical models.
507 Hexameric Fc fusion ligand proteins in the same format have also been developed for CD40L, GITRL
508 and 4-1BBL (135-137). Despite the promising pre-clinical results, the hexameric ligand proteins have
509 short half-lives in circulation. Although this could be considered as a disadvantage, shorter stimulation
510 of the immune cells can also lead to generation of a strong response and possibly could be better than
511 chronic stimulation, which might have detrimental effects (138, 139). It has been shown in multiple
512 studies that continuous stimulation of CD27 leads to defects in the immune cells. Continuous
513 stimulation of CD27 by constitutive expression of CD70 on B cells resulted in increased apoptosis and
514 depletion in NK cells (140) or T-cell immunodeficiency (138). Similarly, continuous 4-1BB stimulation
515 leads to overactivation of CD8⁺ T cells and macrophages which eventually results in impaired CD8⁺ T-
516 cell activity (139). Thus, timing and strength of stimulation are crucial in inducing a strong immune
517 response and avoiding immunopathology. By experimentally determining the correct dose, schedule
518 and treatment routes the hexameric ligands might generate strong immune responses in patients.
519 Although not central to this review, it is worth noting that agonistic ligand formats have also been
520 developed in non-cancer contexts. A TNFR2 specific recombinant TNF ligand protein was recently
521 developed with the aim of expanding Tregs. On the other hand, it is worth noting that agonistic ligand
522 formats, specifically TNFR2 specific recombinant TNF ligand protein, have also been developed with
523 the aim of expanding Tregs in non-cancer contexts. A nonameric version of the recombinant protein
524 was initially found to have suboptimal serum retention in vivo but a newly developed version in which
525 an Fc silent irrelevant IgG molecule is fused to two trimeric ligand units to generate a hexameric ligand
526 showed improved pharmacokinetics and robust Treg expansion in vivo (141).

527 Recent technological advances in the field have enabled the use of computational methods to design
528 desired structures. Using such approaches, researchers have produced antibody molecules in various
529 oligomeric states, in a format described as “antibody nanocages”. These nanocages were found to
530 activate several receptor targets, including converting an antagonist anti-CD40 mAb into an agonist
531 due to the ability of the designed structure to induce receptor clustering (142). This approach could
532 potentially be applied to a plethora of different receptors to identify the best design for optimal
533 receptor activation in each case.

534 Reagents targeting tumor microenvironment to induce localized TNFR activation and reduce toxicity

535 In addition to the variation of the availability of FcγRIIB in target tissue to provide optimal cross-linking
536 of agonistic mAbs, off-target toxicity has also been an issue. Although some agonistic mAbs such as
537 Varlilumab against CD27 was well tolerated, the clinical efficacy was modest. In contrast, the 4-1BB

538 agonist Urelumab was active but found to induce liver toxicity at high doses. The mechanism behind
539 the toxicity of Urelumab is thought to be the activation of the liver resident FcγR-expressing Kupffer
540 cells, with the agonistic cross-linking of the anti-4-1BB mAb enabled by the high level of FcγR expressed
541 on these myeloid cells (77) or other FcγR-expressing cells in the liver, such as FcγRIIB expressing
542 sinusoidal liver endothelial cells (143). Activated Kupffer cells produce IL-27 which is an inflammatory
543 cytokine involved in infiltration and expansion of other immune cells, especially T cells into the tissue
544 (106). Hepatotoxicity following 4-1BB agonism indicated that systemic delivery of the agonistic
545 reagents has the risk of off-target toxicity. Thus, recent efforts have focussed on eliminating the risk
546 associated with systemic delivery in favour of targeted agonism – localising the mAb to the desired
547 site. One approach has been to generate recombinant proteins with a tumor targeting domain. For
548 example, single chain fragment variable (scFv) domains of an anti-4-1BB mAb have been fused to a
549 trimerization domain (producing a trivalent 4-1BB targeting molecule) with further fusion of a tumor
550 targeting domain on the C-terminus to direct the trimer to the tumor site (144). Although the trimeric
551 protein had short in vivo stability, the anti-tumor response generated in mouse tumor models was
552 similar to an agonistic anti-4-1BB mAb and the trimer did not induce toxicity, which was apparent with
553 the agonistic mAb. Additionally, repeated dosing of the trimeric protein also did not induce off-target
554 toxicity indicating that targeted agonism approach could overcome the non-specific toxicity.

555 More recently, a tumor antigen targeting 4-1BB bispecific molecule was generated with one arm of
556 the antibody designed to target a tumor antigen and the other designed to form a trimeric h4-1BBL.
557 The bispecific molecule was generated in an Fc silent format to maintain normal antibody-like
558 pharmacokinetics but at the same time eliminating FcγR engagement to prevent off-target toxicity.
559 Binding of the tumor antigen specific arm at the tumor site allows accumulation of 4-1BBL in the tumor
560 tissue to facilitate multimeric interaction between the ligand and receptor (Figure 2C) and activate the
561 T cells in the tumor microenvironment. The bispecific molecule had a favourable pharmacokinetic
562 profile and could accumulate in the tumor site, confirmed in non-human primates (145). Additionally,
563 the bispecific molecule proved to be able to induce activation of T cells from human tumor tissues and
564 also induce anti-tumor immunity in pre-clinical models. However, the main activity was observed
565 when the bispecific molecule was used in combination with another T-cell bispecific agent stimulating
566 the TCR and targeting a tumor antigen (145, 146), indicating that optimal co-stimulation happens in
567 the presence of TCR stimulation. While the bispecific molecule had favourable serum stability, it did
568 not induce toxicity indicating that it could be used in combination with other T-cell inducing
569 treatments. Similar bispecific molecules with a scFv arm targeting a tumor associated antigen and a
570 TNFSF ligand arm targeting a co-stimulatory receptor on the T cells have also been characterised in

571 other studies (147). In these molecules however, a tag was inserted for purification purposes and its
572 immunogenicity will have to be assessed further during in vivo validation of these reagents.

573 Another approach to induce TNFR clustering involves duokines, where both arms of the bispecific
574 molecule are targeting members of the TNFRSF. Initially, the proteins were developed by either fusing
575 one ECD protomer of a TNFSF ligand to one ECD protomer of another TNFSF ligand to allow
576 trimerization of the ligand molecules by non-covalent interactions or by developing them as a single
577 chain polypeptide in which three ECDs of each ligand were linked onto the same polypeptide chain
578 separated by flexible linkers (148). Depending on the choice of ligands, this approach allows targeting
579 of receptors in cis (on the same cell surface) or trans (on different cells) orientations. The single chain
580 duokines were found to be more stable than non-covalently formed duokines and could induce in vitro
581 and in vivo stimulation of T cells as co-stimulatory molecules. Using 4-1BBL-CD40L as a trans acting
582 duokine or 4-1BBL-CD27L as a cis acting duokine, Fellermeier-Kopf and colleagues showed that both
583 molecules could induce anti-tumor immunity in a pre-clinical melanoma model in combination with a
584 TCR targeting bispecific antibody (148). In a subsequent study, Fc fusion proteins of the duokines were
585 generated to facilitate enhanced stability in circulation with the active protein adopting an antibody
586 structure with each single chain trimeric ligand domain being fused to Fc regions and dimerization of
587 the Fc regions bringing two trimeric ligands together (149). Although the protein was still active in
588 combination with a TCR targeting bispecific antibody, interestingly, the Fc fusion did not improve the
589 pharmacokinetic profile. These data demonstrated the possibility of using these duokines to target
590 two co-stimulatory TNFR molecules to boost the anti-tumor response. By identifying the optimal
591 combination strategies, they could potentially enhance the anti-tumor responses in the clinic.

592 It has been clearly observed that blocking the immune checkpoint molecules PD-1 or CTLA-4 can
593 generate strong anti-tumor responses but the majority of the patients are either refractory or develop
594 resistance to these therapies. In recent studies, bispecific molecules targeting the checkpoint
595 inhibitory receptors and co-stimulatory members of the TNFRSF have been developed as a means to
596 enhance their activity. There are multiple advantages to this approach: First, the interaction of the
597 inhibitory checkpoint receptor and its ligand is blocked to release the suppression on the immune
598 response. Second, the inhibitory molecules are mainly expressed in the tumor microenvironment and
599 this ensures targeted activation of the co-stimulatory receptor at the tumor microenvironment,
600 avoiding systemic toxicity. Third, the bispecific antibody can be generated in an Fc silent format to
601 avoid potential systemic toxicity with co-stimulatory receptor clustering achieved by the checkpoint
602 receptor targeting arm acting as an anchoring domain. An Fc silent IgG1 bispecific antibody in a
603 tetravalent format with two Fab arms targeting PD-L1 and two 4-1BB targeting domains introduced
604 into the CH3 domains, termed Fc-region with antigen binding, was recently developed (Figure 2D).

605 The mouse surrogate version of the bispecific induced activation of T cells in vitro and induced anti-
606 tumor immunity in vivo without hepatotoxicity. The human version of the protein induced human T-
607 cell activation in vitro and toxicology studies in non-human primates, enabled by cross-reactivity
608 between species, showed that the bispecific was well tolerated (150) and had higher activity than the
609 combination of the single agents. Similar bispecific molecules in tetravalent formats targeting PD-L1
610 and CD40 or 4-1BBL have also been reported in other studies. In vitro characterisation of these
611 products showed PD-1/PD-L1 blockade and target receptor activation in an FcγR independent manner,
612 supporting further validation in in vivo studies (151). In support of these findings with bispecific
613 molecules, it was recently shown that an anti-PD-1/GITRL bispecific molecule induced a different
614 mechanism of action than the combination of single agents and was more efficacious in pre-clinical
615 studies (8). The co-stimulatory antibodies being tested in combination with checkpoint blockade
616 antibodies to date have shown favourable tolerability in clinical trials (see above), and the recent
617 findings support the idea that the bispecific molecules could achieve better results than the
618 combination treatments.

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633 **Conclusion**

634 TNFRSF members represent powerful targets for immunomodulation. Promising pre-clinical data of
635 agonistic mAbs targeting TNFRSF has clearly demonstrated their potential to provide anti-tumor
636 efficacy. However, the translation from the pre-clinical studies to the clinic has been difficult and lack
637 of significant response rates or toxicity in the clinic with conventional mAbs has directed researchers
638 to develop new strategies.

639 Other immunomodulatory agents such as the checkpoint blockade antibodies have shown better
640 success than the agonistic antibodies against TNFRs. However, while the responses thus far are
641 limited, there is an opportunity for combining the two strategies, as has been shown in pre-clinical
642 studies.. With new approaches, such as targeted agonism and bispecifics delivering two or more
643 different mechanisms of action with a single agent, success rates may improve. The challenge however
644 remains the same – evoking powerful, curative immune responses whilst avoiding toxicity. Hopefully,
645 such innovation will finally unlock TNFR targeting for the clinic.

646

647 **Conflict of Interests**

648 M.S.C. acts as a consultant for a number of biotech companies, being retained as a consultant for
649 BioInvent International and has received research funding from BioInvent International, GSK, UCB,
650 iTeos, and Roche and receives institutional payments and royalties from patents and licenses relating
651 to antibody immunotherapy. O.D. is funded by a research grant from BioInvent International. A.E. has
652 no conflicts to declare.

653

654 **Author contributions**

655 O.D. researched data and wrote the manuscript with M.S.C. O.D. and A.E. produced the figures. All
656 authors commented upon and approved the final manuscript.

657

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660

661

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1150 **Table 1. TNFRSF co-stimulatory receptor dysregulation and disease development.** These co-
 1151 stimulatory receptors have been reported to contribute to a clinical condition as a consequence of
 1152 defects in their normal expression, function or ligand binding.

Receptor	Defect	Associated disease	Reference
CD27	Absent or reduced receptor expression	EBV related immunodeficiency B-cell malignancies	(41, 152-154)
4-1BB	No receptor expression	EBV-related lymphoproliferation	(45)
OX40	Reduced receptor expression and defective ligand binding	Kaposi sarcoma after human herpes virus 8 infection	(46)
CD40	Defective receptor expression or defective ligand binding	Impaired T-cell responses Hyper-IgM syndrome	(47, 155, 156)
TNFR2	Gene polymorphisms (Effects on the receptor not yet characterised)	Autoimmune diseases Hepatitis B virus related liver disease	(157, 158) (159)

BAFFR	Loss of function mutation	Common variable immunodeficiency	(160, 161)
	Gain of function mutation	Non-Hodgkin lymphoma	(162)
		Autoimmunity	(163)
TACI	Defective receptor expression, defective ligand binding or defective signalling	Common variable immunodeficiency IgA deficiency	(164-166)
HVEM	Absent or reduced receptor expression	B-cell malignancies	(167-169)
REL1	Loss of function mutations or mutations predicted to reduce protein stability	Amelogenesis Imperfecta	(170, 171)

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1156 **Table 2. Co-stimulatory TNFRSF targeting agents in clinical trials.** Modalities targeting the co-
1157 stimulatory receptors CD27, 4-1BB, CD40, OX40, GITR and TNFR2 are summarised in the table. The
1158 clinical trials which are active, recruiting or not yet recruiting are listed.

Receptor	Drug	Modality	Clinical trial
CD27	Varlilumab	Human IgG1	NCT04081688; NCT03307746; NCT04941287; NCT02924038; NCT03688178; NCT03038672; NCT03617328
	MK-5890	Humanised IgG1	NCT03396445; NCT04924101; NCT04165096; NCT04165070
4-1BB	HLX35	EGFR – 4-1BB bispecific antibody	NCT05360381; NCT05442996
	Urelumab	Human IgG4	NCT02845323; NCT02652455
	Utomilumab	Human IgG2	NCT02554812
	YH004	Humanised IgG1	NCT05040932; NCT05564806
	ADG106	Human IgG4	NCT05236608
	ATOR-1017	Human IgG4	NCT04144842
	AGEN2373	Human IgG1	NCT04121676
	EU101	IgG1 with L234, L235 and K322 mutations	NCT04903873
	ABL503	PD-L1 – 4-1BB bispecific (Fc mutated, N299A mutation with FcγRI binding retained) human IgG1	NCT04762641
PRS-344/S095012	PD-L1 – 4-1BB bispecific (4-1BB specific Anticalin protein), Fc silenced IgG4	NCT05159388	

	GEN1046	PD-L1 – 4-1BB bispecific DuoBody (Fc silenced IgG1 antibody from human PD-L1 and humanised 4-1BB antibodies)	NCT05117242; NCT04937153
	INBRX-105	PD-L1 – 4-1BB bispecific, humanised IgG	NCT03809624
	GEN1042	CD40 – 4-1BB DuoBody, Fc silenced human IgG1 bispecific antibody	NCT05491317
	YH32367	HER-2 – 4-1BB bispecific antibody	NCT05523947
	FS222	PD-L1 – 4-1BB bispecific antibody, Fc silent human IgG1	NCT04740424
	RO7122290	FAP targeted 4-1BBL bispecific	NCT04826003
	PRS-343	HER-2 – 4-1BB bispecific (4-1BB specific Anticalin protein)	NCT05190445
	RO7227166	CD19 - 4-1BBL bispecific fusion protein	NCT04077723
	NM21-1480	PD-L1 – 4-1BB – HAS tri-specific antibody	NCT04442126
	CB307	Tri-specific Humabody targeting CD137, PSMA and HSA, not interacting with FcγR	NCT04839991
CD40	CDX-1140	Human IgG2	NCT05029999; NCT04491084; NCT04520711; NCT05349890; NCT05231122; NCT04616248; NCT05484011; NCT04364230
	LVGN7409	Antibody with enhanced FcγRIIB binding	NCT04635995; NCT05152212
	Mitazalimab	Human IgG1	NCT04888312
	2141-V11	Human IgG2 with enhanced FcγRIIB binding	NCT05126472; NCT04059588; NCT04547777
	SEA-CD40	Non-fucosylated humanised IgG1	NCT02376699; NCT04993677
	APX005M	Humanised IgG1	NCT03165994; NCT03389802; NCT04130854; NCT05419479; NCT03719430; NCT04337931; NCT02706353; NCT02600949; NCT03502330
	TQB2916	Humanised IgG2	NCT05213767
	RO7300490	FAP targeted CD40 bispecific agonist	NCT04857138
	SL-172154	SIRPα-Fc-CD40L fusion protein	NCT04406623; NCT05483933; NCT05275439
	MP0317	FAP - CD40 - HSA Trispecific DARPin molecule	NCT05098405
	NG-350A	Tumor selective anti-CD40 expressing adenoviral vector	NCT05165433
	LOAd703	Oncolytic adenovirus encoding trimerized CD40L and 4-1BBL	NCT03225989; NCT02705196; NCT04123470
	MEM-288	Oncolytic adenovirus encoding IFNβ and CD40L	NCT05076760
	Vaccine with tumor cells and GM.CD40L	Vaccine with cells expressing granulocyte macrophage colony stimulating factor and CD40L	NCT00101101
	HPV vaccine + anti-CD40	HPV vaccine +/- anti-CD40	NCT03418480
	CMN-001	Dendritic cell therapy, cells electroporated with RNA from tumor specimen and CD40L RNA	NCT04203901
	Selicrelumab; RO7009789*	Human IgG2	NCT03193190
OX40	MEDI6469	Mouse IgG1	NCT02274155
	BMS 986178	Human IgG1	NCT03831295; NCT03410901
	INCAGN01949	Human IgG1	NCT04387071
	BGB-A445	IgG1	NCT04215978

	HFB301001	Human IgG1	NCT05229601
	MEDI0562	Humanised IgG1	NCT03336606
	IBI101	Humanised IgG1	NCT03758001
	BAT6026	Afucosylated human IgG1	NCT05109650; NCT05105971
	PF-04518600	Humanised IgG2	NCT03092856; NCT03217747; NCT03971409; NCT02554812; NCT03390296; NCT03636503
	FS120	OX40 – 4-1BB bispecific, Fc silenced human IgG1	NCT04648202
	ES102	Hexavalent humanised IgG	NCT04991506; NCT04730843
	INBRX-106	Hexavalent IgG1	NCT04198766
	EMB-09	Tetavalent PD-L1 – OX40 bispecific antibody	NCT05263180
	SL-279252	PD-1-Fc-OX40L fusion protein (IgG4 Fc)	NCT03894618
	mRNA-2752	Lipid nanoparticle encapsulating OX40L, IL-23 and IL-36 γ mRNAs	NCT03739931
	DNX-2440	Oncolytic adenovirus expressing OX40L	NCT04714983
GITR	INCAGN01876	Humanised IgG1	NCT04470024; NCT04225039
	BMS-986156	Human IgG1	NCT04021043
	REGN6569	Antibody	NCT04465487
	ASP1951	Human tetavalent antibody	NCT03799003
TNFR2	BI-1808	Human IgG1	NCT04752826
	SIM1811-03	Humanised IgG1	NCT05569057
	HFB200301	Antibody	NCT05238883

1159 Notes: * was formerly CP870,893.

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1161 **Figure 1. Classification of TNFRSF.** The TNFRSF can be classified into three sub-families. All twenty-
1162 nine members of the family, grouped into three sub-families, are indicated with the number of CRDs
1163 on their extracellular region and TNFRSF number in brackets. CRD domains are defined by Uniprot
1164 with the exception of RELT which was published as having two CRDs (172). * indicates the receptors
1165 with a truncated CRD domain.

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1167 **Figure 2. Modalities for targeting and activating TNFR.** TNFR cross-linking achieved by different
1168 mechanisms. **(A)** Engagement with Fc γ R enables bivalent mAb cross-linking leading to target receptor
1169 clustering. **(B)** A recombinant hexameric single chain ligand inducing receptor clustering. The
1170 hexameric ligand structure is composed of a full Fc domain and six TNFSF ligand ECDs. **(C)** An antibody
1171 shaped bispecific molecule with one antigen binding arm targeting a TNFR e.g. 4-1BB and the other
1172 arm targeting a receptor e.g. FAP in the tumor microenvironment. **(D)** A bispecific molecule in a
1173 tetavalent format with two antigen binding arms targeting one receptor e.g. PD-L1 and the other two
1174 antigen binding arms in the opposite end of the molecule binding the TNFR e.g. 4-1BB, to induce
1175 receptor clustering. The 4-1BB binding domains inserted into the CH3 domain are indicated as a
1176 different colour in the CH3 domain. TNFR; tumor necrosis factor receptor, Fc γ R; Fc gamma receptor,
1177 4-1BBL; 4-1BB ligand, FAP; fibroblast activation protein, PD-L1; programmed death ligand 1.