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# Towards a better understanding of human iNKT cell subpopulations for improved clinical outcomes

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Invariant natural killer T (iNKT) cells are a unique T lymphocyte population expressing semi-invariant T cell receptors (TCRs) that recognise lipid antigens presented by CD1d. iNKT cells exhibit potent anti-tumour activity through direct killing mechanisms and indirectly through triggering the activation of other anti-tumour immune cells. Because of their ability to induce potent anti-tumour responses, particularly when activated by the strong iNKT agonist  $\alpha$ GalCer, they have been the subject of intense research to harness iNKT cell-targeted immunotherapies for cancer treatment. However, despite potent anti-tumour efficacy in pre-clinical models, the translation of iNKT cell immunotherapy into human cancer patients has been less successful. This review provides an overview of iNKT cell biology and why they are of interest within the context of cancer immunology. We focus on the iNKT anti-tumour response, the seminal studies that first reported iNKT cytotoxicity, their anti-tumour mechanisms, and the various described subsets within the iNKT cell repertoire. Finally, we discuss several barriers to the successful utilisation of iNKT cells in human cancer immunotherapy, what is required for a better understanding of human iNKT cells, and the future perspectives facilitating their exploitation for improved clinical outcomes.

## KEYWORDS

iNKT cell, CD1d, cancer, lipid, immunotherapy

## Introduction

Recent therapeutic advances utilising immune-checkpoint inhibitors (ICI) have revolutionised the cancer immunotherapy field which was mainly facilitated by the introduction of monoclonal antibodies (mAb) targeting the T cell immune inhibitory molecules PD-1 and CTLA-4. Antibodies targeting these molecules have been used as

single agents or in combination for the treatment of many cancers including previously untreatable solid tumours, such as end-stage melanoma (1). However, despite the wide success of ICI, these therapies are only efficacious in a subset of cancer patients (2). Therefore, novel and more effective complementary cancer immunotherapies are needed.

Several cellular therapeutic strategies have taken centre stage, including adoptive T cell and chimeric antigen receptor (CAR) T cell therapy (3). One particular population of T cells, namely the invariant natural killer T cells (iNKT) are the focus of intense research as they can deliver powerful anti-tumour responses (4, 5). iNKT cells are not restricted to polymorphic human leukocyte antigen (HLA) and bind exclusively to the monomorphic CD1d molecule and therefore do not cause graft versus host disease (6–8). Thus, there is an untapped potential for iNKT cells to serve as an off-the-shelf therapy (9–11). To realise the potential of iNKT cells, we must develop a better understanding of human iNKT cell biology to improve subsequent iNKT cell immunotherapies.

## iNKT cells

iNKT cells are an unconventional subset of  $\alpha\beta$  T cells that specifically recognise lipids and glycolipids presented by the monomorphic MHC-like molecule CD1d (12–14). As they leave the thymus into the periphery as fully primed and matured T cells, they are considered an ‘innate-like’ subset that can bridge the gap between innate and adaptive immunity (15). They are also described as attractive targets for cancer immunotherapy as they play important roles in tumour immunosurveillance and anti-tumour immunity (5, 11, 16, 17).

iNKT cells are T lymphocytes that display properties of both T cells and NK cells, as defined by specific cell surface markers such as NK1.1 and NKG2D (10, 18–20). Human iNKT cells express a semi-invariant TCR, with the  $\alpha$  chain consisting of an invariant V $\alpha$ 24-J $\alpha$ 18 chain paired with a V $\beta$ 11 chain (21). In contrast, the chain pairing differs in mice where an invariant V $\alpha$ 14-J $\alpha$ 18 chain pairs with one of three  $\beta$  chains (V $\beta$ 8, V $\beta$ 7, or V $\beta$ 2) (22).

iNKT cells, similarly to conventional  $\alpha\beta$  T cells, begin their journey in the thymus where they undergo a rigorous selection process and subsequently migrate to peripheral tissues in a matured state able to perform effector functions without priming (15, 23). Human iNKT cells can be identified using mAbs (TCR V $\alpha$ 24 J $\alpha$ 18 clone 6B11), by reactivity to the prototypical synthetic iNKT agonist glycolipid  $\alpha$ -galactosylceramide ( $\alpha$ GalCer) and by binding to CD1d- $\alpha$ GalCer tetramers (24). Human iNKT cells can be divided into CD4<sup>-</sup>CD8<sup>-</sup> double negative (DN), CD4<sup>+</sup>, CD8<sup>+</sup> or CD4<sup>+</sup>CD8<sup>+</sup> double positive (DP) populations (25, 26). In contrast to humans, murine iNKT cells are more frequent and only occur as either CD4<sup>+</sup> or DN T cells (27, 28).

In humans, iNKT cells are predominantly located within the thymus, liver, bone marrow, spleen, and peripheral blood (for more information about the distribution of iNKT cells in mouse and human tissues, we refer the reader to an excellent review by Crosby and Kronenberg (27)). Within human peripheral blood, iNKT cells make up 0.01%–0.2% of T cells with no differences between gender;

however, they can range from undetectable to over 1% (25, 26). Even when iNKT cells are in their resting state they carry pre-formed mRNA enabling production of T helper 1 (Th1) and T helper 2 (Th2)-type cytokines (29). Upon recognition of CD1d, iNKT cells rapidly secrete copious immunomodulatory cytokines such as interferon gamma (IFN- $\gamma$ ), tumour necrosis factor alpha (TNF- $\alpha$ ), interleukin (IL)-4, and IL-17 to instigate and influence downstream immune responses (29, 30). However, iNKT cells have also been shown to secrete IL-2, IL-5, IL-6, IL-10, IL-13, IL-21, TGF- $\beta$  and GM-CSF, as well as several chemokines (31).

Activation of iNKT cells results in TCR downregulation, proliferation, and prolonged cytokine secretion (32, 33). The repertoire of Th1 and Th2 type cytokines produced by iNKT cells is modulated by the strength of the iNKT cell TCR signalling, as well as by the iNKT agonist and the type of antigen-presenting cells (APCs) presenting iNKT antigens (11, 15). Consequently, iNKT cells serve as a source of cytokines that activate and recruit other cell types including APCs early during immune responses, while activated APCs subsequently direct the ensuing adaptive immune response which help protect from infection and tumour growth (15, 34).

In addition to activation via iNKT cell TCR recognition of CD1d-lipid complexes, iNKT cells may also be activated by cytokines, such as IL-12 and IL-18 (27, 35). iNKT cells are some of the first responders during an immune response. Indeed, activated iNKT cells upregulate their IL-12 receptor and CD40L; through cross talk they induce the maturation of dendritic cells (DCs), and the subsequent production of IL-12 by the DCs (36). IL-12 secretion by DCs increases IFN- $\gamma$  production by iNKT cells, leading to a positive feedback loop for Th1 immunity (10, 36). The maturation of DCs leads to increased production of IFN- $\gamma$  by NK cells along with upregulation of MHC class I and II antigen presentation to T cells (37). This feature of iNKT cell biology to “jump-start” the ensuing innate and downstream adaptive immune response is central to exploiting iNKT cells in immunotherapy to promote anti-cancer immunity (10, 15, 37).

While the CD1d-iNKT interaction is relatively well studied in the mouse, the human iNKT system is less well understood. There have been several clinical trial efforts attempting to use the strong iNKT agonist lipid  $\alpha$ GalCer to activate this subset of T cells or through using adoptive iNKT cell immunotherapy (Table 1). However, plenty of evidence suggests the existence of a more diverse human iNKT repertoire, leading to investigations of specific subsets which has the potential to improve future iNKT-targeted cell therapies (38).

## The anti-tumour mechanisms of iNKT cells

Mouse studies have demonstrated that iNKT cells exert powerful anti-tumour responses, however, translation into humans has proved difficult (11, 39). It is now unanimously accepted that iNKT cells play a pivotal role in anti-tumour immunity both in mice and humans (11). Primarily there are three mechanisms of action through which iNKT cells elicit a cytotoxic response: (i) direct tumour lysis, (ii) recruitment and

TABLE 1 A summary of first-in-man clinical trials with iNKT-based immunotherapies.

Treatment Type	Phase	Number of Patients Completing trial/ Enrolled	Tumour Type	Clinical Outcomes (Number of patients)	Immune Response	Reference
$\alpha$ GalCer (i.v.)	I	24	Solid Tumours	SD	Increase in serum cytokine (TNF- $\alpha$ )	Giaccone et al. 2002 (20)
$\alpha$ GalCer-pulsed immature MoDC (i.v.)	I	12	Solid tumours with metastatic malignancy	Reduction of serum tumour markers (2) Necrosis of tumour (1)	Increase in serum IFN- $\gamma$ IL-12 increased in 6 donors	Nieda et al. 2004 (21)
$\alpha$ GalCer-pulsed mature DC (i.v.)		5/6	Solid tumours and myeloma	Reduction of M protein (3) SD (1)	>100 fold expansion iNKT cells Serum increase in IL-12 and IFN- $\gamma$	Chang et al. 2005 (22)
$\alpha$ GalCer-immature DCs-rich APCs (i.v.)	I	9/11	Lung cancer	SD (5)	Increase in iNKT cells (1). No patients meet criteria PR or CR	Ishikawa et al. 2005 (23)
$\alpha$ GalCer-activated iNKT (i.v.)	I	6	Lung cancer	SD (4), PR (2)	Increase in iNKT cells, increase in IFN- $\gamma$ producing cells	Motohashi et al. 2006 (24)
$\alpha$ GalCer-pulsed antigen presenting cells (nasal)	I	9	unresectable or recurrent HNSCC	PR (1), SD (5), PD (3)	Increase in iNKT (4) Significant increase in IFN- $\gamma$ producing cells (8/9)	Uchida et al. 2008 (25)
$\alpha$ GalCer-APCs (via nasal submucosa) $\alpha$ GalCer-activated iNKT (intra-arterial infusion)		8	HNSCC	SD (4), PR (3), PD (1)	Increase in iNKT cells, increase in IFN- $\gamma$ producing cells	Kunii et al. 2009 (26)
$\alpha$ GalCer-pulsed APCs (i.v.)	I-II	17/23	Advanced and recurrent NSCLC	SD (5), PD (12)	Increased number of IFN- $\gamma$ producing cells in the peripheral blood (10). Median survival time higher in responders	Motohashi et al. 2009 (27)
$\alpha$ GalCer-APCs (via nasal and oral submucosa)		17	HNSCC	No anti-tumour activities detected	Increase of iNKT and IFN- $\gamma$ producing cells	Kurosaki et al. 2011 (28)
$\alpha$ GalCer-immature DCs (i.v. and i.d.)	I	12	Solid tumours	SD (3) PR (3)	Increase in serum IFN- $\gamma$ . Significant iNKT cell increase	Nicol et al. 2011 (29)
$\alpha$ GalCer-APCs (via nasal submucosa) $\alpha$ GalCer-activated iNKT (intra-arterial infusion)	II	10	HNSCC	SD (5), PR (5)	Tumour regression (5), increase in iNKT in cancerous tissue which was associated with tumour regression (7)	Yamasaki et al. 2011 (30)
$\alpha$ GalCer-APCs (i.v.)		4	Lung cancer	–	Infiltration and activation of iNKT	Nagato et al. 2012 (31)
$\alpha$ GalCer-mature DCs + lenalidomide (i.v.)		6	Asymptomatic myeloma	Led to reduction in tumour-associated monoclonal immunoglobulin in 3 of 4 patients with measurable disease	Increase of iNKT, NK, monocytes, eosinophils	Richter et al. 2013 (32)
Expanded iNKT transfer (i.v.)	I	9	Stage IIIB–IV melanoma	SD (3), further treatment (3), dead (3)	Increase in iNKT cells and IFN- $\gamma$ production	Exley et al. 2017 (33)
Trans-bronchial injection $\alpha$ GalCer - APCs	I	21	Advanced or recurrent NSCLC	PR (1), SD (8)	Increased iNKT cell numbers were observed in PBMCs from eight cases, and IFN- $\gamma$ producing cells were increased in the peripheral blood of 10 cases	Ishibashi et al. 2020 (34)

(Continued)

TABLE 1 Continued

Treatment Type	Phase	Number of Patients Completing trial/ Enrolled	Tumour Type	Clinical Outcomes (Number of patients)	Immune Response	Reference
iNKT cells (i.v.)	I-II	120	Advanced solid tumour			NCT02562963
iNKT cells (i.v.) combined with transcatheter arterial chemoembolization	II-III	144	Advance HCC			NCT04011033
agenT-797 infusion	I	20	Relapsed/Refractory Multiple Myeloma			NCT04754100
agenT-797 infusion	I	30	Solid tumours			NCT05108623
Cyclophosphamide and fludarabine will be administered prior to the GINAKIT cells (GD2-CAR iNKT cells).	I	36	Neuroblastoma			NCT03294954
Allogenic CD19-CAR iNKT cells	I	48	B cell Malignancies			NCT03774654
Autologous CD19-CAR iNKT	I	20	Acute Lymphoblastic Leukemia, B-cell Lymphoma, Chronic Lymphocytic Leukemia			NCT04814004
Administration of PRECIOUS-01, an iNKT cell activator threitolcermaide-6 and NY-ESO-1 encapsulated in a nanoparticle	I	15	Advanced solid tumour			NCT04751786
Infusion of iNKT cells and CD8+T cells	I-II	40	Non-small Cell Lung Cancer, Small Cell Lung Cancer, pancreatic cancer, Hepatocellular Carcinoma, Gastric Cancer, Renal Cell Carcinoma			NCT03093688
Autologous iNKT Cells + Tegafur +Interleukin-2	I	18	HCC			NCT03175679
GM-CSF + iNKT	I	9	Malignant Melanoma			NCT00631072

APC, antigen-presenting cell; SD, stable disease; PR, partial response; CR, complete response; PD, progressive disease; HCC, hepatocellular carcinoma; HNSCC, head and neck squamous cell carcinoma; NSCLC, non-small cell lung cancer; i.d., intradermal injection; i.v., intravenous injection.

activation of other innate and adaptive immune cells by initiating a Th1 cytokine cascade, and (iii) regulation of immunosuppressive cells in the tumour microenvironment (TME) (Figure 1).

## Direct tumour lysis

Direct iNKT cytotoxicity occurs mainly against cells expressing cell surface markers which are recognised by iNKT cell surface receptors. Some tumour cells highly express CD1d molecules, for example tumours of myelomonocytic and B cell lineage origin as well as some solid tumours, such as glioblastoma (34, 40). The CD1d molecules on tumour cells bind and present endogenous tumour-associated glycolipids. Through TCR-mediated recognition of the tumour CD1d-lipid complex, iNKT cells can directly promote lysis of the CD1d<sup>+</sup> tumour cells (41). Surface expression

of CD1d on tumour cells is assumed to directly correlate with iNKT cell-mediated cytotoxicity, although this seems to depend on the target cell in question (42). Nevertheless, in certain cases higher expression of CD1d can result in increased tumour cell lysis, whereas lack of CD1d expression in tumours leads to their escape from recognition promoting tumour progression (34, 43–46).

iNKT cells exert their direct cytotoxic functions either through the death receptor mediated pathway also known as the extrinsic apoptotic pathway, or the cytotoxic granule release mechanism. Both require cell-to-cell interaction and require activation of executioner caspases (47). The death receptor pathway requires binding of ligand expressed on the iNKT cell with its receptor on the target cell (e.g., Fas ligand [FasL]/receptor [FasR]). In contrast, the granule exocytosis pathway requires the pore forming protein perforin, and a family of serine proteases known as granzymes to cleave and activate effector molecules within the target cell (48).

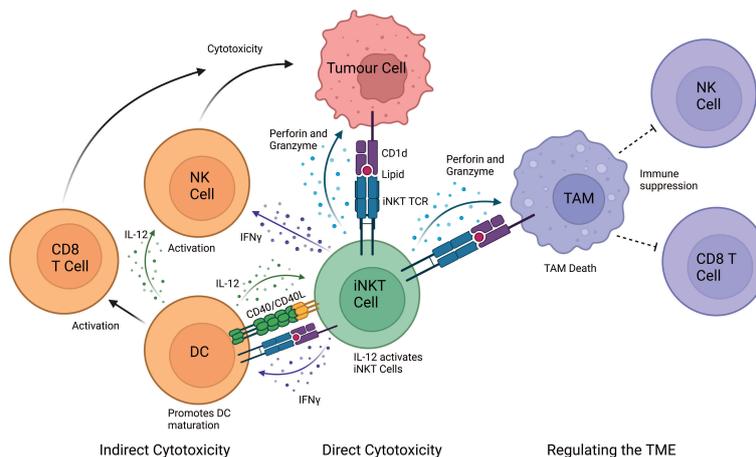


FIGURE 1

iNKT cell mediated mechanisms of tumour cytotoxicity. iNKT can exert their cytotoxic function either directly, indirectly and by regulating the tumour microenvironment (TME). In the direct mechanism, iNKT cells can recognise the tumour through the engagement of their iNKT cell TCR with a CD1d-lipid complex expressed on the surface of the tumour cell. iNKT cells can indirectly exert cytotoxic functions by interacting with other immune cells in the TME. IFN- $\gamma$  released by iNKT cells can activate NK cells to perform their anti-tumour cell effector functions. Additionally, IFN- $\gamma$  release activates the maturation of DCs and stimulates CD8<sup>+</sup> T cells. iNKT cells and DCs reciprocally activate each other in a CD40-CD40L and CD1d-lipid/TCR antigen-dependent manner, which further stimulates iNKT cells. iNKT cells also regulate the TME by engaging with CD1d-lipid complexes expressed on tumour-associated macrophages (TAMs). This interaction promotes iNKT killing of immunosuppressive TAMs, consequently providing a less immunosuppressive environment where tumour-infiltrating NK cells and CD8<sup>+</sup> cytotoxic T cells can better perform their functions. Image created in [BioRender](#).

It has been shown that cytotoxicity towards Jurkat cells was CD1d independent and relied mostly on FasL/FasR interactions (45). Additionally, in human T cell lymphoma patients, CD1d levels were elevated and defects in the frequency and function of circulating iNKT cells were observed (45). However, the presence of CD1d on tumour cells is not a requirement, as iNKT cells can recognise leukaemia cells in a CD1d-independent manner and degranulate releasing Th1 cytokines towards the CD1d<sup>-</sup> leukaemia. This response is enhanced by NK cell activating receptors, such as, NKG2D, 2B4 (CD244), DNAM-1, LFA-1 and CD2 which are also expressed on the iNKT cells (19, 47). Therefore, these studies suggest that the iNKT cell mediated anti-tumour responses may critically depend on the inherent quality of the iNKT cell population and the type of tumour cells encountered.

Perforin and granzymes are the major constituents of cytotoxic granules which are stored in the cytosol of iNKT cells or produced upon activation (48, 49). Upon iNKT cell engagement with a target cell, the granules polarise towards the immunological synapse releasing granzymes and perforin via exocytosis. Perforin embeds in the target cell membrane to allow transfer of granzymes into the cytosol of the target cell (50, 51). In humans, five granzymes named A, B, H, K, and M have been identified. Granzyme B is the most potent cell death inducing molecule of the group and can induce cell death even at low concentrations (48). This is due to its ability to provoke cell death in either a caspase-dependent manner through pro-caspase 3, 7 and 8 cleavage or in a caspase independent manner (47, 48). Effector caspase signalling initiates the release of a DNase involved in DNA damage from its inhibitor, thereby inducing target cell death (52).

Another cytotoxic molecule within the granules of human iNKT cells is granulysin (52), a member of the saposin-like family

of proteins (52, 53). Granulysin is a 15 kDa molecule which is cleaved at both the amino and carboxyl termini to yield a 9 kDa isoform that has cytotoxic properties and is stored in cytotoxic granules along with perforin and granzymes. Granulysin has a wide spectrum of activity and is involved in the immune response to several pathogens including, fungi, parasites, bacteria, and protozoa. The presence of granulysin has been correlated with reduced cancer progression (54). Elevated granulysin concentrations have been detected in gastric carcinoma patients with less severe disease than those with advanced stage gastric carcinomas (54, 55). The cytotoxic ability of the 9 kDa isoform of granulysin is thought to be responsible for the killing of tumour cells by altering the membrane permeability of the cell, which leads to an increase in intracellular calcium, thus, inducing tumour cell lysis (52, 56).

## Recruitment of innate or adaptive immune cells

In the second cytotoxic response mechanism, iNKT cells can activate and recruit innate and adaptive immune cells, such as DCs, NK, B and T cells upon engagement of the iNKT cell TCR (57). Upon activation, iNKT cells secrete Th1 and Th2 cytokines which leads to reciprocal activation of effector lymphocytes (11). For example, IFN- $\gamma$  release activates the maturation of DCs and stimulates CD8<sup>+</sup> T cells. iNKT cells and DCs reciprocally activate each other in a CD40-CD40L and CD1d-lipid/TCR antigen dependent manner, thus initiating adaptive anti-tumour immunity (58). iNKT cells enhance tumour immunity by subduing the actions of tumour supporting cells, such as tumour-associated macrophages (TAMs) and myeloid-derived suppressor

cells (MDSC) (11). Additionally, cytokine release, such as IL-2, IL-12 and IFN- $\gamma$  by iNKT cells leads to the activation and expansion of NK cells into lymphokine-activated killer (LAK) cells. These activated LAK cells upregulate effector and adhesion molecules, such as perforin, Nkp44, granzymes, FasL and TRAIL and secrete IFN- $\gamma$  to adhere to and lyse tumour cells (11, 59).

## Regulating the tumour microenvironment

In established tumours, TAMs are typically immunosuppressive cells which reside within the TME and suppress immune cell function (60–62). TAMs contribute to the tumour progression by enhancing angiogenesis, enhancing tumour cell invasion, and suppressing NK and T cell responses (63, 64). iNKT cells have been demonstrated to co-localise with CD1d-expressing TAMs in neuroblastoma and kill TAMs in a CD1d-restricted manner (65). iNKT cells can indirectly mediate anti-tumour activity via the removal of TAMs, thereby modulating the favourable environment of tumour cells, by removing their immunosuppressive function (34). In addition, iNKT cells interfere with the effects of CD1d MDSC-mediated immune suppression. MDSCs can accumulate during tumour growth, aiding tumour immune escape and progression (66). However, iNKT cells can prevent the suppressive activity of MDSCs in a CD1d- and CD40-dependent manner (67).

## Preclinical studies: iNKT cells in cancer

Seminal studies in the 1990s demonstrated that  $\alpha$ GalCer was a potent activating ligand of mouse and human iNKT cells (13).  $\alpha$ GalCer is presented by CD1d expressing APCs to selectively stimulate iNKT cells. However, iNKT cell activation requires costimulatory signals provided by CD40/CD40L and B7/CD28 interactions (13). Several studies have demonstrated that  $\alpha$ GalCer exhibits anti-tumour properties against a variety of tumours, including B16 melanoma (68) and 3LL lung carcinoma (69). Therapeutic administration of  $\alpha$ GalCer markedly reduces the number of B16 lung metastases in wild type (WT) C57BL/6 mice. This anti-tumour activity was completely abolished in  $J\alpha 18^{-/-}$  (deficient in iNKT cells),  $CD1d^{-/-}$  (deficient in both NKT cell subsets) and  $RAG-1^{-/-}$  (lack mature B and T lymphocytes) mice, strongly suggesting that iNKT cells were responsible for the anti-tumour effects (20). Additionally,  $\alpha$ GalCer treatment following chemotherapy (cisplatin) delayed tumour cell proliferation and increased tumour cell death in mesothelioma AB12 laden mice (70). In mice with liver metastases of adenocarcinoma Colon26 cells, administration of the  $\alpha$ GalCer synthetic analogue KRN7000, one day after tumour inoculation significantly inhibited tumour growth in the liver with a potency similar to that of IL-12 (71). Even when treatment was given after nodule formation (day 3), tumour regression was observed (71). Anti-tumour activity of KRN7000 in mice with spontaneous liver metastases of reticulum cell sarcoma

M5076 tumour cells suppressed the growth of established liver metastases and resulted in the prolongation of survival time (72). An increase in iNKT cell numbers and IL-12 production by hepatic lymphocytes was markedly enhanced in KRN7000-treated mice (72). Together, these results suggest that the *in vivo* anti-tumour effects of KRN7000 are dependent on iNKT cells and endogenous IL-12 production.

Adoptive transfer of  $\alpha$ GalCer-loaded APCs was explored as an alternative approach to stimulate iNKT cells. DCs pulsed with  $\alpha$ GalCer can effectively induce potent anti-tumour cytotoxicity by their specific activation of  $V\alpha 14^{+}$  iNKT cells, resulting in the inhibition of tumour metastasis *in vivo* (73). Moreover, a complete inhibition of B16 melanoma metastasis in the liver was observed when  $\alpha$ GalCer-pulsed DCs were injected, even seven days after transfer of tumour cells to syngeneic mice, when small but multiple metastatic nodules were already formed (73).

Mice with a deletion of the *Traj18* gene segment do not express the  $V\alpha 14$  *Traj18* TCR and were found to exclusively lack iNKT cells; while they maintained numbers of lymphocytes that were almost identical to WT mice (5). The resulting iNKT deficient mice were no longer able to mediate IL-12-induced rejection of B16 melanoma tumours (5). Adoptive transfer of IL-12-activated  $V\alpha 14$  iNKT cells prevented hepatic metastasis of B16 melanoma in mice (74). This suggested the involvement of direct cytotoxic mechanisms by iNKT cells rather than cytokine-mediated immune responses. Furthermore, adoptive transfer of iNKT cells into iNKT cell-deficient ( $J\alpha 18^{-/-}$ ) mice restored tumour surveillance and protected against methylcholanthrene-induced fibrosarcoma in the absence of exogenous stimulatory factors (4). However, the production of IFN- $\gamma$  by iNKT and other lymphocytes was essential for protection. Using humanised NSG mice, a recent study showed that tumour localised administration of  $\alpha$ GalCer can significantly enhance iNKT cell-mediated anti-tumour capacity against solid tumours (75).

The studies described above utilised the  $J\alpha 18$ -deficient mice which were described in 1997 (5). Recently, a study revealed that about 60% of TCR $\alpha$  repertoire diversity was lacking in these mice due to the absence of *Traj* gene segments upstream of *Traj18* (76). Subsequently this generated concern regarding the validity of the experimental conclusions, such as those of Toura et al., 1999 (73). The  $J\alpha 18$ -deficient mouse strain was again called into question when a report showed that  $J\alpha 18^{-/-}$  mice are also defective in mucosal-associated invariant T (MAIT) cells in both the thymus and peripheral organs (77). Therefore, caution is advised when interpreting data from the TCR $J\alpha 18^{-/-}$  mouse strain. Consequently, the group that described the original  $J\alpha 18^{-/-}$  mice generated a novel *Traj18*-deficient mouse line by specifically targeting the *Traj18* gene segment. Apart from the absence of *Traj18*, these mice had an undisturbed TCR $\alpha$  repertoire. Next generation sequencing detected normal generation of  $V\alpha 19J\alpha 33$  expressing MAITs, whose development was abrogated in the originally described  $J\alpha 18^{-/-}$  mice (78). Using a B16 melanoma liver metastasis model with mice bearing metastatic melanoma nodules in the liver, intravenous administration of  $\alpha$ GalCer-pulsed DCs as described previously resulted in the complete eradication of melanoma metastasis in WT but not in *Traj18*<sup>-/-</sup> mice (78). Indeed, the tumour growth in the DC- $\alpha$ GalCer treated *Traj18*<sup>-/-</sup> mice was similar to that in the

vehicle-treated WT and *Trojan18*<sup>-/-</sup> mouse groups, demonstrating the absolute requirement for activated iNKT cells in tumour rejection (78).

Taken together, there is now conclusive evidence from preclinical studies to suggest that iNKT cells exhibit powerful anti-tumour activity and are involved in cancer immunosurveillance (10, 16). They likely act as early warning systems to initiate an anti-tumour response which is subsequently performed by dedicated effectors such as NK cells and/or cytotoxic T lymphocytes (15). The potent iNKT cell-derived IFN- $\gamma$  production and early activation of effector cells such as NK and CD8<sup>+</sup> T cells suggests that iNKT cells can be rapidly stimulated by glycolipids on the tumour cell or by other stimuli. Effector cells then directly attack the tumour through direct perforin-dependent lysis and indirectly through raising an IFN- $\gamma$  response (15). Early recognition of the tumour appears to be key, as delayed transfer of iNKT cells provides less protection (4).

## Translation of iNKT immunotherapy into humans

Following promising results in preclinical models, many human clinical trials have begun to exploit iNKT cells to harness their anti-tumour potential. Initially, cancer patients with solid tumours were intravenously injected with soluble  $\alpha$ GalCer. However, while  $\alpha$ GalCer treatment was well tolerated, it failed to initiate an effective clinical response (79). As preclinical studies suggested that DCs loaded with  $\alpha$ GalCer confers better immune responses *in vivo* (73),  $\alpha$ GalCer-pulsed DCs were trialled in patients with advanced and recurrent non-small cell lung cancer, head and neck squamous cell carcinoma and myeloma (80–84). Different strategies were exploited to improve this treatment, which included utilising different types of APC or alternative routes of administration. Again, despite good tolerance and an increase in iNKT cell numbers, clinical benefits were limited (80, 81, 83–85).

Since adoptive T cell therapy for cancer treatment has long been established, several groups began exploiting expanded iNKT cell products. Adoptive transfer of iNKT cells into patients with melanoma, head and neck squamous cell carcinoma, lung cancer and other solid tumours revealed increased iNKT numbers *in vivo* and increased levels of IFN- $\gamma$  (86–88). While adoptive transfer was well tolerated, further improvements would be required to achieve a significant clinical response in patients (11).

Studies that exploited iNKT cells in CAR-T cell therapy showed promising results in murine preclinical studies (6, 89). CAR-iNKT cells exhibited significantly better *in vivo* responses than traditional CAR-T cells in mice when targeting GD2<sup>+</sup> neuroblastomas and CD19<sup>+</sup> lymphomas (6, 89). CAR-iNKT induced little graft versus host disease and their efficacy was augmented through their dual targeting ability of CD1d and CD19/GD2. Indeed, CAR-iNKT targeted both GD2<sup>+</sup> neuroblastoma cells and CD1d<sup>+</sup> TAMs. Additionally, CD1d<sup>+</sup> CD19<sup>+</sup> lymphoma cells have been targeted by CAR-iNKT cells in a dual pronged attack which effectively localised to the tumour site, had potent anti-tumour activity, and significantly improved the long-term survival of treated mice (89).

While these studies indicated that iNKT cells are a highly efficient platform for CAR-based immunotherapy in mice, clinical trials are now ongoing for patients with B cell lymphoma, leukaemia and glioblastoma, and promising initial results were reported in humans (90). Therefore, these translational studies open a promising avenue for iNKT targeting cancer therapies in patients, but they lack clinical efficacy at present (91). iNKT immunotherapy will require further improvements to achieve effective clinical outcomes.

## The future of iNKT cancer immunotherapy in humans

A key challenge for iNKT cell cancer immunotherapy in humans is a defective iNKT cell repertoire in human cancer patients both quantitatively and qualitatively. Several studies have demonstrated reduced iNKT cell numbers in the peripheral blood of cancer patients (39, 79, 92). Furthermore, iNKT cells derived from cancer patients release reduced levels of IFN- $\gamma$  as they tend to exhibit a Th2 phenotype and CD1d expression can be downregulated in tumours, which abrogates the efficacy of the direct iNKT cell-mediated immune response (46). Several mechanisms have been postulated that may explain the suboptimal efficacies of iNKT cell anti-tumour response in clinical trials (9, 11), such as the induction of iNKT cell anergy after  $\alpha$ GalCer treatment, the secretion of both Th1 and Th2 cytokines by iNKT cells and immune suppression in the TME. There are several limitations and obstacles to the clinical translation of iNKT cell therapy into humans, and many strategies have been proposed to overcome these limitations (which have been reviewed extensively elsewhere) (9, 11, 90, 91, 93). These strategies include alternative vectors for the delivery of  $\alpha$ GalCer (83, 94), the generation of more potent  $\alpha$ GalCer analogues and other iNKT cell agonists (95), the generation of induced pluripotent stem cell-derived iNKT cells and improvements to iNKT-CAR based platforms (90, 96).

A further potential strategy proposed here is the selection and utilisation of specific human iNKT cell subsets to achieve improved outcomes in iNKT-based cancer immunotherapy. This proposal is based on studies revealing that iNKT cells exist as subpopulations with a previously unrecognised diversity in function (19, 25, 38, 97). iNKT cells are not a single, uniform class of T cells as they exhibit heterogeneity in both phenotype and function. In mice, iNKT cells seemingly exhibit at least three distinct thymic populations based on the expression of unique sets of transcription factors, namely iNKT1 (T-bet<sup>+</sup>), iNKT2 (GATA-3<sup>+</sup>) and iNKT17 (ROR $\gamma$ t<sup>+</sup>) (98). While iNKT1 produce IFN- $\gamma$  and also some IL-4, iNKT2 produce IL-4 and iNKT17 produce IL-17. BALB/c mice have large proportions of iNKT2/iNKT17 cells but reduced proportions of iNKT1 cells. C57BL/6 mice were highly enriched for iNKT1 but no other subsets, and NOD mice have equal proportions of all three subsets, revealing inter-strain variability (98). Further described subsets are iNKT<sub>FH</sub> or follicular helper iNKT that provide cognate help for B cells (99, 100), and iNKT10 that play important roles in maintaining adipose tissue homeostasis (101).

Although most mouse iNKT cells express the canonical V $\alpha$ 14-J $\alpha$ 18 TCR  $\alpha$ -chain, they can use different V $\beta$  chains. Combinations of V $\beta$ -, J $\beta$ -, and CDR3 $\beta$ -encoded residues will ultimately determine the type of ligands that iNKT cells recognise (102, 103). Basal activation, proliferation, TCR $\beta$  repertoire and antigen specificity are seemingly modulated by their anatomical location (104). Thus, the so-called invariant NKT cell population expresses a variable TCRV $\beta$  repertoire that differs in antigen recognition in individual tissues. Importantly, anatomical differences also apply to human iNKT cells. For example, Jimeno et al. found increased frequencies of atypical iNKT cells (V $\alpha$ 24- or V $\beta$ 11-) in tonsils vs. blood, while the frequency of CD4<sup>+</sup> and CD69<sup>+</sup> iNKT cells was also different in those anatomical locations (104). The diverse iNKT subpopulations thus occupy and utilise unique anatomical and physiological niches to perform their diverse biological functions, likely to be context and tissue specific.

## Human iNKT cell subsets

Mature human iNKT cells can be categorised according to numerous characteristics (Figure 2). Broadly, human iNKT cells are characterised by CD4 expression, as they exist as CD4<sup>+</sup>, and CD4<sup>-</sup> subsets (25). CD4<sup>-</sup> iNKTs are DN or CD8<sup>+</sup> with a very small subset of DP iNKT cells also identified (26, 107). The relative frequencies vary substantially between individuals: on average, CD4<sup>+</sup> and DN cells are the most frequent subsets, and CD8<sup>+</sup> iNKT cells have a low frequency (26, 108). There is evidence suggesting that DN human iNKT cells are different from their CD4<sup>+</sup> iNKT cell counterparts (25, 107). DN iNKT cells are seemingly similar to mouse iNKT1 cells, exhibiting an increased IFN- $\gamma$  secretion and cytotoxic function when activated (25, 107).

Although iNKT cell subsets share the expression profile for several chemokine receptors, they may also differ with respect to their chemokine receptor expression (Figure 2A). CD4<sup>+</sup> iNKT cells predominantly express CCR4, while CD8 and DN iNKT cell subsets mainly express CCR1, CCR6 and CXCR6 (108). Based on these observations, a differential tissue distribution can be assumed; for example, CXCR6 plays a role in the homeostatic distribution of iNKT cells to the liver and the lung (109, 110). Human CD4<sup>+</sup> iNKT cells are broadly associated with Th0-type immune responses and are the exclusive producers of IL-4 and IL-13 upon primary stimulation; whereas, DN iNKT cells have a strict Th1 profile and prominently express several NK lineage receptors (107). Additionally, it has been shown that CD244<sup>+</sup> CXCR6<sup>+</sup> iNKT cells, which are present in mice and humans, have enhanced cytotoxic properties producing more IFN- $\gamma$  compared to CD244<sup>-</sup> CXCR6<sup>-</sup> iNKT cells (38). Intriguingly, this CD244<sup>+</sup> CXCR6<sup>+</sup> iNKT cell subset is CD4<sup>-</sup>, potentially explaining the enhanced cytotoxic function of the CD4<sup>-</sup> iNKT cell subset (38).

In a B cell lymphoma model, Tian and colleagues demonstrated that CD19-specific CAR-iNKT cells expressing CD62L mediated tumour regression (111). CD62L is involved in homing of naïve and central memory T cells to secondary lymphoid organs. The Metelitsa group also showed that these CD62L<sup>+</sup> iNKT cells have prolonged persistence and anti-tumour activity *in vivo* (111).

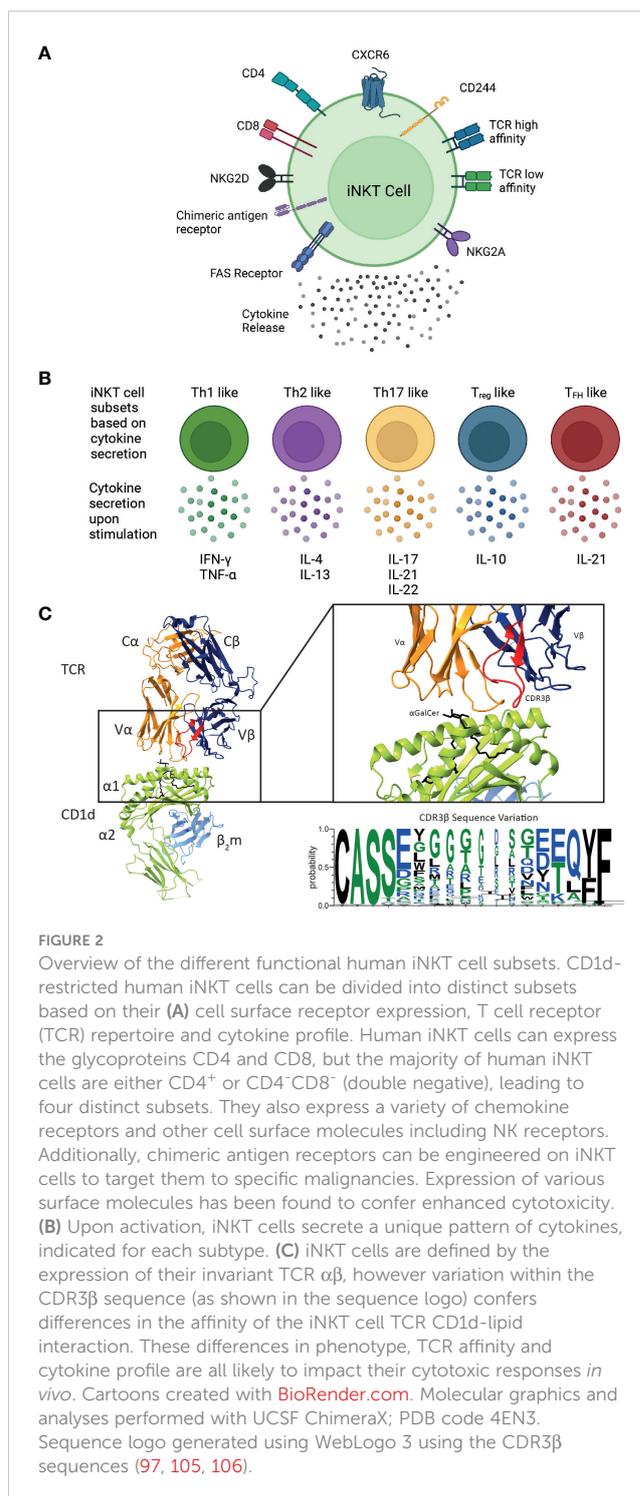


FIGURE 2

Overview of the different functional human iNKT cell subsets. CD1d-restricted human iNKT cells can be divided into distinct subsets based on their (A) cell surface receptor expression, T cell receptor (TCR) repertoire and cytokine profile. Human iNKT cells can express the glycoproteins CD4 and CD8, but the majority of human iNKT cells are either CD4<sup>+</sup> or CD4<sup>-</sup>CD8<sup>-</sup> (double negative), leading to four distinct subsets. They also express a variety of chemokine receptors and other cell surface molecules including NK receptors. Additionally, chimeric antigen receptors can be engineered on iNKT cells to target them to specific malignancies. Expression of various surface molecules has been found to confer enhanced cytotoxicity. (B) Upon activation, iNKT cells secrete a unique pattern of cytokines, indicated for each subtype. (C) iNKT cells are defined by the expression of their invariant TCR  $\alpha\beta$ , however variation within the CDR3 $\beta$  sequence (as shown in the sequence logo) confers differences in the affinity of the iNKT cell TCR CD1d-lipid interaction. These differences in phenotype, TCR affinity and cytokine profile are all likely to impact their cytotoxic responses *in vivo*. Cartoons created with BioRender.com. Molecular graphics and analyses performed with UCSF ChimeraX; PDB code 4EN3. Sequence logo generated using WebLogo 3 using the CDR3 $\beta$  sequences (97, 105, 106).

Subsequently, IL-21 was demonstrated to preserve the crucial central memory-like iNKT subset and enhance anti-tumour effector functionality. Following antigenic stimulation with  $\alpha$ GalCer, CD62L<sup>+</sup> iNKT cells both expressed IL-21R and secreted IL-21, each at significantly higher levels than CD62L<sup>-</sup> cells (111). Although IL-21 alone failed to expand stimulated iNKT cells, combined IL-2/IL-21 treatment produced more iNKT cells and increased the frequency of CD62L<sup>+</sup> cells versus IL-2 alone. Gene expression analysis of CD62L<sup>+</sup> and CD62L<sup>-</sup> cells revealed that

treatment with a combination of IL-2 and IL-21 (but not IL-2 alone) selectively downregulated the proapoptotic protein BIM in CD62L<sup>+</sup> iNKT cells, thus protecting them from activation-induced cell death (111, 112). While these studies have been conducted in mice, evidence suggests that human iNKT cells can also express CD62L (113). It would be interesting therefore to evaluate the anti-tumour activity and persistence of human CD62L<sup>+</sup> iNKT cells in future studies.

Based on their cytokine secretion pattern, human iNKT cells have also been classified into Th-cell subsets (Figure 2B). Th1-like iNKT cells have been identified in healthy individuals producing Th1-associated cytokines such as IFN- $\gamma$  and TNF- $\alpha$  upon stimulation (25, 107, 114). These Th1-like iNKT cells are predominantly DN cells and express more NKG2D compared to CD4<sup>+</sup> iNKT cells. In contrast, Th2-like iNKT cell subsets with regulatory properties tend to be CD4<sup>+</sup> and they secrete IL-4, IL-13, and IFN- $\gamma$  upon activation (25, 107). Human Th17-like iNKT cells secrete the proinflammatory cytokines IL-17, IL-21 and IL-22 when activated (115). Finally, FOXP3-expressing Treg-like iNKT cells that secrete the immunosuppressive cytokine IL-10, as well as T<sub>FH</sub>-like iNKT cells that secrete IL-21 upon activation have also been described (115, 116). However, iNKT cells exhibit plasticity in terms of their cytokine production and therefore the above-described definitions are not absolute.

Diversity within human and mouse iNKT cells is further observed based on the TCR $\beta$  sequence of the iNKT cell TCR. In mice, the iNKT repertoire displays clonal heterogeneity regarding lipid recognition, such as the  $\alpha$ GalCer analogue OCH, which can be explained by the differential V $\beta$  family usage in mouse iNKT cell TCRs (22, 117, 118). In contrast, the human iNKT cell TCR is composed of the invariant V $\alpha$ 24 TCR $\alpha$  chain and the semi-variant V $\beta$ 11 TCR $\beta$  chain, whereby the CDR3 $\beta$  region is the only truly adaptive element (Figure 2C). Thus, human iNKT cells in healthy adults express TCRs with widely variable affinities for CD1d, which are directly dependent on structural differences within the CDR3 $\beta$  loop of the iNKT cell TCR (97). Clonal variations resulted in up to a 40-fold difference in TCR affinity to CD1d and could be directly correlated to iNKT cell function (97). iNKT clones bearing high affinity iNKT cell TCRs proliferated more avidly and produced a greater diversity of cytokines in response to CD1d expressing APCs compared to clones expressing a low affinity iNKT cell TCR (97). iNKT cell TCR affinities are seemingly skewed in rheumatoid arthritis (119) and type 1 diabetes (120) which may also contribute to disease pathology in the context of human cancer. A recent study identified three CDR3 $\beta$  amino acid sequence motifs that were seemingly associated with strong autoreactivity: a VD region with two or more acidic amino acids; usage of the J $\beta$ 2-5 allele; and a 13 amino acid long CDR3 $\beta$  motif (105). Acidic amino acid composition, J usage, and the CDR3 $\beta$  region amino acid length individually affected the affinities of conventional TCRs (121–123). Additionally, the Hirano group revealed that the presence of a naturally encoded arginine (Arg) or a serine (Ser) in the third position of the CDR3 $\beta$  loop, can effectively modulate antigen recognition by the iNKT TCR (124). In agreement with previous studies, Ser to Arg substitutions influence the structure of the CDR3 $\alpha$  loop thus effecting the iNKT cell TCR affinity (124).

Furthermore, such differences in iNKT cell TCR affinities also influence iNKT cell function (124).

## Outlook

Studies in mice have revealed that iNKT cells can be exploited as a powerful platform for cancer treatment (4, 5). However, there are limitations to such studies which makes it difficult to reconcile data for an effective clinical translation of iNKT cell immunotherapy from mice to humans. Laboratory mice are inbred strains that lack genetic heterogeneity (125), and therefore conclusions from pre-clinical models might be exaggerated as it does not take into account the wide genetic variability of the human population. This is complicated by the fact that there are far more mouse studies conducted on iNKT cells and therefore, more studies investigating human iNKT cells are urgently needed. Although there are similarities between iNKT cells derived from mice and humans, there are also some key differences that should be taken into consideration when designing studies for clinical translation into humans. Mice have far more iNKT cells than humans and many studies have shown that targeting iNKT cells in mice induce powerful Th1 mediated anti-tumour immunity (4, 5). However, it is well known that iNKT cells are less frequent in healthy humans (126). Furthermore, cancer patients, particularly those with late stage disease, have reduced iNKT cell numbers and those that are present are often defective in their cytokine secretion, suggesting that cancer patients exhibit a profoundly immunocompromised iNKT cell repertoire (39, 49, 127, 128). It is likely that targeting an already exhausted and unresponsive iNKT cell repertoire in these patients may not lead to potent anti-tumour immune responses, potentially explaining the disappointing results in human iNKT cell-based clinical trials. Therefore, a better understanding of the human iNKT cell repertoire in healthy individuals and in cancer patients is needed to fully characterise human iNKT cell heterogeneity and its contribution in health and disease.

In addition, future iNKT cell targeting therapies should consider exploiting the specific iNKT cell subpopulations with respect to their diverse co-receptor expression phenotypes and TCR affinities. We propose that a better understanding of the mechanisms driving anti-tumour functions of diverse human iNKT cell subpopulations will achieve significant advances in their exploitation in cancer therapies which may lead to better clinical efficacy. Emerging multimodal approaches such as single cell RNA and TCR sequencing will be needed to determine the relevance of specific iNKT cell subsets and clonotypes, which will require better *in vivo* models that can accurately reflect human disease (129, 130). The combination of TCR sequencing data with the transcriptomic information of single antigen specific T cells has had a significant impact on understanding the heterogeneity of T cell populations (131–133). T cells that express the same TCR  $\alpha\beta$  undergo clonal expansions and can give rise to progeny with diverse functional phenotypes. Sequencing of the TCR repertoire can highlight clonal diversity and the dynamics of antigen specific responses associated with the anti-tumour response (134, 135). On the other hand, the transcriptomic information can reveal

distinct functional phenotypes associated with better disease outcomes. Therefore, coupling these datasets together can reveal distinct T cell populations that are most relevant to disease states (134, 135). Indeed, the application of these technologies have advanced our knowledge of CD8<sup>+</sup> and CD4<sup>+</sup> T cell heterogeneity in response to Yellow Fever Virus vaccination in humans (132), peanut allergy (136), and in colorectal cancer (133). Advances in sequencing technologies in recent years allows for T cell clones to be tracked and monitored to assess their behaviour during infection or during anti-tumour responses which enables the tracing of the progeny of individual T cells back to their ancestors (131, 137–139). Fate mapping and lineage tracing can therefore be applied to iNKT cell populations to better understand iNKT cell population dynamics, clonal heterogeneity with regards to CDR3 $\beta$  diversity to highlight subpopulations of iNKT cells that are disease relevant. Furthermore, T cell barcoding (131) which allows lineage tracing, can be applied to iNKT cell populations to assess inter-clonal differences and to monitor the contribution of individual clonal populations to effector functions during anti-tumour responses. Fate mapping and lineage tracing of iNKT cells may uncover inter-clonal diversity and could provide data on clonal contribution to the anti-tumour immune response. The application of these new technologies to human iNKT cells will provide high dimensional data that will allow a better understanding of human iNKT cell subpopulations in disease states, thus furthering our understanding of the diversity and complexity of the iNKT cell response in humans. Furthermore, it is imperative that such studies should be conducted in reliable preclinical humanised mouse models of cancer that display the human CD1d antigen presentation system, complemented by human iNKT cells (140, 141). Investigating the anti-tumour efficacy of diverse human iNKT cell subpopulations in these models and through utilising human cancer cells will likely provide a deeper understanding of human iNKT cell subsets in preclinical studies. In summary, exploiting human iNKT cells complement current cancer immunotherapies and as such knowledge of human iNKT cell subpopulations in the context of tumour immunology is urgently required to help improve the translational efficacy of future iNKT cell based immunotherapies.

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## Author contributions

AL, DB, and SM developed the concept and wrote the manuscript. AL, generated all the figures and tables. All authors contributed to the article and approved the submitted version.

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