# Bayesian estimation of *Pseudomonas aeruginosa* viscoelastic properties based on creep responses of wild type, rugose, and mucoid variant biofilms

# 4 Abstract

Pseudomonas aeruginosa biofilms are relevant for a variety of disease settings, including pulmonary infections in people with cystic fibrosis. Biofilms are initiated by individual bacteria that undergo a phenotypic switch and produce an extracellular polymeric slime (EPS). However, the viscoelastic characteristics of biofilms at different stages of formation and the contributions of different EPS constituents have not been fully explored. For this purpose, we develop and parameterize a mathematical model to study the rheological behavior of three biofilms — P. aeruginosa wild type PAO1, isogenic rugose small colony variant (RSCV), and mucoid variant biofilms against a range of experimental data. Using Bayesian inference to estimate these viscoelastic properties, we quantify the rheological characteristics of the biofilm EPS. We employ a Monte Carlo Markov Chain algorithm to estimate these properties of *P. aeruginosa* variant biofilms in comparison to those of wild type. This information helps us understand the rheological behavior of biofilms at different stages of their development. The mechanical properties of wild type biofilms change significantly over time and are more sensitive to small changes in their composition than the other two mutants.

- 5 Keywords: Biofilm, Viscoelasticity, Biomechanics, Extracellular polymeric
- <sup>6</sup> slime, Bayesian Estimation

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# 7 1. Introduction

Bacterial pathogens and other microorganisms adhere and grow at surface in-8 terfaces. This population forms a biofilm, which is a community of adherent 9 microorganisms encased in a self-produced extracellular polymeric slime (EPS). 10 The EPS is complex and composed of extracellular DNA, protein, and multiple 11 species of exopolysaccharides. These EPS components are involved in biofilm de-12 velopment and attachment to a substratum, and they assure structural integrity of 13 biofilm [1, 2, 3, 4, 5]. This EPS network is heterogeneous and subject to change 14 over time. Therefore, studying the material properties of biofilm and EPS in-15 teractions is fundamental to understanding structural dynamics and developing 16 methods for removing and preventing biofilm-induced infections [6, 7, 8]. 17

Mathematical models and numerical schemes have been developed since the 18 1980s to model the dynamics of biofilm development in order to understand the 19 physics of such bio-organism systems and to predict the growth and develop-20 ment of these communities. These models focus on different spatial and temporal 21 scales, which show the inherent multiscale nature of such biomechanical systems 22 [9]. Wanner and Gujer's 1-D model [10] is amongst one of the first models, which 23 described the dynamics and spatial distribution of bacteria in biofilms. Later, 24 different continuum and individual-based models were developed to deterministi-25 cally and stochastically investigate biofilm growth and bacteria population within 26 biofilms [11]. Individual-based models were found to be useful tools to simulate 27 mixed-species biofilms [12, 13, 14]. Xavier and Foster [15] investigated the com-28 petition dynamics between different strains that differ in the level of polymer pro-20 duction and predicted that mixed-strain biofilm tends to have increased polymer 30

production; however, polymer production is not expected to increase indefinitely,
and it will stabilize at an intermediate level. Zhang et al. [16]'s multiscale model
showed that the biofilm community is a complex system, that its metabolism is
coupled to the spatial dependence of external chemical concentrations.

Another important element in biofilm dynamics is the distribution of poly-35 meric components and water content within the biofilm, significantly influencing 36 how biofilm moves under different flow conditions [17]. Computational fluid dy-37 namics can be combined with other mathematical models to investigate biofilm 38 dynamics [18] as well as the effects of flow on biofilm growth on different sur-39 faces and complex geometries [19, 20]. Other factors that flow simulations can 40 model are the wettability, elasticity, and antimicrobial properties of surfaces [11]. 41 Cogan and Keener [21] showed that diffusion-driven growth can lead to heteroge-42 neous towers and mushroom-like structures via fluid/structure instabilities mod-43 ulated by the interaction between differential production and chemical properties 44 of the EPS. 45

Despite the numerous research studies on biofilm modeling, the quantifica-46 tion and parameterization of biofilm models, how EPS properties affect biofilm 47 structure and maturation, and the interactions between the components of biofilm 48 remain largely unexplored [22]. Typical models of biofilm mechanics fail to quan-49 tify the viscoelastic properties of biofilms. Zhang et al. [23] incorporated vis-50 coelastic stresses built in biofilms into their mathematical model and described 51 the interaction between biofilm components and fluid flow. Klapper et al. [6] 52 formulated a mathematical model based on the Jeffrey viscoelastic fluid consti-53 tutive law and found that biofilms behave as viscoelastic fluids, demonstrating 54 both the unreversed flow as well as the elastic and viscoelastic recoil. Later, the 55

viscoelastic properties of such biofilms were quantified using creep-recovery and
oscillatory frequency sweep tests [24]. Tierra et al. [25] characterized the effects
of mechanical parameters of biofilm components in the fluid–biomass interaction
and concluded that biofilm's resistance to deformation introduced by flow shear
can be largely attributed to its viscosity. It should be noted that all these models
assumed simple-constituent EPS.

One very important characteristic of biofilms is their wide variability [26]. 62 This variability is present between samples, where biofilms of the same strain and 63 growth conditions lead to different biofilm structures, due to spatial diversity and 64 heterogeneity [27]. Within a single biofilm, the growth rates, cell densities, and 65 mechanical properties also vary in both time and space, due to gradients estab-66 lished within the biofilm and the diverse EPS production. This variability and the 67 inherent uncertainty of model parameters require a detailed uncertainty analysis. 68 The model parameters can be estimated based on observational data to optimize 69 the mathematical model. Coupling experimental observations with mathemati-70 cal modeling has been well established in other fields such as meteorology [28], 71 where it is generally referred to as data assimilation (DA). These techniques were 72 later used in other fields, such as ecology [29, 30], and engineering applications, 73 for example, dielectric elastomers, solid amorphous polymers, and lithium-ion 74 batteries [31, 32, 33]. However, this is much less widespread in biomathemat-75 ics applications, where challenges in estimating relevant parameters are unique 76 [34, 35, 36]. For example, one of the most important outcomes in biological DA 77 is to predict and optimize the relevant factors involved in biofilm development so 78 that efficient optimal control targets can be identified by coupling DA with sensi-79 tivity analysis [36]. 80

In this paper, we develop a Bayesian framework that assimilates experimental 81 data with linear viscoelastic models to help us estimate the viscoelastic parameters 82 of different *P. aeruginosa* variants that emerge during chronic infections. First, we 83 model biofilm EPS by a viscoelastic Burger model, that consists of a combination 84 of springs and dashpots, representing the elasticity and viscosity of biofilm, re-85 spectively. Then, we utilize a Bayesian estimation platform based on a Markov 86 chain Monte Carlo (MCMC) method to estimate the viscoelastic properties of 87 P. aeruginosa biofilm variants using experimental data [24]. MCMC methods 88 comprise a class of stochastic techniques which use a set of discrete samples to 89 approximate model parameters as a posterior distribution [37]. We use a deter-90 ministic viscoelastic model, with parameters drawn from a distribution, to explore 91 the stochastic nature of this behavior. This stochasticity is due to the parametric 92 variability of biofilm viscoelastic properties. 93

Biofilm structure and chemistry change over the course of their development, 94 and there is a high variability in biofilm mechanical properties due to their intrinsi-95 cally heterogeneous and dynamic behavior. These biofilm characteristics, together 96 with the use of different analysis parameters, including timescales of analysis 97 and magnitude of forces applied, along with the unavoidable errors in measure-98 ment techniques add uncertainties to the measurement and quantitative analysis 99 of biofilm mechanical properties [24]. Given the variability in biofilm measure-100 ments, incorporating uncertainty is very important for understanding model pre-101 dictions. Therefore, this line of research will help guide future studies focusing 102 on different biofilm variants at different stages of formation and lead to better 103 predictive modeling. 104

#### **105 2.** Materials and Methods

#### 106 2.1. Bayesian data assimilation framework

We designed a Bayesian data assimilation framework to find the rheological 107 estimates of biofilm EPS based on theoretical viscoelastic models and experimen-108 tal data. We systematically assimilated experimental data to better estimate the 109 relevant parameter values involved in the biofilm rheology and quantify the uncer-110 tainty in their measurement and prediction as a probability. Unlike classical statis-111 tics and non-Bayesian parameter estimation approach, Bayesian methods provide 112 distributions for estimated parameter sets based on the knowledge we have from 113 experimental data, the prior information we have from parameters of interest, and 114 the mathematical model structure. 115

Our Bayesian-based algorithm is briefly presented in Fig. 1. First, we as-116 sembled the input, including the theoretical model and experimental data for our 117 Bayesian estimation toolbox. Our theoretical model was parameterized using a 118 linear viscoelastic model that describes the viscoelastic response of biofilm EPS 119 under constant shear stress during a creep-recovery test. The experimental data 120 were obtained from the experiments on creep-recovery measurements of differ-121 ent biofilm variants at different stages of their formation [24]. Then, using our 122 Bayesian-based parameter estimation technique, which is explained in the next 123 sections and Appendix A, we computed the distributions of estimated values for 124 each biofilm's viscosity and elasticity. These distributions give us insight into the 125 uncertainty and variability of each model parameter, as well as the prediction of 126 error variance for future measurements. 127



Figure 1: The flowchart of our Bayesian framework.

# 128 2.2. Theoretical viscoelastic model

Viscoelasticity is a mechanical property that characterizes the rheological be-129 havior of a material. It exhibits both the viscous and elastic characteristics of a 130 substance when they undergo mechanical deformation. Linear viscoelastic models 131 have been used to describe polymeric solutions [38] and to quantify viscoelastic 132 properties of polymeric substances such as biofilm matrix [39], where polymeric 133 substances undergo a small strain and where we can assume there is a linear rela-134 tionship between stress and strain. These models are structured by various combi-135 nations of linear spring and dashpot elements, representing elasticity and viscos-136 ity, respectively. These elasticity parameters characterize biofilms' tendency to 137 reform their shape after being stretched under stress, while viscosity characterizes 138 biofilm resistance to deformation. 139

The numbers and arrangements of spring and dashpot elements can be altered 140 to provide different linear viscoelastic models, such as the Maxwell, Kelvin-Voigt, 141 and Burger models. The Maxwell and Kelvin-Voigt models consist of one spring 142 and one dashpot connected in series and in parallel, respectively. The Burger 143 model contains a spring and a dashpot in series (Maxwell compartment) con-144 nected to a spring and a dashpot in parallel (Kelvin-Voigt compartment), as shown 145 in Fig. 2. E denotes spring coefficient, and  $\eta$  denotes dashpot coefficient. The un-146 derscripts *m* and *k* represent the Maxwell and Kelvin compartments, respectively. 147 The Maxwell model is accurate in modeling the instant elastic strain increase 148



Figure 2: The arrangement of spring and dashpot components in Maxwell, Kelvin-Voigt, and Burger models.

during loading and the elastic strain decrease right after unloading stress; however,
it captures neither the time-dependent recovery nor the decreasing strain rate of
substance under a creep-recovery test. On the other hand, although the KelvinVoigt model precisely shows the time-dependent recovery, it does not demonstrate
the instant strain during loading and unloading. Thus, it is clear that a mix of both
models is needed to properly describe the viscoelasticity of complex rheological
substances.

After exploring different linear viscoelastic models, we chose to use the Burger model which is a combination of the Maxwell and Kelvin-Voigt models. There are several advantages to using this model: Firstly, we were able to analytically solve for the strain and therefore, allowing us to run our data assimilation scheme 10,000,000 times to estimate our parameter estimates with high precision. Secondly, this relatively simple model helped us avoid over-fitting, which is a common problem in models with many parameters and modest amount of data. In the Burger model, the instant increase in elastic strain at the beginning of the creep test, which fully recovers after unloading shear stress, is characterized by the Maxwell spring  $(E_m)$ , while the strain rate at the end of the creep test is described by the Maxwell dashpot  $(\eta_m)$ . The Kelvin-Voigt spring  $(E_k)$  and Kelvin-Voigt dashpot  $(\eta_k)$  are accountable for the gradual increase and decrease in strain during creep and recovery tests. The constitutive equation for a Burger model can be derived based on linear spring and dashpot equations:

$$\left(\frac{\eta_m \eta_k}{E_m E_k}\right) \ddot{\sigma} + \left(\frac{\eta_m}{E_k} + \frac{\eta_m}{E_m} + \frac{\eta_k}{E_k}\right) \dot{\sigma} + \sigma = \left(\frac{\eta_m \eta_k}{E_k}\right) \ddot{\varepsilon} + \eta_m \dot{\varepsilon}$$
(1)

where  $\sigma$ ,  $\varepsilon$ , *E*, and  $\eta$  denote the stress, strain, linear spring constant, and linear 170 dashpot constant, respectively.  $\dot{\sigma}$  and  $\ddot{\sigma}$  are the first and second time derivatives 171 of the stress; while  $\dot{\varepsilon}$  and  $\ddot{\varepsilon}$  are the first and second time derivatives of the strain, 172 respectively. The underscripts m and k represent the Maxwell and Kelvin com-173 partments in the Burger model. Note that, the Maxwell elements ( $E_m$  and  $\eta_m$ ) 174 are an elastic element and a viscous element in series, respectively, and the values 175 associated with these elements can be isolated and calculated directly through ex-176 periments, while the Kelvin elements ( $E_k$  and  $\eta_k$ ) are in parallel and interact and 177 do not have direct, measurable interpretations. They are present in our theoretical 178 model to describe the time-independent creep and recovery response of biofilm 179 EPS. 180

We used the aforementioned Burger model to parameterize the rheological response of our biofilms during a creep-recovery test. Creep-recovery response tests are among the standard tests to measure the viscoelastic properties of biofilms and to characterize the time-dependent responses of materials during loading and unloading of constant shear stress [39]. In this mechanical test, a sudden fixed

shear stress ( $\sigma_0$ ) is applied to biofilm for a specified time period (creep test), and 186 then it is unloaded after a certain time (recovery test). Biofilm responds to this 187 creep-recovery test by deforming in the direction of the applied shear stress. The 188 viscoelastic parameters of biofilm will be extracted based on this deformation and 189 the time-dependent response [40]. The measured local displacement in the direc-190 tion of the stress is non-dimensionalized by the biofilm thickness and is called 191 strain ( $\epsilon$ ). This total strain explained in the context of a spring-dashpot model is 192 essentially the sum of three separate strains: 1) The instant elastic strain which oc-193 curs right after loading the constant stress and fully recovers right after unloading. 194 This strain is characterized by the Maxwell spring. 2) The gradual strain response 195 that is due to the Kelvin spring and dashpot. This strain increases gradually under 196 the applied stress during the creep test and will fully recover during the recovery 197 test. 3) The strain due to the Maxwell dashpot. This strain progressively increases 198 during the creep test; however, it will not recover once the stress is unloaded. As 199 a result, at the end of the recovery test, a permanent strain will remain. Our theo-200 retical model has no viscoplastic elements, and the biofilm is loaded under a low 201 constant shear stress (0.5Pa), which is below the biofilm yield stress. Therefore, 202 it is in the viscoelastic range and will not exhibit any instantaneous viscoplastic 203 strain response related to the viscoplasticity behavior. Fig. 3 shows the different 204 stages of biofilm strain response to a creep-recovery test. The elements that are 205 informed by each stage of the strain response are illustrated in the figure. 206

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In a creep-recovery test, shear stress is constant, and thus stress derivatives are



Figure 3: The different stages of a typical biofilm strain response to the creep-recovery test.

<sup>208</sup> zero. Therefore, equation 1 reduces to:

$$(\frac{\eta_m \eta_k}{E_k})\ddot{\varepsilon} + \eta_m \dot{\varepsilon} = \sigma_0, \quad \text{if } t < \tau \tag{2}$$

$$\left(\frac{\eta_m \eta_k}{E_k}\right) \ddot{\varepsilon} + \eta_m \dot{\varepsilon} = 0, \quad \text{if } t \ge \tau \tag{3}$$

where  $\sigma_0$  is the constant shear stress during creep test, and  $\tau$  is the time that shear stress is unloaded. At t = 0, biofilm experiences an instant elastic strain, therefore, the initial strain can be calculated as  $\varepsilon(0) = \frac{\sigma_0}{E_m}$ . The rate of change in the strain at the initial condition is represented by both the Maxwell and Kelvin-Voigt dashpots  $\dot{\varepsilon}(0) = \frac{\sigma_0}{\eta_m} + \frac{\sigma_0}{\eta_k}$ . Solving equations 2 and 3 with these initial conditions leads to:

$$\varepsilon = \frac{\sigma_0}{E_k} (1 - e^{-(E_k/\eta_k)t}) + \frac{\sigma_0}{\eta_m} t + \frac{\sigma_0}{E_m}, \quad \text{if } t < \tau \tag{4}$$

$$\varepsilon = \frac{\sigma_0}{E_k} (e^{(E_k/\eta_k)\tau} - 1) e^{-(E_k/\eta_k)t} + \frac{\sigma_0}{\eta_m}\tau, \quad \text{if } t \ge \tau$$
(5)

#### 214 2.3. Experimental Data

*P. aeruginosa* is an opportunistic pathogen associated with biofilm-associated chronic infections, specifically in immunocompromised people. *P. aeruginosa* is also considered a model organism for studying biofilms. The EPS of *P. aeruginosa* biofilms is complex, and consists of three different exopolysaccharides: alginate, Pel and Psl, and extracellular DNA and proteins, including CdrA [41].

During chronic infections, *P. aeruginosa* adaptively evolves to form variants 220 that have increased fitness and survival. Of particular interest are the mucoid vari-221 ants and rugose small colony variants (RSCVs). These colony variants acquire 222 mutations that lead to the overproduction of extracellular matrix components. Ge-223 netic mutations lead to the overproduction of alginate in mucoid, and overproduc-224 tion of Psl, Pel polysaccharides, and the biofilm matrix protein CdrA in RSCVs 225 [42]. Due to the overproduction of these EPS components, both variants have 226 increased biofilm phenotypes compared to the ancestor. We were therefore inter-227 ested in determining if the overproduction of EPS by mucoid and RSCVs was also 228 associated with changes in biofilm mechanics, relative to the parental wild type 220 strain [24]. 230

To assess this colony-biofilms of *P. aeruginosa* wild type PAO1 and isogenic mucoid variant (PAO1 *mucA22*) and RSCV (PAO1  $\Delta wspF$ ) were analyzed. Sterile nitrocellulose filter membranes (25*mm*, 0.45 $\mu$ *m* pore size; Milliopore) were inoculated with overnight cultures normalized to OD<sub>600*nm*</sub> 0.1. Filters were transferred to Pseudomonas isolation agar, and incubated at 37°*C*. Colony-biofilms were transferred to a new plate every 24h. Colony-biofilms were analyzed days 2, 4 and 6 [24].

At each time point, biofilms were analyzed by uniaxial mechanical indenta-

tion and shear rheology [24]. Of relevance to this study, biofilms were analyzed by creep-recovery, using a Discovery Hybrid-2 rheometer (TA instruments) fitted with a 25mm Smart-Swap sand blasted geometry. Creep-recovery measurements were performed by applying a shear stress of 0.5*Pa* for 60*s*, followed by a 120*s* recovery [24]. 4 colony-biofilms were analyzed at each timepoint. The experimental conditions of these replicates were consistent, and any variability was intrinsic to biofilm growth and development rather than the experimental design and analysis.

# 246 2.4. Parameter estimation process

We used the strains calculated by the Burger model (equations 4 and 5) as 247 estimates for our biofilm deformation under shear stress during a creep-recovery 248 test ( $\sigma_0 = 0.5Pa$ ). However, we know that this model, like any other mathemat-249 ical model, can not predict real experiments perfectly, as there are always errors 250 involved in predicting real-world events. Let's assume our observations are in-251 dependent and identically distributed (i.i.d), meaning that each observation has 252 the same probability distribution as others and the observations are mutually in-253 dependent [43]. Note that this assumption is across different observations, not 254 along the creep-recovery test time-series data. We used four observations for each 255 dataset, each consisting of a time-series of strain. Thus, we can assume the errors 256 were normally distributed with standard deviation  $\gamma$ , which is a common practice 257 in many engineering and real-world applications [44]. Therefore, the likelihood, 258 the probability of the observed data y (measurements of the creep-recovery test), 259 given the model parameters  $\theta$  (elasticities and viscosities) and the error variance 260  $\gamma^2$ , can be written as: 261

$$p(y|\theta,\gamma^{2}) = \prod_{i=1}^{N} \frac{1}{\sqrt{(2\pi)}\gamma} e^{\frac{(x_{i}-y_{i})^{2}}{2\gamma^{2}}}$$
(6)

where  $x_i$ , and  $y_i$  are the *i* th of the *N* model-derived estimate and observed data points, respectively. In this work,  $x_i$  are the time series of calculated strain  $\varepsilon$  from equations 4 and 5 that is a function of model parameters ( $E_k$ ,  $\eta_k$ ,  $E_m$ , and  $\eta_m$ ).  $y_i$ are the measured values of strain over time from the creep-recovery experiments that were explained in the previous section. This likelihood is then incorporated with Bayes' theorem to calculate the probability of viscoelastic model parameters given the experimental data [45].

A Markov Chain Monte Carlo (MCMC) method [45] was used to construct 269 the target posterior distributions, which are our desired distributions for the vis-270 coelastic parameters. Various MCMC sampling algorithms have been developed 271 over the past decades. Metropolis-Hastings (MH) is one of the classic sampling 272 methods [46] for MCMC Bayesian estimation, that generates sample candidates 273 from a parameter space. These sample candidates are then either rejected or ac-274 cepted based on the posterior ratio of the new parameter candidate to the previous 275 parameter. Here, we employed a modified MH algorithm which helped us im-276 prove the efficiency and speed of our computations by increasing convergence 277 and acceptance rate [47]. The details of our numerical algorithm can be found in 278 Appendix A. 279

This Bayesian data assimilation framework provides us with estimates and variability of our model parameters, as well as information about the stochastic structure of our data, and the relationship between model parameters. These parameter estimates are formed as distributions of samples that can be used to construct probability density functions. The shape of these probability density

functions represents the variability and uncertainty of the parameters. In the con-285 text of biofilm EPS viscoelasticity, Bayesian data assimilation helps us have distri-286 butions for the viscosity and elasticity in a probabilistic form. The mean of these 287 distributions represents the deterministic value of viscosity and elasticity, showing 288 how viscous and deformable the biofilm is, whereas the variance and shape of the 289 distribution suggest how certain we are in determining the mechanical properties 290 of biofilm. For example, we know biofilms are very heterogeneous and dynamic 291 substances, and a small change in their composition during their early stages of 292 formation may lead to statistically significant (P < 0.05) changes in their me-293 chanical properties. Our results, which are discussed in the next section, show 294 that these changes in the mechanical properties of biofilm can be up to two or-295 ders of magnitudes. The quantification of this variability helps us understand the 296 biofilm dynamics and develop robust bounds on the uncertainty of our predictions. 297

#### 298 **3. Results**

Here, we characterize the viscoelasticity of *P. aeruginosa* biofilms, including wild type (WT) PAO1 and isogenic RSCV and mucoid variant biofilms grown for 2, 4, and 6 days with four viscoelastic parameters ( $E_m$ ,  $\eta_m$ ,  $E_k$ , and  $\eta_k$ ). These viscoelastic parameters and the uncertainty of these parameters were estimated and the error variance was quantified using the creep-recovery experimental data [24] and the Bayesian mathematical platform.

For this purpose, the four viscoelastic model parameters for each biofilm were sampled from a uniform proposal distribution. First, the MCMC algorithm was run for an initial run with 10,000,000 iterations. This is referred to as the "burnin" time. Then, these results were used for the main run with a second round of

10,000,000 iterations. We disregarded the first 10,000,000 samples to eliminate 309 the impact of random initial guess on our target proposal distribution and consid-310 ered the second 10,000,000 samples to construct the Markov chains, that equal 311 to the posterior distributions of the parameters (Fig. 4). We observe that the ac-312 cepted candidates fit in a narrow bound of parameters. However, as shown in the 313 figure, the viscoelastic parameters vary significantly between the three biofilms, 314 and also between different stages of formation (e.g. depending on the age of the 315 biofilm). The high variability of WT viscoelastic parameters is related to the high 316 variability and heterogeneous complexity in the structure of WT biofilms with 317 more uncertainty at the early stages of their formation. 318



Figure 4: MCMC samples of viscoelastic properties after disregarding the first half of the Markov chains for WT (orange color), RSCV (blue color), and Mucoid (pink color) after 2, 4, and 6 days of formation.

Then, we employed a kernel density estimation (KDE) algorithm to calculate

the probability densities of posterior distributions. Fig. 5 presents these densities 320 for the three biofilms at different stages of their formation to better visualize how 321 the estimated parameters form a distribution. We observe that these distributions 322 are approximately Gaussian for all parameters, which presents the stochasticity 323 in the physics of biofilm viscoelasticity. Biofilms undergo several chemical and 324 biological processes over the course of their development, and these processes are 325 highly dependent on the state of the system and physical conditions during experi-326 ments, which are not fully controllable. Thus, there is an inherent unpredictability 327 in the physical and chemical properties of the biofilm components. The distribu-328 tions of WT biofilm properties are highly skewed and have the highest relative 329 variations (coefficient of variations) in parameters, especially for Kelvin parame-330 ters, which attributes to the heterogeneity and unpredictability of their physics and 331 structure. The WT biofilm is grown from unaltered *P. aeruginosa* and is inherently 332 unpredictable. 333

The mean values of the estimated parameters are shown in Fig.6. The plots for viscosities are on a logarithmic scale as they vary significantly between the three different biofilms and stages of formation. We observe that the Maxwell and Kelvin-Voigt elasticities and viscosities do not follow a similar trend for the three biofilms. Table 1 shows these mean values of the four viscoelastic parameters for each biofilm. The numbers in red are the estimated values of [24] which are presented here for the sake of comparison with our estimations.

The coefficient of variation (CV) and skewness values for the estimated distributions are listed in Table 2 and 3, respectively, as measures of variability of the estimated parameters. The coefficient of variance is a statistical measure of the dispersion of data around the mean, whereas skewness is a measure of the



Figure 5: Posterior density distributions of viscoelastic properties for WT, RSCV, and Mucoid after 2, 4, and 6 days of formation. WT 2-day and WT 6-day are highly skewed and have the highest variations in parameters.

asymmetry of posterior distributions about their mean values. The values of the 345 coefficient of variation were calculated by dividing the standard deviations by 346 the mean values, then multiplying by 100. We observe a higher variability and 347 skewness for WT biofilms both after 2 days and 6 days of formation, which are 348 related to the high intrinsic variability of WT biofilm structure. This variability is 340 based on the different observations that we used in our calculation of likelihood. 350 The WT biofilm experimental data vary significantly across observations, whereas 351 Mucoid and RSCV experimental data are relatively more comparable across ob-352 servations. This intrinsic uncertainty (aleatoric uncertainty) is mainly due to the 353 inherent randomness in WT biofilm dynamics, and it is different from the uncer-354 tainty (epistemic uncertainty) caused by the lack of enough experimental data. 355



Figure 6: Mean values of estimated viscoelastic properties for WT, RSCV, and Mucoid after 2, 4, and 6 days of formation.

	elastic characteristics		viscosity characteristics	
biofilm	$E_m$ (Pa)	$E_k$ (Pa)	$\eta_m$ (Pa.s)	$\eta_k$ (Pa.s)
WT-2d	59.19 (79.12)	119.75	841.74 (923.25)	3678.05
WT-4d	8.82 (20.53)	3.7047	62.92 (57.68)	134.76
WT-6d	136.78 (259.55)	154.00	3825.31 (7491.48)	2038.41
RSCV-2d	36.44 (91.29)	25.76	679.68 (646.33)	620.25
RSCV-4d	30.54 (67.22)	22.06	438.90 (523.45)	310.38
RSCV-6d	30.46 (64.18)	25.96	1148.50 (1506.42)	432.78
Mucoid-2d	5.30 (-)	1.55	31.26 (24.02)	36.95
Mucoid-4d	21.95 (6.07)	11.30	176.97 (170.04)	185.59
Mucoid-6d	15.21 (6.45)	7.32	212.50 (158.36)	285.59

Table 1: Means of estimated values for viscoelastic parameters of Burger model.

Our Bayesian framework also provides us with the relationship between the parameters. Fig. 7 shows the correlation between parameters of WT biofilm after 4 days of formation as a triangle pair-wise plot. From this figure, we can conclude

		elastic characteristics		viscosity characteristic	
	biofilm	$E_m(\%)$	$E_k(\%)$	$\eta_m(\%)$	$\eta_k(\%)$
_	WT-2d	6.50	17.57	1.13	28.82
	WT-4d	2.79	2.63	0.33	3.09
	WT-6d	12.28	10.17	1.18	33.18
_	RSCV-2d	5.60	3.88	0.86	9.85
	RSCV-4d	6.61	3.75	0.39	11.60
	RSCV-6d	3.76	2.54	0.72	7.87
_	Mucoid-2d	8.83	2.51	0.41	6.30
	Mucoid-4d	3.77	1.65	0.15	4.64
	Mucoid-6d	2.87	3.73	0.76	3.65

Table 2: Coefficients of variation (CV) of estimated values for viscoelastic parameters of Burger model.

there is no direct relationship between  $E_k$ ,  $\eta_k$ ,  $E_m$ , and  $\eta_m$ . However, the relationship between  $\eta_k$  and  $E_m$  suggests that by increasing one parameter the other one decreases. The same correlation happens for  $E_k$  and  $\eta_m$ .

Then, we estimated the error variance in the prediction of the biofilm strain response by integrating the error variance as one of the parameters of interest in our Bayesian framework. Fig. 8 presents the probability density distributions for the square root of the error of variances  $\gamma$ . These results show that predicting the strain response for 2-day Mucoid is more difficult than other biofilm variants, mainly due to the missing data for the strain right before unloading the stress.

After estimating the viscoelastic parameters as probability density functions, we used this information to predict the strain response. Assuming the mean behav-

	elastic characteristics		viscosity characteristics	
biofilm	$E_m$	$E_k$	$\eta_m$	$oldsymbol{\eta}_k$
WT-2d	1.89	-0.32	1.93	0.01
WT-4d	0.21	-0.11	0.21	0.01
WT-6d	1.10	0.29	0.50	1.02
RSCV-2d	0.49	0.16	0.31	0.20
RSCV-4d	0.54	0.12	0.13	0.34
RSCV-6d	0.33	0.11	0.13	0.19
Mucoid-2d	0.66	0.12	0.17	0.14
Mucoid-4d	0.28	0.08	0.05	0.12
Mucoid-6d	0.23	-0.16	0.33	-0.01

Table 3: Skewness of estimated values for viscoelastic parameters of Burger model.

ior is representative, it can be used for a deterministic estimate of the viscoelastic 370 parameters. The mean values of the posterior distributions were used to evaluate 371 the model performance. The model prediction is compared with the experiments 372 in Fig. 9. We observe that the Burger model is able to effectively quantify the 373 strain response to the creep-recovery test for all the biofilms. As shown in Fig. 9, 374 the strains due to the creep and recovery tests fit very well on the experimental 375 data. However, at the end of the recovery part, there is a discrepancy between 376 the model and data, which might be due to the complications with experiments. 377 The propagation of uncertainties in the strain calculation is presented by display-378 ing the 99% credible interval and 99% prediction intervals in the figure. These 379 intervals are constructed based on the chains in Fig. 4. The 99% credible interval 380 shows that after seeing the observed data with probability 99%, the strain is in the 381



Figure 7: Correlation between all four viscoelastic parameters of WT after 4 days of formation.



Figure 8: Posterior distribution of square root of error variance for WT, RSCV, and Mucoid after 2 days, 4 days, and 6 days of formation.

interval. However, in the calculation of the 99% prediction interval, error variance
plays an important role and can predict future observations. The 99% prediction
interval shows that after seeing the observed data with probability 99%, the strain



# <sup>385</sup> of the future observation will be inside the plotted interval.

Figure 9: Prediction of strain vs the experimental data during the creep-recovery test for WT, RSCV, and Mucoid after 2 days, 4 days, and 6 days of formation.

# 386 **4. Discussion**

Biofilms are subject to a wide range of shear forces over many magnitudes of time scales, many too short or too long for lab experimental test methods. Examples of these are high-speed interactions with water jets, such as interdental cleaning jets or pulse lavage in the wound and surgical site debridement as well as pressure washing of industrial surfaces such as ship hulls [48, 49]. On the other hand, biofilms in the natural environment or on industrial surfaces are exposed to fluid forces over days to weeks to decades, impacting industrial performance. Predicting how biofilms may respond to these forces at time scales outside of normal testing methods will have application with respect to designing shear-based cleaning strategies and predicting long-term stability in systems such as uplift fermenters in wastewater and bioremediation systems.

Moreover, biofilms have repeatedly been shown to be highly variable making 398 robust control methods very difficult [50]. One main outcome of this study is to 390 demonstrate that, much of the variability in the mechanical properties of biofilms 400 can be ascribed to variations in the microstructure that forms the EPS matrix. This 401 understanding points to control strategies that target more specific components. 402 This detailed information about the chemical structure of EPS components and an 403 understanding of the impact of variations in the microstructure on the macroscopic 404 behavior can lead to novel antibiofilm strategies. 405

The Burger viscoelastic model used in our study helped us obtain significantly 406 better estimates for the viscosities and elasticities of our biofilm variants in com-407 parison to the other well-known linear viscoelastic models, such as the Maxwell 408 and Kelvin-Voigt, that are described in previous sections. This is mainly because 400 the Burger model has the capability to describe instant elastic strain response, 410 as well as time-dependent viscoelastic response and irrecoverable strain during a 411 creep-recovery test. Fig. 10 shows the comparison of our predicted strain response 412 using the Burger model for WT-4d biofilm, against the Maxwell and Kelvin-Voigt 413 for the same biofilm variant. These strains were calculated based on the mean val-414 ues of the viscoelastic parameters estimates using our Bayesian framework. The 415 99% credible and 99% prediction intervals are displayed in the figure to address 416 the uncertainty in estimating the strain based on the given data as well as the uncer-417

tainty in the prediction of future observations based on the estimated parameters.
The stochastic characteristics of our Bayesian framework helped us estimate the
biofilm viscoelastic parameters with higher accuracy compared to existing models
that used deterministic estimation techniques such as least-square fitting [40].



Figure 10: Comparison of Burger model against Maxwell and Kelvin-Voigt models, in strain prediction for WT after 4 days for formation.

WT biofilms are very sensitive, and their mechanical properties vary signifi-422 cantly over time. First, the Maxwell and Kelvin-Voigt elasticities and viscosities 423 decrease from day 2 to day 4, and then they increase. Biofilm elasticities and vis-424 cosities change over time and are less on day 4 than 2 before increasing by day 6. 425 This is due to the higher affinity interactions between EPS components in 2-day 426 and 6-day biofilms, compared to 4-day biofilms. Psl is known to be the dominant 427 polysaccharide at the early stages of biofilm formation and makes the EPS ma-428 trix stiffer, whereas Pel is produced at later stages when the biofilm matures and 429 makes the EPS matrix more viscous and malleable. These behaviors suggest the 430 occurrence of different waves of EPS remodeling which results in elasticities and 431 viscosities changes over time [24]. WT biofilms have very diverse components 432

that lead to a large variation in mechanical properties. Presumably, this is because 433 there are many ways that each biofilm can diversify the constituent production 434 with relatively distinct properties. However, this allows for a larger signal-to-noise 435 ratio than variants that overproduce one or more constituents. We observe RSCV 436 biofilm elasticity to be almost constant over time. However, it is more viscous 437 after 2 days and 6 days of formation. Mucoid biofilms, on the other hand, show 438 a very low elasticity and viscosity at the first stages of formation, while as time 439 goes by, they become more stiff and viscous. The biofilm mechanical properties 440 are not subject to change after 4 days of formation, which shows their structural 441 stability over time. 442

One interesting aspect of data assimilation techniques is their robustness with 443 regard to cases where data is missing. In the context of creep-recovery experi-444 ments, extracting the biofilm strain response in the transition from stress loading 445 (creep) and unloading (recovery) is challenging due to the rapid change in strain, 446 experimental error, and the intrinsic nature of experiments that do not allow the 447 operator to impulsively unload stress. This may result in low accuracy in quanti-448 fying the parameters of interest. Hence, the strain response experimental data for 449 our 2-day WT biofilm was incomplete right before unloading, as it was difficult 450 to capture the rapid drop in the strain. However, our data assimilation technique 451 helped predict the unmeasured data and the strain for this time period of incom-452 plete missing data. 453

# 454 5. Conclusion

<sup>455</sup> In this paper, we have employed a mathematical framework to characterize the <sup>456</sup> viscoelastic properties of *P. aeruginosa* biofilms during a creep-recovery test. We have described the strain response of WT *P. aeruginosa*, and isogenic RSCV and
 mucoid variant biofilms using a Burger viscoelastic model.

We have implemented an adaptive MCMC algorithm, that is based on a Bayesian 459 estimation framework to estimate the model parameters based on the prior knowl-460 edge we have from the parameters and the experimental data. We have estimated 461 the four model parameters involved in the viscoelastic constitutive equations for 462 each biofilm after 2, 4, and 6 days of formation. The viscoelastic properties of 463 these different biofilms are subject to a significant change over time, which shows 464 the dynamic composition of the biofilm EPS structure. This type of study was 465 pioneered in the early 2000s [40]. However, using a Bayesian framework and 466 considering different strains have allowed us to incorporate recent advances in 467 our understanding of biofilm mechanics. This analysis can help future research 468 works elucidate the physics of the polymer network that forms the backbone of 469 the biofilm [1]. This understanding is fundamental to the development of targeted 470 therapies. 471

Additionally, addressing the fundamental variability of biofilm dynamics indicates weaknesses in the deterministic treatment of biofilm mechanics. Therefore, estimates of rheological properties using this method are more robust and descriptive than estimates using the geometry of relaxation curves. Our study also indicates that, since the properties of the constituents vary in time and density, methods to estimate the distribution between polymer types are needed.

This study contributes to our understanding of the connections between microscale structure and macroscale behavior. Additionally, we have demonstrated robust comparisons between our predictive model and experimental observations even in data sets with partial data. Modernizing our methodology and conceptual-

ization of the impact of variable EPS microstructure encourages the development 482 of highly targeted antibiofilm strategies. Understanding the underlying structure 483 of biofilm and its impact on rheological properties provides novel directions to 484 explore biofilm removal. For example, many biofilm removal techniques rely 485 on applying forces to the biofilm to force sloughing [49]. By applying specific 486 treatments that target different constituents, we can enhance this removal by ma-487 nipulating the rheological properties. This requires a detailed understanding of 488 the underlying distribution to optimize the targets. 489

The broad methodology investigated in this manuscript is directly applicable in many other settings. Developing tools to address the multi-component nature also plays a role when biofilms grow in soft matter such as within the mucus lining of the lungs in people with cystic fibrosis.

## **Declaration of Interest**

<sup>495</sup> The authors declare no competing interests.

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# 498 Appendix A. Numerical Algorithm for Parameter Estimation

In this Appendix, we provide the details of our Bayesian framework and the parameter estimation method. First, we explain Bayes' theorem and the assumptions we considered to calculate the posterior distributions for a given set of model parameters. Then, we describe the Markov Chain Monte Carlo method and how to compute the target posterior distribution by evaluating the candidates that are
 sampled from a proposal distribution.

Bayes' theorem is fundamental in the calculation of posterior distributions. In the case that the error variance  $\gamma^2$  is fixed and known, we can calculate the posterior of our physical model parameters  $\theta$  based on the likelihood and prior of  $\theta$ . Therefore, Bayes's theorem can be written as:

$$p(\theta|y) = \frac{p(y|\theta)p(\theta)}{p(y)}$$
(A.1)

where  $p(\theta|y)$  is our posterior distribution, the probability of model parameters given the observed data;  $p(y|\theta)$  is the likelihood, the probability of observed data given the model parameters; and  $p(\theta)$  is the prior distribution. The denominator p(y) is integral of the numerator over the parameter space, which is a normalization factor and is fixed. Note that, we do not need to compute the denominator as it cancels out in our calculations when we compare the sample candidates to decide whether reject or accept them.

In many scenarios, there is uncertainty in our data, and the error variance of parameters  $\gamma^2$  is unknown. This uncertainty can be quantified by integrating the error variance into our Bayesian framework [45]. In this case, the Bayes's theorem can be written as:

$$p(\theta, \gamma^2 | y) = \frac{p(y|\theta, \gamma^2) p(\theta, \gamma^2)}{p(y)}$$
(A.2)

where  $p(\theta, \gamma^2 | y)$  is our posterior distribution, the probability of model parameters and error variance given the observed data;  $p(y|\theta, \gamma^2)$  is the likelihood, the probability of observed data given the model parameters and error variance; and  $p(\theta, \gamma^2)$  is the joint prior distribution of  $\theta$  and  $\gamma^2$ . The i.i.d condition suggests that <sup>516</sup>  $p(\theta, \gamma^2) = p(\theta)p(\gamma^2)$  because model parameters and error variance are indepen-<sup>517</sup> dent parameters.

We sampled the model parameters  $\theta$  from a uniform distribution with only 518 positive numbers, as the physics of viscoelastic parameters do not allow them to 519 take negative values. The error variance  $\gamma^2$  was sampled from an inverse  $\chi^2$ -520 squared distribution that is an uninformative conjugate prior to the normally dis-521 tributed likelihood [45]. A Gaussian likelihood was employed to calculate the 522 probability of the observed data given the model parameters. The Gaussian likeli-523 hood was chosen because we assumed our observations are mutually independent 524 and identically distributed (i.i.d.), meaning that each observation has the same 525 probability distribution as others, and the observations are mutually independent 526 [43]. Thus, we assumed the errors are normally distributed with standard deviation 527  $\gamma$ , which is a common practice in many engineering and real-world applications 528 [44]. Therefore, the likelihood, the probability of the observed data y, given the 529 model parameters  $\theta$  and the error variance  $\gamma^2$ , can be written as: 530

$$p(y|\theta,\gamma^2) = \prod_{i=1}^{N} \frac{1}{\sqrt{(2\pi)}\gamma} e^{\frac{(x_i - y_i)^2}{2\gamma^2}}$$
(A.3)

where  $x_i$ , and  $y_i$  are the *i* th of the *N* model-derived estimates and observed data points, respectively.

Markov Chain Monte Carlo (MCMC) method generates sample candidates from a parameter space, that are either rejected or accepted based on the acceptance probability. Metropolis-Hastings (MH) is a classic sampling algorithm [46] (Algorithm 1) for Bayesian estimation. MH iteratively generates a sequence of sample candidates from a proposal distribution, in such a way that each sample is only dependent on the immediately preceding sample. Hence, it follows Markov

- <sup>539</sup> Chain rules. Then, the sample candidates are either accepted or rejected based on
- <sup>540</sup> how good the acceptance probability is compared to a uniform random number.

# Algorithm 1 Metropolis-Hastings

1:	1: initialize model parameters, $\theta_0$			
2:	for $i = 1$ to $n$ do			
3:	propose a new candidate $\theta^*$ from the prior			
4:	calculate $\alpha = \frac{p(y \theta^*)p(\theta^*)}{p(y \theta_{i-1})p(\theta_{i-1})}$			
5:	generate r from a uniform distribution $\mathscr{U}(0,1)$			
6:	if $r < min\{1, \alpha\}$ then			
7:	$ heta_i =  heta^*$			
8:	else			
9:	$oldsymbol{ heta}_i = oldsymbol{ heta}_{i-1}$			
10:	end if			

```
11: end for
```

In this paper, we employ the Delayed Rejection Adaptive Metropolis (DRAM) 541 algorithm developed by [47] (Algorithm 2). This algorithm is a modified standard 542 Metropolis-Hastings algorithm that helps improve the efficiency and speed of our 543 computations by increasing convergence and acceptance rate. The idea behind 544 Delayed Rejection (DR) is that, upon rejection in MH, instead of advancing time 545 and retaining the same position, a second stage move is proposed, that can be 546 extended to further proposal candidate sampling. Higher staged proposals are 547 allowed to depend on the candidates already accepted or rejected, and their ac-548 ceptance probabilities are dependent on the previous delayed rejection candidates 549 [47]. Adaptive Metropolis (AM), unlike a regular MH algorithm, allows us to 550 sample the posterior distribution based on the past samples' path of the chain, 551

- <sup>552</sup> which accelerates the convergence rate while keeping the ergodicity of the algo-
- <sup>553</sup> rithm [47].

554

# Algorithm 2 Adaptive Metropolis-Hastings (DRAM)

- 1: initialize model parameter,  $\theta_0$
- 2: initialize covariance matrix,  $C_0$
- 3: set scaling factor, s
- 4: set covariance regularization factor,  $\varepsilon$
- 5: set initial non-adaption period,  $n_0$

6: set number of delayed rejection tries, 
$$N_{try}$$

7: set k = 0

8: while a new value is not accepted or  $k < N_{try}$  do

- 9: **for** i = 1 to *n* **do**
- 10: propose a new candidate  $\theta^*$  from  $\mathcal{N}(\theta_{i-1}, C_{i-1})$
- 11: calculate the posterior ratio,  $\alpha_k$
- 12: generate a uniform random number r in [0, 1]
- 13: **if**  $r < min\{1, \alpha_k\}$  **then**
- 14:  $\theta_i = \theta^*$
- 15: **else**
- 16:  $\theta_i = \theta_{i-1}$
- 17: **end if**
- 18: **if**  $i \ge n_0$  **then**
- 19:  $C_i = \operatorname{cov}(\theta_0, ..., \theta_i)s + I\varepsilon$
- 20: else
- 21:  $C_i = C_0$
- 22: end if
- 23: **end for**

$$24: \qquad k = k+1$$

25: end while

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