# Hybrid Precoder and Combiner Designs for Decentralized Parameter Estimation in mmWave MIMO Wireless Sensor Networks

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Abstract-Hybrid precoder and combiner designs are conceived for decentralized parameter estimation in millimeter wave (mmWave) multiple-input multiple-output (MIMO) wireless sensor networks (WSNs). More explicitly, efficient pre- and postprocessing of the sensor observations and received signal are proposed for the minimum mean square error (MMSE) estimation of a parameter vector. The proposed techniques exploit the limited scattering nature of the mmWave MIMO channel for formulating the hybrid transceiver design framework as a multiple measurement vectors (MMV)-based sparse signal recovery problem. This is then solved using the iterative appealingly low-complexity simultaneous orthogonal matching pursuit (SOMP). Tailor-made designs are presented for WSNs operating under both total and per-sensor power constraints, while considering ideal noiseless as well as realistic noisy sensors. Furthermore, both the Bayesian Cramer-Rao lower bound and the centralized MMSE bound are derived for benchmarking the proposed decentralized estimation schemes. Our simulation results demonstrate the efficiency of the designs advocated and verify the analytical findings.

*Index Terms*—Hybrid transceiver design, mmWave MIMO, wireless sensor networks, majorization theory, decentralized parameter estimation

#### I. INTRODUCTION

Recent advances in massive Machine-Type Communication (mMTC) and low cost of sensors have opened new opportunities for deploying large scale wireless sensor networks (WSNs) [1]. This has ushered in a new era and paved the way for compelling applications, such as the Internet of Things (IoT) [2] [3]. This in turn enables various applications of substantial

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L. Hanzo is with the School of Electronics and Computer Science, University of Southampton, Southampton SO17 1BJ, U.K. (e-mail: lh@ecs.soton.ac.uk) socio-economic value, such as health care [4], agriculture [5], defence [6], smart cities [7], smart grids [8], vehicleto-everything (V2X) communication [9], among others. In a typical WSN that performs decentralized estimation [10], [11], [12] the constituent low-power sensors precode the observations followed by transmission to a fusion center (FC) over a coherent multiple access channel (MAC). The FC subsequently processes the received data for generating reliable estimates of the multiple parameters under consideration. In contrast, centralized estimation refers to the scenario when all the sensor measurements are available directly at the FC without any distortion. Naturally, the estimation accuracy in WSNs is generally degraded by both the measurement/observation noise at the sensors, as well as by the fading nature of the wireless channel and also by the ubiquitous thermal noise of the communication circuitry at the FC. Therefore, conceiving powerful precoder/combiner designs capable of exploiting the multiplexing and diversity gains of the multiple-input multipleoutput (MIMO) WSN is of crucial importance for achieving reliable estimates of the parameters. Unfortunately, the massive connectivity required by the large number of sensors in a large-scale IoT deployment further exacerbates the spectrum crunch in the over-crowded sub-6 GHz band. This motivates leveraging the less congested, but higher path loss spectrum beyond the current sub-6 GHz bands.

Millimeter wave (mmWave) wireless technology, which exploits the abundant spectrum available in the mmWave band (30-300 GHz), can help realize the dual goals of massive connectivity and high data rates necessary to support WSNs [13], [14], [15]. However, communication in the mmWave band is challenging due to its high propagation losses and severe signal blockage [16], [17]. In such a scenario, multiple antenna technology can be leveraged to overcome these barriers, which is especially convenient in mmWave frequency bands owing to the short wavelength that supports the fabrication and integration of a very large number of antennas in compact devices. However, it must be noted that the large number of antennas at both ends necessitates a large number of radio-frequency (RF) chains, since the conventional baseband transceiver architecture requires an individual RF chain for each antenna. This leads to unsustainably high power consumption, especially by the analog-to-digital converters (ADCs) [18] that are required to operate at high sampling rates due to the high bandwidth of signals in the mmWave frequency bands. To overcome

this problem, the hybrid RF-baseband transceiver architecture, proposed in the pioneering contributions [19], [20] offers an excellent solution for the practical realization of mmWave MIMO systems. Hence, we focus our attention on designing novel hybrid precoder/combiner designs for decentralized parameter vector estimation in mmWave MIMO WSNs. Next we discuss other novel contributions on linear decentralized estimation.

### A. State-of-the-Art in Transceiver Designs for WSNs

The popular model of linear decentralized estimation has been developed in the seminal works in [10], [11] and [21]. However, the signal processing techniques proposed therein are unsuitable in mmWave MIMO-based WSNs, given the specific nature of signal processing at mmWave frequencies. Specifically, for mmWave MIMO systems, analog beamforming [17] is a compellingly low-complexity, low-power technique. However, its impediment is that it does not readily support multi-stream transmission, which is critical for the simultaneous estimation of multiple parameters. As a remedy, hybrid beamforming techniques have been proposed in [20], which simultaneously support both spatial multiplexing and transmission of multiple streams in mmWave MIMO systems. The hybrid transceiver employed in such systems is comprised of an RF precoder consisting of a digitally controlled network of phase shifters and a baseband precoder relying on a digital signal processor (DSP). Similarly, the hybrid combiner at the receiver is comprised of an analog RF combiner in cascade with a digital baseband combiner. For designing the hybrid transceiver components, Ayach et al. [20] proposed an interesting hybrid transmit precoder (TPC)/ receive combiner (RC) design for mutual information maximization in a point-to-point mmWave MIMO system. The conventional fully-digital optimal precoder obtained therein is the right singular matrix of the mmWave MIMO channel matrix. The authors then decompose the fully-digital TPC into its RF and baseband components using the low-complexity simultaneous orthogonal matching pursuit (SOMP) algorithm incorporating the properties of the mmWave channel model. However, in a coherent MAC-based mmWave MIMO WSN, the design of the fully-digital TPC is a non-trivial problem. Yu et al. [22] formulated the hybrid precoder design paradigm as a matrix factorization problem, and developed an alternating minimization (Altmin) algorithm for solving it. The iterative algorithm presented therein has a significantly higher complexity and does not exploit the specific properties of the mmWave MIMO channel. Gong et al. [23] proposed hybrid transceiver designs based on majorization-minimization (MM) method. The other various hybrid precoder and combiner designs for cellular mmWave MIMO systems are described in works such as [24]-[28]. Furthermore, Wang et al. [29] addressed the security aspect and designed a hybrid precoder for securing broadcast communications in mmWave IoT networks. Hybrid beamforming was also considered by Chae et al. [30] for simultaneous wireless information and power transfer (SWIPT) based IoT sensor networks where the nodes were considered working only in half-duplex mode. However, authors therein have not exploited

the properties of the mmWave MIMO channel and considered a single antenna at the FC for scalar parameter estimation, hence they were unable to glean any multiplexing gain for improving the estimation accuracy. Zhao et al. [31] also proposed a hybrid precoding scheme for SWIPT based IoT nodes in a Rayleigh fading channel working in full-duplex mode. Lie et al. [32] presented a hybrid TPC design based on the alternating direction method of multipliers (ADMM) for the maximization of mutual information in an orthogonal MAC. However, the estimation accuracy in a WSN is best quantized in terms of the mean square error (MSE) of parameter estimation, which cannot be represented by a mutual information based objective function. The authors of [33] presented a MMSEbased transceiver optimization procedure for multi-user MIMO systems, where the optimal TPCs are obtained by minimizing the sum MSE subject to a constraint on the total power of all the users. However, it must be noted that their scheme cannot be used in WSNs since the system model of a MIMO WSN is substantially different to that of a multi user MIMO cellular system. In an uplink multi-user MIMO system, each user transmits its data symbol to the base station, which is independent of the data symbols of the other users. Therefore, the data transmitted from the kth user suffers from interference arising due to the other co-channel users. By contrast, in a WSN, all the sensors simultaneously observe correlated versions of the same parameter. Thus, the observation vectors transmitted from the sensors are correlated and noisy versions of the parameter have to be estimated. Moreover, it can be readily inferred from [33] that in a multi-user MIMO system, the TPCs  $\{\overline{\mathbf{T}}_k\}_{k=1}^K$  corresponding to the K users are decoupled in the sum-MSE expression. However, in the corresponding sum-MSE expression of the MIMO WSN, the TPCs  $\{\mathbf{F}_k\}_{k=1}^{K}$ corresponding to the K sensors are coupled, as will be seen in Section III. This necessitates a completely different algorithm for the design of the TPCs, which is described in our paper. Authors in [34] have proposed ADMM-based iterative hybrid transceiver designs in MIMO WSNs. However, the work does not exploit the mmWave channel sparsity for hybrid transceiver designs. Rajput et al. [35] have proposed hybrid precoder designs for scalar parameter estimation in mmWave MIMO WSNs. However, to the best of our knowledge, none of the existing contributions have developed a hybrid transceiver design taking into account the associated power constraints of the parameter vector estimation problem of a mmWave hybrid MIMO WSN, which is hence the objective of this treatise. The contributions of this work are described in the next section. Furthermore, the novel aspects of this paper are boldly and explicitly contrasted to the existing literature in Table I.

## B. Our Contributions

 Novel TPC/ RC designs are developed for decentralized parameter vector estimation in mmWave MIMO WSNs. Our hybrid analog-digital transceiver designs are first designed for a scenario associated with noisy measurements under a given total power budget. Subsequently, hybrid TPCs are designed for a mmWave hybrid MIMO WSN subject to individual power constraints at the constituent

Attribute	[20], [21], [24], [25]	[22]	[28]	[29], [30]	[31]	[33]	[34]	Proposed
mmWave MIMO WSN		$\checkmark$	$\checkmark$		$\checkmark$		$\checkmark$	$\checkmark$
Decentralized Estimation				$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
Coherent MAC				$\checkmark$		$\checkmark$	$\checkmark$	$\checkmark$
Vector Parameter Estimation					$\checkmark$	$\checkmark$		$\checkmark$
Hybrid Transceiver Design	$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$	$\checkmark$		$\checkmark$
Closed-form Solution	$\checkmark$	$\checkmark$	$\checkmark$			$\checkmark$	$\checkmark$	$\checkmark$
MSE-optimal		$\checkmark$				$\checkmark$	$\checkmark$	$\checkmark$
Total Power Budget			$\checkmark$				$\checkmark$	$\checkmark$
Individual Sensor Power Constraints				$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$

TABLE I: Boldly contrasting our new contributions against the state-of-the-art

sensors, which are suitable for applications where each sensor has a stringent power budget due to its limited battery charge.

- Closed-form expressions are derived for the optimal fullydigital TPCs using majorizaton theory. Subsequently, the hybrid baseband and RF TPC components are designed using SOMP.
- For parameter estimation at the FC, both a fully-digital RC, and its baseband and RF components are derived by minimizing the MSE using the classic linear minimum mean square error (LMMSE) framework.
- Both, the Bayesian Cramer-Rao bound (BCRB) and the centralized MMSE bound are derived for benchmarking the MSE performance of parameter estimation in the proposed designs relying on noiseless and noisy sensor observations, respectively.
- Our simulation results confirm that the proposed schemes perform close to the fully-digital designs having as many RF chains as the number of antennas, and they approach the centralized MMSE lower bound at high signal-tonoise ratio (SNR), hence illustrating their efficiency.

#### C. Outline of the paper

The rest of the paper is outlined as follows. Section II discusses the mmWave hybrid MIMO WSN system model and the mmWave MIMO channel model of linear decentralized parameter estimation. Section III presents MSE-optimal hybrid TPC/ RC designs, initially under a total power budget and individual sensor power constraints. Our simulation results are discussed in Section V, and we conclude in Section VI. For maintaining seamless flow, the proofs of the various propositions are relegated to the Appendices.

Notation: Small and capital boldface letters  $\mathbf{z}$  and  $\mathbf{Z}$  have been used to represent vectors and matrices, respectively.  $()^T$ ,  $()^H$  and  $()^{\dagger}$  denote transpose, Hermitian transpose and pseudoinverse operations respectively; Furthermore, the (i, j)th element of a matrix  $\mathbf{Z}$  is denoted by  $\mathbf{Z}(i, j)$ ; A block diagonal matrix is denoted by blkdiag $(\mathbf{Z}_1, \mathbf{Z}_2, \dots, \mathbf{Z}_L)$  where the matrices  $\mathbf{Z}_1$ ,  $\mathbf{Z}_2$  upto  $\mathbf{Z}_L$  are on its principal diagonal; Furthermore, diag ( $\mathbf{Z}$ ) and  $\boldsymbol{\lambda}(\mathbf{Z})$  denote the vector of diagonal elements and vector of eigenvalues of a matrix  $\mathbf{Z}$  respectively;  $\lambda_k$  ( $\mathbf{Z}$ ) and  $\sigma_k$  ( $\mathbf{Z}$ ) represent the *k*th eigenvalue and *k*th singular value of the matrix  $\mathbf{Z}$ , respectively; The column-space and row-space of matrix  $\mathbf{Z}$  are denoted by  $C(\mathbf{Z})$  and  $\mathcal{R}(\mathbf{Z})$ , respectively; The operator  $(z)^+$  denotes max  $\{z, 0\}$ ;  $\mathcal{CN}(0, 1)$  denotes a standard complex normal distributed random variable with mean 0 and variance 1.

## II. MMWAVE HYBRID MIMO WSN SYSTEM MODEL

This section describes the mmWave hybrid MIMO WSN system model and the mmWave MIMO channel model of linear decentralized estimation.

## A. mmWave MIMO WSN Model

The mmWave WSN, as depicted in Fig. 1, consists of K sensors each having  $N_T$  antennas, and  $N_{\text{RF}}^s$  RF chains and a FC equipped with  $N_R$  antennas and  $N_{\text{RF}}^{\text{FC}}$  RF chains, where  $N_{\text{RF}}^{\text{FC}} \ll N_R$  and  $N_{\text{RF}}^s \ll N_T$ . Each sensor simultaneously senses the common parameter vector  $\boldsymbol{\theta} \in \mathbb{C}^{m \times 1}$ , where  $\boldsymbol{\theta} \sim \mathcal{CN}(\mathbf{0}, \mathbf{R}_{\theta})$ . The observations  $\mathbf{x}_k \in \mathbb{C}^{q_k \times 1}$  at the *k*th sensor can be modelled as

$$\mathbf{x}_k = \mathbf{M}_k \boldsymbol{\theta} + \mathbf{n}_k. \tag{1}$$

The quantity  $\mathbf{M}_k \in \mathbb{C}^{q_k \times m}$  denotes the measurement matrix of the *k*th sensor, while  $q_k$  represents the number of measurements sensed by the *k*th sensor. Here  $\mathbf{n}_k \in \mathbb{C}^{q_k \times 1}$  represents the circularly symmetric complex additive Gaussian observation noise at the *k*th sensor with zero mean and covariance matrix  $\mathbf{R}_{n,k} \in \mathbb{C}^{q_k \times q_k}$ .

As per the hybrid TPC scheme, the observation vector is precoded initially by the baseband TPC  $\mathbf{F}_{\text{BB},k} \in \mathbb{C}^{N_{\text{RF}}^s \times q_k}$ followed with the RF TPC  $\mathbf{F}_{\text{RF},k} \in \mathbb{C}^{N_T \times N_{\text{RF}}^s}$  of the *k*th sensor. The RF TPC is realized exclusively using equalgain phase shifters, and performs analog processing in the RF domain to achieve a beamforming gain. Therefore, the magnitudes of the elements of RF TPC can be constrained as  $|\mathbf{F}_{\text{RF},k}(s,t)| = \frac{1}{\sqrt{N_T}}$ . The mmWave MIMO channel between the *k*th sensor and the FC is denoted by  $\mathbf{G}_k \in \mathbb{C}^{N_R \times N_T}$ . Thus, the signal received by the FC can be expressed as

$$\mathbf{y} = \sum_{k=1}^{K} \mathbf{G}_{k} \mathbf{F}_{\mathrm{RF},k} \mathbf{F}_{\mathrm{BB},k} \mathbf{x}_{k} + \mathbf{v}$$
$$= \sum_{k=1}^{K} \mathbf{G}_{k} \mathbf{F}_{\mathrm{RF},k} \mathbf{F}_{\mathrm{BB},k} \mathbf{M}_{k} \boldsymbol{\theta} + \sum_{k=1}^{K} \mathbf{G}_{k} \mathbf{F}_{\mathrm{RF},k} \mathbf{F}_{\mathrm{BB},k} \mathbf{n}_{k} + \mathbf{v}$$
$$= \mathbf{GFM} \boldsymbol{\theta} + \mathbf{GFn} + \mathbf{v},$$
(2)



Fig. 1: Coherent MAC based mmWave MIMO WSN system model

where  $\mathbf{v} \in \mathbb{C}^{N_R \times 1}$  is the FC noise, which is distributed as  $\mathbf{v} \sim \mathcal{CN}(\mathbf{0}, \mathbf{R}_v)$ , where  $\mathbf{R}_v = \sigma_v^2 \mathbf{I}_{N_R}$ . The stacked observation matrix  $\mathbf{M} \in \mathbb{C}^{q \times m}$ , and the concatenated channel matrix  $\mathbf{G} \in \mathbb{C}^{N_R \times KN_T}$  across the sensors, are defined as

$$\mathbf{M} = \begin{bmatrix} \mathbf{M}_1^T, \mathbf{M}_2^T, \cdots, \mathbf{M}_K^T \end{bmatrix}^T, \mathbf{G} = \begin{bmatrix} \mathbf{G}_1, \mathbf{G}_2, \cdots, \mathbf{G}_K \end{bmatrix},$$
(3)

where  $\sum_{k=1}^{K} q_k = q$ . The block-diagonal hybrid TPC  $\mathbf{F} \in \mathbb{C}^{KN_T \times q}$  is given by

$$\mathbf{F} = \mathbf{F}_{\mathrm{RF}} \mathbf{F}_{\mathrm{BB}},\tag{4}$$

where  $\mathbf{F}_{\text{RF}} \in \mathbb{C}^{KN_T \times KN_{\text{RF}}^s}$  is the block-diagonal RF TPC and  $\mathbf{F}_{\text{BB}} \in \mathbb{C}^{KN_{\text{RF}}^s \times q}$  is the block-diagonal baseband TPC, which are defined as

$$\mathbf{F}_{\text{RF}} = \text{blkdiag}(\mathbf{F}_{\text{RF},1}, \mathbf{F}_{\text{RF},2}, \cdots, \mathbf{F}_{\text{RF},K}), \quad (5)$$

$$\mathbf{F}_{BB} = \text{blkdiag}(\mathbf{F}_{BB,1}, \mathbf{F}_{BB,2}, \cdots, \mathbf{F}_{BB,K}).$$
(6)

Furthermore, the covariance matrix  $\mathbf{R}_n \in \mathbb{C}^{q \times q}$  of the concatenated observation noise vector  $\mathbf{n} = [\mathbf{n}_1^T, \mathbf{n}_2^T, \cdots, \mathbf{n}_K^T]^T \in \mathbb{C}^{q \times 1}$  is given by

$$\mathbf{R}_{n} = \text{blkdiag}(\mathbf{R}_{n,1}, \mathbf{R}_{n,2}, \cdots, \mathbf{R}_{n,K}).$$
(7)

The parameter estimate  $\hat{\theta} \in \mathbb{C}^{m \times 1}$  at the FC of the unknown parameter  $\theta$  is obtained using the hybrid RC denoted by W, which can be decomposed as  $\mathbf{W} = \mathbf{W}_{\text{RF}}\mathbf{W}_{\text{BB}}$ , where  $\mathbf{W}_{\text{RF}} \in \mathbb{C}^{N_{\text{RF}} \times N_{\text{RF}}^{\text{FC}}}$  is the RF RC and  $\mathbf{W}_{\text{BB}} \in \mathbb{C}^{N_{\text{RF}}^{\text{FC}} \times m}$  is the baseband RC. The equivalent system model can be compactly written as shown in (8). The covariance matrix  $\mathbf{E} \in \mathbb{C}^{m \times m}$  of the estimation error of parameter  $\theta$  is defined as

$$\mathbf{E} = \mathbb{E}\left\{\left(\widehat{\boldsymbol{\theta}} - \boldsymbol{\theta}\right)\left(\widehat{\boldsymbol{\theta}} - \boldsymbol{\theta}\right)^{H}\right\},\tag{9}$$

whereas the MSE at the FC is given by

$$MSE = Tr(E).$$
(10)

Furthermore, the total transmit power of all the sensors may be formulated as

$$\mathbb{E}\left\{||\mathbf{F}\mathbf{x}||_{2}^{2}\right\} = \operatorname{Tr}\left(\mathbf{F}\left(\mathbf{M}\mathbf{M}^{H} + \mathbf{R}_{n}\right)\mathbf{F}^{H}\right).$$
(11)



Fig. 2: Spatial channel model for the mmWave MIMO system

The relevant mmWave MIMO channel model is presented in the next subsection.

#### B. mmWave MIMO Channel Model

The mmWave MIMO channel  $G_k$  between the FC and the *k*th sensor is modelled using the spatial channel model of [36] having *L* multipath components formulated as

$$\mathbf{G}_{k} = \sqrt{\frac{N_{R}N_{T}}{L}} \sum_{n=1}^{L} \alpha_{n,k} \mathbf{a}_{R}(\phi_{n}) \mathbf{a}_{T}^{H}(\varphi_{n,k}), \qquad (12)$$

where the 3-tuple  $(\alpha_{n,k}, \phi_n, \varphi_{n,k})$  denotes the complex gain  $\alpha_{n,k}$ , the angle of arrival (AoA)  $\phi_n$  at the FC and angle of departure (AoD)  $\varphi_{n,k}$  at the *k*th sensor associated with the *n*th cluster. The spatial channel model of the mmWave MIMO WSN is presented in Fig. 2. Upon considering uniform linear arrays (ULAs) at the receiver and transmitters, the receive array response vector  $\mathbf{a}_R(\phi_n) \in \mathbb{C}^{N_R \times 1}$  and transmit array response vectors  $\mathbf{a}_T(\varphi_{n,k}) \in \mathbb{C}^{N_T \times 1}$  are given by

$$\mathbf{a}_{R}(\phi_{n}) = \frac{1}{\sqrt{N_{R}}} \left[ 1, e^{-j\widetilde{\phi}_{n}}, ..., e^{-j(N_{R}-1)\widetilde{\phi}_{n}} \right]^{T}, \qquad (13)$$

$$\mathbf{a}_T(\varphi_{n,k}) = \frac{1}{\sqrt{N_T}} \left[ 1, e^{-j\widetilde{\varphi}_{n,k}}, ..., e^{-j(N_T - 1)\widetilde{\varphi}_{n,k}} \right]^T, \quad (14)$$

where  $\tilde{\phi}_n = \frac{2\pi}{\lambda} d_R \cos(\phi_n)$  and  $\tilde{\varphi}_{n,k} = \frac{2\pi}{\lambda} d_T \cos(\varphi_{n,k})$ . The quantities  $\lambda, d_R$  and  $d_T$  denote the carrier wavelength, and the inter-antenna spacings at the FC and each sensor, respectively. The mmWave MIMO channel  $\mathbf{G}_k$  can now be expressed in the compact form of:

$$\mathbf{G}_k = \mathbf{A}_R \mathbf{D}_k \mathbf{A}_{T,k}^H, \tag{15}$$

where  $\mathbf{D}_k = \sqrt{\frac{N_R N_T}{L}} \operatorname{diag}(\alpha_{1,k}, \alpha_{2,k}, ..., \alpha_{L,k}) \in \mathbb{C}^{L \times L}$  is a diagonal matrix containing the path gains of the channel on its principal diagonal. The quantities  $\mathbf{A}_{T,k} \in \mathbb{C}^{N_T \times L}$ ,  $\mathbf{A}_R \in \mathbb{C}^{N_R \times L}$  denote the transmit and receive array response matrices, respectively, which are given by

$$\mathbf{A}_{T,k} = \left[\mathbf{a}_T(\varphi_{1,k}), \mathbf{a}_T(\varphi_{2,k}), ..., \mathbf{a}_T(\varphi_{L,k})\right], \quad (16)$$

$$\mathbf{A}_{R} = \left[\mathbf{a}_{R}(\phi_{1}), \mathbf{a}_{R}(\phi_{2}), ..., \mathbf{a}_{R}(\phi_{L})\right].$$
(17)

The concatenated mmWave channel can now be represented as

$$\mathbf{G} = [\mathbf{G}_1, \mathbf{G}_2, \cdots, \mathbf{G}_K]$$
  
=  $\mathbf{A}_R [\mathbf{D}_1 \mathbf{A}_{T,1}^H, \mathbf{D}_2 \mathbf{A}_{T,2}^H, \cdots, \mathbf{D}_K \mathbf{A}_{T,K}^H]$   
=  $\mathbf{A}_R \mathbf{D} \widetilde{\mathbf{A}}_T^H,$  (18)

where  $\mathbf{D} = [\mathbf{D}_1, \mathbf{D}_2, \cdots, \mathbf{D}_K] \in \mathbb{C}^{L \times KL}$  and  $\widetilde{\mathbf{A}}_T^H =$ blkdiag  $(\mathbf{A}_{T,1}^H, \mathbf{A}_{T,2}^H, \cdots, \mathbf{A}_{T,K}^H) \in \mathbb{C}^{KL \times KN_t}$ . The optimization problem of the TPC sensor matrices  $\mathbf{F}_k =$ 

The optimization problem of the TPC sensor matrices  $\mathbf{F}_k = \mathbf{F}_{\text{RF},k}\mathbf{F}_{\text{BB},k} \quad \forall k \text{ can now be formulated by minimizing the MSE under a given power constraint. The design procedures of various scenarios are described next.$ 

## III. HYBRID MMSE PRECODER/COMBINER DESIGN

In this section, our hybrid TPC is designed for both total power budget as well as per sensor power constraints and hybrid RC design of the FC.

#### A. TPC design under total power budget

For the system having a total power budget across all the sensors, the fully-digital LMMSE RC  $\mathbf{W} \in \mathbb{C}^{N_R \times m}$  used for estimating the underlying parameter  $\boldsymbol{\theta}$  subject to noisy sensor observations is given by [37],

$$\mathbf{W} = \left(\mathbb{E}\left\{\mathbf{y}\mathbf{y}^{H}\right\}\right)^{-1} \mathbb{E}\left\{\mathbf{y}\boldsymbol{\theta}^{H}\right\}$$
$$= \left(\mathbf{GF}\left(\mathbf{M}\mathbf{M}^{H} + \mathbf{R}_{n}\right)\mathbf{F}^{H}\mathbf{G}^{H} + \sigma_{v}^{2}\mathbf{I}_{N_{R}}\right)^{-1}\mathbf{GFM}$$
$$= \mathbf{GF}\left(\left(\mathbf{M}\mathbf{M}^{H} + \mathbf{R}_{n}\right)\mathbf{F}^{H}\mathbf{G}^{H}\mathbf{GF} + \sigma_{v}^{2}\mathbf{I}_{q}\right)^{-1}\mathbf{M}, (19)$$

where the last step follows using the matrix identity  $(\mathbf{I} + \mathbf{U}\mathbf{A}\mathbf{V})^{-1}\mathbf{U} = \mathbf{U}(\mathbf{I} + \mathbf{A}\mathbf{V}\mathbf{U})^{-1}$ . The resultant error covariance matrix is shown in (20). Employing the

$$\begin{array}{l} \underset{\{\mathbf{F}_{\mathsf{RF},k},\mathbf{F}_{\mathsf{BB},k}\}_{k=1}^{K}}{\text{minimize}} & \operatorname{Tr}\left(\mathbf{E}\right) \\ \text{subject to} & \operatorname{Tr}\left(\mathbf{F}_{\mathsf{RF}}\mathbf{F}_{\mathsf{BB}}\left(\mathbf{M}\mathbf{M}^{H} + \sigma_{n}^{2}\mathbf{I}_{q}\right)\mathbf{F}_{\mathsf{BB}}{}^{H}\mathbf{F}_{\mathsf{RF}}{}^{H}\right) \leq P_{T} \\ & |\mathbf{F}_{\mathsf{RF},k}(s,t)| = \frac{1}{\sqrt{N_{T}}}, \ 1 \leq k \leq K, \end{array}$$

on MSE minimization can be formulated as

where  $P_T$  denotes the WSN's total transmit power budget. The aforementioned problem is non-convex and intractable owing to the constant modulus constraint of the RF TPC  $\mathbf{F}_{\text{RF},k}$ . To circumvent this, we first design the optimal fully-digital hybrid TPC given by  $\mathbf{F} = \mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}$ . The resultant hybrid TPC is then decomposed into its baseband and RF components. The convex optimization problem of the fully-digital TPC  $\mathbf{F}$  is thus formulated as follows

$$\begin{array}{ll} \underset{\mathbf{F}}{\operatorname{minimize}} & \operatorname{Tr}\left(\mathbf{E}\right) \\ \text{subject to} & \operatorname{Tr}\left(\mathbf{F}\left(\mathbf{M}\mathbf{M}^{H} + \sigma_{n}^{2}\mathbf{I}_{l}\right)\mathbf{F}^{H}\right) \leq P_{T}. \end{array}$$

$$(22)$$

The above matrix-based optimization problem in terms of F can be converted to an equivalent scalar valued convex optimization problem via majorization theory [39]. Since the trace of the error covariance matrix E is a Schur-concave function [40], the MSE cost function value achieves the lower bound when diag  $(\widetilde{\mathbf{E}}) = \lambda (\widetilde{\mathbf{E}})$ , and the optimal solution is obtained when the matrix is diagonalized. Let the singular value decomposition (SVD) of M be given by  $\mathbf{U}_M \boldsymbol{\Sigma}_M \mathbf{V}_M^H$ . Also, the eigenvalue decomposition (EVD) of  $\widetilde{\mathbf{M}} = \mathbf{M}^H \widetilde{\mathbf{M}}$ be denoted as  $\mathbf{V}_{\widetilde{M}} \mathbf{\Lambda}_{\widetilde{M}} \mathbf{V}_{\widetilde{M}}^{H}$ , where  $\mathbf{\Lambda}_{\widetilde{M}} \in \mathbb{C}^{m \times m}$  is given by  $\Lambda_{\widetilde{M}} = \operatorname{diag} \left| \lambda_1(\widetilde{\mathbf{M}}), \lambda_2(\widetilde{\mathbf{M}}), \cdots \lambda_m(\widetilde{\mathbf{M}}) \right|$ . Let the SVD of the concatenated mmWave MIMO channel  $\mathbf{G} \in \mathbb{C}^{N_R imes KN_T}$ be given as  $\mathbf{G} = \mathbf{U}_g \boldsymbol{\Sigma}_g \mathbf{V}_g^H$ . Furthermore, the EVD of  $\mathbf{G}^H \mathbf{G}$  may be derived as  $\mathbf{G} = \mathbf{G}^H \mathbf{G} = \mathbf{V}_g \boldsymbol{\Lambda}_g \mathbf{V}_g^H$ , where  $\boldsymbol{\Lambda}_g \in \mathbb{C}^{KN_T \times KN_T}$  is given by  $\boldsymbol{\Lambda}_g = \boldsymbol{\Sigma}_g^H \boldsymbol{\Sigma}_g = \text{diag} \left[\sigma_1^2(\mathbf{G}), \sigma_2^2(\mathbf{G}), \cdots, \sigma_{KN_T}^2(\mathbf{G})\right]$ , with the first L nonzero eigenvalues arranged in decreasing order and  $\sigma_l(\mathbf{G})$ denotes the lth singular value. The above decompositions can be substituted into the expression of the error covariance matrix  $\mathbf{E}$  in (21), which allows the final expression to be simplified to the one shown in (23). From Appendix A, in order to diagonalize (23), one can choose the following structure

$$\mathbf{F}\mathbf{U}_M = \mathbf{V}_q^1 \mathbf{\Sigma},\tag{24}$$

$$\widehat{\boldsymbol{\theta}} = \mathbf{W}_{BB}^{H} \mathbf{W}_{RF}^{H} \left( \sum_{k=1}^{K} \mathbf{G}_{k} \mathbf{F}_{RF,k} \mathbf{F}_{BB,k} \mathbf{M}_{k} \right) \boldsymbol{\theta} + \mathbf{W}_{BB}^{H} \mathbf{W}_{RF}^{H} \left( \sum_{k=1}^{K} \mathbf{G}_{k} \mathbf{F}_{RF,k} \mathbf{F}_{BB,k} \mathbf{n}_{k} \right) + \mathbf{W}_{BB}^{H} \mathbf{W}_{RF}^{H} \mathbf{v}$$
$$= \mathbf{W}_{BB}^{H} \mathbf{W}_{RF}^{H} \mathbf{GF} \mathbf{M} \boldsymbol{\theta} + \mathbf{W}_{BB}^{H} \mathbf{W}_{RF}^{H} \mathbf{GF} \mathbf{n} + \mathbf{W}_{BB}^{H} \mathbf{W}_{RF}^{H} \mathbf{v} = \mathbf{W}^{H} \mathbf{GF} \mathbf{M} \boldsymbol{\theta} + \mathbf{W}^{H} \mathbf{GF} \mathbf{n} + \mathbf{W}^{H} \mathbf{v}.$$
(8)

$$\mathbf{E} = \left(\mathbf{I}_m + \mathbf{M}^H \mathbf{F}^H \mathbf{G}^H \left(\mathbf{GFR}_n \mathbf{F}^H \mathbf{G}^H + \sigma_v^2 \mathbf{I}_{N_R}\right)^{-1} \mathbf{GFM}\right)^{-1}.$$
(20)

$$\mathbf{E} = \left(\mathbf{I}_{m} + \mathbf{M}^{H}\mathbf{R}_{n}^{-1}\mathbf{M} - \mathbf{M}^{H}\mathbf{R}_{n}^{-1}\left(\frac{1}{\sigma_{v}^{2}}\mathbf{F}^{H}\mathbf{G}^{H}\mathbf{G}\mathbf{F} + \mathbf{R}_{n}^{-1}\right)^{-1}\mathbf{R}_{n}^{-1}\mathbf{M}\right)^{-1}$$
$$= \left(\mathbf{I}_{m} + \frac{1}{\sigma_{n}^{2}}\mathbf{M}^{H}\mathbf{M} - \frac{1}{\sigma_{n}^{2}}\mathbf{M}^{H}\left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\mathbf{F}^{H}\mathbf{G}^{H}\mathbf{G}\mathbf{F} + \mathbf{I}_{q}\right)^{-1}\mathbf{M}\right)^{-1}.$$
(21)

$$\mathbf{E} = \left(\mathbf{V}_{M}\mathbf{V}_{M}^{H} + \frac{1}{\sigma_{n}^{2}}\mathbf{V}_{M}\left(\mathbf{\Lambda}_{M} - \mathbf{\Sigma}_{M}^{H}\mathbf{U}_{M}^{H}\left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\mathbf{F}^{H}\mathbf{V}_{g}\mathbf{\Lambda}_{g}\mathbf{V}_{g}^{H}\mathbf{F} + \mathbf{I}_{q}\right)^{-1}\mathbf{U}_{M}\mathbf{\Sigma}_{M}\right)\mathbf{V}_{M}^{H}\right)^{-1}$$

$$= \left(\mathbf{I}_{m} + \frac{1}{\sigma_{n}^{2}}\left(\mathbf{\Lambda}_{M} - \mathbf{\Sigma}_{M}^{H}\mathbf{U}_{M}^{H}\left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\mathbf{F}^{H}\mathbf{V}_{g}\mathbf{\Lambda}_{g}\mathbf{V}_{g}^{H}\mathbf{F} + \mathbf{I}_{q}\right)^{-1}\mathbf{U}_{M}\mathbf{\Sigma}_{M}\right)\right)^{-1}$$

$$= \left(\mathbf{I}_{m} + \frac{1}{\sigma_{n}^{2}}\mathbf{\Sigma}_{M}^{H}\left(\mathbf{I}_{q} - \left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\mathbf{U}_{M}^{H}\mathbf{F}^{H}\mathbf{V}_{g}\mathbf{\Lambda}_{g}\mathbf{V}_{g}^{H}\mathbf{F}\mathbf{U}_{M} + \mathbf{U}_{M}^{H}\mathbf{U}_{M}\right)^{-1}\right)\mathbf{\Sigma}_{M}\right)^{-1}.$$
(23)

$$MSE = Tr\left(\left(\mathbf{I}_{m} + \frac{1}{\sigma_{n}^{2}}\boldsymbol{\Sigma}_{M}^{H}\left(\boldsymbol{I}_{q} - \left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\boldsymbol{\Sigma}^{H}\mathbf{V}_{g}^{1}\mathbf{W}_{g}\boldsymbol{\Lambda}_{g}\mathbf{V}_{g}^{H}\mathbf{V}_{g}^{1}\boldsymbol{\Sigma} + \mathbf{I}_{q}\right)^{-1}\right)\boldsymbol{\Sigma}_{M}\right)^{-1}\right)$$
$$= Tr\left(\left(\left(\mathbf{I}_{m} + \frac{1}{\sigma_{n}^{2}}\boldsymbol{\Sigma}_{M}^{H}\left(\boldsymbol{I}_{q} - \left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\boldsymbol{\Sigma}^{H}\widetilde{\boldsymbol{\Lambda}}_{g}\boldsymbol{\Sigma} + \mathbf{I}_{q}\right)^{-1}\right)\boldsymbol{\Sigma}_{M}\right)^{-1}\right)$$
$$= Tr\left(\left(\left(\mathbf{I}_{m} + \frac{1}{\sigma_{n}^{2}}\widetilde{\boldsymbol{\Sigma}}_{M}^{H}\left(\boldsymbol{I}_{m} - \left(\frac{\sigma_{n}^{2}}{\sigma_{v}^{2}}\widetilde{\boldsymbol{\Sigma}}^{H}\widetilde{\boldsymbol{\Lambda}}_{g}\widetilde{\boldsymbol{\Sigma}} + \mathbf{I}_{m}\right)^{-1}\right)\widetilde{\boldsymbol{\Sigma}}_{M}\right)^{-1}\right).$$
(25)

where we have a diagonal matrix  $\Sigma \in \mathbb{R}^{m \times m}$  and  $\mathbf{V}_g^1$  is comprised of the *m* dominant left singular vectors of  $\mathbf{V}_g$ . The error covariance matrix from (23) is now diagonalized by substituting (24) into (23), and the MSE is given in (25), where we have:

$$\mathbf{V}_{g}^{H}\mathbf{V}_{g}^{1} = \begin{bmatrix} \mathbf{I}_{m} \\ \mathbf{0}_{(KN_{T}-m)\times q} \end{bmatrix}, \widetilde{\mathbf{\Lambda}}_{g} = \mathbf{\Lambda}_{g} \left(1:m,1:m\right).$$

The matrix  $\boldsymbol{\Sigma} \in \mathbb{R}^{m \times m}$  is given as  $\boldsymbol{\Sigma} = [\operatorname{diag}(\mathbf{p})]_{m \times m}^{\frac{1}{2}}$  where  $\mathbf{p} = [p_1, p_2, ..., p_m]^T$  is the power allocation vector. Thus, the MSE can now be written as

$$MSE = \sum_{l=1}^{m} \frac{\sigma_v^2 + \sigma_n^2 p_l \sigma_l^2(\widetilde{\mathbf{G}})}{\sigma_v^2 + \left(\sigma_n^2 + \lambda_l(\widetilde{\mathbf{M}})\right) p_l \sigma_l^2(\widetilde{\mathbf{G}})}.$$
 (26)

Substituting the TPC matrix  $\mathbf{F}$  and using EVD of  $\widetilde{\mathbf{M}}$ , the total transmit power can be expressed as

$$\operatorname{Tr}\left(\mathbf{F}\left(\mathbf{M}\mathbf{M}^{H}+\sigma_{n}^{2}\mathbf{I}_{q}\right)\mathbf{F}^{H}\right)$$
  
= 
$$\operatorname{Tr}\left(\mathbf{V}_{g}^{1}\boldsymbol{\Sigma}\left(\mathbf{\Lambda}_{\widetilde{M}}^{H}+\sigma_{n}^{2}\mathbf{I}_{q}\right)\boldsymbol{\Sigma}^{H}(\mathbf{V}_{g}^{1})^{H}\right)$$
  
= 
$$\sum_{l=1}^{m}p_{l}\left(\lambda_{l}(\widetilde{\mathbf{M}})+\sigma_{n}^{2}\right).$$
 (27)

Using (26) and (27), the optimization problem of minimizing the MSE of the estimate of the parameter vector  $\boldsymbol{\theta}$  subject to a power constraint can be equivalently formulated as

$$\begin{array}{l} \underset{\mathbf{p}}{\text{minimize}} \quad \sum_{l=1}^{m} \frac{\sigma_{v}^{2} + \sigma_{n}^{2} p_{l} \sigma_{l}^{2}(\widetilde{\mathbf{G}})}{\sigma_{v}^{2} + \left(\sigma_{n}^{2} + \lambda_{l}(\widetilde{\mathbf{M}})\right) p_{l} \sigma_{l}^{2}(\widetilde{\mathbf{G}})} \\ \\ \text{subject to} \quad \sum_{l=1}^{m} p_{l} \left(\lambda_{l}(\widetilde{\mathbf{M}}) + \sigma_{n}^{2}\right) \leq P_{T}, \\ \\ p_{l} \geq 0, 1 \leq l \leq m. \end{array}$$

$$(28)$$

The above problem is convex in terms of the power allocation vector **p**. Employing the Karush-Kuhn-Tucker (KKT) conditions [41], the optimal value of  $p_l$  is obtained as

$$p_{l} = \frac{\left(\mu \sqrt{\frac{\sigma_{v}^{2} \lambda_{l}(\widetilde{\mathbf{M}}) \sigma_{l}^{2}(\widetilde{\mathbf{G}})}{\left(\sigma_{n}^{2} + \lambda_{l}(\widetilde{\mathbf{M}})\right)}} - \sigma_{v}^{2}\right)^{+}}{\left(\sigma_{n}^{2} + \lambda_{l}(\widetilde{\mathbf{M}})\right) \sigma_{l}^{2}(\widetilde{\mathbf{G}})},$$
(29)

where the Lagrangian multiplier  $\mu$  is given by

$$\mu = \frac{P_T + \sum_{l=1}^m \frac{\sigma_v^2}{\sigma_l^2(\widetilde{\mathbf{G}})}}{\sum_{l=1}^m \sqrt{\frac{\sigma_v^2 \lambda_l(\widetilde{\mathbf{M}})}{(\sigma_n^2 + \lambda_l(\widetilde{\mathbf{M}}))\sigma_l^2(\widetilde{\mathbf{G}})}}}.$$
(30)

The matrix  $\mathbf{FU}_M$  is obtained by substituting the optimal values  $p_l$  into the expression of  $\Sigma$  in (24). The individual fully-digital TPCs  $\mathbf{F}_k$ ,  $1 \le k \le K$ , can be determined as

$$\mathbf{F}_{k} = \mathbf{V}_{g,k}^{1} \mathbf{\Sigma} \left( \mathbf{U}_{M,k} \right)^{\dagger}, \qquad (31)$$

where  $\mathbf{V}_{g,k}^1$  denotes the sub-matrix of  $\mathbf{V}_g^1$  comprised of the rows from  $(k-1)N_T + 1$  to  $kN_T$ , whereas  $\mathbf{U}_{M,k}$  is the sub-matrix of  $\mathbf{U}_M$  comprising the rows from  $\sum_{j=1}^{k-1} q_j + 1$  to  $\sum_{j=1}^{k} q_j$ .

The RF TPC  $\mathbf{F}_{\text{RF},k}$  and baseband TPC  $\mathbf{F}_{\text{BB},k}$  can now be designed from the optimal fully-digital precoder  $\mathbf{F}_k$  of the *k*th sensor as follows

$$\begin{array}{l} \underset{\mathbf{F}_{\mathsf{RF},k},\mathbf{F}_{\mathsf{BB},k}}{\text{minimize}} & \left\| \left( \mathbf{F}_{k} - \mathbf{F}_{\mathsf{RF},k} \mathbf{F}_{\mathsf{BB},k} \right) \right\|_{F}^{2} \\ \text{subject to} & \left| \mathbf{F}_{\mathsf{RF},k}(s,t) \right| = \frac{1}{\sqrt{N_{T}}}, \end{array}$$
(32)

where  $\|\mathbf{Z}\|_{F}$  denotes the Frobenius norm [20] of the matrix **Z**. Due to the constant magnitude problem described earlier, the problem is non-convex and intractable. However, we can now implement the ensuing remarks which allows us to simplify the hybrid TPC design. Please note that our system model is different from that of cellular mmWave MIMO systems that employ either point-to-point MIMO or multi-user MIMO communication systems. In our work, we consider a coherent MAC channel where the signals gleaned from the sensor nodes are superimposed at the FC. Therefore, the detailed proofs that demonstrate the fact that the fully-digital TPC lies in the row space of the corresponding MIMO channel is also an important novel contribution of this work. From (15), it can be inferred that the row and column spaces of the mmWave MIMO channel  $G_k$  between the kth sensor and FC constitute a subset of the column spaces of the transmit and receive array response matrices  $A_{T,k}$  and  $A_R$ , respectively, i.e.

$$\mathcal{R}(\mathbf{G}_{k}) \subseteq \mathcal{C}(\mathbf{A}_{T,k}) \text{ and } \mathcal{C}(\mathbf{G}_{k}) \subseteq \mathcal{C}(\mathbf{A}_{R}).$$
 (33)

Furthermore, interestingly, the relationship between the column-space of the fully-digital TPC  $\mathbf{F}_k$  at the *k*th sensor and the column-space of the transmit array response matrix  $\mathbf{A}_{T,k}$  is presented in the theorem below.

**Theorem 1.** The column-space of the optimal fully-digital TPC  $\mathbf{F}_k$  at the kth sensor lies in the column-space of the transmit array response matrix  $C(\mathbf{A}_{T,k})$ , i.e.,

$$\mathcal{C}\left(\mathbf{F}_{k}\right) \subseteq \mathcal{C}\left(\mathbf{A}_{T,k}\right). \tag{34}$$

*Proof.* The proof is given in Appendix B.

Since there are only  $N_{\text{RF}}^s$  RF chains, the RF TPC  $\mathbf{F}_{\text{RF},k}$  of size  $N_T \times N_{\text{RF}}^s$  corresponding to the *k*th sensor can be obtained by choosing  $N_{\text{RF}}^s$  columns of the transmit array response matrix  $\mathbf{A}_{T,k} \in \mathbb{C}^{N_T \times L}$ , since the elements of  $\mathbf{A}_{T,k}$  satisfy

the constant magnitude constraint. The pertinent optimization problem in (32) can thus be reformulated as

$$\begin{array}{l} \underset{\widetilde{\mathbf{F}}_{\mathsf{BB},k}}{\text{minimize}} & \left\| \left( \mathbf{F}_{k} - \mathbf{A}_{T,k} \widetilde{\mathbf{F}}_{\mathsf{BB},k} \right) \right\|_{F}^{2} \\ \text{subject to} & \left\| \operatorname{diag}(\widetilde{\mathbf{F}}_{\mathsf{BB},k} \widetilde{\mathbf{F}}_{\mathsf{BB},k}^{H}) \right\|_{0} = N_{\mathsf{RF}}^{s}, \end{array}$$

$$(35)$$

where  $\widetilde{\mathbf{F}}_{BB,k} \in \mathbb{C}^{L \times q_k}$  is the intermediate baseband TPC corresponding to  $\mathbf{A}_{T,k}$  and  $\|\mathbf{Z}\|_0$  denotes the  $l_0$  norm [20] of the matrix  $\mathbf{Z}$ . Accordingly,  $\widetilde{\mathbf{F}}_{BB,k}$  is block sparse in nature where  $N_{RF}^s$  out of L rows are non-zero. The baseband TPC  $\mathbf{F}_{BB,k}$  corresponds to the  $N_{RF}^s$  non-zero rows of  $\widetilde{\mathbf{F}}_{BB,k}$ . Therefore, the resultant MMV-based sparse signal recovery problem for our TPC design can then be solved using the SOMP technique described in Algorithm 1, where the matrices  $\mathbf{A}_{T,k}$  and  $\widetilde{\mathbf{F}}_{BB,k}$  act as auxiliary variables for the design of the RF and BB TPCs  $\mathbf{F}_{RF,k}$  and  $\mathbf{F}_{BB,k}$ , respectively. For the *k*th

Algorithm 1 Simultaneous orthogonal matching pursuit (SOMP) algorithm for the transceiver design

Req	(uire: $\{\mathbf{F}_k\} \forall k$ and $N_{RF}^s$
1:	for $1 \le k \le K$ do
2:	$\widehat{\mathbf{F}}_{\mathrm{RF},k} = [ ]$
3:	$\mathbf{F}_{ ext{res}} = \mathbf{F}_k$
4:	for $c \leq N_{RF}^s$ do
5:	$\mathbf{\Psi} = \mathbf{A}_{T,k}^{H} \mathbf{F}_{ ext{res}}$
6:	$l = \arg \max_{n=1,\dots,L} (\mathbf{\Psi} \mathbf{\Psi}^H)_{n,n}$
7:	$\widehat{\mathbf{F}}_{ ext{RF},k} = [\widehat{\mathbf{F}}_{ ext{RF},k} \mid \mathbf{A}_{T,k}^{(l)}]$
8:	$\widehat{\mathbf{F}}_{ ext{BB},k} = (\widehat{\mathbf{F}}_{ ext{RF},k}^H \widehat{\mathbf{F}}_{ ext{RF},k})^{-1} \widehat{\mathbf{F}}_{ ext{RF},k}^H \mathbf{F}_k$
9:	$\mathbf{F}_{\text{res}} = \frac{\mathbf{F}_k - \widehat{\mathbf{F}}_{\text{RF},k} \widehat{\mathbf{F}}_{\text{BB},k}}{\ \mathbf{F}_k - \widehat{\mathbf{F}}_{\text{RF},k} \widehat{\mathbf{F}}_{\text{BB},k} \ _{\mathrm{F}}}$
10:	end for $e^{(  \mathbf{r} _{K}^{n}, \mathbf{r} _{K}^{n}, \mathbf{k}^{n} \to \mathbf{B}, \mathbf{k}  F )}$
11:	$\mathbf{F}_{\mathrm{RF},k} = \widehat{\mathbf{F}}_{\mathrm{RF},k}$
12:	$\mathbf{F}_{ ext{BB},k} = \widehat{\mathbf{F}}_{ ext{BB},k}$
13:	$\mathbf{F}_{\text{RF}} = \text{blkdiag}\left(\mathbf{F}_{\text{RF}}, \mathbf{F}_{\text{RF},k}\right)$
14:	$\widehat{\mathbf{F}}_{BB} = blkdiag\left(\widehat{\mathbf{F}}_{BB}, \mathbf{F}_{BB,k}\right)$
15:	end for
16:	$\mathbf{F}_{\mathrm{BB}} = \sqrt{P_T} \frac{\mathbf{F}_{\mathrm{BB}}}{  \mathbf{F}_{\mathrm{RF}} \widehat{\mathbf{F}}_{\mathrm{BB}} (\mathbf{M} \mathbf{M}^H + \sigma_n^2 \mathbf{I}_q)^{\frac{1}{2}}  _F}$

sensor, the algorithm requires as inputs the fully-digital TPC  $\mathbf{F}_k$  determined in (64) and the number of RF chains  $N_{\text{RF}}^s$ . Algorithm 1 starts with Step (5) by evaluating the projection of each column of  $A_{T,k}$  on every column of the residual matrix  $\mathbf{F}_{res}$ . In Step (6), one chooses the column of  $\mathbf{A}_{T,k}$ that has the maximum  $l_2$  norm of the projections on the columns of  $\mathbf{F}_{res}$ . In Step (7), the chosen column is appended to the RF TPC matrix  $\mathbf{F}_{RF,k}$ . The baseband TPC  $\mathbf{F}_{BB,k}$  is then computed using the least squares solution in Step (8) that best approximates the ideal fully-digital TPC  $\mathbf{F}_k$ . Step (9) evaluates the residual matrix  $\mathbf{F}_{res}$  by subtracting the current estimate of the hybrid TPC  $\hat{\mathbf{F}}_{\text{RF},k}\hat{\mathbf{F}}_{\text{BB},k}$  from the ideal TPC  $\mathbf{F}_k$ , followed by normalization. After  $N_{\rm RF}^s$  iterations, one obtains the final baseband and RF TPC  $\mathbf{F}_{BB,k}$ ,  $\mathbf{F}_{RF,k}$  at the kth sensor. Step (13) and (14) then return the block diagonal baseband TPC  $\mathbf{F}_{BB}$  and RF TPC  $\mathbf{F}_{RF}$ .  $\mathbf{F}_{BB}$  is obtained in Step (16) after ensuring that the total transmit power constraint in (22) is satisfied. This

completes the hybrid TPC design procedure for the mmWave MIMO WSN. The following subsection describes hybrid TPC design incorporating individual sensor power constraints.

## B. TPC design subject to individual sensor power constraints

The transmit power constraint at every sensor k is given by (11) as

$$\operatorname{Tr}\left(\mathbf{F}_{k}\left(\mathbf{M}_{k}\mathbf{M}_{k}^{H}+\sigma_{n}^{2}\mathbf{I}_{q_{k}}\right)\mathbf{F}_{k}^{H}\right)\leq P_{k},$$
(36)

where  $\mathbf{F}_k$  is the fully-digital TPC. Similar to the TPC design problem formulated in (22) in the previous subsection for a total power budget, the corresponding problem for this scenario associated with each sensor constrained by  $P_k$  can be developed as

minimize 
$$\operatorname{Tr}(\mathbf{E})$$
  
subject to  $\operatorname{Tr}\left(\mathbf{F}_{k}\left(\mathbf{M}_{k}\mathbf{M}_{k}^{H}+\sigma_{n}^{2}\mathbf{I}_{q_{k}}\right)\mathbf{F}_{k}^{H}\right) \leq P_{k}, \ \forall k.$ 

As shown in Appendix A, since the trace of the error covariance matrix  $\mathbf{E}$  is a Schur-concave function, the MSE achieves the lower bound when the matrix  $\mathbf{E}$  is diagonalized. Thus, the fully-digital TPC structure follows the structure of (24), which can be subsequently written as

$$\begin{aligned} \mathbf{F}\mathbf{U}_{M} &= \mathbf{V}_{g}^{1}\boldsymbol{\Sigma} \\ \Rightarrow \begin{bmatrix} \mathbf{F}_{1} & \mathbf{0} & \cdots & \mathbf{0} \\ \mathbf{0} & \mathbf{F}_{2} & \cdots & \mathbf{0} \\ \vdots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \mathbf{F}_{K} \end{bmatrix} \begin{bmatrix} \mathbf{U}_{M,1} \\ \mathbf{U}_{M,2} \\ \vdots \\ \mathbf{U}_{M,K} \end{bmatrix} = \begin{bmatrix} \mathbf{V}_{g,1}^{1} \\ \mathbf{V}_{g,2}^{1} \\ \vdots \\ \mathbf{V}_{g,K}^{1} \end{bmatrix} \boldsymbol{\Sigma} \quad (38) \\ \Rightarrow \mathbf{F}_{k}\mathbf{U}_{M,k} &= \mathbf{V}_{g,k}^{1}\boldsymbol{\Sigma} \quad \forall k. \end{aligned}$$

From the SVD of  $\mathbf{M}$ , the measurement matrix  $\mathbf{M}_k$  of the *k*th sensor can be expressed as

$$\mathbf{M}_k = \mathbf{U}_{M,k} \boldsymbol{\Sigma}_M \mathbf{V}_M^H. \tag{39}$$

Considering the fact that  $\mathbf{U}_{M,k}\mathbf{U}_{M,k}^{H} = \mathbf{I}_{qk}$ , the per sensor transmit power in Eq. (36) can be written in the scalar form of:

$$\operatorname{Tr}\left(\mathbf{F}_{k}\left(\mathbf{U}_{M,k}\boldsymbol{\Sigma}_{M}\mathbf{V}_{M}^{H}\mathbf{V}_{M}\boldsymbol{\Sigma}_{M}^{H}\mathbf{U}_{M,k}^{H}+\sigma_{n}^{2}\mathbf{I}_{q_{k}}\right)\mathbf{F}_{k}^{H}\right)$$

$$=\operatorname{Tr}\left(\mathbf{F}_{k}\mathbf{U}_{M,k}\left(\boldsymbol{\Sigma}_{M}\boldsymbol{\Sigma}_{M}^{H}+\sigma_{n}^{2}\mathbf{I}_{q}\right)\mathbf{U}_{M,k}^{H}\mathbf{F}_{k}^{H}\right)$$

$$\stackrel{a}{=}\operatorname{Tr}\left(\underbrace{(\mathbf{V}_{g,k}^{1})^{H}\mathbf{V}_{g,k}^{1}}_{\boldsymbol{\Phi}_{k}}\boldsymbol{\Sigma}\left(\boldsymbol{\Sigma}_{M}\boldsymbol{\Sigma}_{M}^{H}+\sigma_{n}^{2}\mathbf{I}_{q}\right)\boldsymbol{\Sigma}^{H}\right)$$

$$=\sum_{l=1}^{m}p_{l}\left(\lambda_{l}(\widetilde{\mathbf{M}})+\sigma_{n}^{2}\right)\left[\boldsymbol{\Phi}_{k}\right]_{ll},$$
(40)

where (a) follows from (38). Hence, the scalar-valued optimization problem of minimizing the MSE of the estimate of the parameter vector  $\boldsymbol{\theta}$  can be equivalently formulated using the objective in (26) and constraint in as,

$$\underset{\mathbf{p}}{\text{minimize}} \sum_{l=1}^{m} \frac{1}{\sigma_n^2 + \lambda_l(\widetilde{\mathbf{M}})} \times$$
(41)

$$\begin{pmatrix} \sigma_n^2 + \frac{\sigma_v^2 \lambda_l(\widetilde{\mathbf{M}})}{\sigma_v^2 + \left(\sigma_n^2 + \lambda_l(\widetilde{\mathbf{M}})\right) p_l \sigma_l^2(\widetilde{\mathbf{G}})} \end{pmatrix}$$
  
subject to  $\sum_{l=1}^m p_l \left(\lambda_l(\widetilde{\mathbf{M}}) + \sigma_n^2\right) [\mathbf{\Phi}_k]_{ll} \leq P_k, \ \forall k,$ 

$$p_l \ge 0, 1 \le l \le m. \tag{42}$$

The optimal p can be readily determined using a convex solver. Finally, the fully-digital optimal TPC at the kth sensor is formulated in (31). From Theorem 1 and Appendix B, one observes that  $C(\mathbf{F}_k) \subseteq C(\mathbf{A}_{T,k})$ . Thus, the RF TPC  $\mathbb{F}_{\mathrm{RF},k}$  at the kth sensor can be designed by choosing the columns of the transmit array response matrix  $A_{T,k}$ . The corresponding baseband and RF components from the fully-digital hybrid TPC are determined by again solving the problem in (35). Finally, Algorithm 1 can once again be employed for the design of the RF and baseband TPCs  $\mathbf{F}_{\text{RF},k}$  and  $\mathbf{F}_{\text{BB},k}$  respectively, wherein step (16) is omitted and the computation  $\mathbf{F}_{\text{PD},k} = \sqrt{P_k} - \frac{\mathbf{F}_{\text{BB},k}}{\mathbf{F}_{\text{BB},k}}$  is performed at  $\mathbf{F}_{\text{BB},k} = \sqrt{P_k} \frac{\mathbf{F}_{\text{BB},k}}{||\mathbf{F}_{\text{RF},k} \hat{\mathbf{F}}_{\text{BB},k} (\mathbf{M}_k \mathbf{M}_k^H + \sigma_n^2 \mathbf{I}_{q_k})^{\frac{1}{2}}||_F}} \text{ is performed at step (12) to meet the individual power constraints at the$ sensors. The TPC design of the scenario associated with high-SNR sensor observations, i.e.  $SNR_n \gg 1$ , is presented in Appendix C. The algorithm of our hybrid RC design at the FC is presented next.

## C. Hybrid RC design

After designing the hybrid TPCs  $\{\mathbf{F}_{RF,k}, \mathbf{F}_{BB,k}\}_{k=1}^{K}$ , one can design hybrid MMSE RCs for the FC using the optimization problem formulated below. Note that the combiner design is common for both per-sensor and total power constraint problems:

$$\begin{array}{l} \underset{\mathbf{W}_{\mathsf{RF}},\mathbf{W}_{\mathsf{BB}}}{\text{minimize}} & \mathbb{E}\left\{\left\|\boldsymbol{\theta}-\mathbf{W}_{\mathsf{BB}}^{H}\mathbf{W}_{\mathsf{RF}}^{H}\mathbf{y}\right\|_{2}^{2}\right\} \\ \text{subject to} & |\mathbf{W}_{\mathsf{RF}}(s,t)| = \frac{1}{\sqrt{N_{R}}}. \end{array}$$

$$(43)$$

Note that, the solution of the unconstrained version of (43) is the LMMSE combiner as given in (19). Furthermore, using the mathematical manipulations detailed in Appendix D, the problem (43) is seen to be equivalent to

$$\begin{array}{ll} \underset{\mathbf{W}_{\mathsf{RF}},\mathbf{W}_{\mathsf{BB}}}{\text{minimize}} & \mathbb{E}\left\{ \left\| \mathbf{R}_{yy}^{\frac{1}{2}} \left( \mathbf{W} - \mathbf{W}_{\mathsf{RF}} \mathbf{W}_{\mathsf{BB}} \right) \right\|_{F}^{2} \right\} \\ \text{subject to} & |\mathbf{W}_{\mathsf{RF}}(s,t)| = \frac{1}{\sqrt{N_{R}}}, \end{array}$$

$$(44)$$

where  $\mathbf{R}_{yy} = \mathbb{E} \{ \mathbf{yy}^H \} \in \mathbb{C}^{N_R \times N_R}$  is the covariance matrix of the signal  $\mathbf{y}$  received by the FC. The hybrid RC comprised of the RF and baseband RCs  $\mathbf{W}_{RF}$  and  $\mathbf{W}_{BB}$ , respectively, can once again be designed from the ideal LMMSE RC  $\mathbf{W}$  in (19) as the solution to the optimization problem in (44). The pertinent covariance matrix  $\mathbf{R}_{yy} \in \mathbb{C}^{N_R \times N_R}$  of the received signal  $\mathbf{y}$  for this scenario is given by

$$\mathbf{R}_{yy} = \mathbf{GF} \left( \mathbf{M} \mathbf{M}^{H} + \mathbf{R}_{n} \right) \mathbf{F}^{H} \mathbf{G}^{H} + \sigma_{v}^{2} \mathbf{I}_{N_{R}}.$$
(45)

Interestingly, one can observe from (19) and (18) that the column-space of the LMMSE combiner W is a subspace

Algorithm	Hybrid TPCs $\left(\left\{\mathbf{F}_{RF,k},\mathbf{F}_{BB,k}\right\}_{k=1}^{K}\right)$	Hybrid RC $(\mathbf{W}_{RF}, \mathbf{W}_{BB})$
Proposed work	$\mathcal{O}\left(N_R^2 N_T K ight)$	$\mathcal{O}\left(K^2 N_R m^2 + \left(N_{\mathrm{RF}}^{\mathrm{FC}}\right)^3 N_R ight)$
BCD algorithm [11]	$\mathcal{O}\bigg(N_{\mathrm{I}}N_{T}^{2}K^{2}m + N_{\mathrm{I}}N_{R}N_{T}Km + N_{\mathrm{I}}N_{T}^{3}K\bigg)$	$\mathcal{O}\left((N_{\rm RF}^{\rm FC})^3 N_R + N_{\rm I} N_R K m + N_{\rm I} N_R^3\right)$
BLUE [21]	$\mathcal{O}igg(N_{\mathrm{I}}ig(N_{R}K+K^{3}ig)igg)$	$\mathcal{O}\left(N_{\mathrm{I}}\left(N_{R}^{3}+(N_{\mathrm{RF}}^{\mathrm{FC}})^{3}N_{R} ight) ight)$
PDD [28]	$\mathcal{O}\left(N_{\mathrm{I}}^{2}\left(N_{\mathrm{RF}}^{s} ight)^{2}N_{T}^{2}K+N_{\mathrm{I}}N_{T}^{3}K ight)$	$\mathcal{O}igg(ig(N_{RF}^{FC}ig)^3 N_R N_{\mathrm{I}}^2ig)$
ADMM [34]	$\mathcal{O}igg(N_{ m I}(N_{ m RF}^s)^3N_T^3Kigg)$	$\mathcal{O}\left(KN_RN_Tm + N_{\rm I}\left(N_{\rm RF}^{\rm FC}\right)^3 N_R^3\right)$

of the column-space of the concatenated mmWave MIMO channel **G**, which in turn lies in the column-space of the receive array response matrix  $\mathbf{A}_R$ , i.e.  $\mathcal{C}(\mathbf{W}) \subseteq \mathcal{C}(\mathbf{G}) \subseteq$  $\mathcal{C}(\mathbf{A}_R)$ . Therefore, the columns of  $\mathbf{W}_{RF}$  can be chosen from the columns of the receive array response matrix  $\mathbf{A}_R$ . The pertinent optimization problem may then be recast as

$$\begin{array}{ll} \underset{\widetilde{\mathbf{W}}_{\mathsf{BB}}}{\text{minimize}} & \left\| \mathbf{R}_{yy}^{\frac{1}{2}} \left( \mathbf{W} - \mathbf{A}_{R} \widetilde{\mathbf{W}}_{\mathsf{BB}} \right) \right\|_{F}^{2} \\ \text{subject to} & \left\| \text{diag} (\widetilde{\mathbf{W}}_{\mathsf{BB}} \widetilde{\mathbf{W}}_{\mathsf{BB}}^{H}) \right\|_{0} = N_{\mathsf{RF}}^{\mathsf{FC}}, \\ \end{array}$$

$$(46)$$

where  $\widetilde{\mathbf{W}}_{BB} \in \mathbb{C}^{L \times m}$  is a block sparse matrix that has  $N_{RF}^{FC}$  non-zero rows. The resultant sparse signal recovery problem can once again be solved by using the SOMP procedure of Algorithm 1 upon replacing  $\mathbf{F}_k$ ,  $N_{RF}^s$ ,  $\mathbf{A}_{T,k}$  by  $\mathbf{R}_{yy}^{\frac{1}{2}}\mathbf{D}$ ,  $N_{RF}^{FC}$ ,  $\mathbf{R}_{yy}^{\frac{1}{2}}\mathbf{A}_R$ , respectively.

#### D. Computational Complexity and Communication Overhead

The computational complexity for calculating the hybrid TPCs  $\mathbf{F}_{\text{RF},k}$ ,  $\mathbf{F}_{\text{BB},k}$  corresponding to kth sensor is of the order of  $\mathcal{O}(KN_TN_R^2)$ . As it can be observed, the complexity becomes prohibitively high as the number of sensors in the WSN increases. The computational complexity for obtaining the hybrid RCs  $\mathbf{W}_{\text{RF}}$ ,  $\mathbf{W}_{\text{BB}}$  is of the order of  $\mathcal{O}(K^2N_Rm^2 + (N_{\text{RF}}^{\text{FC}})^3N_R)$ . Thus, it becomes significantly high as the number of sensors K and receive antennas  $N_R$  increase in the network. Detailed step by-step analysis of the computational complexity is given in our technical report [42]. The comparison of computational complexity of our proposed work with [11], [21], [28], [34] is presented in Table II.

It is to be noted here that the sensors are tiny batteryoperated devices and have a limited battery life, and thus possess low computational capability. By contrast, the fusion center (FC) does not have such a constraint on the power, and in general will have sufficient computational and communication resources. Therefore, in our proposed scheme, the FC estimates the channels  $\{\mathbf{G}_k\}_{k=1}^{K}$  using the pilot signals transmitted by the each sensor k in the mmWave WSN. Subsequently, the FC designs the hybrid TPC matrices for each sensor and feeds back to each sensor its hybrid TPC. Furthermore, the FC is assumed to possess only statistical channel state information (CSI), such as the vector parameter covariance matrix  $\mathbf{R}_{\theta}$ , and observation noise covariance matrix  $\mathbf{R}_n$ , which can be acquired via averaging over a suitably long duration of time. Furthermore, since a quasi-static mmWave MIMO channel is considered in this work, the CSI acquired at the FC is constant over several time instants. Hence the hybrid TPCs  $\mathbf{F}_{\text{BB},k}$ ,  $\mathbf{F}_{\text{RF},k}$  does not have to be computed and fed-back very frequently. In order to further reduce the overhead, the hybrid TPC matrices  $\mathbf{F}_{\text{BB},k}$  and  $\mathbf{F}_{\text{RF},k}$  corresponding to the k sensor can be communicated by the FC using one of the limited feedback schemes discussed in [43], [44].

#### IV. BCRB AND CENTRALIZED MMSE BOUND

To benchmark the MSE performance in Section III and IV, the BCRB and centralized MMSE bounds are determined below for the noiseless and noisy sensor observation scenarios, respectively. For the noiseless scenario, the BCRB [45] for the parameter  $\theta$  is obtained as

$$\mathsf{MSE}_{\mathsf{BCRB}} \geq \mathsf{Tr}\left(\left(\mathbf{I}_m + \frac{1}{\sigma_v^2}\mathbf{F}_{\mathsf{BB}}^H\mathbf{F}_{\mathsf{RF}}^H\mathbf{G}^H\mathbf{GF}_{\mathsf{RF}}\mathbf{F}_{\mathsf{BB}}\right)^{-1}\right). \tag{47}$$

By contrast, for the case of noisy sensor observations, the centralized MMSE benchmark represents the best achievable performance where all the sensor observations are directly available at the FC. It may be formulated as [37]

$$MSE_{cent} = Tr\left(\left(\mathbf{I}_m + \mathbf{M}^H \mathbf{R}_n^{-1} \mathbf{M}\right)^{-1}\right).$$
(48)

The next section presents our simulation results for characterizing the MSE performance of the proposed hybrid TPC/RC designs conceived for mmWave MIMO WSNs.

#### V. SIMULATION RESULTS

Let us consider a mmWave MIMO WSN system comprised of K = 20 sensors and an FC. Each sensor employs  $N_T = 10$ transmit antennas and  $N_{\text{RF}}^s = 3$  RF chains. The FC has  $N_R = 16$  receive antennas and  $N_{\text{RF}}^{\text{FC}} = 6$  RF chains. The number of multipath components is set as L = 6. The path-gains of the mmWave MIMO channel are generated as  $\mathcal{CN}(0,1)$  random variables. The AoA/AoDs are chosen randomly from the angular range  $[0, \pi]$ . The number of elements in the parameter vector is set to m = 3, while the number



Fig. 3: (a) MSE versus SNR<sub>FC</sub> plot for noiseless sensor observations, (b) MSE versus SNR<sub>FC</sub> plot for noisy sensor observations



Fig. 4: (a) MSE versus  $SNR_{FC}$  plot for scalar parameter estimation, (b) MSE versus  $SNR_{FC}$  plot for vector parameter estimation

of measurements is set to  $q_k = 3$  for each of the sensors. The matrices  $\mathbf{R}_v$  and  $\mathbf{R}_n$  are considered to obey  $\sigma_v^2 \mathbf{I}_{N_R}$  and  $\sigma_n^2 \mathbf{I}_q$ , respectively. The observation and FC SNRs are defined as  $\text{SNR}_n = \frac{1}{\sigma_n^2}$ ,  $\text{SNR}_{\text{FC}} = \frac{1}{\sigma_v^2}$ , respectively. The SNR<sub>n</sub> is set to 10 dB. The power budget of our WSN is set to  $P_T = 0$  dBW, while the maximum transmit power of the sensors is set to  $P_k = -13$  dBW. The MSE is plotted via Monte-Carlo simulation by averaging over 5000 random realizations of the mmWave MIMO channel.

Fig. 3(a) shows the MSE performance of the hybrid MIMO WSN system both under total power and individual sensor power constraints for the noiseless sensor observations considered in Section III versus the  $SNR_{FC}$  at the FC for

various values of the number of sensors  $K \in \{5, 20\}$ . The MSE performance of the fully-digital design is also plotted to compare the efficacy of proposed hybrid transceiver design to that of the unconstrained digital design. Observe that the MSE of the proposed designs monotonically decreases upon increasing SNR<sub>FC</sub> under the total power as well as individual power constraints. They are also seen to coincide with the BCRB derived in (47). The additional flexibility provided by the total power constraint in terms of the power allocation to each sensor results in superior performance in comparison to individual sensor power constraints. However, the gap in the MSE performance under both the scenarios is insignificant in the case of noiseless sensor observations for the proposed

designs. This demonstrates the efficacy of the proposed design subject to individual sensor constraints, because for a given power threshold at each sensor, the MSE performance is similar to that under a total power budget for the hybrid MIMO WSN. Furthermore, the proposed designs are compared to the dominant directional TPC and RC [20], where the parameter vector observed is steered along the dominant multipath component of the mmWave MIMO channel to yield a beamforming gain. The MSE performance of the proposed hybrid design is superior to the dominant directional precoding/combining since the proposed SOMP based design achieved the minimum MSE whereas the latter has not. Additionally, our proposed design does not require knowledge of the path gains, which is necessary for designing the dominant directional hybrid precoder/combiner. It can be observed that as the number of sensors increases, the MSE decreases. This is owing to the fact that the number of measurements  $\sum_{k=1}^{K} q_k = q$  used for the estimation of the parameter  $\theta$  increases upon increasing the number of sensors, leading to improved estimation.

Fig. 3(b) shows the MSE performance of our hybrid precoder/combiner proposed in Section IV for noisy sensor observations, versus the SNR<sub>FC</sub>. Since the fully digital design refers to an ideal scenario, where the number of RF chains is equal to that of the antennas, it serves as a benchmark for the MSE of the hybrid transceiver design. To demonstrate the efficacy of the proposed designs, the MSE performance of the fully-digital design is plotted for both the total and individual sensor power constraints, together with the centralized MMSE benchmark. Observe that the proposed hybrid design has a performance close to that of the fully-digital design in both the scenarios, i.e., under total and individual sensor power constraints. Moreover, with the increase of SNR<sub>FC</sub>, the MSE is seen to decrease, approaching the centralized benchmark at high SNR<sub>FC</sub>. The proposed designs are also compared to the dominant directional precoder/combiner under both the scenarios. Observe that the proposed SOMP based transceiver design outperforms the design based on dominant AoA/AoD selection.

Fig 4(a) compares the MSE performance of our hybrid TPC/ RC design of Section III with that of the SOMP-based hybrid design for the best linear unbiased estimator (BLUE) for scalar parameter estimation, i.e., m = 1, conceived in [21], which designs the fully digital TPCs and the RC in an iterative fashion. The BLUE estimator is observed to result in a higher MSE in comparison to the LMMSE estimator proposed in our work because the latter scheme also incorporates the prior information of the parameter to be estimated, i.e., the covariance matrix  $\mathbf{R}_{\theta}$ . Observe from Table II, that the existing RC design of [21] is also seen to be computationally more complex than the proposed design.

Fig 4(b) compares the MSE performance of our hybrid TPC/ RC design of Section III-B to the hybrid TPC/ RC design in [28], [34] and to the SOMP-based hybrid design of the fully-digital TPCs/ RC matrices of [11] for noisy sensor observations to that of the per sensor power constraints. The figure clearly demonstrates that the MSE performance of the PDD algorithm in [28] is poor compared to that of the proposed design. The authors of [34] have proposed

an ADMM-based iterative algorithm to design the hybrid TPCs/ RC. Their iterative design is observed to have a poor performance in comparison to the proposed hybrid TPC/ RC designs for a lower number of iterations and close to that of our design near  $N_{\rm I} = 15$  iterations. Moreover, the proposed design has a lower computational complexity in comparison to [28], [34] as seen from Table II. This demonstrates the efficacy of the proposed hybrid designs for mmWave MIMO WSNs wherein having a lower computational overhead at the FC is critical. Furthermore, the authors of [11] proposed an iterative algorithm for TPC/ RC designs in MIMO WSN systems. The SOMP algorithm therein is implemented to design the respective hybrid TPCs/ RC from the fully-digital TPCs/ RC matrices in [11] for mmWave MIMO WSNs. It can be observed that the SOMP based hybrid design also performs poorer than our proposed design for  $N_{RF}^s = 3$  RF chains. Moreover, the computational complexity for [11], as observed in Table II, is higher due to the iterative nature of the algorithm.

Fig. 5(a) depicts the MSE performance of different designs presented in Section III for noiseless sensor observations against the number of sensors K in the hybrid MIMO WSN for various values of  $SNR_{FC} \in \{0, 5\}$  dB. The BCRB and MSE of the fully-digital TPC designs for both the scenarios have also been shown to benchmark the performance of the proposed designs. Upon increasing the number of sensors K, the MSE performance is seen to improve even at a constant total power budget of the system. This is because as the number of sensors increases, it leads to the availability of more measurements of the parameter  $\theta$ , thereby increasing the estimation accuracy. The MSE performance saturates at a higher number of sensors because for a fixed total power budget of the hybrid MIMO WSN, having more measurements will no longer lead to a remarkable performance improvement.

Fig. 5(b) shows the MSE performance of the different designs presented in Section IV for noisy sensor observations against the number of sensors K in the hybrid MIMO WSN for different values of  $SNR_n \in \{0, 5\}$  dB at each noisy sensor at  $SNR_{FC} = 20$  dB. The MSE performance improves upon increasing the number of sensors K, even at a constant power budget of the system, which follows a trend similar to the previous scenario subject to noiseless sensor observations. It is to be noted that due to the observation noise at each sensor, the gap between the centralized benchmark and the fully-digital design becomes higher compared to the scenario having noiseless sensor observations. Upon reducing the observation noise power  $\sigma_n^2$ , the MSE performance improves, consequently reducing the gap with respect to the centralized benchmark.

Fig. 6 portrays the MSE performance of the different designs derived in Section IV against the number of RF chains  $N_{\text{RF}}^s$  at each sensor in the hybrid MIMO WSN for different numbers of sensors K. The MSE is seen to decrease upon increasing the number of RF chains  $N_{\text{RF}}^s$  at each sensor. However, the improvement in MSE performance tends to become insignificant at high  $N_{\text{RF}}^s$ . This allows the sensors to have fewer RF chains, thereby reducing the power consumption and improving the battery life. This observation is applicable for both the total and individual sensor power constraints.



Fig. 5: (a) MSE versus the number of sensors K for noiseless sensor observations, (b) MSE versus the number of sensors K for noisy sensor observations



Fig. 6: MSE versus RF chains  $N_{\text{RF}}^s$  at each sensor for arbitrary-SNR sensor observations

## VI. CONCLUSIONS

Hybrid transceiver designs have been developed for linear decentralized vector parameter estimation in mmWave MIMO WSNs for 5G-IoT applications. Optimal MSE transceivers were developed under both total as well as individual sensor power constraints. The centralized MMSE bound and BCRB were also determined for benchmarking the performance of the proposed hybrid transceiver designs. Simulation results demonstrated that the MSE performance of the proposed designs is close to that of the unconstrained fully-digital designs. Moreover, the proposed hybrid designs are also seen to perform better than the conventional beam-training schemes such as dominant directional precoding/combining. Future works can consider wireless powered sensor networks in IoT that can improve the battery lifetime of the sensor nodes.

## APPENDIX A Optimality of fully-digital precoder design

A real-valued function f defined on a set  $\mathcal{A} \subseteq \mathbb{R}^n$  is said to be Schur-concave on  $\mathcal{A}$  if  $\mathbf{x} \succ \mathbf{y} \Rightarrow f(\mathbf{x}) \ge f(\mathbf{y})$ . From majorization theory [46], a Schur-concave function fis lower bounded as  $f[\operatorname{diag}(\mathbf{E})] \ge f[\boldsymbol{\lambda}(\mathbf{E})]$ , with the lower bound achieved, when the matrix  $\mathbf{E}$  is diagonal. Given that the trace of the error covariance matrix  $\mathbf{E}$  is a Schur-concave function, the MSE reaches its lower bound, when the matrix  $\mathbf{E}$  is diagonalized. Therefore, the fully-digital TPC designs in Section III-A and Section III-B are optimal since the resultant error covariance matrix which corresponds to the observation and FC noises, is diagonal.

## APPENDIX B Proof of Theorem 1

The optimal fully-digital TPC designed in Section-III A under a total power budget was formulated in (31) as

$$\mathbf{F}_{k} = \mathbf{V}_{a,k}^{1} \boldsymbol{\Sigma} \left( \mathbf{U}_{M,k} \right)^{\dagger}$$

From above, one can infer that the linear combination of the columns of  $\mathbf{V}_{g,k}^1$  forms the columns of  $\mathbf{F}_k$ , which is expressed as

$$\mathcal{C}(\mathbf{F}_k) \subseteq \mathcal{C}(\mathbf{V}_{g,k}^1). \tag{49}$$

Furthermore, since  $\mathbf{V}_{g,k}^1$  is comprised of the *m* left-most columns of  $\mathbf{V}_{g,k}$ , this implies

$$\mathcal{C}(\mathbf{V}_{g,k}^1) \subseteq \mathcal{C}(\mathbf{V}_{g,k}).$$
(50)

The eigenvalue decomposition of  $\mathbf{G}^H \mathbf{G}$  can be written as

$$[\mathbf{G}_1, \mathbf{G}_2, \cdots, \mathbf{G}_K]^H \mathbf{G} = [\mathbf{V}_{g,1}^T, \mathbf{V}_{g,2}^T, \cdots, \mathbf{V}_{g,K}^T]^T \mathbf{\Lambda}_g \mathbf{V}_g^H.$$
(51)

Multiplying both sides by the unitary matrix  $V_g$  one obtains,

$$\mathbf{G}^{H}\mathbf{G}\mathbf{V}_{g} = \mathbf{V}_{g}\mathbf{\Lambda}_{g} \Rightarrow \mathbf{V}_{g} = \mathbf{G}^{H}\mathbf{G}\mathbf{V}_{g}\mathbf{\Lambda}_{g}^{\dagger}.$$
 (52)

From the structure of (51), one can infer that

$$\mathbf{V}_{g,k} = \mathbf{G}_k^H \mathbf{G} \mathbf{V}_g \mathbf{\Lambda}_q^{\dagger}.$$
 (53)

From the previous argument, one can say that the columnspace of  $V_{g,k}$  lies in the row-space of the mmWave MIMO channel matrix  $G_k$  for the *k*th sensor, i.e., we have

$$\mathcal{C}(\mathbf{V}_{g,k}) \subseteq \mathcal{C}(\mathbf{G}_k^H) = \mathcal{R}(\mathbf{G}_k).$$
(54)

From (49), (50), (54) and (33), it follows that  $\mathcal{C}(\mathbf{F}_k) \subseteq \mathcal{C}(\mathbf{V}_{g,k}^1) \subseteq \mathcal{C}(\mathbf{V}_{g,k}) \subseteq \mathcal{R}(\mathbf{G}_k) \subseteq \mathcal{C}(\mathbf{A}_{T,k})$ , which proves the desired result.

## APPENDIX C Hybrid TPC design for High-SNR/ Noiseless Sensor Observations

In the high-SNR scenario [21], [47], where the observation noise vector obeys  $\mathbf{n} = 0$ , the received signal of (2) is given by

$$\mathbf{y} = \mathbf{GFM}\boldsymbol{\theta} + \mathbf{v}.$$
 (55)

formulated as, The MSE in the parameter estimation can be expressed as

$$MSE = Tr\left(\left(\mathbf{I}_m + \frac{1}{\sigma_v^2}\mathbf{M}^H\mathbf{F}^H\mathbf{G}^H\mathbf{GFM}\right)^{-1}\right).$$
 (56)

## A. Hybrid TPC design under total power budget

The optimization problem of the fully-digital TPC  $\mathbf{F}$  is formulated as follows

minimize 
$$\operatorname{Tr}\left(\left(\mathbf{I}_{m} + \frac{1}{\sigma_{v}^{2}}\mathbf{M}^{H}\mathbf{F}^{H}\mathbf{G}^{H}\mathbf{GFM}\right)^{-1}\right)$$
 (57)  
subject to  $\operatorname{Tr}\left(\mathbf{FMM}^{H}\mathbf{F}^{H}\right) \leq P_{T}.$ 

Denoting  $\mathbf{C} \triangleq \mathbf{FM} \in \mathbb{C}^{KN_T \times m}$ , the fully-digital TPC structure can be subsequently written as [39]

$$\mathbf{F}\mathbf{M} = \mathbf{V}_g^1 \boldsymbol{\Sigma}.$$
 (58)

The MSE expression from (56) can thus be rewritten as,

$$MSE = Tr \left( \mathbf{I}_m + \frac{1}{\sigma_v^2} \mathbf{\Sigma}^H \mathbf{\Lambda}_g \mathbf{\Sigma} \right)^{-1}$$
$$= \sum_{l=1}^m \frac{\sigma_v^2}{\sigma_v^2 + p_l \sigma_l^2(\mathbf{G})}.$$
(59)

The total transmit power at high SNR is given by

$$\operatorname{Tr}\left(\mathbf{F}\mathbf{M}\mathbf{M}^{H}\mathbf{F}^{H}\right) = \operatorname{Tr}\left(\mathbf{V}_{g}^{1}\boldsymbol{\Sigma}\boldsymbol{\Sigma}^{H}(\mathbf{V}_{g}^{1})^{H}\right) = \sum_{l=1}^{m} p_{l}.$$
 (60)

Thus, the pertinent optimization problem can be reformulated using the objective in (59) and the constraint in (60) as

minimize 
$$\sum_{l=1}^{m} \frac{\sigma_v^2}{\sigma_v^2 + p_l \sigma_l^2(\mathbf{G})}$$
  
subject to 
$$\sum_{l=1}^{m} p_l \le P_T,$$
$$p_l \ge 0, 1 \le l \le m.$$
(61)

Upon using the KKT conditions, the optimal value of  $p_l$  is obtained as

$$p_l = \left(\gamma \sqrt{\frac{\sigma_v^2}{\sigma_l^2(\mathbf{G})}} - \frac{\sigma_v^2}{\sigma_l^2(\mathbf{G})}\right)^+, \qquad (62)$$

where the Lagrangian multiplier  $\gamma$  is given by:

$$\gamma = \frac{P_T + \sum_{l=1}^m \frac{\sigma_v^2}{\sigma_l^2(\mathbf{G})}}{\sum_{l=1}^m \sqrt{\frac{\sigma_v^2}{\sigma_l^2(\mathbf{G})}}}.$$
(63)

The optimal values  $p_l, 1 \le l \le m$ , upon substitution into (58) yield the matrix **FM**. The individual fully-digital TPCs  $\mathbf{F}_k$ ,  $1 \le k \le K$ , can be expressed as

$$\mathbf{F}_{k} = \mathbf{V}_{q,k}^{1} \mathbf{\Sigma} \left( \mathbf{M}_{k} \right)^{\dagger}, \tag{64}$$

where  $\mathbf{V}_{g,k}^1$  denotes the sub-matrix of  $\mathbf{V}_g^1$  comprised of the rows  $(k-1)N_T+1$  to  $kN_T$  and all the columns. Now, given the optimal fully-digital TPC  $\mathbf{F}_k$  of the *k*th sensor, its components  $\mathbf{F}_{\text{RF},k}$  and  $\mathbf{F}_{\text{BB},k}$  can be designed by exploiting the SOMP algorithm.

## B. Hybrid TPC design under individual sensor power constraints

Using majorization theory, the hybrid TPC structure in (58) is valid also for this system, which can be subsequently written as

$$\mathbf{FM} = \mathbf{V}_{g}^{1} \mathbf{\Sigma}$$

$$\Rightarrow \begin{bmatrix} \mathbf{F}_{1} & \mathbf{0} & \cdots & \mathbf{0} \\ \mathbf{0} & \mathbf{F}_{2} & \cdots & \mathbf{0} \\ \vdots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \cdots & \mathbf{F}_{K} \end{bmatrix} \begin{bmatrix} \mathbf{M}_{1} \\ \mathbf{M}_{2} \\ \vdots \\ \mathbf{M}_{K} \end{bmatrix} = \begin{bmatrix} \mathbf{V}_{g,1}^{1} \\ \mathbf{V}_{g,2}^{1} \\ \vdots \\ \mathbf{V}_{g,K}^{1} \end{bmatrix} \mathbf{\Sigma}$$

$$\Rightarrow \mathbf{F}_{k} \mathbf{M}_{k} = \mathbf{V}_{a,k}^{1} \mathbf{\Sigma}, \ \forall k. \tag{65}$$

Substituting the above equation into the individual sensor power constraint, one obtains

$$\operatorname{Tr}\left(\mathbf{F}_{k}\mathbf{M}_{k}\mathbf{M}_{k}^{H}\mathbf{F}_{k}^{H}\right) = \operatorname{Tr}\left(\mathbf{V}_{g,k}^{1}\boldsymbol{\Sigma}\boldsymbol{\Sigma}^{H}\left(\mathbf{V}_{g,k}^{1}\right)^{H}\right)$$
$$= \operatorname{Tr}\left(\underbrace{\left(\mathbf{V}_{g,k}^{1}\right)^{H}\mathbf{V}_{g,k}^{1}}_{\boldsymbol{\Phi}_{k}}\boldsymbol{\Sigma}\boldsymbol{\Sigma}^{H}\right) = \sum_{l=1}^{m} p_{l}\left[\boldsymbol{\Phi}_{k}\right]_{ll}.$$
 (66)

Hence, the scalar-valued optimization problem of minimizing the MSE of the estimate of the parameter vector  $\boldsymbol{\theta}$  can be equivalently formulated as

$$\begin{array}{ll} \underset{\mathbf{p}}{\text{minimize}} & \sum_{l=1}^{m} \frac{\sigma_{v}^{2}}{\sigma_{v}^{2} + p_{l}\sigma_{l}^{2}(\mathbf{G})} \\ \text{subject to} & \sum_{l=1}^{m} p_{l} \left[ \mathbf{\Phi}_{k} \right]_{ll} \leq P_{k} \; \forall k, \\ & p_{l} \geq 0, 1 \leq l \leq m. \end{array}$$
(67)

Subsequently, the optimal **p** can be found using suitable convex solvers. The fully-digital optimal TPC  $\mathbf{F}_k$  at *k*th sensor is obtained from (64) as  $\mathbf{F}_k = \mathbf{V}_{q,k}^1 \boldsymbol{\Sigma} (\mathbf{M}_k)^{\dagger}$ . The corresponding

baseband and RF components of the fully-digital hybrid TPC are then designed using Algorithm 1.

## Appendix D Equivalence of (43) and (44)

The cost function in (43) may be rewritten as

$$\mathbb{E}\left\{\left\|\boldsymbol{\theta} - \mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbf{y}\right\|_{2}^{2}\right\} \\
= \mathbb{E}\left\{\operatorname{Tr}\left(\left(\boldsymbol{\theta} - \mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbf{y}\right)\left(\boldsymbol{\theta} - \mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbf{y}\right)^{H}\right)\right\} \\
= \operatorname{Tr}\left(\mathbb{E}\left\{\boldsymbol{\theta}\boldsymbol{\theta}^{H}\right\}\right) - 2\Re\left\{\operatorname{Tr}\left(\mathbb{E}\left\{\boldsymbol{\theta}\mathbf{y}^{H}\right\}\mathbf{W}_{RF}\mathbf{W}_{BB}\right)\right\} \\
+ \operatorname{Tr}\left(\mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbb{E}\left\{\mathbf{y}\mathbf{y}^{H}\right\}\mathbf{W}_{RF}\mathbf{W}_{BB}\right), \quad (68)$$

where  $\Re(.)$  denotes the real part of the complex value. Note that the optimization variables are  $\mathbf{W}_{RF}$  and  $\mathbf{W}_{BB}$ . Therefore, the constant terms  $\operatorname{Tr}(\mathbf{W}^{H}\mathbb{E}\{\mathbf{y}\mathbf{y}^{H}\}\mathbf{W}) - \operatorname{Tr}(\mathbb{E}\{\boldsymbol{\theta}\boldsymbol{\theta}^{H}\})$ are added to the cost function in (68), where  $\mathbf{W}$  is the digital LMMSE combiner. The subsequent steps are as follows

$$\mathbb{E}\left\{\left\|\boldsymbol{\theta} - \mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbf{y}\right\|_{2}^{2}\right\}$$

$$= \operatorname{Tr}\left(\mathbf{W}^{H}\mathbb{E}\left\{\mathbf{y}\mathbf{y}^{H}\right\}\mathbf{W}\right) - 2\Re\left\{\operatorname{Tr}\left(\mathbb{E}\left\{\boldsymbol{\theta}\mathbf{y}^{H}\right\}\mathbf{W}_{RF}\mathbf{W}_{BB}\right)\right\}$$

$$+ \operatorname{Tr}\left(\mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbb{E}\left\{\mathbf{y}\mathbf{y}^{H}\right\}\mathbf{W}_{RF}\mathbf{W}_{BB}\right)$$

$$\stackrel{a}{=} \operatorname{Tr}\left(\mathbf{W}^{H}\mathbf{R}_{yy}\mathbf{W}\right) - 2\Re\left\{\operatorname{Tr}\left(\mathbf{W}^{H}\mathbf{R}_{yy}\mathbf{W}_{RF}\mathbf{W}_{BB}\right)\right\}$$

$$+ \operatorname{Tr}\left(\mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\mathbf{R}_{yy}\mathbf{W}_{RF}\mathbf{W}_{BB}\right)$$

$$= \operatorname{Tr}\left(\left(\mathbf{W}^{H} - \mathbf{W}_{BB}^{H}\mathbf{W}_{RF}^{H}\right)\mathbf{R}_{yy}\left(\mathbf{W}^{H} - \mathbf{W}_{BB}^{H}\mathbf{W}_{RF}\right)^{H}\right)$$

$$= \left\|\mathbf{R}_{yy}^{\frac{1}{2}}\left(\mathbf{W} - \mathbf{W}_{BB}\mathbf{W}_{RF}\right)\right\|_{F}^{2},$$
(69)

where (a) follows by modifying the second term as

$$\operatorname{Tr}\left(\mathbb{E}\left\{\boldsymbol{\theta}\mathbf{y}^{H}\right\}\mathbf{W}_{\mathsf{RF}}\mathbf{W}_{\mathsf{BB}}\right) = \operatorname{Tr}\left(\mathbb{E}\left\{\boldsymbol{\theta}\mathbf{y}^{H}\right\}\mathbf{R}_{yy}^{-1}\mathbf{R}_{yy}\mathbf{W}_{\mathsf{RF}}\mathbf{W}_{\mathsf{BB}}\right)$$
$$= \operatorname{Tr}\left(\mathbf{W}^{H}\mathbf{R}_{yy}\mathbf{W}_{\mathsf{RF}}\mathbf{W}_{\mathsf{BB}}\right).$$

The last step in the above expression is obtained from (19) by substituting  $\mathbb{E} \{ \boldsymbol{\theta} \mathbf{y}^H \} \mathbf{R}_{yy}^{-1}$  with  $\mathbf{W}^H$ .

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