

2 **Amyloid-related imaging abnormalities (ARIA):**  
3 **radiological, biological and clinical characteristics**

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6 **Abstract**

7 Excess accumulation and aggregation of toxic soluble and insoluble amyloid- $\beta$  species in the  
8 brain are a major hallmark of Alzheimer's disease. Randomized clinical trials show reduced  
9 brain amyloid- $\beta$  deposits using monoclonal antibodies that target amyloid- $\beta$  and have identified  
10 magnetic resonance imaging signal abnormalities called amyloid-related imaging abnormalities  
11 (ARIA) as possible spontaneous or treatment-related adverse events. This review provides a  
12 comprehensive state-of-the-art conceptual review of radiological features, clinical detection and  
13 classification challenges, pathophysiology, underlying biological mechanism(s), and risk  
14 factors/predictors associated with ARIA. We summarize the existing literature and current lines  
15 of evidence with ARIA-edema/effusion (ARIA-E) and ARIA-hemosiderosis/microhemorrhages  
16 (ARIA-H) seen across anti-amyloid clinical trials and therapeutic development. Both forms of  
17 ARIA may occur, often early, during anti-amyloid- $\beta$  monoclonal antibody treatment. Across  
18 randomized controlled trials, most ARIA cases were asymptomatic. Symptomatic ARIA-E cases  
19 often occurred at higher doses and resolved within 3–4 months or upon treatment cessation.  
20 *Apolipoprotein E* haplotype and treatment dosage are major risk factors for ARIA-E and ARIA-  
21 H. Presence of any microhemorrhage on baseline MRI increases the risk of ARIA. ARIA shares  
22 many clinical, biological, and pathophysiological features with Alzheimer's disease and cerebral  
23 amyloid angiopathy. There is a great need to conceptually link the evident synergistic interplay  
24 associated with such underlying conditions to allow clinicians and researchers to further  
25 understand, deliberate, and investigate on the combined effects of these multiple  
26 pathophysiological processes. Moreover, this review article aims to better assist clinicians in  
27 detection (either observed via symptoms or visually on MRI), management based on appropriate

1 use recommendations, and general preparedness and awareness when ARIA is observed as well  
2 as researchers in the fundamental understanding of the various antibodies in development and  
3 their associated risks of ARIA. To facilitate ARIA detection in clinical trials and clinical  
4 practice, we recommend the implementation of standardized MRI protocols and rigorous  
5 reporting standards. With the availability of approved amyloid- $\beta$  therapies in the clinic,  
6 standardized and rigorous clinical and radiological monitoring and management protocols are  
7 required to effectively detect, monitor, and manage ARIA in real-world clinical settings.

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6 **Abbreviations:** A $\beta$  = amyloid- $\beta$ ; APOE = apolipoprotein E; ARIA = amyloid-related imaging  
7 abnormality; ARIA-E = amyloid-related imaging abnormality-edema/effusion; ARIA-H =  
8 amyloid-related imaging abnormality- hemosiderosis/microhemorrhages; AUR = Appropriate  
9 use recommendations; CAA = cerebral amyloid angiopathy; CAA-ri = cerebral amyloid  
10 angiopathy-related inflammation; CAD = computer-aided diagnosis; FDA = Food and Drug  
11 Administration; FLAIR = fluid-attenuated inversion recovery; GRE = gradient recalled echo;  
12 IgG = Immunoglobulin G; mH = microhemorrhage; P-tau = phosphorylated tau; RCT =  
13 randomized clinical trial; sA $\beta$  = soluble A $\beta$ ; SWI = susceptibility weighting imaging; TE = echo  
14 time

15

16 **Introduction – Historical Background & Definition of ARIA**17 Alzheimer's disease is a primary neurodegenerative disease leading to a clinical dementia  
18 syndrome, which is projected to affect 152.8 million people by 2050 worldwide.<sup>1</sup> Translational  
19 studies support a descriptive hypothetical model of Alzheimer's disease pathophysiology,  
20 characterized by the accumulation of aggregated amyloid- $\beta$  (A $\beta$ ) species into plaques. This  
21 precedes clinical manifestations by 20–30 years, neuroinflammation, and the spreading of  
22 phosphorylated tau and neuronal loss.<sup>2,3</sup> Currently, monoclonal antibodies that remove A $\beta$  from  
23 the brain are in several late-stage randomized clinical trials (RCTs).<sup>4-6</sup>24 The use of anti-A $\beta$  antibodies has been associated with treatment-emergent MRI signal  
25 abnormalities,<sup>7</sup> coined amyloid-related imaging abnormalities (ARIAs) at the Alzheimer's  
26 Association Research Roundtable in 2011.<sup>8</sup> ARIA covers two classes of MRI signal  
27 abnormalities. ARIA-edema/effusion (ARIA-E) refers to the extravasation of fluid resulting in  
28 interstitial vasogenic edema or sulcal effusion in the leptomeningeal/subpial space.<sup>8,9</sup> These

1 manifest as hyperintense parenchymal or sulcal abnormalities such as changes to cortical folds  
2 on T2-weighted and fluid-attenuated inversion recovery [FLAIR] sequence images  
3 (representative MRI images of ARIA-E shown in **Figure 1**).<sup>8-10</sup> ARIA-  
4 hemosiderosis/microhemorrhages (ARIA-H) refers to microhemorrhages (mH) or  
5 macrohemorrhages observed as hypointense hemosiderin deposition. These reflect iron  
6 accumulation following the breakdown of extravasated hemoglobin on gradient recalled echo  
7 (GRE)/T2\* images or with enhanced visualization processing by susceptibility weighting  
8 imaging (SWI) sequences.<sup>8,11,12</sup> Under the rigorous protocols and conditions of clinical trials,  
9 ARIA-E/H have generally been asymptomatic and have usually resolved within 3–4 months with  
10 dose adjustment, suspension, or discontinuation.<sup>13-15</sup> In the minority of cases when ARIA-E was  
11 symptomatic, most were of mild or moderate severity. Rare serious or severe neurological  
12 symptoms may require hospitalization and specific monitoring and management (e.g., intensive  
13 care unit admission, electroencephalography, corticosteroids, antiepileptics).<sup>7,13-15</sup> The recent  
14 accelerated approvals of anti-A $\beta$  antibodies by the United States Food and Drug Administration  
15 (FDA)<sup>16,17</sup> underscores the importance of safety monitoring and effectively managing ARIA in  
16 the real-world clinical setting. This state-of-the-art review provides an overview of the  
17 radiological features, detection and classification challenges, pathophysiology, and risk  
18 factors/predictors associated with ARIA.

## 19 **Radiological Features of ARIA**

### 20 **ARIA-E**

21 ARIA-E is characterized as the extravasation of fluid resulting in interstitial vasogenic edema or  
22 sulcal effusion in the leptomeningeal/subpial space.<sup>8,9</sup> ARIA-E severity is heavily dependent on  
23 the location and extent of the abnormality (**Table 1**).<sup>8-10,18-20</sup> The sulcal effusion/exudates in  
24 ARIA-E may reflect leakage of proteinaceous fluid that is limited to the leptomeningeal/subpial  
25 space.<sup>8,9</sup> Both forms of ARIA-E are typically transient and are not associated with restricted  
26 diffusion, thus differentiating it from ischemia.<sup>9</sup>

### 27 **ARIA-H**

28 ARIA-H is typically characterized as cerebral microhemorrhages and/or hemosiderosis. Rare  
29 macrohemorrhages have been reported in patients treated with anti-A $\beta$  antibodies. Most cases

1 were asymptomatic, with antibody treatment being discontinued.<sup>14,21,22</sup> mH are described as  
2 lesions ( $\leq 10$  mm or  $\leq 5$  mm in diameter) in brain imaging where actual size criteria depend on the  
3 study.<sup>8,14,21,22</sup> The GRE/T2\* MRI sequence has a superior sensitivity to FLAIR and turbo spin-  
4 echo T2. This enables the detection of small quantities of blood products associated with the mH  
5 of ARIA-H.<sup>23</sup> The antibody-associated hemosiderin deposits identified on imaging consist of  
6 mH, macrohemorrhages, and superficial siderosis (representative MRI images of ARIA-H are  
7 shown in **Figure 1**).

8 ARIA-H mH are generally small, circular, or elliptical, very low intensity (compared with  
9 surrounding brain matter) lesions in the brain parenchyma on GRE/T2\* MRI sequences.<sup>8</sup> mH  
10 likely result from microruptures in blood vessels in cortical regions and leakage of small  
11 amounts of iron-containing blood products into adjacent brain parenchyma.<sup>8,24</sup>

12 ARIA-H superficial siderosis typically manifests as curvilinear low intensities on GRE/T2\* MRI  
13 sequences located near the brain surface. A distinction between the ARIA-H subtypes is that in  
14 superficial siderosis, the leakage of blood traverses into the adjacent subpial space or the  
15 subarachnoid compartment. In mH, leakage traverses into the perivascular space and surrounding  
16 vessel wall.<sup>8</sup> Similar to ARIA-H mH, the number of areas affected by superficial siderosis  
17 determines its severity (**Table 1**).<sup>18</sup>

## 18 **Pathophysiological Mechanisms and Commonalities**

### 19 **Between Alzheimer's Disease, Cerebral Amyloid Angiopathy**

### 20 **and ARIA**

### 21 **Commonalities Between Alzheimer's Disease and Cerebral Amyloid**

### 22 **Angiopathy**

23 The accumulation and deposition of A $\beta$  plays a shared role in the pathology of Alzheimer's  
24 disease and cerebral amyloid angiopathy (CAA).<sup>25</sup> In CAA, A $\beta$  deposition favors the vascular  
25 wall while in Alzheimer's disease, A $\beta$  deposition occurs in the brain parenchyma.<sup>25</sup> CAA is  
26 frequently detected in up to 90% of patients with Alzheimer's disease and in approximately 50%  
27 of elderly above the age of 80.<sup>26</sup> Similar to Alzheimer's disease, CAA pathology is shown to  
28 likely occur years before any symptomatic manifestations.<sup>27</sup> When CAA manifestations occur,

1 they often present broadly and consist of cognitive decline, lobar intracranial hemorrhage, or  
2 intermittent focal neurological symptoms.<sup>25,27</sup> Despite the overlapping pathology of A $\beta$   
3 deposition in CAA and Alzheimer's disease but with different focal points of vascular or  
4 parenchyma respectively, the physiological consequence diverge.<sup>25</sup> In CAA, A $\beta$  deposition in the  
5 walls of small- and medium-sized blood vessels leads to downstream effects including gradual  
6 vessel stiffening and vessel-wall fragility.<sup>25,28-30</sup> Impairment of A $\beta$  degradation and/or clearance  
7 may increase CAA severity through diverting A $\beta$  to perivascular drainage pathways.<sup>31</sup> CAA  
8 often results in tissue injury leading to hemorrhagic or ischemic brain injury while Alzheimer's  
9 disease elicits loss of neurons and synapses.<sup>25</sup> It has also been shown that CAA is associated with  
10 Alzheimer's disease dementia independent of amyloid plaque and tau tangle pathology, further  
11 highlighting the substantial heterogeneity underlying the current clinical biological construct of  
12 Alzheimer's disease.<sup>32,33</sup>

13 In a minority of patients, CAA may trigger an autoimmune inflammatory reaction known as  
14 CAA-related inflammation (CAA-ri). CAA-ri often occurs spontaneously and can trigger the  
15 occurrence of ARIA.<sup>34,35</sup> The MRI findings of CAA and CAA-ri closely resemble ARIA-H and  
16 ARIA-E, respectively.<sup>35,36</sup>

## 18 **Putative Pathophysiological Mechanisms of ARIA**

19 In Alzheimer's disease, brain parenchymal A $\beta$  plaques are associated with gradual loss of  
20 cerebral vascular integrity and reduced perivascular clearance.<sup>10,11,25,30</sup> In patients with pre-  
21 existing A $\beta$  vascular pathology, anti-A $\beta$  immunization may temporarily increase vascular  
22 vulnerability due to breakdown of plaques in response to immunization. This increases the  
23 mobilization of A $\beta$  aggregates from the parenchyma and vasculature.<sup>10,11,25,37</sup> A $\beta$ 40 is the  
24 predominant species in vascular walls. A $\beta$  aggregation and deposition may increase the  
25 progression of CAA (features of CAA are described in the subsequent sections).<sup>30,38</sup> A $\beta$ 42  
26 deposits are the major species in the parenchymal plaques.<sup>25</sup> Anti-A $\beta$  antibodies bind to  
27 accessible A $\beta$  in the vasculature, further disrupting its vascular integrity.<sup>25</sup> Since perivascular  
28 clearance pathways are impaired in Alzheimer's disease and A $\beta$  alterations take place within the  
29 walls of blood vessels, an immune response against vessels is initiated, increasing vascular

1 permeability.<sup>11</sup> Although often co-localized and disseminated over time, the amyloid vascular  
2 accumulation suggests a potential synergy in pathophysiological mechanism.<sup>11</sup>

3 ARIA-H may be caused by anti-A $\beta$ -mediated displacement of A $\beta$  from the plaques in the  
4 parenchyma to vessel walls, which increases the severity of potentially pre-existing CAA. This  
5 results in subsequent extravasation and ultimately leakage of blood products through damaged  
6 vessel walls.<sup>11,25,37</sup>

7 In 49% of ARIA-E cases, ARIA-H co-occurred.<sup>9</sup> mH may often present and accumulate over  
8 time in areas where ARIA-E is resolving or recently resolved.<sup>9</sup> This suggests a considerable  
9 overlap in underlying pathophysiological mechanisms.<sup>9</sup> In particular, vascular A $\beta$  accumulation  
10 and clearance as potential mechanisms support the frequent co-occurrence of ARIA-E and  
11 ARIA-H. Further research and familiarity with MRI findings are warranted for proper detection,  
12 monitoring, and management of ARIA.<sup>9</sup>

### 13 **Lessons from Active A $\beta$ Immunotherapy**

14 The imaging features of the meningoencephalitis and cortical hemorrhages experienced with  
15 active A $\beta$  immunotherapy with AN1792 (Elan Pharmaceuticals) are similar to ARIA.<sup>21,39-43</sup>  
16 However, pathological information for ARIA from passively immunized patients for comparison  
17 with findings from AN1792 is lacking. Neuropathologic studies of patients treated with AN1792  
18 suggest that anti-A $\beta$  antibodies bind to and disrupt brain A $\beta$  plaques. Solubilized A $\beta$  is then  
19 translocated to the vasculature where it accumulates in arterial and capillary walls, putatively the  
20 intramural peri-arterial drainage pathway,<sup>44</sup> increasing CAA severity.<sup>45</sup> This may provoke  
21 vascular inflammation analogous to spontaneous CAA-ri and potentially focal removal of A $\beta$   
22 from CAA-affected vessels mediated by the antibodies. The overall effect is vascular leakage of  
23 fluid resulting in ARIA-E (effusion) and blood leakage resulting in ARIA-H (mH).<sup>46</sup> Poorly  
24 understood mechanistic aspects include whether A $\beta$  is transported to the vasculature in  
25 association with antibody (i.e., in the form of immune complexes) as a conjugate to  
26 apolipoprotein E (APOE) or as unassociated soluble A $\beta$ .<sup>47</sup> Regarding ARIA-E, the role of  
27 astrocyte end-feet and aquaporin4, which control water flux across the blood-brain barrier, as a  
28 cellular and molecular locus of neuro-vascular interaction is hypothetical.<sup>48</sup> The common co-  
29 occurrence of ARIA-E and ARIA-H – with mH appearing in areas where ARIA-E is resolving or

1 resolved – highlights the dynamic aspects of plaque removal as ARIA co-localizes with foci of  
2 A $\beta$  removal as demonstrated by PET scanning.<sup>11</sup>

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## 5 **Roles of Therapeutic Variables**

6 Factors associated with the magnitude of extravasation events following anti-A $\beta$  immunization  
7 include: the extent of age-related ischemic vasculature changes, the severity of underlying CAA,  
8 the extent and magnitude of transport of soluble A $\beta$  to the vessel wall followed by antibody-  
9 mediated removal of A $\beta$  from vessel walls, and the amount of local inflammation represented by  
10 infiltration of microglia and T-cells to the area due to anti-A $\beta$  complexes at the vessel wall  
11 (**Figure 2**).<sup>11,25,37</sup> Repeated anti-A $\beta$  antibody therapy may reduce the total A $\beta$  burden, attenuating  
12 the risk of extravasation events owing to continued clearance of vascular A $\beta$  and enhanced vessel  
13 wall integrity, however, this requires further and long-term study.<sup>11</sup>

14 RCTs suggest that antibodies binding to different epitopes and recognizing different A $\beta$   
15 conformations (monomers, oligomers, protofibrils, fibrils) play a role in ARIA rate variance.<sup>49</sup>  
16 Higher rates of ARIA are found in antibodies against the N-terminus compared with antibodies  
17 targeting mid- and C-terminal regions of A $\beta$ .<sup>25</sup> The latter antibodies mobilize fewer A $\beta$  due to  
18 their binding propensity to monomeric or oligomeric A $\beta$ .<sup>25</sup> Despite higher rates of ARIA,  
19 antibodies against the N-terminus of A $\beta$  were most effective in reducing the A $\beta$  burden.<sup>49</sup>

20 The isotype form of the anti-A $\beta$  antibody (Immunoglobulin G (IgG)1, IgG2, IgG4) and the  
21 selectivity for specific A $\beta$  forms (soluble or deposited forms) were additional considerations that  
22 trigger ARIA or CAA-like manifestations.<sup>50</sup> IgG1 anti-A $\beta$  triggered ARIA-E and ARIA-H events  
23 while IgG2 and IgG4 anti-A $\beta$  were less likely to actuate CAA-like manifestations.<sup>50</sup> Antibodies  
24 more selective in targeting soluble A $\beta$  forms were less likely to bind to cerebrovascular deposits,  
25 unlike those targeting insoluble forms.<sup>50</sup> This may help emphasize that ARIA and CAA share  
26 commonalities in their pathophysiological mechanisms and the predisposition of certain groups  
27 to ARIA.

28



## 1 **Commonalities Between CAA and ARIA**

2 CAA has many clinical, pathophysiological, and neuroimaging features similar to ARIA.<sup>8,25,51</sup>  
3 The most significant evidence of shared pathophysiology between ARIA and CAA is  
4 spontaneous ARIA-E triggered by CAA-ri in the early stages of sporadic and familial  
5 Alzheimer's disease.<sup>25,51</sup> CAA-ri (aka A $\beta$ -related angiitis) occurs in a minority of patients where  
6 the clinical presentation, neuroimaging features, and association with *APOE*  $\epsilon$ 4 are similar to  
7 ARIA-E in patients with Alzheimer's disease receiving immunotherapy.<sup>25,52</sup> The active stage of  
8 CAA-ri is characterized by activation of microglia, T-cells, and A $\beta$ -containing multinucleated  
9 large cells surrounding CAA-positive vessel walls, signifying a spontaneous anti-antibody  
10 autoimmune response via A $\beta$ -autoantibodies.<sup>25,30,52</sup> Furthermore, the MRI findings of CAA and  
11 CAA-ri closely resemble ARIA-H and ARIA-E respectively.<sup>35,36</sup> In phase 2 trial of  
12 bapineuzumab, vasogenic edema, now commonly referred to as ARIA-E, occurred  
13 spontaneously with CAA, was transient in nature, and resolved on MRI upon discontinuation.<sup>53</sup>  
14 Similarly, cerebral microhemorrhages, now commonly referred to as ARIA-H, have been shown  
15 to occur spontaneously in up to 19%, 32%, and 38% of cognitively normal elderly, Alzheimer's  
16 disease patients, and individuals with mild cognitive impairment, respectively.<sup>54</sup> ARIA-H is also  
17 considered a complication of CAA and small vessel angiopathy.<sup>54</sup>

## 18 **Clinical Presentation and Management of ARIA**

19 Identifying symptoms commonly seen with ARIA may assist treating clinicians in implementing  
20 a strategy for appropriate detection, classification, monitoring, and management. ARIA-E and  
21 ARIA-H events are predominantly reported in patients on active anti-A $\beta$  antibody therapy.  
22 However, occasional cases are reported in cognitively normal patients on the usual course of  
23 Alzheimer's disease as well as in patients receiving a placebo treatment.<sup>22,55</sup>

24 ARIA-E events during various clinical trials are found to be mostly clinically asymptomatic with  
25 symptomatic cases accounting for 6.1% to 39.3% depending on the investigational therapeutics  
26 and doses, with the most commonly reported symptoms being headache, confusion, vomiting,  
27 visual, or gait disturbance.<sup>6,10,22,56-59</sup> Management of ARIA-E in RCTs, particularly decisions  
28 around continuing, reducing the dose, or withdrawing anti-A $\beta$  antibody therapy, were drug-

1 specific and dose-dependent. Most cases of ARIA-E resolved within a span of weeks to months  
2 after withholding or discontinuing anti-A $\beta$  antibody.<sup>11,54,57</sup>

3 Appropriate use recommendations (AUR) for the available anti-A $\beta$  antibodies with accelerated  
4 FDA approval for treating patients with early Alzheimer's disease have proposed ways to  
5 potentially mitigate the risk of ARIA.<sup>13,15,60</sup> In general, AURs are written by community experts  
6 not as a guideline but as a complement to prescribing information to help physician in treatment  
7 decision and management. Despite AURs being tailored to each specific anti-amyloid antibody,  
8 the common considerations for the anti-amyloid antibodies include clinician awareness of  
9 individual's medical history and APOE genotype status. AURs for anti-amyloid therapeutics to  
10 date propose a framework for evaluation and management protocols in cases when severe  
11 symptoms or signs may be due to ARIA. This includes urgent assessments and early treatment  
12 initiation of high-dose steroids. Current AURs propose continued dosing through asymptomatic  
13 mild ARIA with serial MRI monitoring.<sup>13,15,60</sup> In asymptomatic moderate ARIA cases, a dose  
14 suspension with serial MRI monitoring is recommended.<sup>15,60</sup> Whereas, in cases of severe  
15 symptomatic or recurrent ARIA of even mild severity (more than two episodes), treatment  
16 discontinuation is recommended.<sup>15,60</sup> In some instances when ARIA was quite severe or  
17 symptoms were considered serious, corticosteroids have been empirically administered to  
18 alleviate symptoms and reduce recurrence, as with treatment of CAA-ri.<sup>13,34,53</sup>

19 If medical conditions requiring anticoagulation (atrial fibrillation, deep vein thrombosis,  
20 pulmonary embolism) emerge, therapy must be discontinued.<sup>13,60</sup> Previous clinical trials have  
21 shown that concomitant anti-amyloid treatment with anticoagulants, antiplatelets, or  
22 antithrombotics is associated with increased risk of ARIA, particularly ARIA-H warranting  
23 potential exclusion in these patient populations.<sup>12,60,61</sup> As new therapies become available, the  
24 establishment of uniform guidelines and recommendations on ARIA management will be  
25 imperative in ensuring patient safety.

## 26 **Risk Factors of CAA and Commonalities with Alzheimer's** 27 **Disease**

28 *APOE*  $\epsilon 4$  genotype is a significant risk factor for CAA, Alzheimer's Disease, and ARIA.<sup>8,25,30,62-</sup>  
29 <sup>64</sup> APOE, primarily synthesized by astrocytes and microglia, binds to A $\beta$  peptides with high

1 avidity, amplifying the emergence of A $\beta$  fibrils.<sup>25</sup> The *APOE*  $\epsilon 4$  genotype and low A $\beta$ 42:40 ratio  
2 may promote CAA.<sup>63</sup> Since A $\beta$ 40 contributes to inhibiting fibril formation, it is another  
3 important component of developing severe CAA.<sup>63</sup> The *APOE*  $\epsilon 2$  genotype is a risk factor for  
4 CAA-related intracerebral hemorrhage despite evidence suggesting  $\epsilon 2$  carriers have protective  
5 effects against AD.<sup>65,66</sup> Additional risk factors of CAA include older age and superficial  
6 siderosis, the latter being often characterized as ARIA-H in clinical trial context.<sup>36,67</sup>

## 7 **Risk Factors and Predictors of ARIA**

8 The three main risk factors for ARIA are exposure to anti-A $\beta$  antibody, presence of pre-existing  
9 microhemorrhages, and *APOE*  $\epsilon 4$  carrier status (**Figure 1**).<sup>7,11,19,22,57,58</sup> The presence of baseline  
10 mH increases risk for developing ARIA-H with anti-A $\beta$  antibody therapy.<sup>12,35</sup> The extent and  
11 incidence of ARIA may vary across anti-A $\beta$  antibody therapies likely attributing to the differing  
12 mechanisms of action, therapeutic properties, and selectivities to amyloid confirmations.

13 Results from a retrospective analysis of bapineuzumab trials found the greatest incidence of  
14 ARIA-E when the two highest drug doses were used (hazard ratio of 3 in patients receiving 2 or  
15 1 mg/kg dose).<sup>11</sup> *APOE*  $\epsilon 4$  carriers treated with anti-A $\beta$  antibodies had a greater risk of  
16 developing ARIA compared with non-carriers; those who were *APOE*  $\epsilon 4$  homozygous were at  
17 greatest risk (**Table 2**).<sup>11,22</sup> *APOE* genotyping should be suggested for patients considering anti-  
18 A $\beta$  antibody drug initiation to enable better risk evaluation, to allow for better patient/family  
19 counseling and informed decisions, and to optimize safety monitoring and management of  
20 potential treatment-emergent ARIA.<sup>30,60</sup>

21 Other ARIA-H risk factors include the extent of brain parenchymal or vascular A $\beta$  deposition,  
22 and the level of pre-existing CAA.<sup>12</sup> Severe CAA or brain MRI-detected baseline mH increase  
23 ARIA risk.<sup>19,68</sup> It is recommended to identify past medical conditions that may predispose to  
24 ARIA or increase the likelihood of ARIA-related complications. Such medical conditions  
25 include pre-existing autoimmune or inflammatory conditions, seizures, transient ischemic  
26 attacks, cerebrovascular disease, or substantial changes in the brain white matter.

27 Protein biomarkers (particularly CSF biomarkers) have potential application in the detection,  
28 monitoring, and management of ARIA.<sup>68,69</sup> In particular, CSF A $\beta$  autoantibodies are seen in  
29 spontaneous ARIA-like events (i.e., CAA-ri), providing a better understanding of the

1 pathophysiology.<sup>68,70</sup> This may also hold promise as a future biomarker for ARIA surveillance  
2 and management.<sup>68,70</sup> Recovery from ARIA (following application of appropriate management  
3 protocols) is associated with reductions in CSF tau, phosphorylated tau (P-tau), total tau, A $\beta$ 40,  
4 and autoantibody concentration.<sup>68,71</sup> Analysis of baseline protein CSF biomarkers as potential  
5 predictors of future ARIA requires further investigation.<sup>71</sup> Similarities in pathophysiological  
6 mechanisms between CAA-ri and ARIA are not fully understood. Past evidence supports the use  
7 of CSF A $\beta$  autoantibodies as a valid biomarker in CAA-ri diagnosis.<sup>51,68</sup> Future studies should  
8 assess whether these findings apply to ARIA, and whether monitoring CSF A $\beta$  autoantibodies in  
9 patients with Alzheimer's disease treated with anti-A $\beta$  antibodies offers a viable safety  
10 biomarker of ARIA-related adverse events.<sup>51,68</sup>

## 11 **Prevalence of ARIA in the Research Population**

12 Although most often associated with anti-amyloid trials, ARIA has also been observed in the  
13 natural course of Alzheimer's disease at lower rates. In patients with Alzheimer's disease  
14 dementia who have not received anti-A $\beta$  antibody therapy, ARIA-E prevalence is <0.1% to 0.8%  
15 while ARIA-H prevalence is between 9.2% and 33%.<sup>55,72</sup> Previously reported studies suggest  
16 that an increase in age correlates to higher odds of ARIA-H and mH rates in A $\beta$ + populations.<sup>55</sup>

17 In Phase 3 clinical trials, baseline prevalence of ARIA-E was low (~0.1% and 0.8% depending  
18 on the anti-A $\beta$  antibody being tested).<sup>11,22,73</sup> For ARIA-H, the prevalence of spontaneous mH in  
19 the general older adult population was relatively high.<sup>74,75</sup> In the population-based Rotterdam  
20 Scan Study (N=1062), 17.8% of participants aged 60–69 had mH. The prevalence increased to  
21 38.3% in those aged  $\geq$ 80 years.<sup>74</sup> A meta-analysis based on five prevalence studies found that  
22 mH were present in 23% of patients with AD.<sup>76</sup> In contrast to mH, the prevalence of superficial  
23 siderosis was much less common (0.7% in the Rotterdam Study population).<sup>77</sup>

24 A systematic review of 22 RCTs, 11 secondary analyses of RCTs, and a case report, comprising  
25 a total of 15,508 adult patients, found that ARIA-H and ARIA-E generally manifested early  
26 during the study course.<sup>7</sup> Most ARIA-E cases resolved spontaneously once treatment was  
27 withheld; though in cases of severely symptomatic ARIA, empirical use of steroids has been  
28 reported.<sup>7</sup> The recurrence rates for ARIA-E after dose re-initiation or adjustment varied from

1 13.8% to 25.6% across RCTs.<sup>7</sup> In contrast, ARIA-H cases were generally found to be  
2 asymptomatic.<sup>7</sup>

### 3 **Detection and Classification Challenges in ARIA**

4 Since the clinical significance of asymptomatic ARIA remains unclear, RCTs include a  
5 contingent ARIA protocol as a precaution.<sup>78</sup> Contingent ARIA protocols may require un-blinding  
6 in the case of dose suspensions or need for repeated MRIs. Therefore, treatment allocation can be  
7 disclosed to all stakeholders (caregivers, patients, and investigators) potentially affecting  
8 outcome assessments, especially those requiring caregiving reports.<sup>78</sup> Future ARIA protocols  
9 should consider a blinded radiologist to read the magnetic resonance images and include a  
10 placebo arm to avoid potential knowledge bias.<sup>78</sup>

11 ARIA-H detection and classification can be challenging due to the small lesion sizes and the  
12 apparent similarity with other types of brain microbleeds.<sup>30</sup> Accurately and consistently  
13 measuring the size criteria of mH requires access to advanced technical features.<sup>8</sup> Furthermore,  
14 the problem may be amplified by the ‘blooming effect’. This is where the apparent size of the  
15 mH on MRI appears bigger than the size of the histologically defined area of the hemosiderin  
16 deposited in the tissue.<sup>8,30</sup> Therefore, training neuroradiologists and using a standardized MRI  
17 protocol to quantify the number of mH present is important. Such challenges associated with  
18 identification and differentiation between suspected CAA, microhemorrhages, or hemosiderin  
19 deposits (often designated as ARIA-H) are of particular importance when the potential use of  
20 anti-amyloid antibody is expanded to include individuals with vascular comorbidities.<sup>30</sup> Thus,  
21 there may be a need for consensus or re-definition of terminology for these patient populations in  
22 the future. From a technical perspective of image acquisition, MRI sequences that enhance signal  
23 loss due to micro-gradients in tissue are typically used for the detection of mH and superficial  
24 siderosis. The two general approaches include GRE/T2\* and SWI.

25 Based on the original Alzheimer’s Association Research Roundtable Workgroup, at minimum,  
26 the MRI assessment should be obtained with a 1.5T scanner, 2D T2\* GRE scan sequence (to  
27 identify ARIA-H), T2 FLAIR (to identify ARIA-E) with slice thickness  $\leq 5$ mm and echo time  
28  $\geq 20$  milliseconds (**Figure 3**).<sup>8</sup> If available, the MRI assessment should be obtained with a 3T  
29 magnet and include SWI sequences as they are more sensitive at detecting mH.<sup>30</sup> It is

1 recommended to obtain a brain MRI at the time or within 3–4 months of initiating treatment. It is  
2 still acceptable if obtained within the past year. Optimally, the same scanner, sequence, magnetic  
3 field strength, and protocol should be used for a given individual to improve reliability and  
4 ensure patient safety.

5 Reliable automated algorithms for the identification of mH or superficial siderosis from medical  
6 images are not available.<sup>8</sup> Determination of a mH or superficial siderosis is based on visual  
7 inspection of MRI images by experts. There may be variation among experienced MRI readers  
8 owing to factors including features of the image acquisition and image artifacts.<sup>8</sup> A trial using the  
9 Brain Observer MicroBleed Scale found reduced interrater disagreement in the number of mH in  
10 MRI images, highlighting its potential utility.<sup>79</sup> Recognizing the technical challenges of detecting  
11 ARIA, the Alzheimer’s Association Workgroup provided guidance on how technical consistency  
12 and a uniform neuroradiological approach to ARIA might be accomplished (**Figure 3**).<sup>8</sup> In  
13 contrast to ARIA, a greater consensus regarding the initial work-up and diagnosis of suspected  
14 CAA exists. This is likely due to experience in diagnosing CAA in clinical practice and the  
15 availability of validated grading criteria.

16 Moreover, a quantitative scoring or rating scale and a severity index may uncover the potential  
17 relationship between ARIA and clinical symptoms and/or outcomes in a patient.<sup>8,18</sup> This is  
18 successfully implemented in CAA and will be useful for diagnosing ARIA in anti-A $\beta$  antibody  
19 therapies. Currently, one ARIA-E visual rating scale has been proposed to grade imaging  
20 findings.<sup>20</sup> The scoring sheet ranges from 0 (no abnormalities) to 5 (abnormalities in the entire  
21 lobe) and is arranged by region (i.e. frontal, parietal, occipital, temporal, central, and  
22 infratentorial). It considers three different imaging features: sulcal hyperintensity, parenchymal  
23 hyperintensity, and gyral swelling.<sup>20</sup> An MRI severity index of ARIA-E and ARIA-H was  
24 adapted by the Advisory Committee Briefing Document.<sup>18</sup> This index is divided into three  
25 severity categories (mild, moderate, and severe), based on the size and site of involvement in  
26 ARIA-E and the number of mH or focal areas involved in ARIA-H.<sup>18</sup> Given the complexity of  
27 ARIA, relatively small sample sizes utilizing such tools currently, and varying experience and  
28 expertise levels, further validation of existing and new scales are warranted to assess the clinical  
29 relevance of ARIA and its possible implications on management.

30

## 1 **Future Directions and Conclusions**

2 Existing evidence highlights the significant impact of ARIA in the natural course of Alzheimer's  
3 disease and on the use of anti-A $\beta$  antibodies to treat early Alzheimer's disease. In light of the  
4 accelerated FDA approvals of two anti-A $\beta$  therapies,<sup>16,17</sup> current recommendations underline the  
5 need for standardized ARIA detection, monitoring, and management protocols in real-world  
6 clinical settings.<sup>8,13</sup> Management protocols related to therapeutic options, which may in specific  
7 cases include steroids, anticonvulsants, or other symptomatic agents, are important to have, *a*  
8 *priori*, in place and will likely evolve as clinical knowledge expands regarding optimal  
9 monitoring and management of ARIA.

10 Current recommendations focus largely on stated requirements for the MRI scanner and  
11 associated sequence. It is acknowledged that interrater variability might be a challenge.<sup>8</sup> The use  
12 of a quantitative computer-aided diagnosis (CAD), which combines computational algorithms  
13 and clinician's evaluation of the MRI images,<sup>80</sup> may support the successful diagnosis of ARIA  
14 (e.g., given the difficulties of ARIA-H diagnosis). Future clinical trials involving anti-A $\beta$   
15 antibodies should consider using CAD methodology once it is validated.

16

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22

## 23 **Competing interests**

24 **Dr. Hampel** is an employee of Eisai Inc. He serves as Reviewing Editor for the Journal  
25 Alzheimer's & Dementia and does not receive any fees or honoraria since May 2019.

26 He is inventor of 11 patents and has received no royalties:

- 1 • In Vitro Multiparameter Determination Method for The Diagnosis and Early Diagnosis of  
2 Neurodegenerative Disorders Patent Number: 8916388
- 3 • In Vitro Procedure for Diagnosis and Early Diagnosis of Neurodegenerative Diseases Patent  
4 Number: 8298784
- 5 • Neurodegenerative Markers for Psychiatric Conditions Publication Number: 20120196300
- 6 • In Vitro Multiparameter Determination Method for The Diagnosis and Early Diagnosis of  
7 Neurodegenerative Disorders Publication Number: 20100062463
- 8 • In Vitro Method for The Diagnosis and Early Diagnosis of Neurodegenerative Disorders  
9 Publication Number: 20100035286
- 10 • In Vitro Procedure for Diagnosis and Early Diagnosis of Neurodegenerative Diseases  
11 Publication Number: 20090263822
- 12 • In Vitro Method for The Diagnosis of Neurodegenerative Diseases Patent Number: 7547553
- 13 • CSF Diagnostic in Vitro Method for Diagnosis of Dementias and Neuroinflammatory Diseases  
14 Publication Number: 20080206797
- 15 • In Vitro Method for The Diagnosis of Neurodegenerative Diseases Publication Number:  
16 20080199966
- 17 • Neurodegenerative Markers for Psychiatric Conditions Publication Number: 20080131921
- 18 • Method for diagnosis of dementias and neuroinflammatory diseases based on an increased level  
19 of procalcitonin in cerebrospinal fluid: Publication number: United States Patent 10921330
- 20 **Dr. Atri** has received in the past ten years, or may receive, honoraria for consulting;  
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14 **Dr. Cho and Dr. Elhage** are employees of Eisai Inc.

15

16

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1

2 **Figure 1 Main Characteristics of ARIA.** Figure reproduced with permission from <sup>1</sup>Barakos et  
3 al. 2022; <sup>2</sup>Cogswell et al. 2022. ARIA are MRI signal abnormalities that present as  
4 edema/effusion (ARIA-E) or microhemorrhage/superficial siderosis (ARIA-H). ARIA-E refers  
5 to the leakage of proteinaceous fluid while ARIA-H refers to leakage of small amounts of iron-  
6 containing blood products. Three main risk factors across both ARIA classes include exposure to  
7 anti-A $\beta$  antibody treatment, presence of pretreatment microhemorrhages, and *APOE- $\epsilon$ 4* carrier  
8 status. Biomarkers (i.e., CSF, PET) as potential predictors of future ARIA require further  
9 investigation.<sup>71</sup>

10

11 **Figure 2 Proposed Pathophysiological Mechanisms for ARIA.** ARIA may occur due to the  
12 pathologic deposition of amyloid in cerebral blood vessel walls (also known as cerebral amyloid  
13 angiopathy) or upon introduction of monoclonal antibodies that remove A $\beta$  plaque.<sup>8,25,51</sup> The loss  
14 of vascular integrity and impaired clearance often leads to an immune response (inflammation)  
15 in the vessel wall. Such effects transiently weaken the vessels leading to leakage of  
16 proteinaceous fluid and blood, resulting in ARIA-E or ARIA-H, respectively. Some evidence  
17 suggests that with repeated immunization, the risk of extravasation tends to decrease,  
18 subsequently decreasing the risk of ARIA.<sup>8,25,51</sup> Abbreviations: sA $\beta$  = soluble A $\beta$ .

19

20 **Figure 3 MRI Protocols for Detection of ARIA in an Anti-Amyloid Therapy Clinical Trial.**

21 <sup>1</sup>Due to the limited availability of higher field units in certain centers, the use of 1.5T scanners is  
22 suggested as a minimum standard despite the greater sensitivity often found with high-field  
23 strength scanners. The implementation of more sensitive MRI measures e.g., SWI to detect  
24 ARIA-H should be balanced against the clinical importance of such findings. While a brain MRI  
25 obtained within the past year may be acceptable if there have been no clinical changes since the  
26 scan was performed, it is preferable to obtain a brain MRI when initiating treatment or within 3–  
27 4 months of beginning treatment.<sup>8</sup> Abbreviations: T = Tesla.

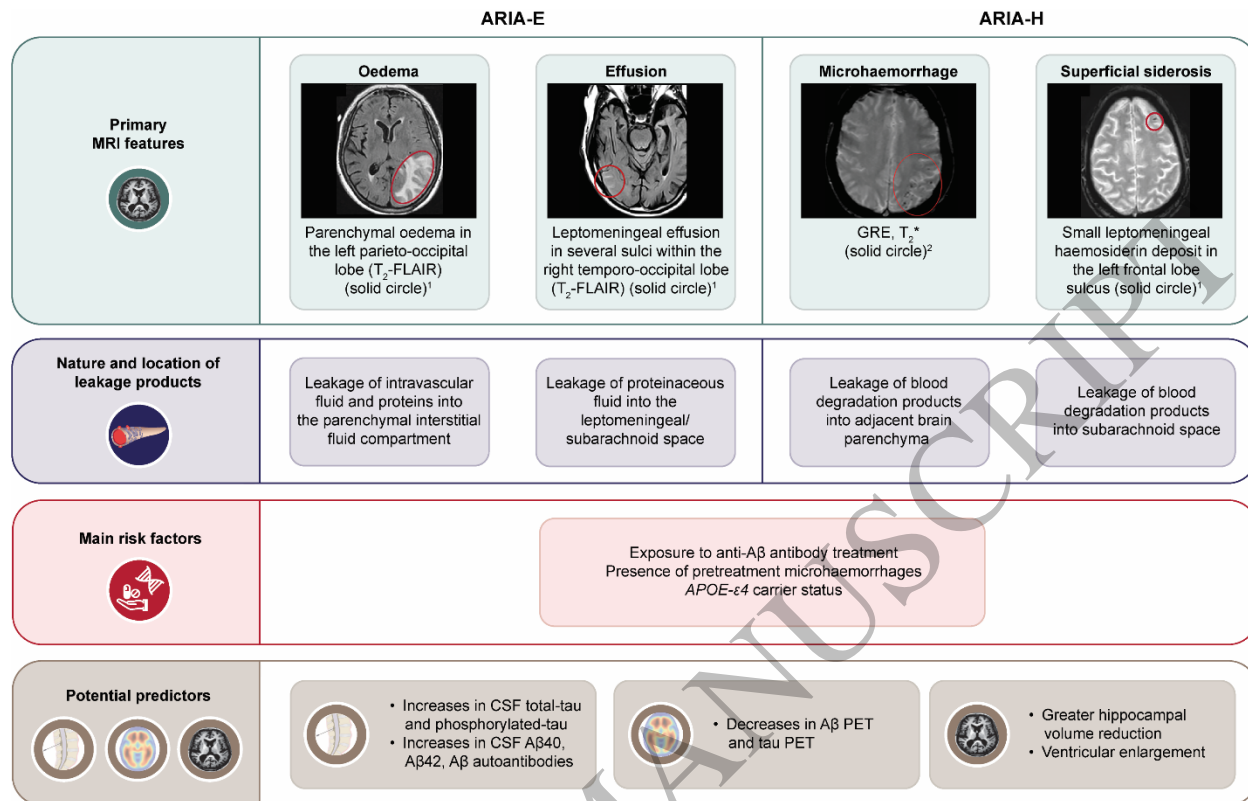


Figure 1  
285x182 mm ( x DPI)

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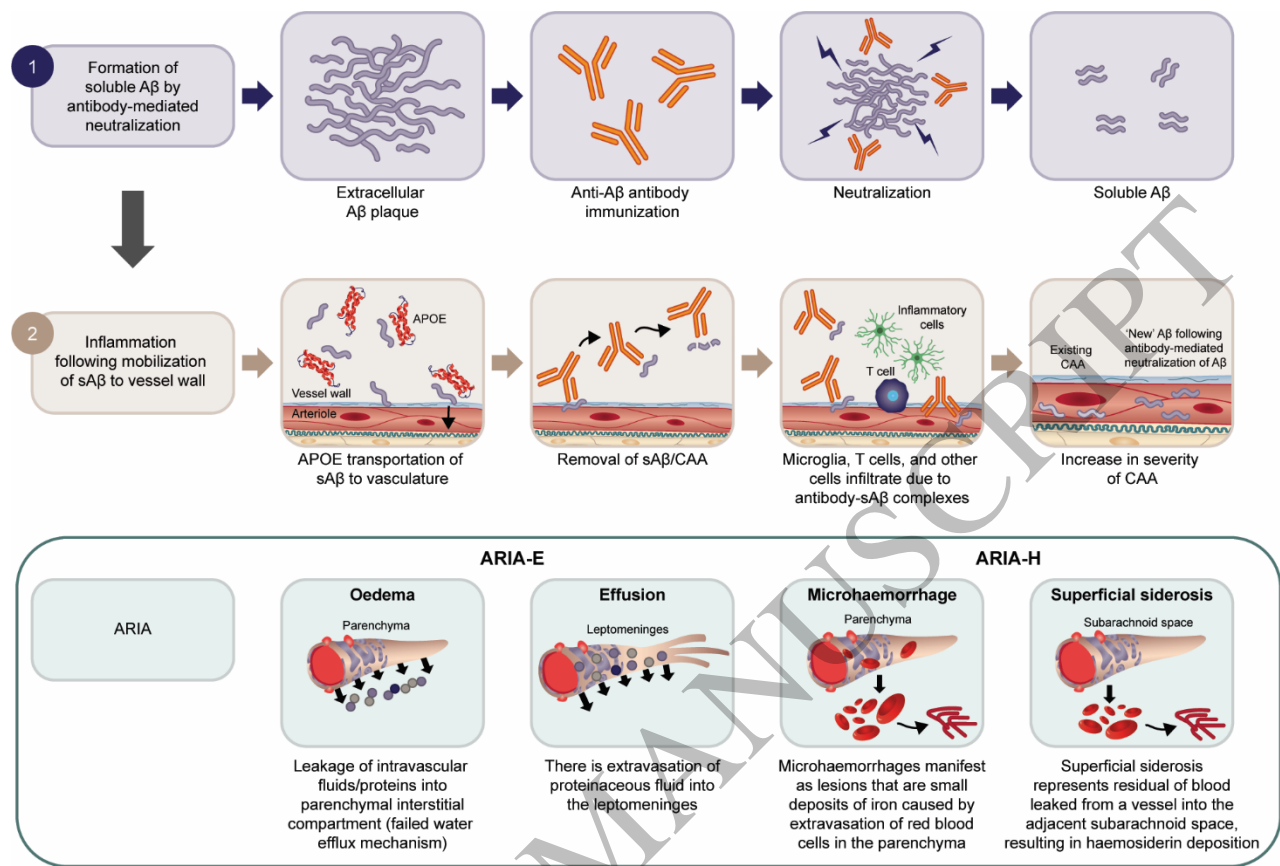


Figure 2  
168x114 mm (x DPI)

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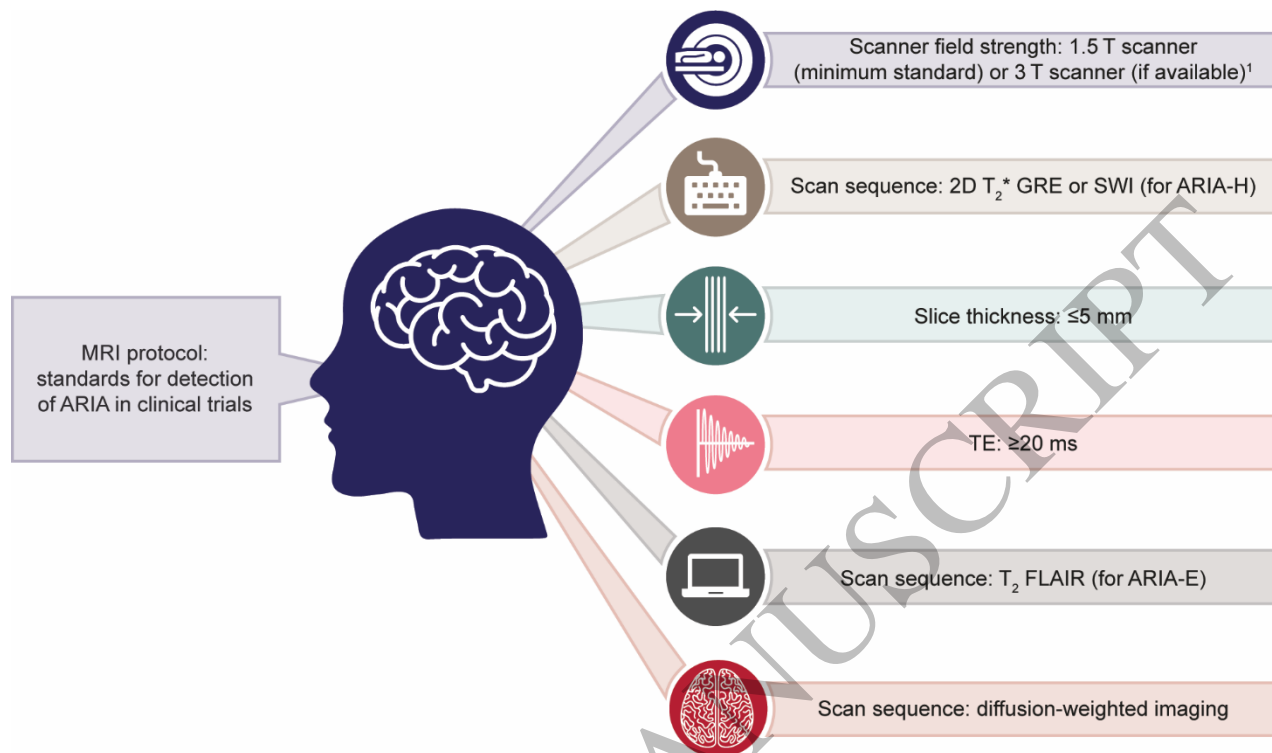


Figure 3  
168x99 mm (x DPI)

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1 **Table 1 MRI Rating Scale for ARIA-E and ARIA-H**

ARIA type	Radiographic severity		
	Mild	Moderate	Severe
<b>ARIA-E</b>			
Size	<5 cm	5–10 cm	>10 cm
Location	Confined to a single site within sulcus or cortex/subcortical white matter	Observed in one or multiple brain locations	Significant involvement in the sulcus or subcortical white matter in one or more distinct sites
<b>ARIA-H</b>			
New incident microhemorrhages	≤4	5–9	≥10
Focal areas of superficial siderosis	1	2	>2

2

3

4 **Table 2 ARIA Findings by APOE $\epsilon$ 4**

Patients with Alzheimer's disease treated with bapineuzumab	Sperling <i>et al.</i> <sup>11</sup> : Retrospective analysis of ARIA-E		Arrighi <i>et al.</i> <sup>12</sup> : Prospective secondary analysis of ARIA-H < 1cm
	Patients who experienced ARIA-E (n; %)	HR (95% CI; P-value)	HR (95% CI)
APOE $\epsilon$ 4 genotype			
Non-carriers	5 (6.8)	1.00 (reference)	1.00 (reference)
Heterozygote	18 (17.6)	3.62 (1.30, 10.08; 0.01)	4.16 (1.09, 15.91)
Homozygote	12 (36.4)	7.28 (2.53, 20.95; <0.01)	14.79 (3.92, 55.74)
<b>Carlson <i>et al.</i><sup>22</sup>: ARIA-E summary table</b>			
Patients with Alzheimer's disease treated with solanezumab	ARIA-E sulcal and/or parenchymal: n	Maximum ARIA-E severity: n	ARIA-H at time of ARIA-E: n
APOE $\epsilon$ 4 genotype			
<b>EXPEDITION</b>			
Non-carriers	Parenchymal: 1	Mild: 1	>10: 1
Heterozygote	Sulcal: 1	Mild: 1	2–5: 1
Homozygote	Sulcal: 1	Severe: 1	2–5: 1
<b>EXPEDITION 2</b>			
Non-carriers	Parenchymal: 3	Mild: 2 Severe: 1	>10: 1 N/A: 2
Heterozygote	Parenchymal: 1	Severe: 1	6–10: 1
Homozygote	Parenchymal: 1	Moderate: 1	1: 1
<b>EXPEDITION EXT</b>			
Non-carriers	Parenchymal: 3	Mild: 2 Moderate: 1	>10: 2 0: 1
Heterozygote	Sulcal: 1 Parenchymal: 1	Mild: 1 Moderate: 1	>10: 1 0: 1
Homozygote	Sulcal: 1 Parenchymal: 1	Mild: 2	>10: 1 2–5: 1

5 Abbreviations: CI = confidence interval; HR = hazard ratio; N/A = not available.