

# 1 **Applied Bionics and Biomechanics**

## 2 **Assessing socket fit effects on pressure and shear at a transtibial** 3 **residuum/socket interface**

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### 8 **Abstract**

9 Fluctuations in residuum volume during daily activities are known to occur in lower-limb  
10 amputees. This can cause frequent changes to fit which cannot be accommodated by  
11 commonly-used prosthetic sockets. The real-time effects, if any, of these minor socket fit  
12 changes on interface biomechanics have not been studied extensively. Amputees commonly  
13 use different layers of socks to accommodate frequent volume fluctuations, enabling  
14 adjustment of socket fit. We thus altered socket fit levels via addition/removal of sock layers  
15 to a transtibial amputee who habitually-donned 2-sock layers to mimic relatively looser and  
16 tighter socket fits. Interface pressure and shear sensors were placed at known prominent load-  
17 bearing sites of the transtibial residuum/socket interface, i.e., patellar-tendon (PT), popliteal  
18 fossa (PF) and anterior-distal-end (AD), to measure real-time biomechanical interactions  
19 during standing and level walking. Although socket fit level was only slightly modified,  
20 changes in interface pressure and shear across anatomical sites were still observed. Tighter fit  
21 corresponds to notable pressure reduction at AD during early-stance and pressure increase at  
22 PT during terminal-stance due to the residuum being pushed up. Shear-to-pressure ratios  
23 were used to assess comfort while pressure and shear-time integrals were used to assess  
24 tissue health. We observed more notable changes at tissue sites (e.g., AD and PF). Combined  
25 evaluation of pressure and shear including shear-to-pressure ratio and time integrals may  
26 offer insight for residuum care.

## 27 Introduction

28 The lower-limb socket interface is subject to multi-directional forces during ambulation.  
29 Impaired socket fit often leads to discomfort, injury and reduced mobility, impeding  
30 rehabilitation outcomes [1]. Prosthetic sockets physically couple a prosthesis onto the  
31 residuum, hence its fit is critical to ensure effective load transfer, user control, comfort and  
32 tissue safety during activities of daily living [2]. While socket fit is periodically assessed and  
33 adjusted by prosthetists in clinics, fit conditions can still frequently change due to  
34 fluctuations in residual limb volumes due to activity and weight changes [3, 4], which  
35 subsequently affect fit quality and impact tissue health. For instance, ulcers can appear in as  
36 little as 1-2 hours, especially at bony prominences [5]. In particular, as compared with  
37 transfemoral residua, a transtibial (TT) residuum comprises many more bony prominence  
38 sites [6], and thus slight changes in socket fit level can quickly lead to localised stresses  
39 accumulating at these sites and increase risk of tissue injury [7]. TT residua volumes have  
40 also been reported to vary notably due to physical loading activities. For instance, 30 minutes  
41 of walking with a normal socket led to up to a 6.5% residuum volume reduction and a  
42 vacuum socket resulted in up to a 3.7% residuum volume increase [8]. It is therefore  
43 important to evaluate interface loading caused by temporal residuum volume changes.  
44 Amputees often accommodate these changes via addition/removal of socks [9].

45 Sanders et al. reported diurnal residuum fluctuations and the need for in-socket stress  
46 measurement to assess this [3]. This challenge, especially the lack of combined in-socket  
47 pressure and shear measurements, remain an unmet need to-date hindering biomechanical  
48 understanding at this critical interface. Tri-axial pressure and shear (TRIPS) sensors are thin  
49 and flexible which are designed for loaded body interface applications including the  
50 residuum/socket interface [10]. As a wearable sensor technology, TRIPS sensors have been  
51 successfully utilised to obtain real time pressure and shear measurements at socket interfaces  
52 of transfemoral amputees [11, 12]. The combined pressure and shear measurements provided  
53 new insights into interface loading for transfemoral amputees. However, despite it being well  
54 known in the field that TT residua comprise more bony prominences and thus exhibit very  
55 different loading profiles as compared with transfemoral counterparts, there still lacks  
56 detailed studies on dynamic pressure and shear within a TT socket, nor their changes with  
57 socket fit levels. This is particularly important as combined pressure and shear measurement  
58 and their ratios are important to assess comfort [13, 14] and risks to tissue viability [15].

59 This case study exploits TRIPS sensors which were unobtrusively placed inside a TT socket  
60 at the anterior-distal-end (AD), patella-tendon (PT) and popliteal fossa (PF) load-bearing and  
61 sensitive anatomical sites [16]. We varied socket fit by changing sock layers. By  
62 removing/adding an extra layer compared to habitually-donned 2-sock fit, we aimed to  
63 simulate minor volume changes experienced in daily living. Involvement of only one TT  
64 participant enabled controlled test conditions eliminating potential differences between  
65 multiple participants; prosthesis componentry and alignment were unchanged throughout. As  
66 such, this study solely focuses on influence of socket fit. To the best of our knowledge, there  
67 are few studies on real-time pressure and shear at TT socket interfaces, and lack of reports  
68 including quantitative differences in levels of socket fit.

## 69 Materials and Methods

### 70 The Participant

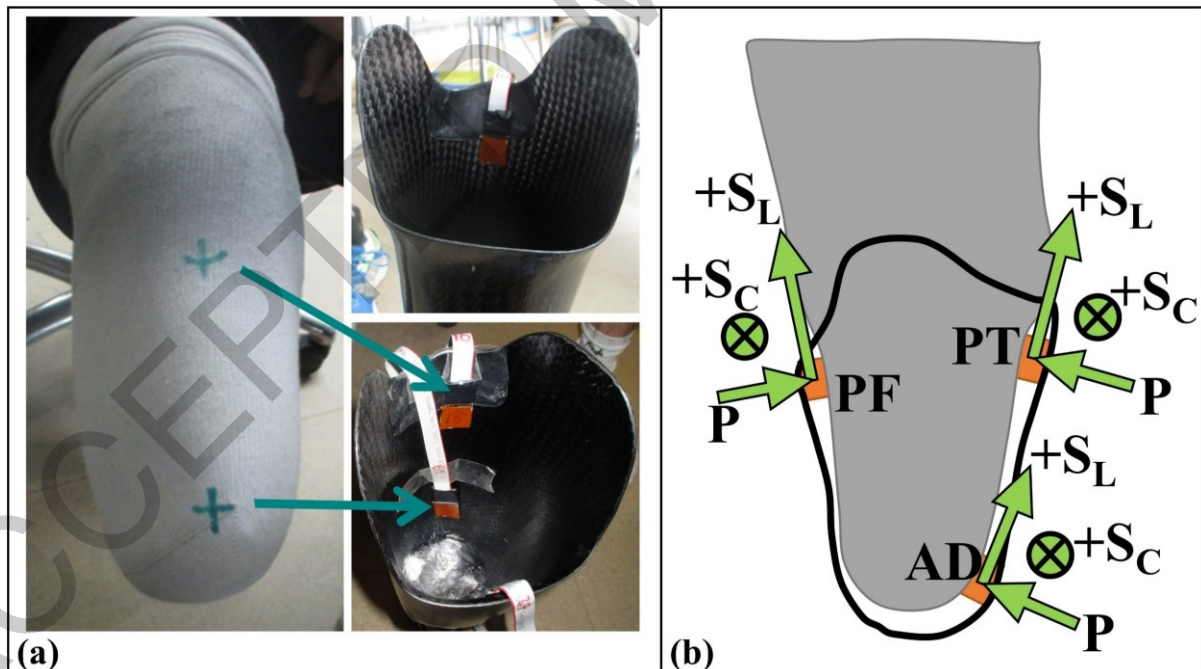
71 One right-sided TT amputee participated (male, 38-years, bodyweight 81kg, height 183cm).  
72 The participant was capable of walking unassisted, and his residuum was free from injury. He  
73 used his habitual total-surface-bearing socket prescribed by a certified prosthetist and  
74 achieved comfortable fit when used daily with 2-sock fit and no liner (see Figure 1).

75 This study was approved by University of Southampton Ethics and Research Governance  
76 Committee (ID: 58005) and informed consent was obtained from the participant.

### 77 Instrumentation and Experimental Protocol

78 Upon arrival, the participant changed into Lycra shorts. Three TRIPS sensors were mounted  
79 to the inner-socket-wall by a prosthetist at PF, PT and AD sites (Figure 1a). These are well-  
80 known anatomical load-bearing and sensitive sites for TT residua [17, 18], thus are common  
81 sites of interest in studies evaluating interface biomechanics or socket fit and comfort [7].  
82 Both the participant and the prosthetist confirmed that there was no notable change to socket  
83 fit or comfort levels by the sensor insertions.

84 Each flexible TRIPS sensor has a dimension of 20x20x1mm which were fully calibrated with  
85 typical resolutions of 0.9kPa for pressure and 0.2kPa for shear measurements, and further  
86 details were reported previously [11]. Figure 1b shows direction definitions for pressure (P),  
87 circumferential shear (+S<sub>c</sub>) and longitudinal shear (+S<sub>L</sub>).

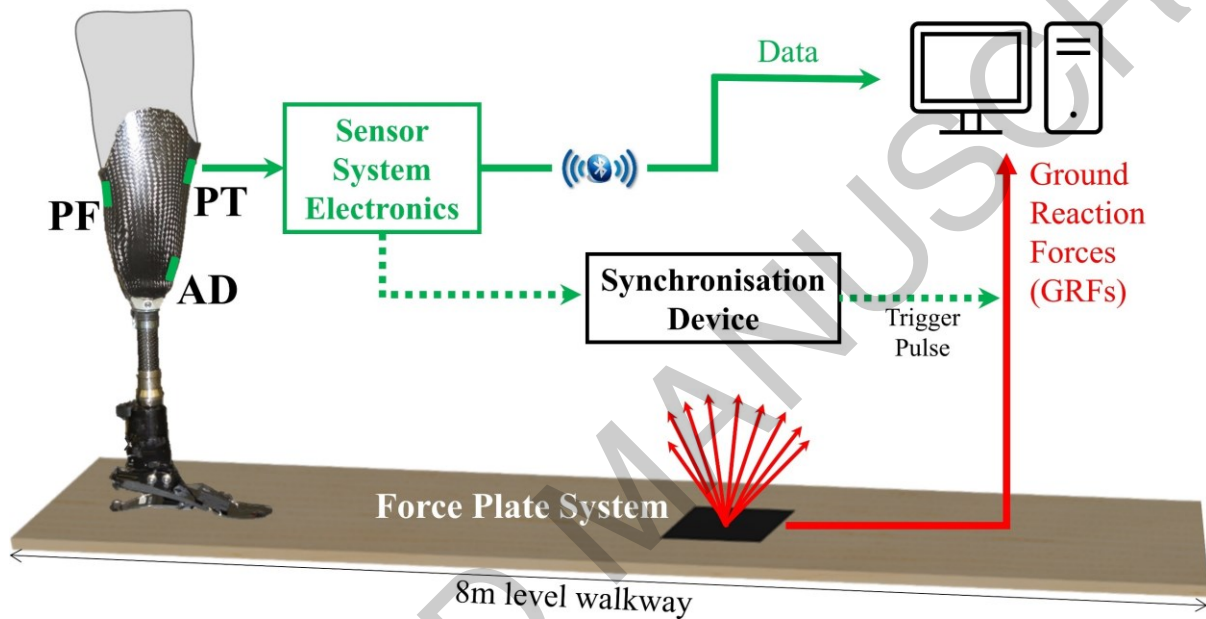


88 (a) Target sites marked on the amputee's residuum and in-socket sensor positions; (b) schematic  
89 indicating sensor direction definitions of pressure and shear.  
90

91 Figure 2 shows a schematic of the experimental setup. The participant was instructed to walk  
92 for five minutes following a prescribed route to ensure there was no discomfort. A self-

93 selected walking cadence of 117 steps per minute and speed of approximately  $1.35\text{ms}^{-1}$  was  
 94 determined. Subsequently, level walking tests commenced which involved a five-second  
 95 standing phase followed by walking along an eight-metre level walkway at normal self-  
 96 selected speed controlled using a digital metronome. A force plate (Kistler Instrument Ltd,  
 97 Switzerland) was embedded halfway along the walkway to measure ground reaction forces  
 98 (GRFs). At least seven clean level walking traverses were performed, and a clean traverse  
 99 was defined as one with complete prosthetic foot contact with the force plate. Interface  
 100 pressure and shear from the TRIPS sensor system were synchronised with GRF  
 101 measurements (Figure 2) by using a 5V trigger pulse generated by the data acquisition system  
 102 of the sensor system electronics.

103



104

105

Figure 2. A schematic of the experimental setup.

106 The participant initially donned 2-sock layers, i.e., habitual condition. Subsequently, the  
 107 above protocol was repeated for 1-sock and 3-sock layers with the fitted sensor positions  
 108 unchanged. All walking tests in this study were conducted at controlled cadence (117 steps  
 109 per minute) to minimise the influence of walking speeds. All tests were completed within two  
 110 hours; the participant was able to rest between tests. Short interviews were conducted at the  
 111 start and upon completion of level walking with each socket fit condition to capture feedback  
 112 on socket comfort and walking stability.

### 113 Data Analysis

114 Standing-baselines were used to verify sensors were effective in measuring contact forces.  
 115 GRFs obtained from force plate were used to identify key loading events during stance phase,  
 116 i.e., early-stance (ES, 3-20%), midstance (MS, 20-35%), terminal-stance (TS, 35-60%) and  
 117 toe-off (60%) [19], and estimate gait cycles (GCs). Mean and standard deviation ( $\pm 1\text{SD}$ )  
 118 were calculated for each fit condition.

119 Peak shear-to-pressure ratios were calculated during ES for AD and PF sites, and TS for PT  
 120 due to different load-dominating phases across different anatomical sites. Pressure and shear-

121 time integrals were also produced for comparison. Absolute sum of shear was used in the  
122 integration.

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123 **Results**

124 Table 1 displays standing-baselines at the socket interface for different socks. For habitual 2-  
 125 sock fit, standing-baseline pressure and shear at AD are greater than those obtained at PT and  
 126 PF. However, pressure and  $S_C$  at PF and PT sites are similar. It is important to note that  $-S_C$   
 127 and  $+S_L$  are observed at the AD site simply during standing. Figure 3 illustrates these shear  
 128 directions within the socket indicating residuum medial and downwards movement, which  
 129 are shown by arrows. This observation at AD site is consistent for all socket fit scenarios as  
 130 shown in Figure 3.

Table 1 Mean  $\pm$ 1SD of pressure and shear during standing for different sock layers

Socket Fit	Location	P (kPa)	$S_C$ (kPa)	$S_L$ (kPa)
1-sock	AD	149 $\pm$ 6	-82 $\pm$ 2	56 $\pm$ 6
	PT	60 $\pm$ 3	-20 $\pm$ 5	-50 $\pm$ 4
	PF	62 $\pm$ 2	22 $\pm$ 4	0 $\pm$ 1
2-sock	AD	164 $\pm$ 9	-84 $\pm$ 6	55 $\pm$ 6
	PT	62 $\pm$ 2	-20 $\pm$ 1	-40 $\pm$ 4
	PF	64 $\pm$ 1	22 $\pm$ 4	0 $\pm$ 1
3-sock	AD	127 $\pm$ 6	-82 $\pm$ 8	70 $\pm$ 5
	PT	47 $\pm$ 2	-17 $\pm$ 2	-32 $\pm$ 2
	PF	64 $\pm$ 4	26 $\pm$ 3	69 $\pm$ 4

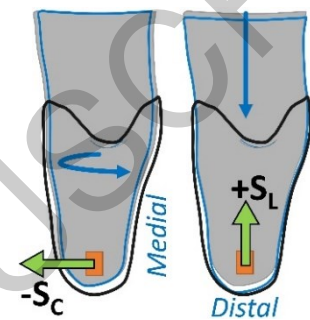
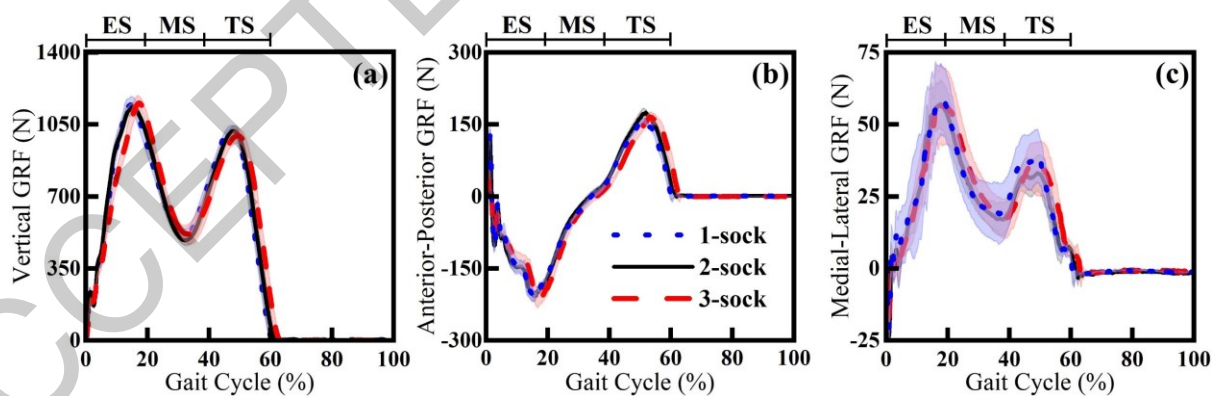


Figure 3. Schematic showing residuum positioning in socket based on shear directions in standing.

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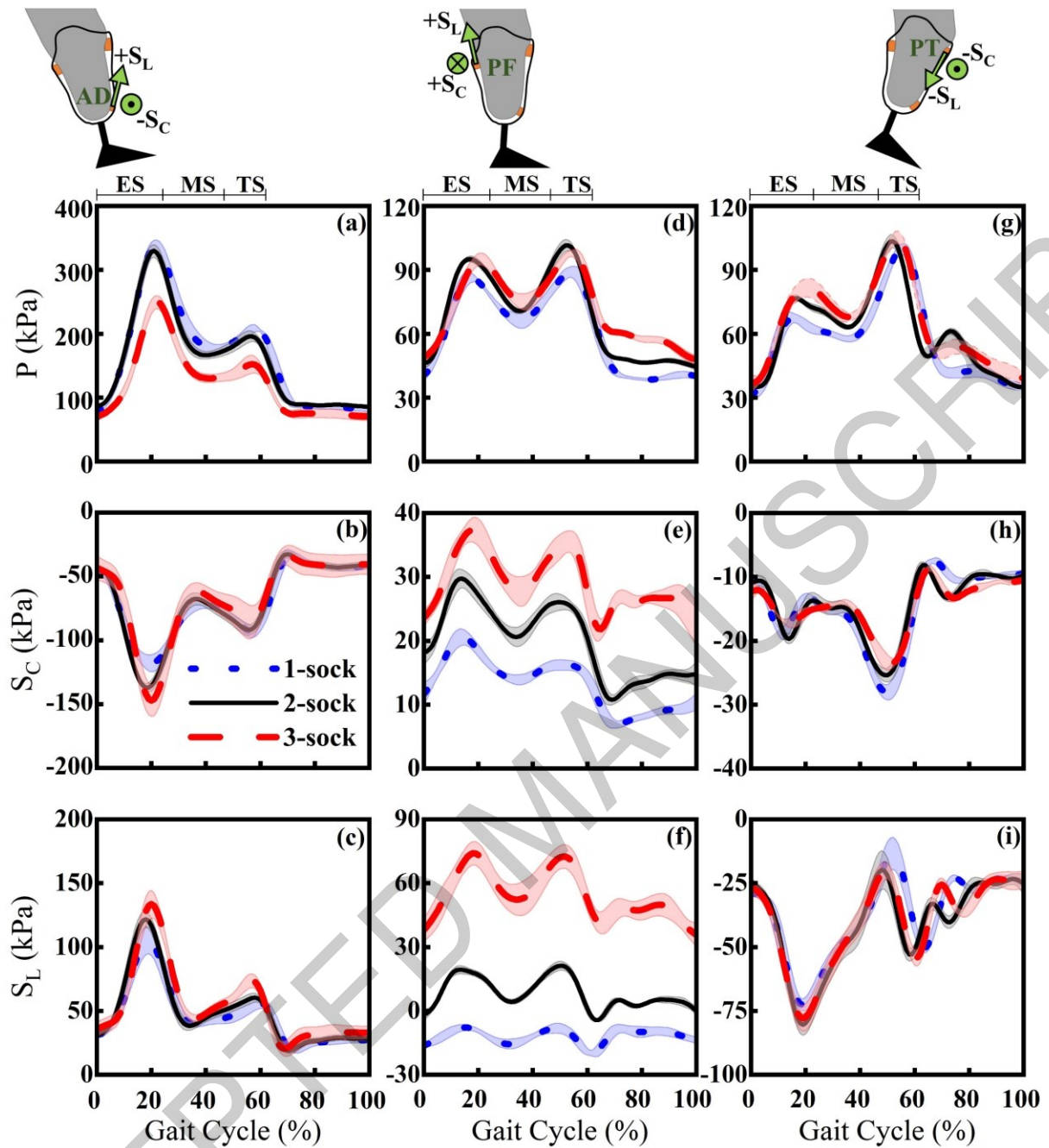
132 Figure 4 compares level walking GRFs for different sock layers. Vertical GRFs show  
 133 characteristic gait-induced “double-hump” profiles with a peak of 1137 $\pm$ 17N, approximately  
 134 140% of participant bodyweight. Anterior-posterior and medial-lateral GRF of up to 208N  
 135 and 57N, respectively, were measured. No notable changes in GRF were observed for  
 136 different fits.



137

138 Figure 4. (a) Vertical, (b) anterior-posterior and (c) medial-lateral GRF as a function of GC (n=7) for different  
 139 sock layers. Graphs indicate early-stance (ES), midstance (MS) and terminal-stance (TS) phases.

140 Figure 5 shows pressure and shear at AD (Figure 5a, 5b and 5c), PF (Figure 5d, 5e and 5f)  
 141 and PT (Figure 5g, 5h and 5i).



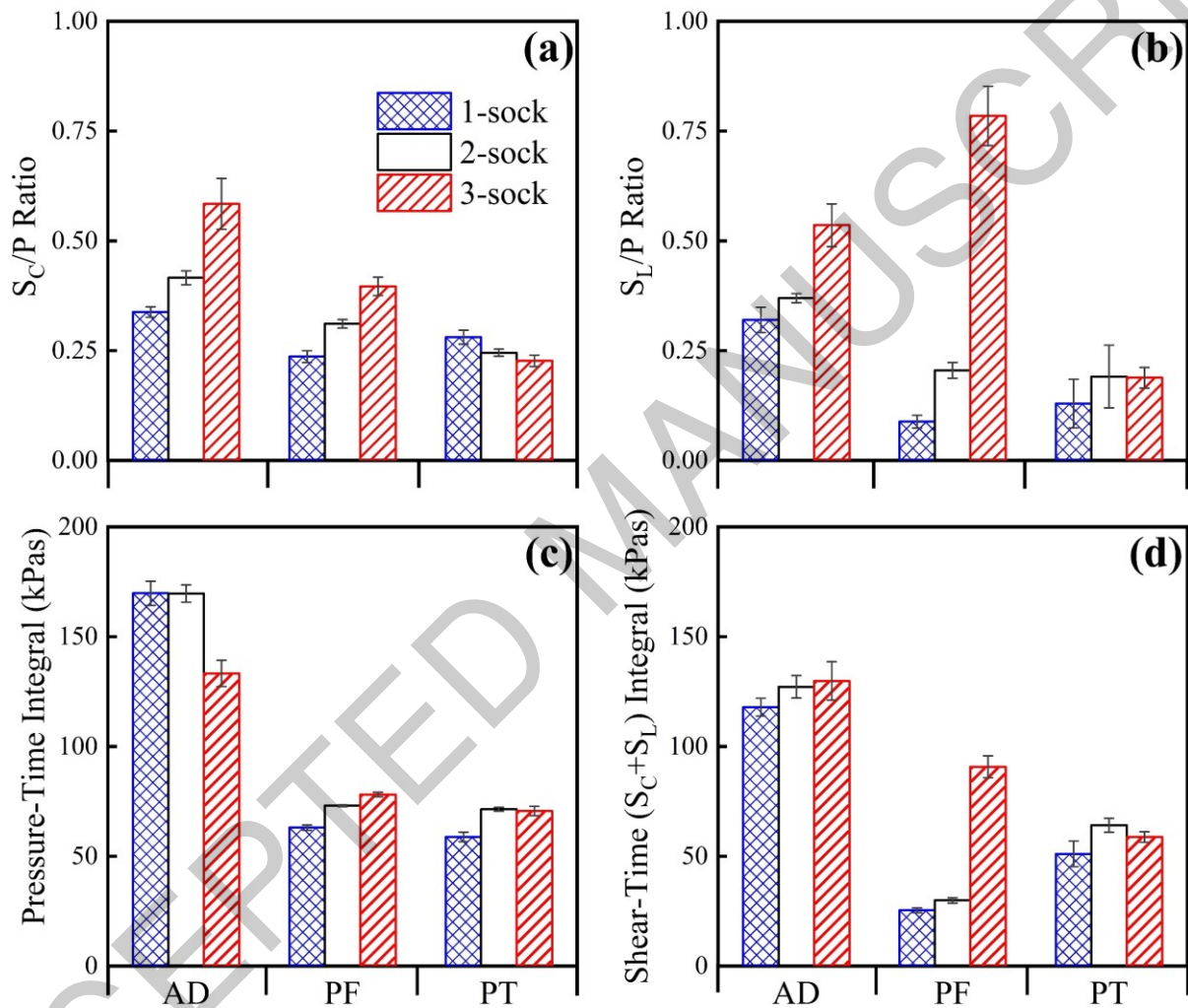
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143 Figure 5. Mean and  $\pm 1$ SD of  $P$ ,  $S_C$  and  $S_L$  measured at (a-c) AD, (d-f) PF and (g-i) PT sites, respectively, as a  
 144 function of GC ( $n=7$ ) for different sock layers during level walking. Illustrations above pressure profiles show  
 145 shear directions at each site, where positive shear indicates the residuum rotates laterally ( $+S_C$ ) and moves  
 146 distally ( $+S_L$ ).

147 In Figure 5a, at AD, higher pressure was observed during ES (250-340kPa) than TS (152-  
 148 209kPa). In contrast, at PT (Figure 5g), pressure was higher in TS (99-106kPa) than ES (68-  
 149 82kPa). Both peaks are similar in magnitude at PF (Figure 5d). In the second row, lateral-  
 150 directional shear  $-S_C$  was obtained at anterior sites (Figure 5b and 5h). Like pressure profiles,  
 151 relatively speaking, peak  $-S_C$  at AD was higher in ES than TS, whereas  $-S_C$  at PT was higher  
 152 during TS. The third row shows proximal-directional shear  $+S_L$  with double-hump profiles at  
 153 AD (Figure 5c) and PF (Figure 5f). However, distal-directional shear  $-S_L$  (up to -80kPa) was  
 154 observed at PT (Figure 5i).

155 At AD, tighter fit (i.e., 3-sock fit) leads to lower pressure (Figure 5a) and higher shear  
 156 (Figure 5b and 5c). Meanwhile, at PT, more socks tend to increase pressure (Figure 5g) but  
 157 have little effect on shear. At the PF, different fits did not affect pressure but showed clear  
 158 increase in shear  $S_C$  and  $S_L$  (Figure 5e and 5f) with increasing tightness.

159 Figure 6a and 6b compares effect of different socket fits on shear-to-pressure ratios, and  
 160 Figure 6c and 6d show pressure-time and shear-time integrals, respectively. Shear-to-pressure  
 161 ratio, generally speaking, increased with increasing tightness. Pressure-time integral at AD  
 162 decreases for tighter fit while shear-time integral increases. Despite minor change of  
 163 pressure-time integral at PF, shear-time integral shows substantial increase for tighter socket.



164

165 Figure 6. Peak shear-to-pressure ratios (a)  $S_C/P$  and (b)  $S_L/P$  at the point of maximum pressure, and (c) pressure-  
 166 time and (d) sum of shear-time integrals over GCs experienced by each site for different sock layers.



## 167 Discussion

168 Standing-baselines in Table 1 depict standing loads at anatomical sites. Higher pressure  
169 (164kPa) was obtained at AD due to the tibial-end bony prominence and the distal location.  
170 PT and PF presented lower and similar pressure of approximately 60kPa suggesting relatively  
171 even distribution across anterior-posterior proximal sites. Pressure distribution aligns with  
172 those in literature [17], confirming sensors were placed at load-bearing sites. Negative shear  
173  $S_c$  at AD and PT combined with positive  $S_c$  at PF indicates the residuum rotated medially  
174 within the socket (Figure 3). Positive  $S_L$  shows proximal shear at AD, indicating the socket  
175 moving upwards relative to the residuum. These measurements were attributable to donning  
176 whereby the residuum pushes down and rotates in the socket to achieve secure attachment.  
177 Also, in all cases,  $-S_c$  across anteriorly-located sites show lateral directional shear, and  
178 medial directional shear at the posterior PF site, indicating the residuum has slightly rotated  
179 in the medial direction within the socket. On the other hand,  $+S_L$  shows proximal directional  
180 shear at AD and PF due to downwards load of the residuum in socket, but opposite distal  
181 direction shear at PT which may be caused by slight anterior tilt of the socket relative to the  
182 PT site. The cross-location profile didn't seem to change significantly with different sock  
183 layers, though pressure and shear values changed in each case. In addition, pressure at AD  
184 when using 3-sock fit (127kPa) was notably lower than AD pressure when using 1 or 2-sock  
185 fit conditions, which may be due to higher seating of the residuum induced by tighter fit. This  
186 briefly aligns with the participant's feedback, i.e., 3-sock fit offers more support but slightly  
187 less spatial awareness of the limb with reference to the ground.

188 GRF profiles in Figure 4 are similar to other amputee gait studies in literature [20]. GRFs  
189 show walking patterns were relatively unaltered when using different sock layers in our  
190 study.

191 In order to understand interface biomechanics, we initially analysed results for 2-sock fit in  
192 Figure 5, which was the habitual socket fit for this amputee. Figure 5 shows double-hump  
193 pressure peaks (up to 340kPa) at all sites (Figure 5a, 5d and 5g) indicating effective load  
194 transfer from GRFs at the measurement locations.

195 At AD site (Figure 5a, 5b and 5c), heel-strike in ES caused pressure to increase rapidly as the  
196 residuum moved down within the socket and simultaneously bodyweight shifted from  
197 contralateral to prosthetic side, leading to lateral shear  $-S_c$  and proximal  $+S_L$ .

198 The PF (Figure 5d, 5e and 5f) takes relatively even load across ES and TS, shown by  
199 balanced pressure peaks. ES pressure resulted from anterior residuum rotation in the socket,  
200 while TS pressure peak resulted from counterbalancing pressure increase at PT, aligning with  
201 dynamic anterior-posterior coupling at this interface [21, 22]. Medial  $+S_c$  (Figure 5e) and  
202 proximal  $+S_L$  (Figure 5f) at this posterior site further indicates medial rotation and  
203 downwards movement of the residuum in the socket.

204 PT site takes more load during TS (Figure 5g) evidenced by higher pressure peak (99-  
205 106kPa) than in ES (68-82kPa). This may be due to knee flexion and the body propelling  
206 forwards during TS, causing higher pressure at PT against inner-socket-wall. Simultaneously,  
207 the residuum rotates medially resulting in lateral  $-S_c$  and distal-directional  $-S_L$  (Figure 5h and  
208 5i).

209 The active knee extension, preventing knee buckling, may account for higher pressure at AD  
210 during ES than TS [16]. Presence of a natural knee allows TT amputees to retain close-to  
211 natural flexion/extension mechanism ensuring limb stability during stance and safe foot  
212 clearance during swing [23]. Consequently, the distal region (i.e., AD site) is subject to  
213 greater loading in ES as the socket rotates about the residuum [24].

214 Further analysis based on Figure 5 is conducted below in order to compare the effect of  
215 different socks. Increasing socket tightness, i.e., increasing sock layers, led to pressure  
216 decrease at AD (Figure 5a) but an increase at PT (Figure 5g). This indicates the residuum was  
217 slightly “pushed up” in the tighter socket case whereby AD experienced reduced pressure.  
218 Higher  $-S_C$  (148kPa) and  $+S_L$  (134kPa) were also observed for tighter socket at AD,  
219 indicating the residuum trying to move to its habitual position, especially in ES. In TS, tighter  
220 fit also led to lower pressure but little change in  $S_C$  and  $S_L$ .

221 At the PF, changing sock layers had little effect on pressure but notable impact on shear. In  
222 particular, when using 3-sock fit, shear  $S_C$  and  $S_L$  were much higher compared with those of  
223 1 and 2-sock fit conditions. We believe this may be due to greater tissue presence at PF  
224 which is known to help redistribute loading more evenly compared to anterior compartments  
225 [25]. Tighter socket fit amplifies this distribution, reflected by higher shear values at the local  
226 site. In addition, a tighter fit may alter local friction coefficients at the interface, leading to  
227 higher shear. This could also be associated with the increase of static shear induced by tighter  
228 fitting.

229 Figure 6 shows shear-to-pressure ratio which is a reported important criterion to assess  
230 comfort and residua tissue loading characteristics [13]. In particular, reduction of shear may  
231 improve socket comfort even if at the expense of rising pressure resulting in greater total  
232 interface stress magnitudes [3]. In our study, a tighter socket led to notable increase of  $S_C/P$   
233 (Figure 6a) and  $S_L/P$  (Figure 6b) ratios at AD and PF, suggesting greater transition from  
234 pressure to shear when the socket becomes slightly tighter, which perhaps reduces the  
235 participant’s perceived comfort.

236 Pressure-time integral is also a reported important parameter to assess cumulative exposure  
237 of pressure and time which can lead to tissue damage, and has been considered a contributory  
238 factor in ulcer formation [26]. Unlike absolutes, pressure-time integrals consider both  
239 magnitude and time of exposure to loading, hence could offer insight into aetiology of tissue  
240 damage at the residuum/socket interface. Applying this principle to shear, using pressure-  
241 time and shear-time integrals provide quantitative measures of total load exposure at each  
242 site, which is especially important when considering residua tissue viability.

243 For a tighter socket, we observed reduction of pressure-time integral (Figure 6c) but increase  
244 of shear integral (Figure 6d) at AD. This could result from pressure reduction (Figure 5a) at  
245 AD as the residuum was unable to move further in the socket. However, at PF, despite only  
246 minor change in pressure-time integral, there is notable change in shear-time integral which  
247 aligns with the increase of  $S_C$  and  $S_L$  at PF as shown in Figure 5e and 5f. This suggests the  
248 tissue injury mechanism at PF is dominated by shear and its duration. Indeed, localised  
249 irritation and tissue breakdown is commonly reported [27] and believed to be associated with  
250 repetitive shear stresses. However, while many studies utilise pressure-time curves to assess  
251 tissue ulceration [28], relatively few reports focus on tissue health using shear-time integrals.

252 This study was limited to one TT participant as a control to test different socket fits via  
253 change of sock layers. Future work should expand to different amputees to gain populational  
254 assessment. While this study simulated changes in fit, it did not evaluate the effectiveness of  
255 prosthesis users altering number of socks donned to compensate for limb volume  
256 fluctuations. Nevertheless, results further corroborate the complex interface biomechanics  
257 which can be affected by minor socket fit changes. This demonstrates minor socket fit  
258 changes during daily activities may alter pressure and shear load transfer mechanisms at the  
259 interface whereby comfort and tissue integrity can be objectively assessed using these  
260 parameters.

## 261 **Conclusions**

262 Socket fit levels were manually altered by applying different layers of socks at the  
263 residuum/socket interface for a TT amputee. Real-time interface pressure and shear were  
264 measured and analysed for standing and walking scenarios. We found that both  
265 circumferential and longitudinal shear existed at the socket interface during initial standing.  
266 Lateral-direction and proximally-acting shear at the AD site was observed for all sock test  
267 scenarios indicating medial rotation and downwards movement of the residuum in the socket.  
268 We observed that, during walking, a tighter socket fit resulted in greater circumferential and  
269 longitudinal shear stress, particularly at areas of high tissue concentration (i.e., PF) which is  
270 subsequently reflected by high peak shear-to-pressure ratios as compared with other sites.  
271 This suggests increased axial and angular residuum movement in the socket. On the other  
272 hand, looser fit resulted in increased movement within the socket leading to distally-acting  $S_L$   
273 at PT, which indicates upwards local residuum movement in the socket. The results further  
274 corroborate the complex interface biomechanics which can be affected by minor socket fit  
275 changes. This helps to demonstrate that minor changes in socket fit during daily activities  
276 may alter pressure and shear load transfer mechanisms at the interface whereby comfort and  
277 tissue integrity can be objectively assessed using these parameters.

278 **Data Availability**

279 All data supporting this study are available from the University of Southampton repository at  
280 <https://doi.org/10.5258/SOTON/D2562>.

281 **Conflicts of Interest**

282 The authors declare that there are no conflicts of interest regarding the publication of this  
283 paper.

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