

# Global Evaluation of the ISBA-TRIP Continental Hydrological System. Part I: Comparison to GRACE Terrestrial Water Storage Estimates and In Situ River Discharges

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## ABSTRACT

In earth system models, the partitioning of precipitation among the variations of continental water storage, evapotranspiration, and freshwater runoff to the ocean has a major influence on the terrestrial water and energy budgets and thereby on simulated climate on a wide range of scales. The evaluation of continental hydrology is therefore a crucial task that requires offline simulations driven by realistic atmospheric forcing to avoid the systematic biases commonly found in global atmospheric models. Generally, this evaluation is done mainly by comparison with in situ river discharge data, which does not guarantee that the spatiotemporal distribution of water storage and evapotranspiration is correctly simulated. In this context, the Interactions between Soil, Biosphere, and Atmosphere–Total Runoff Integrating Pathways (ISBA-TRIP) continental hydrological system of the Centre National de Recherches Météorologiques is evaluated by using the additional constraint of terrestrial water storage (TWS) variations derived from three independent gravity field retrievals (datasets) from the Gravity Recovery and Climate Experiment (GRACE). On the one hand, the results show that, in general, ISBA-TRIP captures the seasonal and the interannual variability in both TWS and discharges. GRACE provides an additional constraint on the simulated hydrology and consolidates the former evaluation only based on river discharge observations. On the other hand, results indicate that river storage variations represent a significant contribution to GRACE measurements. While this remark highlights the need to improve the TRIP river routing model for a more useful comparison with GRACE [Decharme et al. (Part II of the present study)], it also suggests that low-resolution gravimetry products do not necessarily represent a strong additional constraint for model evaluation, especially in downstream areas of large river basins where long-term discharge data are available.

## 1. Introduction

The exchanges of water, energy, and momentum between the land surface, atmosphere, and ocean exert

considerable influence on the global climate system simulated by earth system models (ESMs). The continental freshwater reservoirs represent an active component of the climate system (Dirmeyer 2000, 2001; Douville 2003, 2004; Koster et al. 2000, 2002) and are likely to influence the water and energy exchanges at the land surface, the ocean salinity at the mouths of the largest rivers, and climate at least on the regional scale (Gedney et al. 2000; Douville et al. 2000a,b; Molod et al. 2004; Lawrence and

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Slater 2007; Alkama et al. 2008). The simulation of these processes mainly depends on the representation of the continental part of the global hydrological cycle in continental hydrologic systems (CHSs). Today, CHSs are composed of land surface models (LSMs), which provide realistic lower boundary conditions of temperature and moisture in atmospheric general circulation models (AGCMs), and river routing models (RRMs) used to convert the runoff simulated by the LSMs into river discharge to transfer the continental freshwater into the oceans and then to close the global hydrological cycle. The global evaluation of LSM-RRM systems is therefore a crucial task. This is generally done using offline simulations driven by realistic atmospheric fluxes, such as observed precipitation, to avoid the systematic biases commonly found in AGCMs (Douville 1998; Gedney and Cox 2003; Ngo-Duc et al. 2005; Decharme and Douville 2007; Decharme 2007).

In each grid cell, the evolution of the continental hydrological budget, also called the terrestrial water storage change, can be expressed from the hydrological water balance equation as

$$\frac{d}{dt}\text{TWS} = P - E - R, \quad (1)$$

where TWS ( $\text{kg m}^{-2}$ ) is terrestrial water storage,  $P$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is precipitation,  $E$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is evapotranspiration, and  $R$  ( $\text{kg m}^{-2} \text{s}^{-1}$ ) is total runoff. Until recently, the evaluation of this budget simulated by CHSs was limited by the lack of global observations and essentially made at the basin scale by the comparison of simulated river discharges with in situ streamflow measurements over the largest basins of the world (Douville 1998; Ngo-Duc et al. 2005; Decharme and Douville 2006a, 2007; Decharme 2007), given a direct evaluation of  $R$  in Eq. (1). Today, the earth's time-variable gravity field from the Gravity Recovery and Climate Experiment (GRACE; Tapley et al. 2004) mission launched in 2002 allows direct evaluation of the simulated TWS. As shown in many studies, GRACE data can be used to estimate TWS from basin (Crowley et al. 2006; Seo et al. 2006) to continent scale (Schmidt et al. 2006; Tapley et al. 2004). Other GRACE applications have been used to estimate groundwater storage variations (Rodell et al. 2004; Yeh et al. 2006), ice sheet and glacier mass loss (Velicogna and Wahr 2006a,b; Chen et al. 2006; Ramillien et al. 2006b), and hydrologic fluxes, including evapotranspiration (Rodell et al. 2004; Ramillien et al. 2006a), precipitation minus evapotranspiration (Swenson and Wahr 2006), and river discharge (Syed et al. 2005).

The main goal of this study is to evaluate the Interactions between Soil, Biosphere, and Atmosphere–Total Runoff Integrating Pathways (ISBA-TRIP) CHS used

in the earth system model of the Centre National de Recherches Météorologiques (CNRM), the French meteorological research center. This evaluation is made using GRACE data to evaluate the simulated TWS while simulated river discharge is compared to in situ streamflow measurements. Note that, in Decharme et al. (2010, hereafter Part II), the uncertainties in simulated TWS and discharges due to the TRIP river routing model are investigated in more depth. A brief review of ISBA-TRIP is provided in section 2. The experimental design and the data used for this evaluation are described in section 3. The main results are presented in section 4 and discussed in section 5. Lastly, the main conclusions are provided in section 6.

## 2. The ISBA-TRIP continental hydrological system

### a. ISBA

ISBA is a relatively simple land surface model (LSM) that uses the force–restore method to calculate the time variation of the surface energy and water budgets (Noilhan and Planton 1989), including snowpack evolution based on a simple one-layer scheme (Douville et al. 1995). The soil hydrology is represented by three layers: a thin surface layer (1 cm) included in the rooting layer and a third layer to distinguish between the rooting depth and the total soil depth (Boone et al. 1999). An exponential profile of the saturated hydraulic conductivity with soil depth is also assumed for the soil column. This type of profile attempts to represent the fact that roots and organic matter favor the development of macropores and enhance water movement near the soil surface, and that soil compaction is an obstacle for vertical water transport in the deep soil (Decharme et al. 2006). The soil water content varies with surface infiltration, soil evaporation, plant transpiration, and deep drainage. The infiltration rate is computed as the difference between the throughfall rate and the surface runoff. The throughfall rate is the sum of rainfall not intercepted by the canopy, dripping from the interception reservoir and snowmelt from the snowpack.

Recently, a comprehensive parameterization of sub-grid hydrology has been included in ISBA to account for the heterogeneity of precipitation, topograph, and vegetation within each grid cell. A TOPMODEL hydrological model approach (Beven and Kirkby 1979) has been used to simulate a saturated fraction where precipitation is entirely converted into surface runoff (Decharme et al. 2006). Infiltration is computed via two subgrid exponential distributions of rainfall intensity and soil maximum infiltration capacity. Lastly, a tile approach, in which each grid cell is divided into a series of subgrid

patches, is used to represent land cover and soil depth heterogeneities. More details can be found in Decharme and Douville (2006a).

### b. TRIP

TRIP was developed at Tokyo University by Oki and Sud (1998). It is a simple RRM used to convert the daily runoff simulated by ISBA into river discharge on a global river channel network here defined at  $1^\circ \times 1^\circ$  resolution. The runoff part of the simulated TWS can be validated using direct comparison between simulated and observed discharge. TRIP is a simple linear model based on a single prognostic equation for the water mass within each grid cell of the hydrologic network. In other words, TRIP only simulates a surface stream reservoir and the streamflow velocity is assumed constant and uniform at  $0.5 \text{ m.s}^{-1}$ .

## 3. Experiment design and validation datasets

### a. Experiment design

ISBA was integrated at  $1^\circ$  resolution with a 20-min time step for 1983–2006, where the first three years were considered as spinup. Therefore, only 1986–2006 was used in the evaluation period. Every day, the total runoff (surface runoff + deep drainage) simulated by ISBA was fed into TRIP, which was integrated with a 1-h time step. For the comparison with GRACE data, the monthly TWS simulated by ISBA-TRIP was calculated as the sum of total soil moisture  $W$ , snow water equivalent  $W_s$ , vegetation interception  $W_r$ , and stream water content  $S$ :

$$\text{TWS} = W + W_s + W_r + S. \quad (2)$$

The global meteorological forcing was provided by Princeton University (available online at <http://hydrology.princeton.edu>) on a 3-hourly time step and at  $1^\circ$  resolution (Sheffield et al. 2006). This dataset is based on the National Centers for Environmental Prediction–National Center for Atmospheric Research (NCEP–NCAR) reanalysis. Sheffield et al. (2006) have performed corrections of the systematic biases in the 6-hourly NCEP–NCAR reanalyses via hybridization with global monthly gridded observations. In addition, the precipitation is disaggregated in both space and time at  $1^\circ$  resolution via statistical downscaling and at a 3-hourly time step using information from the 3-hourly Tropical Rainfall Measuring Mission (TRMM) dataset. More detail on this forcing product can be found in Sheffield et al. (2006). Note that, in this study, the atmospheric forcing was the same as in Sheffield et al. (2006) except for monthly precipitation. In Sheffield et al. (2006), the 3-hourly precipitation is

hybridized to match the monthly observation from the Climatic Research Unit (CRU), whereas here the 3-hourly precipitation from Sheffield et al. (2006) was hybridized to match the monthly value from the Global Precipitation Climatology Centre's (GPCC) Full Data Product, version 4 (available online at <http://www.dwd.de>). As shown by Decharme and Douville (2006b), the GPCC precipitation certainly appears to be the best product for global hydrological applications.

The land surface parameters were specified according to the 1-km resolution Ecoclimap database developed at Météo-France (Masson et al. 2003). The soil textural properties were given by the Food and Agricultural Organization map at 10-km resolution. Vegetation parameters were defined using two vegetation datasets: the Coordination of Information on the Environment (CORINE) land cover archive at 250-m resolution over Europe and the University of Maryland (Hansen et al. 2000) dataset at 1 km elsewhere. The mean gridcell elevation was specified according to the global 30 arc-second resolution (GTOPO30) dataset (available online at [http://eros.usgs.gov/#/Find\\_Data/Products\\_and\\_Data\\_Available/gtopo30\\_info](http://eros.usgs.gov/#/Find_Data/Products_and_Data_Available/gtopo30_info)). Lastly, the topographic indices used by TOPMODEL were given at a 1-km resolution using the HYDRO1K dataset (available online at [http://eros.usgs.gov/#/Find\\_Data/Products\\_and\\_Data\\_Available/gtopo30\\_hydro](http://eros.usgs.gov/#/Find_Data/Products_and_Data_Available/gtopo30_hydro)).

### b. Validation datasets

GRACE provides monthly TWS variation estimates based on highly accurate maps of the earth's gravity field at monthly intervals over spatial scales of about 300–400-km resolution (Wahr et al. 2004; Swenson et al. 2003). The instrumentation and onboard instrument processing units are described in detail in Haines et al. (2003). Here, we used 51 months (from August 2002 to December 2006, excluding June 2003 and January 2004 products because they are not available) of the Release 04 data produced by the Center for Space Research (CSR at The University of Texas at Austin) and Release 4.1 data produced by the Jet Propulsion Laboratory (JPL), and 49 months (September and December 2002, June 2003, and January 2004 products are not available) of the GeoForschungsZentrum (GFZ) Release 04. In this entire study, ISBA-TRIP TWS variations are compared to the mean and the standard deviation (STD) of the three GRACE product datasets. Because of the instrument, a few days of GRACE data were not used to generate the monthly time series since, to obtain comparable conditions, the ISBA-TRIP TWS monthly results were generated using the same dates as GRACE (for more details concerning GRACE data are available online at <http://grace.jpl.nasa.gov/data/>).

TABLE 1. River basins used for the comparison between simulated and observed discharges. The name, drainage area, location, and the observation period of each station are also shown.

Basins	Downstream station	Area (km <sup>2</sup> )	Lat (°N)	Lon (°E)	Period
Rio Amazonas	Obidos	4 758 000	−2.5	−55.5	1986–2006
Congo	Brazzaville	3 649 000	−4.5	15.5	1986–2002
Mississippi	Vicksburg	3 011 000	32.5	−91.5	1986–95
Ob	Salekhard	2 902 000	66.5	66.5	1986–2000
Parana	Timbues	2 596 000	−32.5	−60.5	1986–94
Yenisei	Ygarka	2 502 000	67.5	86.5	1986–2000
Lena	Kusur	2 310 000	70.5	127.5	1986–2000
Mackenzie	Mackenzie	1 736 000	67.5	−133.5	1986–2000
Amur	Komsomolsk	1 772 000	50.5	137.5	1986–90
Volga	Volvograd	1 326 000	48.5	44.5	1986–90
Ganges	Harding Bridge	970 000	24.5	88.5	1986–92
Yukon	Pilot station	826 000	61.5	−162.5	1986–2000
Orinoco	Puentes Angostura	820 000	8.5	−63.5	1986–90
Niger	Niamey	799 000	13.5	2.5	1986–90
Danube	Ceatal Izmail	797 000	45.5	28.5	1986–90
Columbia	The Dalles	634 000	45.5	−121.5	1986–95
Chari	Ndjamena	558 000	11.5	15.5	1986–91
Kolyma	Kolymskoye	536 000	68.5	158.5	1986–2000
Brahmaputra	Bahadurabad	519 000	25.5	89.5	1986–92
Soa Francisco	Juazeiro	488 000	−9.5	−40.5	1986–94
Mékong	Mukdahan	405 000	16.5	104.5	1986–93
Severnaya Dvina	Ust Pinega	364 000	64.5	41.5	1986–2000
Pechora	Oksino	298 000	67.5	52.5	1986–2000
Indigirka	Vorontsovo	277 000	69.5	147.5	1986–94
Sénégal	Kayes	239 000	14.5	−12.5	1986–90
Yana	Ubileynaya	228 000	70.5	136.5	1986–2000
Fraser	Hope	211 000	49.5	−121.5	1986–95
Wisla	Tczew	194 000	53.5	18.5	1986–94
Rhin	Rees	146 000	51.5	6.5	1986–95
Albany	Near Hat Island	140 000	51.5	−83.5	1986–95
Burdekin	Clare	126 000	−20.5	147.5	1986–94
Colorado	Wharton	105 000	29.5	−96.5	1986–95
Odra	Gozdowice	100 000	52.5	14.5	1986–94

A number of gauging station measurements covering the evaluation period (1986–2006) are available from the Global Runoff Data Center (GRDC, available online at <http://www.grdc.sr.unh.edu/index.html>), the R-ArcticNET database (University of New Hampshire, available online at <http://www.r-arcticnet.sr.unh.edu/v3.0/index.html>) for high-latitude basins, and the Hydrology and Geodynamics of the Amazonian basin (HYBAM) dataset (available online at <http://www.mpl.ird.fr/hybam/>) for the Rio-Amazonas basin. However, records were not kept over identical periods for all the basins, as noted in Table 1.

Lastly, to evaluate the snowmelt timing simulated by ISBA over high-latitude basins, which is highly correlated with the springtime peak discharge (Decharme and Douville 2007), the simulated snow cover extent was compared with satellite observations from the National Snow and Ice Data Center (NSIDC, available online at <http://nsidc.org/>). This snow cover product overlaps the

whole 1986–2006 period, on a monthly time scale, and at a  $1^\circ \times 1^\circ$  horizontal resolution.

#### 4. Results

Figure 1 shows the spatial distribution of the climatological TWS simulated by ISBA-TRIP and estimated by GRACE over the same period (August 2002–December 2006). For a fair comparison of the integration of water storage variations, TWS must be treated in the same way as the GRACE data (Güntner 2009). While filtering is necessary to reduce noise in the GRACE data and to extract area-average storage change for a region of interest, several studies have shown that filtering may modify the signal. In particular for most hydrological applications, filtering has a smoothing effect that reduces the seasonal amplitude of the final TWS signal (Chen et al. 2007; Klees et al. 2007). Without corrections for this bias, it is not possible to evaluate hydrologic models



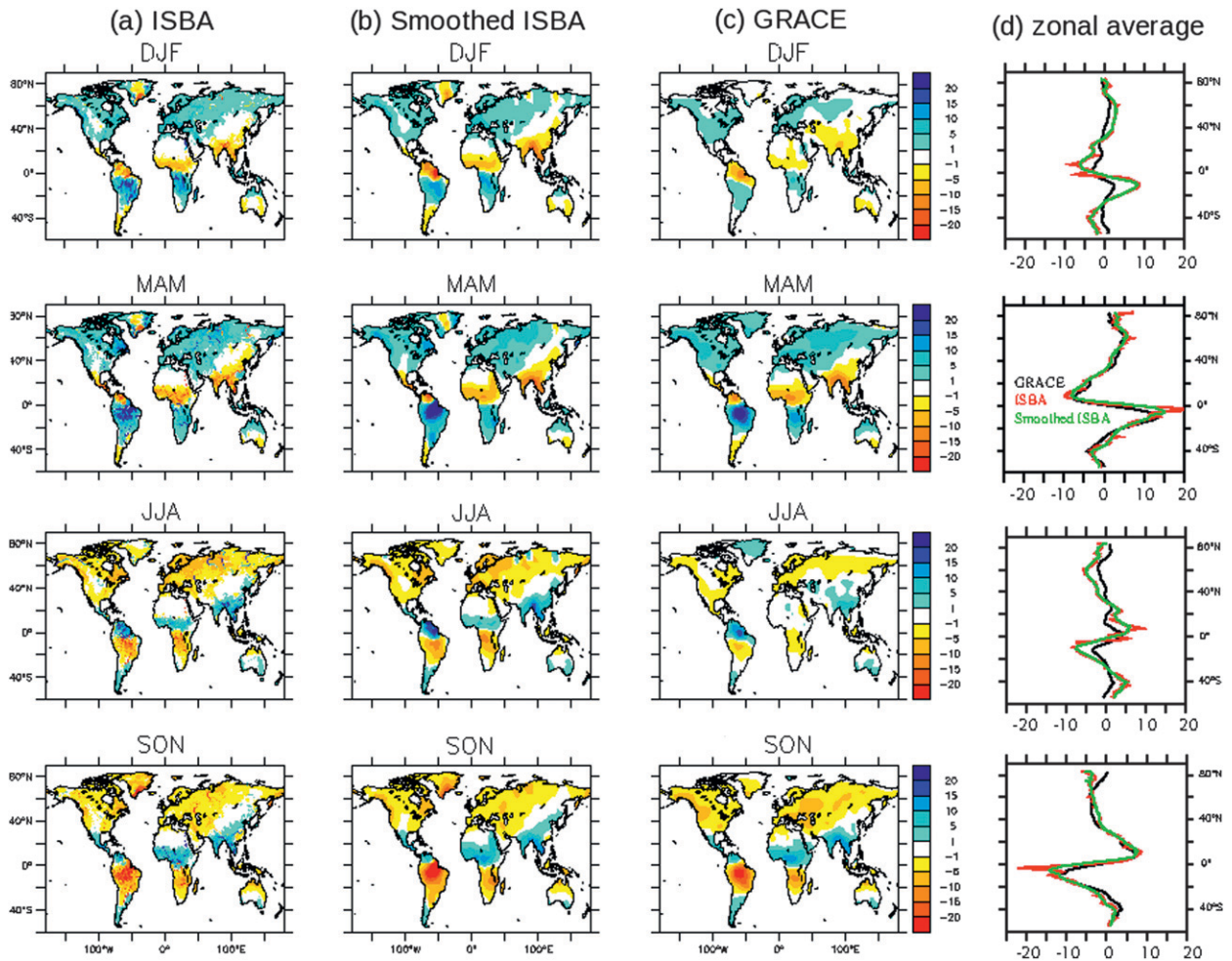


FIG. 1. Climatological comparison of (a) unsmoothed and (b) smoothed total TWS (cm) and (c) the mean GRACE product for (top to bottom) DJF, MAM, JJA, and SON. (d) The zonal average of each TWS product: GRACE (black), and ISBA-TRIP unsmoothed (red) and smoothed (green).

using GRACE. Therefore, ISBA-TRIP results were smoothed using the same GRACE averaging Gaussian filter of 500-km function as in Chambers (2006). The unsmoothed TWS is shown in the first column (a) of Fig. 1, and the smoothed and GRACE results in the second (b) and third (c) columns, respectively. Comparison between the smoothed and unsmoothed results shows that although the maximum amplitudes of TWS are explained by the stream river water content (column a), this information is partially lost with the smoothing method (column b). Except for the Sahel region in June–August (JJA), where TWS is overestimated, the pattern of the spatial seasonal mean variation of TWS is in good agreement with those estimated by GRACE. Nevertheless, relatively larger amplitudes over December–February (DJF) and JJA appear in ISBA-TRIP. This is confirmed by the fourth column (d) in Fig. 1, which represents the zonal average of TWS and GRACE.

Figure 2 gives the difference between the smoothed results and the average GRACE estimates (2002–06 average). It shows that the simulated signal amplitude during DJF and JJA is generally overestimated (Figs. 2a and 2c). In contrast, in Central America, Patagonia, South Asia, the Sahel, and regions around the mouths of some Arctic rivers—such as the Ob, Yenisei, Lena (Siberia) and Mackenzie (west Canada)—TWS is underestimated. During March–May [MAM; or September–November (SON)], the maximum bias is observed over the Amazon region (Figs. 2b or 2d), where the maximum (or minimum) of the simulated TWS is shifted 5° to the north compared to GRACE (Fig. 1). This induces a large dipole anomaly over this region. As shown in Figs. 2e and 2f, the temporal correlation and root-mean-square error (RMSE) between simulated TWS and GRACE over the same 51-month period perform relatively well. In terms of correlation, some discrepancies occur over desert

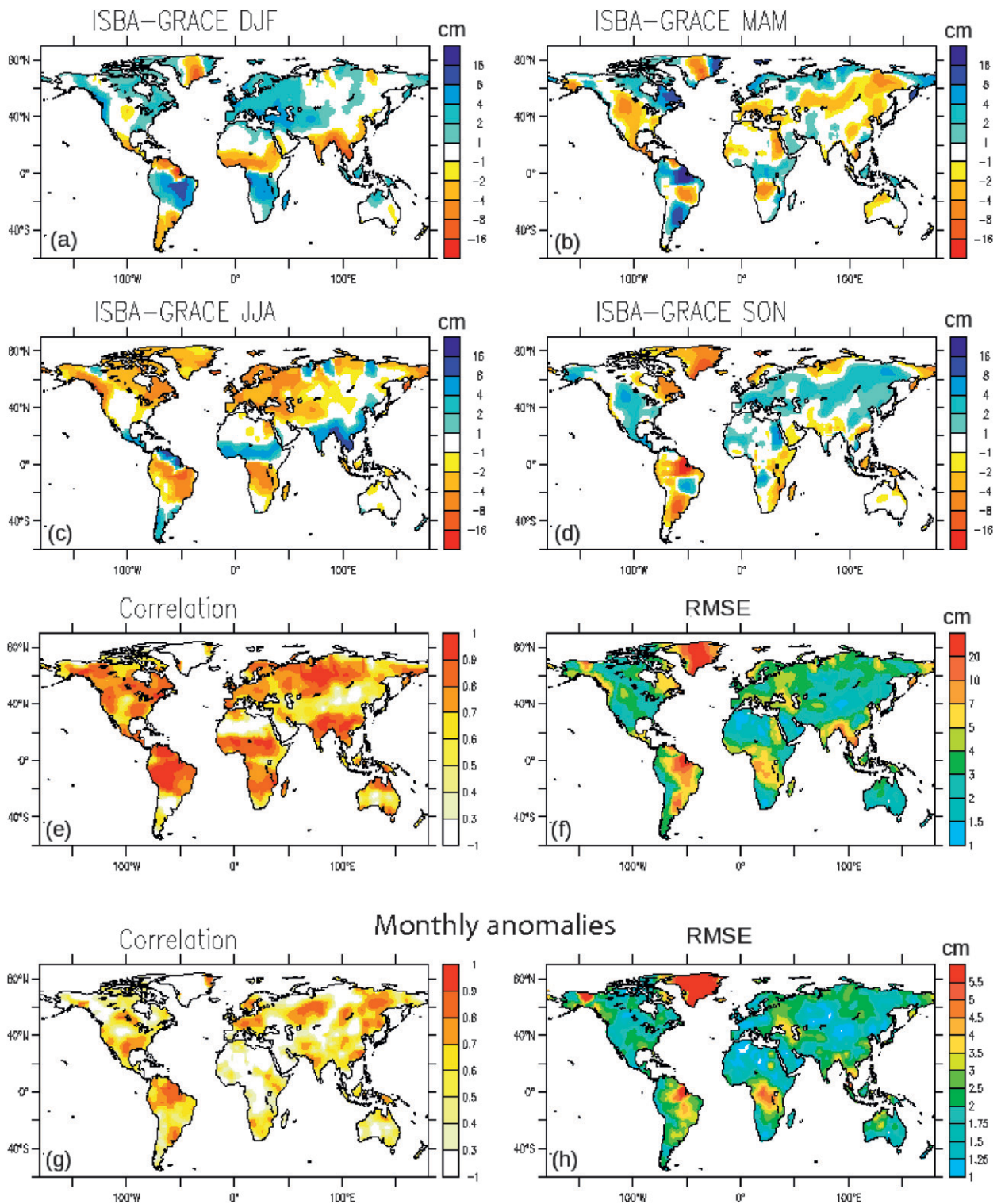


FIG. 2. Climatological biases between TWS (cm) inferred from the smoothed ISBA-TRIP and the mean GRACE product for (a) DJF, (b) MAM, (c) JJA, and (d) SON. (e) The monthly correlation and (f) RMSE calculated over (g) the whole GRACE period and (h) monthly anomalies.

areas and Greenland, while the maximum RMSE is located over Southeast Asia (Mekong, Ganges), Central Africa (Congo basin), South America (Amazon, Parana), and Greenland. In terms of interannual monthly anomalies (Figs. 2g and 2h), the RMSE and the correlation show the same patterns but with lower correlation compared to the entire smoothed signal.

Figure 3 compares simulated smoothed and GRACE basin-averaged TWS annual cycles and monthly anomalies over the largest river basins listed in Table 1. Annual cycles of all TWS terms [Eq. (2), except  $W_r$  because it is negligible] are also represented. Note that the temporal correlation  $r$  and RMSE given on the annual cycle panels are calculated over the whole GRACE period. In addition, these statistics are compiled over the 183 largest river basins present in the TRIP hydrologic network and shown in Fig. 4. Over tropical basins (Amazon, Parana, Mekong, Ganges, Congo, Niger), TWS appears well correlated with the GRACE data ( $r$  higher than 0.8 for all basins except for Congo, where  $r = 0.63$ ). Nevertheless, the TWS annual cycle underestimates the minimum and overestimates the maximum GRACE values. Approximately one-half of TWS is explained by changes in soil moisture and the other half by stream water content variations. The monthly anomalies are appreciably reproduced except for the Congo. Over temperate basins (Mississippi, United States; Danube, Europe), the monthly anomalies are also well reproduced, although some defects appear in the simulated annual cycles. Over the Mississippi, the simulated seasonal amplitude is underestimated, whereas over the Danube, the annual cycle is two months earlier than GRACE. The dominant signal is attributed to soil moisture even though changes in snow mass during winter and river water content during the melting period (spring) are nonnegligible. Lastly, over boreal river basins (Mackenzie, Ob, Yenisei, Lena), the snow mass and the river water content play a major role. Snow is accumulated during winter and almost fully melted during summer. This induces maximum TWS in April for the warmer river basins (Ob and Mackenzie), and in May for the colder river basins (Yenisei and Lena). The annual cycles appear well simulated except over the Lena, where the simulated seasonal amplitude is underestimated. Over the Ob, the monthly anomalies are well reproduced but, over other basins, the amplitudes of these anomalies are generally underestimated.

Figure 4 summarizes basin-scale comparisons between simulated TWS and GRACE data using the time correlation (Fig. 4a), the RMSE (Fig. 4b), and the time-averaged STD (Fig. 4c) between the three GRACE products, which gives an estimation of GRACE uncertainties. The RMSE between ISBA-TRIP and GRACE

is larger over South America, Africa, Europe, and South Asia than over other regions. The GRACE STD depends on latitude and increases from the poles to low latitudes, which is exactly what was seen by Wahr et al. (2006). Also, it increases with decreasing size of the river basin because of leakage effects as suggested by Seo et al. (2006). The simulated RMSE is generally larger than the weak GRACE STD, which underlines the relatively small uncertainties in GRACE data over major basins. However, the GRACE STD is generally larger over each of the small-to-medium-scale river basins than over major basins.

Figure 5 compares the monthly anomalies and the annual cycles of the simulated and observed discharges over the same 12 river basins as in Fig. 3. The simulated annual runoff is evaluated using the annual discharge ratio criterion  $\text{Ratio} = \overline{Q_{\text{sim}}/Q_{\text{obs}}}$ , while the RMSE, the correlation  $r$ , and the efficiency  $\text{Eff}$  (Nash and Sutcliffe 1970) criteria measure the model's ability to capture the monthly discharge dynamics. This skill score is defined as follows:

$$\text{Eff} = 1.0 - \frac{\sum [Q_{\text{sim}}(i) - Q_{\text{obs}}(i)]^2}{\sum [Q_{\text{obs}}(i) - \overline{Q_{\text{obs}}}]^2}, \quad (3)$$

where  $\overline{Q_{\text{obs}}}$  represents the observed temporal mean. The efficiency  $\text{Eff}$  can be negative if the simulated discharge is very poor and is above 0.5 for a reasonable simulation.

Over tropical basins, the statistics show that the Amazon, Mekong, and Ganges basins are well simulated, whereas the Parana, Congo and Niger basins appear drastically overestimated. Over temperate basins, the monthly anomalies are acceptably reproduced. The mean annual cycles of the Mississippi and Danube are reasonably well simulated, but the Mississippi shows a slight overestimation during the spring, as does the Danube basin during winter and spring. Over Arctic rivers, for the Ob and Mackenzie basins, both monthly anomalies and annual cycles are acceptably reproduced even though a slight overestimation appears in June or July. Colder basins (Yenisei and Lena) show a significant underestimation during the springtime peak period and monthly anomalies are poorly simulated. In addition, over these regions, a delay is generally observed between the simulated and observed springtime peak of discharge. The good comparison between simulated and observed mean annual cycles of snow cover extent given in Fig. 6 shows that the snowmelt timing is not the cause of this delay.

Lastly, Fig. 7 summarizes the comparisons between simulated and observed river discharges using statistics compiled over the downstream stations of each basin given in Table 1. The good correlations (Fig. 7a) confirm



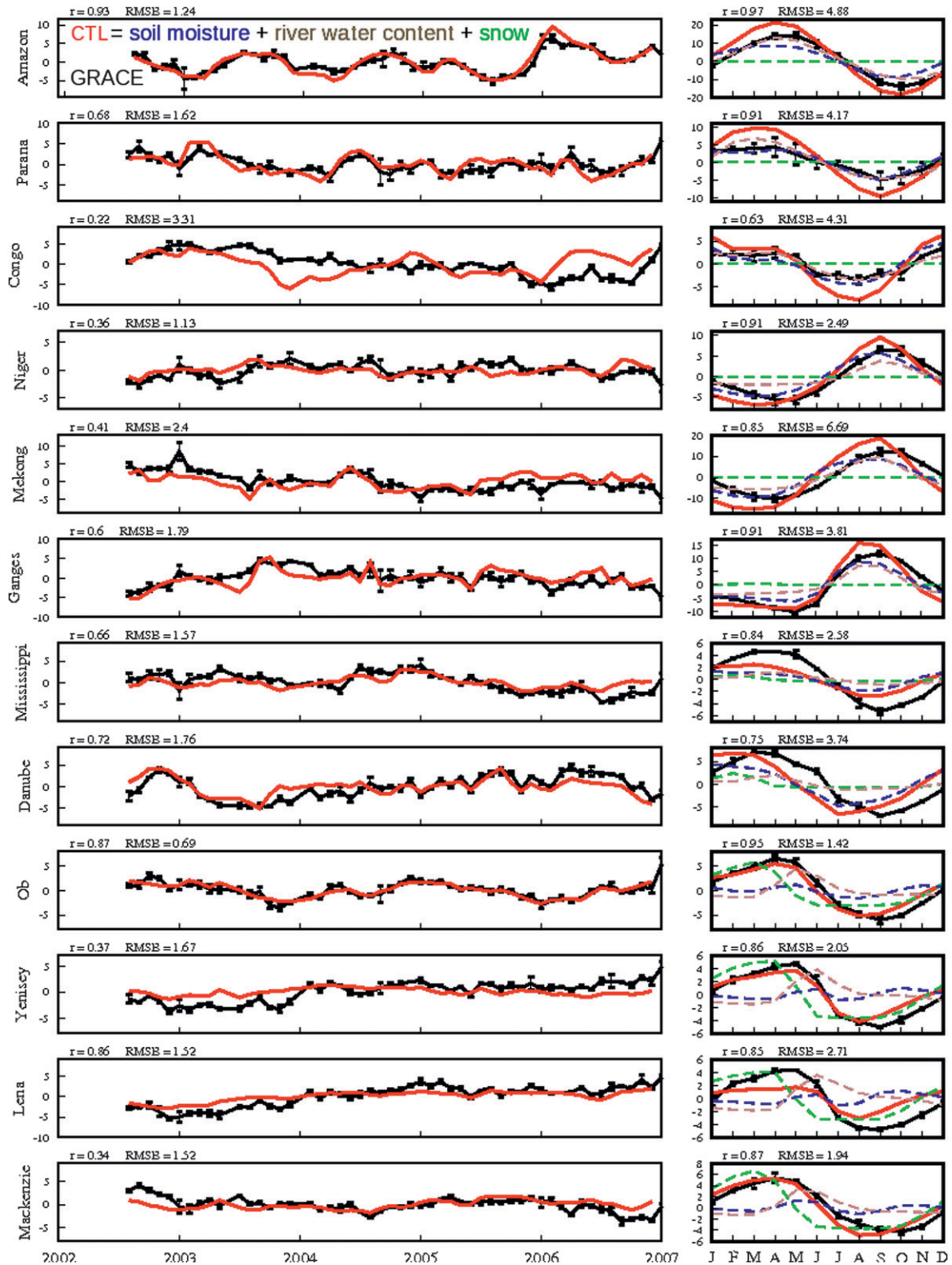


FIG. 3. Basin-scale comparison (cm) between the (right) mean annual cycle and (left) monthly anomalies of smoothed ISBA-TRIP TWS (red) and the mean GRACE product with its associated STD (black). Annual cycles of each TWS component, except vegetation interception, are also shown: total soil moisture (blue), snow water equivalent (green), and stream river water content (brown). Note that RMSE and  $r$  shown above the annual cycle panels are calculated over the whole GRACE period.



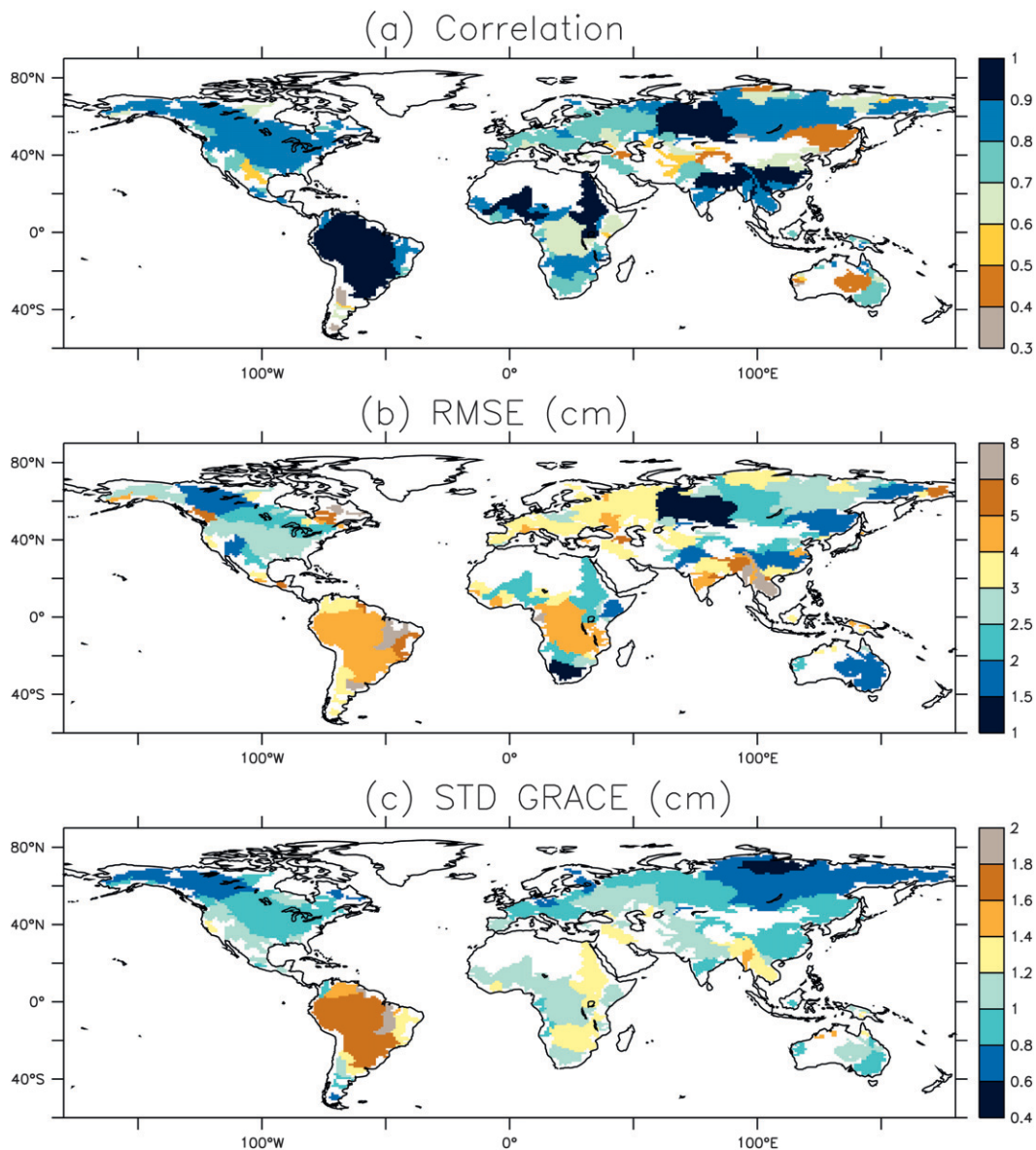


FIG. 4. Statistical comparison between TWS inferred from ISBA-TRIP and GRACE over the largest river basins of the world: (a) correlation, (b) RMSE, (c) STD between the three GRACE products used in this study.

that the model captures the observed time variability while the RMS and Ratio (Figs. 7b and 7c) show some large deficiencies. A drastic overestimation appears over the majority of tropical basins, which is confirmed by the highest RMS values, while this overestimation is smaller for temperate basins. Conversely, the statistics confirm that the river discharges of Arctic basins and especially East Siberian basins are generally underestimated. The efficiency coefficient (Fig. 7c) reinforces these remarks: it is small for the overestimated tropical basins and for the Arctic basins with underestimated and delayed springtime peak.

### 5. Discussion

In general terms, the simulated TWS using ISBA-TRIP compares well with GRACE data even though some differences appear throughout the comparison shown in section 4. Except for boreal regions, the seasonal cycle of the simulated TWS seems to be relatively larger than in GRACE data (overestimate of the maximum, underestimate of the minimum values), especially over tropical regions like South America and central Africa. This can be attributed to the water river content term, which makes an important contribution to the

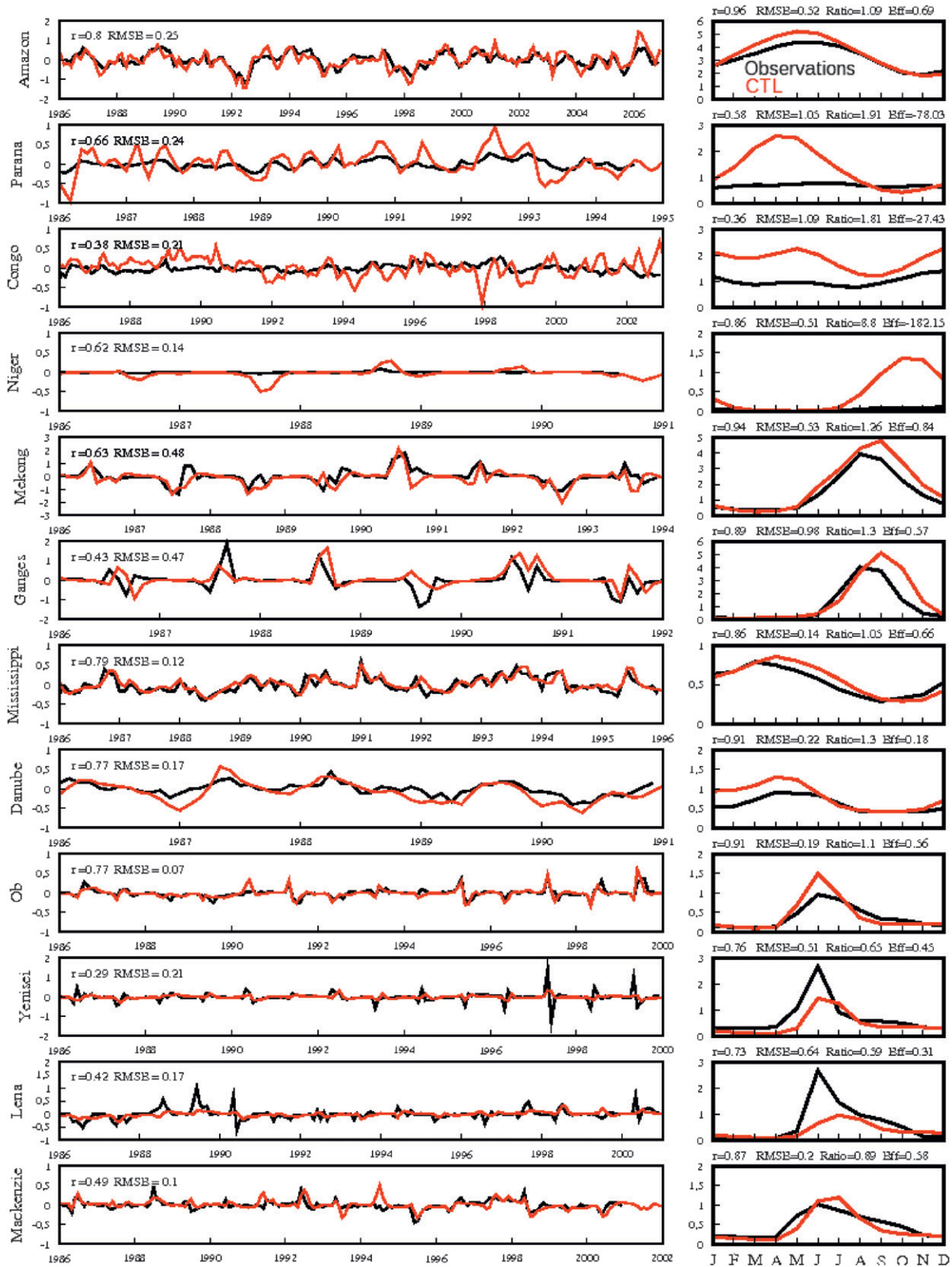


FIG. 5. Basin-scale comparisons ( $\text{mm day}^{-1}$ ) between the (right) annual cycle and (left) monthly-mean anomalies of simulated (red) and observed (black) discharges. Statistics shown above the annual cycle panels are calculated over the observation periods given in Table 1.

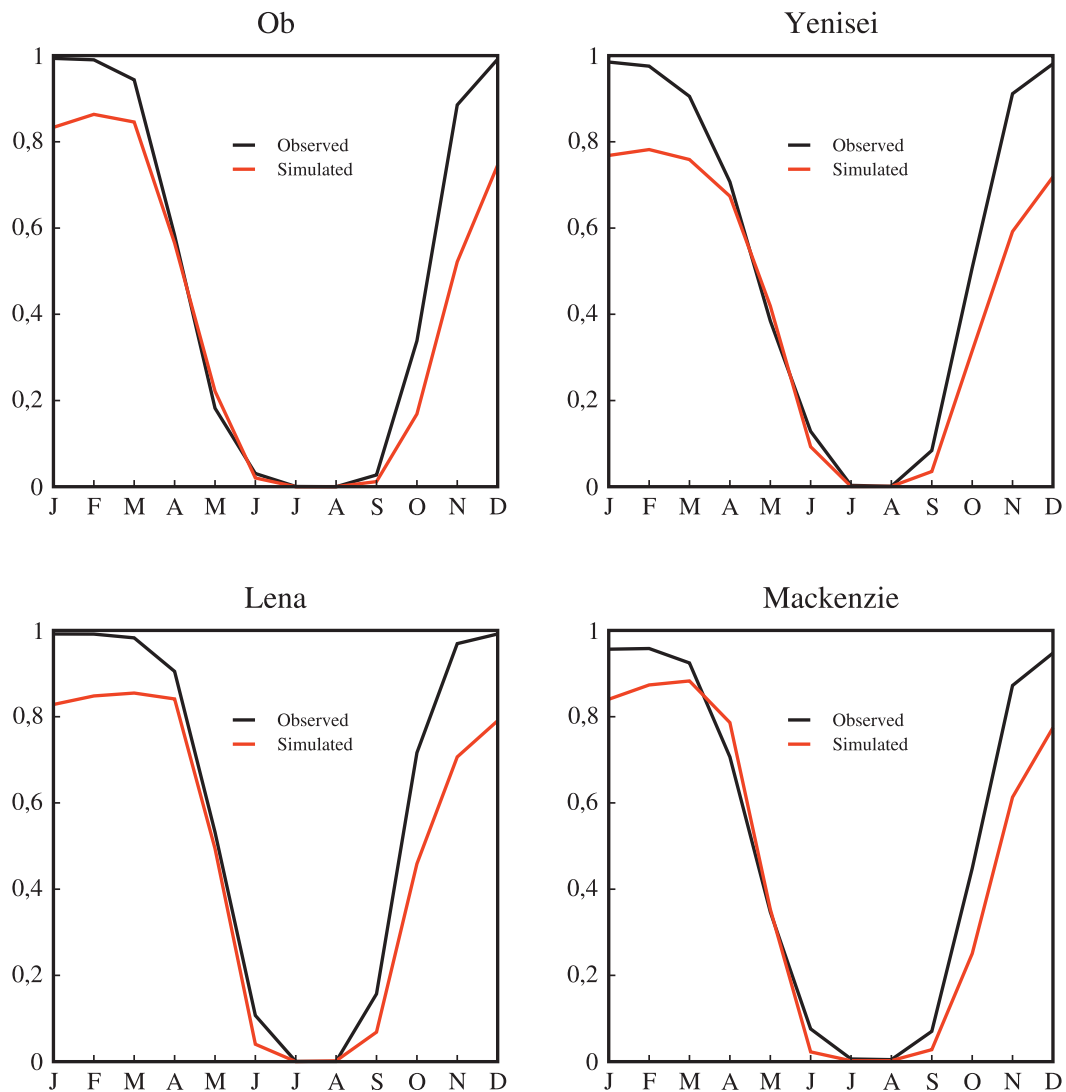


FIG. 6. Comparison between simulated and observed mean annual cycles of snow cover extent over high-latitude basins (expressed in fraction of each basin area).

simulated TWS over all regions as shown in Fig. 3. The evaporation calculated by ISBA-TRIP is another source of errors. In addition, GRACE products are highly uncertain over desert areas. Here, TWS change is always under 1 cm in magnitude (Fig. 1), which is approximately the accuracy of GRACE measurement (Chambers 2006). Over ice sheet regions like Greenland, the large differences are mainly due to the nonrepresentation of glacier processes in ISBA (snow/firn/ice metamorphism and ice-runoff dynamics). Snow is only accumulated and rarely melted in the model, which explains the poor representation of the ice cap seasonal cycle. As a result, the correlation is poor and the error is high over these regions.

For the river discharge comparisons, the results are globally satisfactory but some important differences

appear, such as the general overestimation of simulated streamflow (except over boreal regions). Besides uncertainties in the atmospheric forcing, especially precipitation that plays a major role in simulating realistic river discharge (Decharme and Douville 2006b), and in regard to Eq. (1), this could be due to the underestimation of continental evaporation, which could be directly related to missing processes, such as evaporation at the potential rate over saturated areas (marshes, ponds), irrigation, flooding, and river management (Hanasaki et al. 2006; Sacks et al. 2008; Decharme and Douville 2007). These neglected processes can induce large errors, especially over semiarid regions. As shown in Fig. 8, the main error of the simulated TWS over the Mississippi River basin matches the driest Mississippi region (western



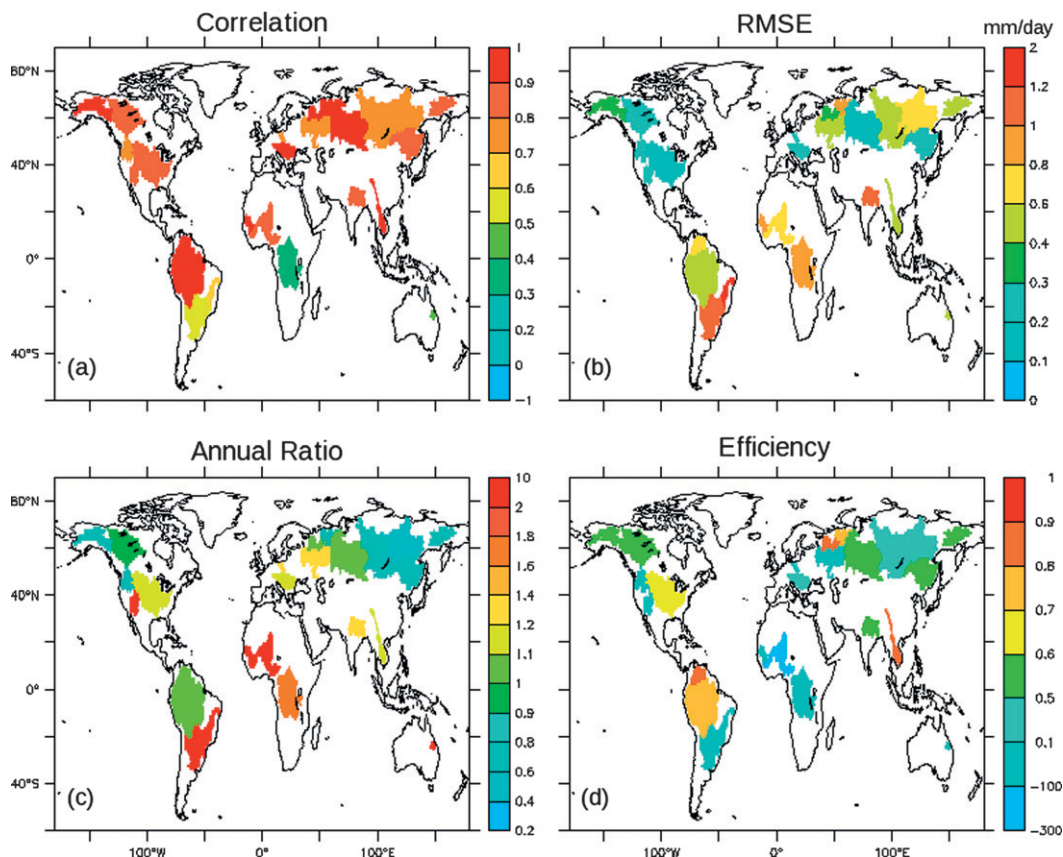


FIG. 7. Statistical comparison between simulated and observed discharge at each of the 34 gauge stations of Table 1: (a) correlation, (b) RMSE, (c) annual ratio, (d) and efficiency.

95°W), where irrigation is intensive. The worst RMSE and correlation (Figs. 8a and 8b) between the simulated and observed TWS are found near the Oahe Dam (44°N, 100°W). The water body stored upstream of the dam represent an area of 620 km<sup>2</sup> and a drainage area of ~625 000 km<sup>2</sup>. Oahe Dam stores water during winter, and the intensive irrigation reduces this storage via an increase in spring/summer evaporation. ISBA-TRIP neglects these two processes and then underestimates the amplitude of the TWS seasonal cycle over the western Mississippi basin compared to GRACE (Fig. 8c). Another consequence of neglecting irrigation and dams can be observed at the Hermann station located on the western part of the Mississippi River (Fig. 8e). The simulated annual discharge is significantly overestimated by ~30% (0.23 mm day<sup>-1</sup> simulated against 0.18 mm day<sup>-1</sup> observed). The Mississippi basin is well documented in terms of rain gauge density and uncertainties in precipitation forcing only cannot explain this significant overestimation (Decharme and Douville 2006b). Deficiencies in the model's runoff parameterization could be the main causes but the eastern part of the Mississippi

is well simulated, as shown by the good TWS RMSE and correlation compared to GRACE (Figs. 8a and b). The simulation of the TWS seasonal cycle is acceptable (Fig. 8d), and discharges at the Metropolis station are well simulated (Fig. 8f). The eastern part of the Mississippi is wetter than the western part and is probably less affected by human activities.

Over colder regions (east Siberia), the simulated discharge is underestimated. The main cause is likely to be the quality of the precipitation forcing, which is not optimum over these regions (Decharme and Douville 2006b). Because the snowmelt represents the major contribution to river discharge, if the snowfall is underestimated, the discharge will also be underestimated. However, the ISBA snow scheme may also contribute to this error because of the nonrepresentation of some processes, such as deep permafrost or river ice storage.

The simulation of TWS and discharge can be linked and discussed in more depth because river water storage represents an important component of the simulated TWS signal. However, the comparison of errors in TWS and discharge simulations is difficult for two reasons.

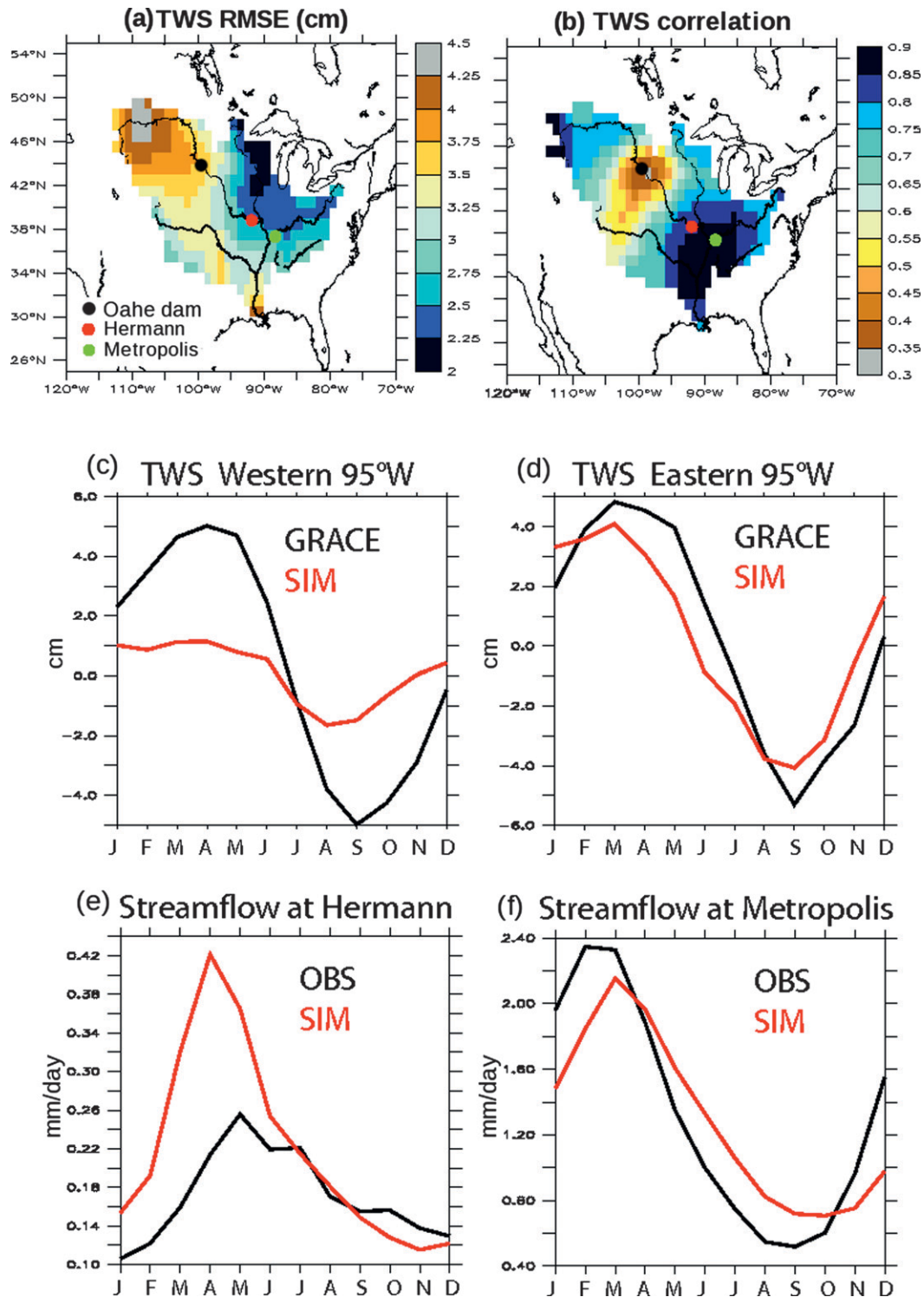


FIG. 8. Comparison between simulated and observed TWS over Mississippi River basin: (a) RMSE and (b)  $r$ . The simulated (red) and observed (black) TWS annual cycles averaged over the (c) western and (d) eastern part of the Mississippi using the 95°W parallel. Comparison of the simulated and observed discharges annual cycles at the (e) Hermann and (f) Metropolis stations located in the western and eastern parts of the basin, respectively.

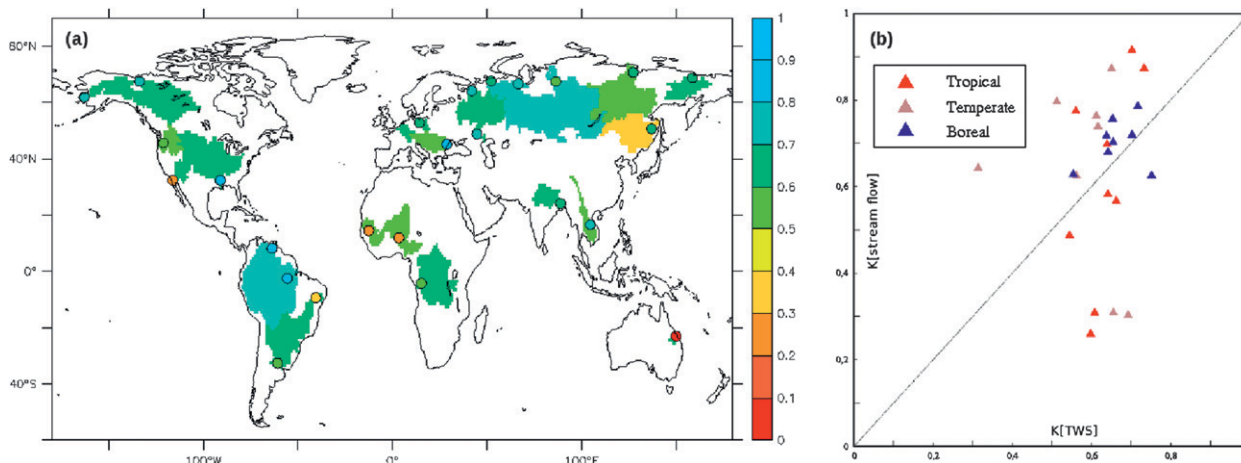


FIG. 9. (a) Basin-scale comparison between ISBA-TRIP/GRACE ( $K[\text{TWS}]$ ) and ISBA-TRIP/in situ streamflow ( $K[\text{streamflow}]$ ) climatological errors. Each downstream station is represented by a circle. The calculation of  $K$  is described in section 5. (b) Scatterplot of  $K[\text{TWS}]$  vs  $K[\text{streamflow}]$  for selected river basins in three climatic zones (tropical, temperate, and boreal).

First, the GRACE data cover the period 2002–06, while streamflow data generally cover the period 1986–2000 (Table 1). Second, TWS is expressed in centimeters, while discharges are expressed in millimeters per day. The applied solution is to compare the performance of the model to reproduce the observed TWS and discharge on the mean annual cycles. In addition, the error in simulating both TWS and discharge must be

normalized. To this end, Fig. 9 attempts to relate the combined model performance in simulating TWS and discharge via a normalized error criterion  $K$  between simulation and observations. This criterion is calculated over the mean annual cycles for both discharge,  $K[\text{streamflow}]$ , and TWS,  $K[\text{TWS}]$ , and is normalized as follows between 0, for a maximum error, and 1, for a perfect model:

$$K[\text{streamflow}] = \frac{1}{N_{\text{month}}} \sum_{i=1}^{N_{\text{month}}} k_i \quad \text{with} \quad k_i = \frac{\min(\text{SIM}_i, \text{OBS}_i)}{\max(\text{SIM}_i, \text{OBS}_i)}$$

$$K[\text{TWS}] = \frac{1}{N_{\text{month}}} \sum_{i=1}^{N_{\text{month}}} k_i \quad \text{with} \quad k_i = \frac{\min(\text{SIM}_i - m, \text{OBS}_i - m)}{\max(\text{SIM}_i - m, \text{OBS}_i - m)}, \quad (4)$$

where  $N_{\text{month}}$  is equal to 12, that is, the number of months in an annual cycle.  $\text{SIM}_i$  and  $\text{OBS}_i$  represent the simulated and the observed values (for TWS or discharge) at month  $i$ , respectively. In the TWS case, the signal varies around 0, which can induce some numerical artifacts if a monthly value is close to 0. So, a parameter,  $m$ , is introduced that represents the largest negative value between simulation and observation. The  $m$  parameter brings out possible numerical artifacts and allows TWS to be represented as a positive signal. To sum up,  $K$  measures the error between the simulated and the observed annual cycle for both TWS and discharge. Here  $K$  is computed over the 34 river basins given in Table 1. The spatial distribution and a scatterplot between  $K[\text{streamflow}]$  and  $K[\text{TWS}]$  is shown in Figs. 9a and 9b, respectively.

Over temperate basins, the error in simulating TWS is greater than in simulating discharges. However, this result is not observed over small arid basins (Colorado) or over the Rhine basin (Europe), which are significantly anthropized.

Over tropical basins, the spread of the  $K[\text{streamflow}]$  error is significantly larger than the  $K[\text{TWS}]$  error. The  $K[\text{streamflow}]$  is about 0.9 for the Amazon and does not exceed 0.55 for the Parana Sao Francisco, Niger, and Senegal. Besides uncertainties in the precipitation forcing, this result indicates that the main error is due to the model physics. As shown in Figs. 5 and 7c, the large discharge overestimation simulated over basins such as the Parana, Sao Francisco, Niger, and Senegal is the main source of  $K[\text{streamflow}]$  error. It is well known that CHSs tend to overestimate tropical discharges (Ngo-Duc et al.



2005; Decharme and Douville 2007). This is generally due to the lack of representation of floodplain processes (Prigent et al. 2007; Decharme et al. 2008) that can enhance the simulated evaporation and, consequently, reduce the amplitude of the simulated discharges as well as the amplitude of the TWS seasonal cycle. Other processes that contribute to both the amplitude and phase of discharge and TWS are certainly the nonrepresentation of dams and groundwater. Dams can store large amounts of water before being used for irrigation (Hanasaki et al. 2006) and other purposes, while groundwater allows deep water storage for long periods before it returns to the surface water channel (Fan et al. 2007; Miguez-Macho et al. 2007; Part II).

Over boreal regions, the  $K$ [TWS] error has the same order of magnitude as the  $K$ [streamflow] error. For example, the Yenisei River and especially the Lena River in Figs. 3 and 5 indicate that the simulated streamflows are largely underestimated. This fact is clearly due to an underestimation of precipitation forcing (mainly snowfall). Consequently, the amplitude of the simulated TWS is reduced compared to the observed GRACE datasets. The simulated TWS is largely dominated by the contrast in snow mass and river water content between summer and winter. Indeed, boreal river water content is partially frozen during the winter, especially over cold regions. Increasing temperatures at the beginning of the summer induce the breaking up of ice and coincide with large discharge from snowmelt. At that time, the flow velocity can be significantly higher than  $0.5 \text{ m.s}^{-1}$  (Kilmjaninov 2007). This could explain the high seasonal contrast between simulated and observed discharge and TWS at the mouths of boreal rivers. Figure 2 (ISBA-GRACE JJA) shows that the simulated TWS JJA is slightly underestimated over the largest boreal basins except at each river mouth, where it is overestimated. Because of the low flow velocity ( $0.5 \text{ ms}^{-1}$ ), a part of the water is stored in the river instead of flowing into the Arctic Ocean. Note that, as shown in Fig. 6, the springtime snowmelt timing simulated by ISBA is not the reason for this problem.

## 6. Conclusions

This study focuses on the global evaluation of the ISBA-TRIP CHS using both GRACE TWS estimates and in situ streamflow measurements over large river basins. For this purpose, the simulation is performed in an offline mode using an improved 3-hourly time step atmospheric forcing dataset developed by Sheffield et al. (2006) at  $1^\circ \times 1^\circ$  resolution. The TWS simulated by ISBA-TRIP is computed from snow mass, soil moisture, and water river content, while precipitation intercepted by the vegetation is neglected. The river discharge is

computed by TRIP, directly fed with ISBA's runoff and drainage. In other words, a twofold constraint is used to evaluate two components out of four in the simulated surface water balance [Eq. (1)].

Former studies (Lettenmaier and Famiglietti 2006; Niu and Yang 2006; Swenson and Milly 2006; Ngo-Duc et al. 2007; Syed et al. 2007) have shown that GRACE-derived TWS variations are useful for detecting missing compartments or improving existing ones in CHSs. The present study confirms this statement. GRACE uncertainties are relatively small over major basins and are globally lower than uncertainties on TWS simulation, which confirms that GRACE can be useful for evaluating CHSs. The GRACE uncertainties are also minimum in high latitudes where in situ hydrological measurements are generally very sparse. These are, therefore, the regions where GRACE can provide an efficient constraint for improving CHSs (e.g., Niu and Yang 2006). However, the use of three GRACE solutions suggest that the comparison with CHSs should be taken with caution over small-to-medium-scale river basins where uncertainties in GRACE data are higher than over major basins.

Globally speaking, the ISBA-TRIP modeling system satisfactorily reproduces the seasonal and interannual variability of TWS and discharge over large river basins. The main discrepancies are a general overestimation of annual river discharge and of the amplitude of annual TWS variations. The phase of the annual cycle also shows some systematic errors. In addition to uncertainties in both GRACE data and the atmospheric forcing, various model deficiencies can be invoked for such discrepancies.

- Several water storage compartments (i.e., dams, lakes, or groundwater storage) are not simulated in the ISBA-TRIP system. Kim et al. (2009) demonstrated that neglecting river storage may lead to a mismatch in the amplitude and phase of TWS seasonal variations compared to GRACE observations. Here, even taking river storage into account, simulated TWS shows a slight mismatch in the amplitude and phase. Neglected groundwater storage could be one of the sources of error. Such a hypothesis is partly investigated in Part II using sensitivity experiments with respect to the time of water storage in a simplified groundwater reservoir.
- At monthly-to-seasonal time scales, TRIP can contribute to systematic errors in the phase and amplitude, not only of river discharge but also of TWS variations. As in Kim et al. (2009), our results indeed confirm that the river reservoir is a significant component of TWS that cannot be neglected, at least when averaging the model outputs over large river basins. Over high-latitude basins, the lag between both simulated and observed

TWS and discharge signals seems to indicate that the use of a constant flow velocity in TRIP is a broad approximation. Such a hypothesis is further investigated in Part II using experiments to investigate sensitivity to variable flow velocity.

- At annual-to-interannual time scales, TRIP is probably not the main source of error. The main deficiency in the simulation of TWS and discharge appears to be linked to an underestimation of continental evaporation. Over all basins (except in permafrost regions), annual discharge is overestimated. On the one hand, this may be again due to missing surface water reservoirs (i.e., marshes, ponds, irrigation, and floods), where surface evaporation occurs at a potential rate. On the other hand, the use of subgrid variability in land surface models can also contribute to increased runoff at the expense of surface evapotranspiration (Decharme and Douville 2007; Decharme 2007).

In summary, the present study confirms both the utility and limitations of GRACE measurements for the validation of CHSs. On the one hand, GRACE provides an additional constraint on the simulated hydrology and consolidates the former evaluation only based on river discharge observations. In addition, in situ discharge records do not exceed the end of the twentieth century, and GRACE data cover a more recent period, which allows model evaluation to be extended after 2002. On the other hand, the low-resolution, limited accuracy, and river contamination of the GRACE-derived TWS variations can limit a clear detection and attribution of model deficiencies. Sensitivity experiments (Part II) are therefore necessary to complement such analyses and improve the current generation of CHSs.

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