Hydrodynamic forces on subsea cables immersed in wave boundary layers

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Abstract

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This paper investigates the hydrodynamic forces on small-diameter cables (50 mm $\leq D \leq$ 200 mm) 2 3 under wave conditions. A total of 87 experimental tests are conducted in the parameter ranges of $20 \le KC$ $\leq 2000, 10^4 \leq Re_p \leq 10^5, 10 \leq \beta \leq 1000$ and $0.001 < k_s/D \leq 2.6$, where KC is the Keulegan–Carpenter 4 number, Re_p is the Reynolds number defined with the cable diameter and peak freestream velocity, β is 5 the Stokes number and $\beta = Re_p/KC$, and k_s/D is the ratio between the seabed roughness and cable diameter. 6 The results show that wave boundary layers significantly affect the forces on cables in contact with the 7 8 seabed. The variations in the force coefficients with the governing parameters of KC and k_s/D are 9 interpreted based on the characteristic wave boundary layer features, namely, velocity deficit (reduction) 10 and wall turbulence. Two counteracting mechanisms influence the force coefficients: velocity reduction in the wave boundary layers decreases the force coefficients, whereas strong wall turbulence from the 11 12 seabed increases the force coefficients. Empirical formulas for evaluating the force coefficients of an on-13 bottom cable immersed in wave boundary layers are proposed based on the present results.

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Keywords: subsea cables, wave boundary layer, hydrodynamic forces, on-bottom stability.

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15 **1 Introduction**

With the recent rapid growth of offshore renewable energy, especially offshore wind power, thousands of kilometres of new power transmission cables are laid on ocean floors each year. These cables are sometimes directly laid on the sea floor for various reasons, including the presence of a rocky seabed that precludes cable trenching. The cables are therefore exposed to near-bed flows and need to be designed against a range of metocean conditions, such as waves, tidal currents and combined waves and currents.

DNVGL-RP-F109 (DNVGL, 2021), hereafter referred to as DNV (2021), is one of the prevailing practices for the on-bottom stability design of subsea pipelines. The 'absolute lateral static stability method' recommended by DNV (2021) evaluates the stability of pipelines and cables by comparing the peak hydrodynamic forces induced by extreme flow conditions and the peak resistance provided by the seabed. Using this method, the peak hydrodynamic forces are calculated as follows:

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$$\left\{ \overline{F}_{X}, \overline{F}_{Z} \right\} = \left\{ \overline{C}_{X}, \overline{C}_{Z} \right\} \times \frac{1}{2} \rho D \overline{U}^{2}$$
(1.1)

where F_x and F_z represent the peak forces per metre in the streamwise and transverse (vertical) 27 directions (N/m), respectively; \hat{C}_X and \hat{C}_Z are the recommended peak force coefficients in the 28 corresponding directions; ρ is the density of the fluid; D is the cable diameter; and \hat{U} is the characteristic 29 30 velocity. Under wave conditions, DNV (2021) suggests using the peak velocity in the free stream as the characteristic velocity. In DNV (2021), the only governing parameter for the force coefficients under pure 31 32 wave conditions is the Keulegan–Carpenter number, $KC = \hat{U}T/D$, where T is the wave period. The peak force coefficients $\{\hat{C}_X, \hat{C}_Z\}$ in DNV (2021) are monotonically decreasing functions of KC for $KC \leq 140$, 33 and constant values of $\hat{C}_X = 1.3$ and $\hat{C}_Z = 1.05$ are recommended for KC > 140 based on the underlying 34 35 pipe hydrodynamics research reported in DHI (1986).

36 Since most of the existing on-bottom stability design methods, including the absolute lateral static 37 stability method suggested by DNV (2021), were developed for relatively large-dimeter oil and gas 38 pipelines, they are not necessarily directly applicable to small-diameter power cables. Compared to 39 pipelines, subsea cables have the following typical characteristics that may affect the hydrodynamic forces:

- The diameter of a typical power transmission cable is within a range of 50 mm to 200 mm, which is relatively small compared with the diameter of a typical oil and gas pipeline, which ranges from 200 mm to 1200 mm.
- Offshore renewable energy projects are preferentially located in areas of strong wind, wave or tidal current energy. Accordingly, the power cables used to support these projects often experience more severe design conditions than those used for oil and gas pipelines. Under extreme wave conditions, *KC* can reach *O*(10³); in these conditions, the cables are expected to be immersed in the wave boundary layers (WBLs) and to experience significant velocity reductions.
 - The seabed roughness k_s to cable diameter D ranges up to $k_s/D = O(10)$ for cables crossing a

rocky seabed. A greater seabed roughness leads to a thicker WBL and stronger wall turbulence (e.g., Jonsson & Carlsen 1976, Sleath 1987, Jensen et al. 1989, Dixen et al. 2008, Yuan & Madsen 2014, Teng et al. 2021) to which power cables are exposed.

The velocity reduction due to WBL and the enhanced wall turbulence due to seabed roughness may affect the hydrodynamic forces on a small-diameter cable. Cheng et al. (2016) demonstrated through an analytical approach and Tang et al. (2018) demonstrated through a numerical investigation that ignoring the velocity reduction due to the WBLs is overly conservative for the on-bottom stability design of small cables. Tang et al. (2018) showed that the velocity reduction in the WBLs potentially causes up to a 40% reduction in the required stable weight at KC = 450. Tang et al. (2018) also found that seabed roughness leads to an almost linear reduction in force coefficients with increasing k_s/D at $k_s/D > 0.05$.

59 Given the above observations, a sound understanding of wave-induced hydrodynamic forces on 60 small-diameter power cables could lead to reduced lifecycle costs, increasing the competitiveness of 61 offshore renewable energy.

62 Although numerous investigations into the hydrodynamics acting on a cylinder have been conducted 63 in the past decades, only a few of them provide datasets with an on-bottom setup (i.e., no gap between the 64 model seabed and the cylinder) and under wave conditions, e.g., Sarpkaya & Rajabi (1976), DHI (1986) 65 and DNV (2021). In these publications, the upper limit of KC was approximately 100~200. The upper limit of KC that Tang et al. (2018) used was 450. The upper limit of k_s/D that DHI (1986) and Tang et al. 66 (2018) used was 0.05 and 0.2, respectively. As mentioned above, for a small-diameter power cable, the 67 KC number can be on the order of $O(10^3)$, and the value of k_s/D can be up to O(10). Therefore, despite the 68 invaluable insights from existing studies, more experimental data are required for higher KC and k_s/D 69 conditions. In addition, the effect of the Reynolds number ($Re_p = \hat{U}D/v$) should be considered, where v is 70 71 the kinematic viscosity of the fluid. The experimental study described in this paper addresses these 72 knowledge gaps.

The remainder of this paper is organized as follows: the experimental setup is described in §2; a validation of the present measurements is conducted in §3; the results and discussions are presented in §4; prediction methods are suggested in §5; and the conclusions are drawn in §6. More detail on the validation of the force measurement is provided in the supplementary document.

77 **2 Physical model tests**

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78 **2.1 The O-tube facility, cable model and seabed model**

Physical experiments were conducted in a recirculating water flume (O-tube) in the Coastal and Offshore Engineering Laboratory (COEL) of the University of Western Australia. The oscillatory wave velocities were generated by an axial flow impellor pump driven by a variable-frequency multi-pole electric motor, as described in a number of publications (e.g., An et al. 2013, White et al. 2014, Leckie et

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83 al. 2015, Zhang et al. 2017, Yang et al. 2018, Griffiths et al. 2018 & 2019) that demonstrate the capabilities 84 and effectiveness of the O-tube facility in modelling a range of practical problems related to the on-bottom stability of subsea cables / pipelines. The size of the O-tube test section is 17.4 m in length, 1 m in height 85 86 (above the false floor) and 1 m in width. Given that the O-tube facility is fully enclosed without a free 87 surface, oscillatory flows were used to simulate waves induced near seabed flows. The motor and control 88 system enable the generation of regular waves, irregular waves, steady currents, or combined wave and 89 current conditions. While tests with irregular waves and tests with combined wave and current conditions 90 have been undertaken as part of a larger project (see Griffiths et al. 2019), this work reports the findings 91 of the regular wave (sinusoidally oscillatory flow) tests.



Figure 1. Sketch of the model cable.



Figure 2. (a) Close-up photograph and (b) schematic arrangement of PTs.

92 The power cable was modelled by a cylinder with an external diameter of D = 50 mm and a length of L =715 mm, as sketched in Figure 1. Each end of the cylinder features a dummy end-section within which a 93 94 bi-axial load cell (LC) was installed to measure the hydrodynamic forces on the test section of the cylinder 95 in the streamwise (x) and transverse (z) directions. The surface of the cylinder was smoothly milled 96 stainless steel, which was regularly cleaned during the extended testing program. The total streamwise 97 force was calculated by summing the measurements recorded by the two LCs. A total of 16 equally spaced 98 pressure transducers (PTs) were installed circumferentially around the cylinder at its mid-span. A close-99 up photograph and a sketch of the arrangement of the PTs are shown in Figure 2. All the measured data 100 were logged simultaneously using the University of Western Australia (UWA)'s digital data logging 101 system 'DigiDaq' described by Gaudin et al. (2009). The instantaneous water pressure measured around 102 the cylinder circumference by using the *m*-th PT is denoted as p_m , and its direction is starting from the position of the *m*-th PT and pointing to the axis of the circular cross section. Its horizontal and vertical 103 components are added to the total streamwise and transverse forces, respectively. The streamwise and 104 105 transverse forces per unit length can be calculated through the integral operations specified as follows,

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$$F_X = -\sum_{m=1}^{16} p_m \sin\left(\frac{m-1}{8}\pi\right) \cdot \frac{\pi D}{16},$$
 (2.1)

m=1

$$F_{Z} = -\sum_{m=1}^{16} p_{m} \cos\left(\frac{m-1}{8}\pi\right) \cdot \frac{\pi D}{16},$$
(2.2)

)

108 where
$$F_X$$
 and F_Z represent the desired hydrodynamic forces per metre in the streamwise and transverse
109 directions, respectively.

Size of elements Roughness k_s (mm) k_s/D Seabed model Description Elements Arrangement plane false floor $O(10^{-3})$ PLN plane $O(1 \ \mu m)$ O(0.1)--SND coarse sand sandpaper 1.3 mm 4 0.08 scattered TTB rocky concrete spheres 38 mm 130 2.6 rectangular



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Figure 3. Close-up photographs for SND (for sand bed, in the left) and TTB (for tabletennis-ball bed, in the right) seabed models.

110	Three seabed models, which have been described previously by Griffiths et al. (2019), were used to
111	represent a range of seabed roughness conditions, representing flat, coarse sand and rocky seabed
112	conditions. Table 1 lists details of the three seabed models, which are denoted as follows: PLN was the
113	plane false floor, while SND and TTB had floor coverings of (a) sandpaper with a medium particle size
114	of $d_{50} = 1.3$ mm and (b) a regular array of interconnected concrete spheres with $d_{50} = 38$ mm (the spheres
115	covering the whole false floor have the same diameter), respectively. The Nikuradse equivalent sand
116	roughness k_s was determined from the logarithmic law fitted to the velocity profile (at the phase when the
117	freestream velocity is the maximum) in the logarithmic region. Figure 3 shows close-up photographs of
118	the SND and TTB seabed models. The seabed models occupied the full length and width of the flume,

Table 1. Information on the seabed models.

resulting in an upstream 'fetch' of approximately 8.7 m, over which the applied flow was able to generatea mature and evolved boundary layer profile.

In the present tests, the cylinder was laid on the seabed model, and the gap between them was sealed. 121 Similar to the seal arrangement adopted by Sarpkaya & Rajabi (1979), a flexible double-layer plastic 'foil' 122 123 was secured under the cylinder, with one layer sticked to the cylinder and the other sticked to the false 124 floor. The gap has a height of 0.5 mm and the double-layer foil has a thickness of 0.3 mm. The seal 125 prevents flow under the cylinder while transmitting only small extraneous streamwise or transverse forces 126 into the LC measurements. Calibrations on the effect of the seal are provided in the supplementary document, §S1. Specially, under TTB conditions, the gaps between the cylinder and the spheres were 127 128 sealed. With this setup the hydrodynamic forces are expected to feel the effect of WBL developed from the rough wall in the upstream, but not feel the effect of the interaction between the cylinder and the 129 spherical roughness elements. The origin of the vertical coordinate z = 0 was set at the top of the 130 131 rectangular box which is as high as the crest of the roughness elements.

132 **2.2 Velocity measurements**

The free stream velocity was measured by a Nortek Vectrino-II Acoustic Doppler Velocimeter (ADV) located in the centre of the flume and 360 mm above the false floor, as sketched in Figure 4. As the primary focus of this work is the determination of hydrodynamic force coefficients with the freestream velocity, the ADV velocity measurements and the LC force measurement are recorded simultaneously.

To acquire detail WBL information, the velocity field in the *o-x-z* plane was measured by a two-137 dimensional particle image velocimetry (PIV) in the absence of the cylinder, as sketched in Figure 4. The 138 measurement zone was set in the middle of the test section and across the midline in the longitudinal 139 140 direction of the flume. A Class-4 green continuous laser (MicroVec SM-SEMI-5W LWGL532 083298 141 5W 513 nm) was used to illuminate the flow. The laser sheet was projected vertically down through a 30° 142 fan angle cylindrical lens and a rectangular glass bucket. The glass bucket was used to ensure that the laser sheet was not disturbed by air bubbles trapped below the lid. The glass bucket was inserted into the flume 143 through a slot cut in the centre of the lid of the test section, and this bucket was sufficiently small and far 144 from the zone of interest. The flow was seeded with Rilsan D60 NAT fine polyamide particles with a 145 146 mean diameter of 40~45 µm, specific density of 1.04 and seeding density of 0.5 ppm by mass, so approximately 6 particles were visible per cm^2 in the illuminated laser sheet. A Photron SA3 high-speed 147 digital camera (1024×1024 pixels) was used to capture the flow image. The camera was positioned to 148 149 capture as much of the vertical boundary layer above the seabed models as possible while maintaining the required image resolution (0.36 mm/pixel). For all the tests, the near-bed flow in a zone of approximately 150 $0.12 \text{ m} \times 0.37 \text{ m}$ (width \times height) was well illuminated and captured by the camera. During the image 151 collection, 90~110 image pairs at a single phase were available for the ensemble average. The time interval 152 153 in each image pair ranged from 1 ms to 4 ms. Assuming the correlation peak displacement was identified

to an accuracy of 0.1 pixels, cf. Westergaard et al. (2003), the velocity was accurate to a maximum resolution of 0.9 cm/s and a minimum of 3.6 cm/s.



(a) Front-view of the test section (o-x-z plane)



(b) Top-view of the test section (o-x-y plane)

Figure 4. Sketch of the PIV and ADV setups.

The image analysis was conducted by using the open-source program 'PIVlab' on the MATLAB 156 platform, cf. Thielicke & Stamhuis (2014a, 2014b) and Thielicke (2014). The well-illuminated area of 157 each image was used as the zone of interest. The fast Fourier transform (FFT) window deformation 158 algorithm was adopted for the cross-correlation analysis. The interrogation area was set as 64×64 pixels 159 with 75% overlap in the first iteration and 32×32 pixels with 50% overlap in the second iteration. 160 161 Erroneous flow vectors were detected by (i) comparing the vectors with the prescribed velocity limit and (ii) using a standard deviation filter with the threshold set to 7 times the standard deviation. The erroneous 162 163 and missing vectors (less than 3% of the total number of vectors) were replaced with their local mean 164 vectors.

165 The double-averaged velocity was obtained through ensemble averaging over $90 \sim 110$ image pairs at 166 each phase angle and spatial averaging over $15 \sim 20$ adjacent *x*-positions (in the streamwise direction):

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$$\tilde{u}(z,t) = \frac{1}{KQ} \sum_{k=1}^{K} \sum_{q=1}^{Q} u(x_k, z, t + (q-1)T), \quad 0 \le t < T,$$
(2.3)

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(2.4)

168 where *u* is the streamwise velocity, the "" sign represents the double-averaging operation, *t* is the time, *K* 169 is the total number of *x*-positions used for spatial averaging and *Q* is the total number of image pairs at a 170 given phase used for ensemble averaging. The starting phase $\omega t = 0$ is defined as the phase when the free 171 stream velocity is the maximum. Here, ω is the angular frequency $\omega = 2\pi/T$. The double-averaged free-172 stream velocity is referred to as \tilde{U} , and its peak value is denoted as U_w . The deviation of U_w measured by 173 the ADV (at z = 360 mm) and PIV (at z = 300 mm) is smaller than 1.3%. The velocity fluctuation was 174 calculated by

- 175 $u'(x,z,t) = u(x,z,t) \tilde{u}(z,t)$
- 176 and the double-averaged turbulence intensity $\sqrt{u'^2}$ was calculated by

177
$$\sqrt{u'^{2}}(z,t) = \sqrt{\frac{1}{KQ} \sum_{k=1}^{K} \sum_{q=1}^{Q} u'^{2}(x_{k}, z, t + (q-1)T)}, \quad 0 \le t < T.$$
(2.5)

178 **2.2 Test matrix**

179 A total of 29 sinusoidal oscillatory flow tests were conducted under each seabed roughness condition, together with four unidirectional flow tests for validation purposes. Table 2 lists the details of these tests, 180 where U_w represents the peak velocity in the freestream under oscillatory flow (wave) conditions and U_c 181 represents the time-averaged velocity in the freestream under unidirectional flow (current) conditions. The 182 flow ID indicates the approximate values of KC and U_w or U_c . Figure 5 shows the parameter space covered 183 by the present and published tests. Besides, the present tests extended the available parametric range of 184 k_s/D to 0.001 to 2.6. The ranges of a/k_s are: $10^4 \sim 10^5$ for the PLN tests, 60~3000 for the SND tests and 185 186 2~120 for the TTB tests, where a is the semi-excursion in the free stream, defined as $a = U_w T/(2\pi)$.

Table 2. Flow conditions reported in this work (ADV velocity measurements at 360 mm above the PLN bottom are used to determine U_w , U_c and T).

Flow ID	U_w (m/s)	$T(\mathbf{s})$	Flow ID	U_w (m/s)	<i>T</i> (s)
KC20Uw020	0.20	5.38	KC200Uw100	0.96	10.88
KC40Uw020	0.20	10.49	KC500Uw050	0.46	53.53
KC40Uw030	0.30	7.27	KC500Uw100	0.94	27.00
KC40Uw040	0.41	5.40	KC500Uw150	1.43	18.18
KC40Uw050	0.50	4.34	KC1000Uw050	0.47	107.13
KC60Uw020	0.22	16.00	KC1000Uw100	0.92	53.53
KC60Uw030	0.30	10.76	KC1000Uw150	1.42	35.09
KC60Uw040	0.39	7.35	KC1000Uw200	1.88	27.03
KC60Uw050	0.50	6.31	KC2000Uw050	0.50	216.60
KC60Uw060	0.62	5.37	KC2000Uw100	0.97	108.16
KC80Uw020	0.21	21.50	KC2000Uw150	1.46	73.05
KC80Uw030	0.29	13.78	KC2000Uw200	1.91	53.67
KC80Uw040	0.42	10.69	Flow ID	$U_c (m/s)$	
KC80Uw050	0.51	8.38	Uc050	0.49)
KC80Uw060	0.60	7.45	Uc100	0.97	7
KC100Uw050	0.50	10.68	Uc150	1.43	3

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Figure 5. Parameter range for the present measurements and those available in the literature. β is the Stokes number representing the quotient of Re_p over KC, namely, $\beta = Re_p/KC$. In Yamamoto & Nath's (1976) tests, two cylinders with diameters of 0.30 m and 0.0762 m were used, and the smallest gap-to-diameter ratio were 0.083 and 0.063, respectively.

187 **2.3 Post-processing**

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188 Under wave conditions, the forces were ensemble-averaged to determine the force coefficients,

$$\overline{F}_{i}(t) = \frac{1}{N} \sum_{n=1}^{N} F_{i}(t + (n-1)T), \quad 0 \le t < T, \qquad (2.6)$$

190 where $N (\geq 20)$ is the number of wave cycles, F_i represents the force in either horizontal and vertical 191 directions, namely F_X or F_Z . The start of the test cycle t = 0 was synchronized with the time when the free 192 stream velocity $\tilde{U}(t)$ was the maximum. The peak value of \tilde{F}_i in a flow cycle is referred to as \hat{F}_i , where the 193 '^' sign represents the peak of the ensemble-averaged force. The peak coefficients \hat{C}_i corresponding to the 194 peak forces \hat{F}_i were defined as

195
$$\vec{C}_{i} = \vec{F}_{i} / \left(\frac{1}{2}\rho D U_{w}^{2}L\right).$$
(2.7)

196 The Morison-type force coefficients $\{C_D, C_M\}$ were fitted using a least-square optimization from the 197 following correlation between $\tilde{F}_X(t)$ and freestream velocity $\tilde{U}(t)$:

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$$\overline{F}_{X} = \frac{1}{2} \rho D C_{D} \overline{U} \left| \overline{U} \right| + \frac{1}{4} \rho \pi D^{2} C_{M} \frac{\partial U}{\partial t}, \qquad (2.8)$$

199 where C_D and C_M are the coefficients corresponding to the drag and inertia forces, respectively.

200 Under current conditions, a time-averaging process was specified as

$$\overline{F}_{i} = \frac{1}{M} \sum_{m=1}^{M} F_{i} \left(t + m\Delta t \right), \qquad (2.9)$$

where *M* is the total sample number of the measured force, Δt is the time interval of the record, and the '-' sign represents the time-averaging process. The mean force coefficients \overline{C}_i normalized by the free stream velocity were written as

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$$\overline{C}_{i} = \overline{F}_{i} / \left(\frac{1}{2}\rho D U_{c}^{2}L\right).$$
(2.10)

206 **3 Validation tests**

207 To ensure the reliability of the present measurements, the test results under PLN conditions (a smooth and plane bed) were examined. The results measured by LC and PT were compared with those reported 208 in the literature, as provided in the supplementary document, §S2. The peak force coefficients measured 209 by LC are smaller than those measured by PT. This behaviour is explained based on the physics revealed 210 in Sumer and Fredsøe (2006, p28-32). The vortex shedding occurs in cells along the length of the cylinder. 211 The averaging force acting on the cylinder over the whole length, which is measured by LC, is smaller 212 213 than the averaging force over the length of the cell of vortex shedding, which is measured by PT. In the present range of Re_p , the correlation length of the cell of vortex shedding is approximate to $(2 \sim 3)D$, based 214 on the work reported by Gerlach and Dodge (1970). In general, the LC measurements agree better with 215 216 the results reported in literature in terms of the peak force coefficients, whereas the PT measurements agree better with the literature in terms of the root-mean-square force coefficients. As the peak forces are 217 218 the focus of the present study, LC measurements were used in the following analysis.

The comparisons between the present results (measured by LCs) and the literature under wave conditions are presented below. As the existing datasets concerning the on-bottom cylinders (G = 0) are relatively rare, as shown in Figure 5, validations against existing datasets with similar ranges of governing parameters (KC, Re_p) are not possible. Since KC is the primary parameter of concern in the present study, we relaxed the requirement of matching the range of Re_p during the validations. The effect of Re_p at a constant KC will be discussed later on.

Figure 6 compares the present results with those reported by Sarpkaya & Rajabi (1979) and DHI (1986). The ranges of Re_p shown in Figure 6 are $(10\sim11) \times 10^4$, $(5\sim36) \times 10^4$ and $(1\sim10) \times 10^4$ for the datasets of Sarpkaya & Rajabi (1979), DHI (1986) and the present study, respectively. Figure 6 shows that the present results are larger than the earlier results. Further examinations show that the present C_D and C_M are approximately 1.1 and 1.3 times those reported by DHI (1986), respectively. This discrepancy is attributed to the influence of Re_p . To support the above claim, the variation trend of C_D with Re_p is discussed. Figure 7 presents the results of C_D (plane bottom tests, measured by LCs) obtained from the present study and the literature as a function of Re_p at similar *KC* numbers. Since few data are available for an on-bottom geometry (G = 0), the data with a small gap (G = 0.063 and 0.083) obtained from Yamamoto & Nath (1976) are also compared. Although C_D generally varies with respect to Re_p , the ratio between the standard deviation and the average on the order of 20% may be attributed to different setups of the gap. This is also evidenced by the results provided in the supplementary document, §S1.



Figure 6. (a) C_D and (b) C_M vs. *KC* based on the PLN tests. $Re_p = (10 \sim 11) \times 10^4$ in the work of Sarpkaya & Rajabi (1979), $Re_p = (5 \sim 36) \times 10^4$ in the work of DHI (1986), and $Re_p = (1 \sim 10) \times 10^4$ in the present tests. The data of Sarpkaya & Rajabi (1979) are taken from Sumer et al. (1991) and Sumer & Fredsøe (2006, p181).



Figure 7. C_D vs. Re_p at similar KC numbers, obtained from plane bottom tests in the present work and available literature. For the data acquired from Yamamoto & Nath (1976), in (a), $KC \in [11, 24]$, G = 0.063 and 0.083; in (b), $KC \in [31, 47]$, G = 0.083.

Figure 8 compares the peak force coefficients $\{\hat{C}_X, \hat{C}_Z\}$ based on the present PLN measurements with those reported by DNV (2021), DHI (1986) and Tang et al. (2018). In the range of KC < 140, the present results are slightly larger than the DNV (2021) recommendation due to the different Re_p values investigated in those studies. In the range of $KC \ge 140$, the present results agree reasonably well with those

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241 reported by Tang et al. (2018) and are notably smaller than the constant values recommended by DNV (2021), $\{\hat{C}_X, \hat{C}_Z\} = \{1.30, 1.05\}$. In the present study and the work of Tang et al. (2018), the diameter of 242 the cylinder is smaller than or comparable to the thickness of WBLs; hence, the flow velocity reduction 243 244 in the WBLs reduces the hydrodynamic forces significantly, as shown in Figure 8. However, this effect 245 cannot be considered in DNV (2021), resulting in conservative forces and the resultant on-bottom stability design for cables (or small-diameter pipelines). In addition, the present data, shown in Figure 8, are 246 247 scattered at a constant KC number, which is attributed to the different Re_p numbers.



Figure 8. Comparison between the present measurements and the results reported in the literature: (a) \hat{C}_X vs. KC; (b) \hat{C}_Z vs. KC. The results of steady current tests are shown in the figure at $KC = 10^4$.



Figure 9. The effect of Re_p on peak force coefficients (a) \hat{C}_X and (b) \hat{C}_Z , based on the present PLN measurements.

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are treated as scatter and quantified by their standard deviations in the following discussions. Additional
 validations based on steady current results are provided in §S3.

4 Results and discussions

255 **4.1 Test results**

Figure 10 presents the peak force coefficients $\{\hat{C}_X, \hat{C}_Z\}$ obtained from three kinds of seabed conditions. The parameter ranges of the present experiments are $20 \le KC \le 2000$, $10^4 \le Re_p \le 10^5$, $10 \le \beta$ ≤ 1000 and $10^{-3} \le k_s/D \le 2.6$. The scatter in the data with respect to different Re_p at identical KC and k_s/D are represented by their standard deviations, which are presented as error bars in Figure 10. The $\{\hat{C}_X, \hat{C}_Z\}$ obtained under steady current conditions are presented at $KC = 10^4$ in Figure 10.



Figure 10. Force coefficients (a) \hat{C}_X and (b) \hat{C}_Z vs. *KC* obtained from tests under different seabed conditions. The steady current results are shown at $KC = 10^4$. The characteristic velocity is the peak velocity in the free stream.

The following features were observed. (i) The present PLN results are notably smaller than the DNV (2021) recommendations at large *KC* numbers. (ii) Compared to the PLN results, a larger k_s/D leads to higher force coefficients at small *KC* numbers but lower force coefficients at large *KC* numbers. These two features are discussed below.

265 **4.2 Variation of peak force coefficients with** *KC*

Concentrating on the PLN results, the variation trend of the force coefficients with *KC* is discussed here. Compared to DNV (2021) recommendations, the smaller { \hat{C}_X , \hat{C}_Z } values at larger *KC* numbers (\geq 200) are attributed to greater velocity reductions in the WBLs with increasing *KC* number. The profiles of velocity amplitude in the WBLs, acquired from PIV measurements in the absence of the cylinder, are shown in Figure 11 for PLN tests with various *KC* numbers at a fixed $Re_p \approx 2.5 \times 10^4$. The greater velocity reduction for a larger *KC* number is due to the increase in WBL thickness. This point is further elaborated below.



Figure 11. Profiles of velocity amplitude in the WBLs at various KC numbers and $Re_p \approx 2.5 \times 10^4$ under PLN conditions.

The WBL thickness δ_J can be estimated from available empirical formulas that are functions of a/k_s . Here, δ_J is defined as the elevation of the maximum velocity overshoot in the WBL (Jensen et al. 1989), and *a* is the free stream semi-excursion of fluid particles. For example, the formula suggested by Dixen et al. (2008) is written as

277
$$\frac{\delta_J}{k_s} = 0.08 \left(\frac{a}{k_s}\right)^{0.82} + 0.08, \ 0.5 \le a/k_s \le 5000.$$
(4.1)

278 Substituting $a = KC \cdot D/(2\pi)$ into eq. (4.1) results in the following formula:

279

$$\frac{\delta_J}{D} = 0.0177 K C^{0.82} \left(\frac{k_s}{D}\right)^{0.18} + 0.08 \frac{k_s}{D}.$$
(4.2)

(4.3)

Eq. (4.2) shows that δ_J/D increases with *KC* and k_s/D , leading to greater velocity reductions in the WBLs. The greater velocity reductions in turn result in greater reductions in the hydrodynamic forces and force coefficients (because the force coefficients are normalized by the free stream velocity).

283 To further substantiate the above interpretations of the decreasing $\{\hat{C}_X, \hat{C}_Z\}$ with increasing *KC* (*KC* 284 \geq 200), the force coefficients are normalized by the cross-sectional average peak velocity U_{wp} . The 285 modified set of peak force coefficients, referred to as \hat{C}_i , are written as

286
$$\overline{C}_{i}' = \overline{F}_{i} / \left(\frac{1}{2}\rho D U_{wp}^{2}L\right).$$

If the greater velocity reductions are indeed the causes for the observed variation trends of $\{\hat{C}_X, \hat{C}_Z\}$ with *KC*, the modified set of peak force coefficients would be less dependent on *KC* at large *KC* values. For clarity, the parameters with a prime, e.g., Re_p' , *KC'*, \overline{C}_i' and \hat{C}_i' , hereafter, represent normalizations by the local average velocity across the cylinder.

Figure 12 examines the modified set of peak coefficients $\{\hat{C}_{X'}, \hat{C}_{Z'}\}$ under PLN seabed conditions at a constant Re_p (Re_p ' deviates slightly). The original set of peak force coefficients $\{\hat{C}_X, \hat{C}_Z\}$ and the force coefficients recommended by DNV (2021) are also presented in Figure 12 for comparison. The modified set is approximately constant at large *KC* numbers (≥ 200) and close to the DNV (2021) recommendation. This confirms that the decreasing trend of the force coefficients { \hat{C}_X , \hat{C}_Z } with increasing *KC* at large *KC* numbers is primarily due to the velocity reductions in the WBLs.



Figure 12. Comparison between two sets of peak force coefficients, based on PLN results. The steady current results are shown at $\{KC, KC'\} = 10^4$.

Other representations of local velocity have been examined to normalize the forces; see further details in §S4. It was found that the peak force coefficients normalized by the average velocity across the cylinder and by the velocity at the axis of the cylinder collapse to a single trend for different *KC* numbers. However, to match the option suggested by DNV (2021) under current conditions, the cross-sectional average peak velocity was adopted in the present study.

302 **4.3 Variation in peak force coefficients with** k_s/D

As identified in eq. (4.2), a rougher seabed (i.e., larger k_s/D) also leads to an increased WBL thickness and therefore greater velocity reduction, from which a reduction in the force coefficients { \hat{C}_X , \hat{C}_Z } might be expected. However, as shown in Figure 10, a larger k_s/D leads to greater force coefficients for $KC \le 200$. These behaviours are explained below.



Figure 13. (a) Velocity amplitude profiles and (b) period-averaged turbulence intensity profiles obtained from PLN, SND and TTB tests, with $KC \approx 1000$ and $Re_p \approx 2.5 \times 10^4$. The black dashed line represents the top of the cylinder.

307 It is known that a greater seabed roughness leads to more violent wall turbulence (Sleath 1987, Jensen 308 et al. 1989, van der A et al. 2011, Milne et al. 2013a, Milne 2013b, Mercier et al. 2021, Milne et al. 2021), 309 which will affect the boundary layer transition and flow separation around the cylinder and the resultant force coefficients (Cheung & Melbourne 1983, Sumer & Fredsøe 2006). Figure 13 shows examples of 310 velocity reduction and turbulence profiles obtained from tests with different k_s/D values at identical KC 311 312 and Re_p numbers. It is demonstrated in §4.1 that the effect of velocity reduction can be largely eliminated by normalizing the force with the cross-sectional average velocity, namely using the modified set of peak 313 314 force coefficients $\{\hat{C}_{X'}, \hat{C}_{Z'}\}$ as shown in Figure 12. Therefore, the contribution of wall turbulence can be distinguished by comparing the modified set of peak force coefficients $\{\hat{C}_{X'}, \hat{C}_{Z'}\}$ obtained from tests 315 under different seabed conditions. 316

Figure 14 presents the modified set of peak force coefficients $\{\hat{C}_{X'}, \hat{C}_{Z'}\}$ as a function of *KC'* obtained from tests under different k_s/D values and a similar Re_p . A greater seabed roughness leads to larger force coefficients of $\{\hat{C}_{X'}, \hat{C}_{Z'}\}$ over the whole *KC'* range, and the wave results at high *KC'* numbers approximate the steady current results. This behaviour indicates that the wall turbulence affects the force coefficients under wave and current conditions in a similar way. As a result, we further interpreted the effect of turbulence by extending the approach used for current alone.

(a)
$$\hat{C}_{X'}$$
 vs. *KC'* at $Re_p \approx 2.5 \times 10^4$ (b) $\hat{C}_{Z'}$ vs. *KC'* at $Re_p \approx 2.5 \times 10^4$



Figure 14. The effect of wall turbulence induced by different seabed roughness conditions on the force coefficients. The steady current results are shown at $KC' = 10^4$.

323	Figure 15 presents the steady current results $\{\overline{C}_X, \overline{C}_Z\}$ obtained from tests under different seabed
324	conditions as a function Re_p '. To compare with Jones's (1970) data, the characteristic velocity spanning
325	the cylinder is calculated as $U_{cp} = \sqrt{\frac{1}{D} \int_{z=0}^{D} \frac{1}{u^2}}$, which is identical to that used by Jones (1970). Figure 15
326	shows that at a constant Re_p' , a larger k_s/D leads to greater force coefficients $\{\overline{C}_X', \overline{C}_Z'\}$. In addition, this
327	amplification effect becomes weaker as Re_p increases. These two behaviours are interpreted as follows.
328	For a wall-free cylinder, a larger incoming turbulence level leads to an increased force coefficient

regimes (Cheung & Melbourne 1983). For a detailed account of the delineation of flow regimes around a wall-free cylinder based on different Reynolds numbers, see Sumer & Fredsøe (2006). For a cylinder approaching the wall, the critical Reynolds number corresponding to each flow regime shifts to smaller values (Yang et al. 2018). For a cylinder experiencing larger incoming turbulence, the critical Reynolds number for each flow regime also decreases. That is, both the wall proximity and incoming turbulence promote the transition of the flow around the cylinder. This knowledge might be helpful for understandingthe behaviours shown in Figure 15.



Figure 15. Effect of k_s/D on $\{\overline{C}x',\overline{C}z'\}$, based on the present steady current results. The characteristic velocity is calculated in the same manner as that in Jones (1970).

The decreasing trend of the mean drag coefficient with increasing Re_p ', shown in Figure 15, suggests that the boundary layer flow around the cylinder is in a stage between the critical and supercritical regimes, where the boundary layer around the cylinder experiences a transition from laminar to turbulent. Similar to a wall-free cylinder, a larger turbulence level due to a greater k_s/D causes higher force coefficients in the present flow regimes.

As the incoming turbulence (due to wall turbulence) affect the hydrodynamic forces by promoting 342 the transition of the cylinder boundary layer, it is reasonable that the wall turbulence will affect less after 343 the cylinder boundary layer transition completes. This is the reason why the difference in force coefficients 344 induced by wall turbulence (reflected by k_s/D) vanishes as Re_p ' approaches 10⁵. To this end, the results 345 suggest that the wall turbulence affects the forces at $Re_p' < 10^5$, where the cylinder boundary layer flow 346 347 experiences a transition from laminar to turbulent. It worth noting that, for a cylinder placed away from the wall, the incoming turbulence affects both the boundary layer transition around the cylinder and the 348 349 features of the vortex shedding around the cylinder (e.g., the correlation length); but for a cylinder placed on the wall with all the gaps sealed, i.e., the present setup, the vortex shedding is totally absent (Bearman 350 and Zdravkovich 1978, Sumer & Fredsøe 2006, p23 and p111). 351

Given the discussions above, the seabed roughness affects the hydrodynamics in the following way. On the one hand, a greater seabed roughness enhances the velocity reduction in the WBLs and therefore leads to smaller forces. On the other hand, a greater seabed roughness leads to stronger wall turbulence that promotes the cylinder boundary layer transition and thus increases the forces. The overall influence of seabed roughness on the force coefficients, either an increase or a decrease, depends on which aspect dominates. In addition, an upper limit of Re_p' should exist, above which the wall turbulence negligibly affects the forces, because the cylinder boundary layer can transition to fully turbulent due to the turbulence created by the cylinder itself.

5 Empirical correlations for the force coefficients

A new set of force coefficients, normalized by the freestream velocity amplitude U_w , are proposed based on the PLN results (Figure 10), where k_s/D has a negligible effect (Tang et al. 2018). Meanwhile, a set of correction factors { γ_x , γ_z } are used to consider the effect of seabed roughness on streamwise (horizontal) and transverse (vertical) force coefficients, respectively, based on the SND and TTB results. Furthermore, the deviations due to Re_p are covered by another set of correction factors { ζ_x , ζ_z }, based on all present results. In the parametric range of $20 \le KC \le 2000$, $10^4 \le Re_p \le 10^5$ and $10 \le \beta \le 1000$, the peak force coefficients are formulated as follows:

368
$$C_{x} = \gamma \zeta (29.35 K C^{-0.72} + 0.49).$$

$$\dot{C}_{X} = \gamma_{x} \zeta_{x} \left(29.35 K C^{-0.72} + 0.49 \right),$$
(5.1)
$$\dot{C}_{Z} = \gamma_{z} \zeta_{z} \left(20.15 K C^{-0.64} + 0.50 \right).$$
(5.2)



Figure 16. Correction factors to quantify the effect of seabed roughness. Each point in the figure represents the average value in a group of data with different Re_p but identical k_s/D and KC. To facilitate quantification, the current results are given at the KC number of 10^4 .

Figure 16 presents the ratios between the results obtained from the rough wall (SND and TTB) and smooth wall (PLN), namely, the correction factors describing the effect of seabed roughness. At the critical *KC* number where the blue dashed-dotted (red dashed) line intersects the black dashed line (at KC = 370for \hat{C}_X and KC = 1350 for \hat{C}_Z), the influences of velocity reduction and wall turbulence on $\{\hat{C}_X, \hat{C}_Z\}$ are approximately equal. Empirical formulas for correction factors γ_x and γ_z are given below for the parametric range of $10^{-3} \le k_s/D \le 2.6$:

376
$$\gamma_{x} = \left[-0.57 \left(\frac{k_{s}}{D} \right)^{0.06} + 0.37 \right] \times \left(\log_{10} KC - 2.57 \right) + 1, \tag{5.3}$$

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377
$$\gamma_{z} = \left[1.66 \left(\frac{k_{s}}{D}\right)^{-0.03} - 2.05\right] \times \left(\log_{10} KC - 3.13\right) + 1.$$
(5.4)

Under smooth bed conditions, $k_s/D \le 10^{-3}$, and both γ_x and γ_z should be taken as 1. The fitting results of eqs. (5.3) and (5.4) are shown in Figure 16.

Figure 17 presents the normalized standard deviations of the force coefficients due to different Re_p numbers. The results with the same *KC* and k_s/D but different Re_p are selected as one group. The standard deviation and the average value are calculated based on these data in each group, and their quotient is denoted as $\operatorname{std}(\overline{C}_i)/\overline{C}_i$. The results of $\operatorname{std}(\overline{C}_i)/\overline{C}_i$ shown in Figure 17 can be approximately represented by the two correction factors ζ_x and ζ_z in the range of $10^4 \leq Re_p \leq 10^5$:

385
$$\zeta_x = 1 \pm 0.14$$
, (5.5)

386

$$\zeta_z = 1 \pm 0.25. \tag{5.6}$$



Figure 17. The ratio of the standard deviation over the average value $\operatorname{std}(\overline{\mathcal{C}}_i)/\overline{\mathcal{C}}_i$ versus *KC* under the three bottom conditions tested. The blue dashed lines represent the range covered by eqs. (5.5) and (5.6).

Together, these correlations provide a new approach for predicting the peak force coefficients for onbottom cylinders, capturing the influence of *KC* and k_s/D for the parameter range relevant to cables and small-diameter pipelines.

390 An example is illustrated below that employs the present empirical correlations to calculate the lowest specific weight S_g of cables required by the on-bottom stability assessment. The design inputs are 391 392 described as follows: the cable diameter D = 0.05 m; the free stream velocity amplitudes $U_w = 0.5, 1, 1.5$ and 2 m/s; the seabed roughnesses $k_s = 3 \times 10^{-4}$ m (fine sand), 9×10^{-3} m (gravel), 0.3 m (cobble); wave 393 periods: (a) T = 20 s, corresponding to the storm wave whose period is the range of 5 s ~ 30 s, (b) T = 200394 s, corresponding to a infra-gravity wave or a short-period internal wave which is also commonly 395 considered in the fatigue assessment. Following the absolute lateral static stability method suggested by 396 397 DNV (2021), the required specific weight is calculated by (Tang et al. 2018)

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$$S_g = 1 + \gamma_{sc} \frac{2U_w^2 \left(\vec{e}_x + \mu \vec{e}_z \right)}{\mu \pi g D}, \qquad (5.7)$$

399 where γ_{sc} is a safety parameter, taken as 1.5, and μ is the friction coefficient between the cable and seabed, 400 taken as 0.6. The results of the required S_g calculated with the peak force coefficients suggested by DNV 401 (2021) and the present are compared in Figure 18. For the storm wave, as shown in Figure 18(a), the 402 present force coefficients lead to a reduction in the required specific weight S_g , compared to the S_g based 403 on DNV (2021). This reduction becomes more notable with the increasing KC number and can be up to 404 25% at KC = 800. But the effect of seabed roughness is not significant under this storm wave condition. For the infra-gravity or short-period internal wave, as shown in Figure 18(b), the result corresponding to 405 the fine sand seabed is 45% smaller than the DNV result at KC = 4000; besides, the cobble seabed can 406 further lead to a 10% reduction at KC = 4000, compared to the fine sand seabed result. 407



Figure 18. Required specific weight S_g under several combinations of wave conditions and seabed conditions.

Conclusion 408 6

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409 The hydrodynamic forces on on-bottom small-diameter cables immersed in wave boundary layers (WBLs) are investigated through physical model testing to address a gap in design knowledge. The 410 parametric ranges considered are $20 \le KC \le 2000$, $10^4 \le Re_p \le 10^5$, $10 \le \beta \le 1000$ and $0.001 < k_s/D \le 2.6$, 411 where KC is the Keulegan–Carpenter number $KC = U_w T/D$, Re_p is the Reynolds number $Re_p = U_w D/v$, β 412 is the Stokes number $\beta = Re_p/KC$, and k_s/D is the ratio between the seabed roughness and cable diameter. 413 The following conclusions are drawn. 414

- (1) The present experimental results identified that both the velocity reduction and wall turbulence in the WBLs significantly affect the hydrodynamic forces.
- (2) On the smooth plane seabed, the hydrodynamic force coefficients obtained from the present work 417 418 at large KC numbers (KC > 200) are notably smaller than the recommendations of the design code DNVGL-RP-F109 (2021). This is well demonstrated to be mainly controlled by the velocity 419

420 reduction in the WBL.

- 421 (3) Under rough seabed conditions, the seabed roughness has two counteracting effects on the 422 hydrodynamic forces. On the one hand, a greater seabed roughness enhances the velocity 423 reduction in the WBLs and therefore leads to smaller hydrodynamic forces. On the other hand, a 424 greater seabed roughness leads to a stronger wall turbulence, which promotes the transition of the 425 cylinder boundary layer flow to turbulent and subsequently increases the forces in the present 426 parameter range. The wall turbulence affects the force coefficients in the range of Reynolds 427 numbers, where the cylinder boundary layer flow experiences the transition from laminar to turbulent. The present results show that the influence of wall turbulence largely diminishes for 428 $Re_{p}' > 10^{5}$, where Re_{p}' is defined with the average velocity across the diameter of the cable. 429
- 430 (4) A general method for quantifying force coefficients under different *KC* and k_s/D conditions is 431 proposed. This method takes into account the effects of WBL velocity reduction, wall turbulence 432 and Reynolds numbers.

The resulting estimation method for peak hydrodynamic forces provides a basis for a more accurate and economic stability design of on-bottom cables and small-diameter pipelines.

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