

**On the validity of periodic boundary conditions for modelling finite plate-type
acoustic metamaterials**

Felix Langfeldt^{1, a}

*Institute of Sound & Vibration Research, University of Southampton,
University Road, Highfield, Southampton, SO17 1BJ, United Kingdom*

(Dated: 7 January 2024)

1 Plate-type acoustic metamaterials (PAM) are thin structures that exhibit anti-
2 resonances with high sound transmission loss values, making PAM a promising new
3 technology for controlling tonal noise in the challenging low-frequency regime. A
4 PAM consists of rigid masses periodically attached to a thin baseplate. The period-
5 icity of PAM can be exploited in simulations allowing to model only a single unit cell
6 using periodic boundary conditions. This approach essentially represents the PAM
7 as an infinite structure, but real PAM implementations will always be finite and
8 influenced by boundary conditions. In this paper, extensive numerical simulations
9 of different PAM configurations have been performed to study the performance of
10 finite PAM compared to infinite PAM. The results indicate that as the number of
11 unit cells in a finite PAM increase, the sound transmission loss converges towards
12 that of an infinite PAM. The impact of the finite PAM edge boundary conditions
13 becomes negligible at some point. Based on the numerical results, a simple criterion
14 is proposed to determine a-priori how many unit cells are required in a finite PAM
15 design to consider it quasi-infinite. This criterion aids in justifying unit cell models
16 with periodic boundary conditions for efficient design optimizations in practical PAM
17 applications.

^aF.Langfeldt@soton.ac.uk

18 **I. INTRODUCTION**

19 Since more than 20 years, acoustic metamaterials have been widely studied as an emerging
20 technology to control the propagation of sound waves in many different and previously
21 unheard of ways (Cummer *et al.*, 2016; Ma and Sheng, 2016). One particular strength of
22 acoustic metamaterials, which caught the attention of the noise control research community,
23 is that they can be used to control low-frequency tonal noise using very thin and lightweight
24 structures (Gao *et al.*, 2022). Of the many acoustic metamaterial types that have been
25 proposed in the past, plate-type acoustic metamaterials (PAM) are plate-like structures,
26 consisting of a thin baseplate and periodic subwavelength sized unit cells containing rigid
27 masses which are attached to the baseplate. Figure 1 shows an example of a PAM with one
28 annular mass in each unit cell. The basic design of PAM is very similar to that of membrane-
29 type acoustic metamaterials (MAM), which use a pre-stressed membrane as the baseplate
30 (Yang *et al.*, 2010, 2008). The key advantage of PAM over MAM is that the stiffness of the
31 baseplate in PAM does not depend on a pre-stress and therefore the acoustical properties of
32 PAM are more robust with respect to stress relaxation and temperature effects and PAM do
33 not require a rigid (and heavy) grid structure to support the pre-stress (Huang *et al.*, 2016;
34 Ma *et al.*, 2021).

35 Previous research has demonstrated that PAM can exhibit frequency bands at low fre-
36 quencies (typically below 1 kHz) with sound transmission loss (STL) values greatly exceed-
37 ing the STL of a homogeneous wall with the same mass, which is governed by the mass-law
38 (Langfeldt and Gleine, 2019; Xiao *et al.*, 2021). These high STL frequency bands are asso-

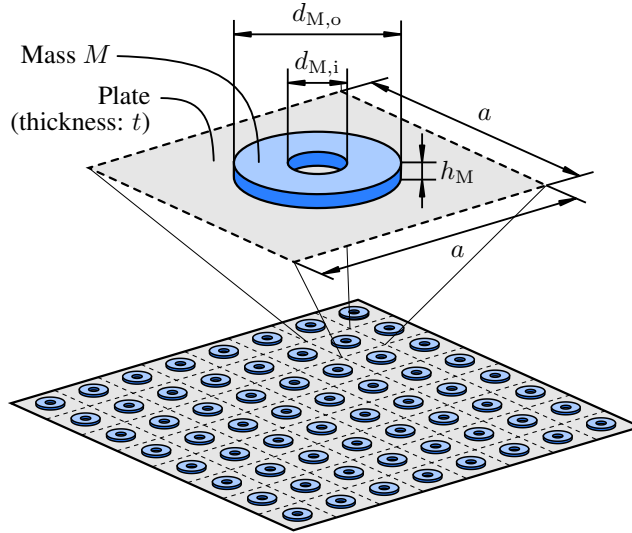


FIG. 1. Geometry of a finite PAM with annular added masses and 8×8 unit cells. The geometry of an individual unit cell is shown at the top.

39 ciated with the anti-resonances of the PAM, i.e. frequencies at which the surface-averaged
 40 displacement of a unit cell is zero for a given acoustic excitation and the PAM acts like an (al-
 41 most) rigid wall to incident sound waves (Yang *et al.*, 2008). The high STL of PAM combined
 42 with their low mass and thickness makes PAM a promising solution for low-frequency noise
 43 control problems, especially in applications where weight and space are highly constrained
 44 (e.g. in the aeronautical or automotive industries). Due to these appealing properties, a
 45 number of studies on the sound insulation of PAM have been published in the past, in-
 46 cluding experimental investigations of large-scale samples in the laboratory (Langfeldt and
 47 Gleine, 2020a; Xiao *et al.*, 2021) and analytical models (Langfeldt and Gleine, 2019). As
 48 is common for the modelling of metamaterials in general, most numerical and analytical
 49 studies of PAM used a model of a unit cell with periodic boundary conditions to predict the
 50 vibro-acoustic properties of PAM (Langfeldt and Gleine, 2019; Xiao *et al.*, 2021). This ap-
 51

52 proach essentially idealizes the PAM as an unbounded metasurface with an infinite number
53 of unit cells. This approach has a number of advantages, for example it is very computa-
54 tionally efficient as only a single unit cells needs to be modelled and it enables the use of
55 homogenization methods (Yang *et al.*, 2014).

56 Real structures, however, are always finite-sized and it is possible that the boundary
57 conditions of finite PAM structures can lead to deviations from the idealized periodic unit
58 cell model. As a noteworthy example, Sui *et al.* (2015) investigated the STL of a honeycomb-
59 type acoustic metamaterial with a surface mass density of 1.3 kg m^{-2} using measurements in
60 an impedance tube. Despite the low weight of the metamaterial, they observed STL values
61 of over 45 dB at frequencies below 500 Hz. However, as pointed out by Peiffer *et al.* (2015),
62 this result was due to the impedance tube sample being small (diameter 100 mm) with fixed
63 edges and therefore its low-frequency STL was governed by a stiffness-controlled behavior. If
64 the sample size would be scaled up to much higher and more practical values (e.g. 1 m^2), the
65 STL of the metamaterial would be simply governed by the mass-law and STL values of 45 dB
66 could not be reached below 500 Hz (Peiffer *et al.*, 2015). Varanasi *et al.* (2017) presented
67 experimental results for a finite multi-celled PAM-like metamaterial plate, consisting of a
68 thin plate with a rectangular grid and a sound normalizing layer. They compared their
69 experimental results to unit cell-based numerical simulations (Varanasi *et al.*, 2013) and
70 discovered deviations between the measured and simulated frequency of the metamaterial's
71 STL peak, which they attributed to the different behavior of the finite metamaterial in the
72 experiment and the infinite metamaterial in the simulation. In a different investigation,
73 Van Belle *et al.* (2019) studied the STL of infinite and finite vibro-acoustic metamaterial

74 plates consisting of mechanical resonators or point masses periodically attached to a host
75 plate. In their results, the authors could show that the infinite metamaterial plate with
76 point masses (which exhibits similar characteristics as the PAM considered in this work)
77 exhibits an anti-resonance with large STL values, whereas in the finite case with 12×9
78 unit cells the anti-resonance STL values were significantly reduced by the eigenmodes of the
79 finite structure.

80 Although the studies mentioned above indicate that the performance of a finite sized
81 PAM can be very different compared to an idealized unit cell model with periodic boundary
82 conditions, there exist other numerical and even experimental results for the STL of finite
83 PAM which show a good agreement with predicted anti-resonance frequencies and STL
84 values from periodic unit cell representations ([Langfeldt and Gleine, 2020a](#); [Xiao *et al.*,](#)
85 [2021](#)). It is still unknown under which circumstances finite acoustic metamaterial plates
86 can be modelled using unit cells with periodic boundary conditions. To fill this gap, this
87 paper presents the results of systematic numerical simulations of finite PAM with different
88 numbers of unit cells to study the impact of the PAM boundary conditions on the STL,
89 as compared to the STL of an infinite PAM. The aim is to develop a criterion which can
90 be used to estimate if a finite PAM design is large enough to be represented by a periodic
91 unit cell model with sufficient accuracy. Such a criterion will give more confidence in the
92 design of practical PAM for noise control and can be used to justify the choice of a modelling
93 approach using periodic unit cells. Section [II](#) of this paper provides a detailed description
94 of the simulation model that was used in this study to investigate the properties of finite
95 PAM with different numbers of unit cells. Simulations have been performed for two different

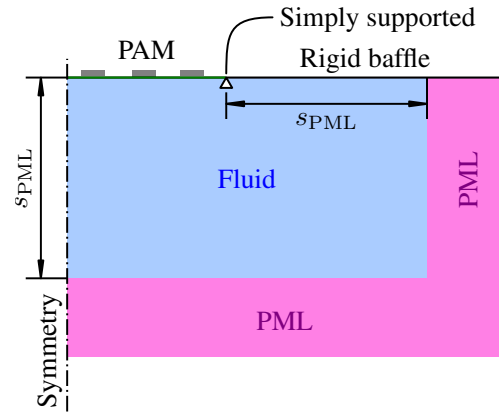
96 PAM designs and the numerical results for the sound transmission loss and the finite PAM
97 anti-resonances are presented in [section III](#). These results are then discussed in [section IV](#)
98 and further analysed to develop a simple criterion for assessing the suitability of a unit cell
99 with periodic boundary conditions to represent a finite PAM with a certain number of unit
100 cells. At the end of this section, this criterion is validated using a PAM test case study.
101 Finally, the results presented in this paper are summarized and concluded in [section V](#).

102 II. NUMERICAL MODEL

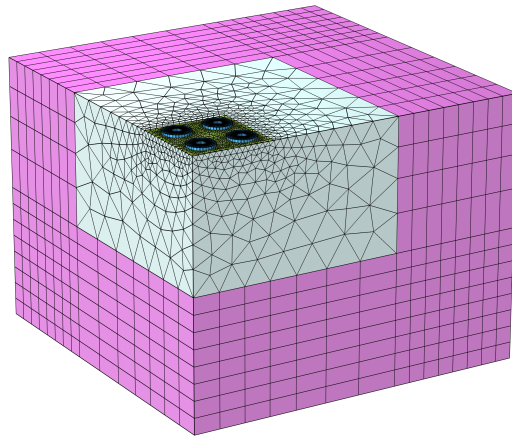
103 Numerical simulations based on the finite element method (FEM) are used to calculate
104 the normal incidence STL of different finite sized PAM. In this work, the normal incidence
105 STL was simulated to minimize the computational cost, especially for the finite PAM models
106 with large numbers of unit cells. For normal incidence the STL needs to be simulated only
107 for a single incidence acoustic loading (as compared to integrating the STL over a range of
108 incidence angles for diffuse incidence STL). Additionally, the symmetry of normally incident
109 plane waves and the PAM itself makes it possible to model only a quarter of the PAM and the
110 fluid domains (as shown in [Figure 2](#)). It has been shown in previous investigations that the
111 anti-resonance frequencies of PAM are the same for normal and diffuse incidence ([Langfeldt
112 and Gleine, 2019](#)), which justifies this approach for the aim of this study. [Figure 2\(a\)](#)
113 provides an overview of the dimensions and boundary conditions of the numerical model.
114 The PAM was embedded inside a rigid infinite baffle. Unless stated otherwise, the exterior
115 edge of the PAM, where the PAM meets the baffle, was constrained using simply supported
116 boundary conditions. The acoustic excitation of the PAM was performed by imposing a

117 spatially constant pressure amplitude $p_{bl} = 2p_{in}$ on the PAM, which corresponds to the
 118 blocked pressure field generated by a normally incident plane acoustic wave with amplitude
 119 p_{in} . To obtain the radiated sound field, one side of the PAM was coupled to a fluid half
 120 space, as shown in [Figure 2\(a\)](#), via a full vibro-acoustic coupling condition. The transmitted
 121 sound power W_{tr} was obtained by integrating the surface-normal component of the acoustic
 122 intensity on the fluid-PAM interface. With the incident sound power of the incident plane
 123 wave given by $W_{in} = S |p_{in}|^2 / (2\rho_0 c_0)$, where $S = N^2 a^2 / 4$ is the area of the quarter model
 124 of the PAM and $\rho_0 = 1.2 \text{ kg m}^{-3}$ and $c_0 = 340 \text{ m s}^{-1}$ are the density and the speed of sound
 125 of the fluid, respectively, the STL can be obtained via $TL = -10 \lg(W_{tr}/W_{in})$.

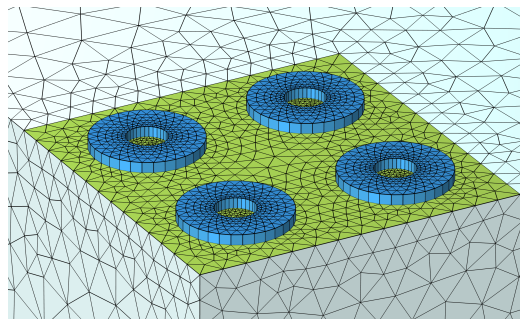
126 The PAM itself was modelled using shell elements with quadratic Lagrange basis functions
 127 for the baseplate and solid elements with quadratic serendipity basis functions for the added
 128 masses. The materials were modelled as linear elastic materials including damping using a
 129 structural loss factor η . An overview of the density ρ , Young's modulus E , Poisson's ratio
 130 ν , and loss factor η for the materials used in this study is provided in [Table I](#). For the air
 132 domain, fluid elements with quadratic Lagrange basis functions were used. The maximum
 133 element size was set to 56.7 mm, corresponding to at least six elements per wavelength
 134 at the highest frequency of interest 1000 Hz. To sufficiently resolve the curved regions at
 135 the edges of the added masses of the PAM, the maximum element size was set to 20 %
 136 of the curvature radius of these edges. On the baseplate, a triangular mesh was used to
 137 discretize the shell. Then, the masses were discretized using a swept mesh with one element
 138 thickness. A tetrahedral mesh was then generated in the radiation fluid domain. Finally,
 139 perfectly matched layers (PML) were added to truncate the fluid domains and simulate



(a)



(b)



(c)

FIG. 2. Numerical setup for calculating the normal incidence STL of finite sized PAM. (a) Dimensions and boundary conditions; (b) Overview of the mesh of the whole simulation model for configuration PAM2 with 4×4 unit cells; (c) Detail view of the PAM mesh.

TABLE I. Material properties of the materials used in the numerical simulations.

| Material name | ρ | E | ν | η |
|----------------------------------|--------------------|-----|-------|--------|
| Steel | 7850 | 205 | 0.28 | 1 |
| Polycarbonate (PC) | 1310 | 2.3 | 0.4 | 5 |
| Polyamide | 1150 | 2.9 | 0.4 | 5 |
| Polyethylene terephthalate (PET) | 1400 | 4.5 | 0.4 | 5 |
| | kg m^{-3} | GPa | — | % |

140 the sound radiation into a half space. $N_{\text{PML}} = 8$ layers in the PML regions were found
 141 to sufficiently suppress the reflection of sound waves across the whole frequency range of
 142 interest. The distance between the PML and the PAM, as indicated in [Figure 2\(a\)](#), was
 143 set to $s_{\text{PML}} = 70.8$ mm, corresponding to $1/48$ of the wavelength at the lowest frequency
 144 of interest (100 Hz). An example of the mesh generated for PAM configuration PAM2 (see
 145 [Table II](#)) with 4×4 unit cells is shown in [Figure 2\(b\)](#) and [Figure 2\(c\)](#). To ensure that
 146 the PML setup and the discretization did not affect the simulation results significantly,
 147 additional parametric and mesh convergence studies have been performed. The interested
 148 reader can find these results in the Supplementary Materials.¹

TABLE II. Simulated PAM unit cell configurations.

| Configuration | | | | | | Materials | | | |
|---------------|------|---------------|-----------|-----------|-------|-----------|-----------|---------------|--------------------|
| | a | t | $d_{M,o}$ | $d_{M,i}$ | h_M | Baseplate | Masses | $f_{P\infty}$ | m'' |
| PAM1 | 77.5 | 750 | 30 | 0 | 1 | PC | Steel | 252 | 1.9 |
| PAM2 | 22.5 | 50 | 14.7 | 5.4 | 1.4 | PET | Polyamide | 294 | 0.54 |
| | mm | μm | mm | mm | mm | | | Hz | kg m^{-2} |

149 III. RESULTS

150 In this section, the results of the numerical simulations of finite and infinite PAM config-
151 urations will be presented. Two different PAM unit cell configurations, denoted PAM1 and
152 PAM2 with details provided in [Table II](#), will be compared. PAM1 corresponds to the design
153 that was studied numerically and experimentally in [Langfeldt and Gleine \(2020a\)](#), whereas
154 configuration PAM2 represents a more lightweight design with smaller unit cells compared
155 to PAM1. The presentation of the results in this section will first focus on the comparison of
156 sound transmission loss spectra for different finite and infinite PAM configurations. Then,
157 results for the finite PAM anti-resonances versus the number of unit cells will be presented
158 for different PAM edge boundary conditions.
159

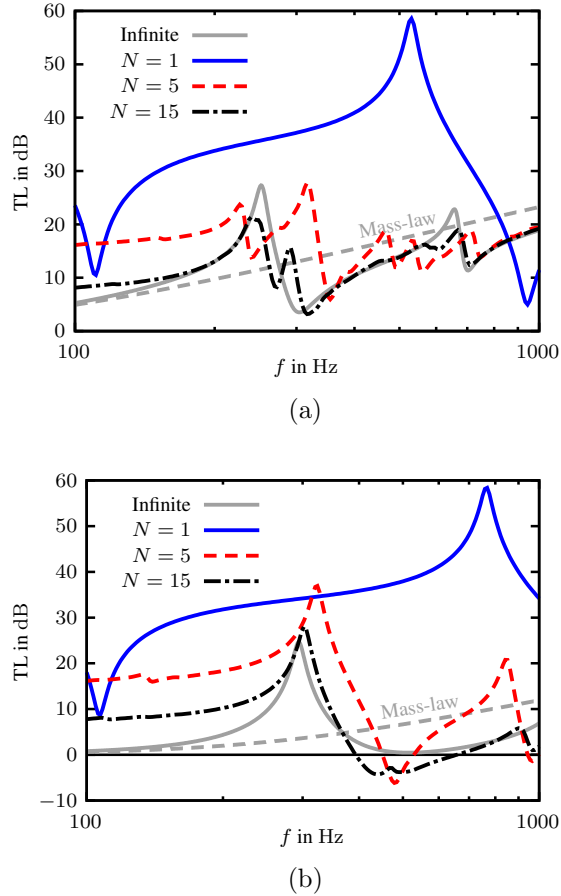


FIG. 3. Simulated sound transmission loss of the finite and infinite PAM. The grey dashed curve represents the mass-law STL using the static surface mass density of the PAM m'' . (a) PAM1; (b) PAM2.

160 A. Sound transmission loss

161 [Figure 3\(a\)](#) and [Figure 3\(b\)](#) show the simulated sound transmission loss of PAM1 and
 162 PAM2, respectively, for increasing number of unit cells $N \times N$. Additionally, both plots
 163 contain the STL of the infinite PAM (grey solid curve), simulated using a unit cell with
 164 periodic boundary conditions, and the mass-law STL (grey dashed curve) as a reference. It
 165 should be noted that in [Figure 3\(b\)](#) some frequency ranges with negative STL values can be
 166

167 seen. This result is not unphysical, but merely a result of the small size of the PAM panels
168 (the unit cells of PAM2 are three times smaller than the PAM1 unit cells), the presence
169 of diffraction around the panel edges, and the definition of the incident sound power, as
170 discussed by [Thompson *et al.* \(2009\)](#).

171 In both cases shown in [Figure 3](#) it can be observed that if just a single unit cell is
172 considered, then the anti-resonance occurs at a much higher frequency than in the infinite
173 periodic case. This is not unexpected, since the simply supported boundary conditions
174 impose a stiffness to the PAM unit cell, leading to the increase in frequency. Furthermore,
175 the STL values of the $N = 1$ finite PAM generally are much higher than in the infinite case,
176 which is a result of the spatial windowing effect ([Villot *et al.*, 2001](#)). As N is increased,
177 it can be seen in both the results for PAM1 and PAM2 that the general STL values of
178 the finite PAM decrease (due to the finite panels becoming larger, leading to a reduction
179 of the spatial windowing effect) and the anti-resonance approaches the corresponding anti-
180 resonance frequency of the infinite PAM. An explanation for this is that as the number of
181 unit cells increases, a decreasing proportion of unit cells within the finite panel are affected
182 by the exterior boundary conditions. In the limit $N \rightarrow \infty$, no unit cells are constrained by
183 the simply supported boundary conditions and the periodic boundary conditions assumed
184 in the infinite PAM model are valid.

185 A significant difference between the finite STL values of PAM1 and PAM2 for increasing
186 N is that in the case of PAM1 and $N = 5$ two STL peaks appear next to the infinite
187 PAM anti-resonance with a resonance dip in between. These two peaks and the dip are
188 still visible for $N = 10$, only with slightly shifted frequencies. In case of PAM2, however,

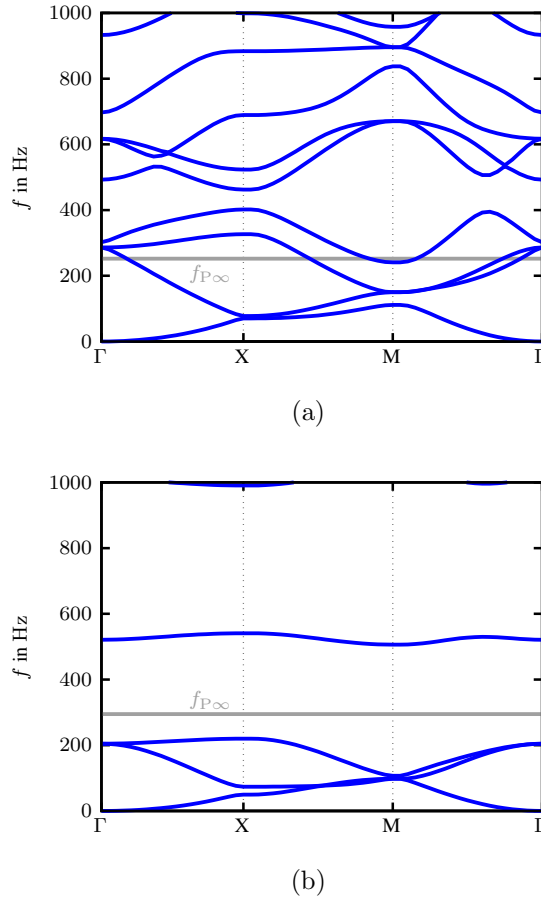


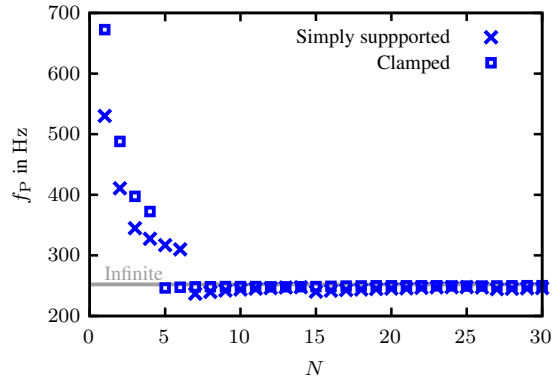
FIG. 4. Dispersion curves of the PAM unit cells. The grey horizontal line denotes the anti-resonance frequency $f_{P\infty}$ of the infinite PAM. (a) PAM1; (b) PAM2.

189 there is just one dominant anti-resonance peak which steadily approaches the infinite PAM
 190 anti-resonance as N is increased. The explanation for the larger number of peaks in the
 191 finite PAM1 configuration can be found in the dispersion curves of the metamaterial, shown
 192 in Figure 4. For PAM1, the infinite PAM anti-resonance frequency $f_{P\infty}$ does not coincide
 194 with a band-gap and therefore the anti-resonance of the finite PAM is disturbed by the
 195 presence of structural modes (Van Belle *et al.*, 2019). In case of PAM2, $f_{P\infty}$ falls within
 196 a fairly large complete band-gap and thus the finite PAM STL curves are much smoother.

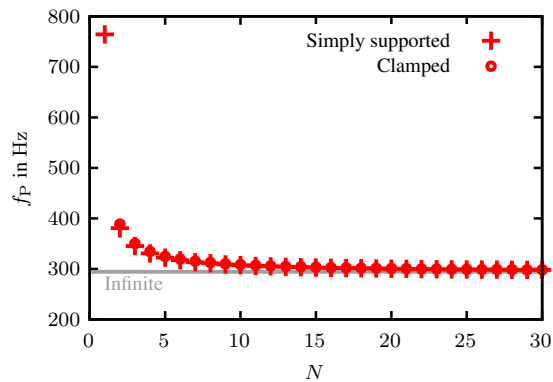
197 It should be noted that for a PAM the anti-resonance (with high STL values) does not
 198 necessarily fall within a bending wave band-gap of the metamaterial. As evident from the
 199 dispersion curves in [Figure 4](#) as well as by comparing the band-gap frequencies with the STL
 200 curves in [Figure 3](#), this depends on the design of the PAM unit cell. This is because the
 201 band-gap in PAM is governed by Bragg interference effects, because the added masses act
 202 as periodic non-resonant scatters, whereas the anti-resonance frequency depends on the first
 203 few eigenmodes of the unit cell ([Langfeldt and Gleine, 2020b](#); [Yang *et al.*, 2008](#)). [Van Belle](#)
 204 [et al. \(2019\)](#) provide further insights on the band-gaps and anti-resonances of metamaterial
 205 plates with resonant and non-resonant scatterers.

206 **B. Anti-resonance**

207 [Figure 5\(a\)](#) shows the anti-resonance frequency f_P of configuration PAM1 for increasing
 208 number of unit cells $N = 1 \dots 30$ and two different boundary conditions for the PAM edges
 209 (simply supported and clamped). Note that, as observed in [Figure 3](#), for some finite PAM
 210 configurations multiple peaks can appear in the STL spectrum, even though the unit cell
 211 has been designed to exhibit only one anti-resonance frequency. Thus, f_P has been defined
 212 in the finite PAM cases as the frequency corresponding to the largest STL maximum in
 213 the investigated frequency range. The results in [Figure 5\(a\)](#) indicate that for very low
 215 number of unit cells ($N \leq 6$), the anti-resonance frequency of the finite PAM1 is much
 216 higher than the infinite PAM anti-resonance frequency $f_{P\infty} = 252$ Hz. This is because the
 217 boundary conditions of the PAM increase the stiffness of the unit cells globally, leading to
 218 a shift to higher frequencies. As the number of unit cells becomes larger, the finite PAM



(a)



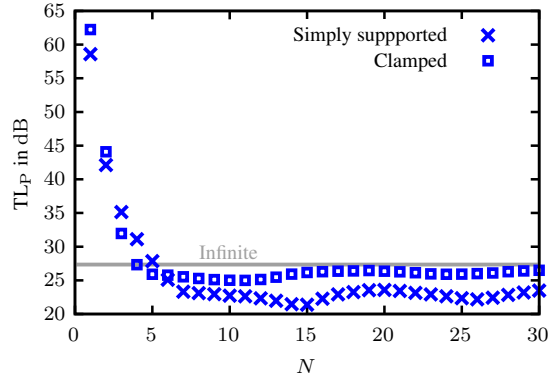
(b)

FIG. 5. Anti-resonance frequency of the finite PAM for different number of unit cells $N \times N$ and simply supported and clamped boundary conditions for the finite PAM edge. (a) PAM1; (b) PAM2.

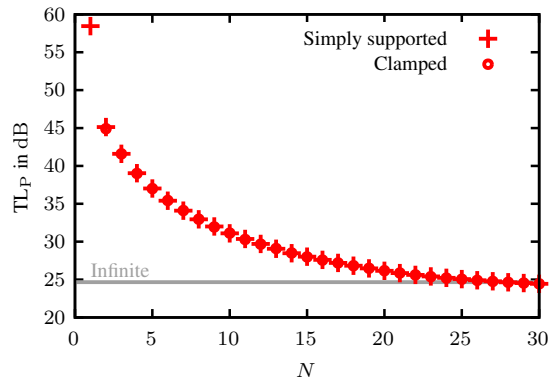
219 anti-resonance frequency converges towards the infinite PAM value and the influence of the
 220 boundary conditions becomes negligible, as evident by the small differences between the
 221 simply supported and clamped PAM for larger values of N . What stands out in [Figure 5\(a\)](#)
 222 is that the convergence behavior of the PAM anti-resonance frequency with respect to N
 223 not monotonic, with, in the simply supported case for example, f_P being higher than the
 224 infinite PAM anti-resonance frequency for $N \leq 6$ whereas for $N > 6$ f_P approaches $f_{P\infty}$
 225 from lower frequencies. This non-monotonic convergence behavior is caused by different

226 STL peaks growing, shrinking, and changing their frequencies as the number of unit cells
 227 is increased (see [Figure 3\(a\)](#)). Contrary to this, the convergence behavior in case of PAM2
 228 shown in [Figure 5\(b\)](#) is strictly monotonic. Since PAM2 was designed to have an anti-
 229 resonance frequency within a complete band-gap (whereas PAM1 was designed not to), this
 230 much cleaner convergence behavior can be attributed to the lack of structural modes around
 231 the anti-resonance frequency of PAM2.

232 [Figure 6](#) shows the convergence behavior of the peak sound transmission loss TL_P , defined
 233 as the STL value at the anti-resonance frequency f_P , for both finite PAM configurations.
 234 In both cases it can be seen that the TL_P values are much higher than for the infinite
 235 PAM if the number of unit cells is low. As discussed above, this can be attributed to the
 236 spatial windowing effect, which leads to strongly increased STL values if the finite panels
 237 are significantly smaller than the wavelength. For increasing N , the TL_P values converge
 238 towards the corresponding value of the infinite PAM. The convergence behavior in the case of
 239 PAM1 ([Figure 6\(a\)](#)) is quite irregular, similarly to what was observed for the anti-resonance
 240 frequency. The peak STL values fall slightly below the infinite PAM TL_P value. This can,
 241 again, be explained by the anti-resonance frequency of PAM1 not falling within a band-gap,
 242 thus the peak STL values are affected by the eigenmodes of the finite PAM. It is worth
 243 noting that for PAM1 with clamped boundary conditions, TL_P is much closer to the infinite
 244 value at larger N . This is because the anti-resonance of the clamped PAM1 is not so strongly
 245 disturbed by PAM eigenmodes as in the simply supported case.² For PAM2 ([Figure 6\(b\)](#)),
 246 TL_P decreases monotonically towards the infinite PAM value as the number of unit cells is
 247 increased. The boundary conditions have almost no effect on TL_P . This highlights again
 248



(a)



(b)

FIG. 6. Peak sound transmission loss value at the anti-resonance frequency of the finite PAM for different number of unit cells $N \times N$ and simply supported and clamped boundary conditions for the finite PAM edge. (a) PAM1; (b) PAM2.

249 that it is advantageous to design a finite PAM to have an anti-resonance frequency that falls
 250 within a band-gap.

251 **IV. DISCUSSION**

252 In this section, the results presented in the previous section will be used to develop a
 253 simple criterion for assessing the suitability of a unit cell-based numerical model with periodic

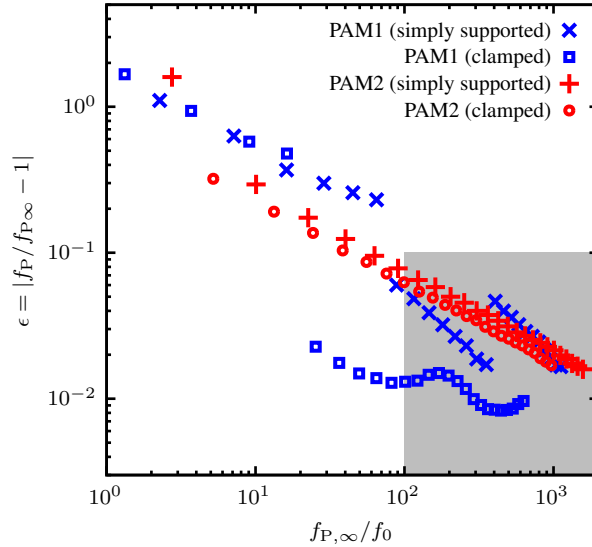


FIG. 7. Relative anti-resonance frequency error ϵ of the finite PAM with different boundary conditions and numbers of unit cells. The grey area indicates the range of values for $f_{P\infty}/f_0$ at which the error is less than 10%.

254 boundary conditions to predict the STL properties of a finite PAM. Then, this criterion will
 255 be applied to a new PAM design to verify the validity of the proposed approach.

256 A. Quasi-infinite PAM criterion

257 To unify the results from the previous section and identify a criterion for the minimum
 258 number of unit cells $N \times N$ for which a finite PAM is reasonably well represented using a unit
 259 cell with periodic boundary conditions, Figure 7 shows the relative error $\epsilon = |f_P/f_{P\infty} - 1|$
 260 of the finite PAM anti resonance frequency (compared to the infinite PAM anti-resonance
 261 frequency) against $f_{P\infty}$ normalized by the fundamental resonance frequency of the finite
 263 PAM f_0 . f_0 was obtained from an eigenfrequency analysis of the FEM model of the PAM.
 264 Choosing $f_{P\infty}/f_0$ as the measure for the error was motivated by the following reasons: As

discussed above, the boundary conditions of a finite PAM affect the dynamic behavior of the PAM globally, mainly by stiffening the unit cells, leading to altered anti-resonance frequencies f_P . The “global stiffness” of the finite PAM is related to its fundamental resonance frequency f_0 : For PAM with a very small number of unit cells, f_0 can be very large, whereas as $N \rightarrow \infty$, f_0 will approach zero. Thus, the hypothesis is that if $f_0 \ll f_{P\infty}$ (and the ratio $f_{P\infty}/f_0$ is very large), the influence of the finite PAM edge boundary conditions on the anti-resonance should be small.

The results [Figure 7](#) confirm this hypothesis indicating for all considered PAM and boundary condition combinations a similar trend in the reduction of the anti-resonance frequency error ϵ as $f_{P\infty}/f_0$ is increased. The grey area in [Figure 7](#) highlights the region in which $\epsilon < 10\%$ consistently for all combinations of PAM designs and boundary conditions. From this, a criterion for a quasi-infinite PAM with an anti-resonance frequency less than 10% different from the infinite PAM anti-resonance frequency can be deduced:

$$f_0 < 0.01f_{P\infty}, \quad (1)$$

i.e. the fundamental frequency of the finite PAM must be at least 100 times smaller than the desired anti-resonance frequency $f_{P\infty}$.

For a useful a-priori estimation of the quasi-infinite PAM criterion, an estimation of the fundamental resonance frequency f_0 of the finite PAM for different N without requiring numerical eigenfrequency studies of the full size panel is needed. For the sake of simplicity, it is assumed here that for $N \gg 1$ the global bending wavelength at f_0 is larger than the unit cell size and thus the low-frequency behavior of the finite PAM is well represented by the smeared mass and stiffness of the inhomogeneous PAM structure ([Cremer *et al.*](#),

286 2005). Thus, approximate expressions for the fundamental resonance frequency of a square
 287 homogeneous plate will be used to predict f_0 here, with

$$f_0(N) \approx \frac{\pi}{N^2 a^2} \sqrt{\frac{\bar{D}}{m''}} \quad (2)$$

288 for simply supported boundary conditions and

$$f_0(N) \approx 1.84 \frac{\pi}{N^2 a^2} \sqrt{\frac{\bar{D}}{m''}} \quad (3)$$

289 for clamped boundary conditions (Ventsel and Krauthammer, 2001). In both equations, a
 290 corresponds to the unit cell size, m'' is the static surface mass density of the PAM, and \bar{D}
 291 is the smeared bending stiffness. \bar{D} depends on the bending stiffness of the baseplate,

$$D = \frac{Et^3}{12(1 - \nu^2)}, \quad (4)$$

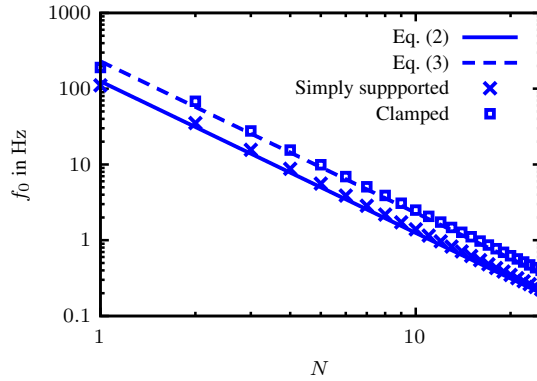
292 and the mass,

$$D_M = \frac{E_M h_M^3}{12(1 - \nu_M^2)} \quad (5)$$

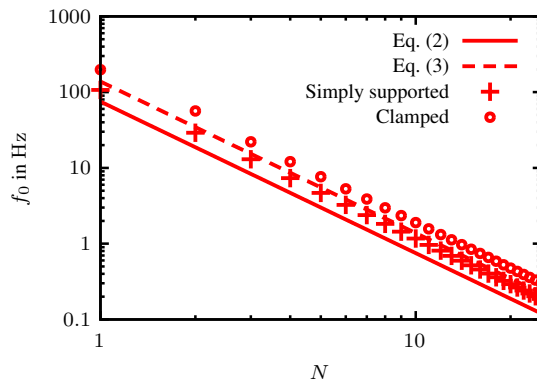
293 (assuming masses with constant thickness h_M), as well as the specific arrangement of the
 294 masses within the unit cell. If the mass is very small compared to the overall unit cell size
 295 (point-like), \bar{D} should be very close to the baseplate bending stiffness D . For larger masses,
 296 the rigidity of the mass will stiffen the baseplate and \bar{D} will be expected to be higher than
 297 D . To estimate \bar{D} for added masses which are not point-like, the following rule of mixture,
 298 analogous to the modelling of composite materials, will be used:

$$\bar{D} \approx \left(\frac{\phi}{D_M} + \frac{1 - \phi}{D} \right)^{-1}, \quad (6)$$

299 where $\phi = 0.25\pi(d_{M,o}^2 - d_{M,i}^2)/a^2$ is the relative area covered by the mass in the unit cell.



(a)



(b)

FIG. 8. Fundamental resonance frequency of the finite PAM for different number of unit cells $N \times N$ and simply supported and clamped boundary conditions for the finite PAM edge. (a) PAM1; (b) PAM2.

300 To evaluate the accuracy of Equation 2 and Equation 3, Figure 8 compares these estima-
 301 tions to the simulated fundamental frequencies of the finite PAM at different values of N .
 302 For PAM1, shown in Figure 8(a), Equation 2 and Equation 3 combined with the estimate
 303 for \bar{D} in Equation 6 provide a reasonably accurate prediction of the finite PAM fundamental
 304 frequency, given the simplicity of the equations. For PAM2 in Figure 8(b) it can be seen
 305 that the model tends to underpredict the fundamental resonance frequency, for both simply
 306

307 supported and clamped boundary conditions. Since for PAM2 the mass size, compared to
 308 the unit cell size, is fairly large ($\phi = 29\%$), Equation 6 appears to underestimate the stiffen-
 309 ing effect of the added mass. If a more accurate prediction of \bar{D} is required, eigenfrequency
 310 simulations can be performed for, e.g., $N = 1$ to obtain $f_0(1)$ and Equation 2 or Equation 3
 311 can then be solved for \bar{D} .

312 B. Application to a new PAM design

313 The quasi-infinite PAM criterion is now validated by applying it to a new PAM design
 314 which differs from the two designs PAM1 and PAM2 that were used to derive the criterion.
 315 The unit cell of this design, denoted PAM3, is shown in Figure 9(a). The unit cell contains a
 316 square shaped steel mass that is attached to a 100 μm thick PET baseplate. The dimensions
 317 of the mass and the unit cell are given in Figure 9(a). The different mass shape was chosen to
 318 test the robustness of the quasi-infinite PAM criterion with respect to mass shapes other than
 319 circular or annular. The dimensions and materials of the PAM3 design result in a static
 320 surface mass density of $m'' = 3.6 \text{ kg m}^{-2}$, a smeared bending stiffness of $\bar{D} = 0.8 \text{ N mm}$
 321 (according to Equation 6), and an infinite PAM anti-resonance frequency of $f_{P\infty} = 218 \text{ Hz}$.
 322 Using these parameters and choosing simply supported boundary conditions for the finite
 323 PAM, Equation 2 is used in conjunction with the criterion in Equation 1 to determine that
 324 at least 4×4 unit cells have to be used in the finite PAM configuration to achieve an
 325 anti-resonance frequency within $\pm 10\%$ of $f_{P\infty} = 218 \text{ Hz}$.
 326

327 Numerical simulation results of the STL of the infinite and finite PAM3 with $N = 4$ are
 328 shown in Figure 9(b). The grey shaded area indicates the frequency range within $\pm 10\%$ of

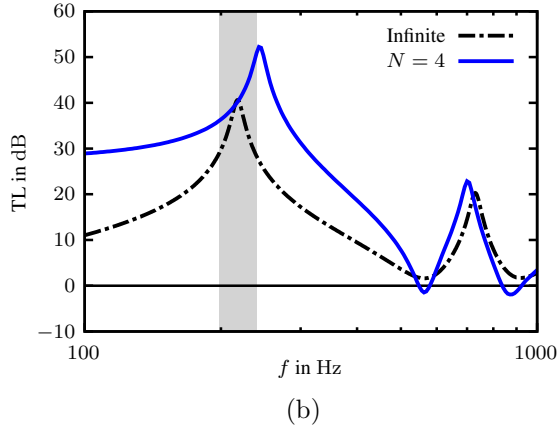
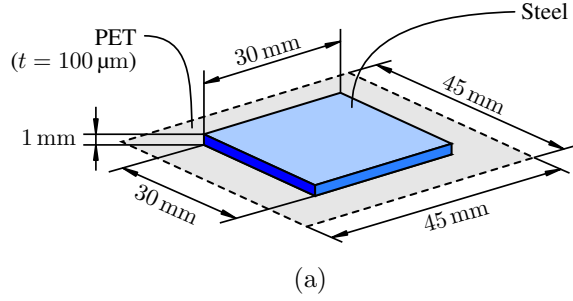


FIG. 9. (a) Unit cell design parameters for PAM3, which was used to validate the proposed quasi-infinite PAM criterion; (b) Sound transmission loss of the infinite and finite PAM3. The grey area denotes a deviation of $\pm 10\%$ from the infinite PAM anti-resonance frequency.

329 $f_{P\infty}$. It can be seen that the anti-resonance peak of the finite PAM falls just outside the area.
 330 Thus, the quasi-infinite PAM criterion underestimated the necessary number of unit cells for
 331 this particular PAM design, but only by a very small margin. As discussed in the previous
 332 sub-section, the accuracy could be improved by estimating \bar{D} using a simulation of the
 333 unit cell with simply supported boundary conditions. Given the simplicity of the criterion
 334 and the fact that the PAM3 unit cell design used a different mass shape than PAM1 and
 335 PAM2, the proposed criterion in Equation 1 and the smeared bending stiffness estimation
 336 in Equation 6 appear to be a useful tool for judging the appropriateness of predicting the

337 anti-resonance frequency of a finite PAM using a unit cell model with periodic boundary
338 conditions.

339 V. CONCLUSION

340 In the present paper the sound transmission loss of finite PAM was investigated, with
341 particular focus on the anti-resonance frequency of the finite PAM and a comparison to the
342 infinite PAM anti-resonance frequency. For this purpose, a large number of numerical simu-
343 lations for two different PAM configurations have been performed. The results were analysed
344 and a simple criterion to determine how many unit cells are necessary for a finite PAM so
345 that its anti-resonance frequency differs from the infinite PAM anti-resonance frequency by
346 less than 10 %. The key results of this study are as follows:

- 347 • The anti-resonance of a finite PAM converges towards the infinite PAM anti-resonance
348 as the number of unit cells increases. This convergence behaviour is independent of
349 the PAM edge boundary conditions (simply supported or clamped).
- 350 • As already shown by [Van Belle *et al.* \(2019\)](#) for PAM with point masses and confirmed
351 in this study for PAM with more general mass shapes, it is advantageous to design PAM
352 such that the anti-resonance frequency (of the infinite PAM) lies within a complete
353 bending wave band-gap. If the PAM anti-resonance frequency does not coincide with
354 a band-gap, peaks and dips from the resonant behaviour of the finite PAM will affect
355 the STL spectrum around the anti-resonance frequency.

- 356 • A quasi-infinite PAM criterion has been developed and is given in [Equation 1](#). This
357 criterion is defined as the required fundamental resonance frequency f_0 of the finite
358 PAM so that the finite PAM anti-resonance frequency f_P differs from the infinite PAM
359 anti-resonance frequency by less than 10%.
- 360 • [Equation 2](#) and [Equation 3](#) can be used as simple formulas to estimate f_0 of a finite
361 PAM and calculate the required number of unit cells N to satisfy the quasi-infinite
362 PAM criterion.

363 These results will support the application of PAM in practical noise control problems.
364 First, the simulation results confirm that computationally efficient unit cell simulation mod-
365 els with periodic boundary conditions provide indeed a good representation of a more re-
366 alistic finite PAM with edge boundary conditions, if the number of unit cells N is large
367 enough. Secondly, the quasi-infinite PAM criterion—though simple and therefore not highly
368 accurate—has been proven as a useful tool to estimate, without the need for costly finite
369 PAM simulations, if a finite PAM with a given number of unit cells can be regarded as
370 infinite, justifying more efficient computations and enabling faster design iterations or op-
371 timizations. The PAM configurations that were considered in this study consisted of unit
372 cells with a single mass, therefore exhibiting a single isolated anti-resonance frequency. The
373 findings in this contribution can, however, be extended towards more advanced PAM designs
374 with multiple anti-resonances or higher bandwidth, as long as these PAM designs can be
375 represented using periodic unit cells, e.g. PAM with multiple masses per unit cell ([Langfeldt
376 and Gleine, 2020b](#)) or sub-unit cells with synergetic coupling ([Ma *et al.*, 2017](#); [Wang *et al.*,
377 2019](#)).

378 **VI. SUPPLEMENTARY MATERIAL**

379 See supplementary material at [URL will be inserted by AIP] for the results of the mesh
380 and PML convergence study for the numerical model, as well as further STL results for
381 PAM1 and PAM2.

382 **ACKNOWLEDGMENTS**

383 The author acknowledges the use of the IRIDIS High Performance Computing Facility,
384 and associated support services at the University of Southampton, in the completion of this
385 work.

386 **AUTHOR DECLARATIONS**

387 **Conflict of Interest**

388 The author has no conflicts to disclose.

389 **DATA AVAILABILITY**

390 The data that support the findings of this study are available from the corresponding
391 author upon reasonable request.

392 ¹See Supplementary material at [URL will be inserted by AIP].

393 ²See Supplementary material at [URL will be inserted by AIP] for a comparison of the STL of finite PAM
394 with simply supported and clamped boundary conditions.

395

- 396 Cremer, L., Heckl, M., and Petersson, B. A. T. (2005). *Structure-Borne Sound: Structural*
397 *Vibrations and Sound Radiation at Audio Frequencies*, 3 ed. (Springer, Berlin).
- 398 Cummer, S. A., Christensen, J., and Alù, A. (2016). “Controlling sound with acoustic
399 metamaterials,” *Nat. Rev. Mater.* **1**(3), 16001, doi: [10.1038/natrevmats.2016.1](https://doi.org/10.1038/natrevmats.2016.1).
- 400 Gao, N., Zhang, Z., Deng, J., Guo, X., Cheng, B., and Hou, H. (2022). “Acoustic
401 Metamaterials for Noise Reduction: A Review,” *Adv. Mater. Technol.* 2100698, doi:
402 [10.1002/admt.202100698](https://doi.org/10.1002/admt.202100698).
- 403 Huang, T.-Y., Shen, C., and Jing, Y. (2016). “Membrane- and plate-type acoustic meta-
404 materials,” *J. Acoust. Soc. Am.* **139**(6), 3240–3250, doi: [10.1121/1.4950751](https://doi.org/10.1121/1.4950751).
- 405 Langfeldt, F., and Gleine, W. (2019). “Membrane- and plate-type acoustic metamaterials
406 with elastic unit cell edges,” *J. Sound Vib.* **453**, 65–86, doi: [10.1016/j.jsv.2019.04.018](https://doi.org/10.1016/j.jsv.2019.04.018).
- 407 Langfeldt, F., and Gleine, W. (2020a). “Impact of Manufacturing Inaccuracies on the Acous-
408 tic Performance of Sound Insulation Packages with Plate-Like Acoustic Metamaterials,”
409 *SAE Int. J. Adv. & Curr. Prac. in Mob.* **3**(2), 1092–1100, doi: [10.4271/2020-01-1562](https://doi.org/10.4271/2020-01-1562).
- 410 Langfeldt, F., and Gleine, W. (2020b). “Optimizing the bandwidth of plate-type acoustic
411 metamaterials,” *J. Acoust. Soc. Am.* **148**(3), 1304–1314, doi: [10.1121/10.0001925](https://doi.org/10.1121/10.0001925).
- 412 Ma, F., Huang, M., and Wu, J. H. (2017). “Acoustic metamaterials with synergetic cou-
413 pling,” *J. Appl. Phys.* **122**(21), 215102, doi: [10.1063/1.5003276](https://doi.org/10.1063/1.5003276).
- 414 Ma, F., Wang, C., Liu, C., and Wu, J. H. (2021). “Structural designs, principles, and
415 applications of thin-walled membrane and plate-type acoustic/elastic metamaterials,” *J.*
416 *Appl. Phys.* **129**(23), 231103, doi: [10.1063/5.0042132](https://doi.org/10.1063/5.0042132).

- 417 Ma, G., and Sheng, P. (2016). “Acoustic metamaterials: From local resonances to broad
418 horizons,” *Sci. Adv.* **2**(2), e1501595, doi: [10.1126/sciadv.1501595](https://doi.org/10.1126/sciadv.1501595).
- 419 Peiffer, A., Grünewald, M., and Lempereur, P. (2015). “Comment on ”a lightweight yet
420 sound-proof honeycomb acoustic metamaterial” [*Appl. Phys. Lett.* 106, 171905 (2015)],”
421 *Appl. Phys. Lett.* **107**(21), 216101, doi: [10.1063/1.4936237](https://doi.org/10.1063/1.4936237).
- 422 Sui, N., Yan, X., Huang, T.-Y., Xu, J., Yuan, F.-G., and Jing, Y. (2015). “A lightweight
423 yet sound-proof honeycomb acoustic metamaterial,” *Appl. Phys. Lett.* **106**(17), 171905,
424 doi: [10.1063/1.4919235](https://doi.org/10.1063/1.4919235).
- 425 Thompson, D. J., Gardonio, P., and Rohlifing, J. (2009). “Can a transmission coefficient be
426 greater than unity?,” *Appl. Acoust.* **70**(5), 681–688, doi: [10.1016/j.apacoust.2008.08.](https://doi.org/10.1016/j.apacoust.2008.08.001)
427 [001](https://doi.org/10.1016/j.apacoust.2008.08.001).
- 428 Van Belle, L., Claeys, C., Deckers, E., and Desmet, W. (2019). “The acoustic insulation per-
429 formance of infinite and finite locally resonant metamaterial and phononic crystal plates,”
430 *MATEC Web Conf.* **283**(June), 09003, doi: [10.1051/mateconf/201928309003](https://doi.org/10.1051/mateconf/201928309003).
- 431 Varanasi, S., Bolton, J. S., and Siegmund, T. (2017). “Experiments on the low frequency
432 barrier characteristics of cellular metamaterial panels in a diffuse sound field,” *J. Acoust.*
433 *Soc. Am.* **141**(1), 602–610, doi: [10.1121/1.4974257](https://doi.org/10.1121/1.4974257).
- 434 Varanasi, S., Bolton, J. S., Siegmund, T. H., and Cipra, R. J. (2013). “The low frequency
435 performance of metamaterial barriers based on cellular structures,” *Appl. Acoust.* **74**(4),
436 485–495, doi: [10.1016/j.apacoust.2012.09.008](https://doi.org/10.1016/j.apacoust.2012.09.008).
- 437 Ventsel, E., and Krauthammer, T. (2001). *Thin Plates and Shells: Theory, Analysis, and*
438 *Applications* (Marcel Dekker, New York).

- 439 Villot, M., Guigou, C., and Gagliardini, L. (2001). “Predicting the Acoustical Radiation
440 of Finite Size Multi-layered Structures by Applying Spatial Windowing on Infinite Struc-
441 tures,” *J. Sound Vib.* **245**(3), 433–455, doi: [10.1006/jsvi.2001.3592](https://doi.org/10.1006/jsvi.2001.3592).
- 442 Wang, X., Chen, Y., Zhou, G., Chen, T., and Ma, F. (2019). “Synergetic coupling large-
443 scale plate-type acoustic metamaterial panel for broadband sound insulation,” *J. Sound*
444 *Vib.* **459**(August), 114867, doi: [10.1016/j.jsv.2019.114867](https://doi.org/10.1016/j.jsv.2019.114867).
- 445 Xiao, Y., Cao, J., Wang, S., Guo, J., Wen, J., and Zhang, H. (2021). “Sound transmission
446 loss of plate-type metastructures: Semi-analytical modeling, elaborate analysis, and ex-
447 perimental validation,” *Mech. Syst. Signal Process.* **153**, 107487, doi: [10.1016/j.ymsp.](https://doi.org/10.1016/j.ymsp.2020.107487)
448 [2020.107487](https://doi.org/10.1016/j.ymsp.2020.107487).
- 449 Yang, M., Ma, G., Wu, Y., Yang, Z., and Sheng, P. (2014). “Homogenization scheme for
450 acoustic metamaterials,” *Phys. Rev. B* **89**(6), 064309, doi: [10.1103/PhysRevB.89.064309](https://doi.org/10.1103/PhysRevB.89.064309).
- 451 Yang, Z., Dai, H. M., Chan, N. H., Ma, G. C., and Sheng, P. (2010). “Acoustic metamaterial
452 panels for sound attenuation in the 50–1000 Hz regime,” *Appl. Phys. Lett.* **96**(4), 041906,
453 doi: [10.1063/1.3299007](https://doi.org/10.1063/1.3299007).
- 454 Yang, Z., Mei, J., Yang, M., Chan, N. H., and Sheng, P. (2008). “Membrane-type acoustic
455 metamaterial with negative dynamic mass,” *Phys. Rev. Lett.* **101**(20), 204301, doi: [10.](https://doi.org/10.1103/PhysRevLett.101.204301)
456 [1103/PhysRevLett.101.204301](https://doi.org/10.1103/PhysRevLett.101.204301).