

On the noise reduction mechanism of over-tip liners

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1 The application of acoustic liners near/directly over a sound source has gained sig-
2 nificant interest for the excess noise reduction achieved with Over-the-Rotor (OTR)
3 liners compared to the conventional liner installations at the intake of an aero-engine.
4 However, the mechanism of noise reduction achieved in the OTR liners is not clearly
5 understood. This paper aims to explain this mechanism by considering a static
6 monopole source placed over a finite liner insert with a zero background mean flow.
7 This has been investigated numerically using COMSOL Multiphysics in a half-space
8 domain and compared with reference analytical solutions for infinite lined walls. One
9 of the key findings of the paper is the underlying physics of the source modification
10 mechanism, which has been found to be the interference between the primary noise
11 source and a secondary noise source forming on the liner surface. It is identified
12 through an optimal impedance study that this back-reaction mechanism is dominant
13 when the source is located for a normalised tip gap, $e/\lambda < 0.25$, where e is the
14 distance between the source and the liner surface and λ is the acoustic wavelength.
15 Within this region, there exists an optimum normalised liner length, L/e providing
16 a maximum insertion loss.

17 I. INTRODUCTION

18 Liners are passive noise reduction treatments used across different industrial sectors. In
19 aero-engines, liners are conventionally placed in the intake and bypass region of turbofan
20 engines. Due to the increase in the bypass ratio and the reduction in the length of the
21 engines, the effective lined area in the engine has reduced. In addition, the predicted growth
22 of air travel will require further reductions in engine noise to meet regulations. The use
23 of liners in the vicinity of the rotor has been studied extensively in recent times to further
24 increase noise suppression. This liner configuration is particularly suited to reducing the
25 acoustic signature of urban air mobility vehicles with compact noise propulsion systems
26 like shrouded propellers. More generally, this technology can be used to reduce noise in
27 any application involving ducted rotors, not only in aerospace but also in the automotive
28 industry and for heating, cooling and ventilation systems.

29 Some of the first studies of Over-The-Rotor (OTR) liners were carried out with Foam
30 Metal Liners (FML) as they had a small impact on the performance of the engine and
31 are compatible with a wide range of operating conditions ([Jones *et al.*, 2009](#); [Sutliff *et al.*,
32 2008](#)). FML were designed with various values of porosity and thickness and tested in an
33 OTR configuration in the low-speed fan ANCF testbed at NASA GRC by Sutliff and Jones
34 ([Sutliff and Jones, 2009](#)). The far-field noise was measured with a semi-circular array of
35 microphones around the exhaust and the surface pressure was measured at five points over
36 the FML. An insertion loss of 5 dB in far-field noise was observed, which was attributed
37 to the effect of source modification and conventional attenuation. Further testing of OTR

38 liners by Sutliff et al. (Sutliff *et al.*, 2009) using a high-speed fan showed that the OTR liners
39 were effectively reducing the noise only up to sonic tip speed. The effect of OTR liners on
40 the performance of the engine was evaluated by Bozak et al. (Bozak *et al.*, 2013). It was
41 shown that OTR configurations with circumferential grooves have minimal impact on the
42 aero-engine performance.

43 In the above literature, the main reason for the effectiveness of OTR liners was attributed
44 to the noise reduction in the near field region of the rotor by acting as a pressure release
45 boundary condition. This problem was studied analytically in (Palleja-Cabre *et al.*, 2022b)
46 by coupling the fan sources, represented by a point source, with the sound propagation
47 and noise suppression by using Green's functions and mode-matching techniques. It was
48 found that the source modification effects for the values of impedance investigated were
49 most dominant for $e/\lambda < 0.5$, where e is the distance from the source to the liner wall and
50 λ is the acoustic wavelength. This model was improved in (Palleja-Cabre *et al.*, 2022a) to
51 include the modelling of an inlet termination and distributed rotating sources and compared
52 with the experimental results of Bozak and Dougherty (Bozak and Dougherty, 2018). More
53 recently, this problem was also studied analytically by Sun et al. (Sun *et al.*, 2022) by using
54 a coupled singularity method. It was found that the OTR liners can alleviate the unsteady
55 blade loading and that the close proximity to the fan intensifies the fluid particle oscillation
56 through the acoustically treated wall.

57 Further experimental work was performed by Palleja-Cabre et al. (Palleja-Cabre *et al.*,
58 2020) by using a simplified set-up in which the fan rotor and OTR liner were represented by
59 a static airfoil with its tip located over a flat plate containing the liner insert. It was found

60 that the noise reduction in the region close to the liner is independent of the flow speed
61 but it decreased with the increase in the tip gap. The balance of the source modification
62 and conventional attenuation effects was studied with different types of liner inserts but
63 the results were inconclusive. The experimental results were also compared to analytical
64 predictions based on an adaptation of the work of Thomasson (Thomasson, 1976) and Levine
65 (Levine, 1980) for a point source over a lined plane. It was assumed that the sources of tip
66 leakage noise are concentrated at the aerofoil tip and that they can be approximated as a
67 point source over a rigid or lined infinite plane. The model was found to have a qualitative
68 agreement with experimental results. However, it was hypothesised in the literature that the
69 aerodynamic effects of the liners in the tip gap region are also influencing the measured far
70 field noise. This motivated the current numerical analysis of the problem to distinguish the
71 acoustic source modifications and aerodynamic modifications due to a different tip leakage
72 flow.

73 This work is an extension of the study of using the liners in the near field or in proximity to
74 the sound source. The primary objective of the present work is to improve the understanding
75 of the physical noise reduction mechanism of placing liners close to a sound source and trying
76 to isolate them in the absence of flow. The key contributions of this research are:

- 77 • Description of the physical mechanism of the back reaction effects observed with liners
78 located near the point source, and showing that this effect is dominant for $e/\lambda < 0.25$.
- 79 • The dependency of the optimal impedance on the tip gap, e , the liner length, L ,
80 and the acoustic wavelength, λ , and the effect of these parameters in the total noise
81 reduction achieved with the liners located near the point source.

82 II. COMPUTATIONAL MODEL

83 A. Analytical optimal impedance study

84 An optimal impedance study was first performed analytically to see the dependency of
85 the impedance on the tip gap, e . In the Ingard and Lamb ([Ingard, 1951](#)) analytical models,
86 the reflections due to the proximity of the sound source to the boundary were considered
87 to be the effect of an image source. This image source modifies the total radiated sound
88 power level in the far field, which can be obtained by integrating the energy flux in the far
89 field over the half-space. The acoustic power is then normalised by the free field power or
90 the sound power generated in the absence of a boundary at a similar distance. The ratio of
91 those is used to determine a power amplification factor. The power amplification factor for
92 a monopole source over a hard wall is expressed as,

$$\frac{P}{P_f} = 1 + \frac{\sin z}{z}, \quad (1)$$

93 and for a dipole,

$$\frac{P}{P_f} = 1 + \frac{3}{z} \left[\frac{\sin z}{z^2} - \frac{\cos z}{z} \right], \quad (2)$$

94 where, P is the power in the half space domain, P_f is the power in the free field, and $z = 2ke$,
95 with k representing the wavenumber and e being the tip gap.

96 Thomasson ([Thomasson, 1976](#)) extended the Ingard model for the infinitely lined bound-
97 ary and Levine ([Levine, 1980](#)) provided closed-form analytical expressions of the power
98 amplification factors for a monopole point source in such conditions. These are calculated
99 for the lined boundary as given below.

$$\frac{P}{P_f} = 1 + \frac{\sin z}{z} + 2 \operatorname{Re}(Ae^{jAz}[E_1(j[1+A]z) - E_1(jAz)]) - 2 \operatorname{Re}(A) \int_0^1 \frac{\mu \cdot d\mu}{|A + \sqrt{1 - \mu^2}|^2}, \quad (3)$$

100 where

$$E_1(\zeta) = \int_{\zeta}^{\infty} \frac{x^{-\xi} d\xi}{\xi}, \quad |\operatorname{arg}(\zeta)| < \Pi, \quad (4)$$

101 A is the admittance, and ζ and μ are dummy variables. The admittance in Equation 3 is

102 the inverse of the acoustic impedance of the liner, Z .

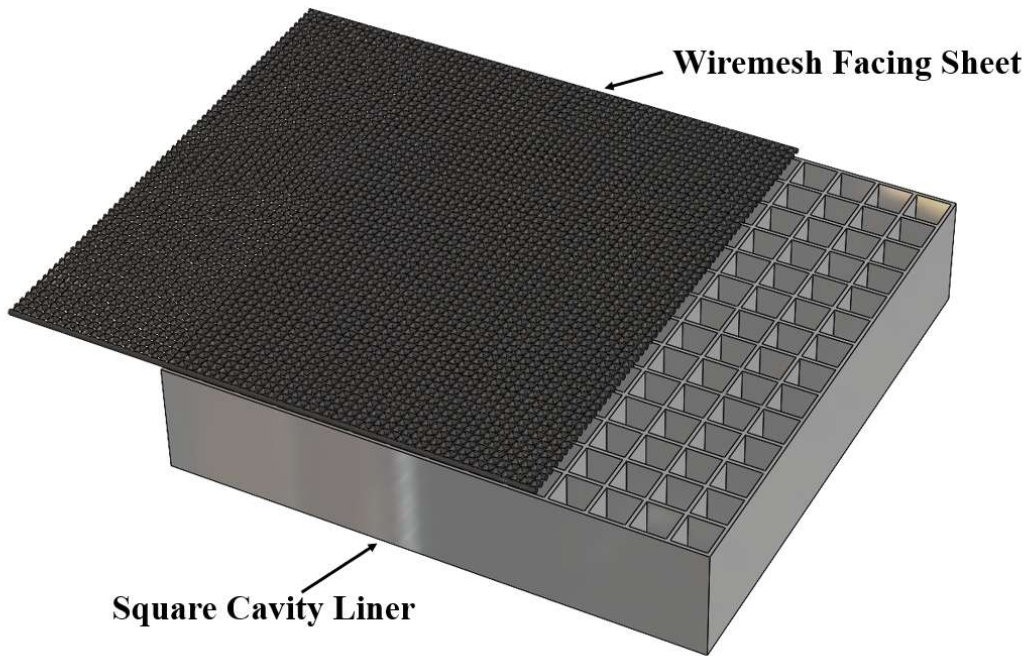


FIG. 1: Single Degree of Freedom liner with wire-mesh facing sheet.

103 Figure 1 shows a Single Degree of Freedom (SDOF) liner with a wire-mesh facing sheet,
 104 which has been used for most of the analysis in this paper. The properties of the liner that
 105 was used for the experimental study reported in the later section of this manuscript were

106 given as the input to the numerical model. The cavity depth of the SDOF liner, h , is set to
 107 30.48 mm. Liner samples were tested experimentally in a normal incidence impedance tube.
 108 The inertance of the wire-mesh was estimated through the application of a total reactance fit
 109 to the measured data (see Figure 2). As expected, the estimated inertance was very small,
 110 typical for a wire-mesh facing sheet, and was assumed to be a constant value of 8 mm. The
 111 predicted normalised resistance, normalised reactance, and absorption coefficient spectra for
 112 the liner used in this study were compared with the measured data and shown in Figure 2.
 113 The measurement is valid for frequencies between 0.1 and 5 kHz due to the length and
 114 diameter of the impedance meter. The normalised resistance R is assumed to be a constant
 115 at $1\rho c$ across all frequencies as it is a linear liner. The reactance is calculated by adding
 116 the mass reactance kM_f and the cavity reactance, which is a function of wavenumber k and
 117 cavity depth h as shown in Equation 5. As shown in Figure 2, the liner was found to be in
 118 resonance at 2250 Hz which is signified by the zero reactance and maximum absorption of
 119 $\alpha = 1$. The second resonance of the liner is approximately at 7000 Hz. The anti - resonance
 120 condition was present in frequencies close to 200 Hz and 5600 Hz, corresponding to the
 121 point where the impedance becomes infinite causing the absorption coefficient to be zero.
 122 For most of the analysis in this paper, the noise source was generated at these resonance
 123 and anti-resonance frequencies to study the noise reduction mechanism of the liner for the
 124 over-the-rotor application.

$$Z = R + i [k M_f - \cot(kh)] \quad (5)$$

125 The analytical optimal impedance is determined by varying the resistance and reactance
 126 at 2250 Hz resonance frequency. The resistance values were varied from 0 to 5 in steps of 0.05

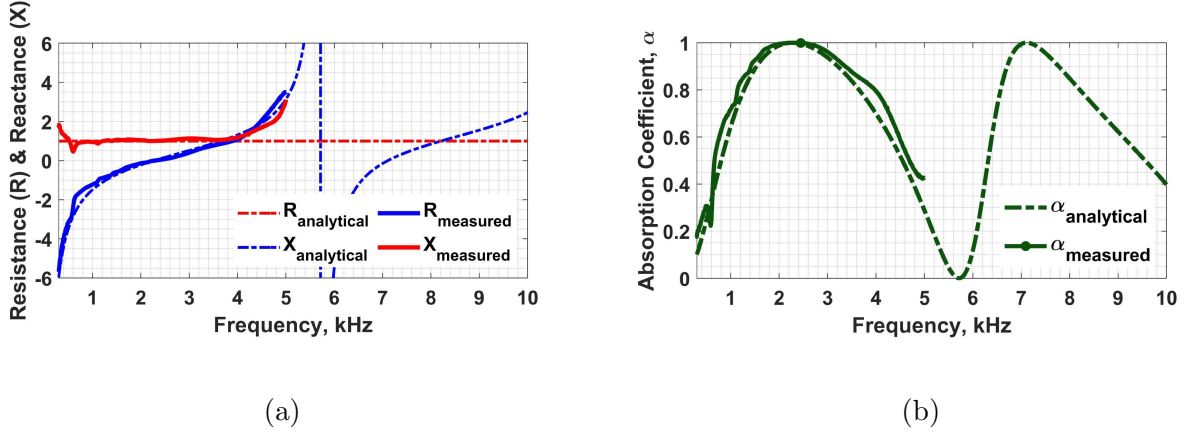


FIG. 2: (a) Resistance and Reactance plot of the liner (b) Absorption spectra of the liner.

127 while the reactance was varied from -5 to 5 with 0.05 resolution. The difference in radiated
 128 power between the lined and hardwall configurations, the insertion loss, is calculated for
 129 different tip gaps ranging from 0 m to 1.5 m by using the power amplification factors in
 130 the above equations. The resistance and the reactance that yield maximum insertion loss
 131 for each gap size are then determined. This is the optimal resistance and reactance for the
 132 given tip gap in the considered frequency. The optimal resistance and reactance are plotted
 133 against the tip gap normalized by the wavelength in Figure 3. The results obtained with the
 134 analytical model are shown by the solid lines and the optimal impedance calculated from
 135 the numerical model with a 9 m liner (longest liner in the considered domain) for different
 136 tip gaps is indicated by the markers.

137 The optimal resistance is observed to be close to zero up to $e/\lambda = 0.25$, indicated by
 138 the dashed line in Figure 3. When the resistance is zero, the particle velocity flow into the
 139 liner is high due to less damping on the facing sheet, which will be explained further in the

140 results section. This value of e/λ is an important criterion for the back reaction effect and
 141 is one of the key findings in this work. The region to the left of this point can therefore
 142 be termed the region of back reaction as this is the dominant noise reduction mechanism.
 143 This point corresponds to a tip gap of 0.038 m for a monopole sound source producing a
 144 tone at 2250 Hz . Beyond this region, the noise reduction is progressively dominated by
 145 the conventional absorption of the liner and hence termed here as the region of absorption.
 146 Since the numerical model is developed to study the effects of a finite lined boundary, the
 147 values for the case with $L = 9\text{ m}$ are shown only for comparison. The results and detailed
 148 analysis for the finite liner configurations are presented in later sections.

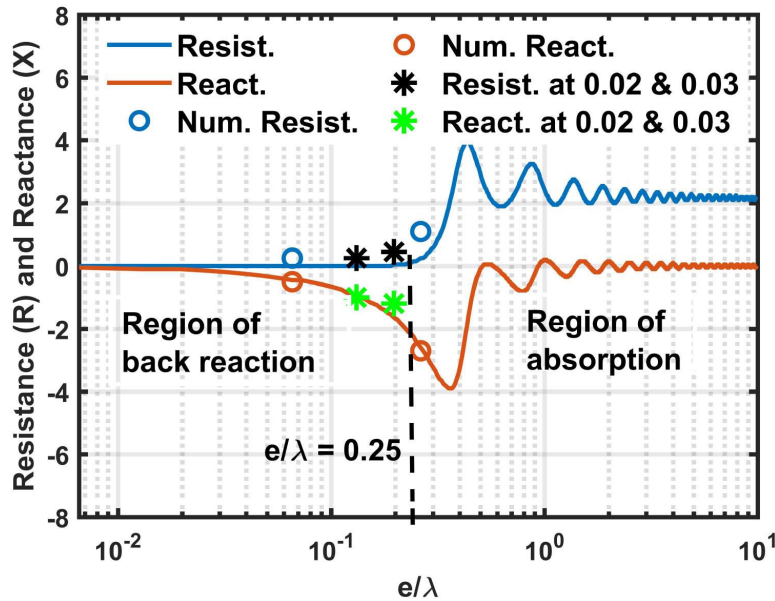


FIG. 3: Optimal resistance and optimal reactance for different tip gap at 2250 Hz .

149 The contour plots of the analytical optimal impedance for the selected tip gaps $e/\lambda =$
 150 $[0.065, 0.262, 0.459]$ m are shown in Figure 4 to visualise the variation in insertion loss with
 151 the tip gap. These values of tip gap were selected to represent the source location in the

152 region of back reaction, at the transition point, and in the region of absorption. It can be
 153 interpreted from the figure that the peak insertion loss decreases with the increase in the tip
 154 gap signifying the reduction in the back reaction effect. The variation of optimal reactance
 155 with the tip gap indicates that the liner must be tuned for different tip gaps for maximum
 156 noise suppression at a given frequency. These results are however not directly comparable to
 157 the case of OTR liners since those are of finite length. Hence, the current numerical model
 158 was built to model the case of a finite liner.

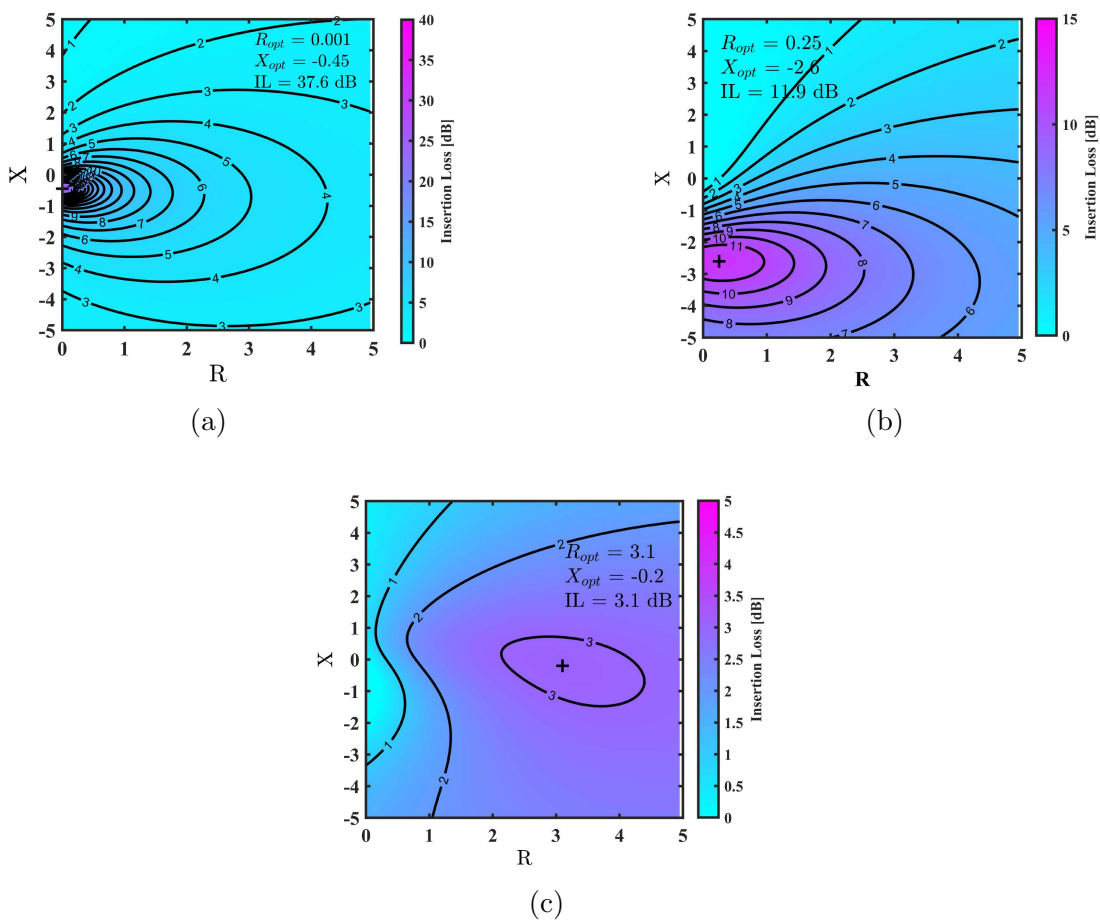


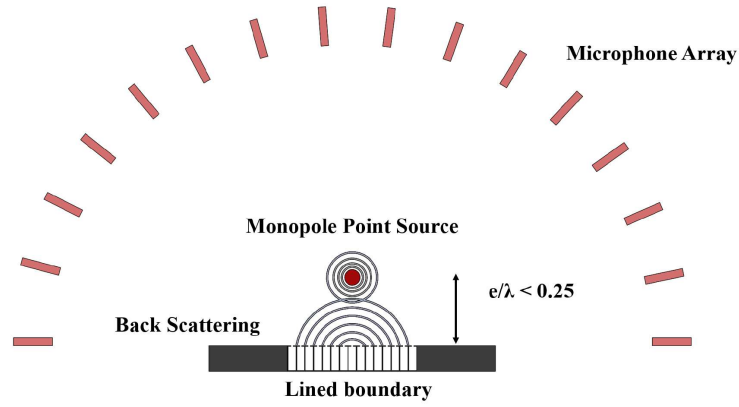
FIG. 4: Optimal impedance contour for infinite liner (a) $e/\lambda = 0.065$, (b) $e/\lambda = 0.262$,
 and (c) $e/\lambda = 0.459$.

159 B. Numerical COMSOL Model

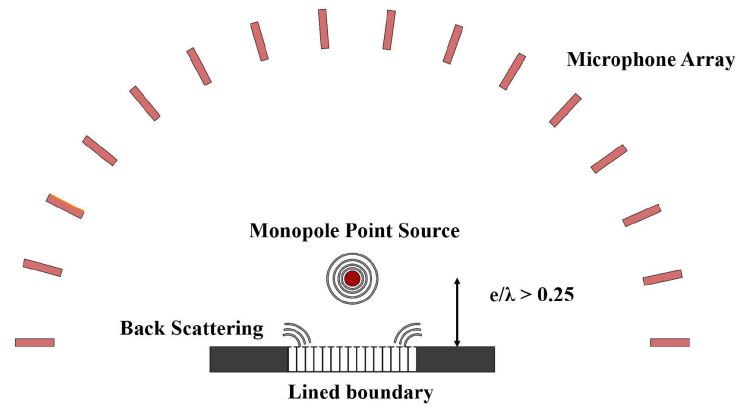
160 The numerical model with a finite lined boundary was built in 2-D space in COMSOL.
161 The ‘Pressure Acoustics’ physics was used, as it is a zero flow model, and the frequency
162 domain study was performed. A semicircle of 5 metres radius was created and a monopole
163 point source was initially positioned at a distance of 0.01 *mm* from the base of the semicircle.
164 A Perfectly Matched Layer (PML) condition was given to the domain beyond the 5 metre
165 arc. The monopole point source strength was kept at 50 m^2/s . In the base of the semicircle,
166 the liner cavities were modelled and terminated by a hard wall on either side. It is essential
167 to have a hardwall boundary between the PML boundary layer and the lined boundary
168 condition in COMSOL. The maximum liner length was therefore limited to 9 *m* with 4.5 *m*
169 on either side of the domain centre. The basic schematic of the model is shown in Figure 5.
170 Figure 5 (a) depicts the back reaction and Figure 5 (b) shows the back scattering effect.
171 The resistance and mass inertance of the facing sheet were given as a boundary condition
172 and the cavity reactance was captured by physically modelling the cavity depth. This was
173 done to investigate the particle velocity flow into the liner so as to understand the source
174 modification mechanism. The initial conditions for the model are listed in Table I. The
175 domains were mapped meshed with the element size varying from one-sixth to one-tenth of
176 the wavelength of the maximum frequency. The model was solved by using the Helmholtz
177 equation as given below,

$$\nabla \cdot \left(-\frac{1}{\rho} (\nabla p_t + F_d) \right) - \frac{k^2}{\rho} p_t = Q_m, \quad (6)$$

178 where p_t is the total acoustic pressure in the domain, ρ is the density of the medium (air),
 179 k is the acoustic wavenumber, Q_m is the monopole source term, and F_d is the dipole source
 180 term.



(a)



(b)

FIG. 5: (a) Schematic of the numerical model showing back reaction, (b) Schematic of the numerical model showing back scattering.

181

182

Geometry	Semicircular domain
Mean flow	Zero
Propagation	Linear
Impedance	Single degree of freedom, locally reacting, Normal impedance boundary condition
Source	static point source monopole and dipole
Measurement probes	Horizontal axis semicircular array microphones

TABLE I: Initial conditions in numerical model.

184 In line with the analytical model, the far field sound power is evaluated over a 4.5 m arc
185 from the centre of the base. The far-field insertion loss is then calculated by subtracting
186 the sound power for the hard wall and lined cases. The phase of the acoustic pressure
187 and particle velocity flow into the liner are also evaluated to study the source modification
188 mechanism. The latter was computed by taking the integral of the vertical particle velocity
189 on top of each cavity.

190 C. Verification of the model

191 The model was initially verified for the hard wall boundary condition. The comparison
192 of the power amplification factor between the numerical and the analytical model for the
193 monopole and dipole source in the presence of the hardwall boundary is shown in Figure 6
194 (a) and (b) respectively. The power amplification factor was calculated for a fixed tip gap of

195 $e = 0.01 \text{ mm}$ while varying the frequency of the noise source. This was done to investigate
 196 the validity of the model across a range of frequencies. The model shows an agreement
 197 with the analytical predictions. There is a deviation of one decibel in the higher frequencies
 198 ($e/\lambda > 0.2$) due to the mesh size. The deviation was found to progressively reduce as we
 199 decreased the mesh size. The solution however was found to be converged for the mesh size
 200 utilised in this study.

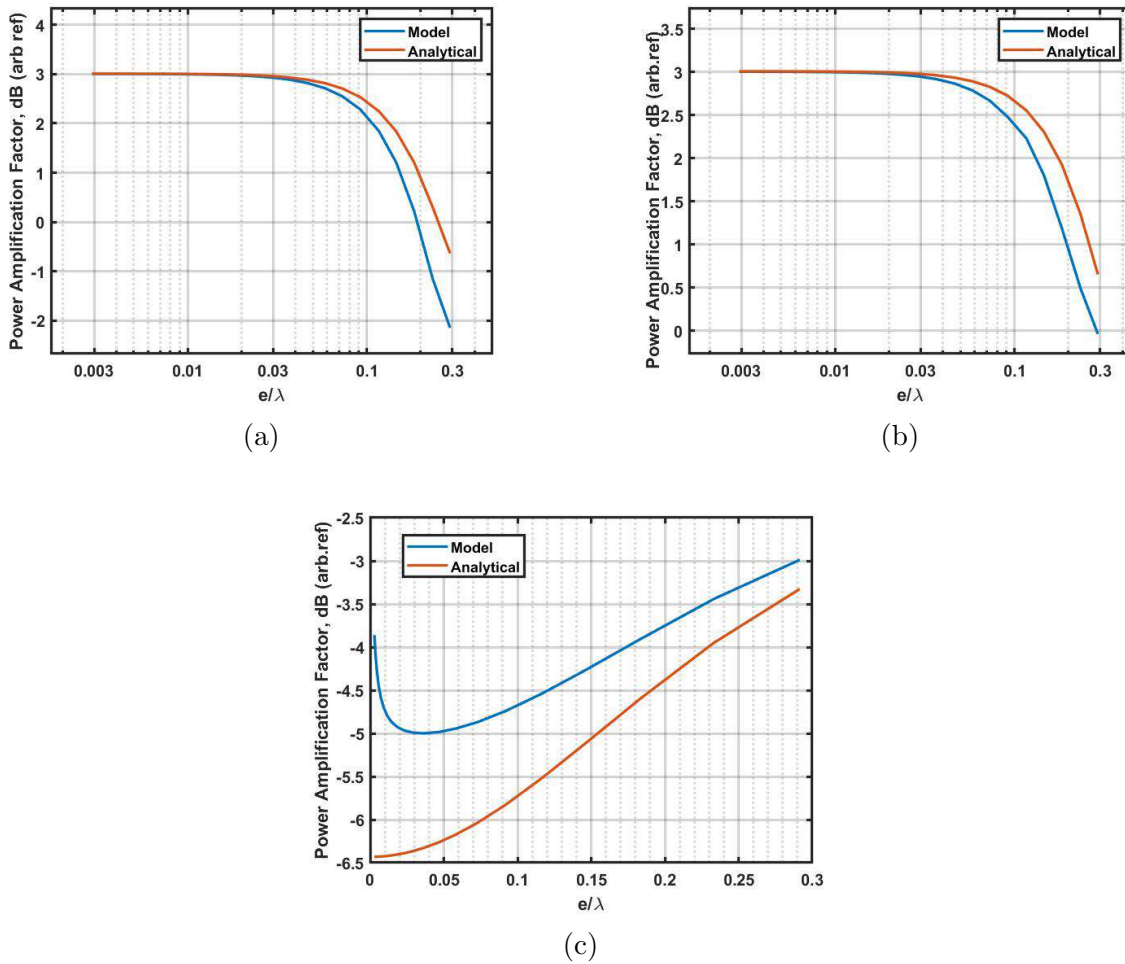


FIG. 6: Comparison of numerical and analytical model (a) Monopole source over a hard wall, (b) Dipole source over a hard wall, and (c) Monopole source over a lined wall.

201
 202

203 The comparison of the power amplification factor for the lined boundary condition is
204 shown in Figure 6 (c). The numerical model shows a similar qualitative behaviour to the
205 analytical model. However, a deviation of 1 dB can be observed across all frequencies,
206 which increases at the low frequency limit. This is attributed to the finite length of the
207 liner considered in the model. It was suggested by Thomasson and Levine that the domain
208 radius must be very large compared with the acoustic wavelength, but with the increase
209 in the domain radius the mesh size had to be increased to reduce the computational load
210 on the system. This introduced errors in the model and there were huge deviations due to
211 that at high frequencies. The domain size was therefore kept at a 5 metre radius and the
212 performance of the 9 m liner could not match with the analytical model perfectly. However,
213 the same qualitative behaviour is observed in the numerical and analytical results, except
214 for very low frequencies, the current model was used for further analysis.

215 III. RESULTS AND DISCUSSION

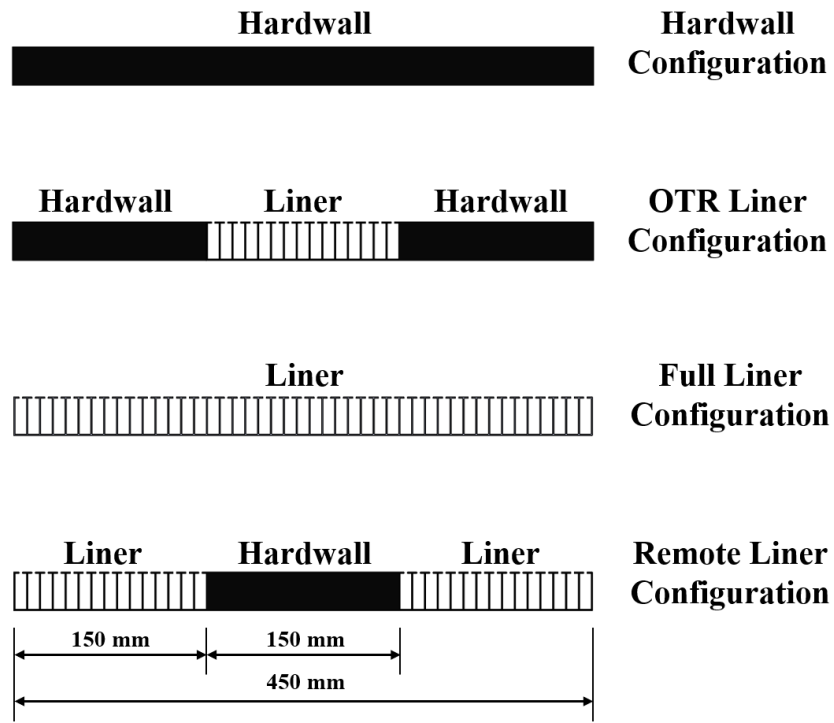
216 The results presented in this paper are categorised into three sections. A preliminary
217 study of the various noise reduction mechanisms of the OTR liners is first presented. This
218 study forms the basis for exploring the contributions from the back reaction and the back
219 scattering effects due to OTR liners. The second section identifies the optimal impedance
220 condition of OTR liners for achieving the maximum back reaction effect. Finally, the nu-
221 merical findings are validated experimentally in the last section.

222 **A. Introduction to OTR Liners Noise Reduction Mechanism**

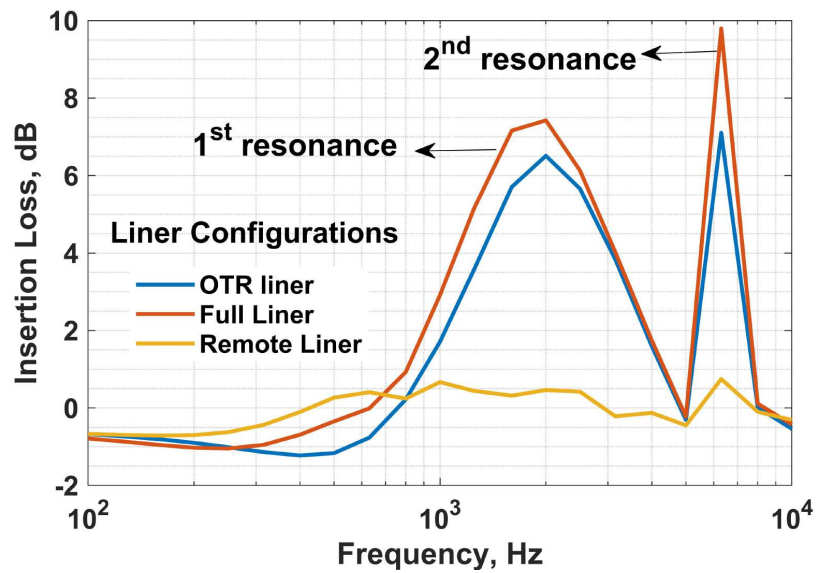
223 Before analysing the back reaction mechanism in the OTR liners, we present a brief
224 overview of the different noise reduction mechanisms involved in the OTR liners. In the
225 previous analytical work of OTR liners in ducts (Palleja-Cabre *et al.*, 2022b), the noise
226 reduction mechanism was categorised into two types: (1) the noise *attenuation* caused by
227 the acoustic energy dissipated by the liners, and (2) the *source modification* due to the
228 back-reaction effects on the source due to the lined or hard-wall. It was also found that
229 back-reaction effects on the source power are influenced by the proximity of the source to an
230 impedance discontinuity. This effect is investigated further in this section. An attempt to
231 qualitatively quantify the different noise reduction mechanisms was performed experimen-
232 tally in (Palleja-Cabre *et al.*, 2020) by investigating the reductions in tip leakage noise in
233 the presence of the OTR liners. Four different liner treatments were tested, which are shown
234 in the schematic in Figure 7 (a). The same four boundary conditions are simulated here
235 in the COMSOL Multiphysics. Through this study, it was found that a liner length close
236 to 150 *mm* was sufficient to provide approximately 90% of the insertion loss compared to
237 an infinite liner. The insertion loss increased marginally with further increase in the liner
238 length beyond 150 *mm*. Hence, the length of the lined section for the OTR configuration
239 was fixed at 150 *mm*. For consistency purpose, the lined boundary was extended by an
240 equal length of 150 *mm* on either side of the OTR liner providing a full liner configuration
241 of 450 *mm*. For the remote liner configuration the OTR configuration was reversed, thereby,
242 the length of the lined section was 150 *mm* on each side of a 150 *mm* hard wall section,

243 keeping the total section length constant at 450 *mm*. A hardwall boundary condition is
244 imposed beyond the liner section, constituting a finite length liner. The source is located at
245 a tip gap e of 0.01 *m*, which is in the region of back reaction for the frequencies tested here
246 based on Figure 3. The source is fixed at this location to distinguish the role of the back
247 reaction effect on the noise reductions. The insertion loss spectra in the far field is plotted
248 against for different liner configurations in Figure 7 (b).

250 It can be seen in Figure 7 (b) that both the OTR liner and the full liner provide maximum
251 noise reductions close to their resonance frequency of 2250 Hz. It is expected that the OTR
252 liner, being only of a short length, would not be capable of providing significant levels of noise
253 attenuation in the conventional sense of acoustic energy dissipated by the liner. However,
254 since it is installed close to the source, it should be capable of providing source modification
255 effects. A comparison of the predicted insertion loss for the OTR and full liner cases indeed
256 suggests that the conventional attenuation effect is minimal for the OTR liner since the full
257 liner only provides some 1-2 dB of additional insertion loss. Conversely, the noise reduc-
258 tion with the remote liner is weak across all frequencies. The remote liner configuration
259 is expected to reduce noise mainly due to conventional attenuation and provide barely any
260 back-reaction effects since the liner is located further away from the source. The results in
261 Figure 7 (b) therefore suggest that the noise reductions in the OTR and full liner configura-
262 tions are mainly driven by the source modification mechanism, which is being shown for the
263 first time in this paper. In addition to the source modification and conventional attenuation
264 mechanism, the discontinuity in the impedance boundary between the liner and the hard
265 wall boundary might produce some back-scattering effects. The excess noise reduction with



(a)



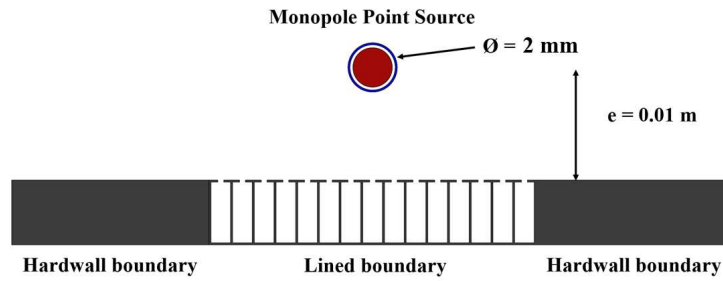
(b)

FIG. 7: (a) Boundary condition used in the experimental study, (b) Insertion loss variation with liner configurations.

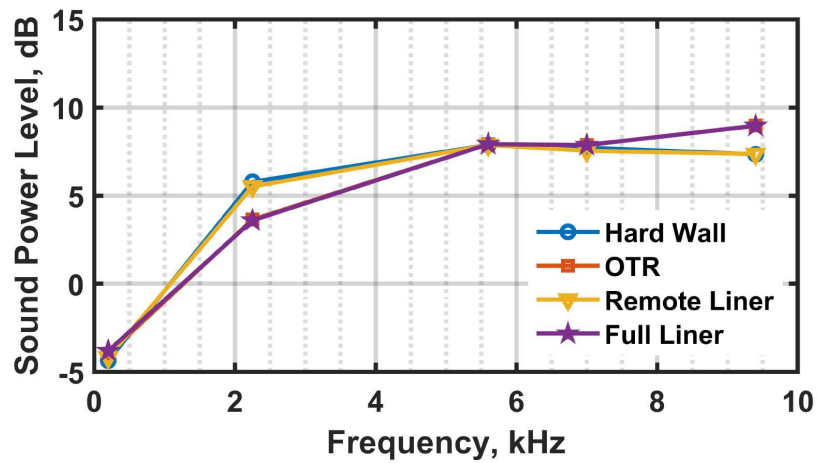
266 the full liner compared to the OTR liner can also be the result of the conventional attenu-
267 ation in the extended length of the liner and different back-scattering effects due to having
268 the impedance discontinuity further away from the source. These however seem to be small
269 in comparison to the source modification effects for this configuration. In conclusion, Fig-
270 ure 7 (b) indicates that a small length of the liner covering the region acoustically close to
271 the source is sufficient to yield significant levels of noise reduction, which are predominantly
272 caused by the source modification mechanism. This is another significant finding in this
273 paper which is investigated further with the analysis of the acoustic particle velocity into
274 the liner cavities in the upcoming section.

275 *1. Role of the resonance condition of the liner on the Source Modification*

276 In the previous section, the maximum noise reduction was analysed only at the resonance
277 frequency of the liners. The dependency of source modification on the resonance condition
278 is investigated here by calculating the power around the point source over a small circular
279 region of 1 mm radius around the source, as shown in figure 8 (a). The acoustic power was
280 calculated at five frequencies, covering the first two resonance, anti-resonance and a partial-
281 resonance region. The frequencies of 2250 Hz and 7000 Hz are the resonance condition, 200
282 Hz and 5000 Hz are the anti-resonance, and 9400 Hz is partial resonance (0.5 absorption
283 coefficient) for the considered liner. The normalised resistance and reactance (only the wire-
284 mesh face sheet component) was defined at the boundary condition from the spectra shown
285 in Figure 2. For comparison, the power in decibels (with an arbitrary reference of 1 dB) for
286 each boundary condition is plotted against frequency in Figure 8 (b).



(a)



(b)

FIG. 8: (a) Schematic of the circle around the source defined in COMSOL, (b) Power around the source for different boundary condition.

288 It is clear from Figure 8 (b) that the remote liner has little effect on the source power
 289 as it shows the similar values of power output as in the case of a hard wall hard wall at

290 all these frequencies. In contrast, the OTR liner and the full liner have reduced the source
291 power in the resonance frequency by 4 *dB*. In fact, both these boundary conditions have
292 a similar effect on the source, which shows again that the small liner length is sufficient
293 to have the maximum reduction in source power. This short length of the liner is where
294 the source modification due to the back reaction effect is expected to be dominant. At
295 frequencies of 7000 *Hz* and 9400 *Hz*, which are resonance and partial resonance respectively,
296 a slight increase in the power around the source is observed, which could be the effect of the
297 scattering at the impedance discontinuities. At the anti-resonance frequencies, all boundary
298 conditions show identical results as they are effectively a hard wall condition. This signifies
299 that the source modification effect is dependent on the resonance condition. Nevertheless,
300 it is difficult to argue that the source modification is the sole reason for the increased noise
301 reduction achieved with the OTR liner as back scattering effects also affect the radiated
302 noise, which will be analysed in the subsequent section.

303 2. *Mechanism of source modification*

304 Source modification is one of the dominant mechanisms of noise reduction achieved with
305 the OTR liners as shown by the analysis in the previous section. However, this section is
306 focused on explaining the physical mechanism of the source modification. It was shown that
307 the source modification effects are dominant at the resonance frequencies. This observation
308 led to the evaluation of the acoustic particle velocity flowing into the liner when the noise is
309 generated at the resonance and anti-resonance frequencies of the liner. The vertical compo-
310 nent of the particle velocity over each cavity is integrated over the liner length to determine

311 the volume flow or the source strength on the liner surface. The resonance frequency of
312 2250 Hz and anti-resonance frequency of 200 Hz are used for this analysis.

313 The vertical velocity contours for the frequencies of 2250 Hz and 200 Hz are presented in
314 Figures 9 (a) and (b) respectively. Additionally, in Figure 10, the volume flow rate measured
315 along the liner surface on either side of the source for tonal excitation at the resonance (2250
316 Hz) and anti-resonance (200 Hz) frequency is shown. From the velocity contour plot for the
317 resonance frequency 9 (a), a peak can be seen in the acoustic particle velocity flow over the
318 liner surface directly near the source location. A similar trend in the volume flow rate is
319 also observed, as shown in the Figure 10, where the maximum volume flow rate is at the
320 centre of the liner length. The volume flow rate drops gradually with the distance away
321 from the centre. This peak resembles a source formation with out of phase compared to
322 the primary monopole source. This is verified with the contour of the phase of the acoustic
323 pressure shown in Figure 11 (a). As seen in the phase plot, the acoustic pressure in the
324 liner is out of phase with the sound pressure outside the cavities. It is also noted that the
325 strength of this secondary source is comparable to the primary monopole source. However,
326 at the anti-resonance condition, as shown in Figure 10, the magnitude of the velocity flow
327 in the liner is minimal since the liner behaves like a hard wall. The source formation is
328 therefore also limited in the anti-frequencies given by Figure 9 (b). Correspondingly, there
329 is no change in the phase of the sound pressure as shown by the phase contour in Figure 11
330 (b). This analysis implies that the source modification could be the result of the interference
331 between the primary monopole source and a secondary source on the liner surface caused

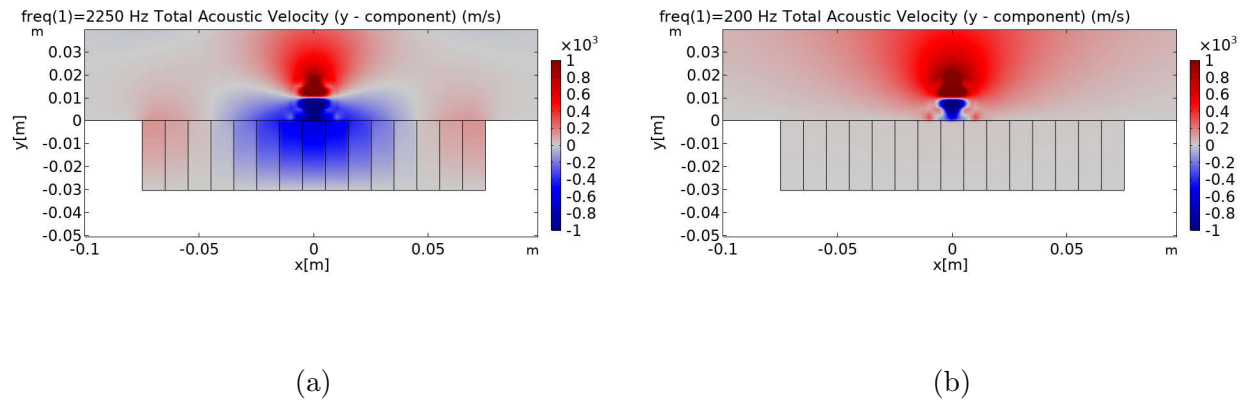


FIG. 9: (a) Velocity contour (y - component) in the liner at resonance frequency of 2250 Hz , (b) Velocity contour (y - component) in the liner at anti-resonance frequency of 200 Hz .

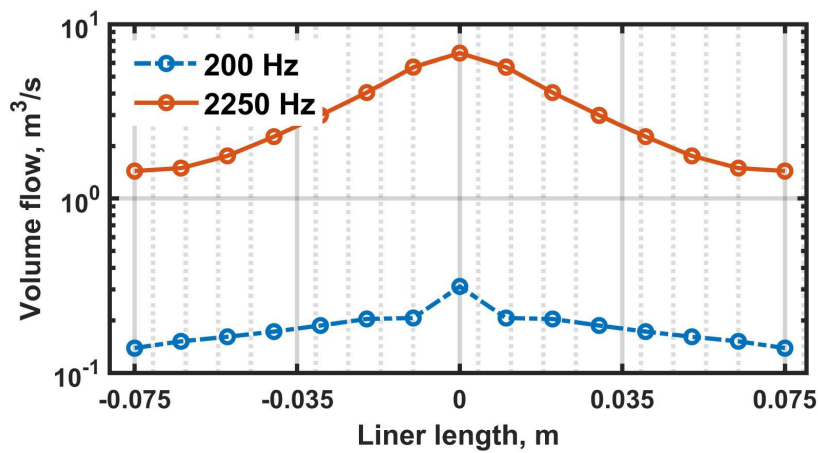


FIG. 10: Comparison of volume flow rate into the liner cavity at the anti - resonance and resonance frequency.

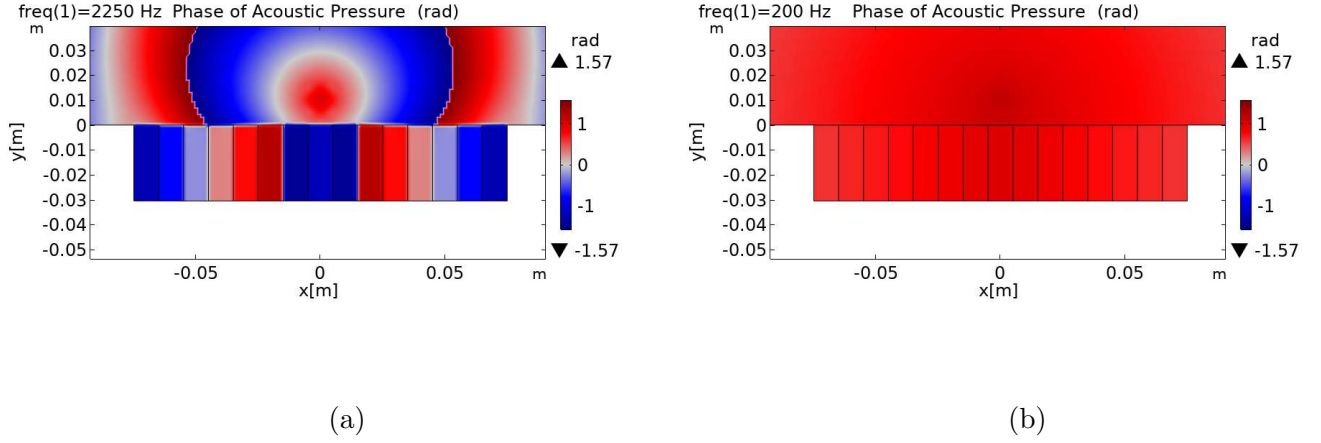
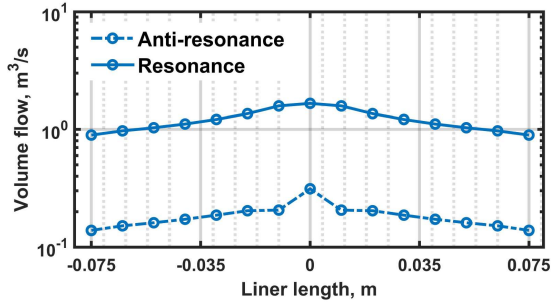


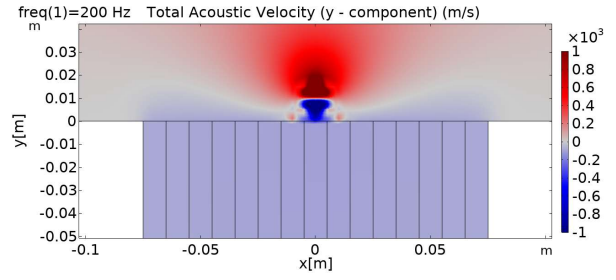
FIG. 11: (a) Phase of the acoustic pressure (y - component) for 2250 Hz , (b) Phase of the acoustic pressure (y - component) for 200 Hz .

332 by the increase in the particle velocity flow at the resonance frequency of the liner which
 338 resembles an image source formation on the liner surface.

335 To further verify the influence of the liner resonance condition on the source modification
 336 effect, the liner is now intentionally tuned to be in resonance at a frequency of 200 Hz by
 337 increasing the cavity depth from 30.48 mm (tuned for resonance at 2250 Hz) to 429 mm .
 338 The volume flow rate and the velocity contour with the modified cavity depth are shown
 339 in Figure 12. There is an increase in the velocity flow when the liner is tuned for 200 Hz
 340 compared to the previous case for the same excitation frequency. This clearly shows that the
 341 image source formation on the liner surface is the strongest when the monopole excitation
 342 frequency matches to the liner resonance frequencies. This secondary source has a destructive
 343 interference with the primary noise source, as shown by the phase of the acoustic pressure
 344 in Figure 11 (a) and (b), thereby reducing the efficiency of noise radiated.

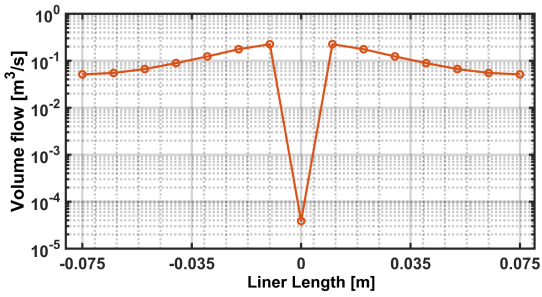


(a)

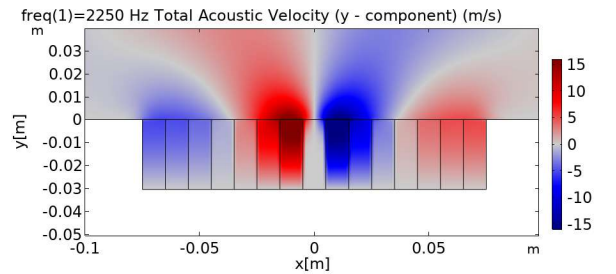


(b)

FIG. 12: (a) Volume flow rate into the liner tuned for 200 Hz , (b) Velocity contour (y - component) with liner tuned for 200 Hz .



(a)



(b)

FIG. 13: (a) Volume flow rate into the liner cavity with a dipole source at resonance frequency of 2250 Hz , (b) Velocity contour (y - component) with dipole source at resonance frequency of 2250 Hz .

345 The model was extended to a horizontal dipole source of the same strength. The results
 346 for this case are shown in Figure 13, which shows a dipole source formation on the liner

347 surface. This observation is supported by the volume flow rate plot in Figure 13 (a), in
 348 which two peaks can be observed with a drop in the volume flow at the centre of the liner.
 349 This drop corresponds to the directivity of the dipole source as shown in Figure 13 (b). The
 350 phenomenon of secondary source formation on the OTR liner surface, for condition when
 351 the liner is at resonance, is being shown for the first time in this paper. This phenomenon
 352 is hypothesised as the key mechanism of source modification in the OTR liners, and it is
 353 independent of the noise source strength.

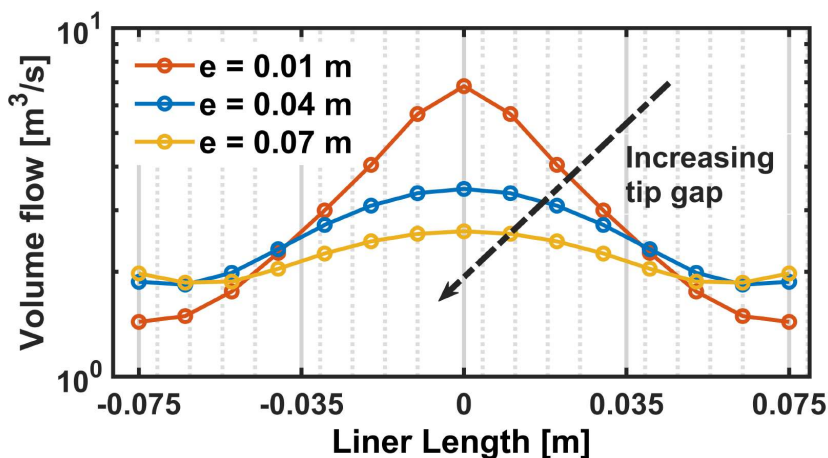


FIG. 14: Comparison of volume flow rate into the liner at resonance frequency of 2250 Hz for different tip gaps.

354
 355

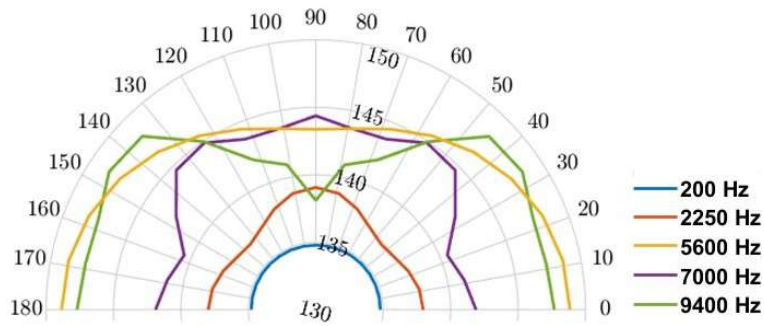
356 The effect of the tip gap on the source modification effect is also investigated by varying
 357 the tip gaps to 0.04 m and 0.07 m. In Figure 14, the volume flow rate is plotted against the
 358 liner length for varying tip gaps. It can be observed from the figure that there is a decrease
 359 in the volume flow rate into the liner when the tip gap is increased. The volume flow rate

360 at the tip gap of 0.04 m is half of the volume flow rate at the tip gap of 0.01 m whereas for
361 the 0.07 m tip gap the volume flow rate is reduced approximately by $0.8\text{ m}^3/s$ compared
362 to the tip gap of 0.04 m . This marginal difference in the volume flow rate beyond 0.04 m
363 is an indicator of the decrease in the significance of the source modification effect. This
364 observation is in agreement with the analytical optimal impedance result in Figure 3 that
365 the tip gap of 38 mm or $e/\lambda = 0.25$ could effectively be the transition point where the
366 source modification effect becomes weaker.

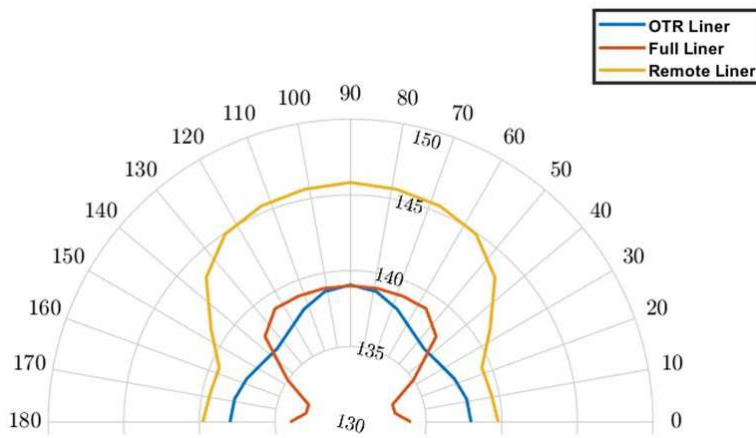
367 3. *Back Scattering effects in the finite OTR liner*

368 Figure 15 (a) shows the directivity of the sound pressure levels for the OTR liner con-
369 figuration (see Figure 7 (a)) with a monopole source located at a tip gap, e of 0.01 mm
370 and excited at different tonal frequencies, between 200 Hz and 9400 Hz . In the OTR liner
371 configuration the liner length is set to 0.15 m , representing the experimental conditions.
372 The directivity of the sound pressure level was estimated at a radial distance of 4.5 m in the
373 model for every 10° angle. As it can be seen from Figure 15 (a), when the source is excited
374 at the anti-resonance of the liner (200 Hz and 5600 Hz), there is not much difference in
375 the directivity patterns. This is expected since the liner impedance in those conditions is
376 effectively that of a hard wall case mainly due to a normalised reactance (X) that tends to
377 infinity.

378 However, when the liner is at resonance (2250 Hz and 7000 Hz), there is a significant
379 drop in noise level at angles between $20^\circ - 60^\circ$ and $120^\circ - 160^\circ$ compared to the anti-resonance



(a)



(b)

FIG. 15: (a) Directivity variation with frequency, (b) Directivity variation with liner length at resonance.

380 condition. Also, at partial resonance condition (9400 Hz), the noise level is dropping only
 381 between $45^\circ - 135^\circ$. The observed changes in the directivity can be attributed to

- 382 • the discontinuity in the impedance around the lined section edges (see Figure 5),
383 mainly the normalised reactance (X) of the liner, which changes from $X = 0$ at
384 resonance to $X = \infty$ at anti-resonance in the promixity of the sound source, and
- 385 • the significant change in the phase of the acoustic pressure (see Figure 11 (a)) at
386 resonance compared to the anti-resonance (see Figure 11 (b)).

387 Both can cause destructive interference between the incident and reflected waves from the
388 edges of the liner length, which we refer here as “Back scattering effect”.

389 Figure 15 (b) shows the directivity pattern for different liner configurations (see Figure 7
390 (a)) at the 2250 Hz resonance frequency. The noise level with the remote liner configuration
391 is far higher compared to the full liner or the OTR liner configurations. A 7 dB difference
392 in the noise level between the remote liner and the full liner can be observed for the angles
393 between $60^\circ - 90^\circ$. Since the source modification effect is absent in the remote liner, this
394 difference in the noise level is expected. Comparing the directivity of the full liner with the
395 OTR liner, the maximum noise level is identical between $80^\circ - 100^\circ$. In addition, there is a
396 drop in the noise level between the $105^\circ - 30^\circ$ and $150^\circ - 170^\circ$. As the source modification
397 effect is similar with both the full liner and OTR liner, this increase in the noise reduction
398 with a full liner could be the result of the scattering variation with liner length and the
399 increase in the absorption of the liner. However, as shown in the earlier sections, within
400 the region of $e/\lambda < 0.25$, the absorption effect of the liner is minimal hence, the variation
401 in the back scattering could be the main reason for the drop in the noise level. The noise
402 reduction with the OTR liner is therefore due to the combination of source modification due
403 to the back reaction, conventional attenuation, and back scattering due to the impedance

404 discontinuities around the liner edges. As the liner length modifies the back scattering, the
405 insertion loss also changes with the liner length, which is investigated numerically in the
406 next section.

407 **B. Numerical optimal impedance study on finite liners**

408 The analytical results of the optimal impedance study in section II.A did not account
409 for the back scattering effects as the analytical model assumes that the source is placed in
410 the proximity of an infinitely lined plane. As a result, in this section we investigated if the
411 back scattering effects are likely to modify the optimal impedance. The numerical optimal
412 impedance was determined by varying the resistance between 0 to $3\rho c_0$ with the resolution
413 of $0.25\rho c_0$ and the reactance between $-3\rho c_0$ to $+3\rho c_0$ with the resolution of $0.5\rho c_0$. The
414 optimal impedance was also calculated for different cases of liner lengths to identify the
415 point where the 'infinite liner' behaviour begins approaching the analytical solutions. In
416 this study, two tip gaps were considered;

- 417 • in the first case, the point source was positioned in the region of back reaction ($e/\lambda <$
418 0.25), and
- 419 • in the second case, the point source was positioned in the region of absorption close
420 to the transition point.

421 For the resonance frequency of 2250 Hz, these corresponds to the tip gaps of 0.01 m and
422 0.04 m with $e/\lambda = [0.066, 0.26]$ respectively.

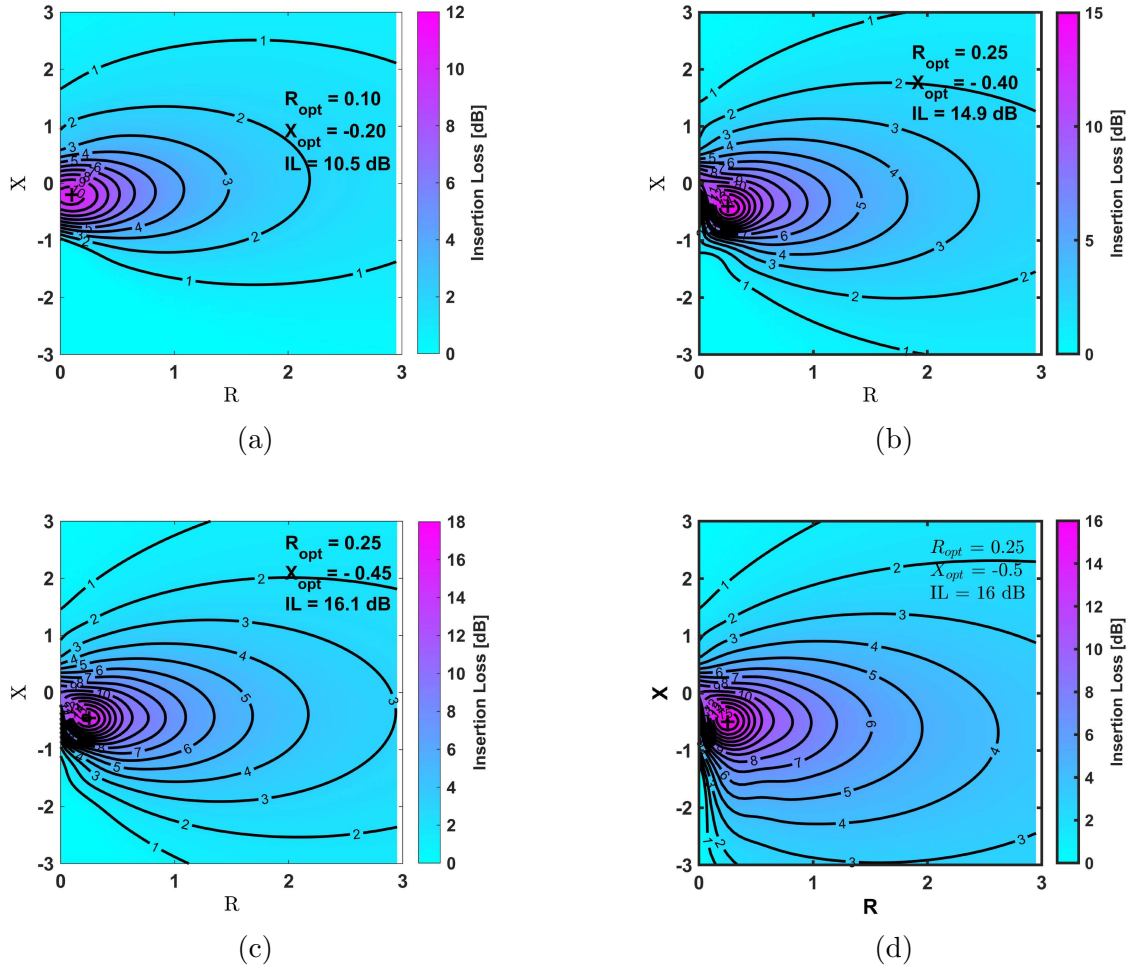


FIG. 16: Numerical optimal impedance contour at $e/\lambda = 0.066$ and (a) $L/e = 4$, (b) $L/e = 15$, (c) $L/e = 30$, and (d) $L/e = 900$.

423 For the case of $e/\lambda = 0.066$, four different liner lengths were considered $L/e =$
424 $[4, 15, 30, 900]$. The numerical optimal impedance contour for the chosen liner lengths
425 is shown in Figure 16. The overall comparison of the numerical and analytical results
426 (Figure 4(a)) shows that the maximum insertion loss is far lower for the numerical model.
427 This is expected as the numerical model considers a finite liner. The resistance values
428 are also larger than the analytical model, correspondingly the source modification effects

429 are reduced. In addition, the back scattering effects from the impedance discontinuities is
430 further modifying the optimal impedance and the insertion loss depending on the length.
431 Comparing the optimal impedance between different liner lengths, the resistance of the liner
432 was found to increase when increasing L/e while the reactance of the liner gradually drops.
433 However, for $L/e > 15$, we observe smaller changes in the insertion loss (IL) and optimal
434 impedance, with a marginal change in reactance alone. The optimum reactance obtained
435 with the numerical model is close to the value evaluated with the analytical model, as shown
436 in Figure 4 (a). This effectively means that the back reaction is reaching its saturation point
437 at $L/e = 15$. Extending the liner length beyond this value will only increase the absorption
438 and modifies the scattering effects. This implies that for $e/\lambda = 0.066$ at $2250 Hz$, the liner
439 starts to behave as an infinite liner at $L/e = 15$, which is shown below.

440 The optimal impedance contour for $e/\lambda = 0.26$ is plotted for four different liner lengths
441 with $L/e = [1, 3.75, 50, 225]$ in Figure 17. The variation of the resistance and reactance
442 with the liner length is similar to the previous case of $e/\lambda = 0.066$. However, a significant
443 aspect is that the insertion loss with the smaller liner of $L/e = 1$ is now $1 dB$ higher than
444 for the case of the larger liner with $L/e = 3.75$. This can be due to the back scattering
445 effect producing a constructive interference at $L/e = 1$ and destructive interference at
446 $L/e = 3.75$ on the total sound field. Since the point source is located in the region of
447 absorption, the back scattering effect is having a stronger influence on the insertion loss.
448 This effect was negligible within the region of back reaction. Like in the previous case, the
449 optimal resistance is not changing much after $L/e = 3.75$ but the reactance has decreased
450 significantly. Beyond $L/e = 50$, the resistance and reactance changes are smaller and the

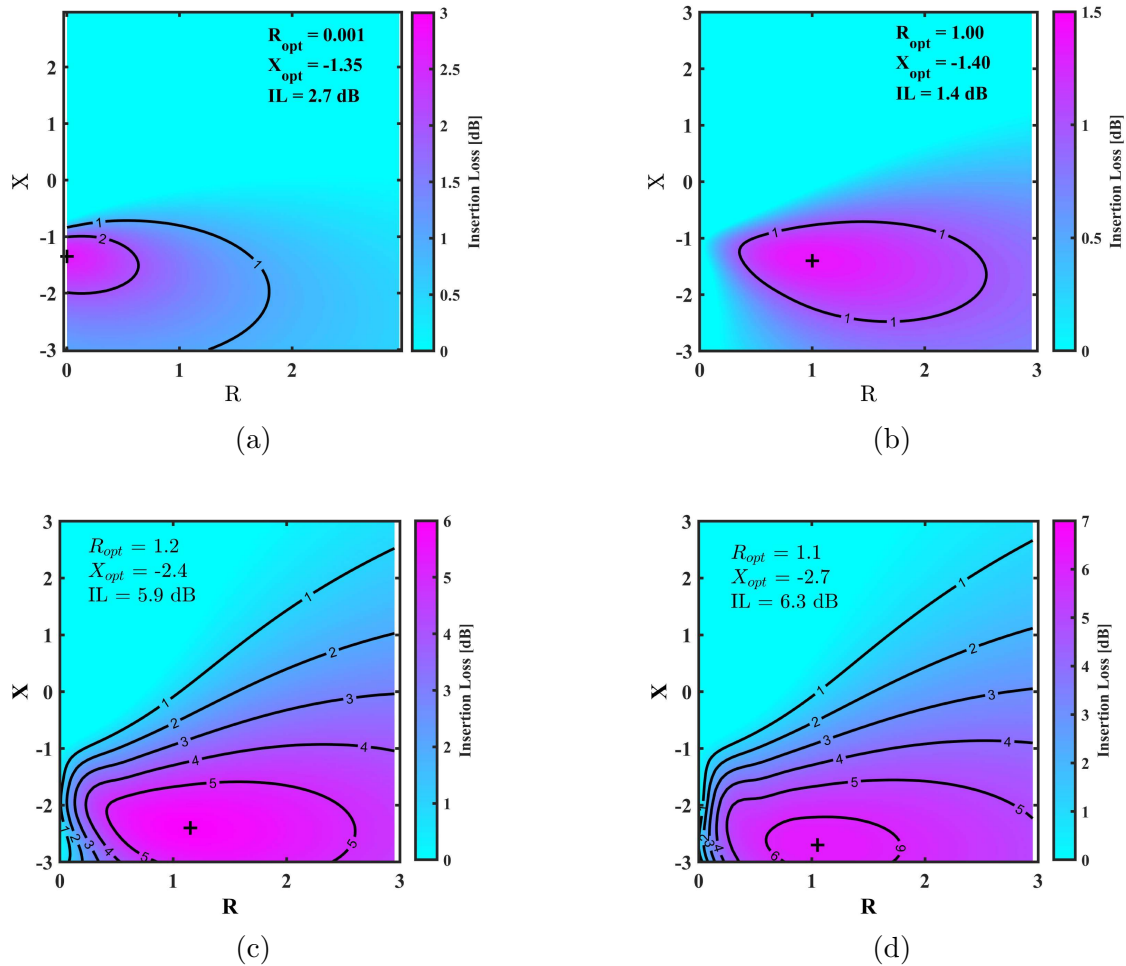
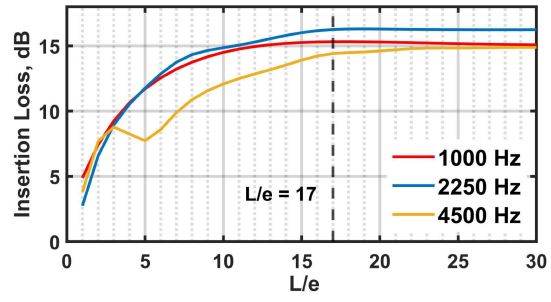


FIG. 17: Numerical optimal impedance contour at $e/\lambda = 0.26$ and (a) $L/e = 1$, (b) $L/e = 3.75$, (c) $L/e = 50$, and (d) $L/e = 225$.

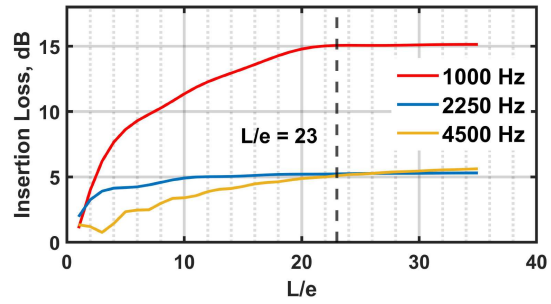
451 reactance is again closer to the analytical model value in Figure 4 (b). So, the minimum
 452 required liner length for an 'infinite lined plane' behaviour for $e/\lambda = 0.26$ at 2250 Hz is
 453 therefore $L/e = 50$. As the considered tip gap is close to the transition point at this
 454 frequency, a larger liner length is required to exhibit the infinite liner behaviour. This also
 455 shows that outside the back reaction region, the relation between the liner length and the
 456 tip gap can not be generalised.

457 To formulate a non-dimensional relationship between the liner length, wavelength, and
458 tip gap, the insertion loss for a specific tip gap and frequency is now evaluated. The insertion
459 loss was calculated for the tip gaps $e = [0.01, 0.02, 0.04]$ m for the noise frequencies of
460 1000 Hz , 2250 Hz , and 4500 Hz . The liner was tuned in each case to be in resonance
461 for the frequency of the source. The tip gaps and the frequencies were chosen in such a
462 way as to assess the influence of the back reaction on the liner length. The selected tip
463 gaps and frequency represent the region of back reaction, the transition point, and the
464 region of absorption. It was observed earlier that the optimal impedance variation was
465 minimal beyond $L/e = 15$ for the tip gap of $e = 0.01$ m. The optimal impedance
466 with $L/e = 15$ for each frequency 1000 Hz , 2250 Hz , and 4500 Hz is therefore used
467 to evaluate the insertion loss. Similarly, the optimal impedance variation was found to be
468 minimal after $L/e = 15$ for the 0.02 m tip gap as well and hence the insertion loss variation
469 was calculated with the corresponding value. The tip gap of 0.04 m has $e/\lambda > 0.25$ except
470 for the low frequency of 1000 Hz . Hence it was difficult to identify the liner length where
471 the optimal impedance became constant. The results for $L/e = 15$ were however used to
472 calculate the insertion loss in the comparison study. In Figure 18, the evaluated insertion
473 loss is plotted against the liner length normalised by the respective tip gaps.

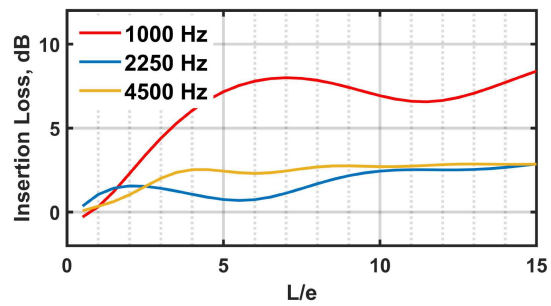
475 The overall comparison of insertion loss shows the drop in noise reduction with the
476 increase in the tip gaps, in agreement with the earlier observations. For the tip gap of
477 $e = 0.01$ m in the Figure 18 (a), the insertion loss curves flatten after the $L/e = 17$ for
478 each of the frequencies. The sound source is located within the region of back reaction in
479 all the considered frequencies for this tip gap. In contrast, for $e = 0.02$ m in Figure 18



(a)



(b)



(c)

FIG. 18: Insertion loss variation with liner length for different frequencies at (a)

$e = 0.01\text{ m}$, (b) $e = 0.02\text{ m}$, and (b) $e = 0.04\text{ m}$.

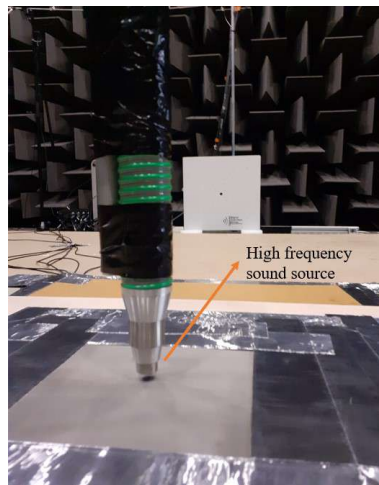
480 (b), the insertion loss becomes constant close to $L/e = 23$. The sound source is at the
481 transition point at 4500 Hz for this tip gap while it is in the back reaction region for the
482 other two frequencies. For the case of 0.04 m tip gap in Figure 18 (c), the sound source is in
483 the region of back reaction, transition point and at the region of absorption at frequencies
484 1000 Hz, 2250 Hz, and 4500 Hz respectively. Though the insertion loss looks to be constant
485 beyond $L/e = 10$ at 2250 Hz and 4500 Hz, it is yet to attain a constant value for 1000
486 Hz. The insertion loss is also marginally higher at 4500 Hz than 2250 Hz despite the tip
487 gap being in the region of absorption in the former frequency. This is attributed to the back
488 scattering having a constructive interference on the noise reduction.

489 Another feature to be noted in Figure 18 is that the maximum insertion loss has shifted
490 towards the lower frequencies of noise as the tip gap is increased. This is because the back
491 reaction effect is dominant only in the region $e/\lambda < 0.25$. When the tip gap is increased
492 while keeping the frequency and hence, the wavelength constant, the e/λ ratio increases.
493 Then, the noise source is no longer present in the region of back reaction in the considered
494 frequency. However, when the frequency is reduced simultaneously while increasing the tip
495 gap such that the $e/\lambda < 0.25$, the noise source will still be in the region of back reaction. The
496 maximum noise reduction will therefore shift to lower frequencies as the tip gap is increased.
497 However, the liner length required to yield such levels of noise reduction also increases at
498 these larger tip gaps. This trend was observed while verifying the model, where a longer liner
499 was required to improve the noise reduction in the lower frequency. It is further shown by
500 the increase in the minimum L/e ratio when the tip gap is increased from 0.01 m to 0.02 m
501 in Figure 18. Accordingly, for the tip gap of 0.04 m, the insertion loss will saturate at a

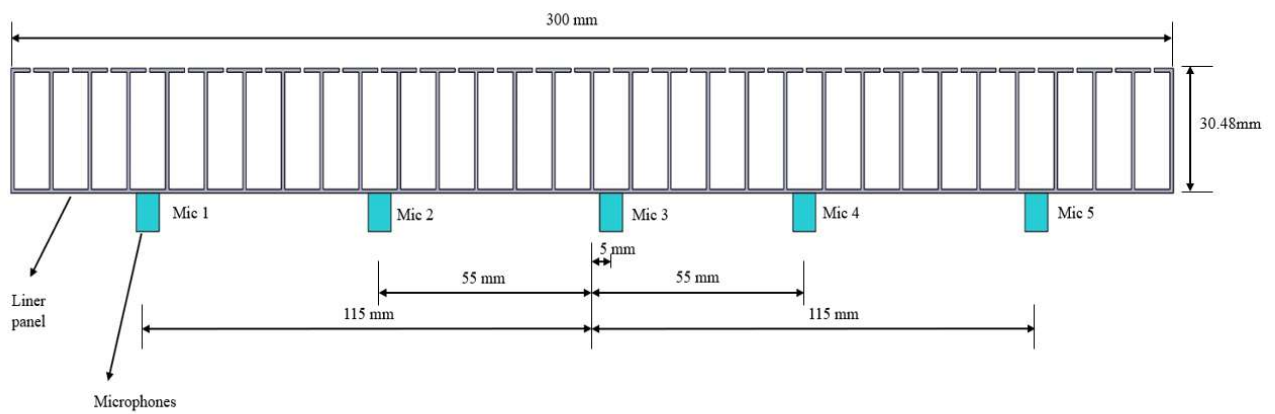
502 larger L/e value and at a much lower frequency. This shows that in the back reaction region,
503 there is an interlinkage between the tip gap, the frequency of the source, and the liner length,
504 which determines the optimal impedance of the OTR liner. Hence, the optimum normalised
505 liner length, L/e to obtain the maximum noise reduction cannot be generalised unless the
506 tip gap and the frequency of the noise are fixed. However, it is shown conclusively that to
507 obtain maximum back reaction effects, only a small length of the liner is sufficient. This
508 indicates that the OTR liner can be designed to provide maximum noise reduction with an
509 optimal liner length.

510 C. Experimental Validation

511 This section presents a validation of the numerical results found in the previous analysis
512 with experimental data. The experiment was performed in the anechoic chamber at the ISVR
513 with a loudspeaker source effective for a range of frequencies from 500 Hz to 6500 Hz . A
514 tube of 20 mm diameter was attached to a loudspeaker to produce a plane wave propagation
515 in the tube (approximately up to 10 kHz). Therefore, the sound emitted from the unflanged
516 pipe would radiate with a transmission coefficient $(\tau_{II}) \approx (ka)^2$, and can be treated as a
517 monopole source radiation (Kinsler *et al.*, 1999). The experimental test setup is shown in
518 Figures 19 (a). An SDOF liner with a cavity depth of 30.48 mm was used. The source was
519 located above the centre of the liner panel. The impedance was varied by considering open
520 cavities and a wiremesh facing sheet. A tonal noise of 2250 Hz (resonance) and 5600 Hz
521 (anti-resonance) frequency was generated and the gap between the source and the liner was
522 varied in steps of 5 mm between 5 mm and 55 mm , and then in steps of 20 mm up to



(a)



(b)

FIG. 19: (a) Sound source over a lined wall, and (b) Surface pressure microphones in the backing sheet of the liner panel.

523 115 mm. The surface pressure in the backing sheet of selected liner cavities was measured
 524 to validate the source modification mechanism. Five quarter-inch condenser microphones
 525 were mounted in the backing sheet of the liner at 5 mm, 55 mm and 115 mm on either side
 526 of the source for this measurement as showcased in Figure 19 (b).

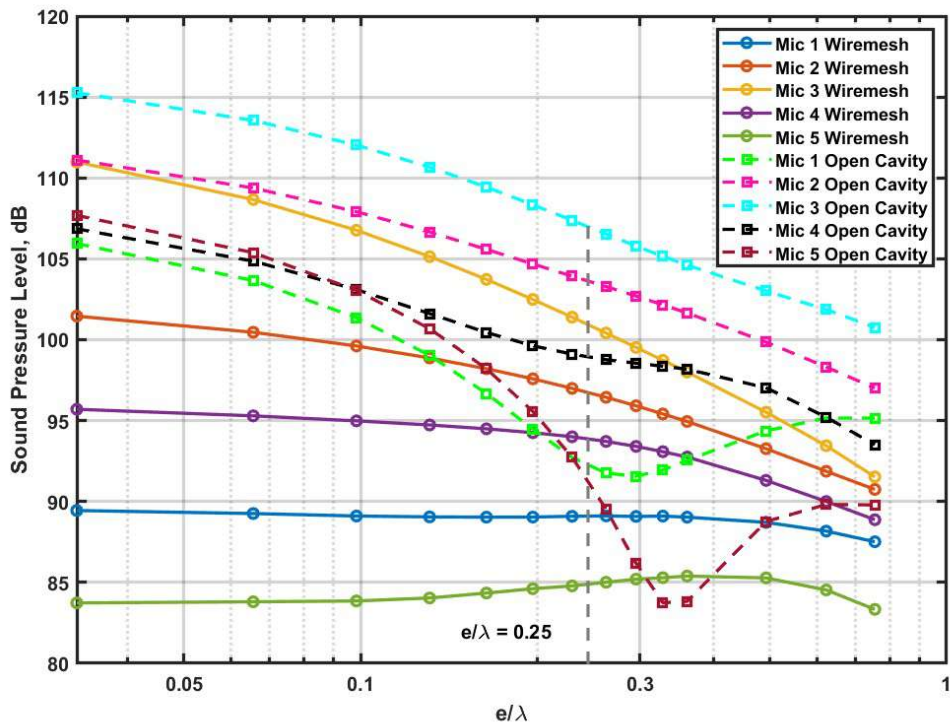


FIG. 20: Comparison of Sound Power Level inside the cavity for different impedance conditions at 2250 Hz.

527
 528

529 The surface pressures measured in the cavities are presented in terms of the Sound Pres-
 530 sure Level (SPL) against the normalised tip gap in Figure 20 for a frequency of 2250 Hz.
 531 The measurements taken with a wiremesh liner are shown by the solid lines and those for
 532 the open cavity liner by the dashed lines. As expected, the open cavity liner has a higher
 533 sound power level in the cavities due to the higher particle velocity flow compared to the

534 wiremesh liner. It can also be observed that the cavities closer to the centre have maximum
 535 SPL values, as shown by Mic 2, Mic 3, and Mic 4. This implies that the particle velocity
 536 flow is stronger in the cavities closer to the source, providing maximum source modification
 537 effects. This is expected and agrees with the numerical results that show that the cavities
 538 closer to the source were more active. This also supports the conclusion that a small length
 539 of the liner is enough to yield maximum source modification effects.

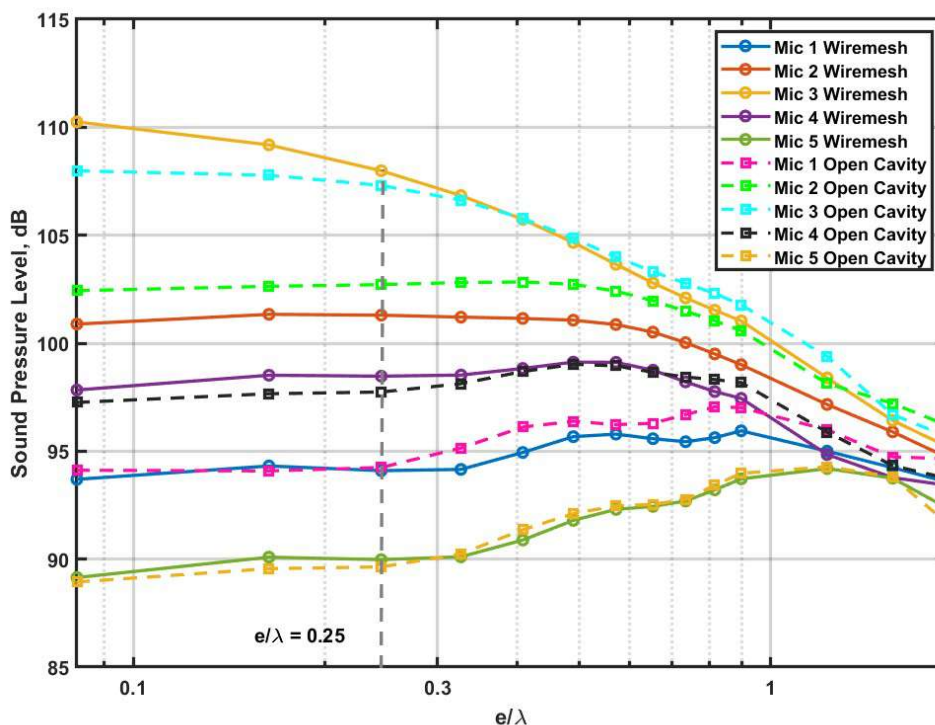


FIG. 21: Comparison of Sound Power Level inside the cavity for different impedance condition at 5600 Hz.

540

541

542 The SPL in the cavity for 5600 Hz in Figure 21 shows that both liners perform similarly
 543 at this frequency, as it is the anti-resonance condition. In comparison to the case at 2250 Hz,

544 there is a 7 dB to 10 dB drop in the power level for the open cavity liner. However, the
 545 power level for the wiremesh liner drops only by 2 dB to 3 dB.

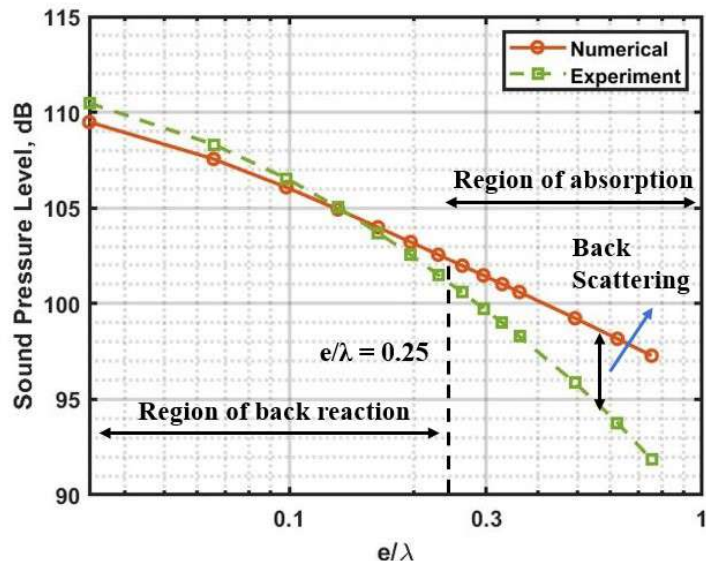


FIG. 22: A comparison of numerical and experimental sound pressure level at the backing sheet of the centre cavity for a tonal excitation at 2250 Hz (resonance) and the tip gap varying between 5 mm and 115 mm.

546 Finally, the SPL in the backing sheet of the centre cavity of the liner was determined
 547 numerically for various tip gaps at the resonance frequency. The numerical data is compared
 548 with the experimental results with a wiremesh facing sheet in Figure 22, which are found
 549 to be in good agreement in the region of back reaction ($e/\lambda < 0.25$). Some deviations are
 550 observed in the region of absorption at the larger tip gaps, with the predicted values showing
 551 larger sound levels than the experimental data. Nevertheless, the general trends observed
 552 with the numerical model can also be seen in the experimental results, which confirms the
 553 validity of the numerical model developed in the current study.

554 IV. CONCLUSION

555 This paper presents a numerical investigation of the noise reduction performance of acous-
556 tic liners placed over or close to a sound source. This fundamental study was performed
557 to improve the understanding of the noise reduction mechanisms in OTR liners in the ab-
558 sence of a background flow. Thereby, the noise reduction mechanisms in the OTR liners
559 are explained purely from an acoustical point of view. The problem is modelled in COM-
560 SOL multiphysics with a static point source placed over a finite lined panel in a half-plane
561 domain. A parametric study for different liner configurations is performed by evaluating
562 the insertion loss of the liners, their effect on the noise directivity, and the particle velocity
563 across the liner facing sheet. Additionally, the numerical results and observations in the
564 paper are validated through an experimental study.

565 The results of the numerical investigation indicate that the noise reduction in OTR liners
566 is a combination of source modification, back-scattering due to the impedance discontinuities
567 between the liner and the hardwall, and the conventional absorption of the liner. One of
568 the key findings of this paper is the explanation of the underlying physics of the source
569 modification mechanism in OTR liners. This effect was hypothesised in the literature as
570 the primary noise reduction mechanism in OTR liners. The source modification is identified
571 to be most effective in reducing the noise when the excitation frequency is close to the
572 resonance frequency of the liner. In such conditions, the acoustic particle velocity flow at
573 the liner surface is found to be maximum and acts as a secondary source that is out of phase
574 with respect to the primary source. The interference between this secondary source and the

575 primary sound source is conceptualised as the back reaction or source modification effect in
576 OTR liners.

577 An optimal impedance study at the resonance frequency of the liner has shown that
578 the source modification effects due to the back reactions are dominant for non-dimensional
579 tip gaps $e/\lambda < 0.25$. It is also shown that the liner length can be optimised within this
580 region ($e/\lambda < 0.25$) to provide maximum insertion loss with only a small treated insert of
581 normalised liner length L/e immediately below the source. Back scattering effects are found
582 to be most significant in the region of $e/\lambda > 0.25$, which complicates the determination of
583 an optimal liner length in such conditions. The optimal impedance and maximum insertion
584 loss are found to be a function of the tip gap, the liner length, and the acoustic wavelength.

585 This work represents an initial step in understanding the working principle of OTR liners
586 in the absence of flow. It provides guidelines for the design of OTR liners in engineering
587 applications and an explanation of the underlying physics of the acoustic source modification
588 mechanism in OTR liners. However, the observed mechanism is likely to be impacted by
589 the flow field, which might in turn be modified by the presence of the OTR liners. The
590 liner behaviour and the duct propagation at high sound pressure levels will also influence
591 the mechanism of OTR liner noise reduction. These effects are however outside the scope
592 of the current work and will be investigated in future studies.

593 **ACKNOWLEDGMENTS**

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597 Institute of Sound and Vibration Research.

598 **Reference**

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