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# An adaptive electrodynamic metamaterial for the absorption of structural vibration

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## Abstract

This paper presents an adaptive shunted electrodynamic metamaterial, for broadband robust vibration control. The study considers a unit cell of 12 miniature, low-cost proof-mass actuators for the control of vibration in a three degree-of-freedom structure subject to parametric uncertainty. In order to modify their dynamic responses, each actuator is connected to a shunt circuit consisting of a parallel resistor and a switched in/out inductor and capacitor. Provided the impedance of the actuator is cancelled out using a negative impedance, the shunt circuit is capable of tuning the resonance of the actuator up or down in frequency. An adaptive tuning approach is proposed, whereby the shunted actuator resonance frequencies are periodically switched to the centre frequencies of the highest magnitude bins of a real-time frequency analysis of the velocity measured on the structure. This approach is compared to a blind swept tuning method and a fixed-shunt tuning in terms of the robustness to parametric uncertainty, and in practical terms for realisation using analogue or digital shunt impedances.

## Keywords:

vibration control, metamaterial, adaptive, robust, uncertainties, shunt

## 1. Introduction

Tuned Vibration Absorbers (TVAs) have been used for many years to control unwanted structural vibration. However, these narrow-band devices are limited to absorbing vibration around their tuning frequency [1]. Therefore, they are only effective in controlling modal vibration if the tuning frequency corresponds with the resonance frequency of the structural mode. Parametric uncertainty or changes to the system over time can therefore render TVAs ineffective due to changes in the structural modes. Multiple TVAs with resonance frequencies distributed around a target frequency can improve robustness, as well as increasing the attenuation at the nominal target frequency [2].

An alternative approach to achieving robustness to structural uncertainties is the use of tuneable resonators, where the response of the resonators can be changed or varied in order to more effectively control the observed vibration response of the structure. Tuning the resonance frequency of a vibration absorber by mechanically varying the geometry of a stiffness element has been widely demonstrated [3, 4, 5]. However, any control elements (mechanical actuators, sliding parts) add to the footprint of the device, the complexity of the design, and introduce more components that can fail. Smart materials exhibit a change in their material properties in the presence of a change in their environment, and have also been used to develop variable

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36 stiffness vibration absorbers. Examples of smart materials used to produce variable stiffnesses  
37 include: Magnetorheological and Electrorheological Fluids (MRFs/ERFs) [6, 7]; Magnetorhe-  
38 ological and Electrorheological Elastomers (MREs/EREs) [8, 9]; and Shape Memory Alloys  
39 (SMAs) and Polymers (SMPs) [10, 11]. However, these smart materials exhibit complex dy-  
40 namics and other drawbacks such as particle sedimentation (MRF/ERFs) and relatively slow re-  
41 sponse times (SMA/SMPs). The smart materials most commonly utilised in tuneable resonators  
42 are piezoelectric elements [12, 13, 14], which produce a mechanical stress in the presence of an  
43 electrical charge that can be used to deform or resist the deformation of a stiffness element in  
44 order to modify its stiffness. Because piezoelectric elements are reciprocal transducers, the elec-  
45 trical charge transduced when a stress is applied to the element can be conducted back through  
46 the element to transduce an opposing stress. This is known as “shunting”, and by placing an  
47 impedance circuit in series with the piezoelectric element, the stiffness and damping of the el-  
48 ement can be tuned [15], and internal resonances can be introduced [16] to create resonant ab-  
49 sorbers. In [17, 18, 19] an elastic metamaterial (EMM) consisting of an array of electrically  
50 shunted piezoelectric patches has been used to adaptively control the vibration of a beam or  
51 plate. Piezoelectric patches are used to exert a bending force on the host structure, and can be  
52 used as resonant absorbers without a proof-mass. However, because they exert a bending force  
53 rather than a translational force, they are limited to resonant absorption of flexural motion when  
54 used in this way.

55 The use of a shunted electrodynamic inertial actuator as a variable damping vibration ab-  
56 sorber has been explored in [20] and has been shown to require lower shunt voltages than  
57 piezoelectric actuators and can exert a larger force. Voice coil actuators tend to be lower cost  
58 than piezoelectric equivalents, and readily available “off-the-shelf” in a wide range of sizes and  
59 masses. Paulitsch et al. furthered this research in [21], investigating voltage and current feedback  
60 control of a resistance and capacitance shunted device to dampen vibration of a SDOF structure.  
61 In [22], matching the resistance and inductance of the actuator coil and cancelling them out with  
62 a negative impedance shunt is shown to considerably attenuate the first four modes of vibra-  
63 tion in a plate. To tune the resonance frequency of a proof-mass electrodynamic actuator, the  
64 effective stiffness can be varied through the use of a negative resistive and capacitive shunt  
65 impedance [23]. The derivation of the mechanical equivalents of parallel resistor and inductor  
66 shunt branches is later set out in [24] where, provided the resistance and inductance of the ac-  
67 tuator coil are cancelled by an equal negative impedance, a resistor can be shown to act as a  
68 mechanical damper and an inductor as a mechanical stiffness, with the effective stiffness and  
69 damping values a product of the inverse of the component impedance and actuator transduction  
70 coefficient. In a later study from the same authors [24], a series resistive and inductive (RL) shunt  
71 is used instead, which does not require full cancellation of the coil inductance and resistance, to  
72 sweep the tuning frequency between bounds in order to achieve wide-band vibration control of  
73 a cylinder [25]. Many of the studies into adaptive shunting implement the variable impedance  
74 digitally. This allows greater flexibility and control, however, there are drawbacks. A digital  
75 synthetic shunt impedance was first proposed in [26], using three operational amplifiers to allow  
76 the connection of a digital voltage filter implementation of the required impedance. However,  
77 digital synthetic shunting requires a very high sampling frequency to avoid latency issues [27],  
78 and therefore the computational requirements for a large array of devices would be high. Alter-  
79 natively, a matrix-switched bank of circuits could be designed to allow the selection of discrete  
80 tuning frequencies for each resonator on a very small scale, with the only computational cost  
81 being setting or computing the tuning frequencies required.

82 Traditional TVAs are bulky and heavy, and exert localised control forces on the structure

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83 being controlled. Thin and lightweight structures may not be able to support such large, lo-  
84 calised forces, and, therefore, alternative lightweight distributed vibration control solutions are  
85 required. Metamaterials offer one potential solution to this challenge. Metamaterials exhibit  
86 unusual effective material properties, through the arrangement of substructures that are much  
87 smaller than the wavelength of vibration [28]. Elastic Metamaterials (EMMs) interact with elas-  
88 tic waves in solids, and can be distributed over a structure, therefore also distributing the control  
89 force. Although EMMs can interact with waves in a number of ways, this study focuses on their  
90 ability to absorb vibration through local vibrational resonances within the periodic substructures  
91 making up the EMM. Early locally-resonant metamaterial vibration absorbers demonstrated that  
92 the absorption occurs when the motion of the mass of the substructure opposes the motion of  
93 the structure [29], and that for flexural vibration this motion must be translational rather than  
94 rotational [30]. Multi-mode vibration control has been demonstrated through the integration  
95 of differently tuned resonant substructures into rods [31] and plates [32], with the former also  
96 showing that a broader band gap can be achieved by distributing the tuning frequencies of the  
97 metamaterial substructures over a range of frequencies, similar to the work on TVAs presented  
98 in [2]. In [17, 18, 19] the concept of tuneable, shunted piezoelectric patches has been applied to  
99 an EMM, with an array of electrically shunted piezoelectric patches used to adaptively control  
100 the vibration of a beam or plate.

101 This paper presents an electrodynamic metamaterial unit cell, consisting of multiple shunted  
102 inertial electrodynamic actuators, and an adaptive tuning approach, whereby the resonance fre-  
103 quencies of the unit cell are changed in real-time, based on analysis of the structural response.  
104 The proposed adaptive electrodynamic metamaterial (AEDMM) utilises variable shunts to mod-  
105 ify the resonance frequencies accordingly. The adaptive shunting method is compared to a “blind  
106 sweep” tuning approach in terms of nominal and robust performance, using time-domain simu-  
107 lations. It is proposed that analogue switching circuits with a single central controller would be  
108 more practical for large arrays of resonators than digital synthetic impedances. Therefore, the  
109 two approaches are also compared in terms of their ease of realisation using analogue circuitry.  
110 Readily available actuators at the proposed scale are only capable of producing very small volt-  
111 ages ( $\pm 30$  mV) within the linear dynamic range, and the variation in the electrical and mechanical  
112 parameters in these actuators is quite high. Therefore, experimental validation of the work in this  
113 paper would require significant work designing low-noise, high efficiency electronic circuits. Vi-  
114 bration control using both fixed [33] and time-varying [25] shunted electrodynamic actuators has  
115 previously been demonstrated with good agreement between simulation and experimental im-  
116 plementation. Simulation studies have been demonstrated as effective evaluation techniques for  
117 vibration control systems even in complex time-varying structures [34]. This paper presents the  
118 results of simulation studies only, and these are considered sufficient to analyse the differences  
119 between the control methods under investigation. Firstly, the effect of shunting on an electrody-  
120 namic actuator is examined. The proposed EMM is then set out, along with an example modal  
121 structure for evaluation of the EMM’s performance. The different tuning approaches investi-  
122 gated are described, before an investigation into the configuration of the tuning approaches is  
123 carried out. The different tuning approaches are then evaluated and compared in terms of their  
124 performance on a nominal structure, and on a structure with parametric uncertainty. Finally, the  
125 different tuning approaches are evaluated and compared for their performance in the presence of  
126 uncertainty in the mechanical properties of the actuator.

127 **2. A shunted inertial electrodynamic actuator**

128 Before considering different tuning approaches, the effect of a parallel resistive-inductive  
 129 (RL) and resistive-capacitive (RC) shunt on an inertial electrodynamic actuator was first exam-  
 130 ined. This section sets out the theory behind the shunt approach, and describes how the shunt  
 131 can be tuned to achieve a certain resonance frequency and damping ratio.

132 The effect of a parallel RL shunt circuit on an inertial electrodynamic actuator has been well  
 133 examined in the literature. Turco and Gardonio [24] demonstrated that the equivalent mechanical  
 134 impedance,  $Z_{me}$ , produced by a shunted idealised coil-magnet two poles element, is equal to  
 135  $(Bl)^2/Z_s$ , where  $Bl$  is the transduction coefficient and  $Z_s$  is the shunt impedance. An RLC parallel  
 136 shunt has the total electrical impedance

$$Z_s = \left( \frac{1}{R_s} + \frac{1}{j\omega L_s} + j\omega C_s \right)^{-1}, \quad (1)$$

137 and therefore the equivalent mechanical impedance

$$Z_{me} = (Bl)^2 \left( \frac{1}{R_s} + \frac{1}{j\omega L_s} + j\omega C_s \right). \quad (2)$$

138 A resistance and inductance in parallel therefore present an additional effective damping and  
 139 stiffness respectively (as shown in [24]), provided the impedance of the coil is cancelled out with  
 140 a negative impedance, whereas a capacitance can be represented as an additional effective mass.  
 141 Figure 1 shows an actuator shunted by a parallel resistance  $R_s$ , inductance  $L_s$ , and capacitance  
 142  $C_s$ . A negative resistance and inductance are also included to cancel out the impedance of the  
 143 coil. Figure 1 also shows the equivalent mechanical-only representation.

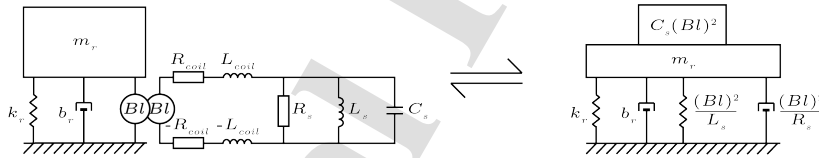


Figure 1: Mechanical-electrical diagram (left) and equivalent mechanical diagram (right) of an ideal shunted electrodynamic inertial actuator with: moving mass,  $m_r$ ; suspension stiffness,  $k_r$ ; damping,  $b_r$ ; transduction coefficient,  $Bl$ ; voice coil resistance,  $R_{coil}$ ; voice coil inductance,  $L_{coil}$ ; shunt resistance,  $R_s$ ; shunt inductance,  $L_s$ ; and shunt capacitance,  $C_s$ .

144 Assuming simple harmonic motion, and that all component values are constant and independ-  
 145 ent of frequency and displacement over the considered range, the equation of motion for the  
 146 system set out in Figure 1 can be expressed as [24]

$$F(t) = (m_r + C_s(Bl)^2)\ddot{w}_r(t) + \left( b_r + \frac{(Bl)^2}{R_s} \right) \dot{w}_r(t) + \left( k_r + \frac{(Bl)^2}{L_s} \right) w_r(t), \quad (3)$$

147 where  $F(t)$  is the driving force acting on the mass,  $w_r$  is the displacement of the mass, and the  
 148 remaining terms are defined in Figure 1. The receptance,  $\alpha(\omega)$ , is equal to the displacement per  
 149 unit force, or  $\frac{W_r(\omega)}{F(\omega)}$ . Assuming  $F(t) = \tilde{F}e^{j\omega t}$  and  $w(t) = We^{j\omega t}$ , this can be expressed as

$$\alpha(\omega) = \frac{W_r(\omega)}{\tilde{F}(\omega)} = \frac{1}{-\omega^2(m_r + C_s(BI)^2) + j\omega(b_r + \frac{(BI)^2}{R_s}) + (k_r + \frac{(BI)^2}{L_s})}. \quad (4)$$

The magnitude peak of the receptance falls at the resonance frequency of the system, and this occurs when  $(k_r + \frac{(BI)^2}{L_s}) - \omega^2(m_r + C_s(BI)^2) = 0$ . The solution to this gives the closed-circuit resonance frequency,  $f_{r,c}$ , where  $f_{r,c} = \omega_{r,c}/2\pi$ , which can then be expressed as

$$f_{r,c} = \frac{1}{2\pi} \sqrt{\frac{k_r + \frac{(BI)^2}{L_s}}{m_r + C_s(BI)^2}}. \quad (5)$$

From equation 5 it can be seen that the effective stiffness increases as  $L_s$  decreases and the effective mass increases as  $C_s$  increases. For simplicity in tuning the resonators, it is assumed that for  $f_{r,c} > f_{r,o}$ , where  $f_{r,o}$  is the open-circuit resonance frequency, the capacitance is switched out of the circuit and for  $f_{r,c} < f_{r,o}$  the inductance is switched out of the circuit. The required values of  $L_s$  and  $C_s$  to achieve a desired resonance frequency can therefore be expressed as

$$L_s = \frac{(BI)^2}{\omega_{r,c}^2 m_r - k_r}; \quad (6)$$

$$C_s = \frac{k_r - \omega_{r,c}^2 m_r}{(BI)^2 \omega_{r,c}^2}. \quad (7)$$

The actuator damping ratio  $\zeta_r$ , can be expressed as

$$\zeta_r = \frac{(BI)^2 + b_r R_s}{2\omega_{r,c} m_r R_s}, \quad (8)$$

and for a desired damping ratio of  $\zeta_r$ , the required  $R_s$  can therefore be expressed as

$$R_s = \frac{(BI)^2}{2\zeta_r \omega_{r,c} m_r - b_r}. \quad (9)$$

$R_s$  can become negative when  $b_r > 2\zeta_r \omega_{r,c} m_r$ , which can occur at very low frequencies. In order to maintain the simplicity of the shunt impedance, this is considered undesirable. To ensure a positive value for  $R_s$ , the  $b_r$  term is neglected and Eq. (9) becomes

$$R_s = \frac{(BI)^2}{2\zeta_r \omega_{r,c} m_r}. \quad (10)$$

This removes the possibility of  $R_s$  becoming negative, but the calculated value for  $R_s$  cannot accurately produce the specified damping ratio  $\zeta_r$ . The actual damping ratio will be much higher at low natural frequencies of the shunted resonators (for example, for  $\zeta_r = 0.01$ , the actual damping ratio at 10 Hz is 0.19 and at 100 Hz is 0.03). Because of this, attenuation at low frequencies will likely be reduced, but this modified formula avoids the complications due to a negative shunt resistance, such as instability.

Equations 6, 7 and 10 are used to calculate the required component values to achieve the specified resonance frequency and the approximate damping ratio defined by the tuning approaches examined in the following section.

### 3. A tuned-shunt electrodynamic metamaterial

This section sets out the proposed electrodynamic metamaterial (EDMM) for the attenuation of multiple modes of structural vibration. An example structure is described, and the EDMM system defined, including a description of the different tuning approaches being investigated. These approaches will then be compared in terms of their effectiveness and ease of implementation using simulation studies in the following sections.

#### 3.1. Example structure

A nominal three degree-of-freedom, mass-spring-damper system with a fixed base is used to evaluate the performance of an attached EDMM. The EDMM, consisting of 12 single-degree-of-freedom (SDOF) individually-shunted, electrodynamic resonators, is connected to the free, top mass as shown in Figure 2. Having only a single unit cell and three degrees of freedom in the structure keeps the computational demands on the time-domain simulation to a reasonable level, while still providing clear insight into the EDMM performance.

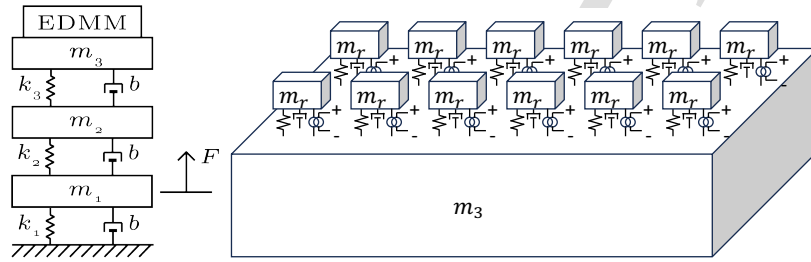


Figure 2: Left: Structure and EDMM used in the simulation study. Right: EDMM attached to the structure.

A miniature electrodynamic actuator, the Tectonic Audio Labs TEAX09C005-8, has been previously characterised by the authors in [35], and so the mean characterised parameter values for this actuator are used for the EDMM in this study. The total mass of the structure was set such that the mass of the EDMM unit cell equates to 20% of the structural mass, and this mass is divided equally between masses  $m_{1, 2}$  and  $m_3$ . The stiffness values  $k_{1, 2}$  and  $k_3$  were then set to provide three modes of vibration within the frequency range of 5–500 Hz. The response of the structure is damped with a viscous damping model with damping coefficient,  $b$  of  $0.1 \text{ Nsm}^{-1}$ .

#### 3.2. EDMM Tuning approaches

This paper proposes a novel adaptive EDMM tuning approach to achieve robust attenuation of vibration. In order to evaluate the performance of this approach it is compared to two alternative tuning approaches: a fixed tuning, based on the resonance frequencies of the nominal structure; and a blind-sweep, similar to the approach set out in [24]. The three approaches are detailed as follows.

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### 198 3.2.1. Fixed tuned shunts

199 The fixed-tuning approach used here is a ‘low-intelligence’ approach and is included to provide a simple benchmark for the performance of the other methods in this study. For this study, 200 the tuning frequencies of the 12 fixed tuning shunted resonators are distributed equally between 201 the three modes of the nominal structure (4 resonators are tuned to each modal frequency), with 202 no consideration for the energy at each mode or an attempt to define an optimal distribution. 203 The modal frequencies are calculated with the addition of the resonators, therefore taking into 204 account the shift in the resonance frequencies caused by their addition to the structure. Although 205 an optimal fixed tuning approach could be used to provide improved performance, particularly in 206 the presence of uncertainties [35], this is not considered here since it requires additional a priori 207 information about the structure and its uncertainties. 208

### 209 3.2.2. Discretised swept shunts

210 Wide-band absorption from a shunted electrodynamic resonator was demonstrated in [24] 211 and [36] using a digital synthetic impedance to produce a continuous squared cosinusoidal sweep 212 of the resonance frequency between upper and lower bounds. Further, in [37] it was shown that 213 for a shunted piezoelectric patch that the attenuation achieved when the shunt was switched syn- 214 chronously through the resonance frequencies of the structure was comparable to a continuous 215 sweep across a bandwidth in which the structural modes were contained. A discrete number of 216 sweep frequencies would be more realistically achievable than a continuous sweep when using 217 a switching analogue circuit, and so a discretised sweep is the approach taken in this paper. The 218 studies in [24] and [36] also demonstrated how the optimal sweep period,  $T$ , is dependent on 219 the modal frequencies of the structure. Investigating the optimal period is not considered at this 220 stage, but this could form part of a future study. In this paper, a time period of  $T = \frac{1}{14}$  s is 221 used, which is of the same order as that discussed in [24] and [36]. This means that the reso- 222 nance frequency of the actuator is swept over the defined frequency range a total of 28 times per 223 second. 224

225 The lower the resolution of the discretised sweep, the fewer the number of different tuning 226 frequencies, and the lower rate of switching. For a switching analogue circuit, keeping this num- 227 ber low is advantageous in that it not only reduces the number of components and therefore the 228 size and complexity of the circuit required, but it reduces the load on switching components, 229 potentially extending the life of the system. However, the spacing between adjacent frequencies 230 in the sweep, and their distribution with relation to the structural resonance frequencies is likely 231 to affect performance. The effect of the resolution of the sweep has therefore been investigated 232 with a parametric study. Also considered in this study is whether any change in performance is 233 seen if there is an offset in the resonance frequencies (or asynchronicity between the resonance 234 frequencies of the shunted actuators) when multiple actuators with swept tuning are used. As 235 well as the potential for a change in performance, such as that seen with multiple tuned vibration 236 absorbers in [2], the effect of any offset between resonance frequencies would also determine 237 whether multiple actuators would need to be controlled as one, or synchronised using a clock- 238 ing signal, to guarantee performance. The effect of asynchronicity of varying magnitude is also 239 investigated using a parametric study.

### 239 3.2.3. Novel adaptive switched shunts

240 The performance of fixed tuned shunts is known to be limited, particularly when there are 241 changes in the structure, and careful design is thus required based on assumed prior knowledge of 242 the structure to optimise the tuning frequencies. In this study, to overcome the limitations of fixed



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243 tuned shunts, a novel adaptive shunted electrodynamic metamaterial (AEDMM) is proposed. The  
244 proposed adaptive tuning algorithm uses real-time spectral analysis of the structural response to  
245 tune the resonators in the EDMM to the frequencies with the greatest energy.

246 To do this, the velocity of  $m_3$ ,  $\dot{w}_3$  is sampled at a rate of  $f_s$  Hz. This signal is stored in  
247 a buffer of length NFFT, and every  $n_{update}$  samples the contents of this buffer,  $\bar{W}$ , is passed  
248 to a fast-fourier transform (FFT) calculation. The FFT uses a logarithmic frequency vector in  
249 order to more evenly distribute the frequency bins around each modal peak. The FFT outputs  
250 a single-sided complex frequency spectrum,  $\bar{X}$ , and the corresponding vector of frequencies,  $\bar{F}$ .  
251 The frequency vector,  $\bar{F}$  is then sorted in order of descending magnitude of the corresponding  
252 spectra,  $\bar{X}$ . The sorted frequency vector,  $\bar{F}_{sort}$ , is truncated to the first  $N$  values, therefore the  $N$   
253 highest magnitude response frequencies and the desired closed-circuit resonance frequencies of  
254 the EDMM unit cell,  $\bar{F}_{r,c}$ . Using equations 6, 7 and 10 the component values of the RLC parallel  
255 shunt are calculated. When the desired resonance frequency is less than or equal to the open-  
256 circuit resonance of the electrodynamic actuator ( $\bar{F}_{r,c}[n] \leq f_{r,o}$ ), the capacitor branch is switched  
257 in and the inductor branch is switched out. When  $\bar{F}_{r,c}[n] > f_{r,o}$  the capacitor branch is switched  
258 out and the inductor branch is switched in. This algorithm is set out step-by-step in Algorithm 1  
259 below, and in Figure 3.

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**Algorithm 1:** adaptive tuning

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**Input:**  $\dot{w}[n]$ , structural velocity measured at a single position

**Output:**  $R_s, L_s, C_s$

- 1 Calculate frequency domain response using a logarithmically distributed FFT of length NFFT (samples).
  - 2 Sort frequency vector in descending order of frequency response magnitude.
  - 3 Truncate frequency vector to first  $N$  (corresponding to the  $N$  highest magnitudes).
  - 4 Calculate required  $R_s, L_s$  and  $C_s$  values to achieve selected tuning frequencies and damping ratio.
  - 5 Implement new component values.
  - 6 Hold for an update period of length  $n_{update}$  (samples).
  - 7 Repeat
-

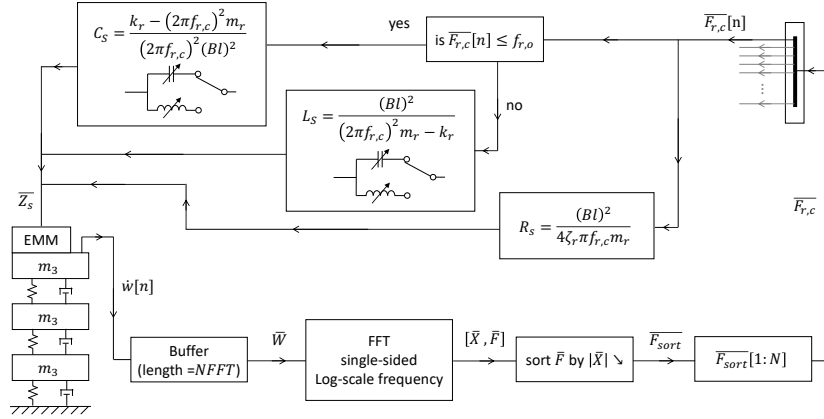


Figure 3: Block diagram of proposed adaptive tuning algorithm.

Two parameters must be specified to configure this tuning approach:  $NFFT$ , and  $n_{update}$ . The length of the FFT will correspond to the number of frequency bins and therefore the resolution of the frequency selection process. This will have a significant effect on the performance, as it directly affects the distribution of the tuning frequencies, and how closely the possible tuning frequencies align with the structural modal frequencies. The rate at which the tuning frequencies are updated may also have an effect on performance. Both of these parameters have therefore been investigated using parametric studies, and the results will be described in the following section.

#### 4. Simulation Methodology

This section sets out a simulation configuration that is used to investigate the performance of the tuned-shunt electrodynamic metamaterial approaches described in the previous section. The method of simulation is first set out, and then the results of initial convergence studies carried out to investigate the effect of different parameters on each approach are discussed. This includes the effect of the EDMM on the modal resonances in order to effectively design the fixed-tuning EDMM.

##### 4.1. Simulation setup

The three degree-of-freedom structure shown in Figure 2 has been simulated, with a disturbance force consisting of band-limited white noise applied to  $m_1$ . The shunted inertial actuators are modelled as SDOF mass-spring-dampers with a shunted magnet-coil assembly between the mass and the host structure. All simulations were carried out using MATLAB/Simulink and the Simscape library of mechanical and electrical component blocks. Simulink automatically selects a suitable solver: “ode45” (Dormand-Prince pair method [38]) is selected for the structure without the EDMM; “ode23t” (modified trapezoidal rule [39]) is selected for the structure with the EDMM. A simulation time of 300 s was maintained throughout all simulations.

#### 284 4.2. Selection of Fixed-Tuning Resonance Frequencies

285 As described in Section 3.2.1, under the fixed-tuning approach, the 12 resonators in the  
 286 EDMM are divided equally between the three modal frequencies. The modal frequencies of  
 287 the structure can be modelled or measured relatively straightforwardly, and so it is assumed that  
 288 they can be determined in advance. However, the addition of the EDMM, which has a mass  
 289 equal to 20% of the mass of the structure, will have an effect, shifting the modes down in fre-  
 290 quency. The structure was therefore simulated with and without the EDMM attached, with the  
 291 EDMM initially simulated in an open-circuit state, with no shunt impedance. Figure 4 shows  
 292 the mobility FRF magnitude and phase of  $m_3$  for both cases. It can be seen that there is a slight  
 293 downward shift in all three modes. The third mode is reduced in amplitude quite significantly,  
 294 which is expected, due to the increased force required to move the additional mass introduced by  
 295 the EDMM. The random phase seen above the third modal frequency is due to mechanical shock  
 296 introduced by instantaneous changes in the shunt impedances. The resonance frequencies with  
 297 the EDMM included are observed at 18.3 Hz, 103.5 Hz and 322.5 Hz. The fixed-tuning shunted  
 298 EDMM therefore has 4 of the 12 resonators tuned to each of these frequencies.

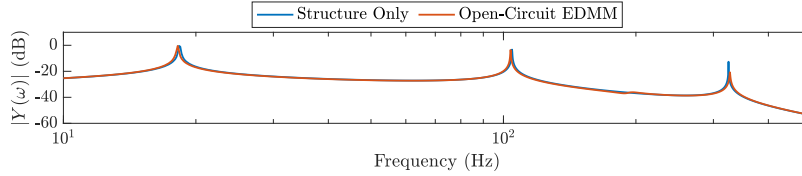


Figure 4: Mobility FRF magnitude of  $m_3$ , with and without the open-circuit EDMM.

#### 299 4.3. Selection of Discretised Sweep Parameters

300 The effect of a discretised swept tuning will likely depend on the resolution of the sweep,  
 301 and the sweep time period. In addition, for multiple swept tuning resonators, there may be  
 302 a change in performance depending on whether the resonators are all swept synchronously or  
 303 asynchronously with an offset in the tuning frequency between each actuator. This section sets  
 304 out an investigation into the selection of these parameters. The squared-cosinusoidal sweep  
 305 pattern is investigated with a wide range of resolutions, from 100 discrete frequency values, to  
 306 1140 discrete frequency values. In addition to varying the sampling frequency, the effect of  
 307 asynchronously sweeping the 12 resonators in the EDMM has been considered. In this case, the  
 308 resonance frequencies of the 12 resonators at time  $t$  are equally distributed across a range of the  
 309 sweep. The range is defined by a Normalised Offset of between 0 and 1, where 0 represents a  
 310 synchronous sweep and 1 represents a distribution spanning the half-cosine period  $T/2$ , where  
 311 the sweep offset in seconds,  $t_{offset}$ , for 12 resonators is  $T/24$ .

312 A convergence study comparing both the sweep resolution, SR, and the effect of the Nor-  
 313 malised Offset between the resonators has been carried out. Figure 5 shows a plot of the result-  
 314 ing  $E_{k,atten}$  over the frequency range of interest. Crosses mark instances where the simulation  
 315 has failed. This seems to be when either there is an instability, causing the voltages in one or  
 316 more shunt circuit to rise uncontrollably, or when there is a significant step change in voltage  
 317 such that the solver used does not converge. It is known that a step change in impedance (such  
 318 as that induced by a discretised, switching tuning approach) causes a step change in electrical

319 current and therefore introduces noise into the system. Initial investigation has shown that there  
 320 is a relationship between the current frequency and the rate at which the shunt is switched which  
 321 causes resonances in the electrical circuits, exacerbating the noise problem and potentially causing  
 322 instability and large voltage spikes. However, further investigation is required to clearly  
 323 identify these relationships, which will form part of future work. It is clear from the vertical  
 324 banding in Figure 5 that the two parameters are unrelated and can therefore be considered inde-  
 325 pendently. The total range in  $\bar{E}_{k, \text{atten}}$  is less than 0.5 dB, with the highest performing SR falling at  
 326 SR = 1060. It is possible that as the resolution becomes comparable to a true continuous sweep  
 327 that the performance will converge, but simulating higher resolutions than these will increase  
 328 computational demands further and no significant benefit is expected. These results suggest that  
 329 the discretisation of the sweep may result in instability and/or large voltage spikes and therefore  
 330 knowledge of the system response in the design stage is important.

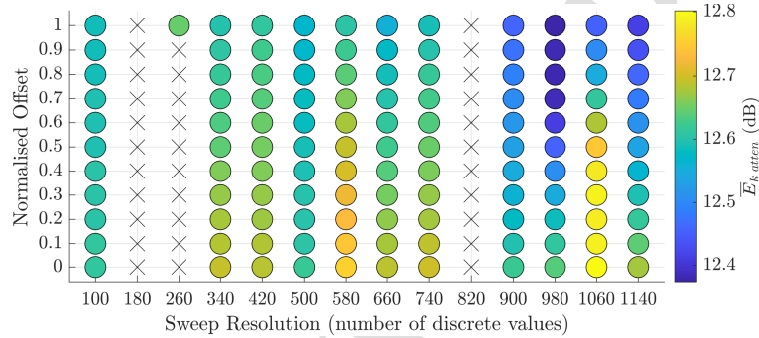


Figure 5: Plot showing the attenuation in the kinetic energy provided by the discretised sweep EDMM for different sweep resolutions and resonator tuning frequency offset. The crosses indicate where the system response is either unstable or unsolvable.

#### 331 4.4. Selection of Adaptive Switched Algorithm Parameters

332 The adaptive algorithm relies on structural feedback. It is proposed that the required sensing  
 333 is collocated with the EDMM mounted to the top mass of the structure ( $m_3$ ). Figure 4 shows that  
 334  $m_3$  has peaks in the dynamic response at each structural mode, and there are no anti-resonances,  
 335 making it suitable for analysing the structural response.

336 As the adaptive switched algorithm selects the tuning frequencies based on the frequency  
 337 bins of the FFT, the length of the FFT (NFFT) will have a strong influence on which points  
 338 are selected, and the rate at which the algorithm updates the component values ( $n_{\text{update}}$ ) may also  
 339 affect the performance of the adaptive EDMM. As the FFT is symmetrical, the number of discrete  
 340 tuning frequencies available for the adaptive algorithm is equal to NFFT/2. Figure 6 shows  
 341 the broadband attenuation of the kinetic energy of the top mass,  $\bar{E}_{k, \text{atten}}$ , for different values of  
 342 NFFT/2 and  $n_{\text{update}}$ . The crosses that occur at low values of NFFT/2 and  $n_{\text{update}}$  correspond to  
 343 failures in the Simulink solver, which seem to be a result of large voltage spikes being induced  
 344 by the switching of impedances. For low values of NFFT/2 the steps between tuning frequencies,  
 345 and therefore shunt impedances, are larger, and when updated more frequently it is hypothesised  
 346 that this is more likely to introduce significant voltage build ups. From this convergence study,

the highest levels of attenuation are achieved with  $NFFT/2 = 2^{11}$ . However, if the system is to be implemented with a switching analogue shunt, as proposed, then a small number of possible tuning frequencies is desirable. The difference in performance between the configurations with  $n_{update} = 2^9$  and  $NFFT/2$  between  $2^6$  and  $2^{11}$ , is less than 3 dB. Therefore the practical benefit of the low number of tuning frequencies may outweigh the performance benefit.

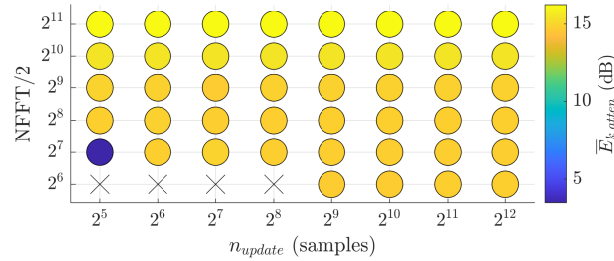


Figure 6: Contour plot showing the attenuation in the kinetic energy provided by the AEDMM for different FFT lengths and update rates. The crosses indicate where the solver failed.

## 5. Nominal performance

Using the simulation configuration set out in the previous section, the performance of the EDMM on a nominal structure was first investigated. Two adaptive and two swept configurations are compared:

- a “low resolution” adaptive configuration with  $NFFT/2 = 2^6$  and  $n_{update} = 2^9$ ;
- a “low resolution” sweep that is discretised to the same number ( $SR = 2^6$ ) of possible tuning frequencies;
- a “high resolution” sweep taken from the highest performing configuration in the convergence study, with  $SR = 1060$ ;
- a “high resolution” adaptive configuration with a corresponding  $NFFT/2 = 1060$ .

By selecting configurations with identical numbers of tuning frequencies in both approaches, a direct comparison can be made in terms of performance. Figure 7 shows the mobility FRF for all discussed cases: the structure alone, fixed-tuning EDMM, and the aforementioned configurations of the adaptive and swept tuning approaches. Due to the overlapping responses, the mobility is separated into bandwidths around each mode for clarity. The response outside of these ranges is insignificant and therefore excluded to better highlight the regions of interest. It can be seen from Figure 7 that the fixed-tuning achieves a dip in the response at the frequencies where the resonators of the unit cell have been tuned, but that this dip has small peaks either side of it. This is expected as it has been well examined in research into traditional TVAs. The side peaks produced are, in all frequency bands shown, higher in magnitude than the peak response for the other tuning approaches, despite achieving high attenuation of the structural response. The two swept configurations perform very similarly, with the lines barely distinguishable from each

374 other bar a difference in the noise displayed in the highest frequency band. This low level noise  
 375 is induced by the rapidly switching shunt circuit, as the step change in impedance causes a  
 376 similar change in the current flow. This noise is not visible in the adaptive approaches. Noise  
 377 is induced, which can be seen if the mobilities are evaluated without time averaging, but it is  
 378 much lower level. This is because the adaptive approaches switch every 32 ms, compared to  
 379 the swept approaches which switch every 1 ms (low resolution) or every 67  $\mu$ s (high resolution).  
 380 Both adaptive methods achieve greater attenuation than the swept in all frequency bands, with  
 381 the high resolution adaptive method achieving a marginally greater attenuation of the first mode.  
 382 From evaluating what tuning frequencies are selected during the simulations, it is seen that in the  
 383 high resolution adaptive approach, all resonators are consistently tuned around the first mode,  
 384 whereas in the low resolution approach the resonators are distributed over the first two modes,  
 385 but with the majority tuned around the first mode. The reason why the second and third modes  
 386 are still well controlled is likely in part due to the additional effective mass presented by the RC  
 387 shunt when tuning the actuator resonance frequency to one below its open-circuit resonance, but  
 388 also due to the increase in damping ratio at low tuning frequencies caused by the modification  
 389 used to calculate the shunt resistor value (Equations 9 and 10), as discussed at the end of Section  
 390 2.

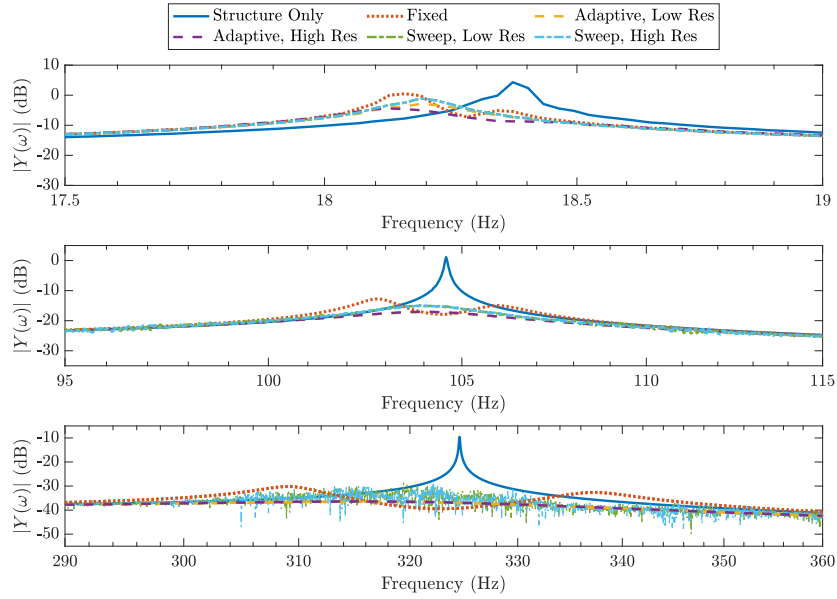


Figure 7: Mobility FRF magnitude, of mass  $m_3$ , for the different shunted EDMM tuning methods over frequency bandwidths around the first (top), second (middle), and third (bottom) structural modes.

391 Table 1 shows the reduction in the peak mobility magnitude of the top structural mass ( $m_3$ )  
 392 achieved by each tuning approach, in each of the three frequency bands shown in Figure 7,  
 393 alongside  $\bar{E}_{k, atten}$  for the whole frequency range of interest. It is clear from these results that

the adaptive approaches achieve greater attenuation than the fixed and swept approaches, in both attenuation of each individual mode and the mean attenuation of energy. Because of the noise around the third mode in the swept approach, it actually achieves poorer reduction in the peak mobility than the fixed tuning.

Table 1: Peak-to-peak reduction in each modal frequency band and attenuation in kinetic energy achieved by each tuning method.

Tuning Method	Band-limited reduction in peak $ Y(\omega) $ (dB)			$\bar{E}_{k, atten}$ (dB)
	17.5-19 Hz	95-115 Hz	290-360 Hz	
Fixed	3.8	13.9	20.6	10.8
Sweep, Low Resolution	5.4	16.2	19.1	12.7
Sweep, High Resolution	5.4	16.2	18.9	12.7
Adaptive, Low Resolution	7.2	18.3	26.9	14.7
Adaptive, High Resolution	8.7	18.2	26.8	11.7

Consideration of the time-averaged response alone is not sufficient to judge the effectiveness of any of these approaches, as dynamics that vary over time will result in variation in the response over time. Large spikes in the velocity response of the structure, or a ‘pulsing’ of modes would not necessarily be shown in the time-averaged response but could be equally undesirable. The variation in the velocity response over time is therefore also considered, since it may provide useful insight into the performance of the different approaches. Figure 8 shows the peak of the magnitude-squared velocity STFT of the top mass over time, from the total frequency range of interest (5–500 Hz) and in each of the three modal frequency bands (17.5–19 Hz, 95–115 Hz and 290–360 Hz) defined previously, for the structure, fixed tuning, and low resolution adaptive and swept approaches. The first 20 seconds are omitted to allow the structural response to reach steady-state. Comparing these results corresponding to the total frequency range and in each of the modal frequency ranges, it is clear that the overall response is dominated by the first mode. The adaptive and swept approaches achieve a consistent level of attenuation over the full frequency range, however, the fixed tuning sweep seems to cause an enhancement in the first mode at around 170 s. This is an undesirable attribute and casts doubt over the suitability of this as a tuning method even for a nominal structure. The peak velocity over time shown in Figure 8 is smoothed due to the windowing used to calculate the STFT, so impulsive spikes would not be visible. However, analysis of the time domain data shows that there are no significant spikes in the velocity of the structure caused by any of the tuning approaches. The switching noise previously observed in the highest modal bandwidth is masked in the overall response plot due to the dominance of the first mode and is of relatively low amplitude and therefore low concern.

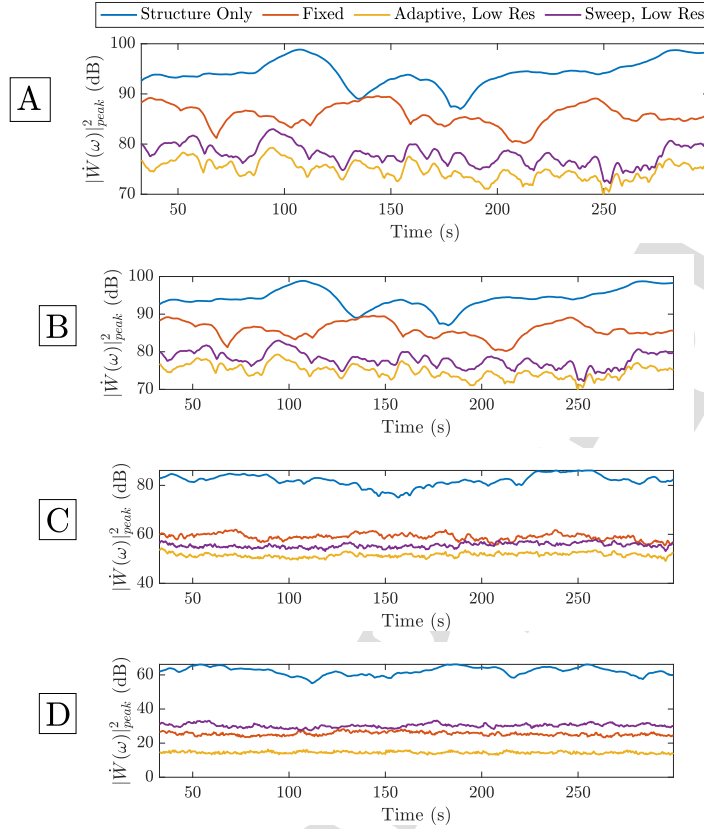


Figure 8: Peak magnitude-squared velocity STFT over the total frequency range of interest (A) and in the different modal frequency bands (B = 17.5–19 Hz; C = 95–115 Hz; D = 290–360 Hz)

## 6. Robust performance

In the previous sections, a nominal structure has been considered with fixed parameters. In practice, there are likely to be uncertainties in the system, and this could affect the performance of the tuning approaches. In this section, the robustness of the previously described tuning approaches are investigated when they are applied to a structure with parametric uncertainty. In addition to uncertainty in the structure, uncertainties in the mechanical parameters of the actuators are also considered. However, uncertainty in the actuator electrical characteristics is not considered, since using the proposed parallel shunt method to modify the dynamic response of the actuators requires their electrical impedance to be completely cancelled out and uncertainties would negate the effect of the shunt. In practice, any variation in the electrical characteristics of



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429 the actuator would need to be identified using thorough measurement or a self-tuning approach.  
430 The primary metric used to assess robustness in this study is the mean attenuation in the  
431 kinetic energy over multiple uncertain cases. The standard deviation in the attenuation in the  
432 kinetic energy is also presented, along with the highest and lowest attenuation achieved in the  
433 range of results. This is because poorly performing outliers are of significant interest when the  
434 system is unknown, and the range of results along with the standard deviation gives an idea of  
435 the spread in performance.

436 In the previous section, an investigation into the effect of the various parameters used in the  
437 different tuning approaches was presented. Although this could be repeated to find the optimal  
438 parameters for robust performance, this would be extremely time consuming and would require  
439 prior knowledge of the type of uncertainty. Therefore, the same investigation has not been car-  
440 ried out for the robustness study. Initially, it was proposed that the same configurations used to  
441 compare the nominal performance of each approach would be used to compare the robust perfor-  
442 mance. However, it was subsequently found that the low resolution adaptive approach and both  
443 configurations of the swept approach suffered from voltage spikes and instabilities when applied  
444 to the uncertain cases. Through trial and error it was found that increasing the period of the sweep  
445 from 1/14 s to 1/4 s reduced the rate of switching sufficiently to avoid the issues caused by the  
446 impedance changes. This reduction in switching rate also has the benefit of making the swept  
447 tuning more practical for implementation in analogue. The update rate of the low resolution  
448 adaptive configuration was increased from  $2^9$  to  $2^{10}$  in order to have the same effect. Therefore,  
449 this modified set of configurations was used to assess the robust performance. It was seen in the  
450 convergence study on the adaptive approach that increasing the update rate had a minimal impact  
451 on performance. However, it is unknown what effect the increase in sweep period will have on  
452 the nominal performance, and this will need to be considered as part of the robust evaluation.

### 453 *6.1. Structural Uncertainties*

454 In order to produce uncertainties in the modal frequencies of the structure, the stiffness com-  
455 ponents of the three degree-of-freedom structure were subject to random variation, with a normal  
456 distribution. The simulation was run for the nominal structure and for 50 additional structures  
457 where each stiffness component was set to a new value between  $\pm 50\%$  of the nominal value.  
458 This range constrains the structural resonance frequencies to a frequency range of 5–300 Hz.  
459 These values were chosen in advance of all simulations using a random number generator and  
460 the same set of uncertain structures was used with each tuning approach to provide a consist-  
461 ent comparison. Figure 9 shows the mobility FRF magnitude of the top mass with no EDMM  
462 for the nominal structure (solid line) and for all cases with structural uncertainty (bounded by  
463 dashed line). The significant shifts in the modal frequencies of the structure in the presence of  
464 uncertainty can clearly be seen.

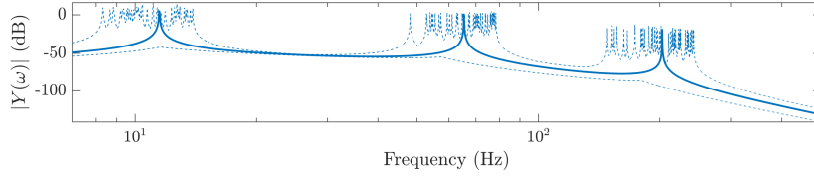


Figure 9: The mobility FRF magnitude of the top mass of the structure for the nominal case (solid line) and for all cases with structural uncertainty (bounded by dashed lines).

The simulated velocity of the top mass, with and without the EDMM attached, was used to calculate the mean broadband attenuation in the kinetic energy,  $\bar{E}_{k, \text{atten}}$ , achieved by the different tuning approaches. The robustness is examined in Figure 10 using the four robustness metrics defined above. From Figure 10 it can be seen that the mean attenuations achieved by the adaptive and swept methods far exceed that achieved by the fixed EDMM. It can also be seen how both adaptive configurations outperform both swept configurations in all metrics. The high resolution approaches only marginally outperform their low resolution counterparts, meaning that the benefit of a small number of shunt circuits in an analogue realisation would probably be a more important factor in the design of the system. The fixed tuning is, as expected, demonstrated to be completely unsuitable for robust control, by the only marginally positive  $\bar{E}_{k, \text{atten}}$ , and a positive value for minimum attenuation indicating enhancements in at least one case.

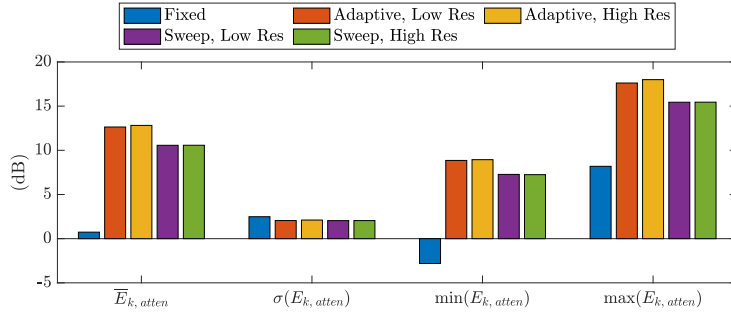


Figure 10: Mean, standard deviation ( $\sigma$ ), maximum and minimum attenuation in the kinetic energy with structural uncertainty for the three proposed EDMM tuning approaches.

It can be concluded, based on the results in Figure 10, that out of the investigated tuning approaches in their current configurations, the adaptive system is the most robust to structural uncertainties. Although the high resolution adaptive tuning marginally outperforms the low resolution, this is paid for by a significant increase in the number of shunt circuits required to realise the analogue implementation. Greater robustness in any of the approaches may be achieved by configuring them based on the robust response, rather than only the nominal, but this would require a priori knowledge of the uncertainties and, therefore, an investigation into this is reserved for possible future work. A significant benefit of the adaptive approach is the greatly reduced

switching rate considered, which for an analogue circuit is an important consideration. An additional worthwhile consideration in future work would be a comparison between a blind sweep with a sweep rate that results in a comparable switching rate. If the performance of the blind sweep did not deteriorate with the reduction in sweep rate, then the lack of a need for sensors and structural feedback, may make it a more suitable approach in some applications.

## 6.2. Uncertainties in the Actuator Dynamic Response

In addition to uncertainties in the structural response, it is also relevant to consider the effect of uncertainty in the actuators. Uncertainty in the electrical parameters of the actuators will negate the effect of the shunt and so the shunt design considered here cannot achieve robustness to the electrical parameters and must be modified to address such uncertainty if required. However, it is worth considering the robustness to uncertainty in the mechanical properties of the actuators, as this may highlight potential issues. The effect of uncertainty in the stiffness, mass and damping components of the actuators has therefore been considered. In this case, simulations were run for the nominal structure and the nominal actuator and for 39 additional cases where the three dynamic parameters of the actuators were subject to bounded variation. The variations were set to a linear distribution of  $\pm 10\%$  of the nominal values, which is the range provided in the manufacturer data sheet. The same uncertainty was applied to all of the actuators rather than each having a different response. Although unrealistic, this could be considered the 'worst case' scenario, and may better highlight the difference between the robustness of each tuning approach. The 39 sets of uncertain actuator parameters were chosen in advance using a random number generator and these sets were used for all of the tuning approaches to allow consistent comparisons. Figure 11 shows the amplitude of the mechanical impedance of the resonator to base displacement,  $Z_r$ , for the nominal (solid line) and the 39 uncertain models (filled area).  $Z_r$  is expressed as

$$Z_r = (j\omega b_r + k_r) \left( 1 - \frac{j\omega b_r + k_r}{j\omega b_r + k_r - \omega^2 m_r} \right), \quad (11)$$

where  $b_r$  is the actuator damping coefficient,  $k_r$  is the actuator suspension stiffness and  $m_r$  is the actuator moving mass. From Figure 11 it can be seen how the resonance frequency of the actuator varies with uncertainty, but the variation covers a relatively small range as defined by the bounds of variation set at  $\pm 10\%$ .

Figure 12 shows the four previously defined robust performance metrics in the case of uncertainty in the actuator dynamics. It can be seen from these results that all tuning approaches are quite robust to this range of variation in the actuators, with the adaptive and swept approaches both again outperforming the fixed tuning. The adaptive approach again outperforms the swept approach, with the only notable difference to the trend seen in the robustness to structural uncertainty being that the standard deviation in the low resolution adaptive approach is higher than in the swept approach. This could be because, in this case, a change in actuator dynamics shifts the entire sweep bandwidth and, therefore, should maintain a very similar distribution of frequencies around the modal peaks. However, with the adaptive approach, the actuator uncertainty means that the tuning frequencies selected by the algorithm will not be realised accurately and the absorption of the target frequencies will be affected. It can be seen by comparing the other metrics however, that the higher standard deviation for the low resolution adaptive is due to the greater difference between the minimum and maximum values, and the minimum attenuation achieved by the low resolution adaptive is higher than the maximum attenuation achieved by either configuration of the swept approach. The high performance of the adaptive approach is probably aided

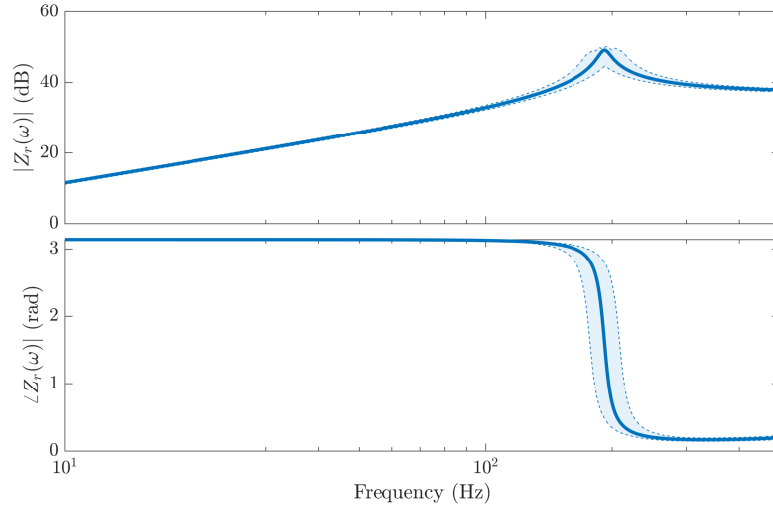


Figure 11: The mechanical impedance of the resonator base to displacement,  $Z_r$ , for the nominal case (solid line) and for all cases with uncertainty in the actuator dynamics (filled area).

by the damping of the actuators. Because they are well damped, the mistuning caused by uncertainty is likely to have a reduced effect on the energy of the structure. The difference in robust performance between the swept and adaptive approaches is small, and the discussed benefits of the low switching speed of the adaptive approach still apply. Further investigation is required to understand whether a slower sweep can achieve similar results, and whether configuration of the adaptive approach for an uncertain system has a significant impact on its robust performance. As already stated, all the actuators are assumed to be identical even in the presence of uncertainty. Although this represents a worst case scenario, further investigations should be carried out where this is not the case, with each actuator having a set of uncertain parameters.

## 7. Conclusions

This paper has presented a variable shunt electrodynamic metamaterial (EDMM) for vibration control, and a novel adaptive tuning approach that provides robustness to uncertainties in the host structure. The EDMM shunt consists of a parallel resistance and inductance or capacitance, allowing the resonance frequency to be tuned up or down in frequency. For a large quantity of differently tuned resonators, it is proposed that an analogue circuit with switching impedances is more practical to implement than individually controlled digital synthetic impedances.

The novel adaptive tuning approach tunes the inertial electrodynamic actuators forming the EDMM unit cell to the centre frequencies of the highest magnitude frequency bins of a short-time Fourier analysis, with logarithmic frequency scale, of the structural velocity. The performance of this tuning approach has been compared to a fixed tuning based on the modal frequencies of a

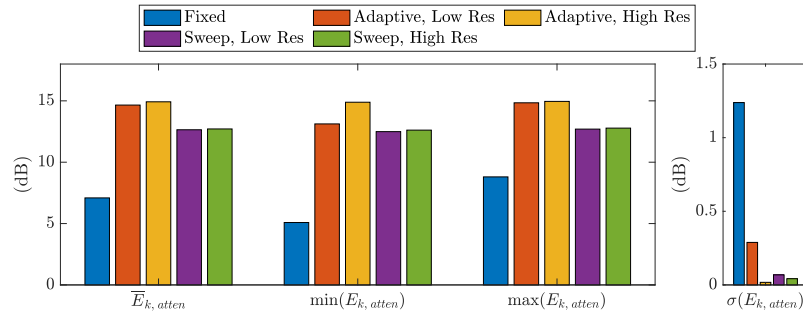


Figure 12: Mean, standard deviation ( $\sigma$ ), maximum and minimum attenuation in the kinetic energy with uncertainty in the actuator dynamics for the three proposed EDMM tuning approaches.

nominal host structure, and a discretised “blind sweep” of the tuning frequencies. Investigation into the configuration of the swept approach has demonstrated that the performance on a nominal structure is very robust to the resolution of the discretisation, and to asynchronicity of the sweep across the resonators within the unit cell. However, it is shown that for some configurations, the solver used for the simulations fails to complete. This is due to voltage spikes and instability introduced by switching noise, and future work will look at an apparent relationship between switching rate and the current frequency spectrum. The adaptive tuning approach is shown to outperform the swept approach in attenuating the vibration of a nominal structure. In addition, the switching rate is significantly higher for the swept approach, putting higher strain on any switching matrix components.

In the presence of uncertainties in the modal frequencies of the host structure, the adaptive tuning approach has been shown to achieve greater robustness in all energy metrics. The same result is seen when uncertainties in the mechanical parameters of the inertial actuators forming the EDMM are considered, even though the blind sweep has an advantage in that uncertainty will just shift the sweep range rather than mistuning the individual actuators. This is probably because the actuators are quite damped and therefore the shift in resonance frequency as a result of the uncertainty has a reduced effect.

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**Highlights for ‘An adaptive electrodynamic metamaterial’**

- An electrodynamic metamaterial is proposed
- The effect of a parallel RLC shunt is examined
- An adaptive tuning algorithm is proposed
- The adaptive approach is compared to a blind swept tuning approach
- The adaptive approach is shown to have greater robustness to structural uncertainties



**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: