



# Transsynaptic cerebellin 4–neogenin 1 signaling mediates LTP in the mouse dentate gyrus

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Five decades ago, long-term potentiation (LTP) of synaptic transmission was discovered at entorhinal cortex→dentate gyrus (EC→DG) synapses, but the molecular determinants of EC→DG LTP remain largely unknown. Here, we show that the presynaptic neurexin–ligand cerebellin-4 (Cbln4) is highly expressed in the entorhinal cortex and essential for LTP at EC→DG synapses, but dispensable for basal synaptic transmission at these synapses. Cbln4, when bound to cell-surface neurexins, forms transcellular complexes by interacting with postsynaptic DCC (deleted in colorectal cancer) or neogenin-1. DCC and neogenin-1 act as netrin and repulsive guidance molecule-a (RGMa) receptors that mediate axon guidance in the developing brain, but their binding to Cbln4 raised the possibility that they might additionally function in the mature brain as postsynaptic receptors for presynaptic neurexin/Cbln4 complexes, and that as such receptors, DCC or neogenin-1 might mediate EC→DG LTP that depends on Cbln4. Indeed, we observed that neogenin-1, but not DCC, is abundantly expressed in dentate gyrus granule cells, and that postsynaptic neogenin-1 deletions in dentate granule cells blocked EC→DG LTP, but again did not affect basal synaptic transmission similar to the presynaptic Cbln4 deletions. Thus, binding of presynaptic Cbln4 to postsynaptic neogenin-1 renders EC→DG synapses competent for LTP, but is not required for establishing these synapses or for otherwise enabling their function.

long-term potentiation | neurexin | neogenin 1 | synaptic plasticity | hippocampus

The Hebbian postulate (1) that long-term synaptic changes occur in ensembles of neurons that wire and fire together, and that, such changes form the basis for learning and memory, is the most widely accepted hypothesis for a cellular correlate of learning and memory. This hypothesis was potently supported by the finding that intense stimulation of neurons induces the long-term potentiation (LTP) of the strength of some synapses. LTP was originally discovered at entorhinal cortex→dentate gyrus (EC→DG) synapses (2), but studied most intensely at hippocampal Schaffer-collateral CA3 region→CA1 region (CA3→CA1) synapses. LTP manifests as an increase in synaptic strength induced by high-frequency stimulation in acute brain slices or in a behaving animal and is observed in multiple species and brain regions (3–7).

Work over nearly 50 years elucidated the molecular machinery that mediates the induction, expression, and maintenance of LTP (8–12). Most of this work focused on CA3→CA1 synapses, at which activation of N-methyl-D-aspartate receptors (NMDARs) during LTP induction causes Ca<sup>2+</sup> influx that induces the postsynaptic recruitment of  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors (AMPA), thereby increasing synaptic strength. Despite vast progress in understanding CA3→CA1 LTP, major questions remain. For example, whether AMPARs are recruited by lateral diffusion after exocytosis or direct exocytosis at postsynaptic sites remains unclear, as does the question of why both Neuroligin-1 and LRRTMs, which are postsynaptic receptors for presynaptic neurexins, are required for LTP induction at CA3→CA1 synapses (13). Even less is known about LTP at synapses other than CA3→CA1 connections, in particular, at EC→DG synapses at which LTP was discovered. Here, LTP may have a different molecular basis, but this possibility has not been addressed.

DCC and neogenin-1 (Neo1) were identified as receptors for netrins and RGMa, which are essential axon-guidance molecules (14–17). Deletion of *DCC* causes defects in axonal projections in the developing brain (18). *DCC* or *Neo1* deletions lead to behavioral symptoms associated with developmental disorders (19, 20), neuropsychiatric diseases (19, 21–29) with underlying deficits in axonal targeting (24, 28, 30, 31), and synaptic plasticity (23, 32, 33). Both *DCC* and *Neo1* play additional roles in development (34) (reviewed in refs. 35–39). In view of the crucial role of DCC and Neo1 as netrin and RGMa receptors during development, it was a major surprise when Neo1

## Significance

Synapses are controlled by transsynaptic adhesion complexes that mediate bidirectional signaling between pre- and postsynaptic compartments. Long-term potentiation (LTP) of synaptic transmission is thought to enable synaptic modifications during memory formation, but the signaling mechanisms involved remain poorly understood. We show that binding of cerebellin-4 (Cbln4), a secreted ligand of presynaptic neurexin adhesion molecules, to neogenin-1, a postsynaptic surface protein known as a developmental netrin receptor, is essential for normal LTP at entorhinal cortex→dentate gyrus synapses in mice. Cbln4 and neogenin-1 are dispensable for basal synaptic transmission and not involved in establishing synaptic connections as such. Our data identify a netrin receptor as a postsynaptic organizer of synaptic plasticity that collaborates specifically with the presynaptic neurexin–ligand Cbln4.

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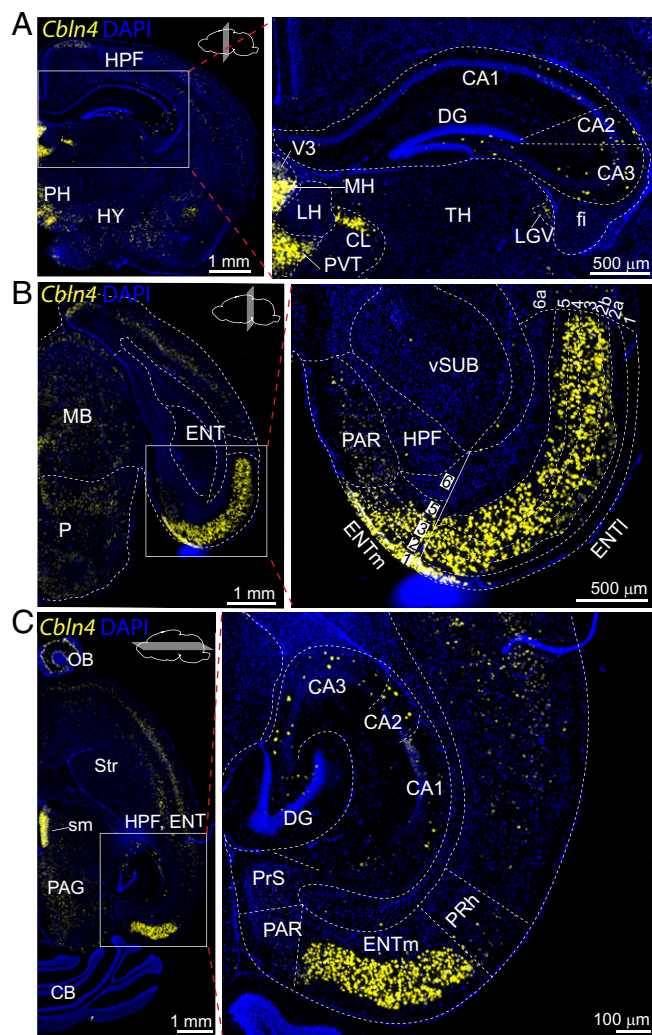
and DCC were also found to bind to cerebellin-4 (Cbln4), a secreted C1q-domain protein (40–42). Cbln4 belongs to a family of four synaptic proteins (Cbln1 to 4) that bind to presynaptic neuexins (43, 44). Of the four cerebellins, *Cbln1*, 2, and 4 are broadly expressed throughout the brain, whereas *Cbln3* is only present in cerebellum and not secreted in the absence of Cbln1 or Cbln2 (44). Cbln1 and Cbln2, bound to presynaptic neuexins, interact with postsynaptic GluD1 and GluD2, which are surface receptors that are homologous to AMPARs and NMDARs and that transduce Cbln1/2-neuexin signals into a postsynaptic response (45–50). Cbln4, however, does not bind to GluDs (50). The interaction of Cbln4 with DCC and Neo1 suggested a possible role for DCC and Neo1 as transducers for a presynaptic neuexin–Cbln4 signal, similar to the role of GluDs in transducing presynaptic neuexin–Cbln1/2 signals, but the function of transsynaptic Cbln4–Dcc/Neo1 complexes is unknown.

Here, we show at EC→DG synapses that the binding of Cbln4 to Neo1 executes a critical role in synaptic plasticity. We demonstrate that Cbln4 and Neo1 are essential for induction of EC→DG LTP without being required for basal synaptic transmission. *Cbln4* is only expressed in selected subsets of neurons in the brain; for example, it is present in the EC but absent from CA3 and CA1 region pyramidal neurons that exhibit classical NMDAR-dependent LTP. As a result, the Cbln4- and Neo1-dependent LTP we describe differs from CA3→CA1 LTP, which requires different sets of neuexin-based transsynaptic complexes, namely neuexin–neuroligin-1 and neuexin–LRRTM complexes. Thus, we elucidate a synaptic function for Cbln4–Neo1 complexes, suggesting a greater functional diversity of transsynaptic adhesion complexes in synaptic plasticity than previously envisioned.

## Results

**Cbln4 Is Highly Expressed in EC Neurons and Required for EC→DG LTP.** In an attempt to identify unique molecular features of the EC→DG circuit, we analyzed the expression of synaptic molecules in single-cell RNA-sequencing (RNA-seq) datasets from the murine cortex and hippocampus (<https://portal.brain-map.org/atlas-and-data/rnaseq>). Screening for the expression of 46 genes encoding transsynaptic signaling molecules in glutamatergic cell populations revealed a significant region-specific enrichment in layers L2/L3 of the EC of a member of the cerebellin family, *Cbln4*, but not of *Cbln1*, *Cbln2*, or *Cbln3* (SI Appendix, Fig. S1). RNA fluorescent in-situ hybridization (RNA-FISH) uncovered high levels of *Cbln4* expression in layers L1, 2, 3, and 5 but not in layer 6 of the medial EC (ENTm), and in layers L1, 2a, 2b (with gradient), 3, 4, and 5 but not in layer 6a of the lateral EC (ENTl) (Fig. 1 A–C). Principal cells in the hippocampus (dentate gyrus granule cells, CA1, 2, and 3 pyramidal cells) were devoid of Cbln4 expression, although hippocampal interneurons also expressed abundant levels of *Cbln4* (Fig. 1 A and C). Outside of the hippocampal formation, *Cbln4* was also expressed highly in the medial habenula as described previously (51).

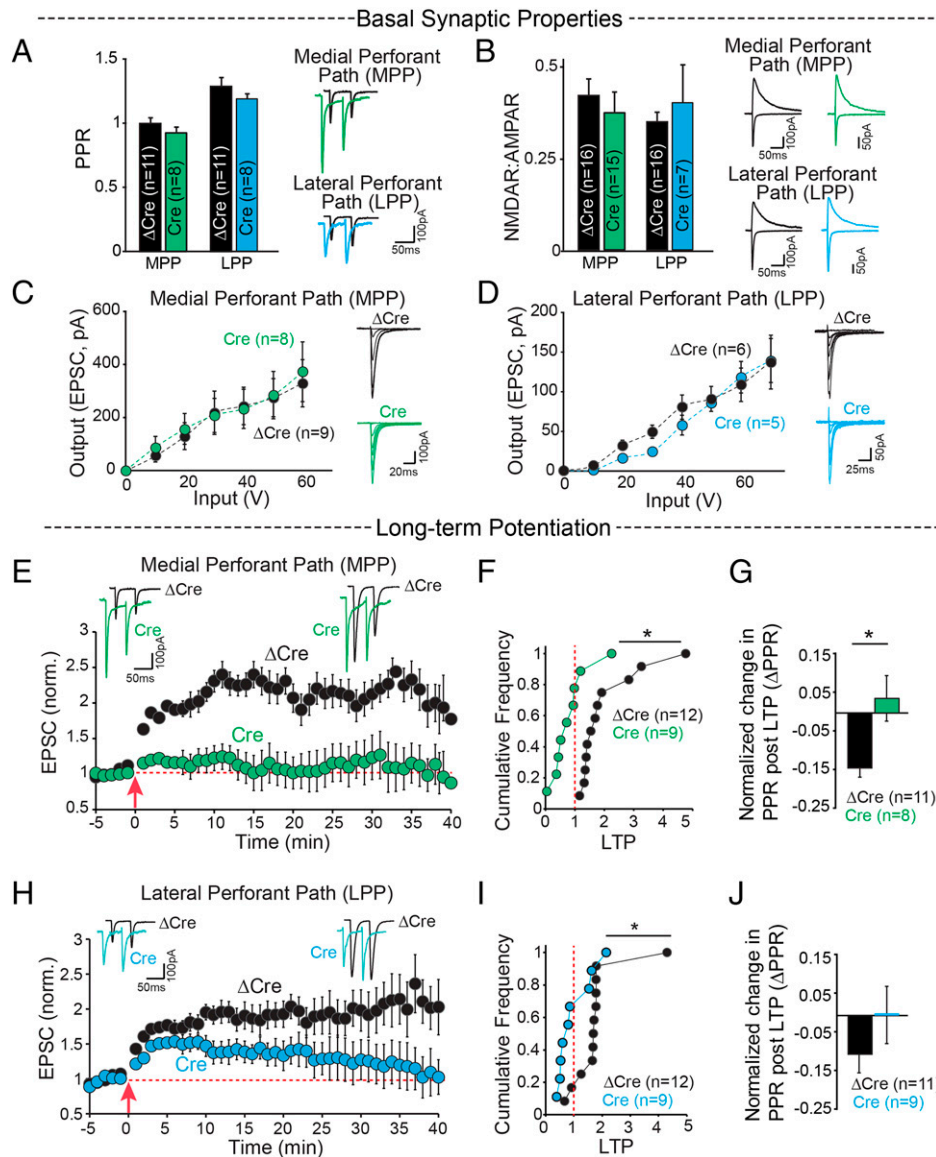
Cerebellins are secreted adaptor molecules that connect presynaptic neuexins to postsynaptic receptors, thereby forming transsynaptic complexes (41, 46, 47, 49, 52–56). Only neuexins containing an insert in splice site 4 (SS4) bind to cerebellins. GluD1 and GluD2 (glutamate delta receptors, also called Gluδ1 and Gluδ2) function as postsynaptic receptors for Cbln1 and Cbln2 complexed to neuexins, but not for Cbln4 (note that Cbln3 is not expressed on its own) (50). In contrast, Neo1



**Fig. 1.** *Cbln4* expression is enriched in the EC. (A–C) *Cbln4* mRNA expression revealed by single-molecule RNA-FISH in adult mouse. RNA-FISH assay on coronal hippocampus (A) and entorhinal cortical sections (B) and on horizontal brain sections of the entorhinal and hippocampal area (C). Boxed regions on the *Left* are enlarged at *Right*. (Abbreviations: HPF, hippocampal formation; fi, fimbria; LGv, ventral part of the lateral geniculate complex; CA, cornu ammonis; DG, dentate gyrus; PAR, parasubiculum; MB, midbrain; Vsub, ventral subiculum; V3, third ventricle; MH, medial habenula; LH, lateral habenula; PVT, paraventricular nucleus of the thalamus; PH, posterior hypothalamic nucleus; TH, thalamus; HY, hypothalamus; sm, stria medullaris; PAG, periaqueductal gray; Str, striatum; CB, cerebellum; OB, olfactory bulb).

and DCC act as receptors for Cbln4 (41), although no functional significance of this interaction was identified. The striking anatomical specificity of *Cbln4* expression in the EC prompted us to hypothesize that Cbln4 could be involved in shaping EC→DG circuits via its interaction with neuexins that are also abundantly expressed in the EC (SI Appendix, Fig. S1).

We thus set out to investigate whether Cbln4 is necessary for the function of the EC→DG circuit (SI Appendix, Fig. S2A). We stereotactically injected adeno-associated viruses (AAVs) expressing Cre-GFP (conditional knockout) or ΔCre-GFP (control) into the medial and lateral entorhinal cortices of *Cbln4* conditional knockout (cKO) mice at postnatal day 18 to 21 (44, 57) (SI Appendix, Fig. S2B). Two weeks later, we cut hippocampal slices and performed whole-cell voltage-clamp recordings from DG granule cells and measured evoked synaptic responses elicited by stimulation of EC inputs in order to

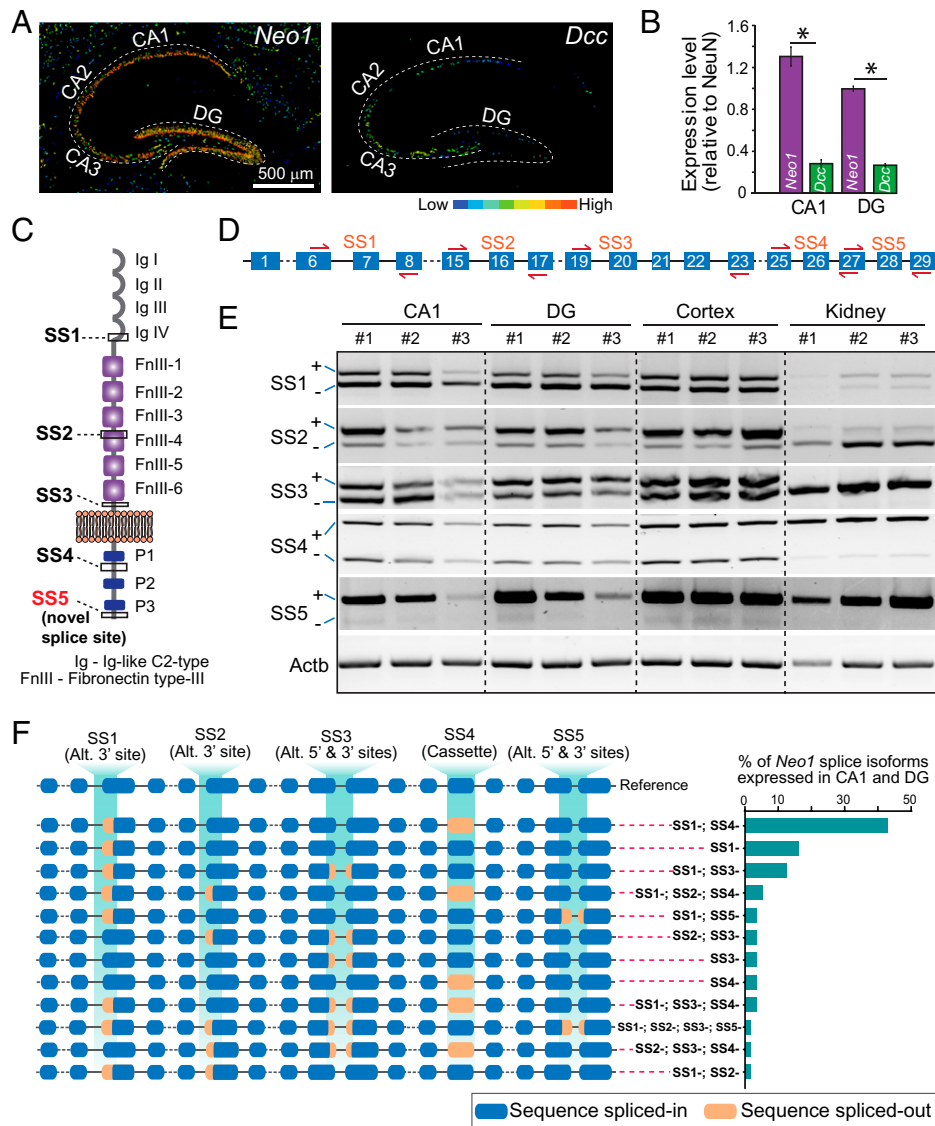


**Fig. 2.** *Cbln4* deletion in the EC selectively blocks LTP at EC→DG synapses. (A–J) Whole-cell voltage-clamp recordings from dentate gyrus granule cells for stimulation of inputs from the medial entorhinal cortex through the MPP and lateral entorhinal cortex through the LPP from control ( $\Delta$ Cre) and entorhinal cortex *Cbln4* KO (Cre) mouse brain slices. (A) Summary graphs (Left) and sample traces (Right) of PPRs show no significant difference between Cre- and  $\Delta$ Cre-injected mice in MPP ( $\Delta$ Cre  $0.99 \pm 0.05$ , Cre  $0.93 \pm 0.05$ ) and LPP ( $\Delta$ Cre  $1.28 \pm 0.07$ , Cre  $1.19 \pm 0.04$ ). (B) NMDA-receptor to AMPA-receptor ratios. MPP:  $\Delta$ Cre  $0.42 \pm 0.05$ , Cre  $0.37 \pm 0.06$ ; LPP:  $\Delta$ Cre  $0.35 \pm 0.03$ , Cre  $0.40 \pm 0.12$ . (C and D) Summary graphs with sample traces of input–output relationships of AMPAR EPSCs for incremental stimulation intensities show no significant difference between the two conditions. (E–J) Outcome of LTP in EC Cre- and  $\Delta$ Cre-injected *Cbln4* cKO mice. (E) Sample EPSC traces before and after LTP induction (Top) and time course (Bottom) for LTP induction in the MPP of control ( $\Delta$ Cre) and entorhinal cortex *Cbln4* KO (Cre) mouse brain slices. (F) Cumulative distribution of normalized LTP,  $\Delta$ Cre  $2.03 \pm 0.31$ , Cre  $0.83 \pm 0.21$ ,  $*P = 0.007$ . (G) Normalized change in PPR at 35 to 40 min following LTP,  $\Delta$ Cre  $-0.144 \pm 0.02$ , Cre  $0.04 \pm 0.06$ ,  $*P = 0.02$ . (H–J) Same as E–G, except for LTP induction in the LPP. (H) Sample EPSC traces before and after LTP induction (Top) and time course (Bottom) for LTP induction in the LPP of control ( $\Delta$ Cre) and entorhinal cortex *Cbln4* KO (Cre) mouse brain slice. (I)  $\Delta$ Cre  $2.11 \pm 0.25$ , Cre  $1.11 \pm 0.24$ ,  $*P = 0.04$ . (J)  $\Delta$ Cre  $-0.1 \pm 0.04$ , Cre  $0.003 \pm 0.076$ .

test the role of *Cbln4* in EC→DG synapses. In these experiments, we used axonal stimulation of medial and lateral EC efferents to activate the medial perforant path (MPP) and lateral perforant path (LPP), respectively. EC→DG synapses formed by the MPP and LPP have different presynaptic properties that produce distinct paired-pulse ratios (PPRs) (58). The presynaptic deletion of *Cbln4* had no effect on any basal synaptic activity of MPP or LPP synapses, including their PPR (Fig. 2A), NMDAR to AMPAR ratios (Fig. 2B), or input–output relationships of AMPAR excitatory postsynaptic currents (EPSCs) (Fig. 2C and D). These results indicate that the presynaptic *Cbln4* deletion does not change the number or basal properties of the synapses of MPP and LPP EC→DG circuits.

Next, we asked whether *Cbln4* might contribute to the plasticity, instead of the basic operation of EC→DG synapses, and tested the role of *Cbln4* in EC→DG LTP (2). In  $\Delta$ Cre-injected animals, high-frequency stimulation of EC afferents produced a sustained, approximately twofold potentiation of EPSCs (30 to 40 min post-LTP induction) in both the MPP and the LPP pathways. In Cre-injected mice, no significant potentiation was observed in MPP (Fig. 2E–G) or LPP (Fig. 2H–J) EC→DG synapses (Fig. 2E–J). Moreover, LTP induced a decrease in the PPR of MPP synapses in control mice, but not in mice with a deletion of *Cbln4* in the EC (Fig. 2G), suggesting a presynaptic contribution to LTP (59), whereas the PPR in LPP synapses was unchanged (Fig. 2J). Overall, these results





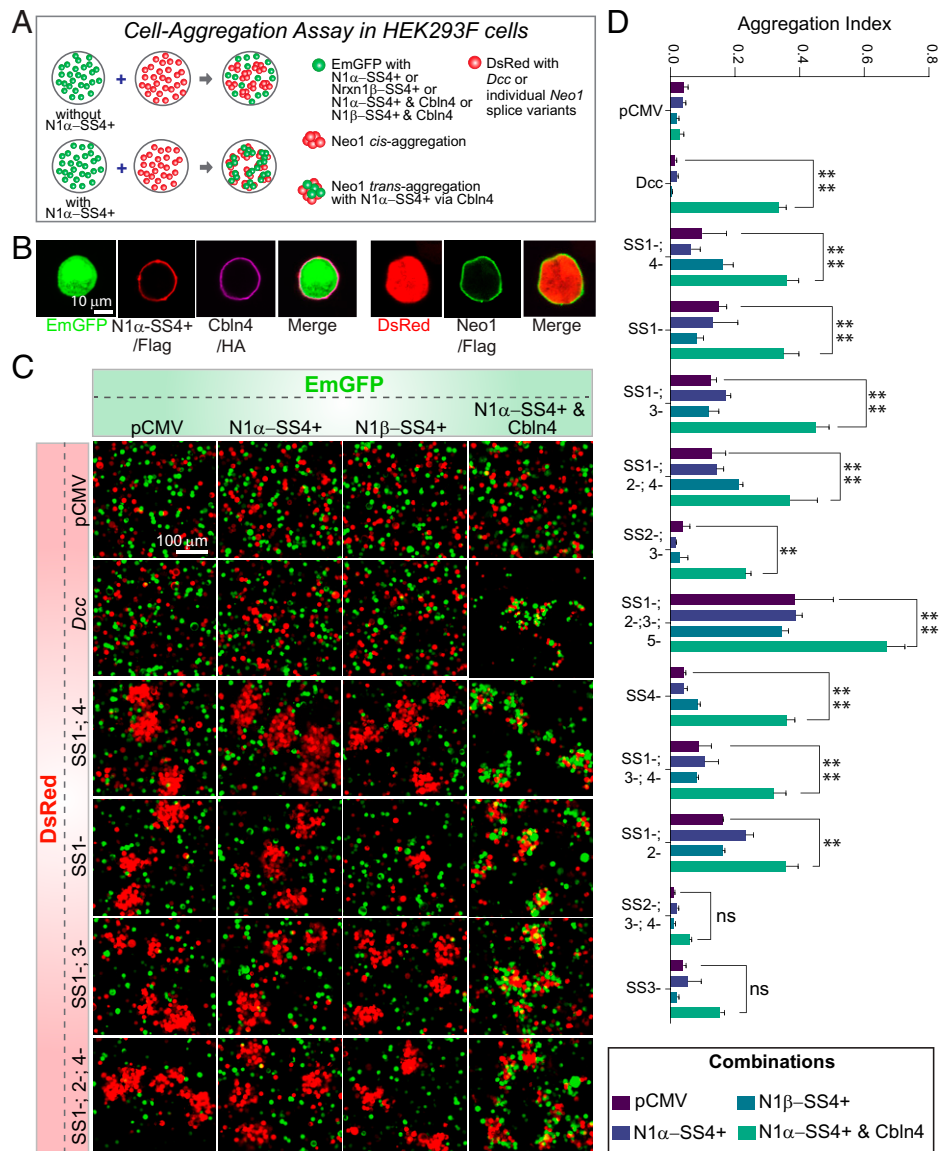
**Fig. 3.** *Neo1* but not *DCC* is highly expressed in the hippocampus and has multiple splice isoforms arising from many splicing events. (A) Expression view of Allen Brain Atlas RNA in-situ hybridization data for *Neo1* and *DCC* mRNA levels in the hippocampal region shows high level of *Neo1* expression in the DG. (B) Relative mRNA levels in the DG and CA1 of *Neo1* ( $0.99 \pm 0.02$ ;  $1.30 \pm 0.09$ ) and *DCC* ( $0.27 \pm 0.02$ ,  $0.28 \pm 0.04$ ), \* $P < 0.05$ . (C) Protein domain architecture with known (SS1–SS4) and novel splice (SS5) sites in *Neo1*. (D) Exon (numbered boxes) and intron (lines) organization of *Neo1* gene with primers (red arrows) to amplify each splice site (SS1–SS5). (E) Agarose gel with RT-PCR products amplified using splice junction primers show pattern of *Neo1* splice form expression in the CA1, DG, and whole cortex. Kidney mRNA used as a control (in three replicates). (F) Exon–intron structure of *Neo1* splice variants cloned from CA1 and DG cDNA shows distinct splicing events with % of expression of each splice variant revealed by full-length DNA sequencing. Alt., alternative 5' and 3' splice sites; cassette, cassette exon.

indicate that expression of *Cbln4* in the EC is not required for the connectivity of EC→DG synapses, but is essential for their LTP.

**Cbln4 Connects Presynaptic Neurexins with Postsynaptic Neo1 into a Transsynaptic Complex.** To elucidate whether *Neo1* or *DCC* might act as a postsynaptic receptor for presynaptic *Cbln4*, we analyzed RNA in-situ hybridization data from the Allen Brain Atlas and performed qRT-PCR validations. These assays showed that *Neo1* and *DCC* are both expressed in the DG and the CA1 region, but that in these brain regions *Neo1* mRNA levels are approximately three- to four-fold higher than *DCC* mRNA levels (Fig. 3 A and B). Further analyses of single-cell RNA-seq datasets revealed that *Neo1* is highly enriched in both of the two major dentate gyrus granule cell populations (GRC1 and GRC2), establishing it as a plausible receptor

candidate for *Cbln4* (SI Appendix, Fig. S3). GRC1 and GRC2 neurons are very similar, but differ in gene expression profiles, with *Bhlhe22*, *Tmem114*, and *Ntf3* serving as markers for GRC1 and *C1ql2* and *Nr3c2* as markers for GRC2 (60).

Since *Neo1* is alternatively spliced (61), we asked which *Neo1* variants are expressed in the hippocampus. To address this question, we cloned full-length *Neo1* from hippocampal cDNA. We identified an unexpectedly rich diversity of alternatively spliced *Neo1* transcripts, including a previously unknown site of alternative splicing (SS5) in the intracellular sequence (Fig. 3 C–E). In the hippocampus, *Neo1* mRNAs lacking SS1 and SS4 (*Neo1*-SS1<sup>-</sup> and *Neo1*-SS4<sup>-</sup>) were the most abundant transcripts (~40%). Splicing events such as alternative 3', 5' splice acceptor sites and combined alternative 3' and 5' sites events further contribute to the heterogeneity of *Neo1* splice isoforms (Fig. 3F).

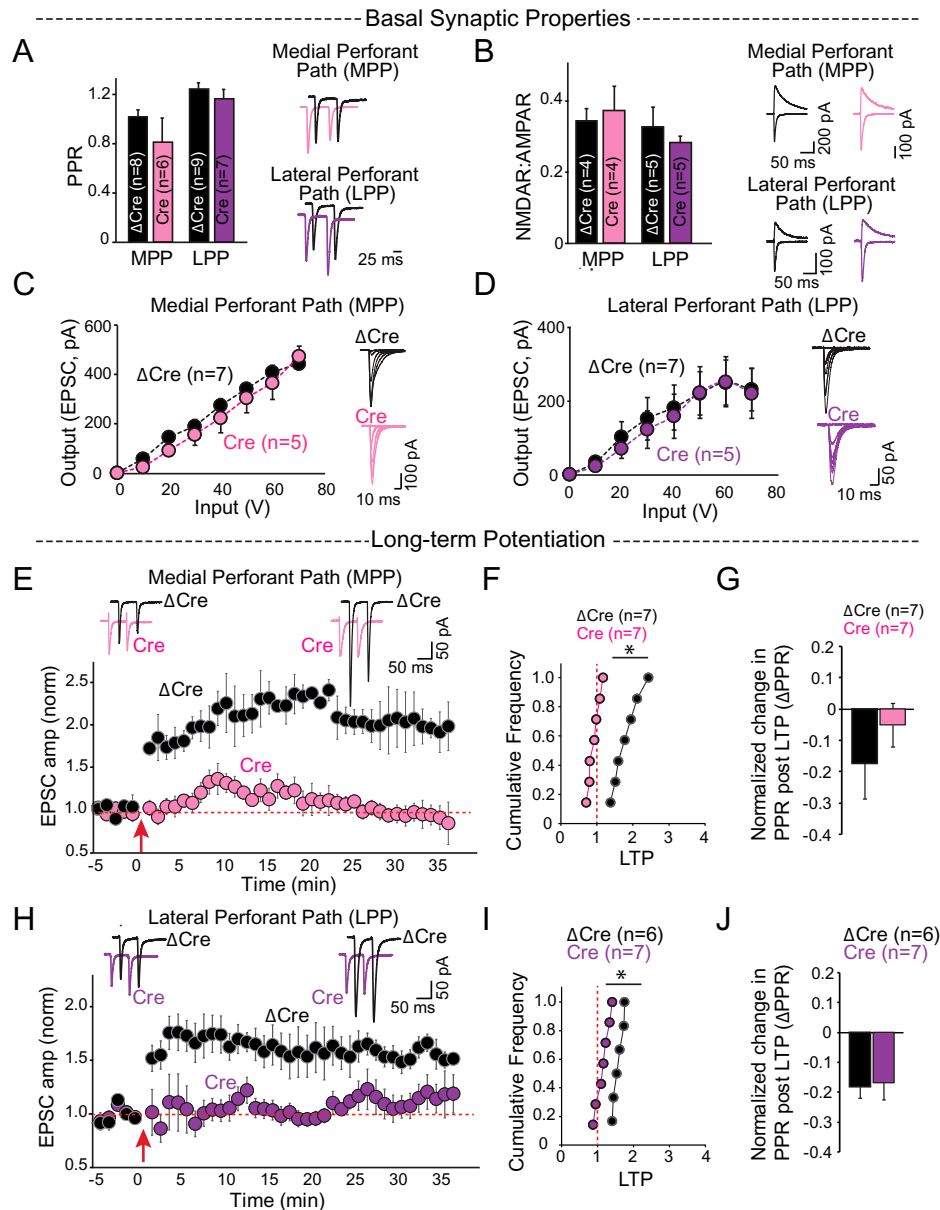


**Fig. 4.** Neo1 binds to Cbln4/Neurexin-1 complexes. (A) Schematic of cell aggregation assays in HEK293T cells to validate interaction of Neo1 and DCC with Cbln4. (B) Immunocytochemical validation of EmGFP (Emerald GFP), DsRed expression, and surface labeling of N1 $\alpha$ -SS4<sup>+</sup> and representative Neo1-SS1<sup>-</sup>;SS4<sup>-</sup> (using anti-Flag antibody) and Cbln4 (using anti-HA antibody) in HEK293F cells. (C) Confocal images of cell aggregation assays showing a strong aggregation of green cells (coexpressing EmGFP, N1 $\alpha$ -SS4<sup>+</sup> and Cbln4) with red cell clusters (coexpressing DsRed and various Neo1 splice variants or Dcc). (D) Quantification of the aggregation index from the data in C and SI Appendix, Fig. S4. The binding of DCC and various Neo1 splice variants to Nrxn1 $\alpha$ -SS4<sup>+</sup>/Cbln4 complexes was assessed, using pCMV (empty vector), Nrxn1 $\beta$ -SS4<sup>+</sup> alone, and Nrxn1 $\alpha$ -SS4<sup>+</sup> alone as controls. Data are means  $\pm$  SEM from two to three replicate experiments. Statistical significance was calculated by Tukey's multiple comparisons test (two-way ANOVA). \*\*\*\* $P$  < 0.0001, \*\* $P$  < 0.01, ns  $P$  > 0.05.

To confirm the binding of Neo1 and DCC to Cbln4 and to test whether binding is controlled by alternative splicing similar to the binding of neurexins to Cbln4, we coexpressed Cbln4 with Nrxn1 $\alpha$ -SS4<sup>+</sup> in freestyle HEK293F cells (Fig. 4A and B) and mixed these cells with HEK293F cells expressing DCC or various splice variants of Neo1 (Fig. 4C and SI Appendix, Fig. S4). In the absence of cells expressing Cbln4 and Nrxn1 $\alpha$ -SS4<sup>+</sup>, cells expressing various Neo1 splice variants formed clumps, consistent with a homophilic, likely nonspecific interaction (Fig. 4C). When cells expressing Nrxn1 $\alpha$ -SS4<sup>+</sup> or Nrxn1 $\beta$ -SS4<sup>+</sup> alone were added, they did not attach to the DCC- or Neo1-expressing cells. However, when cells coexpressing Nrxn1 $\alpha$ -SS4<sup>+</sup> with Cbln4 were added, they avidly formed heterophilic aggregates with the Neo1-expressing cells (Fig. 4C). Quantifications showed that DCC and all Neo1 splice variants except for Neo1-SS2<sup>-</sup>, 3<sup>-</sup>, 4<sup>-</sup>, and SS3<sup>-</sup>

variants were active in these assays (Fig. 4D). Thus, Neo1 binds *in trans* to Cbln4/Nrxn1 $\alpha$ -SS4<sup>+</sup> complexes, forming bona fide adhesion complexes.

**Neo1 Expression in the DG Is Necessary for EC→DG LTP.** The abundant expression of Neo1 in the DG and its robust interaction with Cbln4 suggest that Neo1 is the postsynaptic receptor for presynaptic Cbln4/Nrxn1 $\alpha$ -SS4<sup>+</sup> complexes, raising the possibility that Neo1 mediates the essential Cbln4 signaling in the induction of EC→DG LTP. To test this hypothesis, we selectively deleted Neo1 in the DG by stereotactically injecting AAVs expressing Cre or  $\Delta$ Cre (as a control) into the DG of Neo1 cKO mice (SI Appendix, Fig. S5). Again, the basal properties of EC→DG synapses, including PPR in the MPP and LPP synapses on granule cells (Fig. 5A), NMDAR-to-AMPA ratios (Fig. 5B), and input-output relationships of AMPAR EPSCs

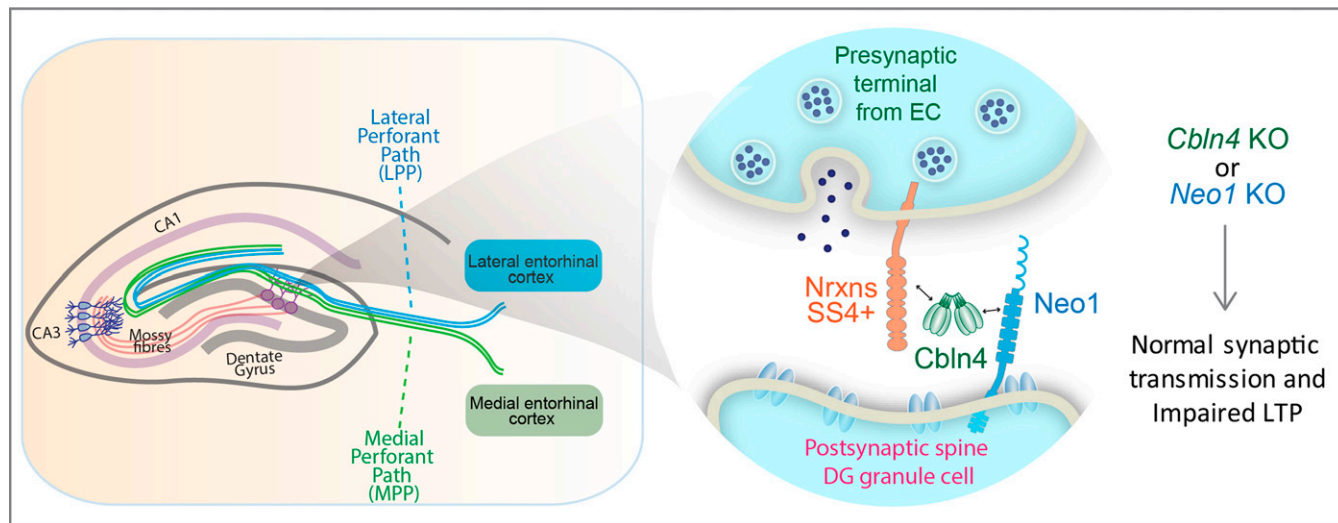


**Fig. 5.** Postsynaptic deletion of *Neo1* in the DG selectively ablates LTP at EC→DG synapses. Whole-cell voltage-clamp recordings from dentate gyrus granule cells for stimulation of inputs from the medial entorhinal cortex through the MPP and lateral entorhinal cortex through the LPP from control ( $\Delta$ Cre) and dentate gyrus *Neo1* cKO (Cre) mouse brain slices. (A) Summary graph (Left) and sample traces (Right) of PPRs show no significant difference between the two conditions. MPP:  $\Delta$ Cre  $1.02 \pm 0.07$ , Cre  $0.87 \pm 0.08$ ; LPP:  $\Delta$ Cre  $1.26 \pm 0.04$ , Cre  $1.21 \pm 0.04$ . (B) Summary graph (Left) and sample traces (Right) of NMDAR:AMPA ratios show no significant difference between the two conditions. MPP:  $\Delta$ Cre  $0.34 \pm 0.03$ , Cre  $0.37 \pm 0.07$ ; LPP:  $\Delta$ Cre  $0.32 \pm 0.06$ , Cre  $0.28 \pm 0.02$ . (C and D) Summary graph (Left) and sample traces (Right) of input-output relationships of AMPAR EPSCs for incremental stimulation intensities show no significant difference between the two conditions. (E–J) LTP is blocked in both MPP and LPP following postsynaptic deletion of *Neo1* in the dentate gyrus. (E) Sample EPSC traces before and after LTP induction (Top) and time course of LTP (Bottom) for stimulation of the MPP in control ( $\Delta$ Cre) and *Neo1* cKO (Cre) mouse brain slices. (F) Cumulative distribution of normalized LTP,  $\Delta$ Cre  $1.82 \pm 0.14$ ,  $n = 7$ , Cre  $0.92 \pm 0.06$ ,  $n = 7$ ,  $*P = 0.0001$  and (G) normalized change in PPR,  $\Delta$ Cre  $-0.17 \pm 0.11$ , Cre  $-0.05 \pm 0.07$ , 35 to 40 min following LTP induction. (H and I) Same as in E and F except for stimulation of the LPP. (H) Sample EPSC traces before and after LTP induction (Top) and time course of LTP (Bottom) for stimulation of the LPP in control ( $\Delta$ Cre) and *Neo1* cKO (Cre) mouse brain slices. (I) Cumulative distribution of normalized LTP,  $\Delta$ Cre  $1.58 \pm 0.06$ , Cre  $1.15 \pm 0.7$ ,  $*P = 0.001$  and (J) normalized change in PPR,  $\Delta$ Cre  $-0.1 \pm 0.04$ , Cre  $0.003 \pm 0.076$ . Data are presented as mean  $\pm$  SEM,  $*P < 0.05$  (two-tailed *t* test).

(Fig. 5 C and D) were unchanged following deletion of *Neo1* in the DG. However, postsynaptic deletion of *Neo1* in the DG severely impaired LTP in EC→DG synapses of both MPP (Fig. 5 E–G) and LPP connections (Fig. 5 H–J), similar to the presynaptic deletion of *Cbln4* in the EC (Fig. 1). Thus, the postsynaptic deletion of *Neo1* produces the same impairment in long-term synaptic plasticity as the presynaptic deletion of *Cbln4*, suggesting that the binding of presynaptic *Cbln4* to postsynaptic *Neo1* controls the competence of synapses to be modified by LTP without affecting the functional assembly of these synapses.

## Discussion

Although *Neo1* and *DCC* are known to play essential roles in development by serving as netrin receptors, the functional significance of their binding of *Cbln4* remained unclear (40, 42). Moreover, despite large numbers of studies on *Cbln1* and *Cbln2*, the function of *Cbln4* has been scarcely examined. Here, we address these two gaps in our knowledge by demonstrating that presynaptic *Cbln4* and postsynaptic *Neo1* are both essential for the induction of LTP at EC→DG synapses without being required for synaptic connectivity or basal



**Fig. 6.** Schematic of the role of Cbln4 and Neo1 as transsynaptic adhesion molecules that control long-term synaptic plasticity at EC→DG synapses. Cbln4, a dimer of tetramers binds to presynaptic neuexins containing an insert in SS4<sup>+</sup> (neuexins) via its N-terminal stalk domain and to postsynaptic Neo1 via its C-terminal C1q domain, thereby dimerizing Neo1 as a potential mechanism of activation (not shown). The Neuexin/Cbln4/Neo1 complex is essential not for building and maintaining synapses or for synaptic transmission as such, but for rendering these synapses competent for LTP, thereby providing a mechanistic feature to dentate gyrus LTP, the type of LTP at which this form of long-term synaptic plasticity was discovered.

synaptic transmission (Fig. 6). These results thus suggest that Cbln4 binding to Neo1 activates a signaling pathway in DG granule cells that is selectively essential for LTP, thereby assigning a function to the Cbln4/Neo1 complex. Strikingly, the Cbln4/Neo1 complex was required for LTP in both the lateral and the medial perforant path of EC→DG synapses. This is unexpected because LTP in these two pathways was considered mechanistically different since LTP is associated with a change in paired-pulse ratio in the MPP but not the LPP pathway (59), but their common dependence on the Cbln4/Neo1 complex suggests that these two EC efferent synapses are mechanistically more similar than envisioned.

The requirement of Cbln4 and Neo1 for EC→DG LTP reveals a critical role for transsynaptic signaling mediated by neuexin-based complexes in LTP at EC→DG synapses that parallels the requirement for neuexin-based neuroligin and LRRTM complexes for traditional LTP at CA3→CA1 synapses (13, 62–64). Both the postsynaptic neuexin ligands neuroligin-1 (13, 62) and LRRTM1/2 (63, 64) were found to be required for CA3→CA1 LTP. Moreover, we observed that presynaptic neuexin-3 is essential for LTP in yet another synapse, the CA1→subiculum synapse (65). In these synapses, Cbln4 is not detectably present, and Cbln1 and Cbln2 were shown by deletions to also not be essential for LTP (45), suggesting that distinct neuexin-based transsynaptic signaling mechanisms may render different synapses competent for LTP.

As extensively documented for Cbln1 and Cbln2, cerebellums perform a variety of functions depending on the synaptic architecture in which they are embedded. In the cerebellum, deletion of *Cbln1* leads to a partial reduction in parallel-fiber synapses on Purkinje cells that is secondary to a change in synaptic transmission, which includes a loss of long-term depression at these synapses (66, 67). The deletion of GluD2, the postsynaptic receptor for Cbln1 at cerebellar parallel-fiber synapses, caused identical phenotypes (68). In contrast, in the parafascicular nucleus of the thalamus, deletion of *Cbln1* produced an increase in synapse numbers in thalamus→striatum connections (69). Moreover, deletion of the Cbln1 receptor GluD1 in the striatum puzzlingly decreased synapse numbers and increased the quantal size of AMPAR responses at thalamus→striatum synapses (70). Furthermore,

deletion of *Cbln2* in the medial habenular nucleus that projects to the interpeduncular nucleus caused an impairment in synaptic transmission without initially affecting synapse numbers, although the number of excitatory synapses was decreased later on (51). In contrast, deletion of *Cbln2* from the dorsal raphe nucleus had no effect on synapse numbers but produced hyperactive, aggressive, and compulsive behaviors in mice that resemble symptoms underlying Tourette's syndrome and schizophrenia (71). In the hippocampal subiculum, finally, Cbln2 was found to regulate the postsynaptic AMPAR and NMDAR content via binding to postsynaptic GluD1, again without affecting synapse numbers (45). The overall picture that emerges from these studies indicates that Cbln1 and Cbln2 primarily regulate synapse properties via binding to GluD1 and GluD2, and that in some but not all synapses, such as cerebellar parallel-fiber synapses, this leads to a secondary loss of synaptic connections.

In contrast to Cbln1 and Cbln2, the function of Cbln4 has not been studied extensively. In mice, deletion of *Cbln4* along with *Cbln1/2* induced seizures and abnormal motor behaviors but had little effect on synapse numbers (57). Deletion of *Cbln4* in the medial habenula→interpeduncular nucleus circuit increased anxiety, but again had no effect on synapse numbers (51). Experiments using shRNA-mediated knockdowns suggested that a decrease in *Cbln4* expression in the somatosensory cortex (72) and the hippocampus (73) reduces the number of inhibitory synapses. Puzzlingly, however, in the somatosensory cortex, Cbln4 was shown to act by interacting with postsynaptic GluD1 (72) even though biophysical studies show that Cbln4 does not bind to GluD1 (50, 74), but instead interacts with DCC and Neo1 as discussed above (40, 42).

Although our results establish a role for the Cbln4/Neo1 complex in EC→DG LTP, our study has clear limitations. First, we did not identify the presynaptic neuexin isoforms that operate in LTP at EC→DG synapses. Second, while we established a role for Neo1 as the postsynaptic interacting partner for Cbln4 in mediating EC→DG LTP, the signaling mechanisms downstream of Neo1 that mediate LTP remain unclear (36). Third, we did not test the functional importance of the extensive alternative splicing of *Neo1* that we observed, which may add a further regulatory component to EC→DG synapses,



although it doesn't appear to control Cbln4 binding. Despite these unanswered questions, however, the identification of a general role of different neuroligin-based transsynaptic complexes in long-term synaptic plasticity and the definition of the synaptic function for Cbln4 and Neo1 in mature brain represent a basis on which future studies on signaling mechanisms in plasticity can be advanced.

## Methods

**Mice.** All mouse experiments were performed in accordance with protocols approved by the Administrative Panel on Laboratory Animal Care at Stanford University.

**Viruses and In Vivo Injections.** AAVs produced as described (75) were injected into the EC of Cbln4 and Neo1 cKO mice at P18 to P21 as described (75); see also detailed methods in *SI Appendix, SI Methods*.

**Slice Electrophysiology.** Slice electrophysiology was performed at P35 to P40 using published methods (76). Visually identified DG granule cells were whole-cell voltage-clamped and stimulated using theta glass pipettes filled with artificial cerebrospinal fluid (ACSF) that were placed in the MPP or LPP. All excitatory synaptic recordings were made in the presence of picrotoxin (0.1 mM). Cells were held at  $-60$  mV to record AMPAR EPSCs while stimulating afferent inputs at 0.1 Hz. NMDAR currents were calculated by measuring the amplitude of the dual component EPSC at  $+40$  mV at 40 to 50 ms following the peak. The NMDAR/AMPA ratio of the EPSCs was calculated as the ratio of NMDAR EPSC at  $+40$  mV and AMPAR EPSC at  $-60$  mV. Paired pulse ratios were calculated as a ratio of the peak amplitudes of the EPSC-2 and EPSC-1 evoked delivering two stimulations at an interval of 50 ms. To measure the input-output curves of MPP and LPP stimulation, 20 to 30 episodes of AMPAR EPSCs to incremental stimulus

strength were measured for each pathway. LTP was induced by two trains of high-frequency stimulation (100 Hz, 1 s) separated by 10 s, while cells were depolarized to 0 mV.

**Analyses of mRNA Expression Patterns.** RNA-FISH was performed as described (77). qRT-PCR was performed with microdissected CA1 and DG tissues or whole cortex or kidneys essentially as described (77).

**Cell Aggregation Assays.** Cell aggregation assays were performed as described (75) but modified to a six-well format (see detailed methods in *SI Appendix, SI Methods*).

**Statistics.** All data are presented as means  $\pm$  SEMs. Statistical significance was calculated between the two genotypes using two-tailed *t* tests ( $*P < 0.05$ ).

**Data Availability.** All study data are included in the article and/or supporting information. See data file in the supporting information.

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