



Valoctocogene roxaparvovec gene therapy provides durable hemostatic control up to 7 years for hemophilia A

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Complete List of Authors:	Symington, Emily; Cambridge University Hospitals NHS Foundation Trust, Rangarajan, Savita; University Hospital Southampton, Faculty of Medicine lester, will; University Hospitals Birmingham NHS Foundation Trust Madan, Bella; Guy's and St Thomas' NHS Foundation Trust Pierce, Glenn; Independent Consultant Raheja, Priyanka; Barts Health NHS Trust, The Royal London Hospital Haemophilia Centre Millar, Carolyn; Imperial College London, Imperial College Healthcare NHS Trust and Centre for Haematology, Department of Immunology and Inflammation Osmond, Dane; BioMarin Pharmaceutical Inc Li, Mingjin ; BioMarin Pharmaceutical Inc Robinson, Tara; BioMarin Pharmaceutical Inc
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February 20, 2024

Professor Cedric Hermans, MD, FRCP
Editor-in-Chief
Haemophilia

Dear Professor Hermans,

On behalf of my co-authors, I am pleased to submit our manuscript, "Valoctocogene roxaparovec gene therapy provides durable hemostatic control up to 7 years for hemophilia A," for consideration for publication in *Haemophilia*.

In this manuscript, we present follow-up results from an ongoing phase 1/2 dose-escalation study of valoctocogene roxaparovec. Participants who received a dose of 6×10^{13} vg/kg have now been followed for 7 years and have completed their participation in the study. Participants who received the 4×10^{13} vg/kg dose have been followed for 6 years and will undergo 1 additional year of observation. All participants remained on study, apart from 1 individual from the 4×10^{13} vg/kg dose cohort who was lost to follow-up in the last year. These data comprise the longest duration of follow-up from any clinical gene therapy study for severe hemophilia A.

In the last year of follow-up, 2 participants—1 from each cohort—experienced treatment-related adverse events (AEs): grade 1 hepatomegaly (6×10^{13} vg/kg dose) and grade 1 splenomegaly and grade 1 hepatic steatosis (4×10^{13} vg/kg dose). Two participants from the 6×10^{13} vg/kg dose cohort resumed prophylaxis in year 7: 1 after a non-treatment-related grade 4 serious AE of spontaneous internal carotid artery bleeding and the other to manage bleeds and factor VIII (FVIII) activity. FVIII activity levels continued to slowly decline over time; however, most participants had sustained hemostatic control. Overall, we show that the safety and efficacy of valoctocogene roxaparovec remain generally consistent with previous reports, including those in the recently accepted 6-year manuscript from Symington, et al in *Haemophilia* (Rangarajan S, et al. *N Engl J Med* 2017;377:2519-30; Pasi KJ, et al. *N Engl J Med* 2020;382:29-40; Pasi KJ, et al. *Haemophilia* 2021;27:947-56; Symington E, et al. *Haemophilia* 2024;accepted; Ozelo MC, et al. *N Engl J Med* 2022;386:1013-25; Mahlangu J, et al. *N Engl J Med* 2023;388:694-705), and are maintained up to 7 years in our trial population.

Following the recent approval by the US Food and Drug Administration and conditional approval by the European Medicines Agency of valoctocogene roxaparovec, it has become commercially available as a treatment option, making timely updates on clinical data incredibly important. As valoctocogene roxaparovec moves from clinical trials into practice, we believe our report will be of high interest to the hemophilia and gene therapy communities and to the readers of *Haemophilia*.

A portion of these data will be presented at the 2024 European Association for Haemophilia and Allied Disorders Congress held February 6 to 9. On behalf of all authors, this work has not been published and is not being considered for publication elsewhere. We look forward to hearing from you soon, and we hope that you will find our manuscript suitable for publication in *Haemophilia*.

Sincerely,

Emily Symington, MB, BS
Cambridge University Hospitals NHS Foundation Trust
Hills Road, Cambridge, CB2 0QQ
emily.symington@Addenbrookes.nhs.uk

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3 **Valoctocogene roxaparvovec gene therapy provides durable hemostatic control up to 7**
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5 **years for hemophilia A**
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7 Emily Symington, MB, BS¹; Savita Rangarajan, MB, BS²; Will Lester, MB, ChB, PhD³; Bella
8 Madan, MD⁴; Glenn F Pierce, MD, PhD⁵; Priyanka Raheja, MB, BS, MD⁶; Carolyn Millar, MD⁷;
9 Dane Osmond, PharmD⁸; Mingjin Li, MSc⁸; Tara M Robinson, MD, PhD⁸
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15
16 ¹Cambridge University Hospitals NHS Foundation Trust, Cambridge, UK; ²Faculty of Medicine,
17 University of Southampton, Southampton, UK; ³University Hospitals Birmingham NHS
18 Foundation Trust, Birmingham, UK; ⁴Guy's and St Thomas' NHS Foundation Trust, London, UK;
19
20 ⁵Independent Consultant, La Jolla, CA, USA; ⁶The Royal London Hospital Haemophilia Centre,
21 Barts Health NHS Trust, London, UK; ⁷Imperial College Healthcare NHS Trust and Centre for
22 Haematology, Department of Immunology and Inflammation, Imperial College London, London,
23 UK; ⁸BioMarin Pharmaceutical Inc., Novato, CA, USA
24
25
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30
31

32 Corresponding author

33 Emily Symington, MB, BS
34 Cambridge University Hospitals NHS Foundation Trust
35 Hills Road, Cambridge, CB2 0QQ
36
37
38 emily.symington@Addenbrookes.nhs.uk
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Abstract

Introduction: Valoctocogene roxaparvovec is an adeno-associated virus vector serotype 5 (AAV5)-mediated gene therapy approved for severe hemophilia A (HA).

Aim: To report the safety and efficacy of valoctocogene roxaparvovec 7 years after dosing in a phase 1/2 clinical study (NCT02576795).

Methods: Males ≥ 18 years with severe HA (factor VIII [FVIII] ≤ 1 international unit [IU]/dL) who were previously receiving exogenous FVIII and had no history of FVIII inhibitors or anti-AAV5 antibodies received valoctocogene roxaparvovec treatment and were followed for 7 (6×10^{13} vg/kg; n=7) and 6 (4×10^{13} vg/kg; n=6) years.

Results: In the last year, 1 participant in each cohort reported treatment-related adverse events (AEs): grade 1 (G1) hepatomegaly (6×10^{13}), and G1 splenomegaly and G1 hepatic steatosis (4×10^{13}). During all follow-up, mean annualized treated bleeds and exogenous FVIII infusion rates were $\geq 88\%$ lower than baseline values. At years 7 and 6, mean (median) FVIII activity (chromogenic assay) was 16.2 (10.3) and 6.7 (7.2) IU/dL in the 6×10^{13} (n=5) and 4×10^{13} (n=4) cohorts, respectively, corresponding to mild hemophilia. Regression analyses of the last year estimated rate of change in FVIII activity was -0.001 and -0.07 IU/dL/week for the 6×10^{13} and 4×10^{13} cohorts, respectively. Two participants (6×10^{13}) resumed prophylaxis in year 7: one after a non-treatment-related G4 serious AE of spontaneous internal carotid artery bleed, and the other to manage bleeds and FVIII activity.

Conclusions: The safety and efficacy of valoctocogene roxaparvovec remain generally consistent with previous reports, with good hemostatic control for most participants. Two participants returned to prophylaxis.

Introduction

Hemophilia A (HA) is an X-linked bleeding disorder caused by deficiency in coagulation factor VIII (FVIII).¹ Individuals with severe HA (FVIII activity ≤ 1 IU/dL) experience recurrent, spontaneous bleeding in muscles and joints that can result in chronic pain, reduced mobility due to hemophilic arthropathy, and reduced quality of life.^{1,2} Current standard of care for severe HA is regular prophylaxis with either exogenous FVIII or emicizumab, but these treatments can be burdensome for patients and do not allow for living with a “hemophilia-free mind.”²⁻⁵

Valoctocogene roxaparvovec (AAV5-hFVIII-SQ) is a replication-incompetent adeno-associated virus (AAV) gene therapy that uses an AAV serotype 5 (AAV5) capsid to deliver the SQ variant of the B-domain–deleted (BDD) FVIII coding sequence controlled by a hepatocyte-selective promoter to increase FVIII expression in individuals with severe HA after a single infusion.⁶⁻¹⁰ Phase 1/2 (NCT02576795) and phase 3 (NCT03370913) trials assessing valoctocogene roxaparvovec in individuals with severe HA reported sustained FVIII activity and reduced prevalence of bleeding episodes compared with FVIII prophylaxis.⁶⁻¹⁰ No participants in either trial developed FVIII inhibitors. Asymptomatic elevation of alanine aminotransferase (ALT), which was managed by glucocorticoid administration, was the most common adverse event (AE) in each trial.

Valoctocogene roxaparvovec received conditional marketing authorization by the European Medicines Agency in 2022 and approval by the US Food and Drug Administration in 2023.^{11,12} Here, we present updated findings from the phase 1/2 study describing safety and efficacy during years 7 and 6 following valoctocogene roxaparvovec infusion for the 6×10^{13} and 4×10^{13} vg/kg cohorts, respectively, continuing the longest follow-up from any HA gene therapy trial.

Materials and Methods

Study design

The design of this open-label, phase 1/2 dose-escalation trial has been described previously.⁶⁻⁹ Briefly, males ≥ 18 years of age with severe HA (FVIII ≤ 1 IU/dL) who were previously receiving exogenous FVIII received an infusion of 6×10^{12} (n = 1), 2×10^{13} (n = 1), 4×10^{13} (n = 6), or 6×10^{13} (n = 7) vg/kg valoctocogene roxaparvovec. Of the 4 cohorts, follow-up from participants in the 4×10^{13} and 6×10^{13} vg/kg cohorts are described. Eligible participants had no history of FVIII inhibitors or anti-AAV5 antibodies, and exclusion criteria included significant liver dysfunction, significant liver fibrosis, and liver cirrhosis.⁶⁻⁹

Assessments

Safety was assessed with laboratory assessments and AEs (graded with Common Terminology Criteria for Adverse Events v4.0.3). Annualized treated bleeding rates (ABRs) and FVIII infusion rates were calculated as described previously.^{6,7} For participants who were using regular FVIII prophylaxis, baseline rates were derived from the 12 months prior to enrollment. As reported previously, FVIII activity was assessed via chromogenic substrate assay (CSA) and one-stage assay (OSA).⁶⁻⁹ During years 6 and 7, FVIII activity was assessed every 26 weeks starting at week 260 for the 4×10^{13} vg/kg cohort and week 312 for the 6×10^{13} vg/kg cohort. Liver ultrasounds were performed at the time of screening, year-end visits starting at year 5, and at the discretion of the physician.⁹

Statistics

Data were summarized with descriptive statistics; missing data were not imputed. Yearly rate of change in FVIII activity was determined using a linear regression model (FVIII activity = intercept + [slope \times week], with random intercept and slope). End-of-year mean FVIII activity values were used to calculate annual absolute percent change in FVIII activity. Based on the

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3 statistical analysis plan, values from participants who returned to prophylaxis were excluded
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5 following resumption of prophylaxis.
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8 9 **Results**

10 *Participants*

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14 Prior to enrollment, 1 participant from the 6×10^{13} vg/kg cohort was using on-demand
15 FVIII treatment; all others in the 6×10^{13} and 4×10^{13} vg/kg cohorts were receiving prophylaxis
16 with exogenous FVIII. Participant baseline characteristics were published previously.^{6,7}
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20 To date, all 7 participants in the 6×10^{13} cohort and 5 participants in the 4×10^{13} vg/kg
21 cohort have remained on study through 7 and 6 years of follow-up, respectively. One participant
22 from the 4×10^{13} vg/kg cohort was lost to follow-up after week 288; FVIII activity was last
23 assessed during week 288 and was in the moderate hemophilia range (2.9 IU/dL per CSA; 1.5
24 [the lower limit of quantitation (LLOQ)] to 5 IU/dL).
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30 31 32 *Safety*

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35 Throughout the entire study period, mild to moderate, transient ALT elevations remained
36 the most common AE associated with valoctocogene roxaparvovec treatment (**Table 1**). In the
37 last year, no ALT elevations were reported and no long-term sequelae were observed from
38 corticosteroid treatment. No participants experienced thrombotic events or developed FVIII
39 inhibitors.
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46 No new treatment-related serious AEs (SAEs) occurred during years 7 and 6 in either
47 cohort; however, 1 participant from each cohort experienced treatment-related AEs in the last
48 year. At the beginning of year 7, one 6×10^{13} vg/kg cohort participant had an ultrasound to
49 screen for hepatocellular carcinoma per protocol. The ultrasound results revealed grade 1
50 hepatomegaly, which was confirmed by magnetic resonance imaging (MRI). The hepatomegaly
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3 lasted for ~35 weeks and was resolved by the cutoff date in May 2023. Prior liver ultrasound
4 results were normal for this participant. Toward the end of year 6, a routine liver ultrasound for 1
5 individual from the 4×10^{13} vg/kg cohort with a history of fatty liver disease revealed grade 1
6 splenomegaly in addition to a worsening of hepatic steatosis (grade 1). Prior liver ultrasounds
7 were abnormal only due to fatty liver disease. At the last follow-up, the splenomegaly and
8 hepatic steatosis AEs were resolving. Elevations in ALT enzyme levels associated with either
9 treatment-related AE were not observed. The potential connection between the treatment-
10 related AEs reported in the last year and valoctocogene roxaparvovec treatment could not be
11 ruled out, prompting subsequent categorization as treatment-related.
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22 In the last year, 1 participant from the 6×10^{13} vg/kg cohort experienced a grade 4 non-
23 treatment-related SAE of internal carotid artery (ICA) bleeding due to a carotid artery dissection,
24 which led to a return to prophylaxis. The individual presented to the emergency department
25 (ED) in August 2022 coughing up blood and with difficulty breathing and underwent a
26 tracheostomy for airway management. While at the ED, a separate ICA bleed event was
27 diagnosed with Doppler ultrasound, computed tomography, and MRI scans of the head and
28 neck. Leading up to surgery, on-demand FVIII infusions were administered to treat the bleed.
29 Following surgery, additional exogenous FVIII infusions were administered; this participant
30 remained on FVIII prophylaxis through the cutoff period. The most recent FVIII activity for this
31 participant (5.1 IU/dL per CSA) was assessed 26 weeks prior to the bleeding event. The
32 participant recovered from the ICA bleed; however, during hospitalization, grade 3 hypertension
33 developed, which necessitated administration of a combination of doxazosin, bisoprolol, and
34 amlodipine. During this period, this participant also experienced a spontaneous bleed in the
35 elbow joint, grade 1 anemia, and thrombocytosis, as well as grade 2 iron deficiency. All were
36 resolved by the data cutoff date.
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3 As reported previously, during year 6, one 6×10^{13} vg/kg cohort participant developed an
4 acinar cell carcinoma of the parotid gland that was determined to be unrelated to valoctocogene
5 roxaparvovec treatment after additional analysis.⁹ In year 7, no new tumor growths or additional
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7 AEs or SAEs resulted from this event.
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11 12 13 *Efficacy*

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15 Over the entire study period, mean ABR for the 6×10^{13} vg/kg cohort was 0.75 (median,
16 0.28) bleeds/year, which decreased from baseline by 96% (**Figure 1A**). Annualized mean FVIII
17 infusion rate for the 6×10^{13} vg/kg cohort was 6.38 (median, 1.58) infusions/year over the entire
18 study period, showing a decline of 95% from baseline. During year 7, mean ABR and FVIII
19 infusion rate for the 6×10^{13} vg/kg cohort was 0.9 (median, 0.0) bleeds/year and 17.4 (median,
20 0.0) infusions/year, respectively.
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28 Two participants from the 6×10^{13} vg/kg cohort resumed prophylaxis during year 7 due to
29 treated bleeding events, which likely explains the increase in mean FVIII infusion rates
30 compared with year 6. During week 338, participant 8 resumed FVIII prophylaxis after
31 experiencing a grade 4 spontaneous SAE bleed in the ICA. This participant also experienced a
32 spontaneous bleed in the elbow joint while hospitalized for the grade 4 bleed. Participant 6
33 returned to prophylaxis due to 3 reported right ankle bleeds (a known problem joint). Prior to
34 enrollment, this participant had hemophilic arthropathy in several joints, including elbows,
35 knees, and ankles. While on study, participant 6 reported multiple ankle bleeds that required
36 several on-demand FVIII infusions; however, in the last year, on-demand FVIII infusions began
37 during week 320 and lasted until week 351. Six weeks prior to receiving exogenous FVIII
38 infusions, FVIII activity was 1.9 IU/dL per CSA and remained <2 IU/dL for the duration of year 7.
39 The participant expressed a desire to return to prophylaxis, and the study investigator
40 recommended transitioning to emicizumab prophylaxis. During week 361, this participant began
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3 weekly prophylactic emicizumab treatments (1 infusion/week) and transitioned to biweekly
4 infusions (1 infusion/2 weeks) 4 weeks later, which continued through the data cut. By the May
5 2023 cutoff date, this individual did not report any additional treated bleeds.
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9 Along with participants 6 and 8, one additional participant from the 6×10^{13} vg/kg cohort
10 reported treated bleeding events in the last year. Participant 4 reported 1 bleeding event during
11 year 7: a traumatic bleed in the ring finger following an accidental razor cut. Investigators
12 offered participants with low FVIII activity levels the option to resume prophylaxis; to date, 5 of
13 the 7 participants from the 6×10^{13} vg/kg cohort have chosen to remain off prophylaxis.
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16 Overall mean ABR for the 4×10^{13} vg/kg cohort was 1.45 (median, 0.47) bleeds/year,
17 representing an 88% decrease from baseline (**Figure 1B**). Annualized mean FVIII infusion rate
18 for the 4×10^{13} vg/kg cohort was 9.32 (median, 5.06) infusions/year over the entire study period,
19 a decline of 93% from baseline. During year 6, mean ABR and FVIII infusion rates for the 4×10^{13}
20 vg/kg cohort were 2.5 (median, 0.0) bleeds/year and 3.5 (median, 1.0) infusions/year,
21 respectively. Following several ankle bleeds, participant 15 from the 4×10^{13} vg/kg cohort
22 transiently returned to a regular FVIII prophylaxis regimen during year 5. One month following
23 return to prophylaxis, this participant switched to on-demand or intermittent prophylaxis to assist
24 with treatment adherence; however, treatment did not carry over into year 6 because on-
25 demand FVIII infusions were no longer needed.⁹
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41 In the last year, 1 participant from the 4×10^{13} vg/kg cohort reported treated bleeding
42 events: participant 11 reported 15 total treated bleeds in his ankle joints associated with chronic
43 bilateral ankle arthropathy. Of these bleeds, 80% were spontaneous; the remaining 20% were
44 traumatic bleeds resulting from overexertion of the joint. On-demand FVIII infusions were used
45 to manage each reported bleed. Return to prophylaxis was presented as a treatment option by
46 the study investigator; however, the participant chose to remain off a regular prophylactic
47 treatment regimen. Similar to the 6×10^{13} vg/kg cohort, resumption of prophylaxis was discussed
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3 with all participants with low FVIII levels; to date, participant 11 and the remaining 4 participants
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5 in the 4×10^{13} vg/kg cohort have chosen to remain off prophylaxis.
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8 9 *FVIII activity*

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11 Beyond 1 year post-dose with valoctocogene roxaparvovec, the decline in FVIII activity
12 was similar to that of the previous year for each cohort. During year 7, a change of -0.001 (95%
13 confidence interval [CI]: $-0.18, 0.17$) IU/dL/week was estimated for the 6×10^{13} vg/kg cohort
14 (**Figure 2A**), and a similar change of -0.07 (95% CI: $-0.20, 0.06$) IU/dL/week was estimated for
15 the 4×10^{13} vg/kg cohort during year 6 (**Figure 2B**). Mean FVIII activity per CSA for the 6×10^{13}
16 vg/kg cohort was 16.2 (median, 10.3) IU/dL ($n = 5$) and 6.7 (median, 7.2) IU/dL ($n = 4$) for the
17 4×10^{13} vg/kg cohort at the end of years 7 and 6, respectively. In the last year, annual absolute
18 percent change in mean FVIII activity per CSA increased by 65% ($n = 5$) and decreased by 12%
19 ($n = 4$) for the 6×10^{13} and 4×10^{13} vg/kg cohorts, respectively. Individual changes in FVIII activity
20 varied among participants in each cohort (**Figures 2 & 3**); however, calculation of mean and
21 median FVIII activity only included those who did not return to prophylaxis. This was done to
22 reflect the true treatment effect by removing the impact from resuming prophylaxis. When
23 including results prior to resuming prophylaxis, respective mean FVIII activity was 12.6 (median,
24 5.1) IU/dL ($n = 7$) and 5.2 (median, 4.5) IU/dL ($n = 6$) for the 6×10^{13} and 4×10^{13} vg/kg cohorts.
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41 At the most recent follow-up date, the majority of participants had FVIII activity per CSA
42 in the mild to moderate range. Of the 7 participants in the 6×10^{13} vg/kg cohort, 1 had FVIII
43 activity in the non-hemophilic range (>40 IU/dL), 2 had FVIII activity in the mild hemophilia
44 range (>5 to 40 IU/dL), 3 had FVIII activity in the moderate hemophilia range (1.5 [LLOQ] to 5
45 IU/dL), and 1 had FVIII levels <1.5 IU/dL, potentially within the severe hemophilia range (≤ 1
46 IU/dL; **Figure 3A**). Of the 5 remaining participants from the 4×10^{13} vg/kg cohort, 3 had FVIII
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3 activity in the mild hemophilia range and 2 had FVIII activity in the moderate hemophilia range
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8 9 **Discussion**

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11 This open-label, phase 1/2, dose-escalation trial in adult males with severe HA assessed
12 the safety and efficacy of 6×10^{13} and 4×10^{13} vg/kg valoctocogene roxaparvovec over a 7- and 6-
13 year period, respectively, representing the longest follow-up for any HA gene therapy trial.
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15 During the most recent year, the prevalence of valoctocogene roxaparvovec-related AEs in
16 each cohort remained consistent with previous reports. Although 1 participant from each cohort
17 experienced a treatment-related AE, grade 1 hepatomegaly and splenomegaly are not typically
18 concerning if lesions are not seen and the individual is otherwise well.¹³⁻¹⁷ No participants
19 developed FVIII inhibitors through years 7 and 6. These results demonstrate the prolonged
20 clinical benefit of valoctocogene roxaparvovec for severe HA in most patients, considering
21 treated ABRs and exogenous FVIII infusion rates remained low compared with baseline for the
22 6×10^{13} and 4×10^{13} vg/kg cohorts through years 7 and 6, respectively.
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35 Throughout this phase 1/2 trial, the most commonly reported AEs in the 6×10^{13} and
36 4×10^{13} vg/kg cohorts were elevations in ALT and AEs of liver dysfunction, which were most
37 prevalent in year 1 shortly after treatment.^{6,7} In line with these data, 85.8% (115/134) of
38 participants from the phase 3, single-arm, open-label trial GENE8-1 (NCT03370913)
39 investigating the safety and efficacy of valoctocogene roxaparvovec (6×10^{13} vg/kg) in males with
40 severe HA also reported AEs of ALT elevations and liver dysfunction during the first year
41 following gene therapy infusion.¹⁰ Early ALT elevations in both trials were possible
42 manifestations of adaptive immune responses,^{6,18,19} which were sufficiently managed with
43 temporary glucocorticoids.^{6,10} Incidents of infusion-related reactions (37.3%) and systemic
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3 hypersensitivity (5.2%) in year 1 were also commonly reported in the phase 3 trial but were
4 limited in this phase 1/2 trial, likely due to the smaller sample size.¹⁰
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7 Between the phase 1/2 and 3 trials, 2 individuals who received a 6×10^{13} vg/kg
8 valoctocogene roxaparvovec infusion reported cancers.^{9,20} During year 6 of the phase 1/2 trial, 1
9 participant developed an acinar cell carcinoma of the parotid gland, and during year 2 of the
10 phase 3 trial, 1 participant developed B-cell acute lymphoblastic leukemia; however, extensive
11 genomic analyses determined these events were unrelated to treatment. To date, there have
12 been no reports of vector integration leading to tumorigenesis following valoctocogene
13 roxaparvovec infusion.^{6-10,20-24}
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22 Mean ABRs for treated bleeds were markedly reduced following infusion in 6×10^{13} and
23 4×10^{13} vg/kg cohort participants who were previously on FVIII prophylaxis (n = 12) or on-
24 demand FVIII therapy (n = 1) at baseline. Although isolated bleeding events requiring
25 exogenous FVIII infusions occurred, the mean ABRs for both cohorts remained lower than 2
26 events/year (ie, 6×10^{13} vg/kg = 0.75 bleeds/year; 4×10^{13} vg/kg = 1.45 bleeds/year) throughout
27 the entire study.
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35 Between each cohort, 2 participants, both from the 6×10^{13} cohort, permanently returned
36 to prophylaxis (FVIII and emicizumab) in the last year. Resumption of FVIII prophylaxis
37 treatment stemmed from a severe spontaneous ICA bleed in 1 of these participants. ICA
38 dissections can lead to substantial complications, including the possibility of stroke;^{25,26} prompt
39 surgery and medical intervention were necessary to avoid any additional safety events. This
40 participant's FVIII activity levels per CSA were in the mild hemophilia range (5.1 IU/dL; >5 to 40
41 IU/dL) prior to the incident.² Although FVIII activity levels were not severely low at the time of
42 last assessment 26 weeks prior to the bleed, FVIII levels may have fallen to a point where this
43 participant was at risk for a severe bleed. The second participant required on-demand FVIII
44 infusions to treat multiple spontaneous ankle bleeds in a pre-existing problem joint. At the end of
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3 year 6 and throughout year 7, FVIII activity levels for this participant were consistently <2 IU/dL,
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5 but at the end of year 7, FVIII levels were <1.5 IU/dL, near the severe hemophilia range (FVIII
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7 ≤ 1 IU/dL). Due to persistent bleeding events and low FVIII activity levels, initiating emicizumab
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9 prophylaxis was suggested. Despite reporting multiple treated bleeds, 1 participant from the
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11 4×10^{13} vg/kg cohort remained off prophylaxis by his choice during year 6. One participant from
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13 the 4×10^{13} vg/kg cohort transiently returned to FVIII prophylaxis during year 5; however, regular
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15 treatment did not continue into year 6. Overall, 10 of the 12 participants continuing in the study
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17 remain off prophylaxis.
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20 FVIII activity per CSA showed a small gradual decrease over time, but this decline was
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22 slower in the last year than in previous years of follow-up. Within each cohort, the majority of
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24 participants' FVIII levels were either in the mild (>5 to 40 IU/dL) or moderate (1.5 [LLOQ] to 5
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26 IU/dL) range for hemophilia, but in aggregate, the 6×10^{13} (16.2 [10.3] IU/dL) and 4×10^{13} (6.7
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28 [7.2] IU/dL) vg/kg cohorts displayed mean and median FVIII activity consistent with mild
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30 hemophilia.² Despite FVIII activity levels slowly declining over time, the majority of participants
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32 had sustained hemostatic control. Liver biopsy investigations conducted in a subset of the
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34 phase 1/2 trial population suggest formation of circular episomes in hepatocytes drive long-term
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36 expression of valoctocogene roxaparvovec-mediated FVIII expression;²⁷ however, the complex
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38 mechanisms contributing to interindividual variability and long-term hemostatic efficacy warrant
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40 additional exploration.
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45 **Conclusion**

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47 Over 7 and 6 years, safety outcomes following respective doses of 6×10^{13} and 4×10^{13}
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49 vg/kg valoctocogene roxaparvovec remain consistent with previous reports, and no participants
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51 developed FVIII inhibitors. Despite the slow decline in FVIII levels, valoctocogene roxaparvovec
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53 continues to support hemostasis for most of the trial population.
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Author contributions

ES, SR, WL, BM, PR, and **CM** carried out the clinical study. **GFP** contributed to the conception and design of the study. **TMR** and **DO** oversaw conduct of the study; **TMR** was the medical monitor. **ML** performed statistical analyses. All authors critically reviewed the manuscript, provided input on data interpretation, and approved the final draft for submission.

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Conflict of interest statement

ES received grants from BioMarin Pharmaceutical Inc. and travel support from CSL Behring and Novo Nordisk. **SR** received grants from Roche and Sangamo, travel support from Reliance Life Sciences and Shire/Takeda, and consulting payments from Pfizer, Reliance Life Sciences, Sanofi, and Shire/Takeda. **WL** received grants from BioMarin Pharmaceutical Inc., personal fees from Bayer, LFB Biopharmaceuticals, Novo Nordisk, Sobi, and Takeda, and travel support from CSL Behring and Takeda. **GFP** received consulting payments from BioMarin Pharmaceutical Inc., Generation Bio, Novo Nordisk, Regeneron Pharmaceuticals, Spark Therapeutics, and Third Rock Ventures and is a scientific advisory board member of Be Bio, Frontera, the Medical and Scientific Advisory Council of the US National Bleeding Disorders

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3 Foundation, and Metagenomi and a board member of Voyager Therapeutics and the World
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8 Biopharmaceuticals, Pfizer, Roche, Shire, and Sobi; and travel support from Bayer, LFB
9 Biopharmaceuticals, and Sobi. **CM** has received research support from Baxter/Takeda, CSL
10 Behring, and Grifols and honoraria or consultation fees from CSL Behring, LFB
11 Biopharmaceuticals, Octapharma, and Takeda. She has participated in advisory boards for CSL
12 Behring and Takeda. **BM** has no conflicts to disclose.

26 **Data availability statement**

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28 The de-identified individual participant data that underlie the results reported in this article
29 (including text, tables, figures, and appendices) will be made available together with the
30 research protocol and data dictionaries, for non-commercial academic purposes. Additional
31 supporting documents may be available upon request. Investigators will be able to request
32 access to these data and supporting documents via a data sharing portal beginning 6 months
33 and ending 2 years after publication. Data associated with any ongoing development program
34 will be made available within 6 months after approval of relevant product. Requests must
35 include a research proposal clarifying how the data will be used, including proposed analysis
36 methodology. Research proposals will be evaluated relative to publicly available criteria
37 available at www.BioMarin.com/patients/publication-data-request/ to determine if access will be
38 given, contingent upon execution of a data access agreement with BioMarin Pharmaceutical
39 Inc.

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3 **Ethics statement**
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5 Procedures were performed in accordance with the Declaration of Helsinki and Good
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7 Clinical Practice Guidelines; participants provided written informed consent.
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Tables

Table 1. Summary of incidence of AEs in each year by cohort

	6x10 ¹³ vg/kg cohort (n = 7)							4x10 ¹³ vg/kg cohort (n = 6)					
	Y1	Y2	Y3	Y4	Y5	Y6	Y7	Y1	Y2	Y3	Y4	Y5	Y6
Any AE	7 (100)	6 (85.7)	7 (100)	7 (100)	7 (100)	5 (71.4)	5 (71.4)	6 (100)	5 (83.3)	5 (83.3)	4 (66.7)	6 (100)	4 (66.7)
Any SAE	0	1 (14.3)	1 (14.3)	1 (14.3)	0	1 (14.3)	1 (14.3)	1 (16.7)	0	1 (16.7)	1 (16.7)	1 (16.7)	0
Any treatment-related AE	6 (85.7)	1 (14.3)	1 (14.3)	2 (28.6)	1 (14.3)	0	1 (14.3)	6 (100)	0	0	0	1 (16.7)	1 (16.7)
Any treatment-related SAE	0	0	0	0	0	0	0	1 (16.7) [†]	0	0	0	0	0
AEs of special interest													
ALT elevation [‡]	6 (85.7)	0	0	1 (14.3)	1 (14.3)	0	0	4 (66.7)	0	1 (16.7)	0	0	0
AEs of liver dysfunction [§]	6 (85.7)	1 (14.3)	0	1 (14.3)	1 (14.3)	0	0	5 (83.3)	0	1 (16.7)	0	0	0
Potential Hy's law case	0	0	0	0	0	0	0	0	0	0	0	0	0
Infusion-related reactions	3 (42.9)	0	0	0	0	0	0	4 (66.7)	0	0	0	0	0
Systemic hypersensitivity	0	0	0	0	0	0	0	0	0	0	0	0	0
Anaphylactic or anaphylactoid reactions	0	0	0	0	0	0	0	0	0	0	0	0	0
Thromboembolic events	0	0	0	0	0	0	0	0	0	0	0	0	0

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4 Data are presented as n (%).
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7 †Pyrexia on study day 2. ‡Defined as ALT ≥ 1.5 x ULN or ALT ≥ 1.5 x baseline. §Identified with a MedDRA search strategy using
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9 the high-level term “liver function analyses.”
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12 AE, adverse event; ALT, alanine aminotransferase; MedDRA, Medical Dictionary for Regulatory Activities; SAE, serious AE;
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14 ULN, upper limit of normal; Y, year.
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Figure legends

Figure 1. Annualized rates of treated bleeding and FVIII infusions per year of follow-up for the **(A)** 6×10^{13} vg/kg cohort and **(B)** 4×10^{13} vg/kg cohort

†Six of the 7 participants were receiving regular FVIII prophylaxis at baseline (1 participant was receiving on-demand FVIII prophylaxis and was excluded). Baseline (n = 6) ABR mean and median were 16.3 and 16.5 bleeds/y, and the mean ABR over the entire study was 0.77 bleeds/y, representing a 95% decrease from baseline. For these 6 participants, mean and median AFR at baseline were 135.6 infusions/y and 136.6 infusions/y, respectively, and the mean AFR over the entire study period was 7.2 infusions/y, representing a 95% reduction from baseline.

ABR, annualized bleeding rate; AFR, annualized FVIII infusion rate; FVIII, factor VIII.

Figure 2. FVIII activity over **(A)** 7 years for the 6×10^{13} vg/kg cohort (n = 7) and **(B)** 6 years for the 4×10^{13} vg/kg cohort (n = 6)

Participants who returned to prophylaxis were excluded. Missing data were not imputed. Slope (95% CI) and mean annual absolute % change are for FVIII activity per CSA.

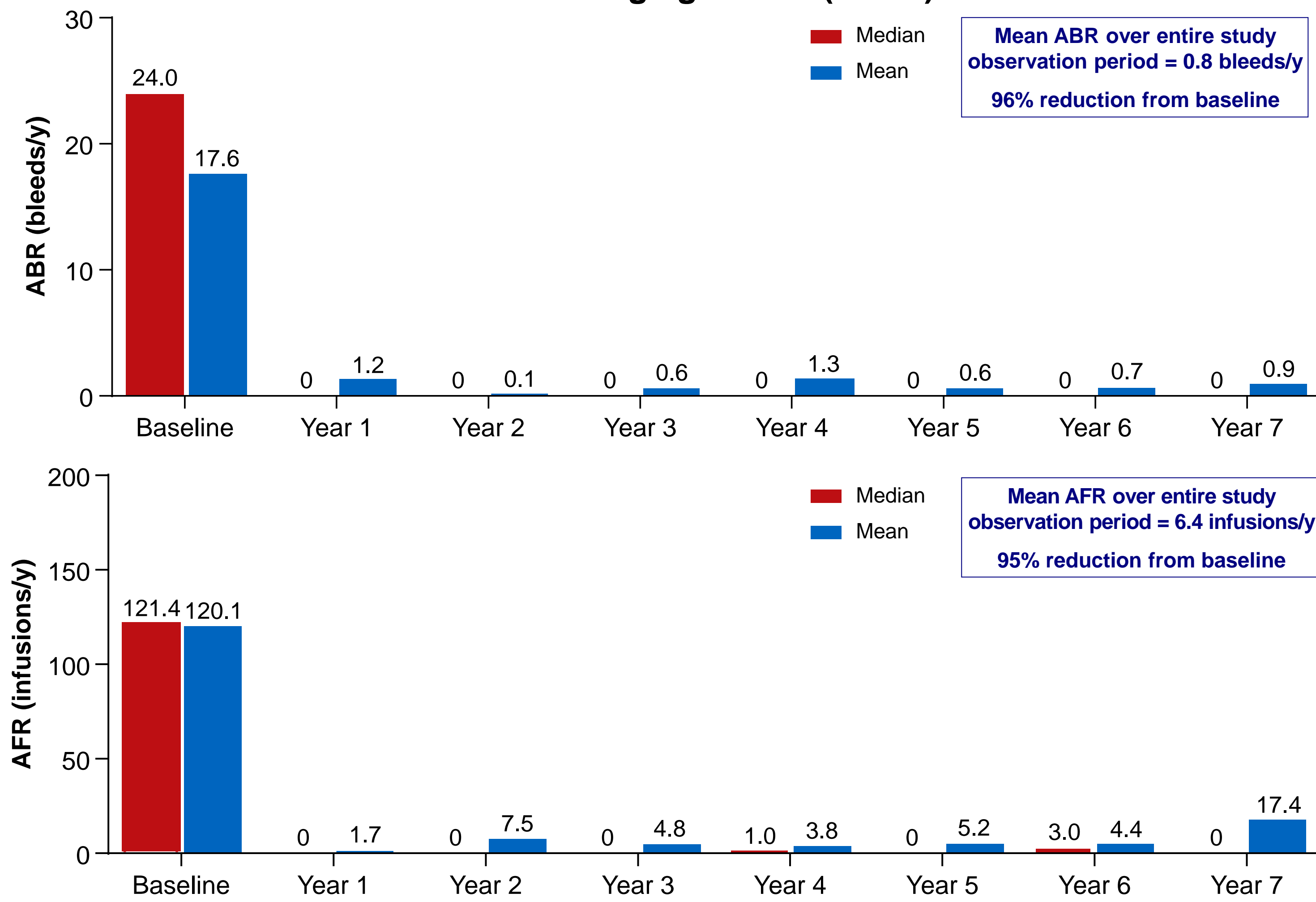
CI, confidence interval; CSA, chromogenic substrate assay; FVIII, factor VIII; IU, international unit; NA, not applicable; OSA, one-stage assay; Y, year.

Figure 3. Individual FVIII activity trends per CSA for each participant over **(A)** 7 years for the 6×10^{13} vg/kg cohort (n = 7) and **(B)** 6 years for the 4×10^{13} vg/kg cohort (n = 6)

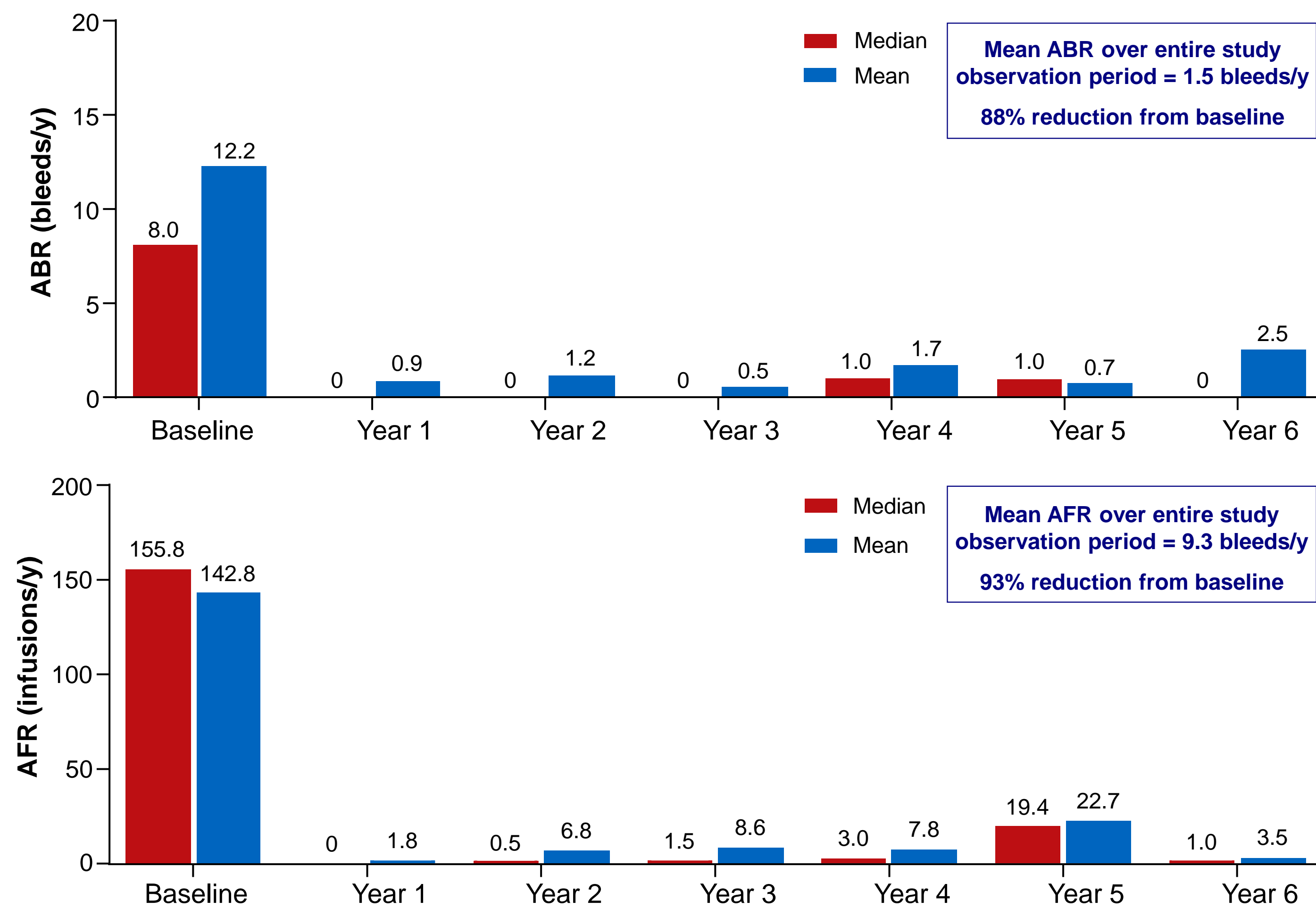
†Participant 13 lost to follow-up.

CSA, chromogenic substrate assay; FVIII, factor VIII; IU, international unit.

A)

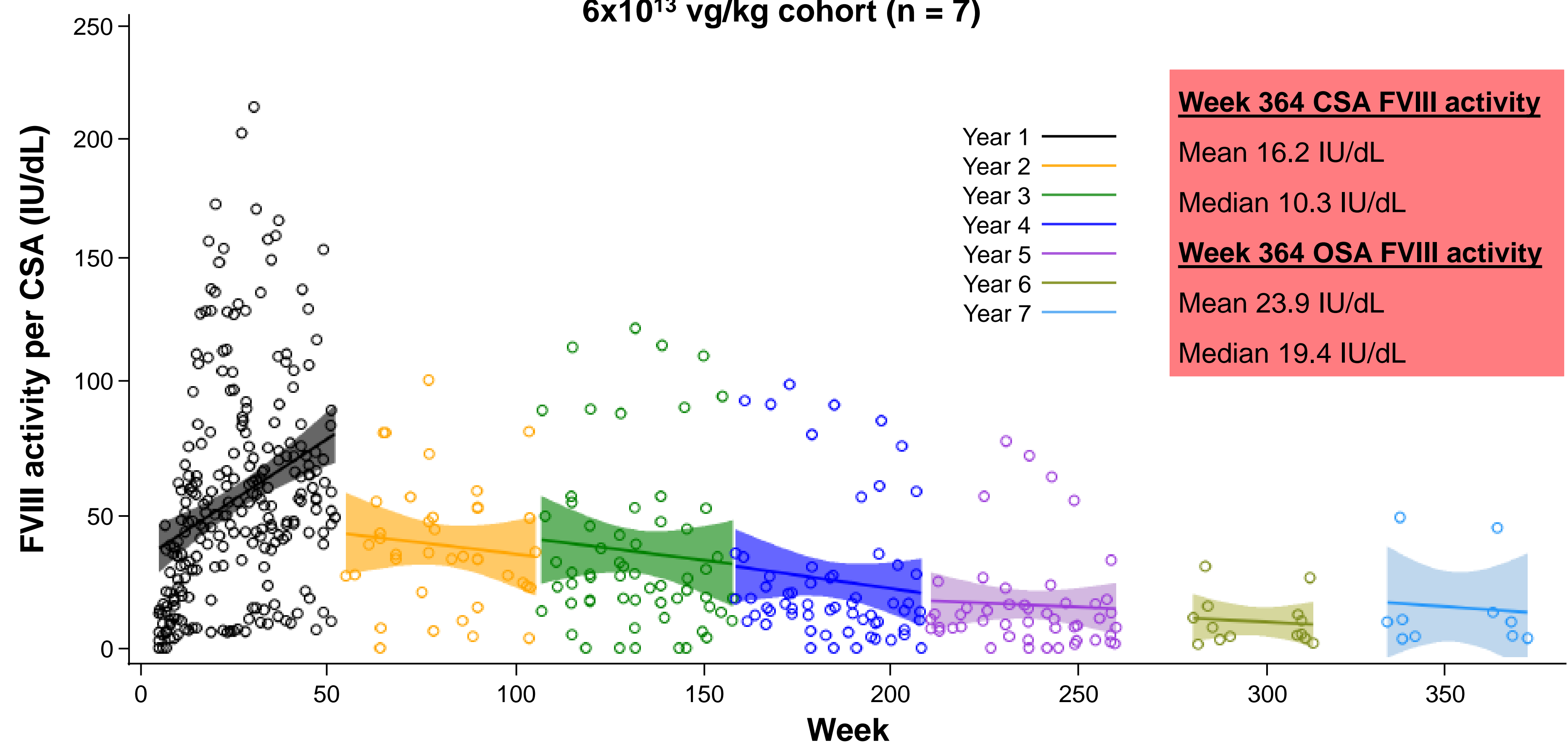
6x10¹³ vg/kg cohort (n = 7†)

B)

4x10¹³ vg/kg cohort (n = 6)

A)

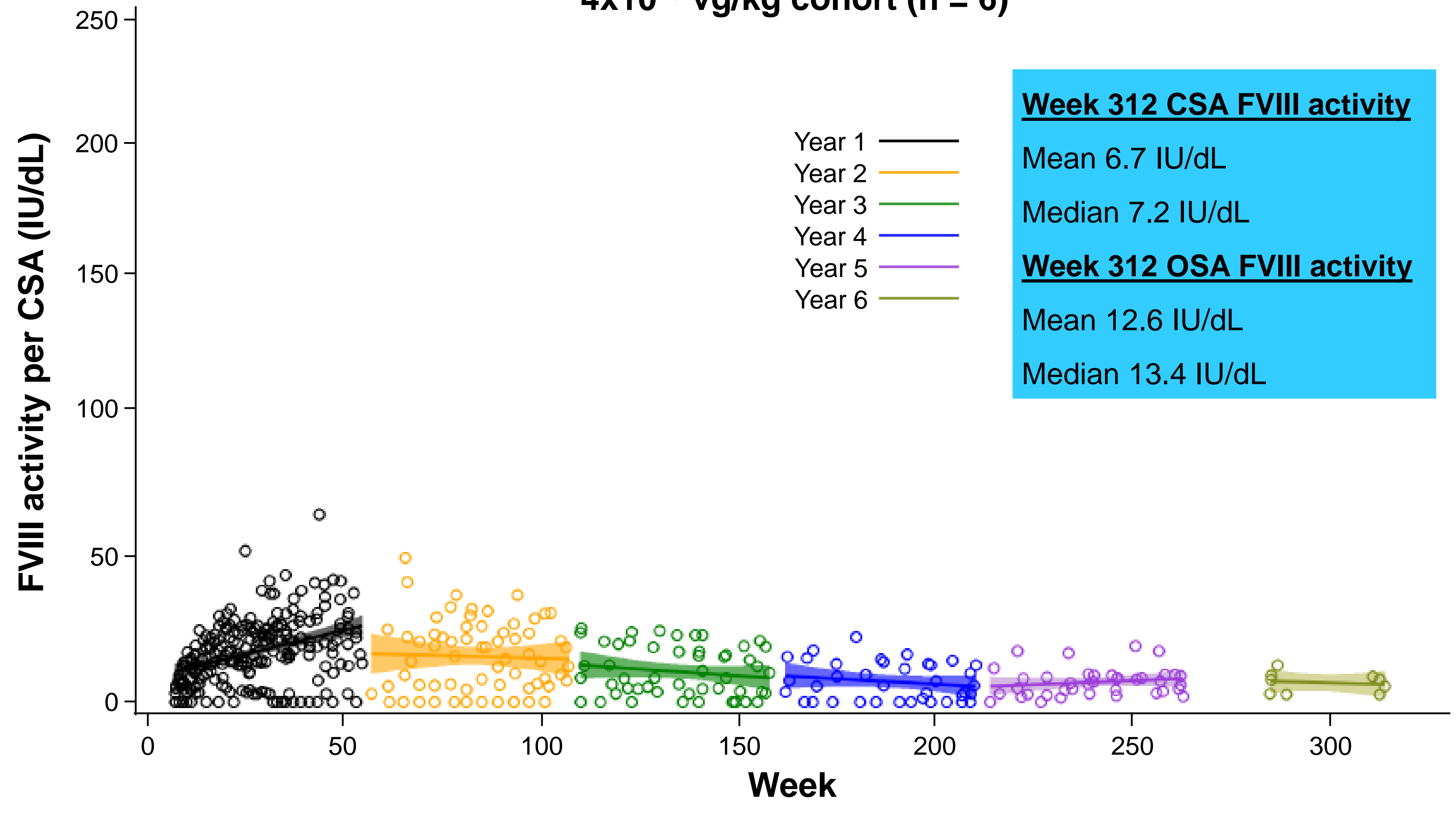
6x10¹³ vg/kg cohort (n = 7)



	Y1	Y2	Y3	Y4	Y5	Y6	Y7
Slope, IU/dL/wk (95% CI)	1.01 (-0.04, 2.06)	-0.24 (-0.68, 0.21)	-0.15 (-0.47, 0.16)	-0.26 (-0.49, -0.03)	-0.13 (-0.31, 0.04)	-0.06 (-0.20, 0.08)	-0.001 (-0.18, 0.17)
Annual absolute % change (sample size)	NA	-43% (n = 7)	-10% (n = 7)	-26% (n = 6)	-50% (n = 7)	-19% (n = 7)	65% (n = 5)

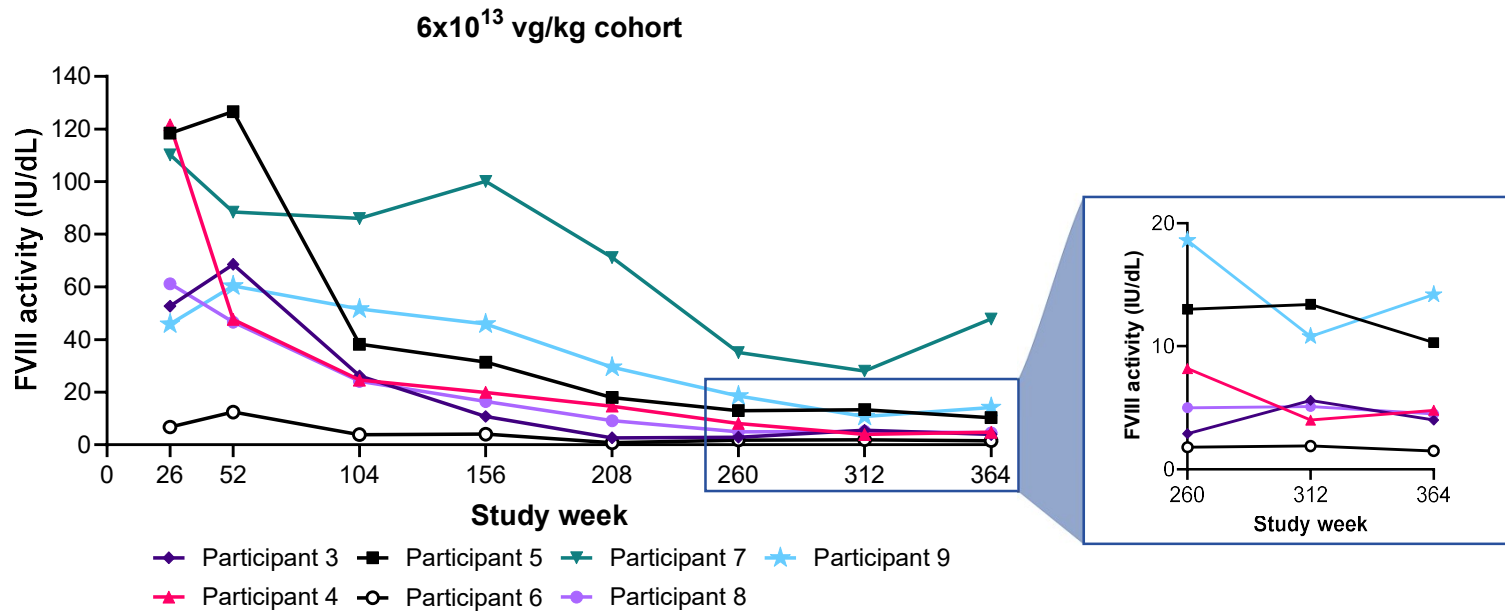
B)

4x10¹³ vg/kg cohort (n = 6)

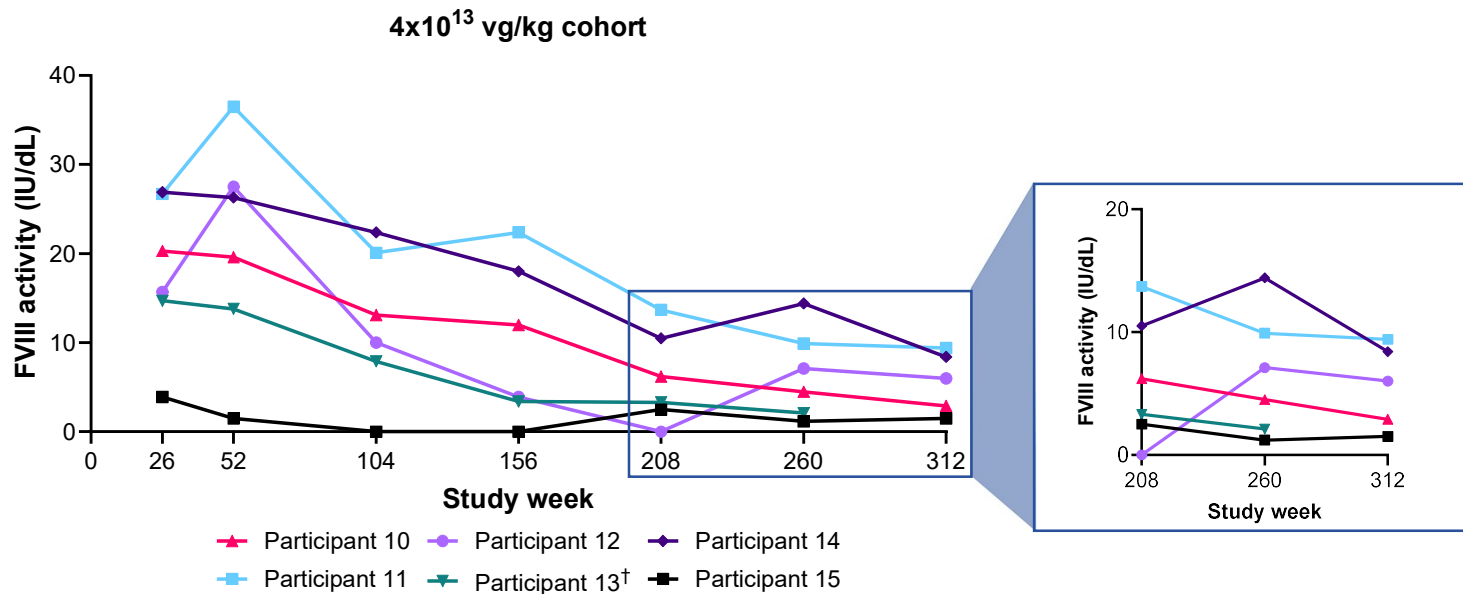


	Y1	Y2	Y3	Y4	Y5	Y6
Slope, IU/dL/wk (95% CI)	0.35 (-0.01, 0.71)	-0.15 (-0.37, 0.07)	-0.08 (-0.17, 0.02)	-0.05 (-0.13, 0.02)	-0.01 (-0.08, 0.07)	-0.07 (-0.20, 0.06)
Annual absolute % change (sample size)	NA	-30% (n = 5)	-33% (n = 6)	-39% (n = 6)	27% (n = 5)	-12% (n = 4)

A)



B)



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3 **Valoctocogene roxaparvovec gene therapy provides durable hemostatic control up to 7**
4 **years for hemophilia A**
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8 Emily Symington, MB, BS¹; Savita Rangarajan, MB, BS²; Will Lester, MB, ChB, PhD³; Bella
9 Madan, MD⁴; Glenn F Pierce, MD, PhD⁵; Priyanka Raheja, MB, BS, MD⁶; Carolyn Millar, MD⁷;
10 Dane Osmond, PharmD⁸; Mingjin Li, MSc⁸; Tara M Robinson, MD, PhD⁸
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15

16 ¹Cambridge University Hospitals NHS Foundation Trust, Cambridge, UK; ²Faculty of Medicine,
17 University of Southampton, Southampton, UK; ³University Hospitals Birmingham NHS
18 Foundation Trust, Birmingham, UK; ⁴Guy's and St Thomas' NHS Foundation Trust, London, UK;
19
20 ⁵Independent Consultant, La Jolla, CA, USA; ⁶The Royal London Hospital Haemophilia Centre,
21 Barts Health NHS Trust, London, UK; ⁷Imperial College Healthcare NHS Trust and Centre for
22 Haematology, Department of Immunology and Inflammation, Imperial College London, London,
23 UK; ⁸BioMarin Pharmaceutical Inc., Novato, CA, USA
24
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33 Corresponding author

34 Emily Symington, MB, BS

35 Cambridge University Hospitals NHS Foundation Trust

36 Hills Road, Cambridge, CB2 0QQ

37 emily.symington@Addenbrookes.nhs.uk
38
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44 Running title: Valoctocogene roxaparvovec 7-year outcomes

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50 **Keywords:** hemophilia; adeno-associated virus; internal carotid artery bleed; factor VIII; gene
51 therapy; clinical trial
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Abstract

Introduction: Valoctocogene roxaparvovec is an adeno-associated virus vector serotype 5 (AAV5)-mediated gene therapy approved for severe hemophilia A (HA).

Aim: To report the safety and efficacy of valoctocogene roxaparvovec 7 years after dosing in a phase 1/2 clinical study (NCT02576795).

Methods: Males ≥ 18 years with severe HA (factor VIII [FVIII] ≤ 1 international unit [IU]/dL) who were previously receiving exogenous FVIII and had no history of FVIII inhibitors or anti-AAV5 antibodies received valoctocogene roxaparvovec treatment and were followed for 7 (6×10^{13} vg/kg; n=7) and 6 (4×10^{13} vg/kg; n=6) years.

Results: In the last year, 1 participant in each cohort reported treatment-related adverse events (AEs): grade 1 (G1) hepatomegaly (6×10^{13}), and G1 splenomegaly and G1 hepatic steatosis (4×10^{13}). During all follow-up, mean annualized treated bleeds and exogenous FVIII infusion rates were $\geq 88\%$ lower than baseline values. At years 7 and 6, mean (median) FVIII activity (chromogenic assay) was 16.2 (10.3) and 6.7 (7.2) IU/dL in the 6×10^{13} (n=5) and 4×10^{13} (n=4) cohorts, respectively, corresponding to mild hemophilia. Regression analyses of the last year estimated rate of change in FVIII activity was -0.001 and -0.07 IU/dL/week for the 6×10^{13} and 4×10^{13} cohorts, respectively. Two participants (6×10^{13}) resumed prophylaxis in year 7: one after a non-treatment-related G4 serious AE of spontaneous internal carotid artery bleed, and the other to manage bleeds and FVIII activity.

Conclusions: The safety and efficacy of valoctocogene roxaparvovec remain generally consistent with previous reports, with good hemostatic control for most participants. Two participants returned to prophylaxis.

Introduction

Hemophilia A (HA) is an X-linked bleeding disorder caused by a deficiency in coagulation factor VIII (FVIII).¹ Individuals with severe HA (FVIII activity level, ≤ 1 international unit [IU]/dL) experience recurrent, spontaneous bleeding in muscles and joints that can result in chronic pain, reduced mobility due to hemophilic arthropathy, and reduced quality of life.^{1,2} The current standard of care for severe HA is regular prophylaxis with either exogenous FVIII or emicizumab, but these treatments can be burdensome for patients, and they do not allow for living with a “hemophilia-free mind.”²⁻⁵

Valoctocogene roxaparvovec (AAV5-hFVIII-SQ) is a recombinant replication-incompetent adeno-associated virus (AAV) gene therapy that uses an AAV serotype 5 (AAV5) capsid to deliver the SQ variant of the B-domain-deleted (BDD) FVIII coding sequence controlled by a hepatocyte-selective promoter to increase FVIII expression in individuals with severe HA after a single infusion.⁶⁻¹⁰ Phase 1/2 (NCT02576795) and phase 3 (NCT03370913) trials assessing the impact of valoctocogene roxaparvovec in individuals with severe HA reported sustained FVIII activity and a reduced prevalence of bleeding episodes compared with FVIII prophylaxis.⁶⁻¹⁰ No participants in either trial developed FVIII inhibitors. Asymptomatic elevation of alanine aminotransferase (ALT), which was managed by glucocorticoid administration, was the most common adverse event (AE) in each trial.

Valoctocogene roxaparvovec received conditional marketing authorization by the European Medicines Agency in 2022 and approval by the US Food and Drug Administration in 2023.^{11,12} Here, we present updated findings from the phase 1/2 study describing safety and efficacy during years 7 and 6 following valoctocogene roxaparvovec infusion for the 6×10^{13} and 4×10^{13} vg/kg cohorts, respectively, continuing the longest follow-up from any HA gene therapy trial.

Materials and Methods

Study design

The design of this open-label, phase 1/2 dose-escalation trial has been described previously.⁶⁻⁹ Briefly, males ≥ 18 years of age with severe HA (FVIII ≤ 1 IU/dL) who were previously receiving exogenous FVIII received an infusion of 6×10^{12} (n = 1), 2×10^{13} (n = 1), 4×10^{13} (n = 6), or 6×10^{13} (n = 7) vg/kg valoctocogene roxaparvovec. Of the 4 cohorts, follow-up data from participants in the 4×10^{13} and 6×10^{13} vg/kg cohorts are described here. Eligible participants had no history of FVIII inhibitors or anti-AAV5 antibodies, and exclusion criteria included significant liver dysfunction, significant liver fibrosis, and liver cirrhosis.⁶⁻⁹

Assessments

Safety was assessed with laboratory assessments and AEs (graded with Common Terminology Criteria for Adverse Events v4.0.3). Annualized treated bleeding rates (ABRs) and FVIII infusion rates were calculated as described previously.^{6,7} For participants who were using regular FVIII prophylaxis, baseline rates were derived from the 12 months prior to enrollment. As reported previously, FVIII activity was assessed via chromogenic substrate assay (CSA) and the one-stage assay (OSA).⁶⁻⁹ During years 6 and 7, FVIII activity was assessed every 26 weeks starting at week 260 for the 4×10^{13} vg/kg cohort and week 312 for the 6×10^{13} vg/kg cohort. Liver ultrasounds were performed at the time of screening, year-end visits starting at year 5, and at the discretion of the physician.⁹

Statistics

Data were summarized with descriptive statistics; missing data were not imputed. Yearly rate of change in FVIII activity was determined using a linear regression model (FVIII activity = intercept + [slope \times week], with random intercept and slope). End-of-year mean FVIII activity

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3 values were used to calculate annual absolute percent change in FVIII activity. Based on the
4 statistical analysis plan, values from participants who returned to prophylaxis were excluded
5 following resumption of prophylaxis.
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11 Results

13 *Participants*

15 Prior to enrollment, 1 participant from the 6×10^{13} vg/kg cohort was using on-demand
16 FVIII treatment; all others in the 6×10^{13} and 4×10^{13} vg/kg cohorts were receiving prophylaxis
17 with exogenous FVIII. Participant baseline characteristics ~~were at baseline have been~~ published
18 previously.^{6,7}
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24 To date, all 7 participants in the 6×10^{13} cohort and 5 participants in the 4×10^{13} vg/kg
25 cohort have remained on study through 7 and 6 years of follow-up, respectively. One participant
26 from the 4×10^{13} vg/kg cohort was lost to follow-up after week 288; FVIII activity was last
27 assessed during week 288 and was in the moderate hemophilia range (2.9 IU/dL per CSA; 1.5
28 [the lower limit of quantitation (LLOQ)] to 5 IU/dL).
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37 *Safety*

38 Throughout the entire study period, mild to moderate, transient ALT elevations remained
39 the most common AE associated with valoctocogene roxaparvovec treatment (**Table 1**). In the
40 last year, no ALT elevations were reported and no long-term sequelae were observed ~~as a~~
41 ~~result offrom~~ corticosteroid treatment. No participants experienced thrombotic events or
42 developed FVIII inhibitors.
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49 No new treatment-related serious AEs (SAEs) occurred during years 7 and 6 in either
50 cohort; however, 1 participant from each cohort experienced treatment-related AEs in the last
51 year. At the beginning of year 7, one ~~participant from the~~ 6×10^{13} vg/kg cohort participant had an
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3 ultrasound to screen for hepatocellular carcinoma per protocol. The ultrasound results revealed
4 grade 1 hepatomegaly, which was confirmed by magnetic resonance imaging (MRI). The
5 hepatomegaly lasted for ~35 weeks and was resolved by the cutoff date in May 2023. Prior liver
6 ultrasound results were normal for this participant. Toward the end of year 6, a routine liver
7 ultrasound for 1 individual from the 4×10^{13} vg/kg cohort with a history of fatty liver disease
8 revealed grade 1 splenomegaly in addition to a worsening of hepatic steatosis (grade 1). Prior
9 liver ultrasounds were abnormal only due to fatty liver disease. At the last follow-up, the
10 splenomegaly and hepatic steatosis AEs were resolving. Elevations in ALT enzyme levels
11 associated with either treatment-related AE were not observed. The potential connection
12 between the treatment-related AEs reported in the last year and valoctocogene roxaparvec
13 treatment could not be ruled out, prompting subsequent categorization as treatment-related.
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26 In the last year, 1 participant from the 6×10^{13} vg/kg cohort experienced a grade 4 non-
27 treatment-related SAE of internal carotid artery (ICA) bleeding due to a carotid artery dissection,
28 which led to a return to prophylaxis. The individual presented to the emergency department
29 (ED) in August 2022- coughing up blood and with difficulty breathing, and ~~the participant~~
30 underwent a tracheostomy for airway management. While at the ED, a separate~~The~~ ICA bleed
31 event was diagnosed with Doppler ultrasound, computed tomography, and MRI scans of the
32 head and neck ~~in August 2022~~. Leading up to surgery, on-demand FVIII infusions were
33 administered to treat the bleed. Following surgery, additional exogenous FVIII infusions were
34 administered; this participant remained on FVIII prophylaxis through the cutoff period. The most
35 recent FVIII activity for this participant (5.1 IU/dL per CSA) was assessed 26 weeks prior to the
36 bleeding event. The participant recovered from the ICA bleed; however, during hospitalization,
37 grade 3 hypertension developed, which necessitated administration of a combination of
38 doxazosin, bisoprolol, and amlodipine. During this period, this participant also experienced a
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3 spontaneous bleed in the elbow joint, grade 1 anemia, and thrombocytosis, as well as grade 2
4 iron deficiency. All were resolved by the data cutoff date.

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7 As reported previously, during year 6, one ~~participant in the~~ 6×10^{13} vg/kg cohort
8 participant developed an acinar cell carcinoma (~~AcCC~~) of the parotid gland that was determined
9 to be unrelated to valoctocogene roxaparvovec treatment after additional analysis.⁹ In year 7, no
10 new tumor growths or additional AEs or SAEs resulted from this event.
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15 16 17 18 *Efficacy*

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20 Over the entire study period, mean ABR for the 6×10^{13} vg/kg cohort was 0.75 (median,
21 0.28) bleeds/year, which decreased from baseline by 96% (**Figure 1A**). Annualized mean FVIII
22 infusion rate for the 6×10^{13} vg/kg cohort was 6.38 (median, 1.58) infusions/year over the entire
23 study period, showing a decline of 95% from baseline. During year 7, mean ABR and FVIII
24 infusion rate for the 6×10^{13} vg/kg cohort was 0.9 (median, 0.0) bleeds/year and 17.4 (median,
25 0.0) infusions/year, respectively.
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33 Two participants from the 6×10^{13} vg/kg cohort resumed prophylaxis during year 7 due to
34 treated bleeding events, which likely explains the increase in mean FVIII infusion rates
35 compared with ~~the previous year~~ 6. During week 338, participant 8 resumed FVIII prophylaxis
36 after experiencing a grade 4 spontaneous SAE bleed in the ICA. This participant also
37 experienced a spontaneous bleed in the elbow joint while ~~being~~ hospitalized for the grade 4
38 bleed. Participant 6 returned to prophylaxis due to 3 reported right ankle bleeds (a known
39 problem joint). Prior to enrollment, this participant had hemophilic arthropathy in several joints,
40 including elbows, knees, and ankles. While on study, participant 6 reported multiple ankle
41 bleeds that required several on-demand FVIII infusions; however, in the last year, on-demand
42 FVIII infusions began during week 320 and lasted until week 351. Six weeks prior to receiving
43 exogenous FVIII infusions, FVIII activity was 1.9 IU/dL per CSA and remained <2 IU/dL for the
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3 duration of year 7. The participant expressed a desire to return to prophylaxis, and the study
4 investigator recommended transitioning to emicizumab prophylaxis. During week 361, this
5 participant began weekly prophylactic emicizumab treatments (1 infusion/week) and transitioned
6 to biweekly infusions (1 infusion/2 weeks) 4 weeks later, which continued through the data cut.
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11 By the May 2023 cutoff date, this individual did not report any additional treated bleeds.

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14 Along with participants 6 and 8, one additional participant from the 6×10^{13} vg/kg cohort
15 reported treated bleeding events in the last year. Participant 4 reported 1 bleeding event during
16 year 7: a traumatic bleed in the ring finger following an accidental razor cut. Investigators
17 offered participants with low FVIII activity levels the option to resume prophylaxis; to date, 5 of
18 the 7 participants from the 6×10^{13} vg/kg cohort have chosen to remain off prophylaxis.

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22 Overall mean ABR for the 4×10^{13} vg/kg cohort was 1.45 (median, 0.47) bleeds/year,
23 representing an 88% decrease from baseline (**Figure 1B**). Annualized mean FVIII infusion rate
24 for the 4×10^{13} vg/kg cohort was 9.32 (median, 5.06) infusions/year over the entire study period,
25 a decline of 93% from baseline. During year 6, mean ABR and FVIII infusion rates for the 4×10^{13}
26 vg/kg cohort were 2.5 (median, 0.0) bleeds/year and 3.5 (median, 1.0) infusions/year,
27 respectively. Following several ankle bleeds, participant 15 from the 4×10^{13} vg/kg cohort
28 transiently returned to a regular FVIII prophylaxis regimen during year 5. One month following
29 return to prophylaxis, this participant switched to on-demand or intermittent prophylaxis to assist
30 with treatment adherence; however, treatment did not carry over into year 6 because on-
31 demand FVIII infusions were no longer needed.⁹

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46 In the last year, **only** 1 participant from the 4×10^{13} vg/kg cohort reported treated bleeding
47 events: participant 11 reported 15 total treated bleeds in his ankle joints associated with chronic
48 bilateral ankle arthropathy. Of these bleeds, 80% were spontaneous; the remaining 20% were
49 traumatic bleeds resulting from overexertion of the joint. On-demand FVIII infusions were used
50 to manage each reported bleed. Return to prophylaxis was presented as a treatment option by
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3 the study investigator; however, the participant chose to remain off a regular prophylactic
4 treatment regimen. Similar to the 6×10^{13} vg/kg cohort, resumption of prophylaxis was discussed
5 with all participants with low FVIII levels; to date, participant 11 and the remaining 4 participants
6 in the 4×10^{13} vg/kg cohort have chosen to remain off prophylaxis.
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11 12 13 *FVIII activity*

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15 Beyond 1 year post-dose with valoctocogene roxaparvovec, the decline in FVIII activity
16 was similar to that of the previous year for each cohort. During year 7, a change of -0.001 (95%
17 confidence interval [CI]: $-0.18, 0.17$) IU/dL/week was estimated for the 6×10^{13} vg/kg cohort
18 (**Figure 2A**), and a similar change of -0.07 (95% CI: $-0.20, 0.06$) IU/dL/week was estimated for
19 the 4×10^{13} vg/kg cohort during year 6 (**Figure 2B**). Mean FVIII activity per CSA for the 6×10^{13}
20 vg/kg cohort was 16.2 (median, 10.3) IU/dL ($n = 5$) and 6.7 (median, 7.2) IU/dL ($n = 4$) for the
21 4×10^{13} vg/kg cohort at the end of years 7 and 6, respectively. In the last year, the annual
22 absolute percent change in mean FVIII activity per CSA increased by 65% ($n = 5$) and
23 decreased by 12% ($n = 4$) for the 6×10^{13} and 4×10^{13} vg/kg cohorts, respectively. Individual
24 changes in FVIII activity varied among participants in each cohort (**Figures 2 & 3**); however,
25 calculation of mean and median FVIII activity only included those who did not return to
26 prophylaxis. This was done to reflect the true treatment effect by removing the impact from
27 resuming prophylaxis. When including results prior to resuming prophylaxis, respective mean
28 FVIII activity was 12.6 (median, 5.1) IU/dL ($n = 7$) and 5.2 (median, 4.5) IU/dL ($n = 6$) for the
29 6×10^{13} and 4×10^{13} vg/kg cohorts.
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47 At the most recent follow-up date, the majority of participants had FVIII activity per CSA
48 in the mild to moderate range. Of the 7 participants in the 6×10^{13} vg/kg cohort, 1 had FVIII
49 activity in the non-hemophilic range (>40 IU/dL), 2 had FVIII activity in the mild hemophilia
50 range (>5 to 40 IU/dL), 3 had FVIII activity in the moderate hemophilia range (1.5 [~~the lower limit~~
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3 ~~of quantitation~~ LLQ] to 5 IU/dL), and 1 had FVIII levels <1.5 IU/dL, potentially within the severe
4 hemophilia range (≤ 1 IU/dL; **Figure 3A**). Of the 5 remaining participants from the 4×10^{13} vg/kg
5 cohort, 3 had FVIII activity in the mild hemophilia range and 2 had FVIII activity in the moderate
6 hemophilia range (**Figure 3B**).
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11 Discussion

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16 This open-label, phase 1/2, dose-escalation trial in adult males with severe HA assessed
17 the safety and efficacy of 6×10^{13} and 4×10^{13} vg/kg valoctocogene roxaparvovec over a 7- and 6-
18 year period, respectively, representing the longest follow-up for any HA gene therapy trial.
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20 During the most recent year ~~of follow-up~~, the prevalence of valoctocogene roxaparvovec-
21 related AEs in each cohort remained consistent with previous reports.¹⁷ ~~A~~ although 1 participant
22 from each cohort experienced a treatment-related AE, ~~grade 1 hepatomegaly and~~
23 ~~splenomegaly are not typically concerning if lesions are not seen and the individual is otherwise~~
24 ~~well.~~¹³⁻¹⁷ No participants developed FVIII inhibitors through years 7 and 6. These results ~~also~~
25 demonstrate the prolonged clinical benefit of ~~this AAV5 gene therapy~~ valoctocogene
26 roxaparvovec for severe HA in most patients, considering treated ABRs and exogenous FVIII
27 infusion rates remained low compared with baseline for the 6×10^{13} and 4×10^{13} vg/kg cohorts
28 through years 7 and 6, respectively.
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41 ~~Over the course of~~ Throughout this phase 1/2 trial, the most commonly reported AEs in
42 the 6×10^{13} and 4×10^{13} vg/kg cohorts were elevations in ~~liver enzyme~~ ALT and AEs of liver
43 dysfunction, which were most prevalent in year 1 shortly after treatment.^{6,7} In line with these
44 data, 85.8% (115/134) of participants from the phase 3, single-arm, open-label trial GENE8-1
45 (NCT03370913) investigating the safety and efficacy of valoctocogene roxaparvovec (6×10^{13}
46 vg/kg) in males with severe HA also reported AEs of ALT elevations and liver dysfunction during
47 the first year following gene therapy infusion.¹⁰ Early ALT ~~Alanine aminotransferase~~ elevations
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3 ~~observed~~ in both trials were possible ~~by the~~ manifestations of ~~an adaptive~~ immune responses ~~to~~
4 ~~the AAV5 capsid~~,^{6,18,19} ~~and which~~ were ~~sufficiently managed~~ ~~treated~~ with temporary
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6 glucocorticoids.^{6,10} Incidents of infusion-related reactions (37.3%) and systemic hypersensitivity
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8 (5.2%) in year 1 were also commonly reported in the phase 3 trial but were limited in this phase
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10 1/2 trial, likely due to ~~less variability in~~ the smaller sample size.¹⁰

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14 Between the phase 1/2 and 3 trials, 2 individuals who received a 6×10^{13} vg/kg
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16 valoctocogene roxaparvec infusion reported ~~cases of cancers~~.^{9,20} During year 6 of the phase
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18 1/2 trial, 1 participant developed an ~~acinar cell carcinoma~~ ~~AeCG~~ of the parotid gland, and during
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20 year 2 of the phase 3 trial, 1 participant developed B-cell acute lymphoblastic leukemia;
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22 however, extensive genomic analyses determined these events were unrelated to treatment. To
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24 date, there have been no reports of vector integration leading to tumorigenesis following
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26 valoctocogene roxaparvec infusion.^{6-10,20-24}

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29 Mean ABRs for treated bleeds were markedly reduced following infusion in 6×10^{13} and
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31 4×10^{13} vg/kg cohort participants who were previously on FVIII prophylaxis (n = 12) or on-
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33 demand FVIII therapy (n = 1) at baseline. Although isolated bleeding events requiring
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35 exogenous FVIII infusions occurred, the mean ABRs for both cohorts remained lower than 2
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37 events/year (ie, 6×10^{13} vg/kg = 0.75 bleeds/year; 4×10^{13} vg/kg = 1.45 bleeds/year) throughout
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39 the entire study.

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42 Between each cohort, ~~only~~ 2 participants, both from the 6×10^{13} cohort, permanently
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44 returned to prophylaxis (FVIII and emicizumab) in the last year. Resumption of FVIII prophylaxis
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46 treatment stemmed from a severe spontaneous ICA bleed in 1 of these participants. ~~Internal~~
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48 ~~carotid artery~~ ~~ICA~~ dissections can lead to substantial complications, including the possibility of
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50 stroke;^{25,26} prompt surgery and medical intervention were necessary to avoid any additional
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52 safety events. This participant's FVIII activity levels per CSA were in the mild hemophilia range
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54 (5.1 IU/dL; >5 to 40 IU/dL) prior to the incident.² Although FVIII activity levels were not severely
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3 low at the time of last assessment 26 weeks prior to the bleed, FVIII levels may have fallen to a
4 point where this participant was at risk for a severe bleed. The second participant required on-
5 demand FVIII infusions to treat multiple spontaneous ankle bleeds in a pre-existing problem
6 joint. At the end of year 6 and throughout year 7, FVIII activity levels for this participant were
7 consistently <2 IU/dL, but at the end of year 7, FVIII levels were <1.5 IU/dL, near the severe
8 hemophilia range (FVIII \leq 1 IU/dL). Due to persistent bleeding events and low FVIII activity
9 levels, initiating emicizumab prophylaxis was suggested. Despite reporting multiple treated
10 bleeds, 1 participant from the 4×10^{13} vg/kg cohort remained off prophylaxis by his choice during
11 year 6. One participant from the 4×10^{13} vg/kg cohort transiently returned to FVIII prophylaxis
12 during year 5; however, regular treatment did not continue into year 6. Overall, 10 of the 12
13 participants continuing in the study remain off prophylaxis.

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26 FVIII activity per CSA showed a small gradual decrease over time, but this decline was
27 slower in the last year than in previous years of follow-up. Within each cohort, the majority of
28 participants' FVIII levels were either in the mild (>5 to 40 IU/dL) or moderate (1.5 [~~the lower limit~~
29 ~~of quantitation~~ LLOQ] to 5 IU/dL) range for hemophilia, but in aggregate, the 6×10^{13} (16.2 [10.3]
30 IU/dL) and 4×10^{13} (6.7 [7.2] IU/dL) vg/kg cohorts displayed mean and median FVIII activity
31 consistent with mild hemophilia.² Despite FVIII activity levels slowly declining over time, the
32 majority of participants had sustained hemostatic control. Liver biopsy investigations conducted
33 in a subset of the phase 1/2 trial population suggest ~~that~~ formation of circular episomes in
34 hepatocytes drive long-term expression of valoctocogene roxaparvovec-mediated FVIII
35 expression;²⁷ however, the complex mechanisms contributing to interindividual variability and
36 long-term hemostatic efficacy warrant additional exploration.

37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 **Conclusion** 52 53 54 55 56 57 58 59 60

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3 Over ~~the course of~~ 7 and 6 years, safety outcomes following respective doses of 6×10^{13}
4 and 4×10^{13} vg/kg valoctocogene roxaparvovec remain consistent with previous reports, and no
5 participants developed FVIII inhibitors. Despite the slow decline in FVIII levels, valoctocogene
6 roxaparvovec continues to support hemostasis for ~~the majority~~most of the trial population.
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For Peer Review

Acknowledgments **Author contributions**

ES, SR, WL, BM, PR, and **CM** carried out the clinical study. **GFP** contributed to the conception and design of the study. **TMR** and **DO** oversaw conduct of the study; **TMR** was the medical monitor. **ML** performed statistical analyses. All authors critically reviewed the manuscript, provided input on data interpretation, and approved the final draft for submission.

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Conflict of interest statement

ES received grants from BioMarin Pharmaceutical Inc., and travel support from CSL Behring and Novo Nordisk. **SR** received grants from Roche and Sangamo, travel support from Reliance Life Sciences and Shire/Takeda, and consulting payments from Pfizer, Reliance Life Sciences, Sanofi, and Shire/Takeda. **WL** received grants from BioMarin Pharmaceutical Inc., personal fees from Bayer, LFB Biopharmaceuticals, Novo Nordisk, Sobi, and Takeda, and travel support from CSL Behring and Takeda. **GFP** received consulting payments from BioMarin Pharmaceutical Inc., Generation Bio, Novo Nordisk, Regeneron Pharmaceuticals, Spark Therapeutics, and Third Rock Ventures and is a scientific advisory board member of Be Bio, Frontera, the Medical and Scientific Advisory Council of the US National Bleeding Disorders

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3 Foundation, and Metagenomi and a board member of Voyager Therapeutics and the World
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6 employees and shareholders of BioMarin Pharmaceutical Inc. **ML** received grants from
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9 Biopharmaceuticals, and Sobi. **CM** has received research support from Baxter/Takeda, CSL
10 Behring, and Grifols and honoraria or consultation fees from CSL Behring, LFB
11 Biopharmaceuticals, Octapharma, and Takeda. She has participated in advisory boards for CSL
12 Behring and Takeda. **BM** has no conflicts to disclose.

Ethics statement

~~Procedures were performed in accordance with the Declaration of Helsinki and Good Clinical
Practice Guidelines; participants provided written informed consent.~~

Data availability statement

The de-identified individual participant data that underlie the results reported in this article (including text, tables, figures, and appendices) will be made available together with the research protocol and data dictionaries, for non-commercial academic purposes. Additional supporting documents may be available upon request. Investigators will be able to request access to these data and supporting documents via a data sharing portal beginning 6 months and ending 2 years after publication. Data associated with any ongoing development program will be made available within 6 months after approval of relevant product. Requests must include a research proposal clarifying how the data will be used, including proposed analysis methodology. Research proposals will be evaluated relative to publicly available criteria available at www.BioMarin.com/patients/publication-data-request/ to determine if access will be

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3 given, contingent upon execution of a data access agreement with BioMarin Pharmaceutical
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11 **Ethics statement**

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13 Procedures were performed in accordance with the Declaration of Helsinki and Good
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15 Clinical Practice Guidelines; participants provided written informed consent.
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Tables

Table 1. Summary of incidence of AEs in each year by cohort

	6x10 ¹³ vg/kg cohort (n = 7)							4x10 ¹³ vg/kg cohort (n = 6)					
	Y1	Y2	Y3	Y4	Y5	Y6	Y7	Y1	Y2	Y3	Y4	Y5	Y6
Any AE	7 (100)	6 (85.7)	7 (100)	7 (100)	7 (100)	5 (71.4)	5 (71.4)	6 (100)	5 (83.3)	5 (83.3)	4 (66.7)	6 (100)	4 (66.7)
Any SAE	0	1 (14.3)	1 (14.3)	1 (14.3)	0	1 (14.3)	1 (14.3)	1 (16.7)	0	1 (16.7)	1 (16.7)	1 (16.7)	0
Any treatment-related AE	6 (85.7)	1 (14.3)	1 (14.3)	2 (28.6)	1 (14.3)	0	1 (14.3)	6 (100)	0	0	0	1 (16.7)	1 (16.7)
Any treatment-related SAE	0	0	0	0	0	0	0	1 (16.7) [†]	0	0	0	0	0
AEs of special interest													
ALT elevation [‡]	6 (85.7)	0	0	1 (14.3)	1 (14.3)	0	0	4 (66.7)	0	1 (16.7)	0	0	0
AEs of liver dysfunction [§]	6 (85.7)	1 (14.3)	0	1 (14.3)	1 (14.3)	0	0	5 (83.3)	0	1 (16.7)	0	0	0
Potential Hy's law case	0	0	0	0	0	0	0	0	0	0	0	0	0
Infusion-related reactions	3 (42.9)	0	0	0	0	0	0	4 (66.7)	0	0	0	0	0
Systemic hypersensitivity	0	0	0	0	0	0	0	0	0	0	0	0	0
Anaphylactic or anaphylactoid reactions	0	0	0	0	0	0	0	0	0	0	0	0	0
Thromboembolic events	0	0	0	0	0	0	0	0	0	0	0	0	0

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4 Data are presented as n (%).
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7 †Pyrexia on study day 2. ‡Defined as ALT ≥ 1.5 x ULN or ALT ≥ 1.5 x baseline. §Identified with a MedDRA search strategy using
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9 the high-level term “liver function analyses.”
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12 AE, adverse event; ALT, alanine aminotransferase; MedDRA, Medical Dictionary for Regulatory Activities; SAE, serious AE;
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14 ULN, upper limit of normal; Y, year.
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Figure legends

Figure 1. Annualized rates of treated bleeding and FVIII infusions per year of follow-up for the **(A)** 6×10^{13} vg/kg cohort and **(B)** 4×10^{13} vg/kg cohort

†Six of the 7 participants were receiving regular FVIII prophylaxis at baseline (1 participant was receiving on-demand FVIII prophylaxis and was excluded). Baseline (n = 6) ABR mean and median were 16.3 and 16.5 bleeds/y, and the mean ABR over the entire study was 0.77 bleeds/y, representing a 95% decrease from baseline. For these 6 participants, mean and median AFR at baseline were 135.6 infusions/y and 136.6 infusions/y, respectively, and the mean AFR over the entire study period was 7.2 infusions/y, representing a 95% reduction from baseline.

ABR, annualized bleeding rate; AFR, annualized FVIII infusion rate; FVIII, factor VIII.

Figure 2. FVIII activity over **(A)** 7 years for the 6×10^{13} vg/kg cohort (n = 7) and **(B)** 6 years for the 4×10^{13} vg/kg cohort (n = 6)

Participants who returned to prophylaxis were excluded. Missing data were not imputed. Slope (95% CI) and mean annual absolute % change are for FVIII activity per CSA.

CI, confidence interval; CSA, chromogenic substrate assay; FVIII, factor VIII; IU, international unit; NA, not applicable; OSA, one-stage assay; Y, year.

Figure 3. Individual FVIII activity trends per CSA for each participant over **(A)** 7 years for the 6×10^{13} vg/kg cohort (n = 7) and **(B)** 6 years for the 4×10^{13} vg/kg cohort (n = 6)

†Participant 13 lost to follow-up.

CSA, chromogenic substrate assay; FVIII, factor VIII; IU, international unit.

Social Media Summary

- Valoctocogene roxaparvovec is an adeno-associated virus vector-based gene therapy approved for treating individuals with severe hemophilia A
- After 7 years in this open-label, phase 1/2 trial for valoctocogene roxaparvovec, hemostatic control was largely maintained, and no new safety signals were observed
- Of the 12 participants still on study, 10 remain off prophylaxis, with mean FVIII activity being in the mild range for both the 6×10^{13} vg/kg and 4×10^{13} vg/kg dose cohorts

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