

1 **Title page**

2 **Multicentre Case-Control Study of Pneumococcal Infections Among Children with**
3 **Pneumonia in Peninsular Malaysia (MY Pneumo): A Study Protocol**

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49 **Abstract**

50 **Background:** *S. pneumoniae* (SPN) is the most common cause of pneumonia. The disease can
51 be effectively prevented through immunisation. Since December 2020, the Malaysian
52 Government has included the 10-valent pneumococcal conjugate vaccine (PCV10) for all
53 infants born on or after 1 January 2020 as part of the National Immunisation Programme (NIP).
54 However, the epidemiology of pneumonia remains poorly understood. To fill the knowledge
55 gap, we established a multicentre surveillance study to understand the burden of pneumococcal
56 pneumonia among young children in Peninsular Malaysia.

57 **Methods:** MY Pneumo is a multicentre prospective case-control study conducted in three
58 sentinel sites located in three different states of Peninsular Malaysia – Kuala Lumpur, Pahang,
59 and Kelantan. A cohort of at least 500 incident cases and 500 controls is enrolled beginning in
60 October 2021 and matched for age. Cases are hospitalised children <5 years with radiologically
61 confirmed pneumonia, and the controls are children without any features suggestive of
62 pneumonia. Clinical samples, including nasopharyngeal swabs (NPS) and urine, are collected
63 according to the study protocol. Biological fluids such as blood, cerebrospinal fluid (CSF) and
64 pleural fluid are obtained from invasive pneumonia disease (IPD) patients, if available. All
65 children are tested for SPN using polymerase chain reaction (PCR) and pneumococcal urine
66 antigen test (PUAT) using BinaxNow.

67 **Discussion:** Surveillance data, including carriage rate, serotype variations and the phylogeny
68 data structure of SPN among young children in Malaysia during PCV implementation, will be
69 generated from this study. Trends and patterns of pneumococcal serotypes by different regions
70 are important for targeted public health strategies. Our data will provide baseline information
71 for estimating the impact of PCV10 implementation and will influence policymakers' decisions
72 regarding the upgrade from PCV10 to a higher-valency conjugate vaccine in Malaysia.

73 **Trial registration:** This project was registered at ClinicalTrials.gov (NCT04923035) on June
74 11th, 2021. The study protocol was approved by the International Medical University Joint-
75 Committee on Research & Ethics (4.15/JCM-216/2021) and the Institutional Review Board at
76 sentinel sites (USM/JEPeM/21020190, IREC 2021-114, MREC ID No: 2021128-9769) and
77 University of Southampton's Ethics and Research Governance (ERGo II 64844).

78 **Keywords**

79 *Streptococcus pneumoniae*, Pneumococcal Pneumonia, Pneumonia Childhood, Invasive
80 Pneumonia Disease, Pneumococcal conjugate vaccine, Surveillance

81 **Background**

82 According to the World Health Organization (WHO), pneumonia accounts for a substantial
83 portion of deaths in children under five (1). The burden of pneumonia is high in developing
84 countries with limited access to healthcare resources, overcrowding and vaccines. Preventive
85 measures, such as routine vaccinations, exclusive breastfeeding, good nutrition, and reducing
86 exposure to indoor air pollution, can reduce the risk of pneumonia in children (2–4).
87 Additionally, timely diagnosis and appropriate treatment, including the use of antibiotics when
88 necessary, are crucial in managing pneumonia and preventing severe outcomes (5,6).

89 The most common cause of pneumonia among children under five is infection with
90 *Streptococcus pneumoniae* (SPN), also known as pneumococcus. Immunisation with a
91 pneumococcal vaccine is an effective way to prevent pneumonia. In Malaysia, pneumococcal
92 vaccination under the NIP for children has commenced for infants born from January 2020,
93 comprising three doses at 4, 6 and 15 months. The routine use of the 10-valent pneumococcal
94 conjugate vaccine (PCV10) in children is expected to reduce the burden of pneumococcal
95 infections in the country, especially severe infections. The impact of the coronavirus disease
96 2019 (COVID-19) pandemic may also have implications on the pneumococcal serotype and

97 clinical presentation of infections in the community. There was a 30% to 80% decline in IPD
98 incidence rate observed for all pneumococcal serotype groups in England and the Netherlands
99 populations, respectively (7,8). In Malaysia, children with severe pneumonia due to COVID-
100 19 exhibited a less severe clinical trajectory when compared with cases caused by other
101 respiratory viruses (9). The children manifested early in the disease progression, exhibiting no
102 atypical biomarkers, such as lymphopenia and elevated C-reactive protein (CRP) levels. There
103 were no invasive pneumococcal coinfections despite the low pneumococcal vaccination rates
104 among the study population (9). Therefore, we conducted a prospective case-control study to
105 understand the burden of pneumococcal pneumonia in young children and to provide a baseline
106 assessment to monitor changes in SPN carriage post-PCV implementation in Malaysia.

107 **Methods/Design**

108 **Study objectives**

109 The study's primary objectives are to provide baseline surveillance of pneumococcal
110 pneumonia in young children in Malaysia by determining the prevalence of SPN
111 nasopharyngeal carriage among children aged 5 years and below with pneumonia and IPD.
112 Secondary study objectives are: 1) to determine SPN serotypes by polymerase chain reaction
113 (PCR) and whole-genome sequencing (WGS), 2) to evaluate the correlation of SPN detection
114 between nasopharyngeal carriage and urine samples, and 3) to establish sensitivity and
115 specificity cutoffs of BinaxNOW for the detection of SPN serotypes in children with pneumonia
116 and IPD.

117 **Study size power calculation**

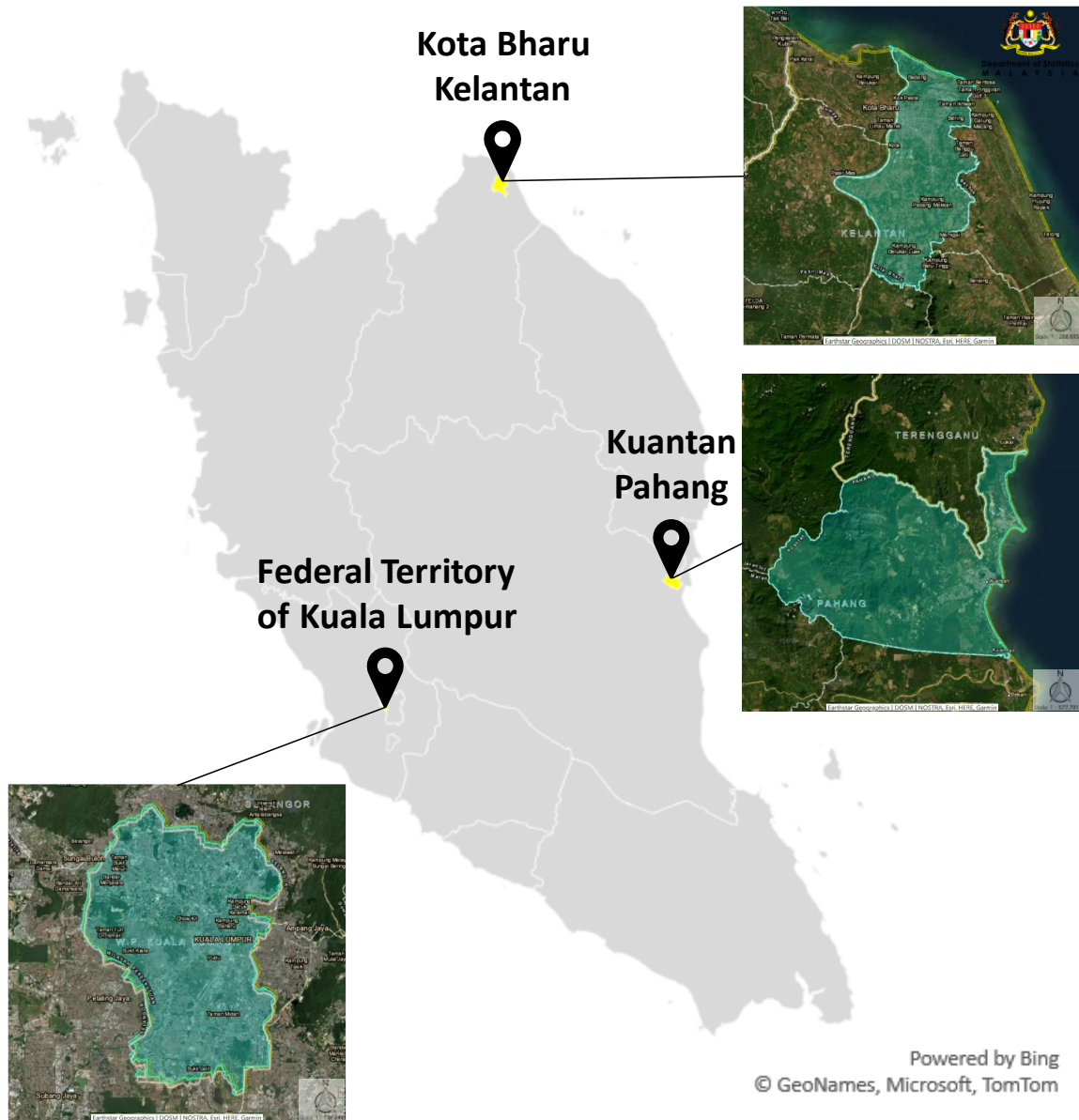
118 This is a prospective case-control study in which all children aged 5 years and below with
119 clinically diagnosed pneumonia and healthy controls will be recruited at three study sites. As
120 per assumptions of 95% confidence interval (CI), 80% power and detectable odds ratio (OR)

121 of 1.5, we estimate 164 cases and 164 healthy controls per sentinel hospital site. Thus, we aim
122 to recruit a total of 500 confirmed pneumonia/IPD cases and 500 healthy controls for this study.

123

124 **Study sites and design**

125 This prospective, hospital-based, multicentre case-control study is being conducted at three
126 university hospitals in different states within Peninsular Malaysia – Kuala Lumpur, Pahang,
127 and Kelantan (Figure 1). Kuala Lumpur serves as the capital city of Malaysia and is centrally
128 located in the western part of Peninsular Malaysia. Pahang and Kelantan are located on the east
129 coast of Peninsular Malaysia, along the South China Sea, providing them with access to the
130 coastline. Kelantan is situated to the north of Kuantan and shares its eastern border with
131 Thailand. Study enrolment is at 1) University Malaya Medical Centre (UMMC), Kuala Lumpur;
132 2) Hospital Universiti Sains Malaysia (HUSM), Kota Bharu, Kelantan; and 3) Sultan Ahmad
133 Shah Medical Centre International Islamic University Malaysia (SASMEC IIUM), Kuantan,
134 Pahang. Table 1 summarises the demographics and burden of pneumonia in each state of the
135 participating sentinel site. Cases and controls are age-matched within the range of 6 months.
136 Cases are age-matched children clinically diagnosed with pneumonia and attending the
137 outpatient department or admitted as a hospital inpatient, Invasive Pneumococcal Disease (IPD)
138 (without pneumonia), bacteraemic pneumococcal pneumonia, and chest radiograph (CXR)
139 confirmed pneumonia (by WHO guideline) (10). Controls are healthy age-matched children
140 without any intercurrent respiratory illness. Subject enrollment is over 24 months for each
141 participating site, and a total sample of 400 subjects (200 cases and 200 controls) is targeted for
142 UMMC and HUSM, respectively, and 200 subjects (100 cases and 100 controls) for IIUM.
143 Male and female patients from the three major ethnic groups (Malay, Chinese, and Indian) are
144 being recruited from each sentinel site. The study protocol workflow is as outlined in Figure 2.



145

146 **Fig 1.** Geographical locations of participating university hospitals in Peninsular Malaysia:
 147 Kuala Lumpur, Pahang, and Kelantan. Source: Adapted from Department of Statistics,
 148 Malaysia. (2023, August 16). <https://statsgeo.mycensus.gov.my/geostats/report.php>

149 Table 1 Demographic characteristics by states of participating university hospitals in MY-Pneumo study.

State	State population type	State population ('000) ^a	State population of children <5 years old, ('000) ^a	No. of deaths of children <5 years old ^b	Under-5 mortality rate per 1000 live births ^b	Deaths of under-5 due to pneumonia, % ^b
Kelantan	Urban/Rural	1829.4	173.9	285	8.2	4%
Pahang	Urban/Rural	1612.5	128.1	173	7.1	5.20%
Federal Territory of Kuala Lumpur	Urban	1945.3	115.9	130	6.2	1.60%

150 a : 2022 prediction by Department of Statistics, Malaysia. Vital Statistics, Malaysia, 2022

151 b : Statistics on Causes Of Death Malaysia, 2022, Department of Statistics, Malaysia

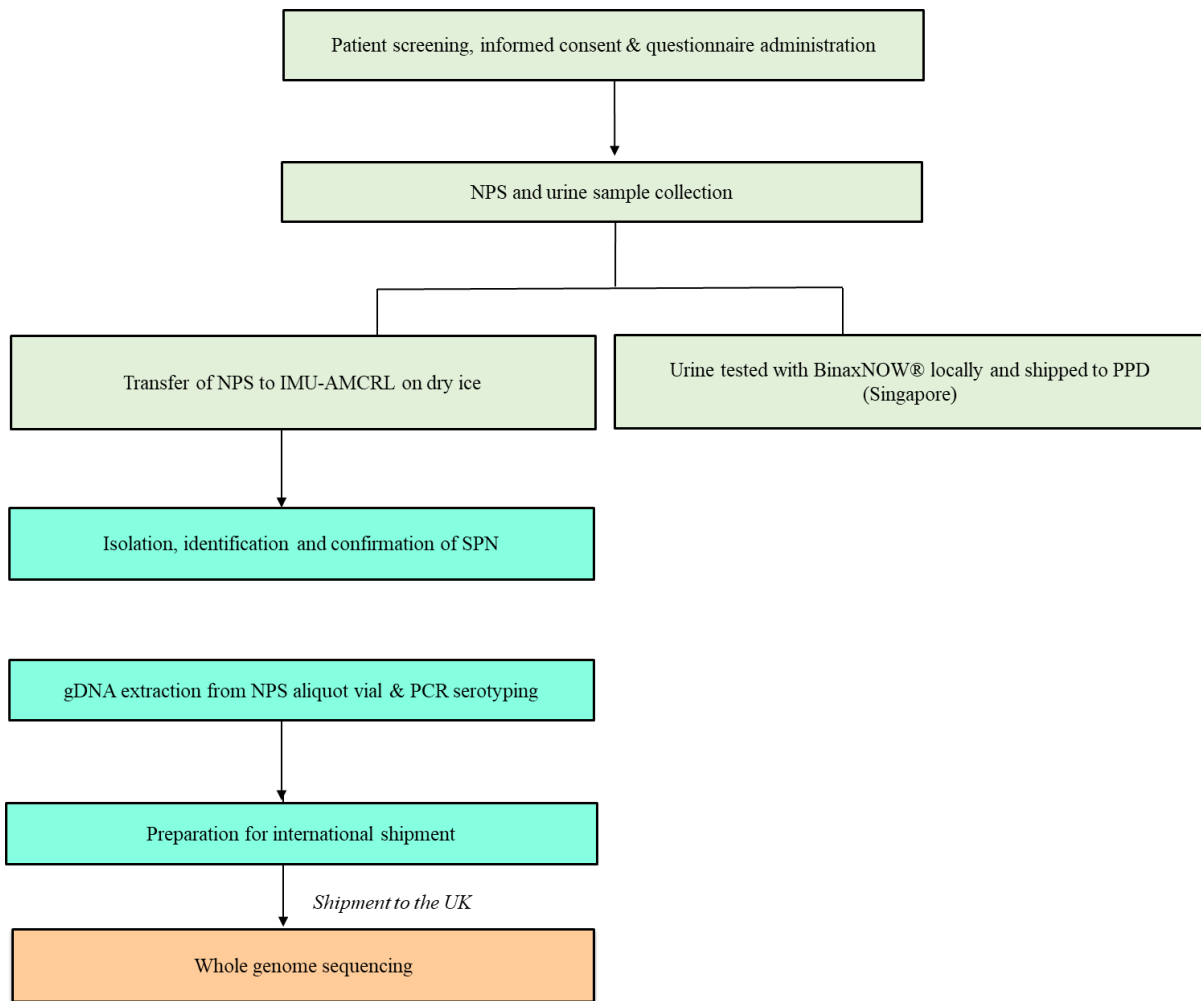
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158 **Fig. 2.** Summary of the study protocol workflow. The specimen collection, processing, and
 159 storage of nasopharyngeal swabs (NPS) were adapted from CDC Pneumococcal Carriage
 160 Protocols. Clinical samples were taken according to local policy, including NPS and urine
 161 samples. Different methods were used to collect the urine depending on the age and tolerability
 162 of the participant i.e. clean catch/void, urine collection bag, and diaper. Additional blood,
 163 cerebrospinal fluid (CSF) and pleural fluid were taken for invasive pneumococcal disease (IPD)
 164 patients, if available. NPS was inoculated into a medium containing skim milk, tryptone,
 165 glucose, and glycerin (STGG) and stored at -80°C before shipment to IMU-Advanced
 166 Microbiology Collaborative Research Laboratory (AMCRL). General questionnaires/case
 167 report form (CRF) were administered to the parents/caregivers of the patients to obtain socio-
 168 demographic, vaccination history and medical history of the child. The gene targets for

169 pneumococcal identification, including the main pneumococcal capsular biosynthesis gene A
170 (*cpsA*), were analysed through PCR. SPN isolates will be transferred to the University of
171 Southampton (UoS), United Kingdom (UK), for whole-genome sequencing (WGS) analysis.

172 **Study participants**

173 The study population is comprised of children under 5 years of age who comply with protocol
174 definitions and inclusion criteria. Eligible participants are identified by study paediatricians and
175 research assistants at each participating site. The following criteria define cases: 1) hospitalised
176 patients aged between 2 weeks and 59 months, 2) clinical features of pneumonia, as described
177 below, 3) radiological confirmation of pneumonia based on CXR findings as per WHO
178 guidelines (Cherian et al., 2005) (10), and 4) an informed consent statement signed by the
179 children's parents or legal guardian. The exclusion criteria for cases are the following: children
180 who 1) do not meet the case definition, and 2) whose parents or legal guardian declined to sign
181 the informed consent statement. Controls are defined by children aged 5 years and below, who
182 are in good health, as determined by a brief medical history and/or clinical judgement of the
183 investigator, and whose parent or legal guardian is willing and able to give informed consent.
184 Exclusion criteria for the controls are: 1) any symptom suggestive of respiratory illness, 2) has
185 nasal surgery, 3) has significant diseases or symptoms, such as febrile illness or a temperature
186 $\geq 38^{\circ}\text{C}$ on the day of the visit or in the preceding 72 hours that can place the patient at an
187 increased risk of the disease, 4) has a history of antibiotic administration in the month prior to
188 sampling, or 5) minors whose parents or legal guardian decline to sign the informed consent
189 statement. Cases and controls are matched for study site and age (± 6 months). General
190 questionnaires are administered to the parents or legal guardians of the participants to obtain
191 demographic and socio-economic data and their medical history. Subjects are identified based
192 on an anonymised identifier. The master list is kept in a password-protected spreadsheet.

193 **Definition of pneumonia**

194 Pneumonia cases are defined as patients with a history of cough and/or difficulty/rapid
195 breathing and/or intercostal recession, with or without fever, and radiological confirmation of
196 pneumonia as per WHO guidelines (10).

197 **Biological samples**

198 Samples are collected in the first 24 hours of patient hospitalisation (Table 2). Nasopharyngeal
199 swabs (NPS) and urine samples are collected from all pneumonia cases and controls following
200 Centers for Disease Control and Prevention (CDC) Pneumococcal Carriage Protocols (11).
201 Each sample is aliquoted as per protocols outlined in this study. Each NPS is inoculated into a
202 medium containing skim milk, tryptone, glucose, and glycerol (STGG) and stored at -80°C
203 before shipment to the International Medical University Advanced Microbiology Collaborative
204 Research Laboratory (IMU-AMCRL) in Kuala Lumpur, Malaysia, every 4 months by a
205 licensed/registered courier. One NPS and one 15 mL urine sample are collected from each
206 subject at the time of enrolment. The collection procedure is performed by trained clinical staff,
207 nurses, and the research assistant at the sentinel site. The NPS collection procedure involves
208 inserting a nylon-tipped FLOQswab (COPAN Diagnostics Inc., USA) through the nostril into
209 the cavity between the nose and mouth for 5 seconds and rotating it several times. The swab is
210 then inserted into a cryovial with 1 mL of STGG media (2% (w/v) skim milk powder, 3% (w/v)
211 tryptone soy broth powder, 0.5% (w/v) glucose and 10% (v/v) glycerol in water), and
212 immediately vortexed briefly and frozen at -80 °C within 4 hours. Different methods of urine
213 collection are used depending on the age and tolerability of the participant, such as clean catch
214 or void, urine collection bag, and diaper. Briefly, for children using diapers, we used the “urine
215 ball method”, which involves placing sterile cotton balls on the subject’s diaper. The urine is
216 subsequently collected by placing the urine-soaked cotton balls into a sterile 20 mL syringe,
217 and the fluid is extracted with a plunger. The collected urine is mixed with PIPES buffer and

218 aliquoted into three tubes of 5 ml each. All urine sampling supplies, including tubes, urine bags,
 219 buffer and BinaxNOW test kits, are provided to sentinel sites by Merck Sharp & Dohme (MSD)
 220 via Pharmaceutical Product Development (PPD). Urine samples are tested with BinaxNOW at
 221 sentinel sites, and the remaining aliquots are shipped to the MSD Central Lab on dry ice. The
 222 BinaxNOW® test kit includes a test device (strip or card), a specimen swab, and a buffer
 223 solution. The test device is placed on a clean, flat surface before a buffer solution is added from
 224 a dropper bottle. A specimen swab is dipped into the urine specimen, removed, and then inserted
 225 into the test card. The card is then closed, bringing the specimen into contact with the test strip.
 226 Pneumococcal antigen present in the specimen reacts to bind anti-*S. pneumoniae*-conjugated
 227 antibody. The resulting antigen-conjugate complexes are captured by immobilised anti-*S.*
 228 *pneumoniae* antibody, forming the Sample Line. Immobilised control antibody captures anti-
 229 species conjugate, forming the Control Line. Test results are interpreted by the presence or
 230 absence of visually detectable pink-to-purple coloured lines. A positive test result, read in 15
 231 minutes, will include the detection of both a Sample and a Control Line. A negative test result,
 232 read in 15 minutes, will produce only a Control Line, indicating that *S. pneumoniae* antigen
 233 was not detected in the specimen. Failure of the Control Line to appear, whether the Sample
 234 Line is present or not, indicates an invalid assay. Additional blood, cerebrospinal fluid (CSF)
 235 and pleural fluid will be taken for IPD patients, if available, at each sentinel hospital site.

236 Table 2 Laboratory tests on case and control subject samples.

Samples	Collection method	Analyses
Nasopharyngeal swab	Flocked swab in 1 ml STGG cryovial*	Molecular detection using multiplex PCR for SPN serotyping. Microbiological culturing and optochin sensitivity
Urine	Sterile cotton balls, Catheter	Pneumococcal urine antigen detection (BinaxNOW)

237 *Skim milk powder, Tryptone soy broth powder, Glucose, Glycerol medium.

238 **Laboratory analysis**

239 Laboratory isolation (including genomic deoxyribonucleic acid (DNA) extraction),
240 identification and confirmation of SPN in each sample are carried out at the IMU-AMCRL.
241 Aliquots of NPS in STGG media include volumes of 400 µl, 350 µl and 250 µl, are stored in -
242 80 °C freezers. The 250 µl NPS-STGG aliquot is the working sample used for blood agar
243 culture, optochin-sensitivity, and DNA extraction for each participant. A 10 µl loopful of
244 sample is taken from the 250 µl aliquot, plated onto Columbia agar with 5% sheep blood (CBA)
245 (Oxoid), and incubated in 5% CO² at 37 °C for 24 hours. The plate culture is observed after 24
246 hours of incubation, and colonies exhibiting SPN morphology are picked for replating onto
247 fresh CBA plates with 5 mcg optochin disc (HIMEDIA), followed by incubation as in the
248 previous step. SPN isolates are identified as small, greyish, alpha-hemolytic culture growths
249 showing Draughtsman morphology, and are optochin sensitive. Genomic DNA from the
250 remaining 240 µl NPS-STGG aliquot is extracted using the New England Biolabs (NEB)
251 Monarch® Genomic DNA Purification Kit (USA). The extracted genomic DNA is then
252 subjected to multiplex conventional PCR analysis according to the CDC protocol (US scheme
253 protocol) to detect SPN serotypes by amplifying the capsular polysaccharide biosynthesis gene
254 A (cpsA) targets. SPN isolates will be transferred to the University of Southampton (UoS),
255 United Kingdom (UK), for WGS analysis. Isolates will be sequenced using a MiSeq (Illumina,
256 UK) to generate 2 × 300 paired-end data. Assembly will be done using SPAdes with assembly
257 improvement and QC as described previously (12).

258 **Data sources and quality control**

259 Clinical site monitoring for research conduct and management is conducted yearly by the
260 Principal Investigator team from the International Medical University (IMU). The main purpose
261 of clinical site monitoring is primarily to ensure protocol adherence, source data verification,

262 investigator training, site performance, data quality assurance, and maintaining data integrity at
 263 each participating site. Tasks and responsibilities are based on standard research guidelines,
 264 including standard operating procedures. Data sources are monitored and evaluated for case
 265 and control definition conformity, errors, and missing data at each sentinel site. Vaccination
 266 records, underlying diseases, medical history, radiological findings, and demographic
 267 characteristics are recorded prospectively for each patient on a case report form (CRF) (Table
 268 3). Data quality reporting is redacted for each site to ensure the confidentiality and conformity
 269 of all study data variables. This process will be applied to data analysis of each enrolled case
 270 and control subject. Data accuracy will be assessed by comparing the recorded values with
 271 source documents. The principal investigator at each site is contacted for queries regarding this
 272 quality assessment and is involved in resolution.

273 Table 3 Overview of questionnaire used in MY-Pneumo study.

Category	Information	
	Subject interview	Hospital records
Demographic	Ethnicity Residence Parents' Education Level Parents/Family member smoking habit	Main caretaker Date of Birth Weight at Birth Height at Birth Gestational term Current Weight and Height
Underlying diseases	Immunodeficiency Kidney disease Cardiac disease Blood disease	Liver disease Respiratory disease Malnutrition
Medical history		Prior ear infections Prior respiratory illness Prior antibiotic treatment Prior hospitalisations
Vaccination history (Dates, Number of Doses)	BCG, HepB, DTap, Hib, MMR, IPV, Tetanus	PCV
Medical intervention		Date of admission

Date of discharge
Symptoms
Level of care
Antibiotic treatment
Radiological
findings

274

275 **Data analysis**

276 An anonymous database will be built, and clinical data will be linked to laboratory data.
277 Quantitative variables will be described and categorised according to their distribution in the
278 study population. Descriptive analysis will address each covariate for the entire population and
279 will be stratified by site. Patients' characteristics and laboratory data for cases and controls will
280 be compared. The associations between risk factors and carriage will also be examined by
281 estimating the relative risk in the study population. Data analysis will be conducted using
282 software such as R and SPSS. The absence/presence of SPN will be modelled using a mixed
283 effects logistic regression model and random forest. The validity of the model will be tested
284 using cross-validation. Sensitivity analysis will also be carried out to evaluate the impact of
285 selected clinical and demographic parameters on the BinaxNOW test outcome.

286 **Discussion**

287 MY Pneumo study collects data on potential risk factors that may influence trends in respiratory
288 disease, as well as pneumococcal carriage among healthy controls. This study incorporates
289 different study designs which will help us to interpret the changes over time in younger children
290 with pneumonia following PCV10 introduction. The results obtained from this study will be
291 reported in due course, with the hope that the information gained will contribute to a better
292 understanding of pneumococcal disease in the Malaysian context. Regional site analysis,
293 focusing on specific interests, will also be described and published at a later date. Study analysis
294 will generally focus on the prevalence of pneumonia for the entire population and by the sentinel

295 site. Insufficient data regarding pneumonia aetiology in developing countries is evident from
296 the geographical distribution of study sites worldwide (13–15). It has been suggested that
297 further carriage and disease studies are required in middle-income countries, especially in
298 Malaysia, to assess the effectiveness of pneumococcal vaccination to monitor serotype changes
299 in the population (16–18). The Pneumonia Etiology Research for Child Health (PERCH) study
300 was the largest, most comprehensive pneumonia aetiology study conducted in seven countries
301 in Africa and Asia: Gambia, Mali, Kenya, Zambia, South Africa, Bangladesh, and Thailand
302 from 2011 to 2014 (19). The study setting involved severe childhood pneumonia cases (4,232
303 children between 1 month and 5 years), and each country had different characteristics that may
304 have influenced the causes of pneumonia. Yet, the research study was pivotal in advancing our
305 understanding of the causes and contributors to childhood pneumonia in developing countries.
306 By investigating a diverse range of variables and risk factors, the PERCH study contributed to
307 driving transformative changes in child health outcomes, reducing the burden of pneumonia-
308 related morbidity and mortality. A more comprehensive understanding of the pathogens
309 responsible for childhood pneumonia holds the potential to significantly enhance both
310 preventive measures, including the implementation of effective vaccine policies, and
311 therapeutic interventions. This collective effort towards a better understanding of pneumonia
312 causality has the power to drive improved management strategies, ultimately resulting in a
313 remarkable reduction in the burden of morbidity and mortality associated with childhood
314 pneumonia.

315 The present study's main strength is the prospective multicentre case-control study design that
316 will permit the description of paediatric pneumococcal pneumonia in study-related locations,
317 especially in urban and rural areas. The sampling and laboratory methods used are consistent
318 with other research studies that have described nasopharyngeal carriage in young children
319 (19,20). Gold-standard molecular methods have been utilised to detect pneumococcus in the

320 nasopharynx (21). The use of the PUAT assay, which detects pneumococcal polysaccharides in
321 the urine of young children, will enable rapid detection of pneumococcal antigens, allowing for
322 timely intervention and reducing the risk of complications.

323 Whilst our study includes three states in both urban and rural community areas of Peninsular
324 Malaysia, we did not include other states in East Malaysia. Therefore, our findings may not be
325 applicable to represent the diversified population and demographics of Malaysia. The COVID-
326 19 pandemic and co-infection status for pneumonia cases may impact study outcomes as we do
327 not have administrative data available for both. However, we plan to incorporate this
328 information whenever available into the descriptive interpretation of study results. Moreover,
329 we do not have pneumococcal carriage data for the retrospective period and have therefore
330 chosen clinical endpoints as our primary study objective.

331 This study will contribute to the incomplete evidence available on the burden of pneumococcal
332 pneumonia in children below the age of 5 years in low-resourced countries, particularly in the
333 Asia-Pacific region. Data on the burden of pneumococcal pneumonia and the impact of PCV
334 can guide decisions related to vaccine prioritisation, resource allocation, and healthcare
335 strategies. As PCV is being introduced in various low-resource settings to combat
336 pneumococcal diseases, understanding its effectiveness and potential benefits is critical for
337 public health strategies. The study is one of the few active population-based pneumonia
338 surveillance programs evaluating the indirect impact of PCV in a resource-limited setting. With
339 the increasing introduction of PCV in low-resourced settings, the methods, experiences, and
340 lessons learned from our study may guide the development of such systems in other countries.

341 **Abbreviations**

342 CBA: Columbia agar with 5% sheep blood

- 343 CDC: Centers for Disease Control and Prevention
- 344 CI: confidence interval
- 345 COVID-19: coronavirus disease 2019
- 346 cpsA: the capsular polysaccharide biosynthesis gene A
- 347 CRF: case report form
- 348 CRP: C-reactive protein
- 349 CSF: cerebrospinal fluid
- 350 CXR: Chest radiograph
- 351 DNA: Deoxyribonucleic acid
- 352 HUSM: Hospital Universiti Sains Malaysia
- 353 IMU-AMCRL: International Medical University Advanced Microbiology Collaborative
354 Research Laboratory
- 355 IPD: Invasive pneumococcal disease
- 356 NIP: National Immunisation Programme
- 357 NPS: nasopharyngeal swab
- 358 OR: odds ratio
- 359 PCR: Polymerase chain reaction
- 360 PCV: Pneumococcal conjugate vaccine

361 PCV10: 10-valent pneumococcal conjugate vaccine

362 PERCH: Pneumonia Etiology Research for Child Health

363 PUAT: pneumococcal urine antigen test

364 SASMEC IIUM: Sultan Ahmad Shah Medical Centre International Islamic University
365 Malaysia

366 SPN: *Streptococcus pneumoniae*

367 STGG: skim milk, tryptone, glucose, and glycerol

368 UMMC: University Malaya Medical Centre

369 WGS: whole-genome sequencing

370 WHO: World Health Organization

371 **Declarations**

372 **Ethics approval and consent to participate**

373 The study protocol, informed consent statement, case report form, any amendments and all
374 other study documents have been submitted to and approved by the institutional ethics
375 committee of each site:

- 376 1. The International Medical University Joint-Committee on Research & Ethics
377 (4.15/JCM-216/2021),
- 378 2. The Human Research Ethics Committee, Universiti Sains Malaysia
379 (USM/JEPeM/21020190),

380 3. The International Islamic University Malaysia Research Ethics Committee (IREC 2021-
381 114), and

382 4. The Medical Research Ethics Committee, University Malaya Medical Centre (MREC
383 ID No: 2021128-9769)

384 5. University of Southampton's Ethics and Research Governance (ERGo II 64844)

385 **Consent for publication**

386 Not required because no individual identifiers have been included in the manuscript.

387 **Availability of data and materials**

388 Not applicable – manuscript does not contain any data.

389 **Competing interests**

390 DWC was a post-doctoral researcher on GSK funded projects in 2014/15 and has received grant
391 support from Pfizer and the National Institute for Health via the NIHR Southampton
392 Biomedical Research Centre. SCC acts as principal investigator for clinical trials and other
393 studies conducted on behalf of University Hospital Southampton NHS Foundation
394 Trust/University of Southampton that are sponsored by vaccine manufacturers. No personal
395 payments are received from them. SCC has participated in advisory boards for vaccine
396 manufacturers but receives no personal payments for this work. SCC has received financial
397 assistance from vaccine manufacturers to attend conferences. All grants and honoraria are paid
398 into accounts within the respective NHS Trusts or Universities, or to independent charities. All
399 other authors have no conflicts of interest.

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404 paper are those of the authors and do not necessarily represent those of Merck Sharp & Dohme
405 Corp.

406 **Authors' contributions**

407 All authors designed and co-authored the protocol. NHR and ATCH were responsible for
408 preparing the manuscript. LHS and SCC conceived the idea. LHS, SCC, CCW, NHR, and NAJ
409 drafted the original proposal. CSJT, SNHH, ZZD, and NK supported microbiological aspects-
410 based protocols. AMN, NAS, MII, ZZD, NSMN, AAB, MAMH, and WKWJ coordinated study
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412 commented on, and approved the final manuscript version.

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