# Fc gamma receptors: their evolution, genomic architecture, genetic variation and impact on human disease

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**Running title:** FCGR evolution and genetics

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#### **Acknowledgements**

We would like to thank members of the Antibody and Vaccine group for useful discussions

#### **Funding**

Funding was provided by Cancer Research UK programme grants, (Award numbers: A24721; DRCRPG-May23/100001) and Cancer Research UK and Experimental Cancer Centre awards C328/A25139 and C24563/A25171.

#### **Competing Interests**

M.S.C. acts as a consultant for a number of biotech companies, being retained as a consultant for BioInvent International and has received research funding from BioInvent, GSK, UCB, iTeos, and Roche.

## **Summary**

Fc gamma receptors (FcγRs) are a family of receptors that bind IgG antibodies and interface at the junction of humoral and innate immunity. Precise regulation of receptor expression provides the necessary balance to achieve healthy immune homeostasis by establishing an appropriate immune threshold to limit autoimmunity but respond effectively to infection. The underlying genetics of the *FCGR* gene family are central to achieving this immune threshold by regulating affinity for IgG, signaling efficacy, and receptor expression. The *FCGR* gene locus was duplicated during evolution, retaining very high homology and resulting in a genomic region that is technically difficult to study. Here we review the recent evolution of the gene family in mammals, its complexity and variation through copy number variation and single nucleotide polymorphism, and impact of these on disease incidence, resolution and therapeutic antibody efficacy. We also discuss the progress and limitations of current approaches to study the region and emphasise how new genomics technologies will likely resolve much of the current confusion in the field. This will lead to definitive conclusions on the impact of genetic variation within the *FCGR* gene locus on immune function and disease.

## **Functional importance of FcyRs**

The Fc gamma receptors (FcγRs) are a family of cell-surface proteins which are expressed on a variety of lymphoid, myeloid and non-immune cells, which function by binding the Fc portion of immunoglobulin G (IgG). They play a pivotal role in orchestrating both the humoral and innate immune response, serving as an immunological rheostat to achieve the delicate balance between facilitating appropriate responses to infections and averting autoimmunity <sup>1</sup>. The FcγRs also have a crucial role in determining the efficacy of monoclonal antibody (mAb) immunotherapy  $2,3$  and are implicated in the genetic predisposition to autoimmune, infectious, and inflammatory diseases.

Characterised by their intracellular signaling capabilities and affinity for IgG, the six human FcγRs are FcγRI (CD64), FcγRIIa (CD32A), FcγRIIb (CD32B), FcγRIIc (CD32C), FcγRIIIa (CD16A), and FcγRIIIb (CD16B). FcγRI is the sole high-affinity receptor whereas FcγRIIa, FcγRIIb, FcγRIIc, FcγRIIIa, and FcγRIIIb are classified aslow-affinity receptors 4. While both high- and low-affinity FcγRs bind antibody immune complexes (ICs) with high avidity, only the high-affinity FcγRI stably binds monomeric IgG. In contrast, low-affinity receptors bind to multivalent Fc regions on IgG-opsonized cells, pathogens, and within soluble ICs (Nimmerjahn and Ravetch 2008, Nimmerjahn and Ravetch 2010, Bruhns et al. 2009). The majority of FcγRs trigger intracellular signaling pathways that elicit immune effector functions to eliminate opsonised targets <sup>5</sup>, and are so termed activating FcγRs. In contrast, the sole inhibitory FcγR, FcγRIIb, can suppress the effects of activating receptors by triggering an inhibitory signaling cascade, and competing for ligand binding at the cell surface  $6$ ,  $7$ . The simultaneous expression of both activating and inhibitory FcγRs on a single cell facilitates precise modulation of the stimulation threshold, referred to as the activating/inhibitory (A/I) ratio  $8$ . Dependent on the specific FcyR and cell type, diverse cellular responses are elicited upon reaching the activation threshold; including phagocytosis, cytokine secretion, reactive oxygen burst, and cytotoxic granule release <sup>9</sup>. Consequently, in conjunction with the varying affinities of different IgG isotypes for various FcγR and cell context dependent intracellular signaling pathways, the tight regulation of FcγR expression and activation ensures an appropriate and coordinated immune response. Affinity, expression profiles and activities of the FcγRs are summarized in **Fig. 1**, alongside details of how they elicit their downstream effects. A central component of FcγR regulation is the germline encoded variation in the *FCGR* genes, which themselves have arisen during evolution as described below.

## **Evolution of FCGRs**

The *FCGR* gene family arose in our common ancestor with bony fish during the development of the adaptive immune system, coinciding with the emergence of their IgG ligands,  $10,11$ . Investigating different *FCGR* loci has highlighted how the FcγR functional repertoire has evolved through multiple events of duplication and divergence, enabling adaptation to immunological challenges.

### **High-affinity FCGRs**

The human FcyRI receptor is encoded by the *FCGR1A* gene, located on chromosome 1q21.2<sup>12</sup> and separate from the low-affinity locus. The high-affinity FcγRI is unique as it exhibits a third extracellular Ig-like domain <sup>13</sup>, distinguishing it from the low-affinity FcγRs which only have two, that facilitates binding to both monomeric and aggregated IgG  $^{14,15}$ . Additionally there are two pseudogenes, *FCGR1B* on chromosome 1p11.2 and *FCGR1C* on chromosome 1q21.1 <sup>16</sup>, that are not believed to contribute to the surface expression of FcγRI <sup>17</sup>. The evolution of the three *FCGR1* genes is thought to have involved duplication of an ancestral gene, likely located on chromosome 1p 18, producing *FCGR1A* and a second homolog with a 6 base-pair (bp) insertion. Further duplication of this gene likely resulted in a third *FCGR1* gene. The separation of *FCGR1A* and *FCGR1C* from *FCGR1B* onto the long arm of chromosome 1 is hypothesised to have occurred following human divergence from chimpanzees through a pericentric inversion event 16,19.

The origins of the high-affinity *FCGR* genes are less understood than those of the low-affinity *FCGR* locus; in particular, the exact point of divergence is yet to be classified. One study has reported the low-affinity receptors emerged first in mammalian evolution<sup>10</sup>. This was postulated from analysis of the opossum genome, diverging from placental mammals 130 million years ago, as two-domain FcγR genes were present, yet three-domain receptors were absent. However, this may be attributed to technical limitations of genome assembly or evolutionary loss in the opossum lineage rather than the actual absence of the receptor  $^{11}$ .

### **Low-affinity FCGRs**

The human low-affinity FCGR genes are clustered in a single locus on chromosome 1q23.3. This cluster is highly polymorphic and contains homologous sequences with high sequence identity. The genes encoding FcγRIIa (*FCGR2A*) and FcγRIIb (*FCGR2B*) originated from a duplication of an ancestral FcγRII gene before the divergence of primates  $20$ . A subsequent segmental duplication occurred in the human-gorilla ancestor approximately 9 million years ago 20-22 due to non-allelic homologous recombination (NAHR) between *FCGR2A* and *FCGR2B* (**Fig. 2a**). This event gave rise to the FCGR3 genes (*FCGR3A*, *FCGR3B*) and *FCGR2C*, a new hybrid member of the *FCGR2* family, originating from the 5' end of *FCGR2B* and the 3' end of *FCGR2A*. Therefore, the chimeric *FCGR2C* gene contains the sequence for an extracellular domain almost identical to that of FcγRIIb but with intracellular ITAMcontaining components homologous to that of FcγRIIa. The homology between these genes is indicated in further detail in **Fig. 2**.

This *FCGR* segmental duplication was also shown to be present in the closest relatives to humans: gorillas and chimpanzees  $21,22$ . However, it is absent in orangutans, the remaining members of the Hominidae family, and more distant primates such as gibbons, macaques, and baboons  $11,22-24$ . There have been conflicting observations regarding the evolutionary timing of this duplication, such as the fact that chimpanzees lack the *FCGR2C* and *FCGR3B* genes <sup>11</sup> and *FCGR3* expression is detected on sooty mangabey neutrophils <sup>24</sup>. These discrepancies may have arisen due to copy number variation between different individuals of the same species, or incomplete genome assemblies. The evolution of these genes involved several significant changes, including the insertion of a retroviral element introducing an intracellular exon with a non-canonical ITAM to *FCGR2A*<sup>22</sup>, and alterations to the transmembrane<sup>25</sup> and promoter<sup>26</sup> sequences of *FCGR3B*, distinguishing its pattern of expression from that of *FCGR3A*.

Identifying the specific immune challenges which shaped *FCGR* evolution is challenging, but studies on mammalian *FCGR3* orthologs indicate that helminth infections exerted significant pressure on the low-affinity *FCGR* gene family <sup>21</sup> supporting the hypothesis that balancing immunological responses to varying parasitic burdens has been a critical selective pressure at the *FCGR* loci over evolutionary time. The maintenance of the segmental duplication, especially the emergence and retention of *FCGR3B*, underscores its evolutionary advantage to the species. In contrast, the chimeric *FCGR2C* lacks a definitive functional role and appears to have accumulated mutations that hinder its expression and functionality, such as the exon 3 stop codon, splicing variants, and a compromised 3'-UTR compared to the *FCGR2A* paralogous sequence  $27,28$ . These alterations, which are discussed later, suggest that the *FCGR2C* gene produced by the segmental duplication may be evolving into a non-functional pseudogene with the classical *FCGR2C*-ORF haplotype representing the last functional vestige of the original gene.

## **FCGR genetics in mice**

Understanding the similarities and differences between human *FCGR* genes and those in mice is crucial due to the widespread use of murine models in functional and preclinical studies. Mice differ from humans in both the number of genes and the structure and function of the encoded receptors, including their binding affinities for immunoglobulin.

Initially, it was thought that mice had the same three classes of FcγR as humans—FcγRI, II, and III—each encoded by a single gene  $^{29}$ . In contrast, humans have these receptors encoded by eight genes as described above, suggesting that mice did not undergo the same duplication events as humans <sup>30</sup>. In 2005, a fourth receptor, FcγRIV, was discovered in rodents and some nonhuman primates  $31$ , showing high sequence homology to human FcγRIIIa  $32$ . In mice, the *Fcgr* genes are located on chromosome 1 (*Fcgr2*, *Fcgr3*, and *Fcgr4*) and chromosome 3 (*Fcgr1*) 30,33, corresponding to human 1q23 and 1q21, respectively.

Mice, like humans, have only one inhibitory FcγR, FcγRII, which is orthologous to human FcyRIIb and exhibits low-affinity binding to murine (m) IgG1, IgG2a, and IgG2b  $34,35$ , as well as weak binding to mIgE  $36$ . mFcγRIII and mFcγRIV also display low-affinity binding to mIgE  $37$ , while none of the human (h) FcγRs have shown affinity for hIgE  $^{14}$ , indicating a clear interspecies difference. Humans possess only one high-affinity FcγR, hFcγRI, whereas mice have two high-affinity activating receptors: the orthologous mFcγRI  $^{38}$ , which binds to monomeric mIgG2a <sup>14,37</sup>, and mFcγRIV, which also binds monomeric mIgG2a, albeit at a lower affinity than mFcγRI, as well as mIgG2b. Additionally, FcγRI in mice shows low-affinity binding to IgG2b and IgG3<sup>34</sup>. The other activating receptor in mice, mFcγRIII, orthologous to hFcγRIIa  $22$ , demonstrates low-affinity binding to mIgG1, mIgG2a, and mIgG2b  $35$ , making it unique among murine FcγRs in its ability to bind mIgG1 34,37. Cross-reactivity between the IgG of each species with the other's FcγRs has been well documented 38-40.

The cell-specific expression patterns of FcγRs differ between the two species. While both humans and mice express hFcγRIIb/mFcγRII in B cells  $41,42$ , overall expression in mice is generally broader, with higher levels of the inhibitory receptor expressed on monocytes, macrophages, and neutrophils. In mice, FcγRI expression is restricted to monocyte-derived dendritic cells (DCs)<sup>43</sup>, a pattern not replicated in humans<sup>5</sup>. Conversely, the absence of FcγRIIa expression on human natural killer (NK) cells <sup>44</sup> is not mirrored by the murine ortholog, mFcγRIII 45.

It is important to note that, although there is reasonably high sequence homology between human and mouse FcγRs 40, the defined interspecies orthologs only share around 65% identity  $38$ . This disparity is evident in the differences in affinities for immunoglobulins  $34$  and expression patterns. Significant variation in IgG affinities for the various FcγRs between mice and humans presents a challenge for determining the efficacy of human tumor-targeting antibodies in mice  $46,47$ . Additionally, there is no widely recognised ortholog of mFcγRIV in humans 37,38, nor human FcγRIIa, FcγRIIc, or FcγRIIIb in mice, further limiting the applicability of murine models in inferring the precise roles of human FcγRs and the net result of their engagement in disease. An additional complexity in translating observations into the clinic relates to the extensive *FCGR* sequence variation that exists in humans, both at the level of single nucleotide polymorphisms (SNPs) and copy number variation (CNV).

## **Sequence variation at the FCGR locus**

## **FCGR1**

Although several *FCGR1A* genetic variants have been identified, they often lack further investigation, thus functionally relevant *FCGR1* variation is not yet well characterized. A SNP was discovered in 1995 within the extracellular domain of FcγRI that replaced an arginine in exon 3 of *FCGR1A* with a termination codon (p.R92X, rs74315310) <sup>15,48</sup>. This mutation has been associated with undetectable expression of FcγRI on phagocytes, without apparent health defects, suggesting possible physiological redundancy. However, this variant was investigated in only one family, limiting broader conclusions.

The impact of three additional *FCGR1A* SNPs on FcγRI effector functions has been explored *in vitro* 49. The non-synonymous variants p.I301M (rs12078005) and I338T (rs142350980), located in exon 6 and within the transmembrane and intracellular domains of the receptor, respectively  $50$ , were found to reduce FcyRI signaling  $49$ . The p.V39I (rs7531523) variant, encoding a mutation in the extracellular domain, impaired the receptor's ability to bind IgG in immune complexes. However, since p.V39I is not involved in the IgG binding site  $50$ , the receptor's ability to bind monomeric IgG remained unaffected <sup>49</sup>. Although the clinical relevance of these variants has not been investigated and they have low prevalence in the healthy population  $49,51$ , as FcγRI has been shown to play an important regulatory role in response to various bacterial and viral infections 52-54, it could be postulated that *FCGR1A* variants that reduce FcγRI effector functions may be associated with a poorer immune response to infection.

One study utilised a structural prediction model to examine the effect of the four aforementioned *FCGR1A* SNPs (rs74315310 48, rs7531523, rs12078005 and rs142350980 49) in addition to a nonsense variant in exon 5, p.Q224X (rs1338887), which encodes a stop codon in place of glutamine in the third extracellular domain  $50$ . Whilst a clear impact of these variants on FcγRI receptor function was not observed, limitations regarding the accuracy of modelling the transmembrane and intracellular domains were reported.

A recent study by Wu et al. identified three novel human *FCGR1A* variants with long-range PCR and Sanger sequencing <sup>55</sup>. One SNP in the promotor region, c.-131C>G (rs1848781), encodes glycine in place of cysteine and significantly increases promoter activity and FcγRI expression on monocytes <sup>55</sup>. Increase in FcγRI expression has previously been associated with systemic lupus erythematosus (SLE)  $56$  and rheumatoid arthritis (RA) progression  $57$ . Another SNP, p.D324N (rs1050204), located in exon 6 in the region encoding the FcγRI cytoplasmic domain, was found to enhance phagocytic activity and the production of pro-inflammatory cytokines in vitro 55. Additionally, the *FCGR1A* gene was found to harbor an indel variant, c.845-23\_845-17delTCTTTG (rs587598788), which involves a six bp sequence in intron 5. This insertion or deletion occurs near exon 6's splice acceptor site, with the insertion variant appearing to correlate with higher levels of FcγRI expression on monocytes. All three variants were also evaluated for associations with sarcoidosis, a disease characterised by abnormal granuloma development <sup>58</sup>. Wu et al. found a strong association between a specific FCGR1A haplotype (rs1848781C-rs587598788Del-rs1050204N) and protection against sarcoidosis development. Furthermore, the c.-131G and p.324N variants were linked to poorer lung function in sarcoidosis patients.

Evidence indicates that CNV also exists in *FCGR1* genomic regions 59, with approximately 2% and 18% of the population possessing deletions or duplications, respectively. However, the precise CNV boundaries are not well-defined  $18$ . Preliminary studies suggest that dosage effects of *FCGR1A* are observed in various cancers, with increased expression associated with better outcomes 60. Hence, further research into *FCGR1A* CNVs is required to ascertain their effects and clinical relevance.

Despite being considered a pseudogene <sup>17</sup> an alternatively spliced variant of human *FCGR1B* lacking exon 5 has been detected in humans <sup>61</sup>. This variant appears to express a functional receptor capable of binding IgG aggregates in mice, suggesting that the *FCGR1* pseudogenes may have previously unrecognised functions.

### **Low-affinity** *FCGRs*

Several SNPs have been identified in each of the low-affinity *FCGR* genes that have functional and/or clinical significance (**Table 1, Fig. 3**), including effects on auto-inflammatory and infectious disease susceptibility, and cancer immunotherapy efficacy. In addition, a spectrum of other SNPs exist with unknown impacts on gene expression, protein function and disease prevalence. NB: Below we include the reference to the rs number as this is a consistent, searchable descriptor of a SNP independent of isoform and genome assembly.

## *FCGR2A*

One of the most widely studied SNPs in *FCGR2A* is the A to G transition within exon 4 (rs1801274), resulting in a histidine to arginine substitution (p.H131R) within the IgG-binding domain 62. While both alleles exhibit comparable binding affinities for hIgG3 and hIgG4, the *FCGR2A*-131H isoform has a higher affinity for hIgG1 and especially hIgG2 14. In contrast to the 131R variant, the *FCGR2A*-131H allele has been shown to facilitate the phagocytosis of IgG2 opsonised entities  $20,63$ , augment neutrophil degranulation and phagocytosis  $64,65$ , and enhance IgG2-mediated IL-1 $\beta$  secretion <sup>66</sup>. Both H and R alleles have been associated with various inflammatory diseases, highlighting the intricate role FcγRIIa plays at the intersect of multiple immunoregulatory pathways. For example, *FCGR2A*-131H has been associated with increased incidence of Graves' disease  $67$ , ulcerative colitis  $68-70$ , childhood immune thrombocytopenic purpura (ITP) 71-73 and Kawasaki disease (KD) 74-76, whereas *FCGR2A*-131R has been associated with sepsis <sup>77,78</sup> and SLE <sup>79,80</sup>.

Additionally, two adjacent SNPs (rs9427397 and rs9427398) within exon three of *FCGR2A* encode a composite SNP (rs201218628) which replaces a glutamine with a tryptophan residue (p.Q62W) in a region of FcγRIIa that is predicted to be a dimerization domain. Initially reported in a common variable immunodeficiency patient cohort, *FCGR2A*-62W has been connected to reduced calcium signaling and impaired MAPK phosphorylation <sup>81</sup>. However, its precise functional significance remains elusive as it does not affect *FCGR2A* expression or neutrophil antibody-dependent cellular cytotoxity (ADCC) capacity  $81,82$ . Furthermore, a comprehensive meta-analysis found a significant association with KD, although its elevated disease risk may result from strong linkage disequilibrium (LD) with other SNPs 82.

## *FCGR3A*

*FCGR3A* also contains a well-established SNP within exon 4 (rs396991) that affects the receptor's IgG affinity <sup>83</sup>. It results in a phenylalanine to valine substitution at amino acid position 158 (F158V) within the second extracellular domain, with the *FCGR3A*-158V variant exhibiting enhanced affinity for all IgG subclasses 14,83. The homozygous *FCGR3A*-158V/V genotype has been associated with heightened NK cell ADCC capacity <sup>84</sup>, ITP susceptibility  $71,73,85$  and increased risk of developing RA for individuals of European descent  $86$ . However the lower affinity isoform encoded by *FCGR3A*-158F has been associated with increased susceptibility for and development of SLE  $80$  and lupus nephritis  $87,88$ .

Within exon 3, there are two alternative alleles which have both been reported to affect FcγRIIIa's affinity for IgG (rs10127939). At amino acid position 48 within the membrane-distal Ig-like domain, the reference allele encodes a leucine residue, but substitutions to either G or A can result in an arginine or histidine residue, respectively (*FCGR3A*-48L/R/H). Both of these variants show increased binding capacity for hIgG1, hIgG3, and hIgG4<sup>89</sup>. This triallelic SNP has also been associated with the *FCGR3A*-158V variant whereby the presence of an R or H and at least one copy of the 158-V allele results in enhanced hIgG binding abilities <sup>90,91</sup>.

The *FCGR3A*-48L/R/H variant was additionally found to be in LD with two other SNPs and an indel, forming an intragenic haplotype (IH) associated with high FcγRIIIa expression on monocytes and NK cells 92. The original *FCGR3A*-IH described consisted of a 5'-UTR SNP-1405A (rs56199187), an intronic indel +690–691InsC (rs33959719), the triallelic nonsynonymous polymorphism 48-L/R/H (rs10127939), and a second intronic variant +1842T (rs77825069). However, the entry for the intronic indel no longer exists in public databases, suggesting its previous description was a technical artifact.

Furthermore, a study performed on 101 healthy Japanese individuals demonstrated how two SNPs (rs4656317 and rs12071048) located within a potential enhancer region in *FCGR3A* intron 1 were in strong LD with the *FCGR3A*-158V SNP, and significantly impacted NK cell FcγRIIIa expression and ADCC activity  $93$ . It has been suggested this enhancer haplotype (EH) may be responsible for the contrasting findings 94,95 regarding whether the *FCGR3A*-158V variant is accountable for changes in expression <sup>93</sup>.

There have also been two intergenic SNPs identified (rs2099684 and rs10919543) between *FCGR2A* and *FCGR3A* that have been associated with the autoimmune disease Takayasu arteritis in Turkish, North American and Chinese Han populations <sup>96,97</sup>. These variants, which are approximately 11.5kb and 3.0kb upstream of *FCGR3A*, have been shown to be in high linkage disequilibrium with each other and associated with increased *FCGR2A* expression levels in lymphoblastoid cells 98.

## *FCGR2C*

As previously mentioned, *FCGR2C* is a fusion gene that is comprised from exons homologous to those from *FCGR2A* and *FCGR2B* (**Fig. 2c**). Despite some *in vitro* evidence showing FcγRIIc can bind IgG, induce calcium flux, and activate cytotoxic NK cell responses, establishing its true *in vivo* functional capacity has proven challenging <sup>27,99,100</sup>. This is partly because it was relatively understudied being previously considered as a pseudogene <sup>20,101</sup>.

A significant proportion (>80%) of the human population harbor a C to T substitution within exon 3, resulting in a stop codon (Ter) at position 57 instead of a glutamine within the open reading frame (ORF) 102,103. FcγRIIc was first identified on NK cells of individuals with the ORF variant (p.57Gln, *FCGR2C*-ORF) instead of the stop codon (p.57Ter, *FCGR2C*-Stop) 99. However, as most individuals are homozygous for the *FCGR2C*-57X genotype 82, which encodes the truncated isoform, the termination codon is integrated within the current GRCh38 reference genome sequence with the protein-coding variant described as a SNP (rs759550223) 85,104. The *FCGR2C*-ORF variant has been linked to increased susceptibility to several autoimmune diseases, including KD  $^{82}$ , ITP  $^{85,105}$ , SLE  $^{106}$ , and systemic sclerosis  $^{107}$ , in addition to IgG subclass deficiency 108. It has been reported that the *FCGR2C*-ORF variant also dictates the expression of FcγRIIc on B-cells, reducing the inhibitory effects of FcγRIIb and modulating the overall level of B-cell activation 106. However subsequent, independent research has failed to reproduce the finding of *FCGR2C* expression on B cells <sup>109</sup>.

However, only genotyping *FCGR2C*-57X/Q is not sufficient to infer FcγRIIc expression as there have been *FCGR2C*-57Q carriers identified with undetectable levels of cell-surface FcγRIIc. Instead they expressed various noncoding *FCGR2C* transcripts that predominantly lacked exon 6 and included a 62bp intron 6 insertion  $27$ . These aberrantly spliced isoforms were originally attributed to polymorphic donor and acceptor splice sites within intron 6 (rs76277413 and rs430178) that, together with the *FCGR2C*-57Q SNP, were termed nonclassical *FCGR2C*-ORF haplotypes (**Table 2**). However, a more recent study by the same authors only included the non-canonical donor site to characterise the nonclassical *FCGR2C*-ORF haplotype 82. The nonfunctional splice site sequences are incorporated within the GRCh38 reference whilst the canonical splice sites are annotated as SNPs.

Recently, additional *FCGR2C* single nucleotide variants (SNVs) have garnered attention for their potential functional effects, although their definitive biological impact has not yet been conclusively determined. Of particular interest is a haplotype comprising three *FCGR2C* SNVs - c.134-96C>T within intron 2 (rs114945036), c.353C>T within exon 3 (rs138747765), and c.391+111G>A within intron 3 (rs78603008) - which have been reported to be in complete LD and significantly enhance vaccine efficacy in a cohort of Thai individuals involved in an HIV-1 vaccine trial 110. This haplotype has attracted considerable attention due to its investigation in the only HIV-1 vaccine trial demonstrating any degree of protection  $111$ . However, the functional significance of these variants warrants cautious interpretation as the primers were not *FCGR2C*-specific <sup>112</sup>, and a connection with FcγRIIc surface expression could not be elucidated as only one participant in the study harbored the *FCGR2C*-57Q SNP.

Subsequent investigation of this haplotype within the Black South African population revealed that only the intron 2 SNV ( $rs114945036$ ) was polymorphic  $113$  and, in contrast to the above, was associated with an increased likelihood of HIV-1 disease progression <sup>114</sup>. Once again, the FcγRIIc protein was not considered to be involved in the underlying mechanism as only one individual possessed the classical *FCGR2C*-ORF haplotype. Instead, the authors presented an *in silico* analysis which supported previous claims that these variants could result in alternative splicing of *FCGR2C* transcripts as a putative CTCF binding motif within a weak enhancer was disrupted. Supporting the notion that these *FCGR2C* variants regulate gene expression, increased levels of the last exon from *FCGR2A* and/or *FCGR2C* were detected in lymphoblastoid B-cell lines harboring the Thai haplotype SNVs 115.

The conflicting outcomes in HIV-1 studies may be due to LD relationships specific to different genetic backgrounds that are not always determined. For example, the intron 2 SNV (rs114945036) was also found to be in LD with a nonsynonymous exon 4 variant and two intron 5 SNVs in the Thai cohort (rs373013207, rs74341264, and rs201984478) <sup>110</sup>, whereas was linked to the *FCGR2C*-57Q SNP and two intron 1 variants (rs2169052 and rs111828362) in the South African cohort 114.

## *FCGR3B*

Within exon 3 of *FCGR3B*, which encodes the membrane-distal Ig-like extracellular domain of FcγRIIIb, a cluster of polymorphisms collectively represents the human neutrophil antigen (HNA)-1 system. The most common variants, HNA-1a and HNA-1b, are differentiated by five SNPs that lead to four amino acid substitutions and affect N-linked glycosylation (**Table 3**). HNA-1a and HNA-1b are encoded by the NA1 and NA2 haplotypes, respectively. These variants alter the receptor's affinity for hIgG isotypes, with HNA-1a having higher affinity for hIgG1 and hIgG3, resulting in more effective phagocytosis of opsonized targets 14,64,116. *In vitro* experiments have shown that the FcγRIIIb allotype encoded in the NA1 haplotype had approximately double the affinity to monomeric higG3 of the NA2 haplotype  $117$ . Another reported allotype is HNA-1c that is encoded by the SH haplotype. The SH variant is identical to NA2 at the aforementioned five SNPs but differs from NA2 at an additional position. The functional consequences of this SNP, and thus difference between HNA1b and HNA1c allotypes, are not well characterised. Several other HNA variants have been identified, although their functional and clinical significance remains to be confirmed  $118-120$ .

Susceptibility to various autoimmune disorders has been linked to these HNA antigens. The higher affinity HNA-1a has been associated with increased risk of a condition marked by FcγRmediated neutrophil activation, known as anti-neutrophil cytoplasmic antibody systemic vasculitis <sup>120</sup>, whereas the lower affinity HNA-1b isoform has been linked to SLE development <sup>121,122</sup>. Additionally, the HNA system holds clinical significance in pregnancy; rare instances of potentially fatal neonatal immune neutropenia have been observed when fetal neutrophils expressing paternal HNA antigens are targeted by maternal antibodies <sup>123-125</sup>.

## *FCGR2B*

Unlike the other two *FCGR2* family members which have seven exons, *FCGR2B* has eight exons that are alternatively spliced. The predominant FcγRIIb isoforms, FcγRIIb1 and FcγRIIb2, exhibit distinct localisation patterns and functional properties. FcγRIIb1, transcribed from all eight exons, is the only FcγR routinely expressed in B-cells and functions to inhibit B-cell receptor (BCR) signaling, thus limiting B-cell expansion and activation in the presence of antigen specific ICs  $126$ . In contrast, FcγRIIb2 has the 57bp exon 6 spliced out from between the transmembrane domain and cytoplasmic ITIM. It is the primary transcript expressed in myeloid cells and is mainly involved in endocytosis of ICs 127. Exon 6 of *FCGR2B*, present in FcγRIIb1, enhances B-cell membrane tethering, whereas its absence from FcγRIIb2 allows for rapid internalization  $128$ . This exon does not have a corresponding exon in the current annotation for *FCGR2A* or *FCGR2C (Fig. 2c)*.

A well-studied polymorphism within exon 5 of *FCGR2B* (rs1050501), involving a T to C substitution, has attracted attention for its impact on FcγRIIb's inhibitory signaling and its potential to disrupt immune system balance. This substitution, occurring at amino acid position 232 within the transmembrane region and resulting in an isoleucine to threonine change (I232T), was initially identified in a Japanese cohort of SLE patients 129. The *FCGR2B*-232T variant has been shown to interfere with FcγRIIb's ability to attenuate the activating signaling of the BCR by impeding its association with lipid rafts in the plasma membrane  $^{130,131}$ . Moreover, as FcγRIIb is also expressed on dendritic cells (DCs), the presence of FcγRIIbp.Thr232 can also impact DC maturation and consequently T-cell stimulation 132-134. In multiple studies across numerous ethnic backgrounds, homozygosity of the *FCGR2B*-232T variant has been strongly associated with SLE <sup>6,80,129,135-139</sup>. Conversely, a T/T genotype was also shown to provide a protective effect against severe malaria infection in an East African population 140.

Allelic variation can also impact the expression levels of the FcγRs. Notably, two crucial SNPs reside in the promoter regions of both *FCGR2B* and *FCGR2C*, positioned at -386 and -120 relative to the translational start site (**Table 4**). At the -386 position, these SNPs can either be G or C, while at the -120 position, they can be A or T, resulting in four distinct haplotypes named 2B.1, 2B.2, 2B.3, and 2B.4. The 2B.1 haplotype is prevalent in both *FCGR2C* and *FCGR2B* promoter regions, while the 2B.2 and 2B.4 haplotypes have only been reported upstream of *FCGR2C* and *FCGR2B,* respectively. To date there has never been reported a case of the 2B.3

haplotype of -386G with -120A. With a luciferase reporter assay, the rarer *FCGR2B*.4 haplotype was demonstrated to increase *FCGR2B* transcription in comparison to the more common *FCGR2B.1* haplotype <sup>141</sup>. This observation was then supported when transcription factor (TF) binding and FcγRIIb expression was seen to be enhanced in primary B-cells with the *FCGR2B*.4 haplotype <sup>142</sup>. Augmented transcriptional activity from 2B.4 has also been observed in neutrophils and monocytes 143,144. The 2B.4 haplotype has also been associated with increased SLE susceptibility <sup>141,144,145</sup>.

Additionally, a recently described in-frame deletion of codon AAT within exon 3 (rs755222686, p.Asn106del) was shown to completely eradicate FcγRIIb binding with hIgG1 and hIgG3  $^{146}$ . Whilst this non-functional protein resulted in heightened levels of hIgG, it has only been reported in a very small subset of the Icelandic population so far.

### **Structural variation at the FCGR locus**

### *History*

CNV involving *FCGR* genes have been notoriously challenging to characterize due to the genomic complexity of these loci and limitations in available technologies. The first report of CNV at the *FCGR* locus dates back to the 1990s when a homozygous deletion of *FCGR3B* was observed in mothers of children with neonatal immune neutropenia 123-125. This deletion was subsequently found to be linked with *FCGR2C* CNV 147,148. To investigate associations with autoimmune diseases, methods such as array comparative genomic hybridization (aCGH) and restriction enzyme digest variant ratio assays were developed, and large-scale studies examining global CNVs suggested that *FCGR2A* and *FCGR2B* were also candidate genes for duplication or deletion events 149-152.

However, in 2008 the multiplex ligation-dependent probe amplification (MLPA) assay was developed to study the genetic variation at the *FCGR* locus in a single assay 85. It was hypothesised that the variation captured by both CNV and SNPs could collectively encode a 'susceptibility phenotype' for autoimmune/inflammatory diseases as the balance between activating and inhibitory signaling was altered by genomic variants. The MLPA assay was conducted on >600 individuals to demonstrate extensive variation at the *FCGR* cluster, but showed only *FCGR3A*, *FCGR2C* and *FCGR3B* were subject to CNV – and not *FCGR2A* or *FCGR2B* as previously reported 85,104.

In 2012, a study by Machado et al. utilized Sanger sequencing of PCR amplicons to investigate the NAHR events generating *FCGR* CNV and localized the breakpoints to distinct hotspots 21. This analysis involved identifying and utilizing paralogous sequence variants (PSVs), which are single nucleotide differences between the two paralogous repeats of the segmental duplication. However, in 2013, Mueller et al. examined the genetic pathology of *FCGR3B* deletions and reported that 36% of the candidate PSVs were polymorphic, compromising any breakpoint analysis that used them <sup>112</sup>. Mueller et al. also refined the boundaries of the *FCGR2C/FCGR3B* CNV to two highly paralogous 24.5kb blocks covering the 3' ends of *FCGR2C* and *FCGR2B*, which they stated were devoid of true PSVs not subject to allelic variation. In 2017, Rahbari et al. reanalysed the same fosmid sequences and were able to further define the breakpoints within this 24.5kb block, attributing the discrepancies to the consideration of the duplications present  $153$ .

## *Structure*

MLPA analysis of >4000 individuals was conducted in 2015 and four distinct CNV regions (called CNRs) were described, mapping the most common areas of gain or loss within the low affinity *FCGR* locus<sup>28</sup>. The PSVs utilised in this MLPA assay included 7 pairs that were designed to distinguish between the two 82kb paralogous repeat units, four of which were designed to detect nonpolymorphic PSVs. During this work, the breakpoints of the CNR were then further refined, documenting the generation of functional chimeric genes and the novel fourth variant CNR4 for the first time. This study also provided support for the idea that there is population variation in *FCGR* copy- number profiles <sup>152</sup>. Briefly outlined below (**and depicted in Fig 3**) is the current understanding of the structure of the CNRs, where the numbering reflects their prevalence.

- **CNR1** includes *FCGR2C*, *HSPA7* and *FCGR3B* with breakpoints thought to occur within the intergenic region between *FCGR3A*-*FCGR2C* and *FCGR3B*-*FCGR2B*.
- **CNR2** has intragenic breakpoints in intron 6 of *FCGR2A* and *FCGR2C*. It encompasses the entire genomic sequence of the *HSPA6* and *FCGR3A* genes as well as the last exon of *FCGR2A* and all but the last exon of *FCGR2C*.
- **CNR3** includes *FCGR3A*, *FCGR2C* and *HSPA7* with the breakpoints within the intergenic region between *HSPA6*-*FCGR3A* and *HSPA7*-*FCGR3B*. Like CNR1 and CNR2, both deletions and duplication of CNR3 have been observed.
- **CNR4** has intragenic breakpoints and includes the entire genomic sequence for the *HSPA7* and *FCGR3B* genes. Its start includes exon 4 of *FCGR2C*, and its end contains the proximal part of *FCGR2B* including exon 3. Only deletions of CNR4 have been reported.
- **CNR5**, a novel CNR was reported in 2021 in some individuals indigenous to the Ecuadorian highlands 154. The authors showed that the CNR deletion spanned from the third intron of *FCGR3A* to the third intron of *FCGR3B*, resulting in the creation of a *FCGR3B*/A chimeric gene which was associated with FcγRIIIa and FcγRIIIb deficiency.

Considering that *FCGR* CNV is facilitated by recurrent NAHR between the highly homologous repeats of the segmental duplication at a high mutation rate  $^{21}$ , in addition to the development of increasingly sophisticated technologies which exhibit enhanced fidelity, it is anticipated that more CNRs will be discovered as the *FCGR* locus is explored within larger and more diverse cohorts.

## *Functional consequences*

The CNRs at the *FCGR* locus can have significant functional consequences for FcγR expression, and thus the response to IgG, through two main mechanisms, i) changes to gene dosage and ii) the introduction of chimeric genomic sequences.

Gain of CNRs that result in increased *FCGR3B* gene copies lead to an augmentation of *FCGR3B* mRNA levels <sup>82</sup>, and protein expression at the cell surface <sup>104,144,147,148</sup>. Increased expression of FcγRIIIb has been shown to enhance the ability of neutrophils to bind and uptake immune complexes 155. Recke and co-workers also reported elevated ROS production in donors with *FCGR3B* CNV 156. Loss of the same CNRs, such as CNR1 or CNR4, have been shown to reduce FcyRIIIb expression and function on neutrophils <sup>122,155</sup>, associated with the impairment of IgGmediated opsonisation 123,157. Similarly, extra copies of *FCGR3A* (through duplication of CNR2 or CNR3) have been linked with increased NK cell surface expression of FcγRIIIa, however the association is not as clear compared to the *FCGR3B* effects 82,104. CNV of *FCGR2C* has also been linked to changes in expression, but only in individuals that harbor the *FCGR2C*-ORF SNP 82,85.

As previously mentioned, CNRs do not just result in expression changes due to differences in gene dosage but can also create chimeric gene products and cause the rearrangement of key cis-acting regulatory elements. For example, deletion of *FCGR3B* has been reported to generate a chimeric event which results in aberrant regulation of *FCGR2B* transcription. The deletion causes the *FCGR2C* regulatory sequence to juxtapose the coding sequence of *FCGR2B*, placing *FCGR2B* expression under the control of the 5' flanking sequence of *FCGR2C*  $112$ . This results in ectopic accumulation of FcyRIIb on NK cells that apparently impairs ADCC, potentially providing an explanation for SLE susceptibility 27,112.

Furthermore, deletion and duplication of CNR2 have both been shown to create chimeric gene products 138. The novel chimera generated by CNR2 deletion is comprised of the first seven exons of *FCGR2A* followed by exon 8 and the 3'-UTR of *FCGR2C* <sup>28</sup>. Interestingly, despite the distal part of *FCGR2C* being directly derived from *FCGR2A* during the segmental duplication event, the *FCGR2A*/2C chimeric gene product was functionally distinct from the wild type FcγRIIa. It is expressed at significantly lower levels on neutrophils and monocytes thus reducing the ability to induce a response to IgG  $^{28}$ . In contrast, CNR2 duplication can create the inverse *FCGR2C*/2A chimeric product that consists of the first seven exons of *FCGR2C* followed by exon 8 and the 3'-UTR of *FCGR2A*. This can result in increased FcγRIIc expression at the cell surface but only if the *FCGR2C*-ORF SNP coexists on the same haplotype. The difference in expression was attributed to the 3'-UTR of *FCGR2A* providing increased stability to the mRNA molecule compared to the 3'-UTR of *FCGR2C*.

The CNR4 deletion can result in an *FCGR2B* null variant, resulting in loss of the first three exons of *FCGR2B* to be replaced with exons 1-3 of *FCGR2C* – potentially introducing the *FCGR2C*-stop codon in exon 3. When this polymorphic stop codon from *FCGR2C* is used, it effectively silences the inhibitory FcγRIIb gene and causes expression of FcγRIIb to be reduced by half in heterozygous individuals 28.

### *Disease consequences*

The main rationale for the previous studies was to elucidate the structure of the *FCGR* locus under different copy-number states and link variants to cellular responses, pathology and disease implications. Deletions of *FCGR3B* have previously been associated with the susceptibility to numerous autoimmune diseases: glomerulonephritis 150, SLE  $21,112,122,139,155,158-160$ , ulcerative colitis  $161$ , rheumatoid arthritis (RA)  $153,162-165$ , ankylosing spondylitis  $166$ , systemic sclerosis  $167$ , primary Sjögren syndrome  $164,168$ , microscopic polyangiitis and Wegener's granulomatosis<sup>159</sup>. The more recently described CNR4 deletion is reported to be very rare, detected in only 0.1% (5/4357) of the study population and does not necessarily contribute to autoimmune disease as 3 of the 5 individuals with the variant were healthy adults. However the other two cases were patients with vasculitis and SLE so it was suggested

loss of CNR4 may predispose individuals to autoimmunity <sup>28</sup>. Similarly, knockout mice that lack FcyRIIb are viable but are prone to severe, early onset autoimmunity <sup>169,170</sup>, but only in certain genetic contexts that exhibit higher disease incidence  $171$ .

The data available on the association of *FCGR3A* CNV and autoimmune diseases has discrepancies, with loss of *FCGR3A* being associated with RA and SLE in Taiwanese cohorts 172 but not in individuals of European descent <sup>162</sup>. These contradictions could be the result of true differences between populations, small cohort sizes or limitations of the methodologies. A recent UK Biobank study, that investigated CNVs which modify protein products, showed a significant association between *FCGR3B* copy number and increased basophil count <sup>173</sup>. A reduction in *FCGR3B* gene dosage was linked with a higher risk of developing chronic obstructive pulmonary disease (COPD). However, it was also noted that whilst the haplotypeinformed analysis could differentiate between the *FCGR3A* and *FCGR3B* paralogs, other functional *FCGR* SNPs/CNRs could be the causal variants for other associations (eg. lymphocyte, monocyte and eosinophil counts) at the locus.

## **Linkage disequilibrium and population variation**

Additional considerations for the interpretation of genetic variants at the low-affinity *FCGR* locus are i) the significant variation across different ethnic backgrounds, and ii) the pronounced extent to which LD is present. Due to the variants being in such close physical proximity to each other, they are prone to co-inheritance and thus certain combinations coexist on the same allele more frequently than would be expected by chance.

In 2019, Nagelkerke et al. published a comprehensive overview of *FCGR* variant frequency and LD patterns, based on data from over 1,800 healthy individuals from African, Chinese, and European populations <sup>82</sup>. Significant population differences were found in the frequencies of all genotyped SNPs and CNRs, except for the *FCGR3A*-158V variant which was largely similar across groups. Within the European and African populations, further analysis revealed subtle distinctions among Europeans and notable differences between individuals of Antillean, Ethiopian, South African, Surinamese, and West African descent.

A key finding across all populations was the difference in *FCGR2C* haplotype prevalence. For instance, the non-classical *FCGR2C*-ORF haplotype was more common in African populations than in Europeans, while the classical *FCGR2C*-ORF variants were present in 11% of European alleles but were uncommon in those of African descent and almost entirely absent in the Chinese population. When examining the LD landscape in a CNR-neutral context, the classical *FCGR2C*-ORF variants were shown to be in LD with numerous other *FCGR* SNPs. In Europeans, they were almost completely in LD with the *FCGR2C* 2B.2 promoter haplotype, in strong LD with the *FCGR2A*-27W and *FCGR2B* 2B.4 variants, and in weak LD with the higher affinity *FCGR3A*-158V SNP. Similar, albeit weaker, LD relationships were also identified in the African and Chinese cohorts carrying the classical *FCGR2C*-ORF haplotype.

These findings <sup>82</sup> were largely in agreement with previously reported *FCGR* variant frequencies and LD patterns <sup>21,105,113,138,174,175</sup>, yet expanded the range of variants and ancestry-specific effects considered. For example, the LD detected between the high-affinity *FCGR2A*-131H and *FCGR3A*-158V alleles were consistent with previous conclusions made in African and European cohorts 138,174, yet were actually inverted in the Chinese population with LD between the higher affinity *FCGR2A*-131H and the lower affinity *FCGR3A*-158F alleles.

LD was also explored between the SNPs and CNV, revealing that CNR1 was in strong LD with the nonclassical *FCGR2C*-ORF haplotype in both European and African populations, and with the *FCGR3B*-SH haplotype in Europeans. *FCGR2A*-H131R, *FCGR3A*-V158F and *FCGR2B*-I232T also displayed association with CNR1 CN changes. LD was only observed for CNR2 between *FCGR2B*-I232T in Europeans, and no statistically significant LD was found for CNR3.

Whilst this research provided insight into LD and population variation, the field still lacks an unbiased understanding of the low-affinity *FCGR* locus as only previously studied and confirmed as functionally relevant variants were genotyped. The MLPA assay, which is widely used and regarded as the most effective high-throughput method for determining *FCGR* variants 176, only examines eight of the previously detailed SNP/haplotypes (along with CNR1- 4) which, although important as associated with autoimmune/infectious diseases, does not offer a complete picture. For instance, the *FCGR3A*-48L/R/H polymorphic site described above was not assessed but is a good example of why detailed knowledge of all *FCGR* LD is critical for accurate interpretation. The triallelic *FCGR3A* variant was first recognised in 1996 where it was observed that *FCGR3A*-48R and *FCGR3A*-48H variants had higher binding capacity for hIgG compared to the more prevalent wildtype FCGR3A-48L allele <sup>177</sup>. However, this difference in hIgG binding was quickly ascribed solely to *FCGR3A*-158F/V alleles as a consequence of LD<sup>178</sup>. In 1997 the same authors demonstrated a distinct linkage between the lower affinity *FCGR3A*-158F and *FCGR3A*-48L wildtype alleles, and that NK cells with the *FCGR3A*-158V variant bound significantly more IgG irrespective of the *FCGR3A*-48L/H/R genotype 178. However, this claim was challenged when revisited in 2014 and FcγRIIIa expression was appropriately controlled for 91. It was shown that although *FCGR3A*-158V is strongly linked with both *FCGR3A*-48H and R variants, the *FCGR3A*-48L/H/R genotype can independently influence IgG affinity. Specifically, the 158V/48R haplotype exhibited the highest IgG binding affinity, and both the 158V/48R and 158V/48H haplotypes displayed significantly greater binding capacity compared to the 158V/48L combination. Given that both the biallelic *FCGR3A*-158F/V and the triallelic *FCGR3A*-48L/R/H polymorphic positions can independently influence FcγRIIIa hIgG binding, alongside alterations in expression levels resulting from CNR linkage and the remaining *FCGR3A*-IH variants, it is imperative that appropriate genetic controls and stratified groups are implemented to incorporate all known factors.

## **Influence of FCGR genetics on COVID-19**

In addition to the multiple associations that the *FCGR* genes have with autoimmune diseases, they also have important roles in regulating responses to infection. Most recently this has been demonstrated in their influence on SARS-CoV-2 control and disease outcome <sup>179-181</sup>, whereby failure to coordinate efficient binding to SARS-CoV-2-specific Abs and subsequent effector functions has been correlated with increased mortality  $^{182}$ . Considering the growing concerns about SARS-CoV-2 variants impacting vaccine efficacy by evading antibody neutralisation, there is an expanding interest in maintaining and augmenting protection mediated by FcyR effector functions 183-186. Whilst it has been reported that FcyR effector activities, such as viral uptake into phagocytic cells and increased inflammation, may also heighten the pathophysiology of the virus through antibody-dependent enhancement of infection (ADE) 187-189, there is currently no evidence from preclinical or clinical studies that SARS-CoV-2 vaccines elevate the risk of ADE 190-192. Furthermore, a single-cell RNA-seq analysis of immune cells identified a distinct absence of global induction of the interferon-stimulated genes (ISGs) program in severe COVID-19 patients, in contrast to its presence in mild cases, which was attributed to FcyRIIb-mediated antagonism of interferon receptor signaling <sup>193</sup>. This altered myeloid transcriptional state, which serves as a COVID-19 severity hallmark <sup>194</sup>, implies the clinical blockade of FcyRIIb with antibodies may be a potential means to reverse the inhibition of ISGs and diminish severe responses  $193$ .

Amongst factors such as antibody isotype, glycosylation and vaccine regimen, *FCGR* polymorphisms have also been reported to influence host immunological response to SARS-CoV-2. For example, the homozygous *FCGR3A*-158V/V genotype was shown to be overrepresented in COVID-19 patients that were hospitalised or subsequently died, whereby NK cells expressing the higher affinity (*FCGR3A*-158V/V or V/F) demonstrated significantly higher proinflammatory ADCC responses that may have contributed to immunopathogenesis 195. However another study by López-Martínez et al evaluated the impact of both *FCGR2A*-131H/R and *FCGR3A*-158F/V SNPs on COVID-19 severity, only found the *FCGR2A*-131R allele to be significantly associated with increased risk of mortality <sup>196</sup>. This polymorphism, encoding the FcγRIIa isotype with lower IgG affinity, had also previously been associated with more severe SARS-CoV-1 infection <sup>197</sup>.

## **Impact of FCGR genotypes on immunotherapy**

It has been proposed that *FCGR* genotyping can be leveraged for precision medicine in predicting response to mAb immunotherapy. The two *FCGR* variants that have gained the most attention in this regard are the *FCGR2A*-131H/R and *FCGR3A*-158F/V SNPs which alter FcγR hIgG affinity as detailed above. In the autoimmune disease setting, the *FCGR3A*-158V variant has been associated with improved rituximab response in ITP  $^{198}$ . SLE  $^{199,200}$  and RA  $^{201\text{-}205}$ . Similarly, the *FCGR2A*-131R variant was associated with favourable responses to adalimumab in RA <sup>206,207</sup> and with better outcomes for liver transplantation patients treated with rituximab 208.

Similar associations with efficacy were previously made in the treatment of malignancies. However, as the influence of *FCGR* variants on mAb efficacy has been more extensively investigated, the literature has become less clear and in places directly conflicting. In part this discordance may reflect both the nature of the studies undertaken (size, real-world versus clinical trial etc.), as well as the burgeoning number and type of mAb immunotherapy agents and greater diversity in their mechanisms of action.

With respect to direct targeting or cytotoxic antibodies, it is well established that the FcyRs are key determinants of success; whereby FcγR expression profile (A/I ratio), mAb isotype and FcγR interaction influences therapeutic efficacy  $3,209,210$ . Here, the primary effector mechanisms are thought to be ADCC and antibody-dependent cellular phagocytosis (ADCP).

Initial studies supported that the alleles encoding the high-affinity alleles *FCGR2A*-131H and *FCGR3A*-158V significantly augment cancer patient responses and outcomes to mAb-based regimens. Firstly, in lymphomas treated with rituximab, both genotypes have independently been associated with higher response rates and longer progression free survival (PFS) in follicular lymphoma (FL) (Weng and Levy 2003) and the *FCGR3A*-158V variant has been associated with favourable clinical response rates in FL<sup>211,212</sup>, mantle cell lymphoma (MCL)<sup>213</sup>, diffuse large B cell lymphoma (DLBCL)<sup>214,215</sup> and non-Hodgkin lymphoma (NHL) in general <sup>216</sup>. These latter findings supported the greater ADCC activity seen for NK cells with the *FCGR3A*-158V variant, with the mechanism for the *FCGR2A*-131H being less apparent (for hIgG1 therapeutics for which the affinity is relatively similar to *FCGR2A*-131R).

Amongst solid tumors, these findings were extended to trastuzumab in breast  $217-220$  and gastric  $221$  cancers, farletuzumab for ovarian cancer  $222$ , as well as cetuximab for colorectal cancer 223-229 and head and neck squamous cell carcinoma (HNSCC) 230. Of interest, in renal cell carcinoma patients the high affinity genotypes (plus the *FCGR2C*-57Q variant) were associated with significantly increased tumor shrinkage and overall survival in response to a non-antibody immune therapeutic, high-dose aldesleukin (HD-IL2) <sup>231</sup>.

However, several other studies have cast doubt on the prognostic significance of these variants, finding no association between high-affinity genotypes and clinical outcomes in colorectal cancer 223,225,232,233, FL 234-236, DLBCL 237-239 and CLL 240,241. Moreover beyond the relatively small-scale, retrospective studies, the lack of association with clinical impact was also reproduced in studies of clinical trials with much larger cohorts for FL <sup>242-244</sup>, DLBCL <sup>244,245</sup> and renal cell carcinoma<sup>246</sup>.

To add to the complexity, there are also a limited number of studies concluding the loweraffinity genotypes are associated with better responses to mAb immunotherapy, including within colorectal cancer <sup>232,247-249</sup>, DLBCL <sup>245</sup>, multiple myeloma <sup>250</sup> and breast cancer <sup>251</sup>.

Due to these highly variable findings, the utility of *FCGR* polymorphisms as predictive biomarkers remains inconclusive. The discordance between studies may be attributed to numerous factors, involving differences in disease subset, clonal evolution, treatment regimen, study design, genotyping technique and sample sizes. Furthermore, a substantial limitation of the aforementioned studies is the majority typically only genotype the two SNPs *FCGR2A*-131H/R and *FCGR3A*-158F/V – completely overlooking CNV and other *FCGR* SNPs (which they may be in LD with) that also have the potential for impacting treatment response as detailed above.

Beyond direct targeting mAbs, immunomodulatory mAb therapies-such as agonist antibodies and checkpoint inhibitors - are also impacted by FcγR engagement  $252,253$ . In pre-clinical mouse models, therapeutic efficacy of mAbs directed to immunomodulatory receptors such as GITR, OX40, CD25, CTLA4 in part are reported to stem from their ability to eliminate intratumoral T regulatory cells (Tregs), thereby unleashing antitumor immunity driven by CD8 T cells 254-259. In advanced melanoma patients, the *FCGR3A*-158V variant has been linked to improved responses to the anti-CTLA4 mAb ipilimumab 260. The *FCGR3A*-158V SNP was found to be associated with significantly improved overall survival in one dataset <sup>261</sup>, while a meta-analysis also reported an association with higher response rates <sup>260</sup>, although it should be noted both studies are small and require further validation.

## **Role of FCGR2B in cancer and associations with treatment resistance**

Although mAb immunotherapy has revolutionised cancer treatment <sup>210</sup>, patients often experience intrinsic or acquired resistance, thus relapse is still a significant obstacle. The mechanisms of action and hence resistance varies with different types of therapeutic antibody and are incompletely resolved, but deeper understanding is central to improved clinical outcomes 252. B cell malignancies are routinely treated with anti-CD20 mAbs such as rituximab, which engage FcγR-mediated effector functions to elicit their therapeutic effects <sup>262</sup>. One mechanism of resistance to rituximab involves high *FCGR2B* expression <sup>263-265</sup>. Being the predominant IgG receptor expressed on normal and malignant B-cells, FcγRIIb facilitates internalisation of CD20:rituximab:FcγRIIb complexes through a cis configuration resulting in loss of antibody from the cell surface, heightened mAb consumption and abrogation of all FcγR-mediated effector functions 266,267. Retrospective analyses found that MCL patients treated with rituximab immunochemotherapy had shorter PFS when their tumor biopsies were FcγRIIb-positive 263, with high FcγRIIb expression on FL also associated with lower response rates with rituximab monotherapy 264.

Further support for the negative association of FcγRIIb and treatment success arises from genetic studies. Investigations into genes impacted by 1q21-23 rearrangements, a common aberration in hematological malignancies associated with poor prognosis <sup>268,269</sup>, identified *FCGR2B* as a gene targeted by the rearrangement leading to deregulated expression <sup>270,271</sup>. Despite involving different chromosomal partners, the translocations examined in the separate studies resulted in overexpression of the FcγRIIb2 isoform, relative to the expected FcγRIIb1 isoform, on the tumor B-cells. The overexpression of FcγRIIb2, the isoform that more readily undergoes internalization, potentially compromises the regulatory function of FcγRIIb, leading to enhanced evasion of anti-tumor mechanisms and may represent a secondary genetic event that provides additional growth advantage  $^{271}$ . FcγRIIb2 was also identified as enriched relative to FcγRIIb1 in CLL cells <sup>263</sup>, although signaling through the intracellular tail was shown to be redundant for the rituximab internalisation process <sup>272</sup>.

A recent study also identified *FCGR2B* as a potential novel oncogene in a germinal centre Bcell (GCB) subgroup of DLBCL patients <sup>273</sup>. Somatic focal amplifications were identified that deregulated expression, resulting in significantly elevated *FCGR2B* levels that were associated with inferior response to rituximab containing immunochemotherapy  $273$ . This study also observed increased frequencies of somatic SNVs and indels within *FCGR2B* introns, and suggested these alterations may result in a truncated protein by facilitating intron retention  $273$ . Given the numerous preclinical studies  $263,266,267,274$  and smaller clinical trials  $263,264$ indicating high *FCGR2B* expression on tumor cells adversely affects rituximab-based regimens, a series of large clinical cohorts were examined to investigate whether elevated *FCGR2B* levels in DLBCL imparts resistance to anti-CD20 mAbs <sup>265</sup>. Results demonstrated that high expression of *FCGR2B* serves as a robust, independent prognostic biomarker that is associated with shorter PFS in patients treated with rituximab immunochemotherapy, but not with obinutuzumab immunochemotherapy 265. Obinutuzumab does not internalize rapidly as it is a Type II anti-CD20 mAb and is less impacted by FcγRIIb, supporting the earlier pre-clinical observations263,267. It was proposed, pending additional validation, that *FCGR2B* expression could be utilised to identify patients at an early stage who would likely derive the greatest benefit from obinutuzumab based treatment. Taken together, enhanced internalisation capability —whether through the overexpression of the FcγRIIb2 isoform, or the ability of type I mAbs like rituximab to cluster and reorganize CD20 into lipid rafts —can diminish the availability of the mAb for its therapeutic action, potentially leading to poorer clinical outcomes. Of relevance, the absence of FcγRIIb-mediated internalization of the type II mAb obinutuzumab was shown to enhance the phagocytosis of CLL target cells <sup>275</sup>.

It has also been shown that non-hematopoietic tumors can ectopically express FcγRIIb, with one group demonstrating 40% of metastatic melanoma samples expressed the 'B-cell' FcyRIIb1 isoform <sup>276-278</sup>. This suggests that ectopic expression of FcyRIIb may represent a progression factor that behaves as a decoy receptor to enable escape from FcγR-dependent effector mechanisms in the presence of anti-tumor mAbs 279,280. Utilising murine *in vitro* and *in vivo* models, FcγRIIb1 was shown to enhance tumor formation and progression when ectopically expressed on non-lymphoid cells <sup>281</sup>. Additionally, it has been shown that FcγRIIb expression is significantly increased on monocytes under high density  $282$ , and on both monocytes and macrophages during hypoxia 283. Hypoxic conditions frequently occur in specific tissue niches, like bone marrow  $^{284}$ ), and in poorly vascularized tumor microenvironments typical of advanced cancers. Hypoxia results in FcγRIIb upregulation in these areas, potentially limiting the effectiveness of direct targeting antibodies such as rituximab 283. Upregulation of *FCGR2B* has also been observed in macrophages that reside in the adipose tissue of breast cancer <sup>285</sup>, as well as in macrophages and monocytes of colorectal-, non-small-cell lung- and renal cell carcinomas with single-cell RNA-sequencing 47.

Preclinical studies have demonstrated that high FcyRIIb expression within the tumor microenvironment also hinders the depletion of intra-tumoral Tregs by anti-CD25 mAb, thereby reducing its effectiveness against established tumors  $^{286}$ . However, the therapeutic efficacy of anti-CD25 mAbs can be restored by augmenting their binding to the activating FcγRs, through isotype switching to isotypes with higher A:I ratios, such as mIgG2a. Accordingly, anti-CD25 mIgG2a overcame the high FcγRIIb expression in the TME to effectively deplete tumor-infiltrating Tregs and enhance the control of established tumors <sup>286</sup>.

*FCGR2B* was also observed to be upregulated on a subset of highly differentiated, tumorinfiltrating effector CD8+ T cells in both a melanoma mouse model and patients with metastatic melanoma <sup>287</sup>. This upregulation was associated with an activated yet potentially exhausted CD8+ T cell phenotype and played a suppressive role in antitumor immunity. It was subsequently shown that *FCGR2B* mediated suppression of these CD8+ T cells, limiting the efficacy of mAb to both checkpoint inhibitors PD-1 and CTLA-4<sup>288</sup>.

## **Regulation of the low affinity** *FCGR* **locus**

Understanding how the genetic sequence, including variants, influences the regulation of *FCGR* expression has proven to be highly challenging, with limited research uncovering the underlying mechanisms.

Studies have demonstrated that genetic variants in the promoter and intronic regions of *FCGR2B* modulate TF binding and expression levels. A genetically determined down-regulation of FcγRIIB1 in GCBs was linked to increased hyper-IgG and IgG autoantibodies <sup>289</sup>. Two substitutions and two deletion sites were identified within the promoter region that were thought to result in lower FcγRIIB1 expression and subsequently provide a mechanism by which B cells escape negative regulatory signals <sup>289</sup>. Polymorphisms within the third intron were also identified which correlated with increased IgG antibody responses and are shared among autoimmune-prone mouse strains  $290,291$ . Two unmethylated regions in the promoter and third intron have been characterised for their activities in the control of mFcγRIIb gene transcription in a cell-type specific manner  $292$ . These polymorphisms were reported to be involved in impairing the binding of the TF Activator protein 1 (AP-1) and subsequently leading to enhanced germinal center formation and excessive autoantibody production <sup>291,293</sup>, and it was suggested similar alterations in *FCGR2B* regulatory regions could have implications in human autoimmune susceptibility.

The aforementioned upregulation of *FCGR2B* under hypoxic conditions 294,295 which subsequently diminishes the FcγR A:I ratio and impairs phagocytosis of mAb-opsonized targets was shown to be transcriptionally driven <sup>283</sup>. TFs AP-1 and members of the hypoxia-inducible factor (HIF) family, HIF-1α and HIF-2α, were identified as critical upstream regulators of this increase in expression. Early research showed AP-1 to bind a non-canonical motif in the *FCGR2B* promoter region in cell lines <sup>296</sup> which was supported by a more recent study that utilised primary material to confirm binding at a non-canonical AP-1 motif 339bp upstream of *FCGR2B* TSS 283. Furthermore, nine hypoxia response elements (HREs) were identified within 15kb upstream of *FCGR2B* TSS, with the nearest canonical HRE motif at position -3916 upstream of TSS 283. During investigations of how to recalibrate the immunosuppressive FcyR signature of tumor-associated macrophages, it was shown how activation of stimulator of interferon genes (STING) results in an increase of activating receptors FcyRIIa/FcyRIII whilst simultaneously decreasing expression of FcyRIIb<sup>297</sup>. An equivalent increase in the A/I ratio in mice (increased FcyRIII/IV and decreased FcyRII) was also linked to a significant enhancement in mAb-mediated depletion of malignant targets in the face of tumor-mediated FcyR A/I suppression <sup>297</sup>.

Of the three polymorphic positions that make up the *FCGR3A*-IH — which is overrepresented in individuals with elevated FcyRIIIa expression on NK cells and monocytes  $92-$  the 5'-UTR -1405G>A SNP is reported to be situated within a ~200bp putative silencer region. It has been speculated that the SNP promotes increased expression by disrupting repressor binding to the silencer element  $92$ . Whilst further validation is required, luciferase reporter assays demonstrated deletion of this region resulted in more than a two fold increase in activity <sup>298</sup>.

One study has highlighted the potential role of FcγRIIa epigenetic regulation in KD; five key CpG sites within the *FCGR2A* promoter were associated with increased risk of disease susceptibility and pathogenesis, with significant hypomethylation observed within the KD cohort compared to the control group  $299$ . Furthermore, all five sites exhibited lower methylation levels in patients resistant to the intravenous immunoglobulin (IVIg) treatment relative to those who were responsive to IVIg <sup>299</sup>. The KD cohort also demonstrated markedly elevated levels of *FCGR2A* mRNA, and reporter gene assays revealed that the five CpG sites were sufficient to regulate expression 299. A follow-up study found *FCGR2A* expression levels were also significantly higher in IVIg-resistant KD patients, and those with coronary artery lesions (CALs) compared to those without CALs 300.

## **Limitations in** *FCGR* **research and benefits of long-range genomics**

## **Current limitations**

Investigation of the *FCGRs* has been hindered by the high intra-region homology (**Fig. 2b-d**) and resulting technical difficulties leading to ambiguity and inconsistency of results. Genetic association studies involving *FCGR* variants are often unreliable due to technical variation, inconsistent nomenclature, high LD, and genetic complexity from segmental duplication 301,302.

As traditional methods for studying the *FCGRs*, including MLPA, Taqman genotyping, Haloplex and whole exome/genome sequencing (WES/WGS), produce short units of data and heavily depend on the current human genome assembly, their capacity to characterise this highly homologous region is significantly restricted and can result in ambiguous data <sup>18</sup>. Within the coding region of *FCGR2B* there have been further non-synonymous variants detected, but none have had their biological relevance, functional validation or involvement in disease pathogenesis ascertained as yet  $6$ . For instance, a stop codon within exon 3 (rs10917661) has been associated with SLE and ankylosing spondylitis  $137,303$  but due to the sequence homology, primers cannot distinguish between *FCGR2C* and *FCGR2B*. Therefore, it remains to be determined whether studies are reporting on a stop codon in *FCGR2B* or the already established Q57X variant within *FCGR2C*. Discrepancies in reports of the consequences of *FCGR2B* promoter haplotypes further illustrate these challenges 145. Due to genotyping of the low-affinity *FCGR* locus being complex, it was noted that commonly used databases (such as NCBI BLAST and Ensembl) are not always accurate in the distinguishing between *FCGR* SNPs and genuine PSVs 302.

Caution has also been recommended regarding ascertaining the true abundance of *FCGR* structural variants (SVs) when using the commonly used targeted techniques. For example, the frequency of *FCGR3B* CNV has previously been reported to range from 5-40% when using SYBR Green qPCR but with MLPA/PRT assays it has been defined as lower and much more consistent at 5-8% 138,150,152,155,159,210,304. A meta-analysis also reported that data across numerous studies which describe *FCGR3B* CNV were confounded by the type of experimental methodology that was utilised to assess copy number <sup>158</sup>. However, one recent study compared qPCR, digital PCR (dPCR), and droplet digital PCR (ddPCR) for determining *FCGR3B* CNV and found complete concordance among the three platforms, including in rare cases with zero and four copies  $305$ . While dPCR generally offers higher sensitivity and precision  $306$ , this study concluded all three methods can quantify *FCGR3B* CN and claimed qPCR is still a viable option <sup>305</sup>. Further highlighting the difficulties of accurately characterising variation at the *FCGR* locus, an optimisation and then evaluation of genomic analysis demonstrated that even MLPA and PRT assays produce discordant results when performed on the exact same cases with nearly 9% conflicting 176.

These discrepancies then have serious consequences when interpreting findings in downstream analyses; for instance, qPCR has linked both low and high *FCGR3B* copy numbers with the incidence of SLE <sup>164</sup>, while a triple PRT assay identified only the loss of *FCGR3B* as a contributing factor 139. Furthermore, with qPCR only, European SLE cohorts were documented to have a higher frequency of low *FCGR3B* copy-number patients and no association was shown in different Chinese cohorts  $155,307$  and yet the PRT assay found a strong association with SLE in individuals from Hong Kong <sup>139</sup>. Similarly, low *FCGR3B* has previously been associated with vasculitis <sup>159</sup> but this has also been shown not to be the case when different groups used the same  $155$  or different methodology  $138$ . A recent study described a method that takes advantage of the high-throughput data generated from whole-genome aCGH (Rahbari et al. 2017). However, it was only able to distinguish heterozygous deletions that involved FCGR3A or FCGR3B with reasonable accuracy and thus could not differentiate between CNR2 and CNR3, or CNR1 and CNR4. An effort has also been made to use intensity values from an Immunochip platform assessing 18,039 individuals to define CNV. This study concluded it could identify 0, 1 and 2 copies (Franke et al. 2016) but suspicion has been expressed on its reliability due to i) not validating the findings with the 'gold-standard' MLPA/PRT techniques (Haridan et al. 2015) and ii) not being able to demonstrate a relationship between *FCGR3B* CNV and FcγRIIIb expression (Nagelkerke et al. 2019a).

One significant gap in knowledge within *FCGR* genomics is determining precise CNR breakpoints. Sequence homology confounds CNV analysis, making it notoriously difficult to ascertain whether the event is taking place on the proximal or distal repeat unit; impeding the accurate characterisation of molecular events and their functional consequences <sup>112</sup>. Many of the aforementioned studies utilise PSVs to differentiate the first paralogous block from the second – regardless of whether this is for assays involving qPCR, PRT or MLPA. Relying on these PSVs means these technologies are limited due to their scarcity and ambiguity – i.e. PSVs are infrequent and can often be polymorphic – leading to uncertainty in the origin of their products and thus impeding the precise localisation of CNR boundaries <sup>21,112</sup>.

*FCGR* analyses using high-throughput short-read sequencing is also significantly restricted as it faces issues with multimapping. This issue is demonstrated in **Fig. 3** (light orange track) by the non-uniform coverage of alignments for 148bp paired-end genomic sequencing. The fact the four CNRs were only described in detail relatively recently is not too surprising considering that the novel chimeric events are undetectable with high throughput short-read sequencing methods 28. The *FCGRs* high sequence identity prevents confident alignment thus WES is inadequate for detecting CNRs. It has also been speculated that unexplained cases of early onset severe autoimmunity may result from homozygous deletion of CNR4 because the *FCGR2B*-stop variant would not be detected by NGS techniques as all *FCGR2B*-stop reads would be mapped to the *FCGR2C* gene instead 28.

In order to fully understand the clinico-biological importance of the *FCGRs* and their variants in both normal and disease settings, it is crucial to have a high-quality reference genome for any alignment-based analyses. However, this is not the case for the *FCGR* locus and in the first ever human genome reference there was simply an empty gap where the *FCGR* genes reside <sup>308</sup>. Moreover, the hg16 reference genome released in 2003 depicted only four of the lowaffinity *FCGR* genes in a region of just 90kb, representing only one of the paralogous blocks. Since then, despite all the improvements to sequencing technologies, assembly algorithms and updates to create the current GRCh38 reference genome, there are still deficiencies and uncertainties in accurate genome builds for the *FCGR* region 18. For example, the *FCGR2C*-Q57X variant was initially annotated to be in the *FCGR2B* gene instead of *FCGR2C* in GRCh38. Following this the bacterial artificial chromosomes (BACs) used to build the initial human reference have been sequenced with newer technologies and it has been determined that the paralogous region upstream of *FCGR2C* had been misassembled and swapped with the homologous region on *FCGR2B* 273.

Some of the greatest technical challenges with short-read sequencing technologies are caused by repetitive DNA: sequences that are identical or similar to other regions in the genome, including segmental duplications  $309,310$ . Accordingly, it has been demonstrated that more than 70% of structural variation in the human genome could be undetectable with reads less than 300bp in length 311. Consequently in highly homologous regions like the *FCGR* region where the two paralogous blocks have been reported to share >95% homology  $^{22}$ , issues are encountered when trying to accurately align or assemble the reads. The repetitive nature creates technical challenges for the alignment and assembly software, leading to difficulties in conclusively resolving which genomic location a read originated from and introduces ambiguities from a computational perspective in the form of biases and errors when interpreting the results. As demonstrated in **Fig. 3** (black and orange tracks), short reads often have uneven coverage; regions where reads do not align, or do not map uniquely or with high quality. This causes issues with downstream analysis, especially when trying to study regulation with techniques that utilize very short reads, such as the 25-75bp read length that is common in ChIP or ATAC seq studies. However, the rise of third-generation sequencing technologies that produce long reads may offer solutions to these problems, potentially advancing our understanding of the genomic structure and regulation of the *FCGRs.*

## **The potential benefits of increased sequence read length**

For more than a decade, high throughput short-read sequencing has dominated genomics research with wide ranging applications, enriching our understanding of evolution and disease through the study of SNPs, CNV and indels  $312-314$ . Its high throughput has also facilitated the study of a multitude of other biological phenomena, including gene expression, chromatin accessibility and TF binding. Yet regardless of the ability to produce highly accurate (>99.9%) sequencing reads, the sequence-by-synthesis process results in a limited read length of only 50-300bp <sup>315</sup>, leading to the issues described above. Therefore, alternative, complementary methods, mainly involving long-read approaches such as nanopore- and single-molecule realtime (SMRT)- sequencing based technologies, have been developed.

Nanopore sequencing generates reads that typically range from one to >100 kilobases (kb) 316- $318$  and excels in producing ultra-long reads, achieving up to 4.1 megabases (mb)  $319$ . SMRT sequencing approaches can generate two different types of long-read data that differs in both read length (5-60 kb) and accuracy (ranging from 87% to >99%) <sup>320</sup>. Optical genome mapping (OGM) is an additional long-range technology that can aid in detecting SVs. It generates 50- 100mb genomic maps by de novo assembly of fluorescently labelled DNA molecules. Comprehensive SV analysis can be performed by inputting these molecules into haplotypeaware assemblers and comparing the final consensus maps to the reference genome. However, unlike sequencing platforms, optical mapping cannot provide bp resolution and is limited to detecting SVs of at least 500 bp in length 321.

Human genetics research has already benefited from these advances in long-read sequencing, improving genome assembly, variant discovery, disease association and population genomics  $322-325$ . It has provided tools with the capacity to resolve some of the most complex, challenging and dynamic regions of the human genome thus dramatically improving the understanding of human heritability, diversity, mutational mechanisms and the genetic basis of disease.

In addition to the significant improvement to individual read lengths, long-read sequencing can also result in a more even distribution of coverage across the genome as it is not as sensitive to imbalanced sequence composition - unlike short-read platforms which often leave regions of high GC content with low or no read depth <sup>326</sup>. A systematic analysis showed that over 15mb of the human genome (from >35,000 different regions) are left in the 'dark' when only using short-read sequencing, hence preventing researchers from detecting diseaserelevant polymorphisms <sup>327</sup>. These dark regions, where short-read data cannot be adequately aligned or assembled, can either be 'dark by depth' with no or few mappable reads or 'dark by mapping quality'. Sequences that are inherently challenging to sequence at the molecular level, like those with high GC content, give rise to dark by depth regions. In contrast, dark by mapping quality regions arise due to bioinformatic difficulties and ambiguities. This is particularly true of duplicated regions where mapping a short-read to a unique position is impossible due to insufficient confidence, thereby creating 'camouflaged' regions. Consequently, long-read technologies have begun to resolve the sequence of difficult-toanalyse areas. A capture panel targeting medically-relevant dark genomic regions for SMRT sequencing has been generated <sup>328</sup>. Whilst it encompasses nearly 400 complete genes, only *FCGR1A*, *FCGR2B*, and *FCGR3A* are included with respect to the *FCGR* genes.

Now that read lengths are of a sufficient size to traverse the most complex and repetitive genomic structures, long-read sequencing has also improved the de novo assembly of genomes, achieving greater contiguity compared to short-read and Sanger-based methods <sup>320</sup>. Moreover, combining complementary datasets generated with orthogonal technologies provides superior genome assembly. For example, the telomere-to-telomere (T2T) consortium utilised different long- and short-read technologies in their effort to construct the very first, truly complete assembly of a human genome. The T2T project has so far

released the complete X chromosome  $317$ , closed the gaps of the first autosome chromosome 8 $329$  and presented the entire 3.06 billion bp sequence of all human chromosomes (except Y); resolving complex regions, improving variant calling accuracy and introducing nearly 200 million more bp of sequence to be explored <sup>330</sup>. Building on the success of the T2T project, the Human Pangenome Reference Consortium (HPRC) was launched with the objective to construct complete T2T reference genomes for every population, striving to promote equitable healthcare for every patient <sup>331</sup>. This initiative is striving to address the lack of global representation in the current human reference genome – which despite being the most widely utilised resource in human genetics, is comprised of collapsed haplotypes from primarily a single individual. By employing a wide range of platforms, the HPRC aims to create high-quality, contiguous diploid genomes that represent the entire scope of diverse human variation 332.

Long-read sequencing has also advanced the phasing of genomic sequences, revealing insights into both maternal and paternal haplotypes. This enhances accurate variant detection and is particularly valuable for resolving SV, as differences between haplotypes in short-read analyses can often result in collapsed or hybrid representations that do not reflect true haplotype architecture 333,334. Long-read technology enables the assembly of complete haplotypes and improves our understanding of the comprehensive spectrum of genomic variants <sup>335-337</sup>. For example, one study that implemented a multiplatform approach on the same individuals revealed that 46% of deletions and 78% of insertions went undetected by short-read WGS, despite employing 11 different variant-calling software tools <sup>311</sup>. Furthermore, despite impacting the greatest proportion of nucleotides within the genome than any other category of sequence variant, SVs have typically been understudied compared with SNVs  $314,338,339$ . It has previously been reported that SV detection approaches using shortreads perform poorly in some regions, demonstrating low sensitivity  $336,340$ , often misinterpreting complex SVs  $341$  and exhibiting high rates of false positives  $338,342,343$ . Notably, the homology and complexity of the *FCGR* region has confounded accurate SV annotation, with long read technologies now making important progress as discussed below.

A particular strength of nanopore sequencing is its ability to analyse all nucleotides, including modified DNA and RNA bases. This allows for the detection of epigenetic modifications, with reports thus far of base-callers trained to detect 4-methylcytosine, 5-methylcytosine, 5 hydroxymethylcytosine, N6-methyladenine and 8-oxoguanine 344-349. In addition to aiding in the study of allelic-specific epigenetic variation, it has been used to study the distinctive epigenomic landscape and differential methylation of structurally variant regions associated with cancer 350.

## **The application of long-read technologies to the FCGR locus**

Long-read sequencing now facilitates more accurate phased assemblies, enhanced mapping quality and reduced bioinformatic uncertainties for study of the *FCGR* locus 351. As discussed above, previous analysis of the *FCGR* locus was significantly limited by short-reads and alignment-dependent methods, particularly due to the issues with the existing human reference genomes when assembling segmental duplications such as the *FCGR* region with

short (<1000bp) reads. However, whilst long-read technologies are overcoming many of these issues aiding advanced variant detection and disease understanding, this has often only occurred subsequent to a high-quality assembly of the region of interest <sup>320</sup>.

To date, a comprehensive characterisation of the low-affinity *FCGR* locus that accurately depicts all variants has not been published. While four CNRs have been identified, short-read methods have not precisely resolved their boundaries or CNV-generating breakpoints. Moreover it is known large segmental duplications encourage additional unequal cross-overs between highly homologous sequences via further NAHR events <sup>352-354</sup>, thus novel CNV could exist that earlier short-read, unphased approaches could not detect. The same limitations, regarding previously unexplored variants and their impact, also apply to *FCGR* SNPs particularly those that are involved in expression regulation as non-synonymous SNPs within exons have historically been the primary focus. For example, a 2018 study into the full-length of *FCGR3A* with nanopore sequencing revealed it is more polymorphic than the literature had previously described with many novel variants residing in non-coding regions 355. As shown in **Fig. 3**, long-read technologies are now beginning to overcome many of these issues, increasing coverage, depth and resolution.

## **Summary and future directions**

In summary, the FcγRs are crucial regulators of a well-coordinated and effective immune response, influencing pathogen defence, susceptibility to autoimmunity, and the success of mAb therapies. Encoded by highly homologous genes shaped by evolutionary duplication events, the *FCGR*s exhibit significant genetic variation, including SNPs and SVs, which can impact their affinity, expression, function and clinical relevance. The extensive polymorphic nature of the *FCGR* loci coupled with the high sequence similarity presents challenges in analysis. While some associations between genetic variants and disease have been identified, further investigation is necessary to address existing difficulties and confounding LD. Advancements in long-read sequencing offer opportunities to overcome these challenges, providing a more comprehensive understanding of the genetic sequence, variants, regulation and role in disease.

Due to the limitations of previously used technology, some caution should be exercised when examining historical genetic studies. Careful consideration is needed as much of the research does not account for the full spectrum of genetic variants or have adequately stratified controlled groups, potentially leading to incomplete or misleading conclusions. To accurately identify causative variants at the *FCGR* locus, comprehensive genotyping of all functional genetic variants is important. The necessity for accurate variant identification has led to multiple revisions of the MLPA assay since its introduction, allowing for the determination of independent risk markers through multiple logistic regression analysis <sup>82,302</sup>. However, the challenges in genotyping this locus mean that some functionally relevant variants may still remain undetected and thus focus should not be limited to non-synonymous SNPs as all variants, including those in non-coding regions, need to be considered as they can play a

critical role in gene regulation, expression and splicing. It has also been emphasized that selecting population-matched control groups in genetic studies is vital for accurate association analyses <sup>82</sup> as incongruence between control and patient populations can confound findings and obscure the true genetic contributions to disease.

The strong LD in the region also complicates efforts to determine which genetic variants contribute to specific traits or diseases. To effectively deconvolute these effects, studies should leverage large, well-characterized cohorts. With larger sample sizes, it becomes possible to assess the influence of different variant combinations, helping to clarify their individual roles in disease risk or therapeutic outcomes. Given the strong LD, it could be more informative to report some of *FCGR* genetic variation as haplotypes rather than as individual variants, similar to the established approach used for the *FCGR3B*-HNA SNPs 82. Future research also requires large cohorts to gain a comprehensive understanding of *FCGR* variation, including accurately distinguishing between paralogous and allelic variation, and uncovering any additional CNRs that have gone undiscovered.

The current genome reference sequence (GRCh38) is limited by its reliance on a small number of individuals, with around 70% of the sequence derived from just one donor 356,357. This patchwork approach of collapsed haplotypes introduces biases and inaccuracies, particularly in regions that are difficult to assemble like the *FCGR* locus and may not reflect the diversity of the general population, consequently impacting the reliability of variant identification and association with disease 358-360. De novo assembly of the *FCGR*s across diverse populations promises to create more representative and accurate genomic references that will enhance the detection of novel variants and improve the precision of genetic studies by providing a more comprehensive view of population diversity and variation.

Expansive datasets, such as those provided by national and international Biobanks, are invaluable for unravelling the complexities of genetic contributions to phenotypes. These large-scale resources not only facilitate the identification of novel genetic variants but also allow for a robust evaluation of previous associations, either confirming or challenging earlier findings with greater precision. Hujoel et al.'s 2024 analysis of the UK Biobank's extensive dataset, which includes WES for 470,000 participants, utilized haplotype-informed CNV detection to link *FCGR3B* CNV with COPD susceptibility 173. This approach underscores the importance of comprehensive large-scale datasets in advancing our grasp of complex phenotypes and translating *FCGR* genetic findings into practical clinical applications.

In conclusion, to fully understand the genetic variation at the *FCGR* locus, future research must undertake exhaustive analyses of all relevant SNPs, CNRs, and their haplotypes. Such comprehensive studies are crucial for deciphering the complexities of disease associations and optimizing therapeutic strategies, especially in immunotherapy. Achieving these goals will depend on large, well-characterized cohorts and diverse control groups alongside technology capable of long-range analysis such as long-read sequencing. Progress in understanding FcγR genetics could readily advance personalised medicine and we hope to see the development of more effective treatments tailored to individual genetic profiles in the future.

#### **Figure Legends**

#### **Figure 1. Function, IgG affinity and expression of the six human Fcγ receptors (FcγRs).**

FcγRs bind to the Fc region of immunoglobulin G (IgG) and help coordinate the humoral immune response. FcγRI, FcγRIIa, FcγRIIc, and FcγRIIIa signal for cell activation through an immunoreceptor tyrosine-based activation motif (ITAM), located in the cytoplasmic tail of the receptor (FcγRIIa, FcγRIIc), or the non-covalently associated FcR gamma chain (FcγRI, FcγRIIIA). In NK cells, FcγRIIIA can also be associated with the CD3  $\zeta$ -chain  $361-363$ . The aggregation of activating FcγRs at the cell surface facilitates the phosphorylation of tyrosine residues in the ITAMs by Src family protein tyrosine kinases (PTKs), triggering a series of downstream signaling cascades leading to cellular activation 364. FcγRIIb is the sole inhibitory receptor and has an immunoreceptor tyrosine-based inhibition motif (ITIM). Phosphorylation of the ITIM tyrosine residues by Src family PTKs during aggregation of the inhibitory FcγRIIb mediates binding of several phosphatases that then proceed to dephosphorylate other proteins and lipids, terminating activating signaling, resulting in cellular inhibition  $6$ . This functions to limit responses through the activating FcγRs as well as other stimulatory receptors, like the B-cell receptor. FcγRIIb can also reduce activity by competing for activating FcγRs for binding to IgG <sup>7</sup>. FcγRIIIb lacks both transmembrane and cytoplasmic domains, with membrane attachment occurring through a glycosyl-phosphatidylinositol (GPI) anchor instead. <sup>#</sup>Whilst sometimes labelled a decoy receptor due to the lack of intracellular domains and direct signaling capacity, FcγRIIIb has demonstrated the capability for intracellular signaling transduction and cell activation through association with other cell-surface receptors, such as FcyRIIa 365-369 and integrins 370. Only FcγRI is considered high-affinity as it can engage with monomeric IgG, whereas the remaining FcγRs interact solely with multimeric IgG immune complexes (ICs) or opsonised targets and thus are regarded as low-affinity. Binding affinities for the different human IgG (hIgG) subclasses have been determined using surface plasmon resonance (SPR). Shown above are affinity constants ( $x10^5$  M<sup>-1</sup>) for K<sub>A</sub> with standard deviation <sup>14</sup>. Most effector leukocytes express a combination of activating and inhibitory FcγRs that dictate the induction of IgG-mediated immune responses through their opposing signaling capacities. The responsiveness of an effector cell to IgG is also influenced by differentiation state and inflammatory stimuli as these regulate FcγR expression. ♮There is uncertainty around the impact and functional capacity of FcγRIIc, with its previous designation as a pseudogene <sup>20</sup> and expression controlled by the presence or absence of a polymorphism dictating a stop codon. Expression of FcγRs have been measured by various methods in peripheral effector cells  $42,44$ , tissue-resident macrophages  $371$ , and dendritic cells  $5.$  '+' represents constitutive expression (+<++<+++<++++<++++++), '-' represents absence of expression, '+/-' represents inducible expression, and \* represents that expression is determined by FCGR2C genotype.

#### **Figure 2 - Evolution and homology of the low affinity** *FCGR* **locus.**

**A)** Evolution of the low-affinity *FCGR* locus in humans. A non-allelic homologous recombination (NAHR) event between *FCGR2A* and *FCGR2B* resulted in the duplication of *FCGR4*. The unequal crossover created the large segmental duplication that is known today and gave rise to the *FCGR3A* and *FCGR3B* genes as well as the emergence of the fusion gene *FCGR2C*. The NAHR event occurred approximately 9 million years ago and humans share the segmental duplication with chimpanzees and gorillas, but not orangutans or macaques. **B)**  Alignment of the low-affinity *FCGR* locus to itself demonstrates homology derived from the NAHR event. The human reference sequence (chr1:161500000-161700000, GRCh38 p.12), was aligned to itself using minimap2 (-P -k19 -w19 -m50 --cs=short) 372 and alignments between different regions of the locus are indicated as diagonal segments. The alignment between identical coordinates is not included and neither are alignments between short interspersed nuclear elements (SINE) in two locations within 4kbp of the start of *FCGR2A* and in the intergenic region between *FCGR3A* and *FCGR2C*. Distinct alignments are indicated by colour, insertion/deletions are indicated as diagonal gaps, and percentage identities are given for each alignment. The largest alignment (grey) indicates the segmental duplication regions, and the smaller alignments indicate further homology between *FCGR2A*, *FCGR2B* and *FCGR2C*; including exon 3, exons 4-6, and part of the 3'-UTR. Gene structures and locations are shown for MANE select and canonical transcripts and, in concordance with current annotation, *FCGR2A* and *FCGR2C* have a total of seven exons compared to earlier annotations which show eight. This change is due to updated understanding that the sequence previously annotated as exon 6 in *FCGR2A* and *FCGR2C* is spliced out. This exon numbering is continued throughout the figures and review. **C)** Gene homology and protein features for *FCGR2A*, *FCGR2B* and *FCGR2C*. Multiple sequence alignments highlight the extensive homology between *FCGR2A*, *FCGR2B* and *FCGR2C*. Gene region reference sequences (GRCh38 p.12) were extended to include flanking regions and aligned to each other using the anchoring-based CHAOS-DIALIGN aligner  $373$ . An anchor-based method is required for alignment of short, high-homology regions (such as exon 3) within longer sequences of lower homology. Matching nucleotides between aligned genes are indicated by colour, clearly showing that almost all of *FCGR2C* is homologous to either *FCGR2A*, or *FCGR2B*, or both. Additionally, *FCGR2B* has a limited region towards the 3' end that is not homologous to either *FCGR2C* or both *FCGR2A* and *FCGR2C*. Gene and transcript structure coordinates are for MANE select transcripts (Ensembl IDs indicated) and does not include an annotated 3'-UTR region for *FCGR2C*. The flanking regions are 1000bp in both the 5' and 3' UTR directions, except for the 3' end of *FCGR2C* which was extended by 2675bp to include the region of homology between *FCGR2A* and *FCGR2C* 3'-UTR. Locations of protein features within gene regions are shown for Uniprot IDs P12318 (FcγRIIa), P31994 (FcγRIIb) and P31995 (FcγRIIc) and genome coordinates are accessible via UCSC Genome viewer data tables. ITAM and ITIM signalling motif coordinates can be determined from the location of consensus sequence motifs 127,374-376. **D)** Gene homology and protein features for *FCGR3A* and *FCGR3B*. Pairwise sequence alignment of *FCGR3A* and *FCGR3B* (GRCh38 p.12) shows the extent of homology between these genes. Gene region sequences were extended to include 1000bp 3' and 5' flanking regions and aligned using ggsearch2seq 377. Matching nucleotides in the aligned sequences are shown in beige and these extended gene regions have 96.8% identity. Protein features on genomic coordinates are indicated for Uniprot IDs P08637 (FcγRIIIa) and O75015 (FcγRIIIb) and accessible via UCSC Genome viewer data tables apart from annotation for extracellular and propeptide features, which can be inferred from signal peptide and GPI anchor coordinates.

#### **Figure 3 – Structure, features and resolution of the low-affinity** *FCGR* **locus.**

The figure shows the chromosomic position, gene transcripts, CNRs and SNPs of interest alongside sequencing coverage for the low-affinity *FCGR* locus using various technologies. Location of the *FCGR* low-affinity locus is shown within the region chr1:161,490,000- 161,700,000 as a red vertical line. Transcript structure and locations are shown for MANEselect transcripts, or canonical transcripts where there is no MANE-select. Copy number regions (CNR1-5) are shown with black bars indicating high-confidence regions of duplication/deletion and dotted outlines indicating regions that may be included in the duplication/deletion. Positions and details of well-characterised SNPs across the region are indicated as 'SNPs of interest' and the track below indicates small variants reported in the gnomAD database. The subsequent tracks are all based on sequencing information from a variety of technologies spanning commonly used read lengths. Technology-dependent loss of signal occurs in regions of high homology, particularly around *FCGR2C* and *FCGR2B*, where high-confidence alignments are lacking for the majority of technological approaches. The bottom five tracks display alignment coverage data of the HG002 benchmarking genome from public data resources, utilising sequencing alignment files provided. Median and N50 read lengths are shown for long-read technology datasets and calculated using alignments from the locus only. Small variants from the Genome Aggregation Database: there are 47,801 SNVs and indels recorded across the region based on short-read sequencing by synthesis of whole genomes and exomes (gnomAD version 4.1.0)  $378$ . Predicted loss-of-function, missense and synonymous variants are shown in yellow and all other variants are indicated in semitransparent grey. Overlaps of multiple variants result in darker grey regions. It should be noted that some variants are potentially missed/misassigned due to homology issues. For example, the well-characterised *FCGR2C*-57X/Q variant is not recorded. **Black)** Short-read ATAC-seq data using sequencing by synthesis: Data shown is for primary monocytes (Gene Expression Omnibus **GSE165997,** GSM5059898 283) and the single sample coverage track indicates open chromatin regions when either all alignments are included (grey, HISAT2 aligner) or only uniquely-aligned alignments (black, mapq=60). Similarly, consensus peaks for the whole dataset are indicated when all alignments are used (grey) or uniquely-aligned only (black). Sequencing was performed using 2 x 75 bp reads on the Illumina NovaSeq platform. **Light orange)** Short-read, sequencing-by-synthesis. Genome-in-a-bottle (GIAB) deposited data from the National Institute of Standards and Technology (NIST) utilising 2 x 148bp reads on the Illumina HiSeq platform 379. The grey coverage track includes all alignments and orange track indicates the coverage of high-confidence alignments (mapq=70). Both coverage tracks are downsampled to 25% of total data. These alignments utilised the Novoalign aligner and all reported alignments are primary. **Dark orange)** Long-reads based on short-read sequencing. Demonstration data available on application from Illumina, project ID 385613228. Coverage from all available alignments is shown in grey (minimap2 aligner, secondary alignments reported), and from unique alignments only in dark orange (mapq=60). **Blue)** Single molecule real-time (SMRT) long-reads. GIAB deposited data from NIST/MtSinai 379 utilising PacBio P6- C4 chemistry and the RS II platform. Coverage when including all alignments is shown in grey (minimap2 aligner, secondary alignments reported), and unique alignments only in blue (mapq=60). **Green)** Circular consensus sequencing (CCS) of single molecule real-time longreads. GIAB deposited data utilising the PacBio 15kb and 20kb insert size HiFi reads and Sequel II platform  $380$ . Coverage when including all alignments is shown in grey (minimap2 aligner, only primary alignments reported), and unique alignments only in green (mapq60). **Pink)** Nanopore long-reads. GIAB deposited data by University of California Santa Cruz (UCSC) utilising Oxford Nanopore ultralong read and PromethION platform <sup>381</sup>. Coverage when including all alignments is shown in grey (minimap2 aligner, secondary alignments reported), and unique alignments only in pink.

### **Tables**

### **Table 1: Overview of genetic variation at the low-affinity** *FCGR* **locus**





\*Nucleotide numbering reported according to which direction the gene is read in (sense or antisense strand). For example, nucleotides are positive strand from the FCGR2 genes as they are on the forward strand whilst nucleotides for the FCGR3 genes are on the negative strand as they are on the reverse strand.

\*\*Inconsistencies in the amino-acid numbering exists in the literature due to discrepancies in naming based on their position, with some including the signal peptides and others focusing on the mature protein, excluding signal peptides. Whilst the current official HGVS guidelines recommend representing protein coding variants in the complete primary translation product, and not a processed, mature or functional protein, this table follows the amino acid numbering most historically used and adopted by the research community.

\*\*\*The set of 6 SNPs in FCGR3B determines the haplotypes for the human neutrophil antigen 1 (HNA1) that is involved in allo-immunization to neutrophils. I The SNP that isn't involved in distinguishing NA1 from NA2, this SNP is the 1 position that distinguishes NA2 from SH.

#### **Table 2:** *FCGR2C* **haplotypes that impact expression of FcyRIIc**



Haplotypes for *FCGR2C* detailing amino acid and nucleotide changes which have consequences for FcyRIIc expression 27,82. X represents the termination (Stop) codon. Traditionally only the SNP in exon 3 of *FCGR2C* was genotyped to determine the presence of an open reading frame (ORF), however for a more accurate prediction of FcγRIIc expression, it is necessary to also genotype the splice sites in intron 6.

#### **Table 3: SNPs involved in the** *FCGR3B* **haplotypes which determine the allotypic variants of the human neutrophil antigen (HNA)-1 classification system**



\* rs527909462 is a synonymous variant

\*\*rs5030738 represents the SNP that isn't involved in distinguishing NA1 from NA2; it is the sole position that distinguishes NA2 from SH.

NB: These are the three major haplotypes but typing is considered incomplete because rare cases have been revealed of different combinations of these SNPs and/or additional variants. 119,388.

<b>Promoter haplotype</b>	$c.-386$	$c.-120$	Found upstream of
2B.1			FCGR2B and FCGR2C
2B.2			<b>FCGR2C</b>
2B.3			Not reported
2B.4		А	<i>FCGR2B</i>

**Table 4: Promoter haplotypes for** *FCGR2B* **and** *FCGR2C*

Promoter haplotypes for *FCGR2B* and *FCGR2C* detailing the nucleotide changes and genes effected 141. Haplotypes comprised of two SNPs at nucleotide positions -386 and -120 relative to the start of translation in homologous promoter regions of *FCGR2B* and *FCGR2C*. Specific haplotypes are associated with particular genes and the 2B.3 haplotype has never been reported.

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