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Multimodal classifcation OPEN ofAlzheimer's disease and mild cognitive impairment using custom MKSCDDL kernel over CNN with transparent decision‑making for explainable diagnosis

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The study presents an innovative diagnostic framework that synergises Convolutional Neural Networks (CNNs) with a Multi-feature Kernel Supervised within-class-similar Discriminative Dictionary Learning (MKSCDDL). This integrative methodology is designed to facilitate the precise classifcation of individuals into categories of Alzheimer's Disease, Mild Cognitive Impairment (MCI), and Cognitively Normal (CN) statuses while also discerning the nuanced phases within the MCI spectrum. Our approach is distinguished by its robustness and interpretability, ofering clinicians an exceptionally transparent tool for diagnosis and therapeutic strategy formulation. We use scandent decision trees to deal with the unpredictability and complexity of neuroimaging data. Considering that diferent people's brain scans are diferent, this enables the model to make more detailed individualised assessments and explains how the algorithm illuminates the specifc neuroanatomical regions that are indicative of cognitive impairment. This explanation is benefcial for clinicians because it gives them concrete ideas for early intervention and targeted care. The empirical review of our model shows that it makes diagnoses with a level of accuracy that is unmatched, with a classification efficacy of 98.27%. **This shows that the model is good at fnding important parts of the brain that may be damaged by cognitive diseases.**

Alzheimer's disease (AD) is a deeply impactful, chronic neurological condition that stands as the leading cause of dementia worldwide. It transforms the very fabric of our cognitive functions, afecting memory, thought processes, and behaviour in profound ways. Te disease causes beta-amyloid plaques and tau protein tangles to build up in the brain, making a complicated web. These harmful deposits do not just sit there; they actively interfere with the important signalling between neurons. Over time, this leads to a terrible chain of events, including the death of neurons, a loss of cognitive ability, and a loss of the ability to do things. The condition is not only a medical issue but also a complicated system that has not been fully understood 1,2 1,2 1,2 1,2 . AD typically begins with mild memory loss and confusion and gradually progresses to severe dementia and loss of basic bodily functions, eventually leading to death. Tis progressive neural and synaptic deterioration leads to a range of cognitive and functional defcits, including memory impairment, language difculties, confusion, and behavioural changes. The impacts of Alzheimer's disease are substantial and can have a considerable influence on the overall quality of daily life of an individual, as well as that of their caregivers and family members. Despite ongoing research efforts, there is currently no known cure for AD, making it a signifcant public health challenge. It is a complicated and multifaceted disease whose pathogenesis is still unknown. According to recent studies, there may be up to 50 million instances of Alzheimer's disease globally^{3[,4](#page-13-3)}.

MCI is a neurodegenerative condition that afects a sizeable portion of the population. MCI is marked by memory loss and is thought to be an early sign of AD. MCI is generally considered to be a transitional state that occurs between healthy ageing and the onset of AD^{[5,](#page-14-0)[6](#page-14-1)}. However, the precise boundary between MCI and AD is

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often unclear, and there may also be changes in healthy ageing that are of interest to neuroscientists⁷. Understanding the complex relationship between MCI, healthy ageing, and AD is crucial to developing efective interventions for this debilitating neurological disease. It is worth noting that not all people with MCI will develop AD^8 . While some individuals with MCI never develop dementia, others stay in the MCI state indefnitely. Identifying people with MCI at an early stage, however, is critical for slowing the development of AD, even though there is presently no cure for this disease. Studies have shown that early treatment can delay the start of AD symptoms, and therapies have been made to control cognitive and behavioural symptoms. MCI and AD diagnosis require precise and dependable indicators, and several advances have been achieved in this area $9-11$.

Related work

Advancements in AI/ML have enabled rapid detection and confirmation of AD¹². In the rapidly evolving field of AD diagnosis, several machine learning and deep learning methods have come to the fore, each with unique strengths and limitations. Ortiz et al[.13](#page-14-7) make a signifcant contribution by applying deep belief networks to 3D patches of Gray Matter images segmented according to the Automated Anatomical Labelling atlas. This approach is particularly noteworthy for its high accuracy rates and Area Under the Curve (AUC) values, indicating a robust ability to classify not just AD patients but also those with MCI. One limitation, however, is the substantial computational power required due to the complexity of deep learning models. Nanni et al.¹⁴ tackle the issue of the 'curse-of-dimensionality,' a common problem when dealing with high-dimensional MRI feature vectors. They propose a hybrid ensemble approach that integrates Support Vector Machines (SVMs) trained on different texture descriptors with SVMs trained on voxel-based markers. By employing feature selection algorithms for dimensionality reduction, their system achieves a high classifcation performance on AD. Despite this, the approach does not fully explore the potential of more advanced machine learning techniques like Convolutional Neural Networks. Feng et al[.15](#page-14-9) introduced AD-WTEF, a method that leverages Wavelet Transformation Energy Features (WTEF) to capture subtle energy distribution diferences in Structural Magnetic Resonance Imaging (sMRI) for AD classifcation. Tis method is designed to overcome the limitations of traditional spatial analysis techniques. While efective, the approach is not without its challenges, including information redundancy due to the non-downsampling nature of the wavelet transformation. Leming et al.^{[16](#page-14-10)} contributed by addressing the challenge of confounding factors in clinical MRI data. Utilising a massive dataset of 467,464 clinical brain MRI scans from the Mass General Brigham healthcare system, they identifed 18 signifcant confounding factors and curated a confounder-free training set for AD and MCI. They then applied an ensemble of 3D ResNet-50 models, achieving an impressive AUC score of 0.82. However, the study's confnement to a single healthcare system raises questions about its broader applicability. In essence, Ortiz et al. and Leming et al. achieve high model performance but require broader validation to confrm their general applicability. In contrast, Nanni et al. and Feng et al. tackle specifc issues in the feature space but could beneft from the integration of more advanced machine-learning methods.

Deep Learning (DL) methods are gaining recognition for their ability to improve AD diagnosis. One of the best things about DL methods is that they can fnd hidden characteristics across many layers independently. Su et al.^{[17](#page-14-11)} developed the Firefly Algorithm for Anomaly Detection (FAAD) and FAAD+Entropy-Conditional adversarial Domain AdaptatioN (CDANE) algorithms, specialised for few-shot cross-site anomaly detection in mental disorders based on fMRI Functional Connectivity (FC). The inclusion of visualisation analysis for discriminative FC and brain regions adds a layer of biological authenticity to our methods, potentially aiding in the discovery of imaging biomarkers. However, the algorithms are limited by their reliance on a few labelled samples from the target domain, which may introduce a degree of uncertainty in settings with highly variable feature distributions. Pan et al[.18](#page-14-12) proposed AD and MCI diagnosis; the Disease-image-Specifc Deep Learning (DSDL) framework ofers a novel solution to the pervasive issue of incomplete multimodal neuroimaging data. Unlike extant methodologies, DSDL integrates neuroimage synthesis and disease diagnosis, ensuring a diagnosisoriented approach to data imputation. Comprising a Disease-image-Specifc Network and a Feature-consistency Generative Adversarial Network, the framework excels at capturing disease-specifc traits from whole-brain scans and imputing missing data seamlessly. However, the efectiveness of the framework is intrinsically linked to the quality of the imputed neuroimages, making it susceptible to variations in the inherent data quality and potentially limiting its generalizability across diverse clinical settings. Basaia et al[.19](#page-14-13) leveraged CNNs to ofer a robust, automated diagnostic solution. Capitalising on 3D T1-weighted MRI scans, they achieved exceptional accuracy levels, particularly in distinguishing AD from healthy controls (up to 99%). A distinct advantage lies in the use of a simplifed CNN architecture that minimises computational complexity while maximising performance. However, the model's performance in discerning c-MCI from s-MCI is not as robust as its ability to classify AD or MCI from healthy controls. Additionally, the algorithm's predictive capabilities could be further enhanced by incorporating other types of data, such as PET scans, CSF biomarkers, and neuropsychological scores. Lei et al.²¹ introduced a novel framework for predicting clinical scores in AD using longitudinal MRI data. Unlike traditional approaches that rely on single time-point data, this framework employs multiple time points to enhance prediction accuracy. It consists of a sophisticated ensemble of feature selection, deep polynomial networks for feature encoding, and support vector regression for longitudinal score prediction. However, the model currently uses only longitudinal MRI data from ADNI, overlooking the potential insights from other modalities like fMRI, PET, and DTI. Lian et al.²¹ introduce a Hierarchical, Fully Convolutional Network (H-FCN) for AD diagnosis using sMRI. Unlike existing methods that rely on predetermined informative locations, H-FCN autonomously identifes discriminative local patches and regions in the brain. These are then used for multi-scale feature representations, upon which hierarchical classifcation models are constructed. However, the study's scope is limited to sMRI data and does not incorporate other imaging modalities or clinical variables. It remains to be seen how the method

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performs when integrated with other diagnostic markers or when applied to diverse clinical populations. Future work could focus on multimodal integration for a more comprehensive diagnostic tool.

In the current landscape of AD research, there has been a marked increase in the application of multimodal data for the usage of early identification and diagnosis. The integration of data from diverse sources, including but not limited to MRI, genetics, and clinical data, has led to a signifcant improvement in the accuracy and reli-ability of indicators for AD. Jain et al.^{[22](#page-14-16)} used a pre-trained CNN, VGG-16, for classification tasks on brain sMRI slices. By employing transfer learning, the study ingeniously bypasses a common limitation in deep learning: the need for large datasets. The VGG-16 model proves adept at extracting relevant features for classifying AD, MCI, and CN states, achieving an impressive 95.73% accuracy on the validation set. However, the transfer learning approach, while innovative, risks potential incongruities between general image features and the specialised features relevant to neurodegenerative diseases. Spasov et al.²³ aimed to distinguish between MCI patients at elevated risk for AD conversion and those less likely to convert. Leveraging a multi-tasking approach, the model concurrently tackles MCI-to-AD conversion and AD vs. healthy control classifcation, facilitating a more robust feature extraction for AD prognosis. In terms of predictive power, the model achieved an AUC of 0.925 and a tenfold cross-validated accuracy of 86% in classifying MCI patients. Although the model utilised various input metrics, the warp feld characteristics added little to predictive value. To improve data exchange between layers, Wang et al.^{[24](#page-14-18)} used an ensemble of 3D Densely Connected Convolutional Networks (3D-DenseNets). The use of dense connections within the network ensures optimised information fow and gradient propagation, making it more trainable while using fewer parameters—a critical advantage when dealing with limited training data. However, the focus is solely on MRI data, ignoring potential synergies with other modalities or clinical variables. Cheng et al.[25](#page-14-19) introduce a novel Multimodal Manifold-Regularized Transfer Learning (M2TL) method aimed at efectively predicting the conversion from MCI to AD. Unlike conventional approaches that focus solely on the target domain, M2TL leverages both auxiliary domains and unlabelled samples to enhance predictive performance. Furthermore, the inclusion of group sparsity regularisation allows the model to auto-select informative samples, adding robustness to the classifer. However, it primarily focuses on imaging data, bypassing other potentially valuable diagnostic information like genetic or lifestyle factors. Suk et al[.26](#page-14-20) present an innovative methodological framework that merges Deep Auto-Encoder (DAE) with Hidden Markov Models (HMM) for diagnosing MCI through resting-state functional Magnetic Resonance Imaging (rs-fMRI). However, the study's limitations include its focus solely on computational modelling without incorporating other types of diagnostic data. Furthermore, the method's generalizability beyond the datasets used for validation remains untested. Li et al.²⁷ introduce a novel approach for diagnosing AD and MCI using structural MRI scans. The method employs multiple cluster Dense Convolutional Neural Networks (DenseNets) to learn localised features of brain images. The approach achieved a remarkable accuracy of 89.5% for AD vs. Normal Control (NC) and 73.8% for MCI vs. NC, outperforming existing methods. However, its limitations include a focus solely on structural MRI, leaving room for future integration of other imaging modalities like PET for a more comprehensive diagnosis. Additionally, the method's applicability to broader datasets beyond the ADNI database used for validation remains unexplored.

The application of explainable artificial intelligence (XAI) methods has made these models more open and easier to understand, which is important for building trust and getting people to use therapies. Essemlali et al.^{[28](#page-14-22)} employed a modifed BrainNet CNN on difusion-weighted MRI (DW-MRI) tractography connectomes to delve into the structural connectomics of AD and MCI. By leveraging the BrainNetCNN for brain image classification paired with XAI techniques, the researchers accentuated brain regions and their interconnections implicated in AD. Tis work not only reinforces the potential of deep convolution networks in neurodegenerative disease analysis but also establishes a bridge with traditional AD research fndings. Using binary categorisation and layerby-layer data analysis, El-Sappagh et al.[29](#page-14-23) present a highly accurate and interpretable machine-learning model for AD diagnosis and progression detection. Using 11 modalities and data from 1048 subjects, the two-layer model employs a Random Forest (RF) classifier optimised with key biological and clinical markers. The explainability feature addresses a signifcant gap in clinical uptake, as it makes the model transparent and trustworthy for physicians. Yu et al.³⁰ The study presents an innovative framework that integrates attention mechanisms and multi-scale features for enhanced accuracy and explainability in the visual classifcation of medical images, specifcally for AD. However, the model has limitations: it lacks integration of medical domain knowledge, which could refne its predictive capability, and its latent features are weakly supervised due to a scarcity of publicly available pathological annotations, which could potentially overlook crucial pathological locations in the brain. Lombardi et al.³¹ introduce a machine learning (ML) framework with an XAI component that not only classifies subjects into healthy, cognitively impaired, and dementia categories but also provides explainability via SHapley Additive exPlanations (SHAP) values. However, the study focuses on a fxed set of cognitive and clinical indexes, potentially overlooking other important variables. Also, while it addresses variability within diagnostic categories, the model may not fully capture the complexity of diferent subcategories within the neurodegenerative spectrum. Shojaei et al.³² used a 3D Convolutional Neural Network (3D-CNN) model coupled with a genetic algorithm-based Occlusion Map and Backpropagation-based explainability methods employed for AD diagnosis using MRI scans. The model not only achieves a commendable 87% accuracy in fivefold cross-validation but also successfully identifes key brain regions corroborated by existing AD literature, enhancing its reliability and medical relevance. The focus remains on algorithmic accuracy, potentially missing out on the integration of domain-specifc medical knowledge.

Our innovative diagnostic framework stands as a signifcant advancement in the feld of AD and MCI detection, ofering a compelling combination of precision, robustness, and interpretability that sets it apart from existing methodologies (see Table [1\)](#page-4-0). While many approaches specialise in either diagnostic accuracy or model interpretability, our framework synergises CNNs with the cutting-edge MKSCDDL algorithm to achieve both. Unlike traditional machine learning methods that ofen require substantial computational resources or lack adaptability across diferent imaging data types, our model leverages scandent decision trees to accommodate

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Table 1. Summary of related works.

the complexity and variability of neuroimaging data. This feature allows for more individualised assessments, a granularity ofen missing in other models. Moreover, our approach includes advanced interpretability methods like Local Interpretable Model-agnostic Explanations (LIME) and Class Activation Maps (CAMs), flling the interpretability gap ofen noted in deep learning methods like 3D CNNs. Tese interpretability features not only build trust in the diagnostic process but also provide clinicians with actionable insights for early intervention and targeted care. Even within the realm of XAI, where models like MAXNet and BrainNet CNN have made strides in clarifying their decision-making processes, our model goes a step further. It provides a comprehensive diagnostic tool that is both exceptionally accurate—with a classification efficacy of 98.27%—and transparent in its reasoning. Tis dual strength makes it a particularly valuable asset for clinicians aiming for precise yet understandable diagnostic results. In summary, our model represents a pinnacle of balanced excellence, ofering unparalleled diagnostic accuracy without sacrifcing the nuances of interpretability and individualised assessment.

The salient contributions in the paper can be summarised as follows:

The framework uses a CNN and a within-class-similar Discriminative Dictionary Learning method to reduce misclassifcation by using structural and anatomical similarities between comparable images in the trained set. A decision tree mechanism and a transfer learning process are used to check and improve the accuracy of the classifcation. LIME and CAM are used to make a model that can be understood and whose decisions can be trusted.

A new classifcation method is proposed that combines the CNN's end-to-end pixel-wise mapping with the MKSCDDL kernel, using class similarity as matrices to group medical data. Tis increases the domain-specifc information by testing two slices with a set distance on both sides of the comparison.

To make the model easy to understand, a scandent decision tree is used to check the ground truth with the CNN and fll in missing data in the multimodality dataset.

The remainder of the paper is organised as follows: ["Materials and methods"](#page-4-1) describes the Discriminant Dictionary Learning algorithm and Scandent Decision Tress, the proposed methodology and implementation of the model. ["Result analysis"](#page-8-0) explains the result analysis, followed by the conclusion and future directions in "[Conclusions and future directions"](#page-13-4).

Materials and methods

Discriminant dictionary learning

Discriminant dictionary learning (DDL) is a type of machine learning algorithm used for classifcation tasks. It is a supervised learning method that involves the creation of a dictionary of features that can be used to discriminate between diferent classes of data. In DDL, the dictionary is learned by minimising a cost function that includes both a reconstruction error term and a discriminant term. The reconstruction error term ensures that the dictionary can accurately represent the input data, while the discriminant term ensures that the dictionary is optimised for the specific classification task at hand. The discriminant term in the cost function is typically based on a measure of the distance between the dictionary atoms of diferent classes or on the classifcation error rate of a linear classifer trained on the learned dictionary. By optimising the dictionary for both reconstruction and discriminant performance, DDL can create a set of features that are well-suited for classifcation tasks.

Consider that $Y = \left\{ y_i^j \right\}_{i=1}^N$ be a set of MRI medical images under consideration for N number of patients.

Each image $y_i = \left\{ y_i^j \right\}_{i=1}^{n_i}$ consists of a sequence of n_i slices. The aim is to produce a valid classification for the $j=1$

stream of slices of images to produce a robust, interpretable classification model. The classification of individual

slices ofen poses a complex challenge, particularly when these slices come from unknown statistical distributions. Common approaches to tackle this issue involve the use of deep learning algorithms combined with linear classifers. While these methods can be efective, especially if a classifer is developed alongside the featuredictionary, they tend to neglect critical details—specifcally, the inherent similarities within classes and the relationships between different classes represented by coding coefficients. To address these shortcomings, a more specialized approach called Supervised within Class-similar Discriminative Dictionary Learning (SCDDL)^{[33](#page-14-27)} has been formulated. SCDDL refnes the classifcation process by constructing a specialized dictionary that captures the intrinsic similarities within each class through specific coding coefficients. These coefficients are meticulously engineered to echo the relationships between slices in the same category, enhancing the overall model's discriminatory power. Further precision is achieved by incorporating a linear classifcation error term, which guides the selection of the most optimal classifer for use with the established dictionary. Building on this foundation, the methodology has been extended into a more advanced form known as MKSCDDL³³. This enhanced version integrates the concept of within-class similarity with kernel theory, facilitated by a multiple kernel fusion technique. This fusion allows for a more nuanced and granular differentiation between classes, capturing complex relationships that conventional methods may overlook.

Assuming that we have a training space $A = [A_1, A_2, ..., A_k] \in \mathbf{a} \, d^2$ -dimensional space consisting of 'k' classes, and let X be the coefficients obtained during the training of samples on the dictionary D. The model for SCDDL can be expressed as follows:

$$
\langle D, W, X \rangle = \arg \min_{D, W, X} \| A - DX \|_{F}^{2} + \alpha \| H - W X \|_{F}^{2} + \beta \| W \|_{F}^{2}
$$

+ $\lambda_{1} \| X \|_{1} + \lambda_{2} \sum_{i=1}^{k} (\| X_{i} - M_{i} \|_{F}^{2} + \eta \| X_{i} \|_{F}^{2})$
such that $\| d_{j} \|_{2}^{2} = 1$, for all $j = 1, ..., m$ (1)

where $||A - DX||_F^2$: represents the Frobenius norm of the difference between A and DX. $||H - WX||_F^2$: similar to the first term, this term aims to find W and X such that WX approximates H. The α term is a weighting factor. $||W||_F^2$: this term is a regularisation term for W using the Frobenius norm. β is the regularisation parameter that controls the magnitude of W. || X||₁: this term is an L1 regularisation term for X, making the optimisation
problem sparse. λ_1 is the regularisation parameter. $\sum_{i=1}^{k} (||X_i - M_i||_F^2 + \eta ||X_i||_F^2)$ represents th columns d_i of D, stating that they should be unit vectors in terms of the L2 norm.

With reference to the elastic net theory, the term $||X_i||_F^2$ combined with the term $||X||_1$ $||X||_1$ makes the Eq. (1) stable. Here, we consider $\eta = 1$ $\eta = 1$ for simplicity. Then the Eq. (1) can be reconstructed as follows:

$$
\langle D, W, X \rangle = \arg\min_{D, W, X} \| A - DX \|_F^2 + \alpha \| H - WX \|_F^2
$$

+ $\beta \| W \|_F^2 + \lambda_1 \| X \|_1 + \lambda_2 \sum_{i=1}^k (\| X_i - M_i \|_F^2 + \| X_i \|_F^2)$ (2)

such that
$$
|| d_j ||_2^2 = 1
$$
, for all $j = 1, ..., m$

Equation [\(2\)](#page-5-1)'s optimisation approach has been examined in 34 and demonstrated to increase the dictionary's discriminative categorisation.

The integration of Mercer kernels into the Sparse Classifier with Discriminative Dictionary Learning (SCDDL) algorithm, leading to the MKSCDDL extension, ofers enhanced capabilities for handling high-dimensional data. Mercer kernels, such as linear and Gaussian kernels, facilitate the mapping of original feature space into a higherdimensional space where linearly inseparable problems often become separable. Given ϕ (.) as a mapping function that transforms the original feature vectors into a higher-dimensional feature space, the kernelised version of the SCDDL algorithm can be defned by replacing the training sample vectors in Eq. ([2\)](#page-5-1) of the original SCDDL algorithm with their higher-dimensional counterparts $\phi(x)$. This extension allows the algorithm to exploit the geometric properties of the higher-dimensional space, potentially improving the classifcation performance.

The objective function for MKSCDDL is given by Eq. (3) (3) :

$$
\langle V, W, X \rangle = \arg \min_{V, W, X} \|\phi(A) - \phi(A) V X\|_F^2 + \alpha \|H - W X\|_F^2
$$

+ $\beta \|W\|_F^2 + \lambda_1 \|X\|_1$
+ $\lambda_2 \sum_{i=1}^k (||X_i - M_i||_F^2 + ||X_i||_F^2)$ (3)

By introducing the kernelised term $\|\phi(A) - \phi(A) V X\|_F^2$ MKSCDDL not only captures the non-linearities in the data but also combines multiple features into a unifed dictionary learning framework.

Scandent decision trees

The Scandent decision tree $(SDT)^{35}$ $(SDT)^{35}$ $(SDT)^{35}$ addresses a crucial problem in multimodal classification tasks—namely, the nonuniformity of data. In many clinical or diagnostic settings, not all subjects have complete records with every possible feature or diagnostic marker. Tis could be due to a variety of reasons, including cost constraints or the advanced nature of certain diagnostic tests. The SDT model provides a robust solution to this issue by allowing for classifcation even when some features are missing from the records.

The SDT model works by first training a Support Decision Tree (DT) on a subset of the data where all features are available. This tree serves as the "gold standard" for classification based on all available attributes. In situations where all attributes are not available, the SDT comes into play. At each node in the SDT where a feature from the unavailable set is used for decision-making, a subtree T_i is grown using only the available features (denoted

by set S. Te objective is to replicate, as closely as possible, the decision structure of the SDT using only the available attributes.

A major challenge in this approach is the potential sparsity of data at deeper levels of the SDT. As you go deeper into the decision tree, fewer records are available at each node, leading to less reliable and less accurate decisions. To counter this, a RF strategy is ofen employed. Multiple such subtrees are grown, and their outcomes are averaged or voted upon to form a more robust classifcation model. Tis ensemble approach helps mitigate the impact of decisions made based on sparse data. Furthermore, it has been shown that performance is enhanced^{[36](#page-14-30)} when a feature enrichment strategy is employed. In this approach, the class labels produced by the subtrees T_i are used to augment the feature set for records that only have features available from set L.This not only provides additional discriminative power but also improves the robustness of the classifer.

By addressing the nonuniformity in data availability, SDT ofers a fexible and robust mechanism for classifcation tasks in settings where complete data may not always be available.

Proposed methodology

We present an innovative framework aimed at achieving two critical objectives in medical image classification: high accuracy and interpretability. Our methodology uniquely integrates state-of-the-art techniques in CNNs, kernel methods, and explanation algorithms to classify medical images into fve predetermined categories: AD, CN, MCI, Late-stage MCI, and early-stage MCI. The proposed framework is a composite of multiple components, each designed to address specifc challenges in medical image classifcation and interpretation (see Fig. [1](#page-6-0)). Before feeding medical images into the neural network, we undertake a meticulous pre-processing routine. Tis involves dividing each image into "superpixels," which are clusters of pixels that share common characteristics. This segmentation enables more precise explanations later in the pipeline.

The core of our framework is a custom-designed CNN that has been trained to classify medical images into one of the fve aforementioned classes. To further refne the classifcation process, we utilise the MKSCDDL kernel in the second layer of the CNN. Tis specialised kernel leverages the structural and anatomical similarities within images in the training set to minimise misclassifcation errors. By doing so, it produces a similarity measure that aids in categorising the images more accurately. A major challenge in using complex models like CNNs is the 'black box' nature, which makes it difcult to understand the rationale behind classifcations. To counter this, we employ LIME and CAMs to construct a surrogate model. Tis interpretable model provides insights into why CNN classifed a given image into a specifc category.

Post-classifcation, the Scandent Decision Trees are used to segregate the tasks into appropriate categories. These decision trees validate the regions of interest within the images identified by LIME to ensure that the final classifcation aligns with the interpretive data. Lastly, we utilise a decision tree mechanism coupled with transfer learning techniques to confirm and enhance the classification accuracy. This dual-methodology approach ensures that the model is not only precise but also generalisable to new, unseen data. Through the integrated use of LIME

Figure 1. Proposed methodology.

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and CAMs, we manage to generate an interpretable model that makes the decision-making process transparent and trustworthy, thereby instilling confdence in the medical practitioners who rely on this technology.

Dataset characteristics and pre‑processing

The ADNI dataset is a comprehensive collection of MRI scans, each represented as a three-dimensional array consisting of 2D grayscale slices. Each slice has a fxed resolution of 256×256 pixels. However, the number of slices can difer from one patient to another, introducing an element of variability into the dataset. To make the dataset compatible with the experimental setup, all slices were standardised to a consistent resolution.

Partitioning of data for training and testing

The dataset was partitioned using an 80:20 ratio, where 80% of the data was used for training the model, and the remaining 20% was reserved for validation and testing. This partitioning strategy was carefully chosen to ensure that the model had sufficient data to learn the intricate features relevant to AD, MCI, and NC while also setting aside a robust subset for validation and performance evaluation.

Confguration of MKSCDDL kernel

Incorporated within the CNN, the MKSCDDL kernel was confgured to operate as a linear kernel. To optimise its performance, a grid search technique was implemented. This allowed for fine-grained tuning of the kernel's weight parameters, with the search conducted in incremental steps of 0.1 to ensure precision.

Architecture and parameters of the CNN model

The CNN model was architected to have five convolutional layers followed by two fully connected dense layers (see Fig. [2](#page-7-0)). Each convolutional layer was succeeded by a Rectifed Linear Unit (ReLU) activation function to introduce non-linearity into the model. The CNN was trained from scratch using a learning rate of 0.001 and a batch size of 10, employing a consistent optimiser and loss function for uniformity in the training process. To further augment the feature extraction capabilities of the CNN model, a second Multi-Layer Perceptron (MLP) was introduced. Tis MLP was specially confgured with the MKSCDDL kernel to work in tandem with the CNN, thereby creating a hybrid architecture that leverages the strengths of both neural networks and kernel methods.

Hyperparameters and optimisation strategy

To maintain a consistent and fair evaluation across diferent neural network architectures, we standardised the hyperparameters and initialisation procedures. Specifcally, the Adam optimiser was chosen with a fxed learning rate of 10–3. A batch size of 10 was also consistently used across all experiments. In our proposed architecture, we deliberately restricted the use of data augmentation techniques to only include rotation. This cautious approach was taken to mitigate the risk of losing vital diagnostic information that could be crucial for accurate classifcation.

Pre‑processing and multimodal feature fusion

Before deploying the ADNI dataset in our framework, a series of pre-processing steps were carried out. Our CNN model equipped with MKSCDDL kernels was used to merge multimodal feature sets. Features from MRI scans, along with class labels and clinical ratings, were initially extracted. At each layer within the kernel, diferent features were identified and subsequently aggregated for comprehensive analysis. The kernel moved or "strode" across the image data in distinct patterns at each layer, allowing each hidden layer to flter and spotlight specifc features indicative of particular disorders.

Handling missing values with scandent trees

One of the critical challenges in medical imaging research is dealing with missing data, especially when multiple modalities like MRI, PET imaging, cognitive assessments, and various biomarkers are involved. To tackle this issue, we implemented the scandent tree methodology. Tis technique enhances classifcation accuracy by generating single-modality trees that mimic the feature space partitioning performed by a multimodal decision

Figure 2. Architectural overview of the custom CNN (CNN+MKSCDDL).

tree. The use of scandent trees enables the system to interpolate and fill in missing values, thereby improving the overall classifcation model's performance and robustness.

Training and evaluation procedures

All input images were resized to a uniform 256×256 resolution to ensure consistency. The processed MRI volumes generated volumetric distributions of AD distinct from MCI. We performed this diferentiation by identifying occurrences of Gray Matter and White Matter in the brain scans and computing their respective probabilities. Saliency maps were then created based on these distributions to evaluate the model's classifcation consistency. These maps helped identify the brain regions most responsible for accurate disease classification. Figure [6](#page-12-0) in our study delineates these critical regional areas, and Table [2](#page-8-1) presents the quantitative metrics derived from these analyses.

Loss function and latent feature learning

In our proposed model, we advocate the use of a combined loss function—specifcally, cluster loss and contrastive loss techniques—to encourage effective latent space learning. The model employs a categorical loss function, which stimulates the neural network to cluster latent features into semantically meaningful spaces. This innovative approach not only enhances the accuracy of the classifcation but also allows for the development of fne-grained models that can make highly specifc predictions.

Result analysis

Comparative analysis

For our analysis, we used the Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset, focusing on multiple evaluation metrics, including AUC, Receiver Operating Characteristic (ROC), accuracy, sensitivity, recall, and precision. To enhance the robustness and credibility of our results, we deployed a ten-fold cross-validation technique. In this approach, the dataset was partitioned into ten distinct subsets, each serving as a test set while the remaining subsets were used for training.

The comparative analysis between a Convolutional Neural Network (CNN) and the proposed model (see Table [2\)](#page-8-1) offers illuminating insights into conditions including AD and various stages of cognitive impairment. The CNN + MKSCDDL model outpaces the CNN model across multiple key performance metrics—Accuracy, Precision, Recall, and F1-Score—indicating its superior capacity for predictive analysis. For instance, in diagnosing AD, the CNN+MKSCDDL model registers an impressive leap in accuracy, soaring from 85.23 to 97.72%, accompanied by an F1-Score jump from 0.83 to 0.97. Tis holds signifcant clinical relevance given the critical nature of early and accurate AD diagnosis. The enhanced model also proves its mettle in identifying Early and Late Mild Cognitive Impairment (EMCI and LMCI), showcasing marked improvements in Recall and F1-Score—metrics that are pivotal for capturing the maximum number of positive cases without infating false positives. Specifcally, for EMCI, the F1-Score ascends from 0.77 to 0.92, while for LMCI, it inches up from an already high 0.96 to 0.97.

Moreover, the advanced model signifcantly elevates the Recall in almost all categories, a critical improvement in medical settings where missing a positive case could lead to severe consequences. In the case of MCI, the Recall jumps remarkably from 0.70 to 0.93. Tis balanced performance across metrics, barring the anomaly in the CN category, underscores the CNN+MKSCDDL model's well-rounded capabilities. Tus, the fusion of CNN with MKSCDDL not only boosts the model's accuracy but also refnes its balance between Precision and Recall, thereby advancing it a step closer to being a robust, clinically viable diagnostic tool for complex neurological conditions.

Table [3](#page-9-0) presents a snapshot of the performance of various machine learning models in medical diagnostics, each rigorously evaluated on fve key metrics: Accuracy, F1-Score, Sensitivity, Specifcity, and AUC.

We trained the models on 12,000 labelled MRI slices until convergence and subsequently tested them on a separate set of 4,600 MRI slices. Our comparative analysis, illustrated in Table [3,](#page-9-0) reveals that our proposed model substantially outperformed existing models like SCDDL-MRI, SCDDL-FDG-PET, and SCDDL-forbetapir PET in terms of accuracy and precision. The proposed Explainable CNN + MKSCDDL model emerges as the frontrunner, achieving an unparalleled accuracy of 98.27% and matching it with an AUC of 0.982. Its sensitivity and specifcity fgures, at 98.87% and 96.46%, respectively, indicate that the model excels in both identifying true cases and avoiding false alarms.

Table 2. Comparison of classifcation results: CNN vs. CNN+MKSCDDL.

Table 3. Comparison with the existing models.

Explainability analysis: unveiling deep model decision‑making

Deep Learning (DL) methodologies have signifcantly impacted various scientifc domains, notably healthcare, due to their ability to self-learn and generalise features. Despite their prowess, the "black-box" nature of these models has been a subject of concern, particularly when it comes to medical diagnoses. Our research makes strides in this direction by incorporating XAI techniques, specifcally focusing on the interpretability of deep learning models when analysing brain scans.

Mapping salient brain regions for decision clarity

To mitigate the 'black-box' limitations of DL models, we manually mapped salient regions of the brain, as visualised in Fig. [5](#page-11-0). By showcasing activated voxels in multiple 2D slices from diverse regions of the brain, we were able to statistically validate the signifcance of these regions in the decision-making process of the deep model. Tis makes a transparent layer to the AI algorithm, helping both clinicians and patients understand the rationale behind diagnostic decisions.

CN Individuals: stability in brain structures

In Figs. [3](#page-10-0), [4,](#page-10-1) and the frst row of Fig. 6, we highlight the neural regions most pivotal in distinguishing CN individuals from AD patients. Te key diferentiating areas include the rostral Hippocampus, medial Amygdala, Globus Pallidus, lateral Amygdala, and the Parahippocampal gyrus. Remarkably, these regions remain stable over time in CN individuals, signifying a consistent state of cognitive health.

AD: dynamic changes in neural activities

The bottom row of Figs. [5](#page-11-0) and [6](#page-12-0) focus on the AD scenario, illustrating how the disease manifests its impact across diverse brain regions. Notably, the regions most afected include the Hippocampus, medial and lateral Amygdala, posterior Hippocampus, dorsolateral putamen, rostroventral area, and Globus Pallidus. Our explainable model allows clinicians to observe these dynamically changing regions at each time point, thereby enabling more nuanced patient monitoring.

Tracking disease progression: from early MCI to late MCI

Our research goes a step further by scrutinising disease progression from EMCI to LMCI. Panels 2, 3, and 4 of Fig. [6](#page-12-0) reveal that patients progressing from EMCI to LMCI undergo more rapid neurodegeneration than those already diagnosed with advanced AD. The network analysis used in our study identifies similar impacted regions in both MCI and converted EMCI patients, including the middle and lateral Amygdala, Parahippocampal region, and Hippocampus. However, as the disease progresses in converted EMCI patients, additional regions show signs of impairment, such as the caudal Hippocampus and dorsolateral putamen.

Ablation studies

Ablation studies are crucial for understanding the performance contributions of diferent components in a machine learning model. In the context of our CNN model for image classifcation, we conducted a comprehensive ablation study to evaluate the impact of various hyperparameters and architectural choices on model performance. The base model was established with Adam optimiser and MKSCDDL kernels. The performance metrics under consideration were Accuracy, F1-Score, and Recall. Various experiments were conducted by modifying one or a combination of elements, and the metrics were recorded in Table [4](#page-13-5).

Figure 3. Scans of CN patients.

Figure 4. Scans of CN patients.

Table [4](#page-13-5) presents an exhaustive ablation analysis meticulously designed to explore the repercussions of various architectural and hyperparameter modifcations on the performance of the machine learning model. Focused on four pivotal metrics—Accuracy, F1-Score, Recall, and Sensitivity—this empirical investigation furnishes nuanced insights into the model's robustness and susceptibility to changes.

Commencing with the base model, which employs Adam Optimizer in conjunction with MKSCDDL kernels, the performance is unequivocally superior, boasting an accuracy of 98.27%, an F1-Score of 0.97, and near-fawless recall and sensitivity rates of 0.988 and 98.87% respectively. Tis serves as a high-performance baseline against which all other confgurations are compared.

Figure 5. AD afected regions.

The ablation study investigates the ramifications of substituting the Adam Optimizer with RMSprop and Stochastic Gradient Descent (SGD). Here, the Adam Optimizer emerges as the optimally efficient choice, with RMSprop and SGD trailing with accuracies of 97.85% and 95.20%, respectively. The marginal decline in these metrics accentuates the efficacy of the Adam Optimizer in this specific modelling context.

Structural alterations to the model's architecture, such as the removal of a Conv2D layer initially possessing 32 flters, resulted in a moderate decrement in performance, with the accuracy dropping to 97.10%. Tis indicates the layer's non-trivial contribution to the model's superior discriminative capability.

Moreover, when the activation functions were substituted—from ReLU to LeakyReLU—the model's accuracy decreased marginally, refecting the subtle yet impactful role of activation functions in neural networks. On the other hand, the removal of MKSCDDL kernels led to a more drastic reduction in accuracy, plummeting to 95.00%. Tis substantiates the kernels' pivotal role in enhancing the model's capacity for nuanced classifcation.

In addition to architectural changes, the study delved into the impact of varying batch sizes and learning rates. While these changes did not result in drastic fluctuations in performance metrics, they did offer incremental improvements or reductions, thereby emphasising the need for fne-tuned hyperparameter selection.

The ablation study fortifies our confidence in the base model, characterised by the Adam Optimizer and MKSCDDL kernels, as the most judicious selection for this application. Its exceptional performance across a multitude of metrics not only attests to its robustness but also underscores its comprehensive applicability. The remarkable discriminative power endowed by the MKSCDDL kernels emerges as a cornerstone for the model's high performance, thereby validating our decision to adopt this particular confguration.

Inferences: bridging the gap between data and diagnosis

Multimodal data fusion for robust classifcation

Our experimental setup leveraged a rich dataset comprised primarily of MRI scans, with additional PET scans, to distinguish among AD, MCI, and CN individuals. A key strength of our methodology is the integration of multiple data modalities via the scandent decision tree algorithm. Tis innovative approach enables the flling of missing data points, thereby enhancing the robustness and trustworthiness of the classifer. Unlike prior research that ofen sidestepped the issue of missing values, our study employed explainable techniques to identify critical brain regions, thereby lending verifable credence to our predictions.

Identifying vulnerable brain regions in AD

Concurring with extant literature, our research pinpoints specifc brain regions—such as the Basal Ganglia, Amygdala, Parahippocampal Gyrus, and Hippocampus—as being disproportionately affected by AD. These regions are instrumental in various cognitive and emotional functions. Tus, their impairment manifests in the multifaceted symptoms observed in AD patients.

Neural activation patterns: a discriminatory marker

Our proposed neural network succeeds in diferentiating between CN individuals and those with AD through unique activation patterns, especially in the dorsolateral Putamen regions. Such patterns were exclusively observed in AD patients and remained absent in CN individuals. Within the AD cohort, consistent activation of the Amygdala and Hippocampus was noted, indicative of their central role in AD pathology.

Emotional and cognitive dysregulation in AD

Interestingly, our results show that alterations in the Amygdala are closely associated with heightened feelings of anger and anxiety among AD patients. Furthermore, we identifed other subcortical areas, such as the Parahippocampal Gyrus, Talamus, and Putamen, as being signifcantly activated in AD. Tese regions govern a wide range of functions, including cognition, motor skills, and sensory perception—all of which are compromised in AD.

Figure 6. The ROI as highlighted with various CAMs to ensure a robust prediction using the heat map of model location identifcation concentration Row 1: CN, Row 2: EMCI, Row 3: MCI, Row 4: LMCI, Row 5: AD.

The Precuneus and decision-making deficits

Our research underscores the deterioration of the Precuneus region, located within the Parietal lobe, as a defning feature of AD. In addition, we found that the Talamus and Putamen regions showed marked degradation in AD patients. Tis decline is of signifcant concern as it afects critical faculties like problem-solving and decisionmaking, thereby contributing to behavioural issues such as apathy and obsessive tendencies.

Table 4. Ablation studies.

Implications for treatment and intervention

By elaborating on the complex neural underpinnings of AD, this study underscores the urgency for targeted interventions. Understanding the specifc brain regions and functionalities afected by AD could pave the way for more precise and efective treatments.

Conclusions and future directions

Timely identifcation and intervention in cognitive disorders like AD and MCI are pivotal for the efective clinical management of these conditions. The study presented herein contributes to this critical need by introducing a cutting-edge system that melds the power of advanced deep learning algorithms with the transparency of XAI. Our methodology utilises a CNN with an MKSCDDL algorithm. By using the structural and anatomical patterns that can be seen in linked neuroimaging data, this fusion greatly improves the classifcation accuracy of the model.

Crucially, the integration of LIME and CAM endows our model with a level of transparency and interpretability that is ofen elusive in deep learning architectures. Tis explainability is invaluable for healthcare practitioners, as it allows them to identify specifc brain regions that are most likely afected by cognitive disorders. Our model was rigorously evaluated using the Alzheimer's Disease Neuroimaging Initiative (ADNI) dataset and was pitted against a standard CNN model for benchmarking. The actual results are readily apparent: our method is better than the traditional one in key measures like precision, accuracy, and recall. The saliency maps, generated via LIME and CAM, further enrich our understanding of AD's underlying mechanisms.

While our results are promising, the journey towards optimal cognitive disease diagnosis is far from over. Future iterations of our work will investigate the potential benefts of incorporating alternative kernel functions to amalgamate multimodal data more efectively. We are also keen to explore the incorporation of diferent imaging modalities to broaden the scope of our diagnosis. Additionally, the utilisation of other advanced deep learning architectures, coupled with transfer learning techniques, stands to further elevate the model's performance metrics. In conclusion, our research signifes a pivotal step forward in the clinical diagnosis and management of cognitive diseases. By harmonising high computational power with transparent decision-making, we ofer the medical community a reliable, accurate, and intuitive tool that has the potential to revolutionise cognitive healthcare.

Data availability

The data is publicly available to use on the ADNI website.

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Competing interests

The authors declare no competing interests.

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