

1 Antibody in breastmilk following pertussis vaccination in three-time windows in pregnancy

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30 **Running head**

31 Breastmilk antibodies and pertussis vaccine

32 **Key words**

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34 vaccine

35 **Conflict of interest and financial disclosure**

36 The authors have declared no conflict of interest or financial disclosure.

37 **Abstract**

38 **Background**

39 Pertussis-containing vaccines are routinely offered in the UK at 16-32 weeks of gestation and
40 have been shown to be safe and effective, but there remains debate about the best timing for
41 vaccination. Most research into this has focussed on serological immunity, but breastmilk is
42 also important in infant immunity and the amount of IgA in breastmilk may impact on
43 mucosal immunity. It is important to understand if the timing of vaccination in pregnancy
44 affects the concentration of IgA in breastmilk.

45 **Methods**

46 Participants recruited as part of the MAMA and OpTIMUM trials received a pertussis-
47 containing vaccine during pregnancy, either before 24 weeks, between 24-27+6 weeks or
48 between 28-31+6 weeks. Samples of colostrum within 24 hours of delivery, and breastmilk at
49 14 days were collected. Pertussis toxin (PT), Pertactin (PRN), Tetanus toxoid (TT) and
50 Diphtheria toxoid (DT) specific IgA levels were measured using a multiplex immunoassay.

51 **Results**

52 There was no difference in specific IgA levels against PT, PRN, TT and DT between the
53 groups vaccinated within different time periods. For all antigens there was decay in antigen-
54 specific IgA levels between colostrum and breastmilk at 14 days.

55 **Conclusion**

56 Our results suggest that the timing of administration of a pertussis-containing vaccine in
57 pregnancy does not impact on antigen-specific IgA concentration in colostrum or breastmilk
58 at 14 days.

59 **Background**

60 Pertussis is a vaccine-preventable respiratory infection caused by the bacterium *Bordetella*
61 *pertussis*. Infection can result in significant morbidity and mortality, primarily in infants less
62 than three months of age born to mothers unvaccinated with a pertussis containing vaccine in
63 pregnancy and who have not yet received the benefits of their own vaccinations. (1, 2)
64 Despite well-established vaccination programmes against pertussis, there was an increase in
65 the number of cases seen in many countries from 2005, associated with increased
66 hospitalisations and deaths in young infants. (3) In response to this, many high-income
67 countries, including the United-Kingdom (4-6), introduced antenatal pertussis vaccine
68 programmes which have been shown to be safe and effective (7).

69 Recommendations about the timing of antenatal pertussis vaccination vary between countries,
70 and there has been debate about the optimal timing of vaccination for the best serological
71 protection of the infant. Two vaccine effectiveness studies have reported better protection
72 after vaccination in the third rather than second trimester (8, 9) and a third has shown no
73 impact of timing on effectiveness (10), whereas several immunogenicity studies have
74 suggested that vaccination earlier in the third trimester results in higher antibody
75 concentrations than vaccination later in the third trimester (11-13). Vaccination was initially
76 recommended between 28-32 weeks gestation in the UK, but this advice was updated in 2016
77 to 16-32 weeks and extending this time window has been shown to have advantages for
78 increasing opportunities to vaccinate, and for preterm infants. (14)

79 Although most research has focussed on serological immunity, antenatal vaccination has been
80 shown to increase antibody titres in breastmilk against pertussis. One study found an
81 approximately threefold increase in the geometric mean concentration (GMC) of IgA to
82 pertussis toxin (PT) in the breastmilk at 8–9 weeks postpartum of antenatally vaccinated

83 women compared to women not vaccinated in the preceding five years. (15) Another study
84 found greater filamentous haemagglutinin-specific IgA in colostrum and breastmilk at two
85 weeks, in Tdap-vaccinated women after 20 weeks of gestation than in unvaccinated women.
86 (16) A retrospective Belgian study of 234 participants found significantly higher PT-specific
87 IgG GMC in the colostrum of vaccinated women delivering at term compared with
88 unvaccinated controls. (17)

89 Whilst the exact mechanism of protection from antibody in breastmilk is uncertain,
90 increasing antibody titres in breastmilk plausibly increases protection against pertussis in the
91 neonate through enhancement of mucosal immunity. Using mice intracerebrally challenged
92 with *B.pertussis*, a study has shown the effect of IgA contained in human colostrum on
93 bacteria neutralisation. (18) PT-specific IgA and IgG from maternal breastmilk has also been
94 shown to remain stable in the infant gastrointestinal tract during digestion. (19) Increasing
95 pertussis-specific IgA in breastmilk through maternal vaccination could plausibly increase
96 protection against pertussis in the neonate, but the impact of timing of vaccination on
97 pertussis antibody concentration has not previously been explored. In this analysis we studied
98 IgA concentrations in colostrum and breastmilk at 14 days, after vaccination either before 24
99 gestational weeks, between 24-27⁺⁶ gestational weeks, or between 28-31⁺⁶ gestational weeks
100 in pregnancy.

101 **Methods**

102 The participants included in this analysis were recruited from two studies in the United-
103 Kingdom: Maternal Antibody in Milk After vaccination (MAMA, NCT03982732) and
104 Optimising the Timing of whooping cough Immunisations in mums (OpTIMUM,
105 NCT03908164).

106 The MAMA study was a single-centre observational study in which women were recruited
107 postnatally. Participants were eligible if they had a singleton pregnancy, had received
108 pertussis vaccination between 16 and 32 gestational weeks and were planning to breastfeed.
109 Women were excluded if they had a known immunodeficiency. All participants had received
110 a pertussis containing vaccine as part of routine antenatal care and the timing of vaccination
111 was self-reported by participants, being checked with medical records if participants were
112 uncertain. Participants were assigned to three groups according to the time at which they had
113 received vaccination (<24 weeks, 24-27⁺⁶ weeks and 28-31⁺⁶ weeks). Participants provided a
114 sample of colostrum within 48 hours of delivery, and a breastmilk sample at 14 and 42 days.

115 The OpTIMUM study was an equivalence study in which participants were randomised into
116 three gestational age groups (<24 weeks, 24-27⁺⁶ weeks and 28-32 weeks). Randomisation
117 was on a 1:1:1 ratio using a computerised block randomisation list. Participants were eligible
118 if they were pregnant and had not yet received pertussis vaccination, willing and able to
119 comply with study procedures and provide informed consent, and had received a 20-week
120 anomaly scan with no life limiting congenital anomalies identified. Exclusion criteria were
121 maternal age of less than 16, confirmed or suspected pertussis in previous five years, known
122 diagnosis of immune deficiency, receiving immunosuppressive medication within six months
123 of enrolment, or in the opinion of the investigator was unlikely to complete follow up. This
124 was a multicentre study run in six sites. Participants in two sites (St. George's, University of
125 London and University Hospitals Southampton NHS Foundation Trust) were asked if they
126 wished to take part in the breastmilk sub-study. All participants in the OpTIMUM study had a
127 cord blood sample taken at delivery and participants in the breastmilk sub-study gave a
128 sample of colostrum within 48 hours of delivery. A further breastmilk sample was collected
129 at 14 and 42 days.

130 Due to the COVID lockdowns the number of participants that attended the 42 day visit in the
131 OpTIMUM study were very low (Figure 1) and this time point was therefore excluded from
132 further analysis.

133 Participants in both studies received Boostrix-inactivated poliovirus vaccine
134 (GlaxoSmithKline; London, UK). Boostrix-IPV contains pertussis toxin (8µg), filamentous
135 haemagglutinin (8µg), pertactin (2.5µg), diphtheria toxoid (not less than two international
136 unit), tetanus toxoid (not less than 20 international units), and inactivated polio virus types 1-
137 3 (type-1 40 D-antigen unit, type-2 8 D-antigen unit, and type 3 32 D-antigen unit).

138 Ethical approval for the MAMA study was given by West of Scotland Research Ethics
139 Committee (18/WA/0171) and for the OpTIMUM study was given by York and Humber
140 Research Ethics Committee (19/YH/0050).

141 **Sample processing**

142 Colostrum and breastmilk samples were centrifuged first at 1000g at 4°C for 10 minutes and
143 then at 10000g at 4°C for 30 minutes to separate the lipid fraction from whey which was then
144 stored at -80°C.

145 **IgA measurement**

146 An in-house Multiplex assay was used to measure antigen-specific colostrum and breastmilk
147 IgA. MagPlex microspheres (Luminex DiaSorin, Italy) were conjugated to four antigens:
148 pertussis toxin, pertactin, diphtheria toxoid, and tetanus toxoid (181, 187, 151 and 191B
149 respectively, List Biological Laboratories, Campbell, United-States).

150 Colostrum and breastmilk samples were diluted to 1:100, 1:500 and 1:2.500, next to a curve
151 prepared from the Pertussis Antiserum WHO International standard (1:60 dilution and 2-fold

152 serial dilution, NIBSC 06/140, UK). Samples and microspheres were incubated overnight at
153 300rpm and 2-8°C, and R-Phycoerythrin-conjugated goat anti-human IgA secondary
154 antibody (1:200, 50ul/well, 109-115-011, Jackson ImmunoResearch, Ely, UK) was added for
155 1 hour 30 minutes at 300 rpm at room temperature. Plates were read with Bio-Plex 200 (Bio-
156 Rad, Hercules, United-States).

157 **Statistical analysis**

158 Mean fluorescence intensity (MFI) were interpolated into concentrations (Arbitrary units)
159 with Bio-Plex Manager Software 6.2. IgA results under the assay limits of quantification
160 were attributed 0.001 AU. On Graph Pad Prism 10.2.2, the GMC levels of IgA were plotted.
161 Because of the impact of the COVID-19 pandemic on collection of the final breastmilk
162 samples we included only the timepoints of colostrum at delivery and breastmilk at 14 days.

163 **Results**

164 This analysis was performed on samples collected from two studies: MAMA and OPTIMUM
165 (Figure 1). There were 104 participants with either a colostrum sample, or a breastmilk
166 sample at 14 days, or a sample at both time points (43 MAMA, 61 OpTIMUM). These are
167 included in the analysis.

168 Overall, there were more participants vaccinated at <24 gestational weeks (GW) (n=41) and
169 24-27⁺⁶ GW (n=40) than at 28-31⁺⁶ GW (n=23). Demographic details are described in Table
170 1.

171 **IgA in colostrum and breastmilk**

172 IgA concentrations against any of the tested antigens between women vaccinated in either of
173 the three gestational age groups were similar for colostrum (Figure 2) and breastmilk at 14
174 days (Figure 3).

175 Colostrum PT and PRN specific-IgA is compared to cord serum PT and PRN specific-IgG
176 collected in the OpTIMUM study in supplementary figure 1 and no correlation was found.

177 **Antibody decay**

178 Antibody concentrations were greater in colostrum than in breastmilk collected at 14 days,
179 with IgA decay between these two time points. The decay percentages were similar between
180 study groups (**Pertussis toxin:** Percent decay <24GW= 99.89%, 24-27⁺⁶ GW= 99.98%, 28-
181 31⁺⁶ GW= 99.67%; **Pertactin:** Percent decay <24GW= 96.37%, 24-27⁺⁶ GW= 95.97%, 28-
182 31⁺⁶ GW= 96.95%; **Tetanus toxoid:** <24GW=99.56%, 24-27⁺⁶ GW= 99.70%, 28-31⁺⁶ GW=
183 99.75%; **Diphtheria toxoid:** <24GW= 97.25%, 24-27⁺⁶ GW= 98.45%, 28-31⁺⁶ GW=
184 98.70%).

185 A high number of breastmilk samples at 14 days had no quantifiable IgA: 69.1% (67/97) for
186 Pertussis toxin, 48.5% (47/97) for Tetanus toxoid and 16.5% (16/97) for Diphtheria toxoid.

187 All breastmilk samples had quantifiable Pertactin-specific IgA.

188 **Discussion**

189 To our knowledge, this is the first time that the impact of timing of pertussis vaccination in
190 pregnancy has been explored in relation to antibody in breastmilk. We found similar
191 concentrations of pertussis toxin, pertactin, tetanus toxoid, or diphtheria toxoid specific-IgA
192 in colostrum or breastmilk at 14 days in women who had received vaccination in different
193 time windows, all within the period recommended for vaccination in the UK.

194 Human breastmilk is a complex and dynamic source of nutrition which plays an important
195 immunological role, particularly in the first few months of life as infants are developing their
196 adaptive immunity (20). Breastfeeding has been established as protective for infants in
197 preventing various respiratory infections (21), however there is disagreement in the literature
198 looking at breast feeding and clinical pertussis cases. Exclusive breastfeeding was not a
199 protective factor for pertussis in hospitalised infants under 6 months (22), and the incidence
200 and prevalence of breastfeeding at the time of hospital admission were not different between
201 pertussis-like cases and controls (23). In contrast a study shows that breastfed infants had
202 decreased pertussis odds compared to infants receiving more formula (24), and another study
203 states that breastfeeding had a protective effect against pertussis in infants from both
204 unvaccinated and vaccinated mothers (25).

205 IgA is the dominant antibody found in breastmilk and supports the developing mucosal
206 immunity by lining mucosal surfaces, including the respiratory tract (26-28). The significance
207 of this in mediating protection against disease is uncertain, but it can be hypothesised that an
208 increase in antibody concentration could result in increased protection for infants. Antenatal
209 vaccinations have been shown to help increasing breastmilk IgA concentration for pertussis
210 (15,16), pneumococcus (29-31), meningococcus (32, 33), influenza A (34) and SARS-CoV-2
211 (35).

212 Our finding that the timing of administration of a pertussis containing vaccine in pregnancy
213 did not result in any difference in PT and PRN specific-IgA concentrations in breastmilk is
214 interesting. Similarly in the primary analysis of the OpTIMUM trial (36) we have shown
215 equivalence of PT and PRN-specific IgG concentration in the cord blood of term infant at
216 birth, regardless of the timing of antenatal vaccination. Equally, although there is no
217 serocorrelate of protection for pertussis, recent effectiveness data from the UK show that

218 there is similar effectiveness against pertussis in infants born to mothers vaccinated in both
219 the second and third trimesters (37).

220 Our study showed that specific-IgA concentrations were higher in colostrum than in 14 days
221 breastmilk for all antigens. Similarly, multiple studies report that vaccine-specific IgA were
222 higher in colostrum and lower in breastmilk at subsequent time points (15, 16, 17, 38).

223 Notably a study comparing the colostrum and breastmilk at 2, 4 and 8 weeks postpartum, of
224 unvaccinated and Tdap-vaccinated women after 20 weeks of gestation, found a significant
225 decline in PT-specific IgA after two weeks in both groups (16). This is also consistent with
226 the fact that total IgA levels are known to be higher in colostrum than in breastmilk (39).

227 It is interesting that a high number of participants had IgA concentrations are below the lower
228 limit of detection for pertussis toxin (69.1%) in transitional breastmilk at 14 days. A similar
229 finding was made in a study using an ELISA assay at 1:101 milk dilution as per manufacturer
230 instructions (16). Our multiplex bead assay requires a similar coefficient of dilution at 1:100.
231 Although there are lower rates of samples with concentrations below the lower limit of
232 quantification in two other studies using ELISA assays (15, 17), breastmilk was only diluted
233 at 1:5 or 1:10. The highest coefficient of dilution in our assay and in study (16) can explain
234 the higher rate of samples below the limit of detection. We would recommend exploring a
235 lower coefficient of dilution for transitional and mature breastmilk in contrast to colostrum,
236 while being mindful of potential matrix effects.

237 **Limitations**

238 This analysis includes participants from two separate studies with different methodologies for
239 the recruitment and vaccination of participants. In the MAMA study, participants were
240 recruited postnatally, and their vaccinations had been given as part of routine care. This may

241 have led to inaccuracy in information about timing of vaccination, although if there was any
242 uncertainty from participants, the details were confirmed from medical records. The
243 laboratory analysis for samples from both trials was conducted in the same laboratory using
244 the same assays. Because we combined the data from two trials, we are only able to include
245 results which were collected in both trials, which limited our ability to report on all the
246 planned objectives. We also did not have an unvaccinated control group with which to
247 compare our results.

248 Although we had originally planned to collect samples from participants 6 weeks after
249 delivery, there was significant disruption in collecting these samples because of restrictions
250 which took place because of the COVID-19 pandemic and there were limited samples
251 available at this time point which made meaningful analysis impossible. This has limited our
252 period of longitudinal assessment which is unfortunate as this is a weakness in previous
253 studies (17, 40).

254 Finally, this was a small study which was not powered to show a difference between the
255 study groups, and the conclusions we are able to draw are therefore limited and require
256 further investigation in a larger study.

257 **Conclusion**

258 In this study looking at different timing of administration of a pertussis-containing vaccine in
259 pregnancy, no differences were identified on the specific-IgA antibody concentration in
260 colostrum or breastmilk at 14 days for PT, PRN, DT or TT. Further work is required to
261 investigate the relationship between timing of vaccination and breastmilk protection. We
262 suggest a study of larger scale with an unvaccinated control group, looking at breast feeding,

263 vaccination and clinical outcomes, different immune components present in milk including
264 antibodies and their functionality such as bactericidal activity and opsonophagocytosis.

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276 **Ethics approval and patient consent**

277 Ethical approval for the MAMA study was given by West of Scotland Research Ethics
278 Committee (18/WA/0171) and for the OpTIMUM study was given by York and Humber
279 Research Ethics Committee (19/YH/0050). All participants gave informed consent for their
280 participation in the trials.

281 **Data availability statement**

282 Data can be made available for suitable applications submitted to the corresponding author.

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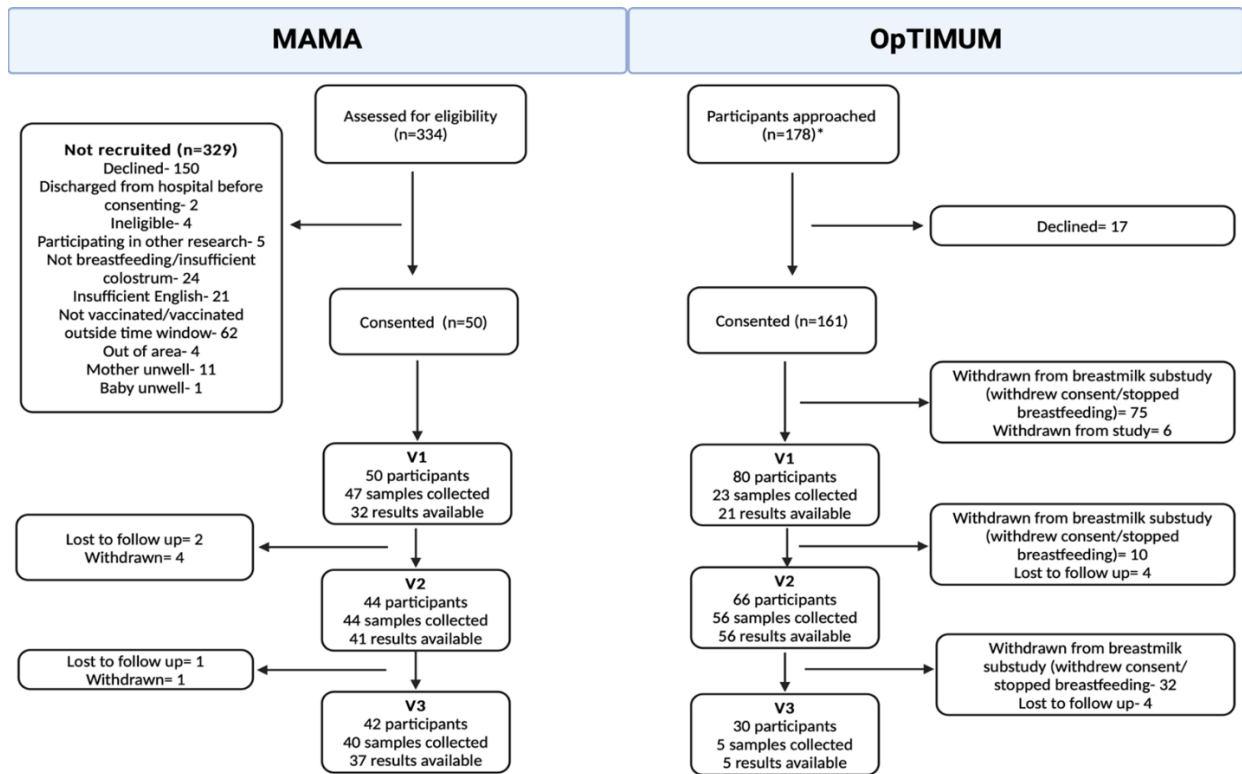
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406 **Figures**

407 **Table 1: Demographic details about participants who received vaccination in the three**
 408 **gestational age windows.**

	Group 1 (<24 GW) (n= 41)	Group 2 (24-27⁺⁶ GW) (n=40)	Group 3 (28-31⁺⁶ GW) (n=23)
Median gestational age at vaccination (IQR)	21 ⁺⁵ (17 ⁺⁰ -23 ⁺⁶)	24 ^{+6.5} (24 ⁺⁰ -27 ⁺⁶)	28 ⁺⁴ (28 ⁺⁰ -31 ⁺⁶)
Pertussis vaccination in a previous pregnancy (%)	19/41 (46.3)	23/40 (57.5)	12/23 (52.2)
White ethnicity (%)	33/41 (80.5)	35/40 (87.5)	21/23 (91.3)
Median gestational age at delivery (IQR)	40 (37 ⁺⁶ -41 ⁺⁶)	40 ⁺² (37 ⁺² -42 ⁺¹)	40 ⁺¹ (38 ⁺⁴ -41 ⁺⁶)

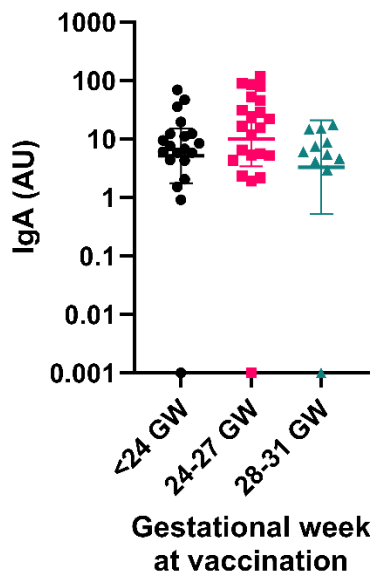
409 IQR= interquartile range; GW= gestational weeks.



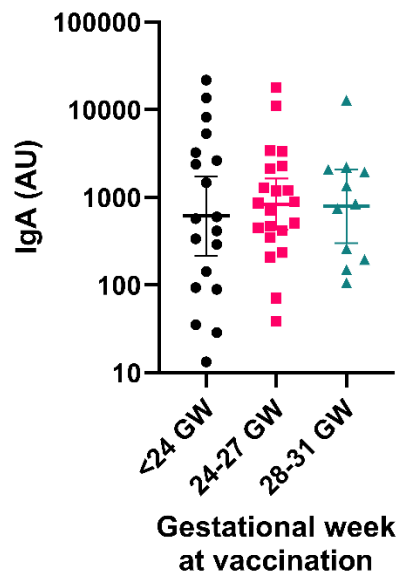
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411 **Figure 1: Consort flow diagram.** Participant recruitment, visits and sample collection for
 412 the MAMA study and the OpTIMUM study.

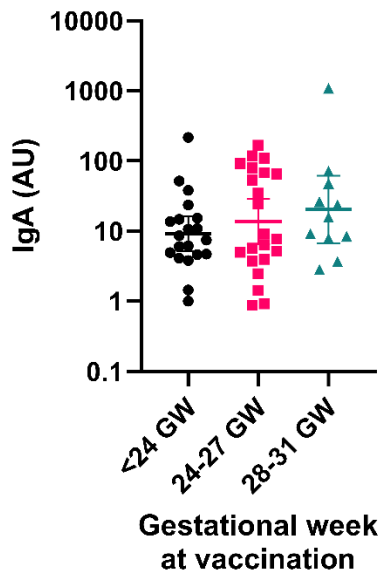
A. Pertussis toxin - IgA in colostrum



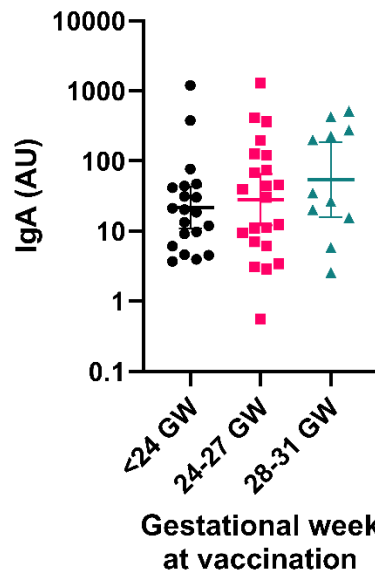
B. Pertactin - IgA in colostrum



C. Tetanus toxoid - IgA in colostrum



D. Diphtheria toxoid - IgA in colostrum



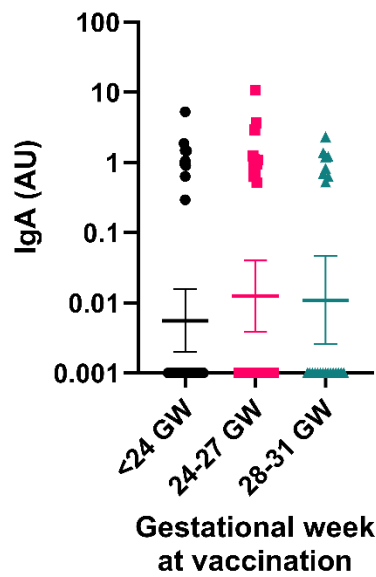
413

414 **Figure 2: GMC of IgA against Pertussis toxin (A), Pertactin (B), Tetanus toxoid (C) and**
415 **Diphtheria toxoid (D) in colostrum according to gestational window at vaccination**

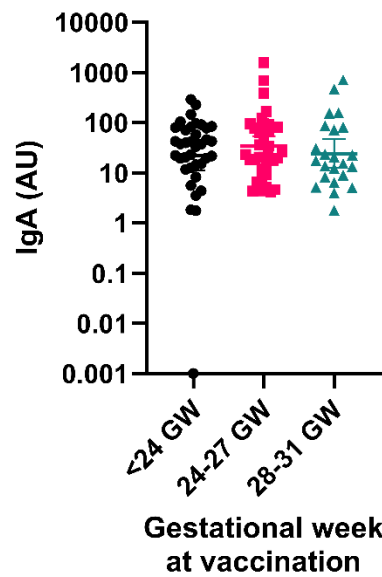
416 Geometric mean concentration and 95% confidence interval of antigen-specific IgA (AU).

417 <24 GW (n=20), 24-27 GW (n=22), 28-31 GW (n=11). GW= gestational weeks.

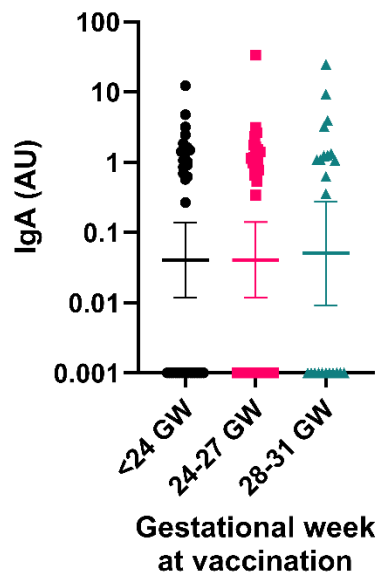
A. Pertussis toxin - IgA in breastmilk at 14 days



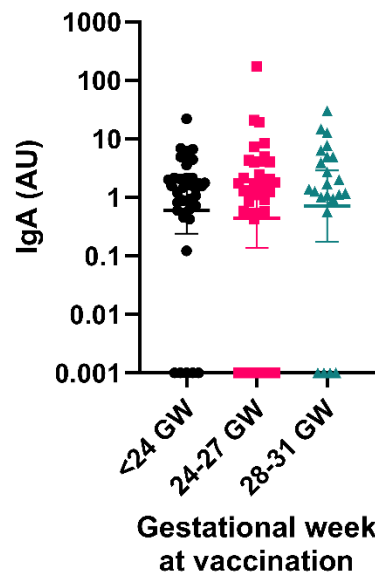
B. Pertactin - IgA in breastmilk at 14 days



C. Tetanus toxoid - IgA in breastmilk at 14 days

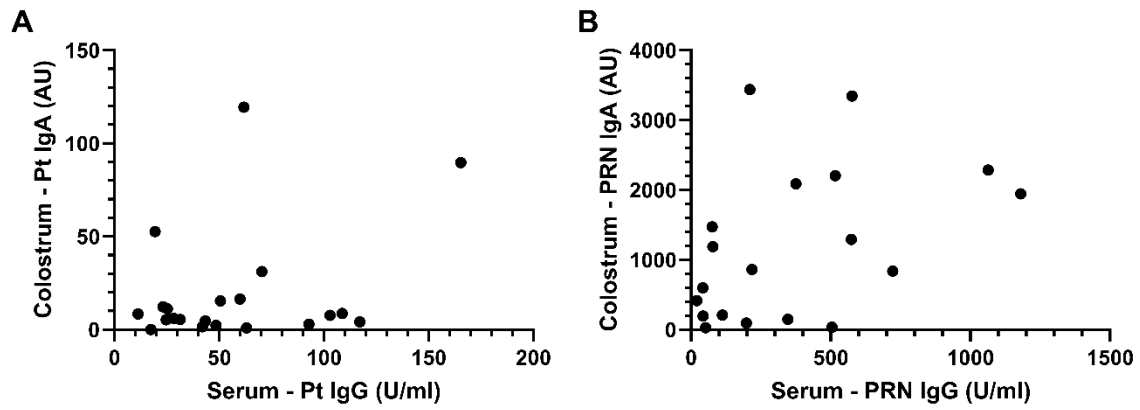


D. Diphtheria toxoid - IgA in breastmilk at 14 days



418

419 **Figure 3: GMC of IgA against Pertussis toxin (A), Pertactin (B), Tetanus toxoid (C) and**
 420 **Diphtheria toxoid (D) in breastmilk at 14 days according to gestational window at**
 421 **vaccination.** Geometric mean concentration and 95% confidence interval of antigen-specific
 422 IgA (AU). <24 GW (n=37), 24-27 GW (n=37), 28-31 GW (n=23). GW= gestational weeks.



423

424 **Supplementary figure 1: Colostrum IgA and cord serum IgG, specific to Pertussis toxin**
 425 **(PT, A) and to Pertactin (PRN, B).** Cord serum was collected at delivery and colostrum
 426 within 48 hours after delivery. For PT n=21 participants, for PRN n=19 participants.