

Frequency Domain Iterative Feedforward/Feedback Tuning for MIMO ANVC *

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Abstract: A new iterative feedback/feedforward tuning (IFFT) method is presented for multiple-input multiple output (MIMO) control systems that relies on efficient computation of the negative gradient of the controller cost function in the frequency domain. The iterative method is using only one experiment per iteration and it is therefore suitable for realtime implementation for periodic adjustment of the controller. The primary target application area of the presented method is self-tuning feedback control in active noise and vibration control (ANVC).

Keywords: self tuning control; iterative method; active noise/vibration control; frequency domain; multi-input/multi-output system

1. INTRODUCTION

Iterative feedback tuning (IFT) has been the subject of intensive research efforts during the past decade (Hjalmarsson and Gevers M, 1998; Hjalmarsson, 1999; Hjalmarsson, 2002). Its advantage is that it does not need modelling, given a stable and reasonably functioning controller to start with is available. Recent efforts were aimed at improving gradient estimation (Jansson *et al.*, 2002; Jansson and Hjalmarsson, 2004) of the control performance criterion, improving stability robustness without modelling (Veres and Hjalmarsson, 2002) and through spectrum analysis (Kammer *et al.*, 2000).

In (Luo and Veres, n.d.), Iterative Feedback/Feedforward Tuning (IFFT) in Frequency Domain (FD), i.e., FD-IFFT, has been proposed to solve SISO ANVC problems. This paper extends FD-IFFT to Multiple Input Multiple Output (MIMO) cases that relies on the frequency domain handling of signals and dynamics in multi-variable systems. It only requires one additional experiment for each input channel of the unknown plant to compute a gradient estimate. Tuning simultaneously takes place while the iterative feedback tuning (IFT) in earlier publications had to perform multiple experiments for feedback and feedforward controllers.

Although the new approach is applicable to general control problems in theory, it is particularly suitable for control problems with finite frequency spectrum signals (disturbances, references and measurements) in practice. The most important target area of its application is active noise/vibration control (ANVC) of low frequency sound and vibration that has been an active research field for almost three decades. The original idea of ANVC has been proposed in the early 1930s (Lueg, 1934), and the underlying physical theory has been laid down for some time (Tokhi and Veres, 2002). Many effective methods have been developed in the last twenty years. There are design approaches that are model-based, e.g., H_∞ control (Elliott and Rafaely, 1997), unfalsified-model based control (Veres and Wall, 2000) and iterative correlation based IFT (Karimi *et*

al., 2003). There are many model-free approaches, which do not model the plant dynamics with precise models, but tune the controller parameters directly. One of the most widely used and well understood methods is filtered-x LMS algorithm (Morgan, 1980), which can be made adaptive to track plant dynamics with slow time variance. Frequency selective filter-based iterative feedback/feedforward tuning (FSF-IFFT) control (Meurers and Veres, 1999) has also been successfully used for periodic disturbance cancelation. There are very few effective methods available to tune both feedback/feedforward controllers in ANVC.

The remainder of this paper is organized as follows. In Section II, the problem of gradient-based tuning control for ANVC is shortly reviewed in the time domain. In Section III, the idea of FD-IFFT is proposed and some implementation topics are discussed. In Section IV, a series of MIMO simulation examples are presented to compare two implementations of FD-IFFT. Finally conclusions are drawn in the last section.

2. GRADIENT BASED TUNING FOR ANVC

In this section, the ANVC problem is generally addressed, the fundamental definitions and performance function will be defined.

Following symbols are frequently used in the paper.

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∇	Gradient vector of functions
\mapsto	Map to
$:=$	Define or denote
$\{\cdot\}^T$	Transpose
$\{\cdot\}^*$	Conjugate and transpose
$\phi_{\{\cdot\}}$	Discrete spectrum of a signal
$\phi_{\{\cdot\}} \omega$	Discrete spectrum of a signal over frequency subset ω
$\Phi_{\{\cdot\}}$	Discrete frequency response function of a dynamics
$\Phi_{\{\cdot\}} \omega$	Discrete frequency response over frequency subset ω
DFT	Discrete Fourier transform
$\text{diag}(\mathbf{x})$	Diagonal matrix with diagonal vector \mathbf{x}
FRF	Frequency Response Function
LTI	Linear Time Invariant System

Fig. 1 gives a schematic description of the control system considered.

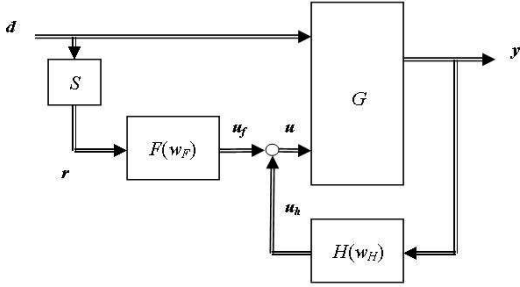


Fig. 1. Block diagram of a linear feedforward feedback system of ANVC system

The measured output, which is affected by the disturbance $\mathbf{d} \in \mathbb{R}^{n_d}$, is represented by $\mathbf{y} \in \mathbb{R}^{n_y}$. G is the unknown plant dynamics with inputs \mathbf{d} and \mathbf{u} and produce \mathbf{y} . It can be described as

$$\mathbf{y} = G(\mathbf{d}, \mathbf{u}) \quad (1)$$

The control signals from the feedforward controller F and feedback controller H are denoted by $\mathbf{u}_f \in \mathbb{R}^{n_u}$ and $\mathbf{u}_h \in \mathbb{R}^{n_u}$, respectively. The tunable control system C comprises the parameterized feedforward controller F and the feedback controller H :

$$C(\mathbf{w}, \mathbf{r}, \mathbf{y}) : \begin{aligned} F : \mathbf{u}_f &= F(\mathbf{w}_F, \mathbf{r}) \\ H : \mathbf{u}_h &= H(\mathbf{w}_H, \mathbf{y}) \\ \mathbf{u} &= \mathbf{u}_f + \mathbf{u}_h \end{aligned} \quad (2)$$

which can be tuned by adjusting their parameter vectors in $\mathbf{w} := \{\mathbf{w}_F, \mathbf{w}_H\} \in \mathbb{R}^{n_w}$.

The disturbance-reference signal $\mathbf{r} \in \mathbb{R}^{n_r}$ is obtained through an unknown but time-invariant dynamics S from \mathbf{d} . While the output signal $\mathbf{y}(t)$ is measurable and recordable, the disturbance signal \mathbf{d} cannot be measured directly.

In case of periodic disturbance \mathbf{d} , it is always assumed that the steady output \mathbf{y} is also periodic. If the ANVC system has steady output \mathbf{y} with period N then the control performance criterion is defined as the average quadratic performance of a length N output sequence:

$$J(\mathbf{w}) := \frac{1}{N} \sum_{t=0}^{N-1} \mathbf{y}^T(t) Q \mathbf{y}(t) \quad (3)$$

where Q is a priori known weighting matrix.

The objective of tuning for ANVC is to adjust the controller parameters \mathbf{w} to minimize performance (3).

3. ITERATIVE TUNING IN THE FREQUENCY DOMAIN

In this section a general framework of frequency domain iterative feedback-feedforward tuning (FD-IFFT) is introduced and some implementation issues are also discussed.

3.1 Gradient estimate in the frequency domain

Considering the MIMO system described by Fig.1, if there is N -length output data set $\mathcal{Y} := \{\mathbf{y}(0); \dots; \mathbf{y}(N-1)\}$, $\mathbf{y}(t) := \{y_1(t), \dots, y_{n_y}(t)\} \in \mathbb{R}^{n_y}$, it can be rewritten with the order of output channels as $\mathcal{Y} = \{\mathbf{y}_1, \dots, \mathbf{y}_{n_y}\}$, $\mathbf{y}_i = \{y_i(0); \dots; y_i(N-1)\}$, $i = 1, \dots, n_y$.

Denoting $\omega_m := \frac{2\pi}{N}m$, $m = 0, \dots, N-1$ as m -th discrete frequency for N -length data, $\phi_y^i := \{\phi_y^i(\omega_0); \dots; \phi_y^i(\omega_{N-1})\} \in \mathbb{C}^N$ denotes the discrete spectrum of N -length \mathbf{y}_i , which can be estimated by $\phi_y^i \doteq \text{DFT}(\mathbf{y}_i)$. Furthermore, the discrete spectrum of \mathcal{Y} is described as $\phi_y := \{\phi_y^1; \dots; \phi_y^{n_y}\} \in \mathbb{C}^{(n_y \times N) \times 1}$. There are similar notations as used such as ϕ_d , ϕ_r , ϕ_{uf} and ϕ_{uh} .

In the frequency domain, the plant G is described as function $\{\phi_d, \phi_u\} \mapsto \phi_y$:

$$\phi_y = \Phi_G(\phi_d, \phi_u) = \Phi_G(\phi_d, \phi_u^1, \dots, \phi_u^{n_u}) \quad (4)$$

and controller system C is described as function $\{\mathbf{w}, \phi_r, \phi_y\} \mapsto \phi_u$:

$$\Phi_C(\mathbf{w}, \phi_r, \phi_y) : \begin{aligned} \Phi_F : \phi_{uf} &= \Phi_F(\mathbf{w}_F, \phi_r) \\ \Phi_H : \phi_{uh} &= \Phi_H(\mathbf{w}_H, \phi_y) \\ \phi_u &= \phi_{uf} + \phi_{uh} \end{aligned} \quad (5)$$

In LTI systems, FRF Φ_G , Φ_H and Φ_F are derivative functions with respect to inputs' spectrum.

Therefore, some notations can be defined as follows: $\Phi_G := \frac{\partial \phi_y}{\partial \phi_u} \in \mathbb{C}^{(n_y \times N) \times (n_u \times N)}$, $\Phi_F := \frac{\partial \phi_{uf}}{\partial \phi_r} \in \mathbb{C}^{(n_u \times N) \times (n_r \times N)}$, $\Phi_F^{(w,u)} := \frac{\partial \phi_{uf}}{\partial \mathbf{w}_F} \in \mathbb{C}^{(n_u \times N) \times (n_{wf})}$, $\Phi_H := \frac{\partial \phi_{uh}}{\partial \phi_y} \in \mathbb{C}^{(n_u \times N) \times (n_y \times N)}$ and $\Phi_H^{(w,u)} := \frac{\partial \phi_{uh}}{\partial \mathbf{w}_H} \in \mathbb{C}^{(n_u \times N) \times (n_{wh})}$.

Considering LTI in Fig. 1, the plant G in frequency domain can be written with increment format as

$$\Delta \phi_y = \Phi_G(\Delta \phi_{uf} + \Delta \phi_{uh}) \quad (6)$$

With regard to the small increment of parameter \mathbf{w} , i.e., $\Delta \mathbf{w}_F$ and $\Delta \mathbf{w}_H$, it is straight forward to write

$$\Delta \phi_y = \Phi_G(\Phi_F^{(w,u)} \Delta \mathbf{w}_F + \Phi_H^{(w,u)} \Delta \mathbf{w}_H + \Phi_H \Delta \phi_y) \quad (7)$$

Using notations $\Delta \phi_{uf}^w := \Phi_F^{(w,u)} \Delta \mathbf{w}_F$, $\Delta \phi_{uh}^w := \Phi_H^{(w,u)} \Delta \mathbf{w}_H$ and $\Delta \phi_{uh}^y := \Phi_H \Delta \phi_y$, the incremental relationship (7) can be graphically described by following Fig 2.

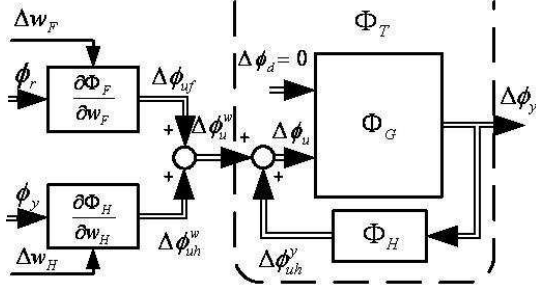


Fig. 2. Block diagram of small increment in frequency domain

If $(I - \Phi_G \Phi_H)^{-1}$ exists, the input/output mapping $\Delta \phi_u^w \mapsto \Delta \phi_y$ can be rewritten from (7) as

$$\Delta \phi_y = (I - \Phi_G \Phi_H)^{-1} \Phi_G (\Delta \phi_{uf}^w + \Delta \phi_{uh}^w) \quad (8)$$

Considering LTI closed loop dynamics $T := \{G, H\}$, the FRF of T is defined as

$$\Phi_T := (I - \Phi_G \Phi_H)^{-1} \Phi_G \in \mathbb{C}^{(n_y \times N) \times (n_u \times N)}, \quad (9)$$

From (8), the derivative of ϕ_y with respect to controller parameters w_H and w_F can be written as

$$\frac{\partial \phi_y}{\partial w_H} = \Phi_T \Phi_H^{(w,u)} \quad (10)$$

$$\frac{\partial \phi_y}{\partial w_F} = \Phi_T \Phi_F^{(w,u)} \quad (11)$$

According to Parseval's theorem (Oppenheim and Willsky, 1996), it is straightforward to write (3) in the frequency domain format as

$$J = \frac{1}{N^2} \sum_{i=1}^{n_y} \sum_{j=0}^{N-1} \phi_y^*(\omega_j) q_i \phi_y^i(\omega_j) = \frac{1}{N^2} \phi_y^* Q_F \phi_y \quad (12)$$

where $Q_F \in \mathbb{R}^{(n_y \times N) \times (n_y \times N)}$ is the performance weighting matrix Q in the frequency domain.

The derivative of performance J with respect to controller parameters can be written as

$$\frac{\partial J(w)}{\partial w_i} = \frac{2}{N^2} \phi_y^* Q_F \Phi_T \Phi_C^{(w_i, u)} \quad (13)$$

where $\Phi_C^{(w_i, u)} := \frac{\partial \Phi_C(w, \phi_y, \phi_r)}{\partial w_i}$.

3.2 Tuning of MIMO systems in the frequency domain

In (13) the key to estimate $\frac{\partial J(w)}{\partial w_i}$ is to compute Φ_T , which has $n_y \times N$ rows and $n_u \times N$ columns.

In this subsection, some assumptions are introduced and some estimation techniques are given to simplify the estimation of Φ_T , and the practical tuning strategy is proposed for the MIMO ANVC problem.

First note that most disturbance signals in engineering can often be considered to have finite discrete spectrum, especially in ANVC problems. For a periodic output y with common period N , only a finite set of frequencies, $\omega = \{\omega_1, \dots, \omega_{n_\omega}\}$, are

included in ϕ_y , the other elements in ϕ_y are 0. In this case, (9) can be written as finite frequency format as

$$\Phi_T|_\omega = (I - \Phi_G|_\omega \Phi_H^i|_\omega)^{-1} \Phi_G|_\omega, \quad (14)$$

and similarly (13) can be rewritten as

$$\nabla J(w^i) = \frac{2}{N^2} \phi_y^*|_\omega \Phi_T^i|_\omega \Phi_C^{(w,u)}|_\omega. \quad (15)$$

Remark 1. It should be noted that, in the previous subsection, there was no limitation about the spectrum of y , r and u . Theoretically, the gradient based tuning described by (10), (11) and (13) is applicable for any LTI control problems. There is similar idea arised in (Kammer *et al.*, 2000) for servo control.

Secondly, an indirect estimate of Φ_T is more convenient for the online tuning. According to (9), if Φ_G can be estimated, Φ_T can be solved since H is known by the designer.

Note that if Φ_G is assumed a LTI system, FRF is independent with respect to different frequencies. To ease the notation, for a single frequency FRF of Φ_G , the $\Phi_G(\omega)$ is used in the following discussion, and the extension to complete Φ_G is straightforward.

Note that $\Phi_G(\omega) \in \mathbb{C}^{n_y \times n_u}$ has $n_y \times n_u$ unknown variables, which can be solved out through a full-rank $n_y \times n_u$ equation matrix.

Considering plant G , it is straightforward to get the an equation system

$$\Delta \phi_y(\omega) = \Phi_G(\omega) \Delta \phi_u(\omega), \quad (16)$$

which gives n_y equations.

Therefore, considering the case of the full rank equations, given n_u such equation groups as in (16), $\Phi_G(\omega)$ can be obtained by solving an equation system with $n_u \times n_y$ equations.

To summarize, under the assumption of a finite frequency set ω for the disturbance and assuming an LTI system, we have following tuning strategy in the frequency domain:

At the i -th iteration,

- (1) Estimate $\Phi_G|_\omega$ by solving the equation set from (16);
- (2) Calculate $\Phi_T^i|_\omega$ with (14);
- (3) Solve the derivative of J with (15);
- (4) Update the controller parameter w with

$$w^{i+1} = w^i - \mu \nabla J(w^i) \quad (17)$$

where μ is a proper step size to update the controller.

Remark 2. As above stated, at least n_u different equation groups as (14) are required to solve $\Phi_G(\omega)$, which means n_u pairs of difference data $\{\Delta u, \Delta y\}$ are required. In the implementation, in order to get the estimate of $\Phi_G|_\omega$, $1 + n_u$ experiments are required to yield n_u pairs of $\{\Delta u, \Delta y\}$.

For LTI systems, $\Phi_G|_\omega$ is considered unchanged and can be estimated offline. $\Phi_T|_\omega(\Phi_G|_\omega, \Phi_H^i|_\omega)$ can be updated with the change of H^i . Therefore, to make N_T times gradient based tuning, plus the n_u additional experiments, $N_T + n_u$ time iterations are necessary to be performed.

3.3 Further discussion about the implementation

In this subsection some issues are discussed with regard to the implementation of FD-IFFT in practice.

First note that N should be the common period of \mathbf{y} and \mathbf{r} . In the time domain, (3) vary with the starting point if N is not the common period of \mathbf{y} . In the frequency domain, while ϕ_y and ϕ_r is the discrete spectrum based on N length time series data, the necessary condition to make $\phi_y = \text{DFT}(\mathbf{y})$ and $\phi_r = \text{DFT}(\mathbf{r})$ is that \mathbf{y} and \mathbf{r} has common period of N . In the practice, the common period N is often offline estimated, otherwise requires a proper long period experiment to make online estimate.

Secondly, the format of tunable $C = \{F, H\}$ can vary in different applications.

It is noted that there is no limitation about the format of the controllers in (15).

FIR controllers are one of the most convenient controller structures to realize. For some simple ANVC applications with few frequencies, especially single frequency control, FIR controllers can give the satisfying tuning result with the simple controller structure and easy tuning algorithm, which is important in practice.

In order to achieve higher level of cancelation performance and more robust tuning performance, the Frequency-Selective-Filter (FSF) can be introduced for each of main frequencies in the signal, which is called FSF-FD-IFFT in the following discussion.

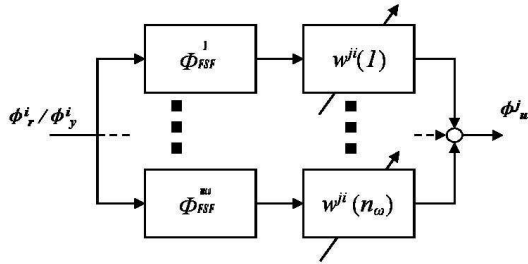


Fig. 3. Block diagram in frequency domain of one sub-block in the FSF controller

Fig. 3 shows the typical block diagram of one sub-block in the FSF controller with n_ω FSF channels, which is from the i -th input to the j -th output. For the finite set of $\omega = \{\omega_1, \dots, \omega_{n_\omega}\}$, there are n_ω FSF channels. Φ_{FSF}^m denotes the band-pass FSF with central frequency ω_m , which cascaded with a tunable complex gain module $w^{ji}(m)$, where $m = 1, \dots, n_\omega$.

4. SIMULATION

This section illustrates the usefulness of the FD-IFFT as tested in simulation using MATLAB. FIR control structure and FSF-FD-IFFT are tested and compared. The robustness against the error in the common period N is discussed with a simulation example.

4.1 Simulation platform

The block diagram of the Simulink-based simulation is given in Fig. 4. It is a 2-input and 2-output LTI system. y_1, y_2, r_1 and r_2 denote the data acquired for output and reference signals.

Module Ny_1, Ny_2, Nr_1 and Nr_2 denote the sensor noise in the output and reference paths. They are assumed as white noise with standard deviation 0.001.

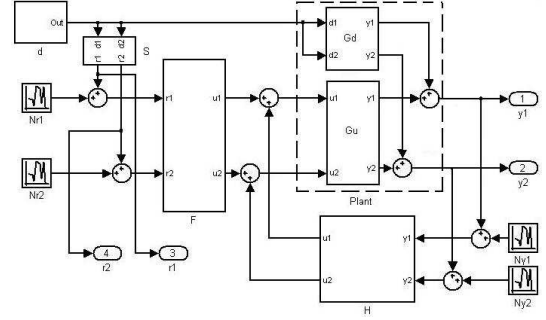


Fig. 4. Block diagram for simulation

In Fig. 4, control path G_u is given by

$$\begin{bmatrix} \frac{0.1q^{-8} - 0.3q^{-9}}{1 + 0.2q^{-1} - 0.2q^{-2}} & \frac{0.01q^{-6} - 0.03q^{-7}}{1 + 0.02q^{-1} - 0.02q^{-2}} \\ -0.02q^{-7} - 0.02q^{-8} & -0.2q^{-8} - 0.3q^{-9} \\ \frac{1 + 0.01q^{-1} - 0.01q^{-2}}{1 + 0.1q^{-1} - 0.2q^{-2}} & \frac{0.01q^{-6} - 0.03q^{-7}}{1 + 0.1q^{-1} - 0.2q^{-2}} \end{bmatrix} \quad (18)$$

and disturbance path G_d is given by

$$\begin{bmatrix} \frac{0.85q^{-4}}{1 + 0.4q^{-1}} & 0 \\ 0 & \frac{0.95q^{-6}}{1 - 0.2q^{-2}} \end{bmatrix} \quad (19)$$

The sampling frequency is 4kHz. The disturbance signal \mathbf{d} is a mix of three sine-waves with frequencies of 50Hz, 80Hz and 100Hz and a white noise signal w_t with standard deviation 0.01, leading to:

$$d(t) = \frac{1}{3} [\sin(100\pi t) + \sin(160\pi(t - 0.091)) + \sin(200\pi t)] + w_t \quad (20)$$

The uncontrolled output is shown in Fig. 5.

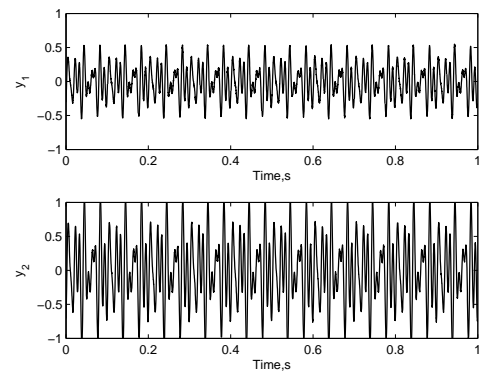


Fig. 5. Initial output without control

The reference signal $r(t)$ is obtained from $d(t)$ by S :

$$S(q) = \begin{bmatrix} \frac{0.8q^{-8}}{1+0.8q^{-1}} & 0 \\ 0 & \frac{0.5q^{-10}}{1+0.9q^{-1}} \end{bmatrix} \quad (21)$$

4.2 Simulation for FIR-FD-IFFT and FSF-FD-IFFT

In this subsection, two formats of the controller structure are tested with the above simulated platform. One is an FIR controller structure (FIR-FD-IFFT), another is FSF-FD-IFFT.

FIR-FD-IFFT has the 10-th order feedback controller and the 40-th order feedforward controller. The step size (adaptation gain) for feedforward controller tuning is $\mu_f = 0.1$ and step size for feedback controller tuning is $\mu_h = 0.02$.

In FSF-FD-IFFT, 1st-order Butterworth bandpass filters are on-line designed according to the spectrum of \mathbf{y} . The bandwidths of the FSF were given by the disturbance frequency ± 10 percent which also eliminates the unwanted white noise in the tuning. The step size (adaptation gain) for feedforward controller tuning is $\mu_f = 8.0$ and step size for feedback controller tuning is $\mu_h = 2.0$.

The signal period is defined as $N = 800$. The iteration time of tuning is set 50. All the initial controllers are set to zeros. The weighting matrix is $Q = \text{diag}([1.00.8])$. The initial performance criterion without control is 0.2443. In order to perform an initial estimate of G , only the sub-block from \mathbf{r}_1 to \mathbf{u}_{f1} in H is changed to be 0.2 in the 2nd iteration, and only the sub-block from \mathbf{r}_2 to \mathbf{u}_{f2} in H is changed to be 0.2 in the 3rd iteration.

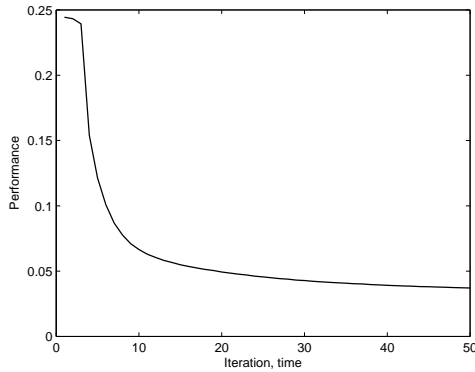


Fig. 6. Performance update in FIR-FD-IFFT

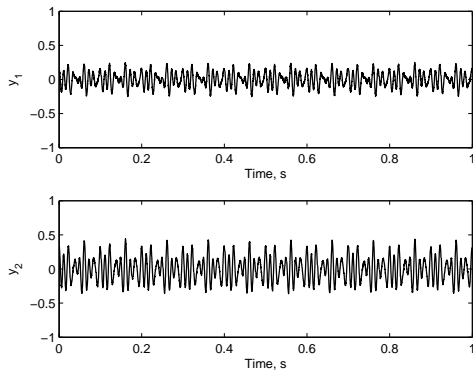


Fig. 7. Final output of FIR-FD-IFFT

Fig. 6 shows the updating performance in FIR-FD-IFFT: The 2nd and 3rd iteration are manual updates, which give $J(2) = 0.2432$ and $J(3) = 0.2391$. After 50 iterations, the final performance is $J = 0.0371$ with 8.2dB cancellation. The final output with control is shown in Fig.7.

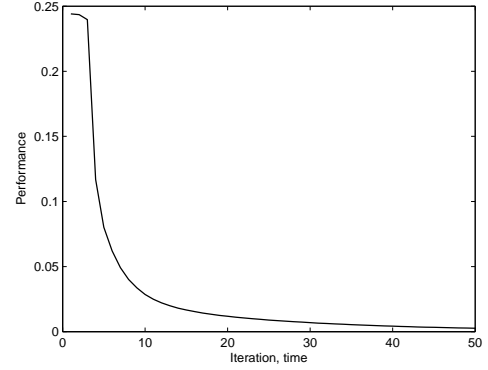


Fig. 8. Performance update in FSF-FD-IFFT

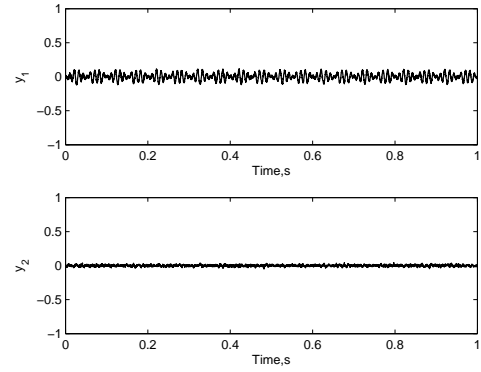


Fig. 9. Final output of FSF-FD-IFFT

Fig. 8 shows the updating performance in FSF-FD-IFFT. After 50 iterations, the final performance is $J = 0.0026$ with 19.8dB cancellation. The final output with control is shown in Fig.9.

It is obvious that FSF-FD-IFFT can supply much better tuning performance than FIR-FD-IFFT.

5. EPILOGUE

A hybrid iterative feedback/feedforward tuning approach in the frequency domain has been presented that uses an innovative way of computing gradient estimates of the controller cost function. Compared to IFT in the time domain, this method simplifies both control structure and control operation.

The method is ideally suitable for ANVC applications with feedback and feedforward controllers. After providing a general general idea about iterative tuning in the frequency domain, some detail issues were discussed for applications. The effectiveness and flexibility of FD-IFFT was shown by simulation examples.

As the basic scheme was outlined and tested in simulation, the robustness of controller is still questionable. Future work on robustification will be possible to perform directly in the frequency domain. Extension of the general framework to other control application except ANVC also requires further research.

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