

1                   **A well oxygenated eastern tropical Pacific during the warm Miocene**

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15                   **Summary**

16                   The oxygen content of the oceans is susceptible to climate change, and has declined in  
17                   recent decades<sup>1</sup>, with the largest effect in oxygen deficient zones (ODZs)<sup>2</sup>, mid-depth ocean  
18                   regions with oxygen concentrations  $<5 \mu\text{mol/kg}$  (ref. 3). Earth system model simulations of  
19                   climate warming predict that ODZs will expand until at least 2100. The response on timescales  
20                   of 100s–1000s of years, however, remains uncertain<sup>3–5</sup>. Here, we investigate changes in the  
21                   response of ocean oxygenation during the warmer-than-present Miocene Climatic Optimum  
22                   (17.0–14.8 Ma). Our planktic foraminifera I/Ca and  $\delta^{15}\text{N}$  data, paleoceanographic proxies  
23                   sensitive to ODZ extent and intensity, indicate that dissolved oxygen concentrations in the  
24                   eastern tropical Pacific exceeded 100  $\mu\text{mol/kg}$  during the Miocene Climatic Optimum. Paired  
25                   Mg/Ca-derived temperature data suggest that an oxygen deficient zone developed in response to  
26                   an increased west-to-east temperature gradient and shoaling of the eastern tropical Pacific  
27                   thermocline. Our records align with model simulations of data from recent decades to centuries  
28                   <sup>6,7</sup> that suggest weaker equatorial Pacific trade winds during warm periods may lead to decreased  
29                   equatorial upwelling, causing equatorial productivity to be less concentrated in the east. These  
30                   findings shed light on how warm climate states like during the Miocene Climatic Optimum may  
31                   affect ocean oxygenation. If the MCO is considered as a possible analogue to future warming,  
32                   our findings seem to support models suggesting that the recent deoxygenation trend and  
33                   expansion of the eastern tropical Pacific oxygen deficient zone may eventually reverse<sup>3,4</sup>.

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35                   **Introduction**

36                   Loss of ocean oxygen over the past 50 years, attributed to warming, has adversely  
37                   affected coastal environments and intensified oxygen deficient zones (ODZs), especially in the  
38                   eastern tropical Pacific (ETP)<sup>2,8</sup>. ODZs are water masses characterized by low dissolved oxygen  
39                   concentrations (generally  $<5 \mu\text{mol/kg}$ ) at  $\sim 200$ –700 meters water depth. They form in regions  
40                   where upwelling high-nutrient waters fuel high biological productivity<sup>9</sup>. The remineralization of  
41                   sinking organic matter further drives down oxygen concentration in poorly ventilated  
42                   intermediate waters, forming the ODZ<sup>9</sup>. Earth system model simulations of atmospheric CO<sub>2</sub>-

43 induced warming consistently predict that ODZs will expand until at least 2100 in response to  
44 warming-induced decreased solubility. The response on timescales of 100s–1000s of years is  
45 uncertain<sup>3–5</sup>. Some models simulate that enhanced ventilation from the Southern Ocean and/or a  
46 concomitant decrease in biological export production in the tropical ocean will reverse the recent  
47 deoxygenation trend<sup>3,4</sup>, whereas others predict persistent ODZ expansion<sup>5</sup>. Here we investigate  
48 the response of the ETP ODZ (Fig. 1) to the Miocene Climatic Optimum (MCO; 17.0–14.8 Ma),  
49 a potential analog for future warming when temperatures were warmer than today (e.g., bottom  
50 water temperatures up to 9°C warmer than present)<sup>10</sup>, and compare it with the response during  
51 subsequent cooling in the Mid-Miocene Climatic Transition (MMCT; 14.8–12.6 Ma).

52 In planktic foraminifera shells, we measured iodine-to-calcium ratios (I/Ca) and the  
53 isotopes of shell-bound organic nitrogen (FB- $\delta^{15}\text{N}$  for “foraminifera-bound  $\delta^{15}\text{N}$ ”),  
54 paleoceanographic proxies sensitive to ODZ extent<sup>11,12</sup>, and magnesium-to-calcium ratios  
55 (Mg/Ca) as a proxy for temperature in Ocean Drilling Program Site 845 (9°34.950'N,  
56 94°35.448'W), which underlies the core of the northern lobe of the ETP ODZ (Fig. 1). I/Ca is  
57 sensitive to changes in ODZ intensity<sup>13</sup>. It relies on the fact that in suboxic conditions,  
58 (generally ~2–10  $\mu\text{mol/kg}$  but perhaps up to 100  $\mu\text{mol/kg}$ ; refs. <sup>13,14</sup>), such as occur in ODZs,  
59 iodate ( $\text{IO}_3^-$ ) is reduced to iodide ( $\text{I}^-$ )<sup>15</sup>. Because iodine oxidation is relatively slow (months to  
60 years), waters mixing upwards from the ODZ retain relatively low iodate concentrations despite  
61 the high oxygen concentrations above the ODZ<sup>16</sup>. This is recorded by foraminifera living above  
62 the ODZ as low I/Ca because their calcitic shells incorporate iodate but not iodide<sup>11</sup>. FB- $\delta^{15}\text{N}$   
63 has been used to detect past changes in denitrification<sup>17,18</sup>, in which bacteria use nitrate ( $\text{NO}_3^-$ )  
64 instead of oxygen ( $\text{O}_2$ ) as the electron acceptor for the oxidation of organic matter; its occurrence  
65 in the water column is largely restricted to the ODZs. Denitrification discriminates against  $^{15}\text{N}$ ,  
66 so its occurrence in the ODZs leaves the remaining nitrate pool with a high  $^{15}\text{N}/^{14}\text{N}$  ratio (or  
67  $\delta^{15}\text{N}$ , where  $(\delta^{15}\text{N} = ((^{15}\text{N}/^{14}\text{N})_{\text{sample}}/(^{15}\text{N}/^{14}\text{N})_{\text{atm N}_2} - 1)) \times 1,000\%$ ). When this high- $\delta^{15}\text{N}$  nitrate  
68 is upwelled or mixed into surface waters, it is recorded in surface ocean plankton, including the  
69 tissue and shell-bound organic matter of foraminifera<sup>12,19</sup>, and the shell-bound  $\delta^{15}\text{N}$  is preserved  
70 over millions of years<sup>17,18</sup>.

## 71 Miocene Oxygenation History

72 We analyzed surface- and subsurface-dwelling foraminifera *Dentoglobigerina altispira*  
73 and *Dentoglobigerina venezuelana*, respectively, from Site 845. Both species record relatively  
74 high I/Ca values during the MCO (4.9±1.0 and 4.9±0.7  $\mu\text{mol/mol}$ , respectively, during 15.1–16.0  
75 Ma) followed by significantly lower values during MMCT cooling (1.8±0.4 and 1.4±0.4  
76  $\mu\text{mol/mol}$ , respectively, during 14.8–11.7 Ma) (Fig. 2a). Core top calibrations suggest that I/Ca  
77 >~4  $\mu\text{mol/mol}$  characterizes areas where the minimum oxygen concentration in the water  
78 column ( $[\text{O}_2]_{\text{min}}$ ) exceeds 100  $\mu\text{mol/kg}$ <sup>13,20</sup>. In contrast, in the ETP, I/Ca<sub>subsurface</sub> <1.5  $\mu\text{mol/mol}$   
79 indicates local oxygen concentrations of <7  $\mu\text{mol/kg}$ <sup>14</sup>. Based on the current I/Ca-[ $\text{O}_2]_{\text{min}}$   
80 calibration<sup>13,20</sup>, our records suggest that the ETP ODZ was well oxygenated during the warm  
81 MCO, with apparently >100  $\mu\text{mol/kg}$  oxygen, similar to the modern South Pacific subtropical  
82 gyre where there is no ODZ, and subsequently decreased to <7  $\mu\text{mol/kg}$  during MMCT cooling  
83 (Extended Data Fig. 3). The sharp transition from well oxygenated to poorly oxygenated  
84 conditions spans ~250 ky recorded in 7.2 m of sediment core and is therefore not artificially  
85 prolonged by sediment mixing.

86 In the modern ocean, in areas without a strong ODZ, iodate concentration is relatively  
87 constant through the water column (~100 nM range) except for moderate depletions in the mixed

88 layer due to consumption by biological productivity <sup>21</sup>. In the ETP, iodate concentration is  
89 relatively constant in the upper thermocline and mixed layer (<20 nM range), with a strong  
90 iodate chemocline in the lower thermocline (~200 nM shift over ~50 water depth) <sup>22</sup>. The  
91 similarity in I/Ca of the two species is consistent with the findings of Boscolo-Galazzo et al. (ref.  
92 <sup>23</sup>) suggesting that *D. altispira* and *D. venezuelana* occupied a depth habitat within the upper 200  
93 m of the water column in the ETP during the MCO and MMCT.

94 The FB- $\delta^{15}\text{N}$  records confirm the Middle Miocene deoxygenation trend and further  
95 constrain its timing and magnitude. FB- $\delta^{15}\text{N}_{\text{venezuelana}}$  values at Site 845 are relatively low during  
96 the MCO and rise by 3.1‰ from 14.7 to 12.6 Ma (Fig. 2b), suggesting little to no ETP water  
97 column denitrification during the MCO and increasing denitrification beginning at 14.7 Ma. The  
98 lag in FB- $\delta^{15}\text{N}$  rise after the I/Ca shift is consistent with the higher reduction potential of iodate  
99 than nitrate <sup>11</sup> (i.e., reduction of iodate at less than ~70  $\mu\text{mol/kg}$   $\text{O}_2$  and nitrate at less than ~5  
100  $\mu\text{mol/kg}$ ) <sup>13</sup> and progressive deoxygenation of the ODZ. At Site 872, at the western edge of the  
101 northern lobe of the ETP ODZ (Fig. 1), FB- $\delta^{15}\text{N}_{\text{altispira}}$  begins to rise at 13.6 Ma (Fig. 2) <sup>18</sup>,  
102 suggesting gradual expansion of <sup>15</sup>N-enriched waters westward from the ODZ. The difference in  
103 FB- $\delta^{15}\text{N}$  values between sites may involve the cores' locations relative to the ODZ (Fig. 1). In  
104 any case, the values measured at both sites during the MCO are lower than expected in close  
105 proximity to a denitrifying environment <sup>12,24</sup>. While the FB- $\delta^{15}\text{N}$  record from Site 872 shows  
106 decreased denitrification during the MCO, suggesting more restricted geographical extent of the  
107 Pacific ODZ <sup>18</sup>, the new I/Ca and FB- $\delta^{15}\text{N}$  records suggest that the ETP ODZ was fully  
108 oxygenated during the MCO. A full-scale ODZ with significant denitrification developed only  
109 after the transition to a cooler climate.

110 Taken together, these data show that during the warm part of the Miocene (16.0–15.1  
111 Ma) the ETP was well oxygenated. By 15.1 Ma, oxygen concentration had begun to fall and by  
112 14.7 Ma reached levels conducive to denitrification. By 13.6 Ma, the signal of denitrification in  
113 the ODZ increased to the point of strongly impacting nitrate  $\delta^{15}\text{N}$  in the western tropical Pacific,  
114 indicating a spatial extent more comparable to today.

## 115 Proposed Mechanism

116 The rapidity of changes recorded in the foraminifera I/Ca and  $\delta^{15}\text{N}$  proxies suggest that  
117 climate, rather than tectonics, was the primary driver of Miocene ODZ changes. The Central  
118 American Seaway, connecting the ETP with the Caribbean, remained open to even deep-water  
119 throughflow until the Late Miocene, with no MCO-MMCT-related changes <sup>25</sup>. The direct effect  
120 of warmer MCO temperatures would have been to augment deoxygenation due to reduced  
121 oxygen solubility <sup>26</sup>, which in itself would have tended to expand, not contract, the MCO ODZ.  
122 Thus, we must turn to changes in ocean circulation and biological productivity to propose  
123 explanations for ODZ contraction during the MCO. Models suggest two mechanisms that could  
124 enhance ocean oxygenation in warmer climates: (i) increased deep-ocean ventilation from the  
125 high-latitude oceans and/or (ii) decreased biological export production in the tropical Pacific <sup>3–5</sup>,  
126 which has been attributed to weaker tropical Pacific trade winds (i.e., Walker Circulation) under  
127 warmer climates in the past <sup>27</sup> and under anthropogenic global warming <sup>28</sup>. Although the two  
128 mechanisms are not mutually exclusive, our Mg/Ca temperature reconstructions, measured  
129 alongside I/Ca, provide evidence that the latter played a role during the MCO.

130 Planktic foraminifera Mg/Ca-derived sea-surface temperatures (SSTs) show weak  
131 gradients during the MCO zonally along the equatorial Pacific and meridionally from the  
132 equatorial Pacific to the Southern Ocean, with these gradients strengthening during the MMCT

133 (Fig. 3a). West tropical Pacific SST was relatively stable over the study period, with  $\sim 2^{\circ}\text{C}$   
134 cooling at 13.8–13.3 Ma. In contrast, the Southern Ocean cooled by  $\geq 6^{\circ}\text{C}$  from  $\sim 14.2$ –11.7 Ma,  
135 and the ETP cooled by  $\sim 4^{\circ}\text{C}$  throughout the 16.5–11.5 Ma study interval. The equatorial Pacific  
136 SST gradient increased between  $\sim 15.5$  and 12 Ma, in step with global cooling (Fig. 3a).  
137 Subsurface temperatures in the ETP cooled more than the surface and became more variable  
138 starting at  $\sim 14.6$  Ma (Fig. 3b), reflecting that in addition to global cooling there was concomitant  
139 shoaling of the thermocline and increased upwelling during the MMCT, as suggested previously  
140 on the basis of foraminiferal oxygen and carbon isotopes<sup>29,30</sup>. More variability in  $\delta^{18}\text{O}_{\text{venezuelana}}$   
141 during the MMCT at nearby Site U1337 has been attributed to increased upwelling<sup>30</sup>, consistent  
142 with this interpretation. While we cannot rule out that *D. venezuelana* migrated to a deeper depth  
143 habitat, the similar I/Ca values for both species throughout our record suggest it did not migrate  
144 out of the depths with stable iodate concentrations (i.e., to below the upper thermocline<sup>22</sup>).

145 In the modern ETP, fewer nutrients are introduced into the photic zone when the  
146 upwelling is reduced (e.g., during El Niño events) due to a reduction in the upward water  
147 transport. In addition, there is an accompanying deepening of the thermocline and therefore a  
148 decrease in the capacity of the upward water transport to entrain nutrients from below the  
149 thermocline water into the subsurface. These coupled changes reduce ETP biological  
150 productivity and the flux of sinking organic matter, which in turn allows the ODZ to contract<sup>6</sup>.  
151 A reduction in trade winds-driven upwelling, caused by the warmer climate, may explain the  
152 contraction of the ETP ODZ during the MCO. An increase in ventilation of the high-latitude  
153 sourced equatorial intermediate-depth water might have further contributed to the oxygenation of  
154 the ODZ at that time.

155 The reduction in tropical temperature gradients and increased thermocline depth may  
156 have been partly due to weakening of the trade winds and thus a deeper thermocline in the ETP  
157 during the MCO, resulting in reduced nutrient supply to the surface. As a result, export  
158 production was likely less focused in the ETP, leading to lower oxygen consumption in the  
159 poorly ventilated thermocline waters of this region and thus contraction and possibly collapse of  
160 the ODZ as suggested by our proxy records. During the MMCT, stronger equatorial trade winds  
161 raised the ETP thermocline, allowing for greater upwelling and nutrient supply, which focused  
162 remineralization and associated oxygen consumption in the ETP, leading to the formation of a  
163 strong ODZ (Fig. 4).

164 The rise in FB- $\delta^{15}\text{N}$  during the MMCT was weaker at Site 845 than at Site 872, despite  
165 the proximity of Site 845 to the ETP ODZ. Given the paleolocation of Site 845 (Fig. 1), an  
166 enhancement of ETP upwelling after the MCO may have allowed for incomplete nutrient  
167 consumption at the surface. Partial nutrient consumption, with its associated preference for  $^{14}\text{N}$   
168<sup>31</sup>, may have lowered the  $\delta^{15}\text{N}$  of plankton and foraminifera relative to the subsurface nitrate  
169 pool, thus counteracting a portion of the  $\delta^{15}\text{N}$  rise arising from the expansion of the ODZ and  
170 thus explaining the smaller FB- $\delta^{15}\text{N}$  rise at Site 845 than at Site 872. This interpretation of the  
171 FB- $\delta^{15}\text{N}$  records offers further preliminary support for ETP upwelling changes as the cause of  
172 ODZ expansion after the MCO.

173 While investigations of Miocene ETP productivity have not identified a consistent  
174 change in productivity from the MCO to the MMCT<sup>32,33</sup>, the preservation of such a signal is  
175 never assured. For example, barite particles used for reconstructing productivity can be reduced  
176 to a soluble form and remobilized under suboxic conditions. Also, because remineralization of  
177 sinking organic particles happens more quickly in warmer temperatures, relatively warm

178 temperatures during the Miocene may have caused less organic matter to reach the sediment<sup>23</sup>.  
179 We note that a high-resolution record from site U1338 shows prolonged (~150 ky) periods of  
180 enhanced opal accumulation centered at ~14 and 13.8 Ma, coincident with the major cooling of  
181 the MMCT. Increased benthic foraminifera accumulation rates after ~13.83 Ma also coincide  
182 with the increased opal accumulation rates, offering further support to the hypothesized  
183 increased export production during the global cooling step<sup>34</sup>.

184 **Implications**

185 To the extent that we can use the MCO as an analog for a future warm world, the  
186 oxygenation of the ETP at that time supports models arguing that recent deoxygenation trends  
187 may reverse in the future, leading to weaker ODZs under global warming. A caveat in this  
188 interpretation is that processes with long time scales that were involved in the MCO changes  
189 might not be relevant to the coming century. Both upper ocean and deep ocean mechanisms have  
190 been proposed for weakening the ETP ODZ under warmer climates<sup>18</sup>. Our data provide  
191 preliminary evidence for one of the upper ocean mechanisms, specifically, a weakening of the  
192 tropical Pacific trade winds during warming<sup>27,28</sup>. This mechanism operates on interannual and  
193 decadal time scales<sup>6,7</sup>, suggesting that our findings of ODZ oxygenation during the MCO are  
194 relevant for the coming decades and centuries of anthropogenic global warming. If so, then the  
195 recent (1979–2014) strengthening of the ETP ODZ will give way to ODZ contraction as  
196 anthropogenic global warming continues<sup>7,35</sup>.

197

198 Data Availability Statement: All data generated during this study are available as source data  
199 files for figures in which they appear and in the NOAA database ([data doi link](#)).

200

201 Competing Interest Statement: The authors declare no competing interests.

202 **Figure. 1.** Map showing areal distribution and intensity of the ETP ODZ. Oxygen concentrations  
203 are reported from the depth of maximum depletion. Shown are the locations of Site 845 at the  
204 heart of the northern lobe of the ETP ODZ and Site 872 at its westward extension. Made in  
205 Ocean Data View<sup>36</sup> using GLODAP 2019<sup>37,38</sup> data by DIVA gridding data points shown as gray  
206 dots using 3:2 x:y grid cell size and excluding outliers.

207 **Brief title:** Map showing areal distribution and intensity of the ETP ODZ

208 **Figure 2.** Geochemical proxies relevant to Middle Miocene ETP oxygenation from sites 845  
209 (eastern tropical Pacific; all solid lines, with or without filled circles) and 872 (western tropical  
210 Pacific; dashed line and diamonds). Darkness indicate species. Data includes replicates. **(a)** I/Ca,  
211 with average and  $\pm 1$  standard deviation for the 16.0–15.1 and 14.8–11.7 Ma intervals. **(b)** FB-  
212  $\delta^{15}\text{N}$ . Oxygen **(c)** and carbon **(d)** isotopes are included for reference, labeled with carbon maxima  
213 (CM) events <sup>39</sup> and Miocene maxima (Mi) <sup>40</sup>.

214 **Brief title:** Geochemical proxies relevant to Middle Miocene ETP oxygenation from sites 845  
215 and 872

216

217 **Figure 3.** Middle Miocene temperature. **(a)** Average sea-surface temperature (SST) anomalies  
218 by region. Zonal and meridional SST gradients are the differences between curves. Smoothed  
219 using 100 ky bins to match resolution at Site 845, presented relative to their 15–16 Ma averages.  
220 See Extended Data Figure 6 for records at individual sites. **(b)** Surface and subsurface  
221 temperatures in the ETP. Vertical temperature gradient between surface and subsurface species, a  
222 measure of the thermocline depth, highlighted with gray shading. Data include replicates.

223 **Brief title:** Middle Miocene temperature  
224

225 **Figure 4.** Conceptual model for (a) a strong ETP ODZ during MMCT cooling and (b) ETP  
226 oxygenation during the warm MCO.

227 **Brief title:** Conceptual model

228 [Additional references from main figures: <sup>41–44</sup>]

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334

335 **Methods**

336 **Carbon and Oxygen Isotope Stratigraphy**

337 Carbon and oxygen isotopes ( $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$ , respectively) were measured in benthic  
338 foraminifera *Cibicidoides mundulus* sp. (4–6 foraminifera per sample from the 212–300  $\mu\text{m}$  size  
339 fraction) to better constrain the age–core depth relationship for Site 845 (Extended Data Figs. 1–  
340 2). Foraminifera were sonicated in deionized water to remove fine particles (e.g.,  
341 coccolithophores) then analyzed using the dual-inlet Optima IR-MS at Rutgers University. Long-  
342 term 1-sigma precision for carbon and oxygen isotopes is 0.05‰ and 0.08‰, respectively.

343 **I/Ca and Mg/Ca**

344 I/Ca and Mg/Ca analyses were done on 11–20 monospecific specimens per sample from  
345 the 300–355  $\mu\text{m}$  size fraction. I/Ca was measured in surface- and subsurface-dwelling  
346 foraminifera *Dentoglobigerina altispira* and *Dentoglobigerina venezuelana*, respectively, at Site  
347 845. Foraminifera were gently crushed between glass plates to open the chambers. Chemical  
348 cleaning and analysis procedures follow those outlined by Zhou, Hess et al.<sup>20</sup>. The new method  
349 allows for the simultaneous measurements of I/Ca and other paleoceanographic proxies,  
350 including Mg/Ca. Zhou, Hess et al.<sup>20</sup> show that core-top I/Ca data generated with the new  
351 method not only successfully differentiate oxygen-depleted from oxygen-enriched waters but  
352 also produce comparable I/Ca data to those used in existing calibrations<sup>13</sup> (Extended Data Fig.  
353 3). Chemical cleaning procedures are those typical for trace element analysis<sup>45,46</sup>. This involves  
354 rinses with ultrapure water to remove fine particulates, rinses with methanol to remove clays,  
355 reductive cleaning with ammonium hydroxide, citric acid/ammonia, and hydrazine to remove  
356 metal oxides, oxidative cleaning with ammonium hydroxide and hydrogen peroxide to remove  
357 organic matter, and a weak acid leach to remove authigenic carbonate. Values were corrected for  
358 the effect of reductive cleaning using the equation  $\text{I/Ca}_{\text{corrected}} = \text{I/Ca}_{\text{reductive}} * 1.3$  of Zhou, Hess et  
359 al.<sup>20</sup>.

360 Immediately before each run, the foraminifera were gradually dissolved in trace metal  
361 clean 0.065N HNO<sub>3</sub> (OPTIMA®) and 100  $\mu\text{l}$  of this solution was diluted with 300  $\mu\text{l}$  trace metal  
362 clean 0.5N HNO<sub>3</sub> to obtain a Ca concentration of 4±2 mmol/L. Samples were analyzed by  
363 Finnigan MAT ElementXR Sector Field Inductively Coupled Plasma Mass Spectrometer (ICP-  
364 MS) operated in low resolution ( $m/\Delta m=300$ ) following the method outlined by Rosenthal et al.  
365<sup>47</sup>. Al/Ca, Ti/Ca, Mn/Ca and Fe/Ca were measured to monitor for sedimentary contamination.  
366 Direct determination of elemental ratios from intensity ratios requires control of the sample Ca  
367 concentration; in each run six standard solutions with identical elemental ratios but variable Ca  
368 concentrations, which covered the range of Ca concentrations of the samples, were included.  
369 These solutions allowed us to quantify and correct for the effects of variable Ca concentrations in  
370 a sample solution on the accuracy of El/Ca measurement (so-called matrix effects) based on the  
371 sample's Ca concentration. Matrix corrections were typically <5% for Mg/Ca and I/Ca.  
372 Instrument precision was determined by repeated analysis of three consistency standards over the  
373 course of this study. To reduce the iodine memory effect and improve washout efficiency,  
374 anhydrous ammonia gas was injected into a high purity quartz cyclonic spray chamber  
375 (Elemental Scientific, ESI), raising the pH of the injected sample (>9.14). The ammonia gas also  
376 likely stabilized the iodate in the solution<sup>20,48</sup>. The long-term precision of the consistency  
377 standard is 0.36  $\mu\text{mol/mol}$  for I/Ca and 0.13 mmol/mol for Mg/Ca. Black bar in Figure 2a is the  
378 average standard deviation of replicate sets from all runs. Replicates are sets of foraminifera  
379 from the same sediment sample. Student's t-tests for the populations of data from 15.1–16.0 Ma  
380 compared to those from 14.8–11.7 Ma show that the populations are statistically different, with  
381 p-values of  $4.7 \times 10^{-8}$  ( $n=12$  variance=1.0 for MCO and  $n=16$  variance=0.16 for MMCT) and

382  $2.2 \times 10^{-6}$  ( $n=7$  variance=0.52 for MCO and  $n=24$  variance=0.078 for MMCT) for *D. altispira* and  
383 *D. venezuelana*, respectively (one-sided two-sample t-tests assuming unequal variances).

384 Mg/Ca-derived temperatures were calculated using the equation

$$385 \text{Mg/Ca}_{\text{foraminifera}} = ((3.43/5.2) * 0.41) * 0.38 e^{0.09 * T},$$

386 where  $T$  is calcification temperature. This methodology is consistent with recent Mg/Ca studies  
387 for the Miocene<sup>41,43,49</sup>. This equation is based on the multi-species equation of Anand et al.<sup>50</sup>:

$$388 \text{Mg/Ca}_{\text{foraminifera}}^{50} = 0.38 e^{0.09 T}.$$

389 Although no correction is applied for salinity and pH, it has been shown that temperature  
390 estimates derived from the multi-species equation of Anand et al.<sup>50</sup> are consistent with estimates  
391 using those corrections<sup>51</sup>. To adjust for different Mg/Ca in seawater (Mg/Ca<sub>sw</sub>) during the  
392 Miocene, we included a species-specific power term (H) from Evans and Müller<sup>52</sup>:

$$393 (\text{Mg/Ca}_{\text{sw Miocene}} / \text{Mg/Ca}_{\text{sw modern}})^H$$

394 For consistency with recent studies using Mg/Ca during the Miocene<sup>43,49</sup>, we used Miocene  
395 Mg/Ca<sub>sw</sub> of 3.43 from fluid inclusions and the species-specific constant H for *Globigerinoides*  
396 *sacculifer* of 0.41. To generate the curves presented in figures, temperature data were smoothed  
397 using a Savitzky–Golay filter with a third order polynomial and 100 ky time steps.

398 **Nitrogen Isotopes**  
399 For each sample, 100–400 individual foraminifera from the species *D. venezuelana* were  
400 picked from the 250–400  $\mu\text{m}$  size fraction. 2.5–8.5 mg of foraminifer tests were gently crushed  
401 and prepared for analysis, following the adjusted persulfate oxidation denitrifier method for FB-  
402  $\delta^{15}\text{N}$  first described for planktic foraminifers by Ren et al.<sup>53</sup> and recently updated and described  
403 in more detail in Auderset et al.<sup>18</sup>. The protocol involves treatment with Na-polyphosphate  
404 solution (pH 8) to remove clays, reductive cleaning with dithionite-citric acid solution to remove  
405 metal oxides, and oxidative cleaning with potassium persulfate/sodium hydroxide solution to  
406 remove organic matter.

407 Next, 2–5 mg of cleaned shell fragments were weighed and dissolved in hydrochloric  
408 acid to release organic matter for analysis. Subsequently, nitrogen was oxidized to nitrate by  
409 adding basic potassium persulfate solution. Finally, 5 nmol nitrogen of nitrate in the sample  
410 solution was converted to nitrous oxide using the denitrifier method, and its  $\delta^{15}\text{N}$  was measured  
411 by gas chromatography-isotope ratio mass spectrometry<sup>54–57</sup>.

412 To quantify the precision and accuracy of the corrected isotope values, for the series of 26  
413 samples, 3 different in-house (MPIC) foraminifer and coral laboratory standards were analyzed:  
414 the coral standard from the taxon *Porites* (PO-1) with  $\delta^{15}\text{N}$  of  $6.2 \pm 0.3 \text{ ‰}$ , a coral standard from  
415 the taxon *Lophelia* with  $\delta^{15}\text{N}$  of  $10.01 \pm 0.4 \text{ ‰}$ <sup>58</sup>, and a mixed foraminifer standard (63–315  $\mu\text{m}$   
416 size fraction) from the North Atlantic (MSM58-17-1) with  $\delta^{15}\text{N}$  of  $5.84 \text{ ‰}$  (uncertainty not yet  
417 assessed). After calibration with international nitrate isotopic references IAEA-NO3 and USGS-  
418 34 and correction for the oxidation blank, the analytical precision for PO-1 was  $\pm 0.16 \text{ ‰}$ , for  
419 *Lophelia*  $\pm 0.14 \text{ ‰}$  and for the foraminifer standard  $\pm 0.4 \text{ ‰}$  (for each standard 1SD,  $n=6$ ). The  
420 oxidation blank per oxidized sample was typically between 0.2–0.5 nmol nitrogen. Because of  
421 the limited number of foraminifera, it was not possible to measure replicate samples. The black  
422 bar in Figure 2b is the standard deviation of the mixed foraminifer standard for each run,  
423 averaged across runs.

424 **ODP Site 845**  
425 Ocean Drilling Program Site 845 is closest to the center of the EEP ODZ and the only site  
426 in the northern lobe of the ODZ, with sediments from the Middle Miocene. The age-depth model

429 for Site 845 is based on paleomagnetic reversals, biostratigraphy (nannofossils, diatoms,  
430 foraminifera), and carbon isotopes (Extended Data Fig. 1). Carbon isotope stratigraphy is  
431 correlated to the Holbourn et al. <sup>59</sup> record from nearby Site U1338 (Extended Data Fig. 2).  
432 Mapped paleo-locations of Site 845 are from Shipboard Scientific Party <sup>60</sup>; paleo-locations for  
433 other sites are from ODSN Plate Tectonic Reconstruction Service  
434 (<https://www.odsn.de/odsn/services/paleomap/paleomap.html>) (Fig. 1 and Extended Data Fig. 5).  
435 Mi and CM events labeled in figures are from Miller et al. <sup>61</sup> and Holbourn et al. <sup>39</sup>, respectively.

436 Preservation of foraminifera is generally moderate to poor throughout the study interval  
437 <sup>62</sup>. Qualitative microscope analysis shows fluctuations in planktic/benthic ratio likely resulting  
438 from dissolution of planktic foraminifera at intervals throughout the section. However, there is  
439 no overall change in preservation coincident with the geochemical changes noted at 15.1–14.7  
440 Ma and no systematic change in preservation throughout the study interval (Extended Data Fig.  
441 4). In this section, we compare data from two species with different preservation potential at Site  
442 845 and we compare our data from Site 845 with data from nearby Sites U1337/U1338, where  
443 foraminifera are well preserved.

444 I/Ca of foraminifera is robust to diagenetic alteration <sup>63</sup>. It has been suggested that, if  
445 anything, I/Ca ratios might be lowered if significant secondary calcite is precipitated in oxygen-  
446 depleted pore waters <sup>63,64</sup>. *D. altispira* have a higher surface-area/shell-volume ratio (lower  
447 weight for tests from the same size fraction) than do *D. venezuelana*. Their values are therefore  
448 more susceptible to diagenetic alteration due to encrustation <sup>65,66</sup>. That I/Ca<sub>*altispira*</sub> and  
449 I/Ca<sub>*venezuelana*</sub> show the same pattern and that *D. altispira*, which lived in more oxygenated waters  
450 further above the ODZ, has consistently higher I/Ca values than *D. venezuelana* for samples with  
451 data from both species further supports our interpretation of I/Ca values as primary.

452 The intracrystalline organic matter of foraminifera is well preserved. It has been shown  
453 that the nitrogen content and nitrogen isotopic composition are not affected by oxidative  
454 degradation, fossil dissolution, or thermal alteration, making FB- $\delta^{15}\text{N}$  a proxy insensitive to  
455 diagenesis <sup>67</sup>. The relatively small variation in nitrogen content over millions of years observed  
456 in previous studies <sup>17,18</sup> and the lack of correlation between nitrogen content and FB- $\delta^{15}\text{N}$  in Site  
457 845 samples further strengthens the assumption that selective degradation of  $^{14}\text{N}$  can be excluded  
458 as a potential secondary influence on the nitrogen isotopic composition.

459

460

461 **Extended Data Figure 1.** Age-depth correlation for Site 845 Hole A. Size and shape of red  
462 boxes for carbon isotope correlation points indicate uncertainty. Oxygen and carbon isotopes are  
463 from *Cibicidoides mundulus* sp. measured at this site.

464 **Brief Title:** Age-depth correlation for Site 845.

465 **Extended Data Figure 2.** Correlation of carbon isotope curves between **(a)** Site U1338 and **(b)**  
466 Site 845. Site U1338 data from Holbourn et al.<sup>59</sup>. Correlation points labeled 1–4; horizontal bars  
467 indicate uncertainty, which is also shown as box sizes in Extended Data Figure 1.

468 **Brief Title:** Correlation of carbon isotope curves between Site U1338 and Site 845.

469 **Extended Data Figure 3.** Calibration of I/Ca and minimum water column oxygen concentration,  
470 i.e. oxygen concentration at depth of maximum depletion ( $[O_2]_{\min}$ ). Boxes indicate the  $\pm 1\text{SD}$   
471 range of I/Ca values for the Miocene Climatic Optimum (MCO) and Mid-Miocene Climatic  
472 Transition (MMCT) at Site 845 (Fig. 2a) and their interpreted  $[O_2]_{\min}$  from this plot, in the case  
473 of the MCO, and from deductions in Hardisty et al.<sup>14</sup> and nitrogen isotopes, in the case of the  
474 MMCT. Shading for  $[O_2]_{\min}$  matches that in Figure 1. Modified from Zhou, Hess et al.<sup>20</sup>.

475 **Brief Title:** Calibration of I/Ca and minimum water column oxygen concentration.

476 **Extended Data Figure 4.** Scanning electron microscope images of planktic foraminifera from  
477 Site 845 showing outside, inside, and cross-sectional views. Note similar quality of preservation  
478 (moderate to poor) throughout the study interval. Scale bars are 50  $\mu$ m.

479 **Brief Title:** Scanning electron microscope images of planktic foraminifera from Site 845.

480 **Extended Data Figure 5.** Map showing location of sites used in regional sea-surface  
481 temperature compilation. Basemap is modern sea surface temperature from NOAA<sup>68</sup>. Site 845  
482 paleo-locations from Pisias et al. <sup>69</sup>, other paleo-locations from ODSN Plate Tectonic  
483 Reconstruction Service.

484 **Brief Title:** Map showing location of sites used in regional sea-surface temperature compilation.

485 **Extended Data Figure 6.** Data used to construct Figure 3a. Sea-surface temperature by region  
486 relative to their averages from 16–15 Ma, smoothed using 100 ky bins. Inverted triangles  
487 indicate age control points, colored by site. To compare Mg/Ca data from different foraminifer  
488 species, some without modern equivalents and therefore lacking species-specific temperature  
489 calibrations, we calculate temperatures using the same multi-species equation at all sites (see  
490 Methodology). Temperatures derived from TEX<sub>86</sub> data are only available for one site and so are  
491 presented using the original authors' calibrations <sup>44</sup>. In constructing Figure 3A, for site with  
492 TEX<sub>86</sub> data, those temperatures are used rather than Mg/Ca-derived temperatures and in this  
493 figure Mg/Ca-derived temperatures are dashed. (a) West Pacific warm pool. (b) Eastern tropical  
494 Pacific. (c) Southern Ocean.

495 **Brief Title:** Sea-surface temperature by region.

496 Source Data attached as separate Excel files  
497  
498 [Additional references from Extended Data Figures and their associated Source Data: <sup>42,69–74</sup>]  
499  
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501 **Methods References**  
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581 **End Notes**

582 **Acknowledgments:** We thank Drs. James Wright and Richard Mortlock for providing carbon  
583 and oxygen isotope analysis, Dr. Kaixuan Bu for help with trace element analysis, Björn  
584 Taphorn for his help with sample preparation for nitrogen isotope analysis, and Dr. Kevin  
585 Wyman for help with scanning electron microscope images. We thank Dr. Flavia Boscolo-  
586 Galazzo and two anonymous reviewers, whose comments improved the manuscript.

587 **Author Contributions:** AVH, YR, KGM, and AA conceived the study. AVH and YR composed  
588 the manuscript, with contributions from AA, DS, and AMG. Geochemical analysis was done by  
589 AVH (trace elements) and AA (nitrogen isotopes). YR and XZ supervised the trace elemental  
590 analysis and interpretation. AMG and DS supervised the nitrogen isotope analysis and  
591 interpretation. All authors reviewed the manuscript.

592

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